

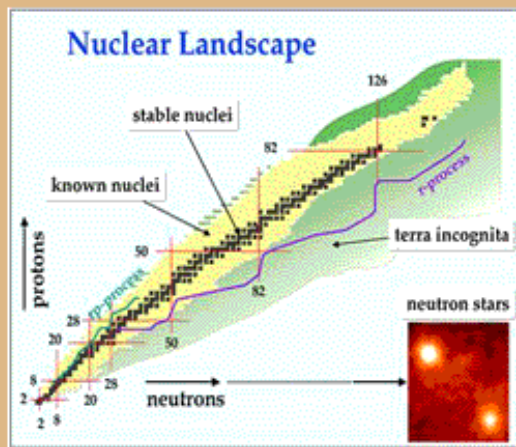
Overview of the Fall INT Program and Status Report on the No Core Shell Model

Bruce R. Barrett
University of Arizona, Tucson, AZ



INT Seattle

November 27, 2007



Nuclear Many-Body Approaches for the 21st Century

September 24 - November 30, 2007

The goal of our program is to bring together leading researchers in microscopic few-body and many-body theories to think and to discuss *outside the same old boxes* that have been used in the past, and to develop new ways and a new strategy to attack the nuclear many-body problem. Of particular interest is the determination of new ways to include many more correlations among the nucleons when calculations are performed in smaller or restricted model spaces, and especially how to accommodate special symmetries into the theory.

For example, we plan to bring together shell-model theorists with researchers doing symplectic and cluster calculations to look for ways to accommodate these into a more comprehensive theory, *i.e.*, how does collective rotational motion and clustering emerge in shell-model calculations. Theorists using group theory and cluster techniques will be brought into these discussions to study whether it is possible to propose truncation schemes of the shell model based on symmetries and also to explore new methods to use group-theoretical techniques to model the nuclear many-body problem.

Another topic for extended discussion will be the role of the continuum in weakly-bound systems. This is of particular relevance in nuclei away from the stability line where all states are close to the neutron or proton separation threshold and effects of the continuum

Organizers:

B.R. Barrett

(University of Arizona)

bbarrett@physics.arizona.edu

J.P. Draayer

(Louisiana State University)

draayer@lsu.edu

K. Heyde

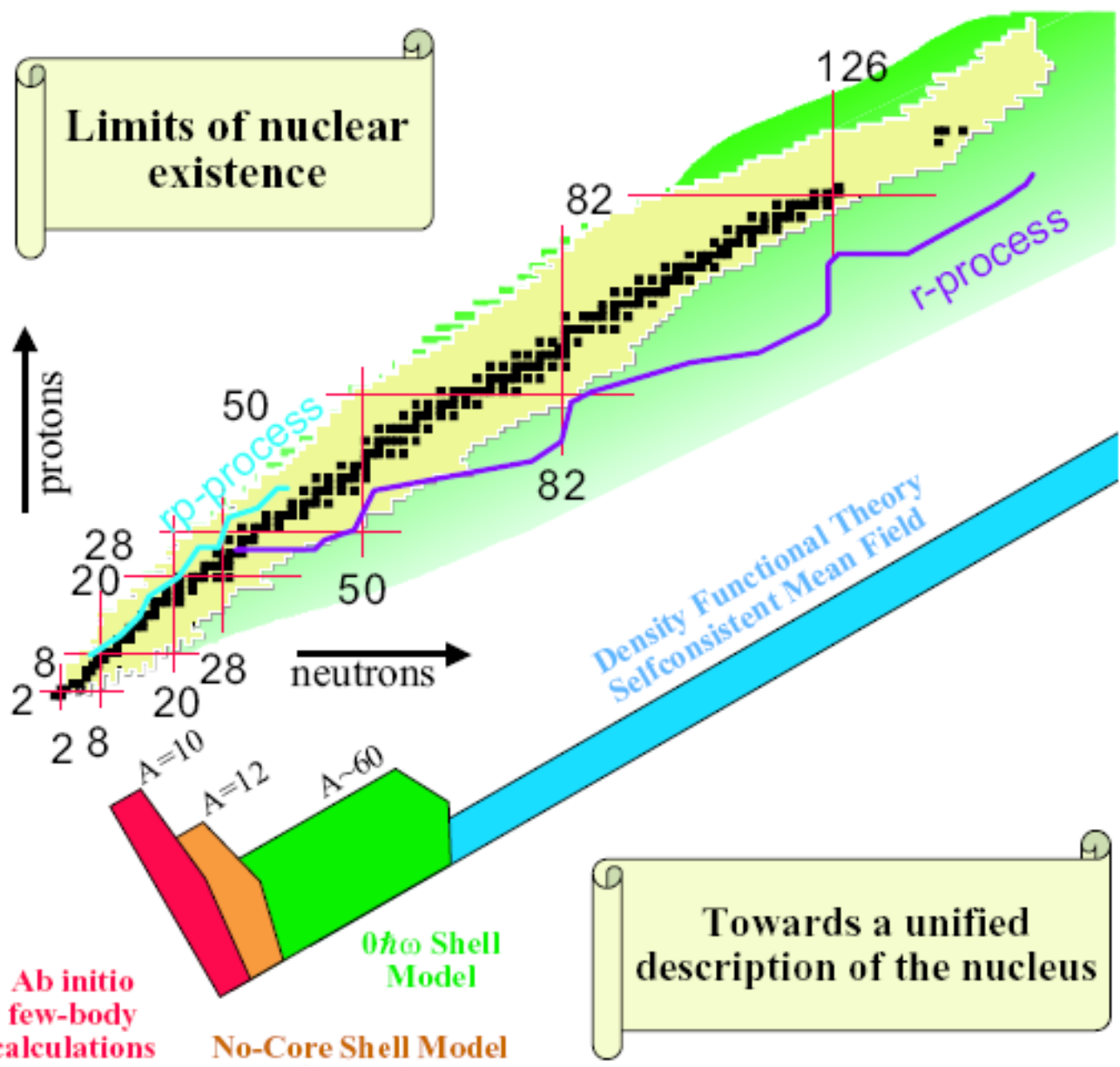
(University of Gent)

kris.heyde@ugent.be

P. van Isacker

(GANIL)

isacker@ganil.fr



Towards a unified description of the nucleus

The goal of nuclear theory:

exact treatment of nuclei based on NN, NNN,... interactions

⇒ need to build a bridge between:

