

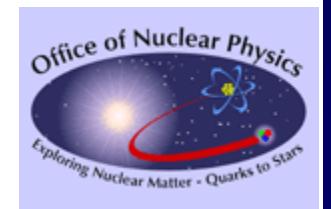


# Can EFT help p+A scattering

Ch. Elster

5/19/2009

Supported by: U.S. DOE, OSC



# Observables in p+A elastic scattering

- $p$  == proton or neutron
- $A$  == spin-zero nucleus (closed shell)
- Independent vectors:  $\vec{k}, \vec{k}', \vec{k} \times \vec{k}'$  or  $\vec{k} \pm \vec{k}, \vec{k} \times \vec{k}'$
- Elastic scattering:  $|k| = |k'|$
- Most general form of scattering amplitude
- spin-1/2  $\rightarrow$  spin-0:  
$$A \cdot 1 + \vec{\sigma} \cdot \vec{C}$$
- Assuming rotational invariance and parity conservation

$$\begin{aligned} M &= A \cdot 1 + C \vec{\sigma} \cdot (\hat{k} \times \hat{k}') \\ &= A(k, \theta) + \underline{C(k, \theta)} \vec{\sigma} \cdot \hat{N} \end{aligned}$$

Spin-flip amplitude

## Explicitly:

- $k$  and  $k'$  span scattering plane ( $x-z$ -plane)
- $y$ -plane  $\vec{\sigma} \cdot \hat{N} = \sigma_y$
- With standard Pauli spinors:

$$\frac{d\sigma}{d\Omega}(\theta, +\hat{y} \rightarrow +\hat{y}) = |\chi_{+y}(A + C\sigma_y)\chi_{+y}| = |A + C|^2$$

$$\frac{d\sigma}{d\Omega}(\theta, +\hat{y} \rightarrow -\hat{y}) = 0$$

- **Unpolarized cross section:**
  - Average of initial states and sum of all final states

$$\begin{aligned}\frac{d\sigma}{d\Omega}(\theta) &= \frac{1}{2} \left[ \frac{d\sigma}{d\Omega}(\theta, i \rightarrow +\hat{y}) + \frac{d\sigma}{d\Omega}(\theta, i \rightarrow -\hat{y}) \right] \\ &= |A(\theta)|^2 + |C(\theta)|^2\end{aligned}$$

# Analyzing Power $A_y$

- Spin of the outgoing projectile is measured
- Incident beam is unpolarized

$$A_y = \frac{\frac{d\sigma}{d\Omega}(\theta, i \rightarrow +\hat{y}) - \frac{d\sigma}{d\Omega}(\theta, i \rightarrow -\hat{y})}{\frac{d\sigma}{d\Omega}(\theta, i \rightarrow +\hat{y}) + \frac{d\sigma}{d\Omega}(\theta, i \rightarrow -\hat{y})} = \frac{2 \operatorname{Re}(A^*(\theta)C(\theta))}{|A(\theta)|^2 + |C(\theta)|^2}$$

⇒ Spin dependence out of the scattering plane

# Spin rotation parameter Q

- Measures the rotation of the spin vector in the scattering plane
- $+x \rightarrow \pm z$

$$Q = \frac{\frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow +\hat{z}) - \frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow -\hat{z})}{\frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow +\hat{z}) + \frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow -\hat{z})} = \frac{2 \operatorname{Im}(A(\theta)C^*(\theta))}{|A(\theta)|^2 + |C(\theta)|^2}$$

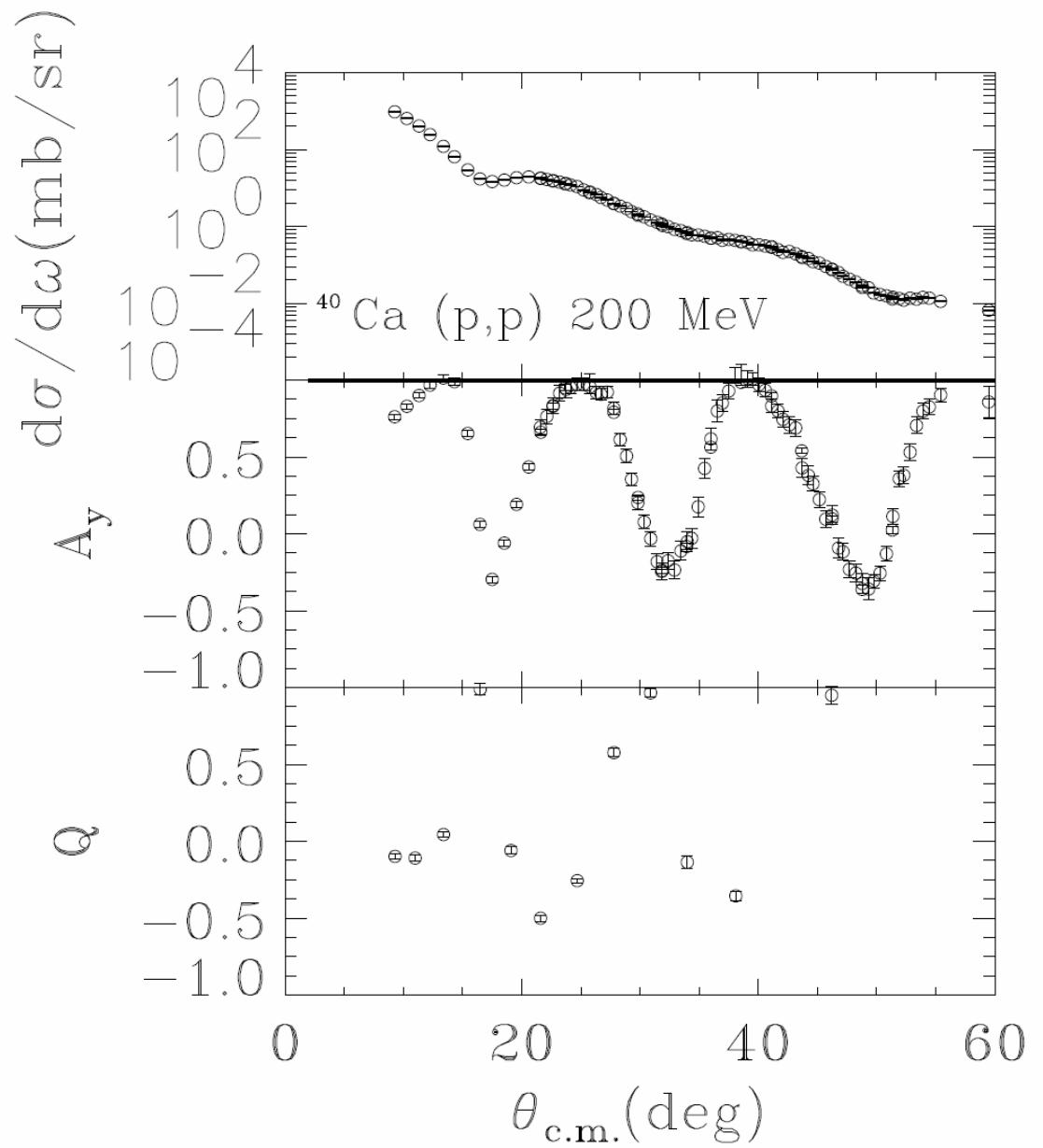
$\Rightarrow$  Spin dependence within the scattering plane