- *ab initio* few-body & light nuclei calculations: $A \lesssim 24$
- $0\hbar\Omega$ Shell Model calculations: $16 \lesssim A \lesssim 60$
- Density Functional Theory calculations: $A \gtrsim 60$

Workshop at the INT Fall-07 Program on New Approaches in Nuclear Many-Body Theory

October 15 - 19, 2007

Notice to all participants: The workshop is constructed so as to have only three 50 to 60 minute talks per day or two long talks and two short talks per day with much time for discussion. A speaker with 90 minutes is supposed to talk for 50 to 60 minutes and leave the remaining time for questions and discussion. If groups of participants want to organize their own discussion groups outside of the lectures and/or special seminars, please contact the organizers about making arrangements (e.g., space) for such events.

Monday, October 15, 2007

8:00-8:50: Registration/Check-in/Finding Location in the INT

8:50-9:00: Start of workshop: Opening comments by the organizers

9:00-10:30: Achim Schwenk (TRIUMF): "Recent Developments in Two- and Three-Nucleon Interactions for Nuclear Structure"

http://www.int.washington.edu/PROGRAMS/07-3_wkshp.html

Topics for Study: Fall INT Program 2007

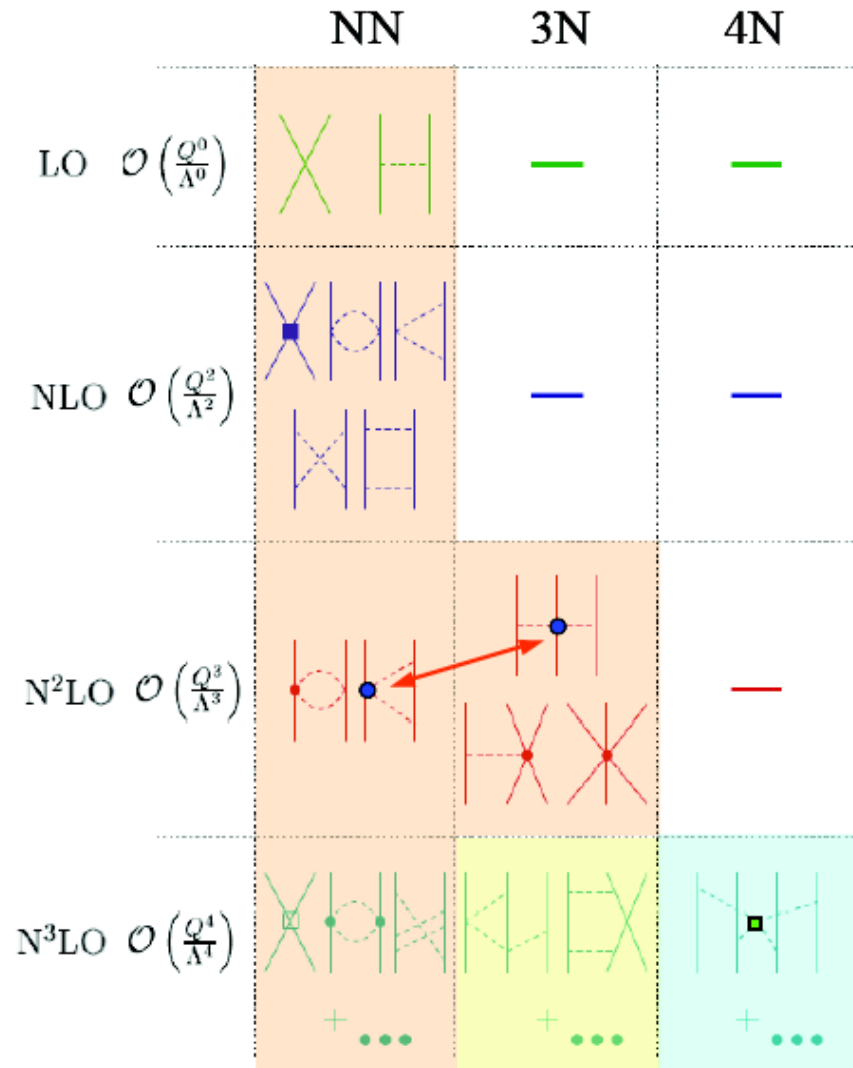
1. Forces among the nucleons
2. Many-body techniques for solving the A-nucleon problem
3. New methods/ Transformative ideas

I. Forces among nucleons

1. QCD \rightarrow EFT \rightarrow CPT \rightarrow Self-consistent nucleon interactions

Chiral effective field theory (EFT) for nuclear forces

Separation of scales: low momenta $\frac{1}{\lambda} = Q \ll \Lambda_b$ breakdown scale Λ_b



explains pheno hierarchy:

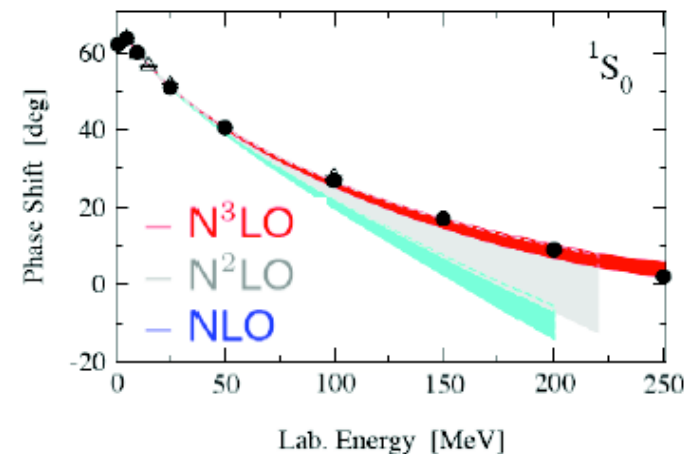
NN > 3N > 4N > ...

NN-3N, πN , $\pi\pi$, electro-weak, ...

consistency

3N, 4N: 2 new couplings to N³LO!

theoretical error estimates



I. Forces among nucleons

1. QCD ---> EFT ---> CPT --> Self-consistent nucleon interactions
2. Need NN and NNN and perhaps NNNN interactions

	$N^3\text{LO}$	Exp
${}^3\text{H}$	7.85 MeV	8.48 MeV
${}^4\text{He}$	25.35(5) MeV	28.30 MeV
${}^6\text{Li}$	28.5(5) MeV	31.99 MeV

P. Navratil and E. Caurier, Phys. Rev. C 69, 014311 (2004)

H. Kamada, *et al.*, Phys. Rev. C 64, 044001 (2001)

PHYSICAL REVIEW C, VOLUME 64, 044001

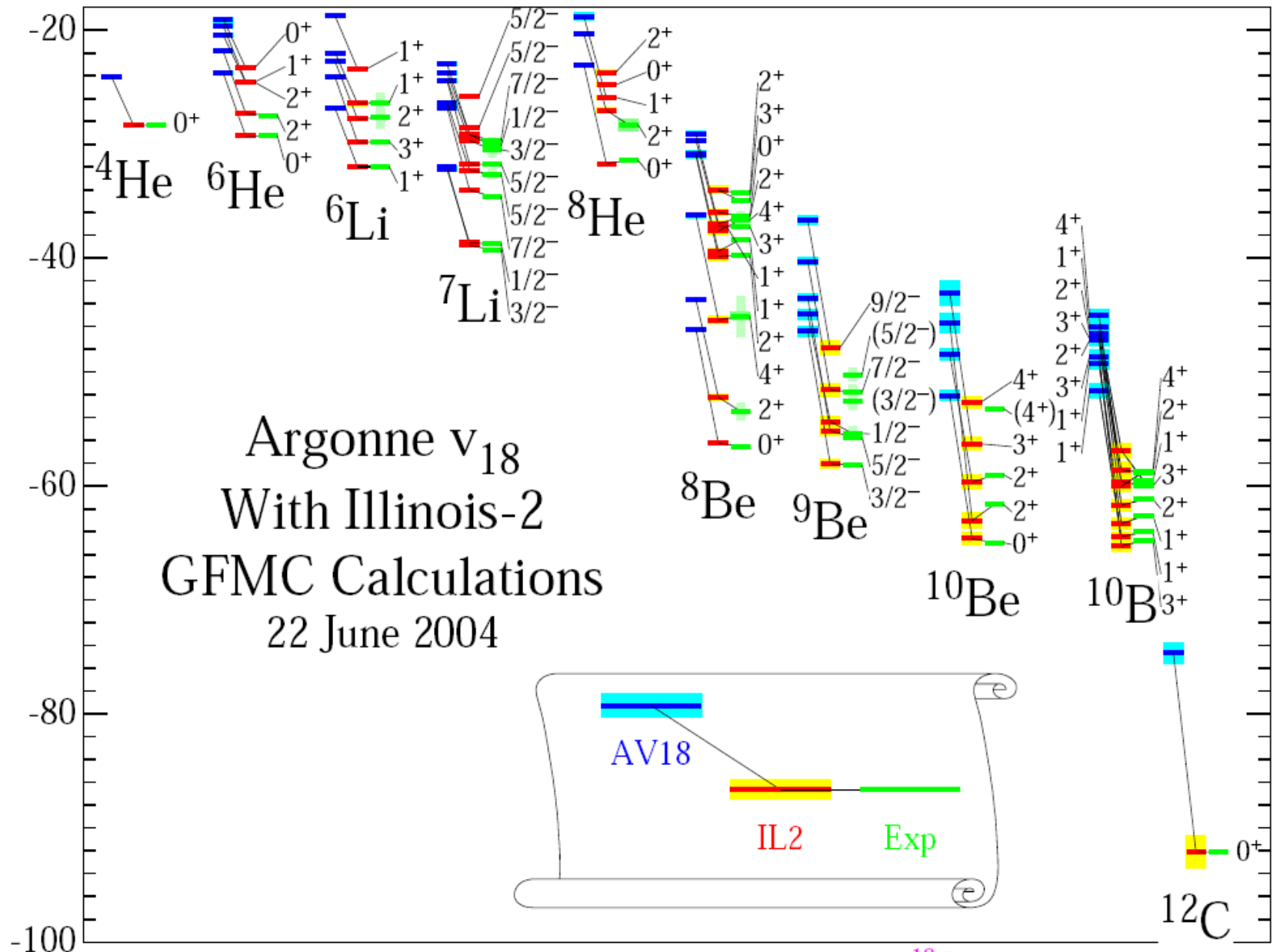
Benchmark test calculation of a four-nucleon bound state

In the past, several efficient methods have been developed to solve the Schrödinger equation for four-nucleon bound states accurately. These are the Faddeev-Yakubovsky, the coupled-rearrangement-channel Gaussian-basis variational, the stochastic variational, the hyperspherical variational, the Green's function Monte Carlo, the no-core shell model, and the effective interaction hyperspherical harmonic methods. In this article we compare the energy eigenvalue results and some wave function properties using the realistic AV8' NN interaction. The results of all schemes agree very well showing the high accuracy of our present ability to calculate the four-nucleon bound state.