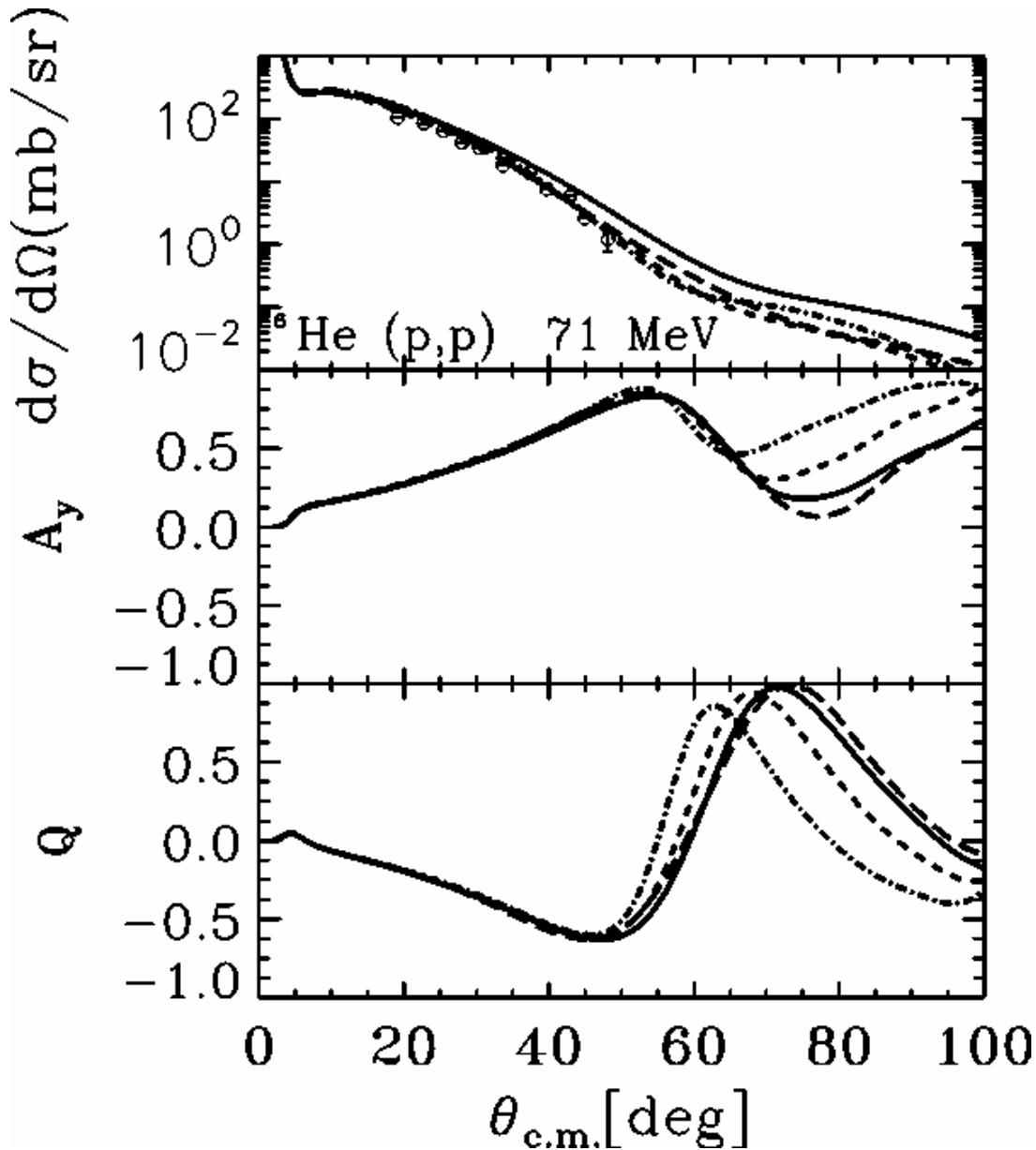
# Spin rotation parameter Q

- Measures the rotation of the spin vector in the scattering plane
- $+x \rightarrow \pm z$

$$Q = \frac{\frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow +\hat{z}) - \frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow -\hat{z})}{\frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow +\hat{z}) + \frac{d\sigma}{d\Omega}(\theta, \hat{x} \rightarrow -\hat{z})} = \frac{2 \operatorname{Im}(A(\theta)C^*(\theta))}{|A(\theta)|^2 + |C(\theta)|^2}$$