$$BE_{\text{th}} \approx 25.91 \text{ MeV}$$

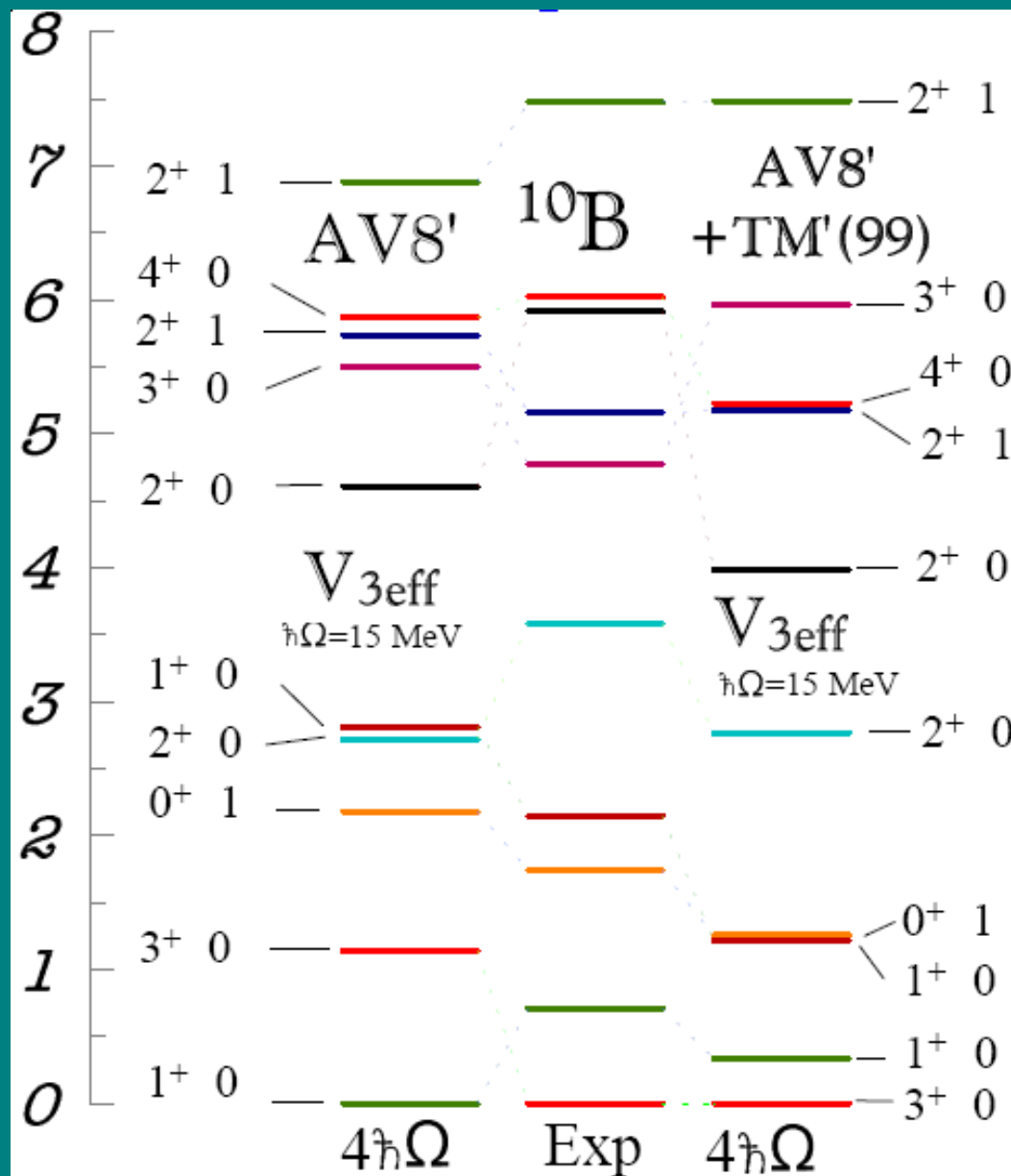
$$BE_{\text{exp}} \approx 28.296 \text{ MeV}$$

Energy (MeV)



Argonne v_{18}
With Illinois-2
GFMC Calculations
22 June 2004

^{12}C results are preliminary.



P. Navrátil and W. E. Ormand, Phys. Rev. C 68, 034305 (2003)

I. Forces among nucleons

1. QCD ---> EFT ---> CPT --> Self-consistent nucleon interactions

2. Need NN and NNN and perhaps NNNN interactions

3. Which approach is best?

a) Chiral Effective Field Theory

b) Find NN interaction which minimizes the NNN interaction and then treat the NNN interaction perturbatively.

A. Schwenk

Tjon line

$V_{\text{low } k}(\Lambda)$ defines class of NN interactions with cutoff-independent low-energy NN observables

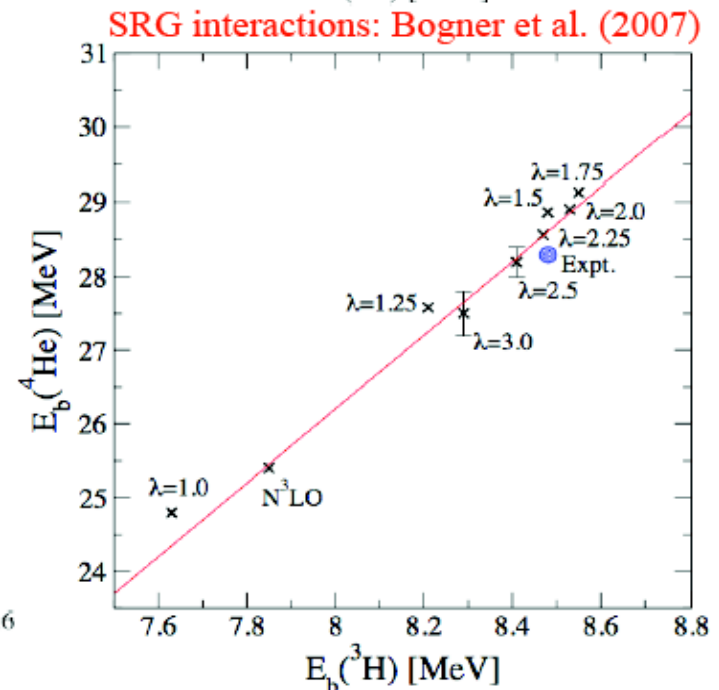
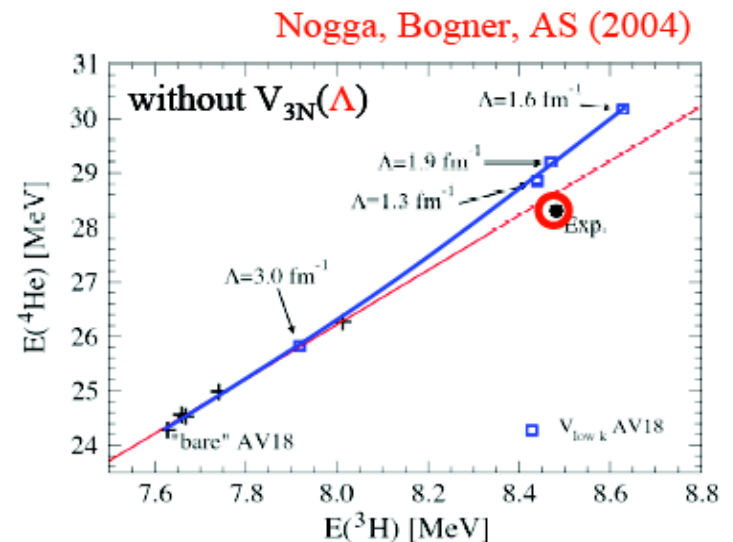
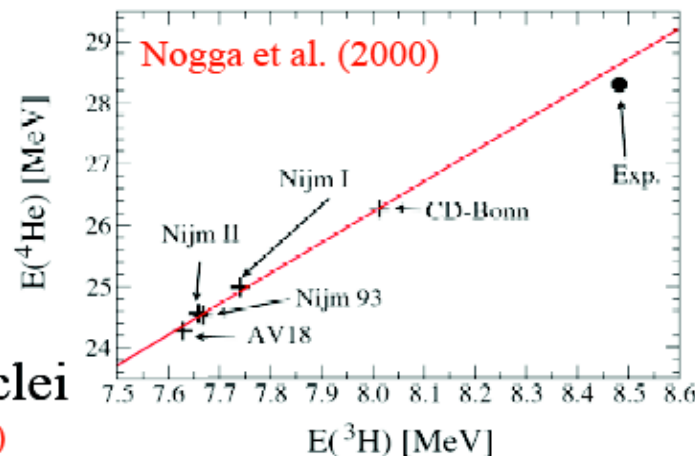
cutoff variation estimates errors due to neglected parts in $H(\Lambda)$

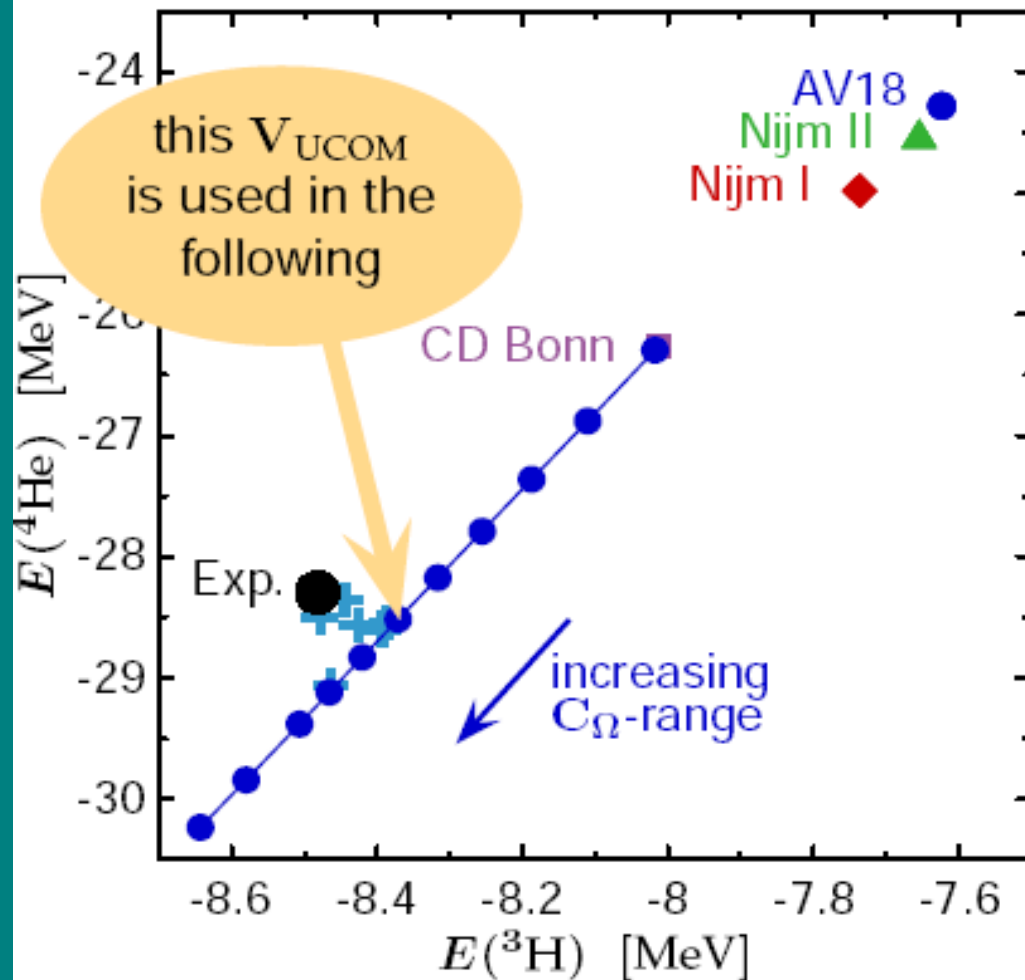
Cutoff dependence explains Tjon line, 3N required by renormalization