$\Rightarrow$  Spin dependence within the scattering plane



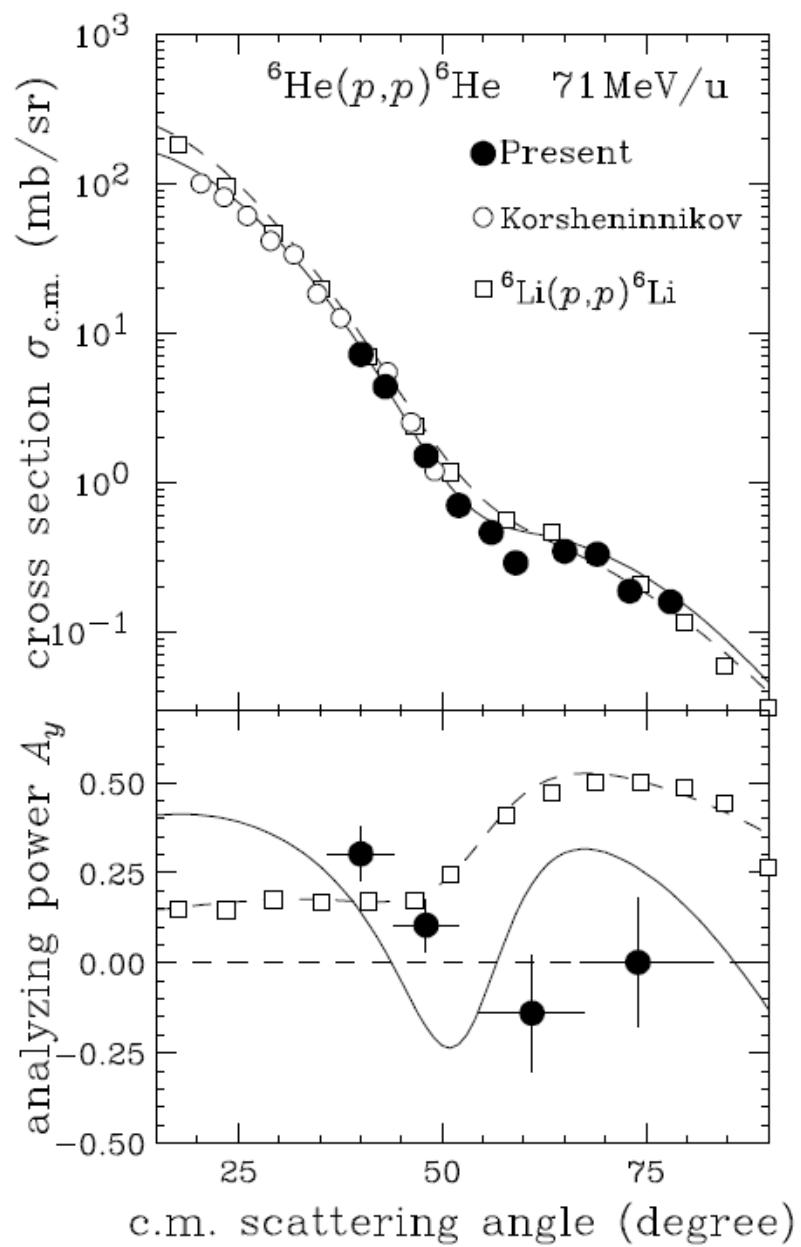


${}^6\text{He} + \text{p}$

S.P. Weppner, O.  
Garcia, Ch. Elster

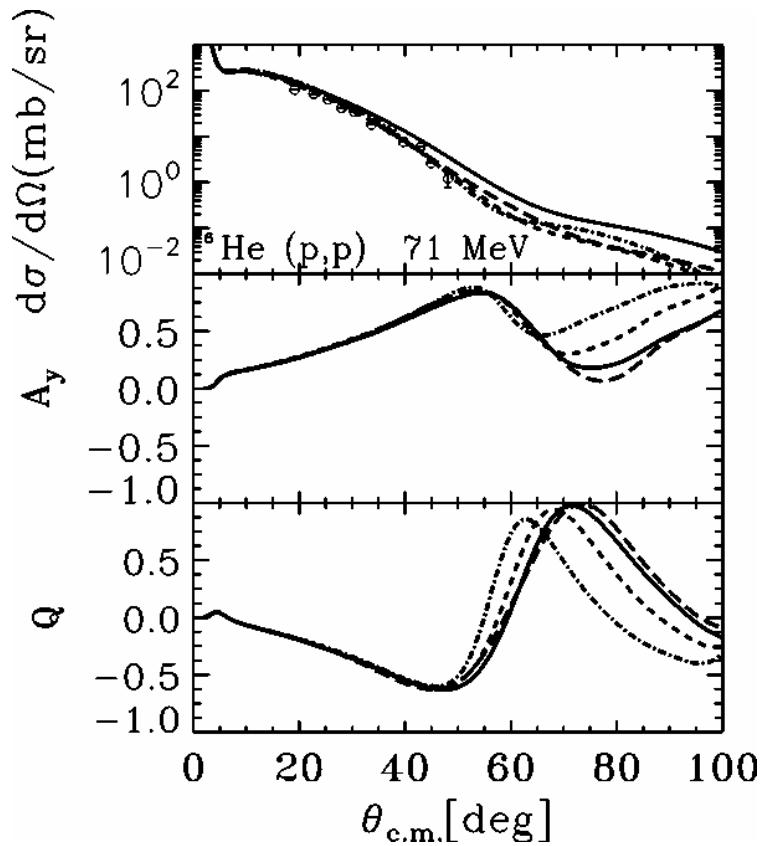
PRC 61, 044601(2000)

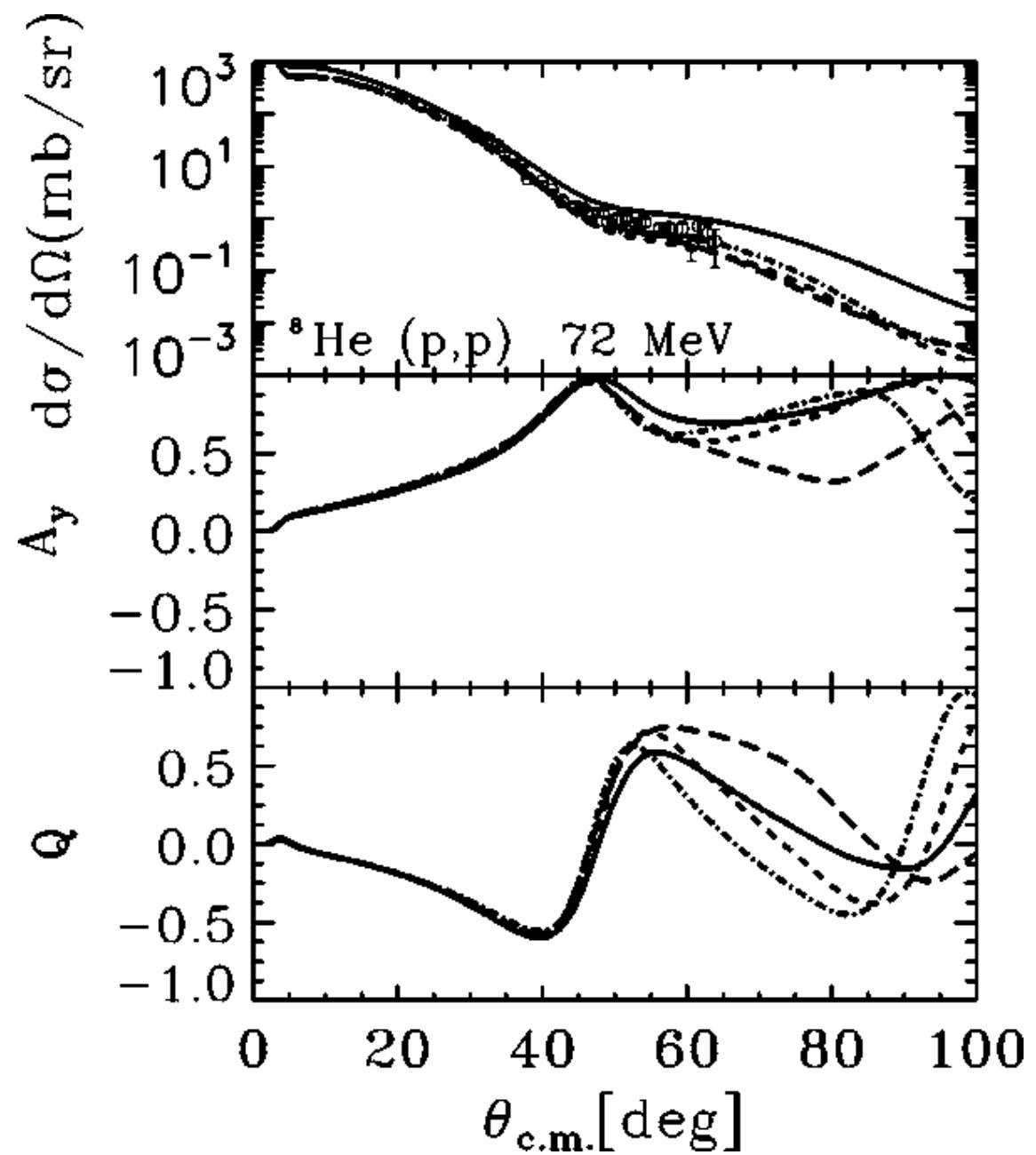
Simple densities,  
adjusted such that  
radius of  ${}^6\text{He}$  is  
captured