Experiment breaks from line \Rightarrow 3N

Tjon lines in p-shell nuclei

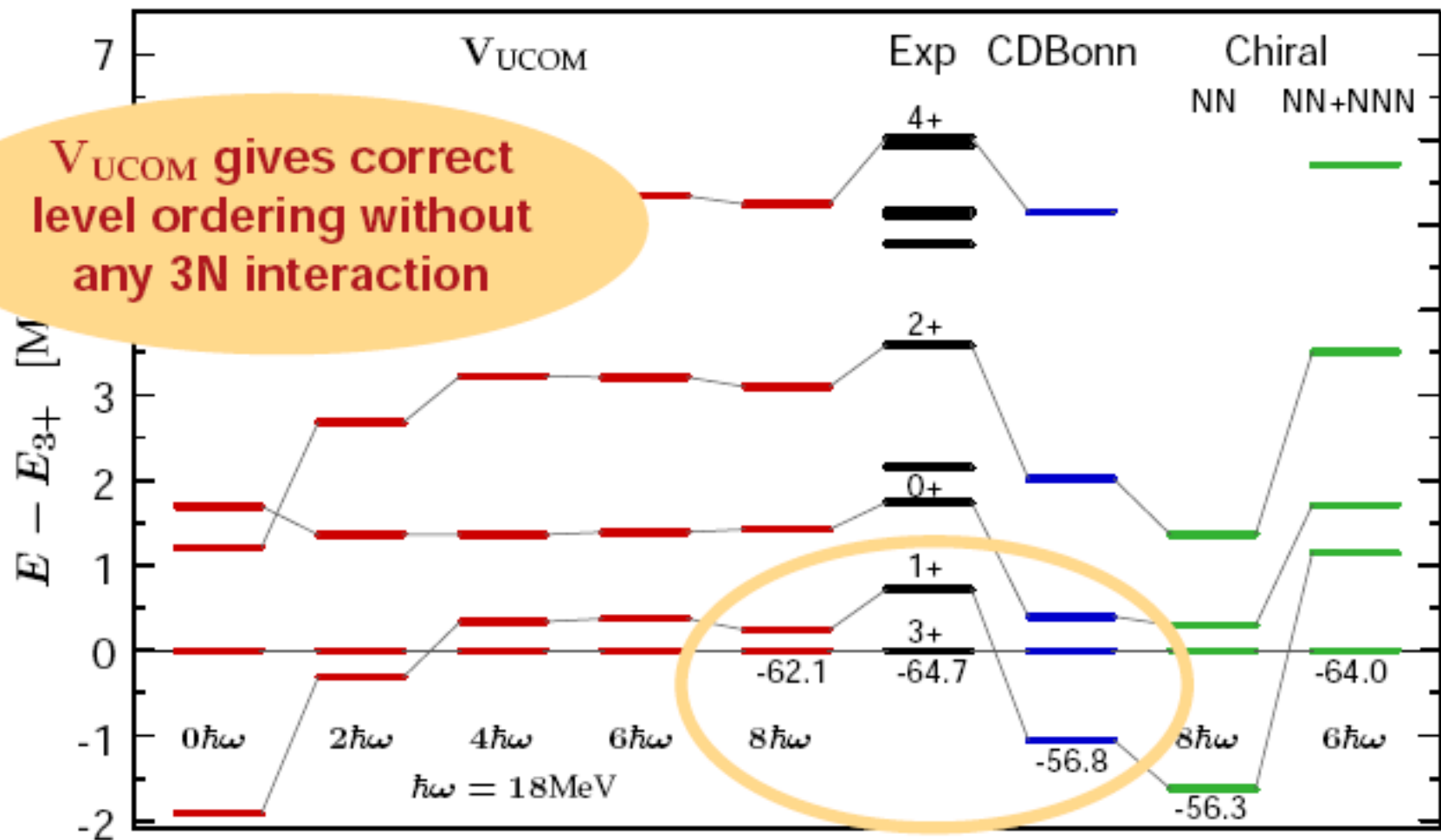
Bogner et al. (2007)





- **Tjon-line:** $E(^4\text{He})$ vs. $E(^3\text{H})$ for phase-shift equivalent NN-interactions
- change of C_Ω -correlator range results in shift along Tjon-line

minimize net three-body force
 by choosing correlator with energies close to experimental value



I. Forces among nucleons

1. QCD ---> EFT ---> CPT --> Self-consistent nucleon interactions

2. Need NN and NNN and perhaps NNNN interactions

3. Which approach is best?

a) Chiral Effective Field Theory

b) Find NN interaction which minimizes the NNN interaction and then treat the NNN interaction perturbatively.

c) Contract the NNN interaction into the nuclear medium as 0-, 1-, and 2-body density dependent parts + a small residual NNN force.

Towards 3N interactions in medium-mass nuclei

based on low-momentum $V_{\text{low } k}(\Lambda) + V_{3N}(\Lambda)$

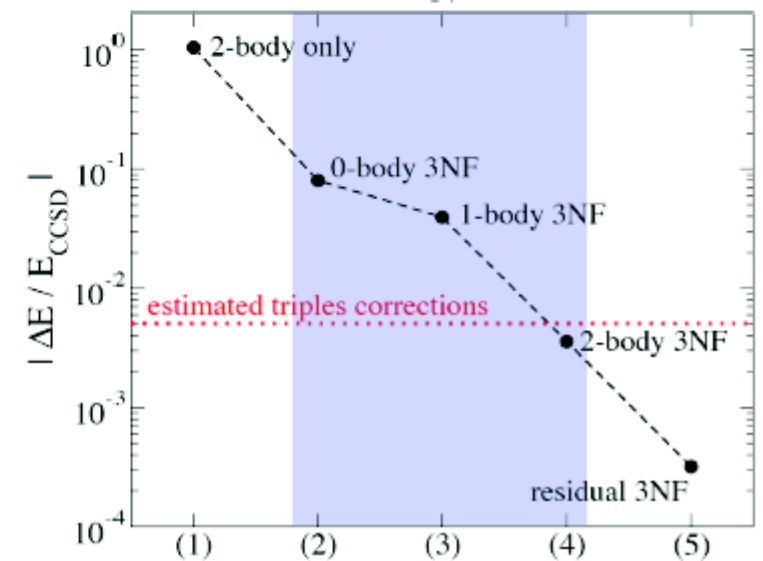
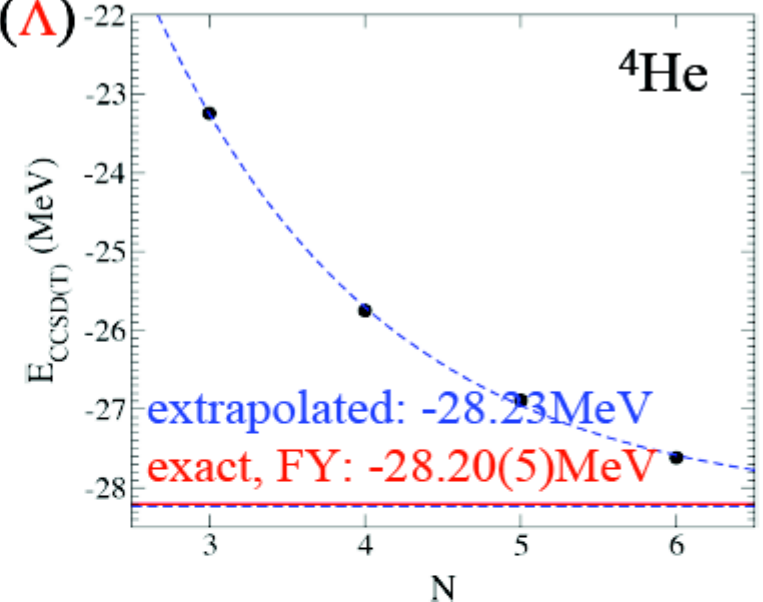
Hagen et al. (2007)