## Measurement:

M. Satano, H. Sakai et al.  
Eur. Phys. J A25 255 (2005)





## Scattering: Lippmann-Schwinger Equation

- LSE:  $T = V + V G_0 T$
- Hamiltonian:  $H = H_0 + V$
- Free Hamiltonian:  $H_0 = h_0 + H_A$ 
  - $h_0$ : kinetic energy of projectile ‘0’
  - $H_A$ : target hamiltonian with  $H_A |\Phi\rangle = E_A |\Phi\rangle$
- $V$ : interactions between projectile ‘0’ and target nucleons ‘ $i$ ’  $V = \sum_{i=0}^A v_{0i}$
- Propagator is ( $A+1$ ) body operator
  - $G_0(E) = (E - h_0 - H_A + i\varepsilon)^{-1}$

## Standard Ansatz:

- $T = \sum_{i=0}^A T_{0i}$ 
  - with  $T_{0i} = v_{0i} + v_{0i} G_0(E) T$

$$T_{0i} = v_{0i} + v_{0i} G_0(E) \sum_j T_{0j}$$

$$= v_{0i} + v_{0i} G_0(E) T_{0i} + v_{0i} G_0(E) \sum_{j \neq i} T_{0j}$$

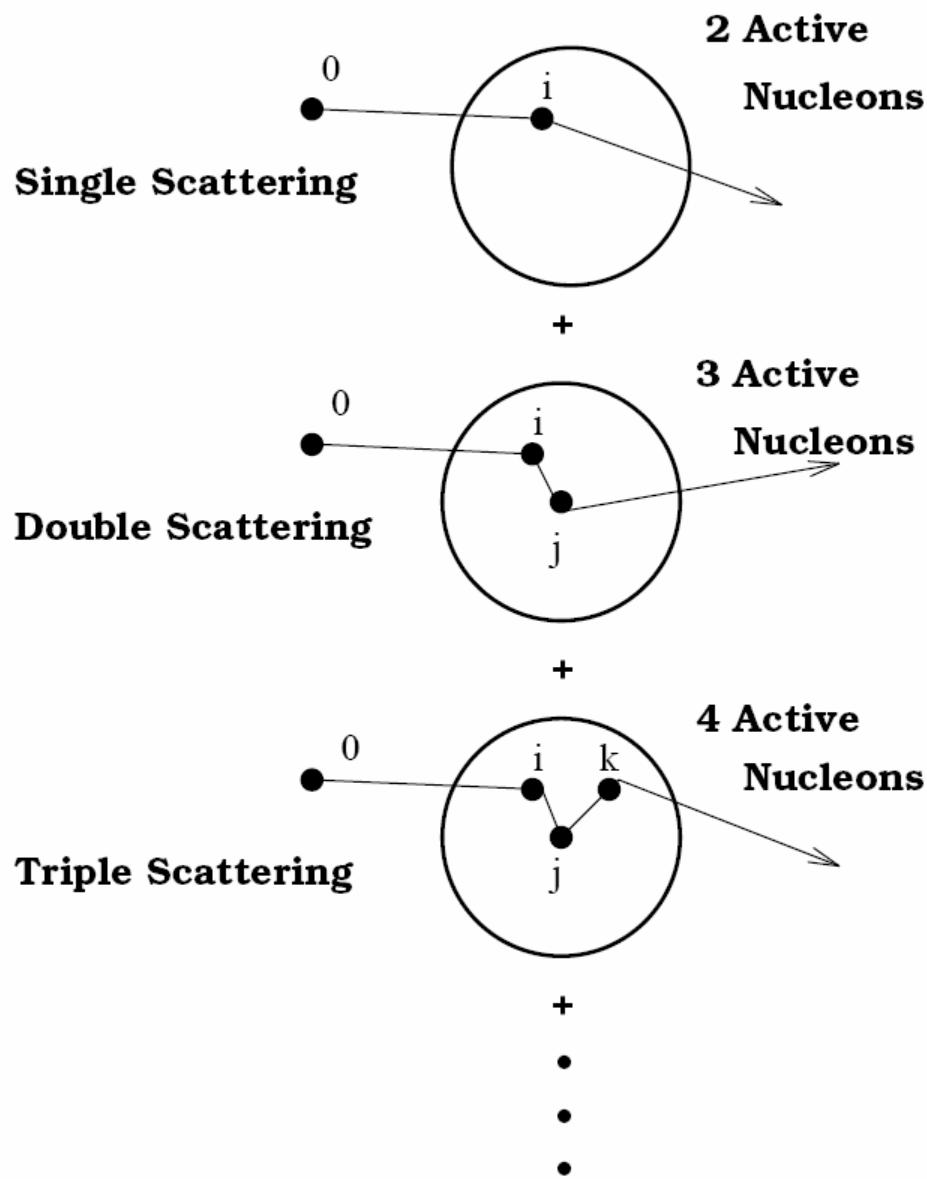
$$(1 - v_{0i} G_0(E)) T_{0i} = v_{0i} + v_{0i} G_0(E) \sum_{j \neq i} T_{0j}$$

$$T_{0i} = t_{0i} + t_{0i} G_0(E) \sum_{j \neq i} T_{0j}.$$

with  $t_{0i} = v_{0i} + v_{0i} G_0(E) t_{0i}$

# Spectator Expansion:

Written down by  
Siciliano, Thaler (1977)  
Picklesimer, Thaler (1981)



# Spectator Expansion in eqs.

$$T = \sum_{i=1}^A t_{0i} + \sum_{i < j} (t_{ij} - t_{0i} - t_{0j}) + \sum_{i < j < k} (t_{ijk} - t_{ij} - t_{ik} - t_{jk} + t_{0i} + t_{0j} + t_{0k}) + \dots$$

Scattering from pairs

2<sup>nd</sup> order term:  $t_{ij} = (v_{0i} + v_{0j}) + (v_{0i} + v_{0j})G_0(E)t_{ij},$

Single scattering approximation:

$$T = \sum_{i=1}^A T_{0i} \approx \sum_{i=1}^A t_{0i}.$$

Pauli Principle:

Antisymmetrize in active pairs

# Elastic Scattering

- In- and Out-States have the target in ground state  $\Phi_0$
- Projector on ground state  $P = |\Phi_0\rangle\langle\Phi_0|$ 
  - With  $1=P+Q$  and  $[P, G_0]=0$
- For elastic scattering one needs
- $P T P = P U P + P U P G_0(E) P T P$
- Or
  - $T = U + U G_0(E) P T$
  - $U = V + V G_0(E) Q U \Leftarrow \text{optical potential}$

Standard:  $\mathbf{U}^{(1)} \approx \sum_{i=0}^A \tau_{0i}$  (1<sup>st</sup> order)

with

$$\tau_{0i} = v_{0i} + v_{0i} G_0(E) Q \tau_{0i}$$

$$\tau_{0i} = v_{0i} + v_{0i} G_0(E) Q \tau_{0i}$$

- $G_0(E) = (E - h_0 - H_A + i\varepsilon)^{-1}$  == (A+1) body operator
  - Standard “**impulse approximation**”:
  - Average over  $H_A \Rightarrow$  c-number
  - $\rightarrow G_0(e)$  ==: two body operator
- Deal with **Q**
  - Define “two-body” operator  $t_{0i}^{\text{free}}$  by
  - $t_{0i}^{\text{free}} = v_{0i} + v_{0i} G_0(e) t_{0i}^{\text{free}}$
  - and relate via integral equation to  $\tau_{0i}$
  - $\tau_{0i} = t_{0i}^{\text{free}} - t_{0i}^{\text{free}} G_0(e) \tau_{0i}$     **[integral equation]**
  - Important for keeping correct iso-spin character of optical potential
  - $$U^{(1)} = \sum_{i=1}^A \tau_{0i} =: N \tau_n + Z \tau_p$$