developed coupled-cluster theory with 3N interactions, first benchmark for ${}^4\text{He}$

Results show that 0-, 1- and 2-body parts of 3N interaction dominate

residual 3N interaction can be neglected!
very promising

supports that monopole corrections for valence shell interactions due to 3N



I. Forces among nucleons

1. QCD ---> EFT ---> CPT --> Self-consistent nucleon interactions

2. Need NN and NNN and perhaps NNNN interactions

3. Which approach is best?

a) Chiral Effective Field Theory

b) Find NN interaction which minimizes the NNN interaction and then treat the NNN interaction perturbatively.

c) Contract the NNN interaction into the nuclear medium as 0-, 1-, and 2-body density dependent parts + a small residual NNN force.

d) Other approaches: $V_{\text{low-k}}$, Similarity Renormalization Group (SRG), Unitary Correlation Operator Method (UCOM), INOY,...

II. Many-Body Techniques for Solving the A-Nucleon Problem

1. Light Nuclei: *ab initio* approaches: s- and p-shell nuclei

Green Function Monte Carlo (GFMC) (R. Wiringa, et al.),
No-Core Shell Model (NCSM), Faddeev-Yakubovsky,
UCOM, $V_{\text{low-k}}$, SRG, ...

2. sd- and pf-shell nuclei:

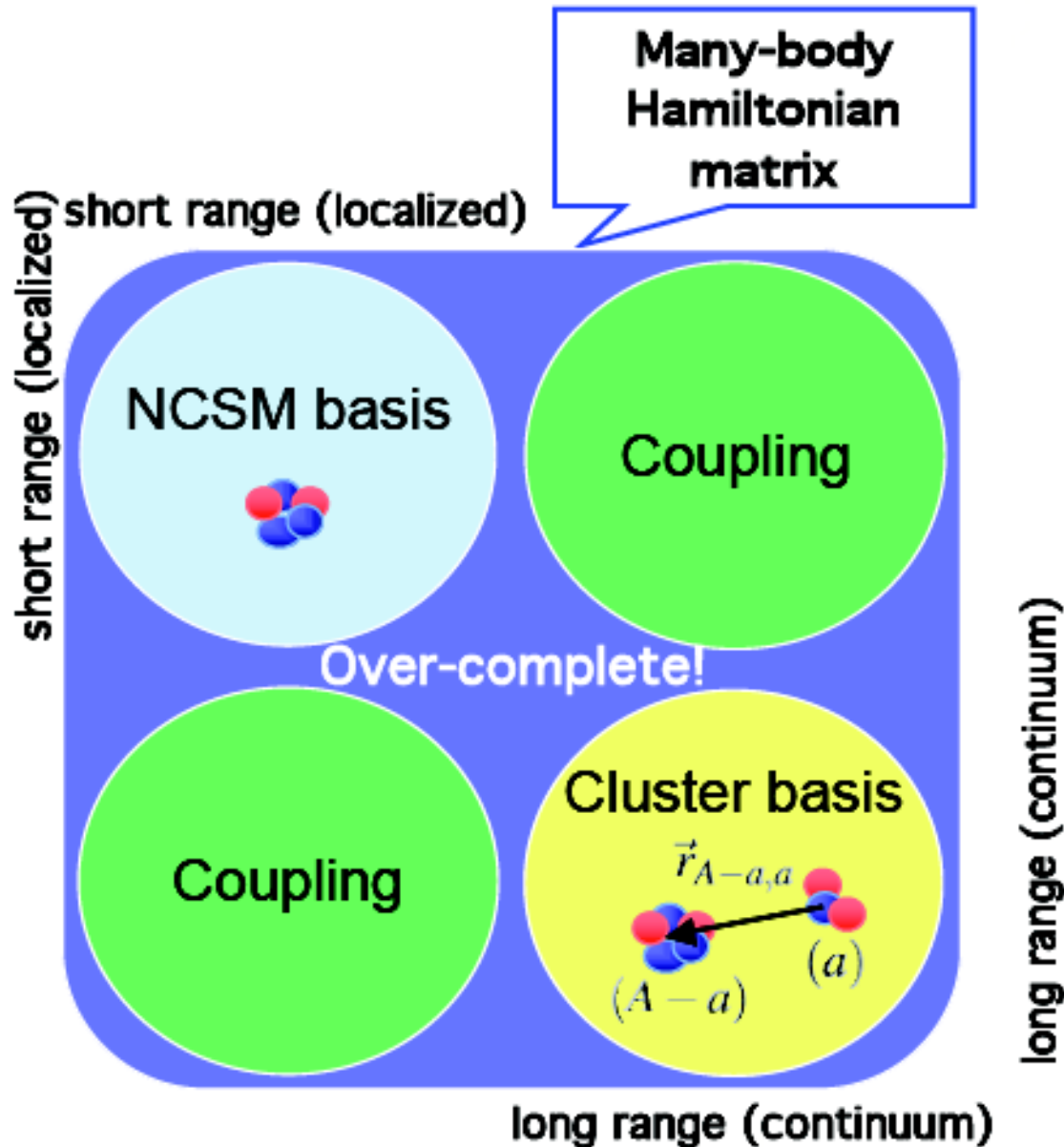
NCSM, extended NCSM, Standard Shell Model (SSM),
Coupled Cluster (CC), Shell Model Monte Carlo (SMMC) (sign
problem defeated?), Monte Carlo Shell Model (MCSM) (Otsuka,
et al.) ...