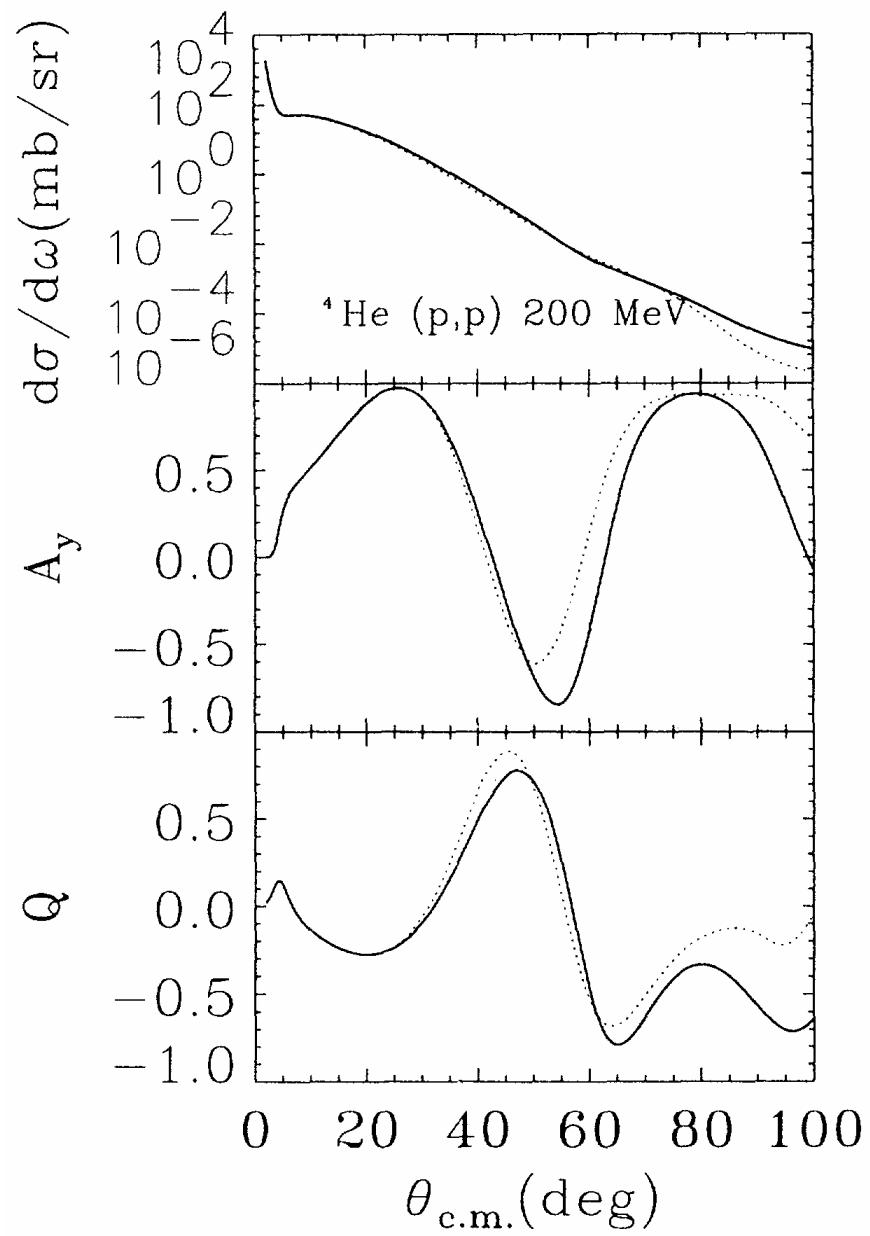
## First order Watson optical potential

$$U^{(1)} = \sum_{i=1}^A \tau_{oi} =: \sum_{i=1}^N \tau_n + \sum_{i=1}^P \tau_p$$

- Important for treating  $N \neq Z$  nuclei
- Be sensitive to proton vs. neutron scattering
- In general
  - $t_{pp} \neq t_{np}$
  - $\rho_p \neq \rho_n$
- These differences enter in a non-linear fashion into first order Watson optical potential

$$\tau_\alpha = \mathbf{t}_\alpha \cdot \mathbf{t}_\alpha \mathbf{G}_0^\alpha(\mathbf{e}) \tau_\alpha, \quad \alpha=n,p$$

Isospin effects in elastic p+A scattering, Chinn, Elster, Thaler, PRC47, 2242 (1993)



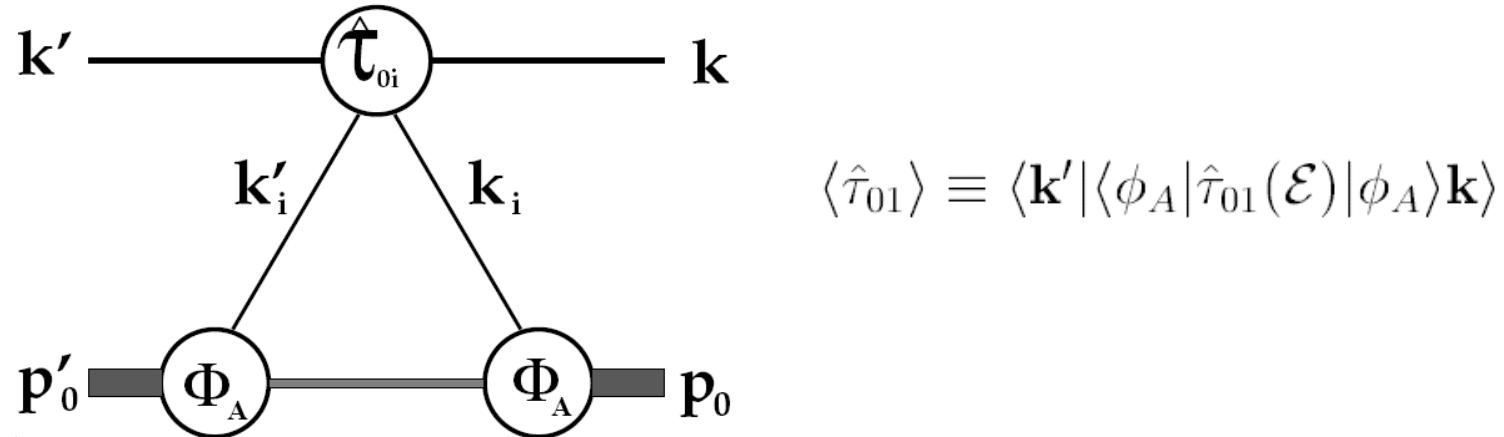
Solid: Watson

Dotted:  $\tau = t$

## More formal:

- Elastic scattering :  $T_{el} = PUP + PUPG_0(E)PT_{el}.$
- First order Watson O.P.:

$$\langle \mathbf{k}' | \langle \phi_A | PUP | \phi_A \rangle \mathbf{k} \rangle \equiv U_{el}(\mathbf{k}', \mathbf{k}) = \sum_{i=n,p} \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{0i}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$



Proton scattering:  $U_{el}(\mathbf{k}', \mathbf{k}) = Z\langle \hat{\tau}_{01}^{pp} \rangle + N\langle \hat{\tau}_{01}^{np} \rangle$

**Calculate:**

$$\langle \hat{\tau}_{01} \rangle \equiv \langle \mathbf{k}' | \langle \phi_A | \hat{\tau}_{01}(\mathcal{E}) | \phi_A \rangle \mathbf{k} \rangle$$

$$\langle \mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4 \dots \mathbf{k}_A | \phi_A \rangle = \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4 \dots + \mathbf{k}_A - \mathbf{p}_0) \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle.$$

$$\begin{aligned} \langle \hat{\tau}_{01} \rangle &= \int \prod_{j=1}^A d\mathbf{k}'_j \int \prod_{l=1}^A d\mathbf{k}_l \langle \phi_A | \zeta'_1 \zeta'_2 \zeta'_3 \zeta'_4 \dots \zeta'_{A-1} \rangle \delta(\mathbf{p}' - \mathbf{p}'_0) \langle \mathbf{k}' \mathbf{k}'_1 | \hat{\tau}_{01}(\mathcal{E}) | \mathbf{k} \mathbf{k}_1 \rangle \\ &\quad \prod_{j=2}^A \delta(\mathbf{k}'_j - \mathbf{k}_j) \delta(\mathbf{p} - \mathbf{p}_0) \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle, \end{aligned} \quad (2.48)$$

**With single particle density matrix :**

$$\rho(\zeta'_1, \zeta_1) \equiv \int \prod_{l=2}^{A-1} d\zeta'_l \int \prod_{j=2}^{A-1} d\zeta_j \langle \phi_A | \zeta'_1 \zeta'_2 \zeta'_3 \zeta'_4 \dots \zeta'_{A-1} \rangle \langle \zeta_1 \zeta_2 \zeta_3 \zeta_4 \dots \zeta_{A-1} | \phi_A \rangle.$$

$$\begin{aligned} \langle \hat{\tau}_{01} \rangle &= \int d\zeta'_1 \int d\zeta_1 \langle \mathbf{k}' \zeta'_1 + \frac{\mathbf{p}'_0}{A} | \hat{\tau}_{01}(\mathcal{E}) | \mathbf{k} \zeta_1 + \frac{\mathbf{p}_0}{A} \rangle \rho(\zeta'_1, \zeta_1) \\ &\quad \delta\left(\frac{A-1}{A}\mathbf{p}'_0 - \zeta'_1 - \frac{A-1}{A}\mathbf{p}_0 + \zeta_1\right). \end{aligned}$$

Better Variables:

$$\begin{aligned} \mathbf{k} &= \mathbf{K} - \frac{1}{2}\mathbf{q} & \zeta_1 &= \mathbf{P} + \frac{A-1}{2A}\mathbf{q} \\ \mathbf{k}' &= \mathbf{K} + \frac{1}{2}\mathbf{q} & \zeta'_1 &= \mathbf{P} - \frac{A-1}{2A}\mathbf{q}. \end{aligned}$$

$$\begin{aligned} \langle \hat{\tau}_{01} \rangle &= \left\langle \frac{1}{2} \left( \mathbf{K} - \mathbf{P} + \frac{2A-1}{2A}\mathbf{q} - \frac{\mathbf{p}_0'}{A} \right) \hat{\tau}_{01}(\hat{\mathcal{E}}) \left| \frac{1}{2} \left( \mathbf{K} - \mathbf{P} - \frac{2A-1}{2A}\mathbf{q} - \frac{\mathbf{p}_0}{A} \right) \right. \right\rangle \\ &\quad \rho \left( \mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q} \right). \end{aligned} \tag{2.59}$$

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \hat{\tau}_{0i}(\mathbf{q}, \frac{1}{2}(\frac{A+1}{A}\mathbf{K} - \mathbf{P}), \hat{\mathcal{E}}) \rho_i \left( \mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q} \right)$$

$$\hat{\mathcal{E}} = E_{NA} - \frac{(\mathbf{k} + \mathbf{k}_1)^2}{4m} = E_{NA} - \left( \frac{(\frac{A-1}{A}\mathbf{K} + \mathbf{P})^2}{4m} \right)$$

# Full-Folding Optical Potential

$$U_{el}(\mathbf{q}, \mathbf{K}) = \sum_{i=n,p} \int d\mathbf{P} \hat{\tau}_{0i}(\mathbf{q}, \frac{1}{2}(\frac{A+1}{A}\mathbf{K} - \mathbf{P}), \hat{\mathcal{E}}) \rho_i(\mathbf{P} - \frac{A-1}{2A}\mathbf{q}, \mathbf{P} + \frac{A-1}{2A}\mathbf{q})$$

Depends on  $|\mathbf{q}|$ ,  $|\mathbf{K}|$ ,  $\cos(\theta)_{\mathbf{qK}}$

Stored on grids 80x80x5 for low energies  
. and 360x360x5 for high energies

Study of approximations and simplifications (like fixed energy  $\varepsilon$ , on-shell density ....) to get to “text-book” expression for optical potential

$$U(\mathbf{q}) = t(\mathbf{q}) \rho(\mathbf{q})$$

See Thesis Stephen Weppner @ [www.phy.ohiou.edu/~elster/HE6](http://www.phy.ohiou.edu/~elster/HE6)

$$\text{NN amplitude } f_{\text{NN}}(k'k;E) = C \langle k' | t_{\text{NN}}(E) | k \rangle$$

Variables ( E,k',k,φ ) ⇒ ( E, q, K, θ )

with  $q = k' - k$   
 $K = \frac{1}{2} (k' + k)$

# Densities used in 1997:

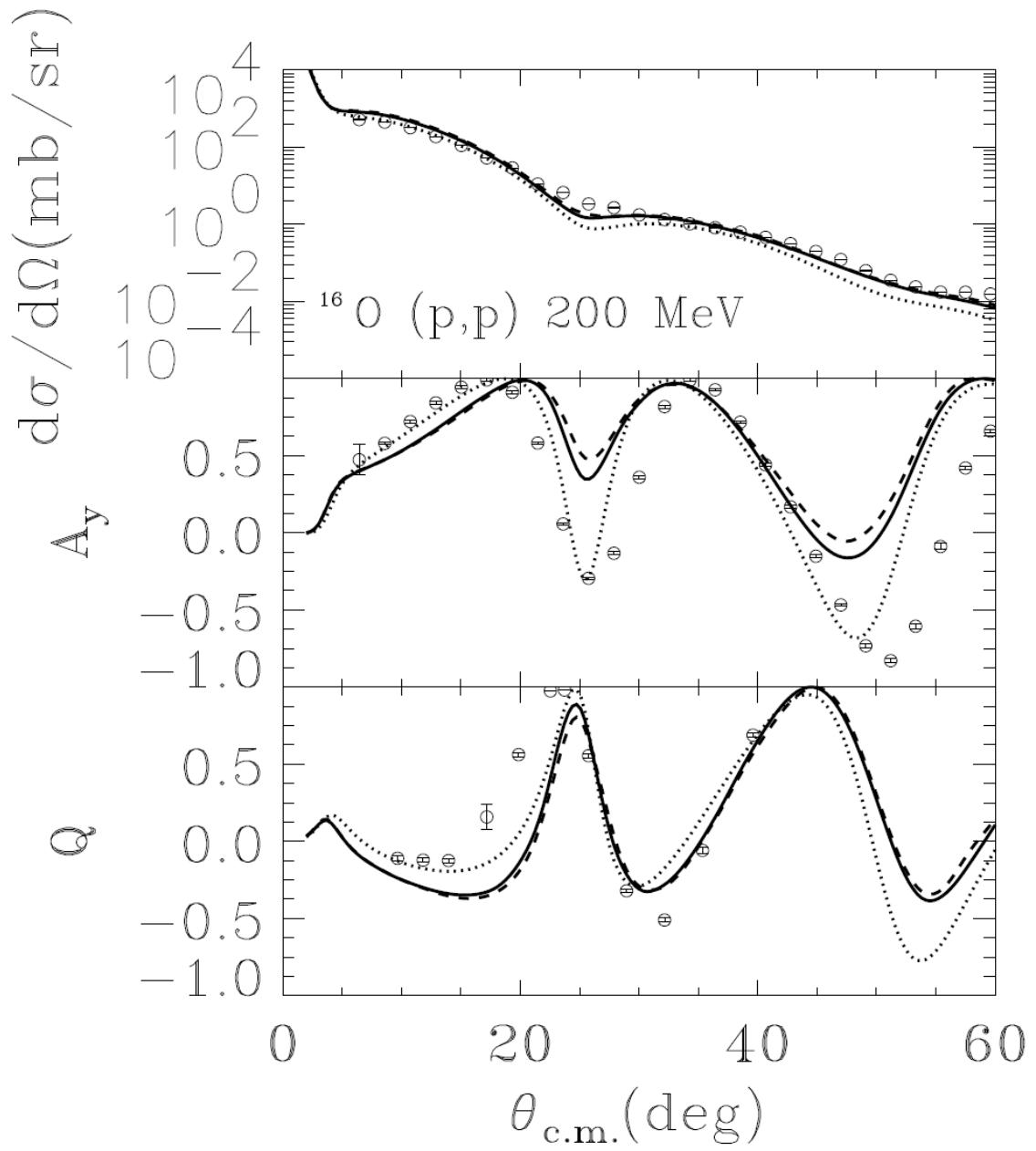
- Dirac-Hartree

$$\rho_{t_z}(\mathbf{r}', \mathbf{r}) = \sum_{n\nu} \left[ \frac{G_{n,\nu,t_z}(r')}{r'} \frac{G_{n,\nu,t_z}(r)}{r} + \frac{F_{n,\nu,t_z}(r')}{r'} \frac{F_{n,\nu,t_z}(r)}{r} \right] \frac{2J+1}{2l+1} \sum_{m_l} Y_l^{*m_l}(\hat{\mathbf{r}}') Y_l^{m_l}(\hat{\mathbf{r}}).$$

- Gogny
- Harmonic Oscillator (home-brew)

$$\rho_s(\mathbf{q}, \mathbf{P}) = \frac{4\pi}{\nu_s} e^{-(P^2/\nu_s + q^2/4\nu_s)},$$

$$\rho_p(\mathbf{q}, \mathbf{P}) = \frac{2}{3} \frac{4\pi}{\nu_p} (P^2/\nu_p - q^2/4\nu_p) e^{-(P^2/\nu_p + q^2/4\nu_p)}$$



Solid: fixed  $\varepsilon$

Dashed: integrated  $\varepsilon$   
and  $\langle H_A \rangle = 0$  MeV

Dotted: integrated  $\varepsilon$   
and  $\langle H_A \rangle = -8$  MeV

$$\tau_{0i} = v_{0i} + v_{0i} G_0(E) Q \tau_{0i}$$

- $G_0(E) = (E - h_0 - H_A + i\varepsilon)^{-1}$  == (A+1) body operator
  - Standard “**impulse approximation**”:
  - Average over  $H_A \Rightarrow$  c-number
  - $\rightarrow G_0(e)$  ==: two body operator
- Deal with **Q**
  - Define “two-body” operator  $t_{0i}^{\text{free}}$  by
  - $t_{0i}^{\text{free}} = v_{0i} + v_{0i} G_0(e) t_{0i}^{\text{free}}$
  - and relate via integral equation to  $\tau_{0i}$
  - $\tau_{0i} = t_{0i}^{\text{free}} - t_{0i}^{\text{free}} G_0(e) \tau_{0i}$     **[integral equation]**
  - Important for keeping correct iso-spin character of optical potential
  - $U^{(1)} = \sum_{i=1}^A \tau_{0i} =: N \tau_n + Z \tau_p$

**Exact**

## Propagator (A+1) body operator:

$$G_0(E) = (E - h_0 - H_A + i\epsilon)^{-1}$$

$$H_A = h_i + \sum_{j \neq i}^A v_{ij} + H^i$$

$h_i$  : kinetic energy of nucleon  $i$

$v_{ij}$  : interaction potential between  $i$  and  $j$

$H^i$  : (A-1) Hamiltonian  $\rightarrow \langle H^i \rangle \equiv \varepsilon^i \equiv$  c-number

$\langle \sum_{j \neq i}^A v_{ij} \rangle \equiv U_i \equiv$  mean field

Chinn, Elster, Thaler  
PRC 48, 2956 (1993)

$$\varepsilon^i + \varepsilon_i = \langle H_A \rangle = 0$$

Two-body  
operator via  
construction:

$$G_0(E_i, i)^{-1} = (E - \varepsilon^i) - h_0 - h_i - U_i$$

$$E_i \equiv E - [\varepsilon^i + \varepsilon_i] + \varepsilon_i$$

$$\tilde{t}_{0i} = v_{0i} + v_{0i} G_0(E_i, i) \tilde{t}_{0i}$$

$$t_{0i}^{\text{free}} = v_{0i} + v_{0i} g_0(e) t_{0i}^{\text{free}} \quad e = \text{arbitrary for now}$$

$$\tilde{t}_{0i} = t_{0i}^{\text{free}} + t_{0i}^{\text{free}} [G_0(E_i, i) - g_0(e)] \tilde{t}_{0i}$$

## Resolvent Identities:

$$\begin{aligned}
 G_0(E_i, i) - g_0(e) &= G_0(E_i, i) [g_0(e)^{-1} - G_0^{-1}(E_i, i)] g_0(e) \\
 &= G_0(E_i, i) [e - h_0 - h_i - (E_i - h_0 - h_i - U_i)] g_0(e) \\
 \text{for } e = E_i &= G_0(E_i, i) U_i g_0(E_i) \\
 &= g_0(E_i) T_i(E_i) g_0(E_i) \\
 \text{with } T_i(E_i) &= U_i + U_i g_0(E_i) T_i(E_i)
 \end{aligned}$$

$$\begin{aligned}\tilde{t}_{0i}(E_i) &= t_{0i}^{\text{free}}(E_i) + t_{0i}^{\text{free}}(E_i)g_0(E_i)T(E_i)g_0(E_i)\tilde{t}_{0i}(E_i) \\ &= t_{0i}^{\text{free}}(E_i) + \omega_{0i}(E_i)g_0(E_i)\tilde{t}_{0i}(E_i)\end{aligned}$$

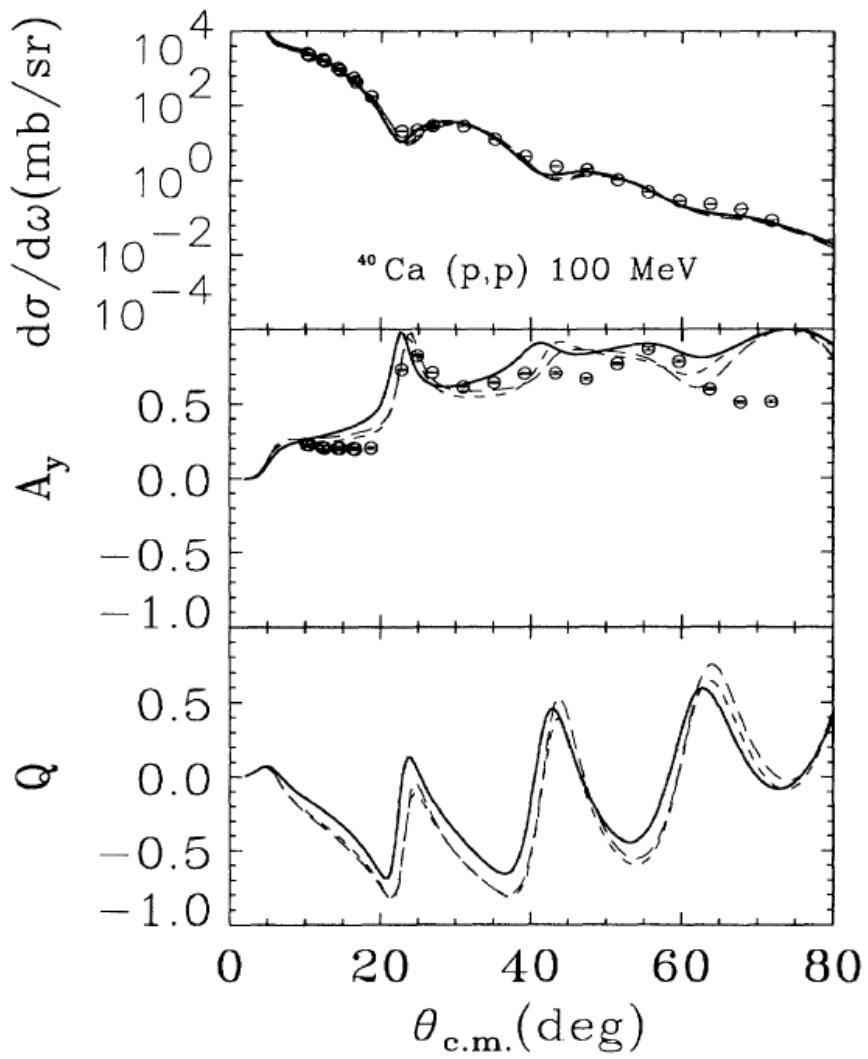
$$\tilde{t}_{0i}(E_i) = t_{0i}^{\text{free}}(E_i) + \Delta_{0i}$$

$$\begin{aligned}\Delta_{0i} &= \omega_{0i}(E_i)g_0(E_i)\tilde{t}_{0i}(E_i) \\ &= t_{0i}^{\text{free}}(E_i)g_0(E_i)T(E_i)g_0(E_i)\tilde{t}_{0i}(E_i) \\ &= t_{0i}^{\text{free}}(E_i)g_0(E_i)\eta_{0i}(E_i)\end{aligned}$$

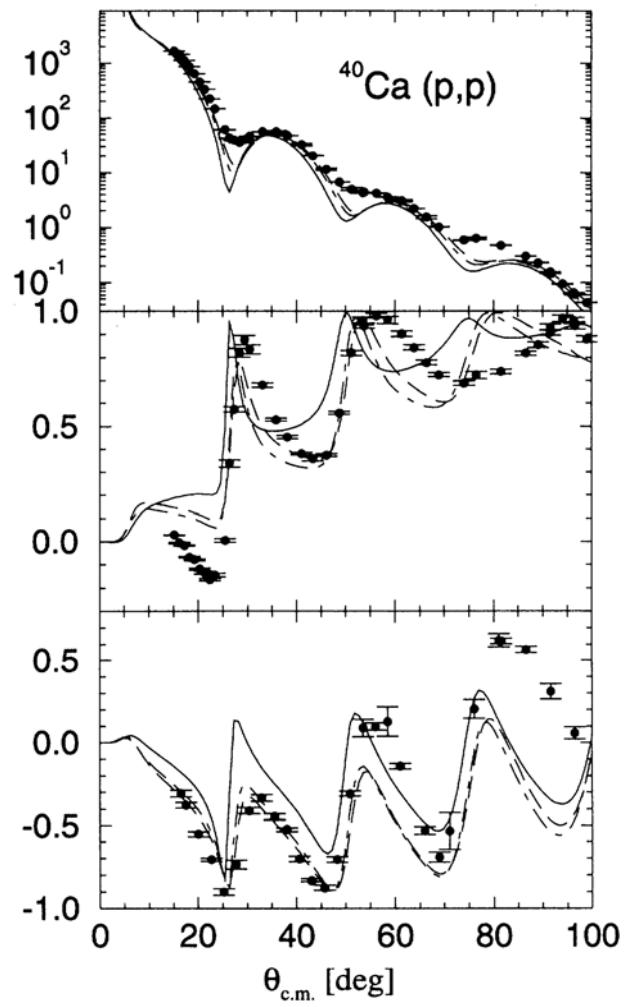
with  $\eta_{0i}(E_i) = \omega_{0i}(E_i) + \omega_{0i}(E_i)g_0(E_i)\eta_{0i}(E_i)$

## Modification of propagator $G_0$ :

- Choose mean field potential  $U_i$  (consistent with density)
- Solve integral equation for  $T_{0i}$
- Construct  $\omega_{0i}(E_i)$ 
  - Approximation: variables are not “right”
  - i.e.  $\omega_{0i}(k'_0, k'_i, k_0, k_i)$  – needed  $\omega_{0i}(k'_0 - k'_i, k_0 - k_i)$
- Solve integral equation for  $n_{0i}(E_i)$
- Construct  $\Delta_{0i}$
- Add  $\Delta_{0i}$  to  $t_{0i}^{\text{free}} \rightarrow \tilde{t}_{0i}$
- Fold  $\tilde{t}_{0i}$  with the nuclear density matrix
- Solve integral equation for Watson first order potential



65 MeV



65 MeV