3. Heavier Nuclei:

Density Functional Theory (DFT) (G. Bertsch et al., SciDAC
project UNEDF), CC, Monte Carlo approaches, ...

III. New Methods/Transformative Ideas (???)

1. “soft” NN interactions plus weak NNN interactions
2. Coupled Cluster calculations with NNN interactions
3. Universal Nuclear Energy Density Functional
4. Building more correlations into smaller model space:
 - a) Fermionic Molecular Dynamics Approach (T. Neff, et al.)
 - b) Extensions of the NCSM:
 - i) Projected NCSM/SSM (this talk)
 - ii) Symplectic (3,R) NCSM (J. Draayer, et al.)
 - iii) Importance Truncated NCSM (Navratil and Roth)
 - iv) NCSM + Resonating Group Method (Navratil & Quaglioni)



P. Navratil and
S. Quaglioni,
INT seminars fall
2007

Status Report on the NCSM

No-Core Shell-Model Approach

- Start with the purely intrinsic Hamiltonian

$$H_A = T_{rel} + \mathcal{V} = \frac{1}{A} \sum_{i < j=1}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2m} + \sum_{i < j=1}^A V_{NN} \left(+ \sum_{i < j < k}^A V_{ijk}^{3b} \right)$$

Note: There are no phenomenological s.p. energies!

Can use any
NN potentials

Coordinate space: Argonne V8', A V18
Nijmegen I, II

Momentum space: CD Bonn, EFT Idaho

No-Core Shell-Model Approach

- Next, add CM harmonic-oscillator Hamiltonian

$$H_{CM}^{HO} = \frac{\vec{P}^2}{2Am} + \frac{1}{2}Am\Omega^2\vec{R}^2; \quad \vec{R} = \frac{1}{A}\sum_{i=1}^A\vec{r}_i, \quad \vec{P} = Am\dot{\vec{R}}$$

To H_A , yielding

$$H_A^\Omega = \sum_{i=1}^A \left[\frac{\vec{p}_i^2}{2m} + \frac{1}{2}m\Omega^2\vec{r}_i^2 \right] + \underbrace{\sum_{i<j=1}^A \left[V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A}(\vec{r}_i - \vec{r}_j)^2 \right]}_{V_{ij}}$$

Defines a basis (i.e. HO) for evaluating V_{ij}

Effective Interaction

- Must truncate to a **finite** model space $V_{ij} \rightarrow V_{ij}^{\text{effective}}$
- In general, V_{ij}^{eff} is an **A**-body interaction
- We want to make an **a**-body cluster approximation

$$\mathcal{H} = \mathcal{H}^{(I)} + \mathcal{H}^{(A)} \quad \underset{a < A}{\approx} \quad \mathcal{H}^{(I)} + \mathcal{H}^{(a)}$$

Two-body cluster approximation ($a=2$)

$$\mathcal{H} \approx \mathcal{H}^{(1)} + \mathcal{H}^{(2)}$$

$$H_2^\Omega = \underbrace{H_{02} + H_2^{CM}}_{h_1+h_2} + V_{12} = \frac{\vec{p}^2}{2m} + \frac{1}{2}m\Omega^2\vec{r}^2 + H_2^{CM} + V(\sqrt{2}\vec{r}) - \frac{m\Omega^2}{A}\vec{r}^2$$

Carry out a unitary transformation on H_2^Ω

$$\mathcal{H}_2 = e^{-S^{(2)}} H_2^\Omega e^{S^{(2)}} \quad \text{where } S^{(2)} \text{ is anti Hermitian}$$

$S^{(2)}$ is determined from the decoupling condition

$$Q_2 e^{-S^{(2)}} H_2^\Omega e^{S^{(2)}} P_2 = 0$$

P_2 = model space, Q_2 = excluded space, $P_2 + Q_2 = 1$

$$\text{with the restrictions } P_2 S^{(2)} P_2 = Q_2 S^{(2)} Q_2 = 0$$

Two-body cluster approximation (a=2)

It is convenient to write $S^{(2)}$ in terms of another operator “ ω ” as

$$S^{(2)} = \text{arctanh}(\omega - \omega^\dagger) \quad \text{with} \quad Q_2 \omega P_2 = \omega$$

Then the Hermitian effective operator in the P_2 space can be expressed in the form

$$\mathcal{H}_{\text{eff}}^{(2)} = P_2 \mathcal{H}_2 P_2 = \frac{P_2 + P_2 \omega^\dagger Q_2}{\sqrt{P_2 + \omega^\dagger \omega}} H_2^\Omega \frac{P_2 + Q_2 \omega P_2}{\sqrt{P_2 + \omega^\dagger \omega}}$$

Analogously, any arbitrary operator can be written in the P_2 space as

$$\mathcal{O}_{\text{eff}}^{(2)} = P_2 \mathcal{O}_2 P_2 = \frac{P_2 + P_2 \omega^\dagger Q_2}{\sqrt{P_2 + \omega^\dagger \omega}} \mathcal{O} \frac{P_2 + Q_2 \omega P_2}{\sqrt{P_2 + \omega^\dagger \omega}}$$

Exact solution for ω :

Let E_k and $|k\rangle$ be the eigensolutions of H_2^Ω ,

$$H_2^\Omega |k\rangle = E_k |k\rangle$$

Let $|\alpha_P\rangle$ and $|\alpha_Q\rangle$ be HO states belonging to the model space P and the excluded space Q , respectively. **Then ω is given by:**

$$\langle \alpha_Q | k \rangle = \sum_{\alpha_P} \langle \alpha_Q | \omega | \alpha_P \rangle \langle \alpha_P | k \rangle$$

or

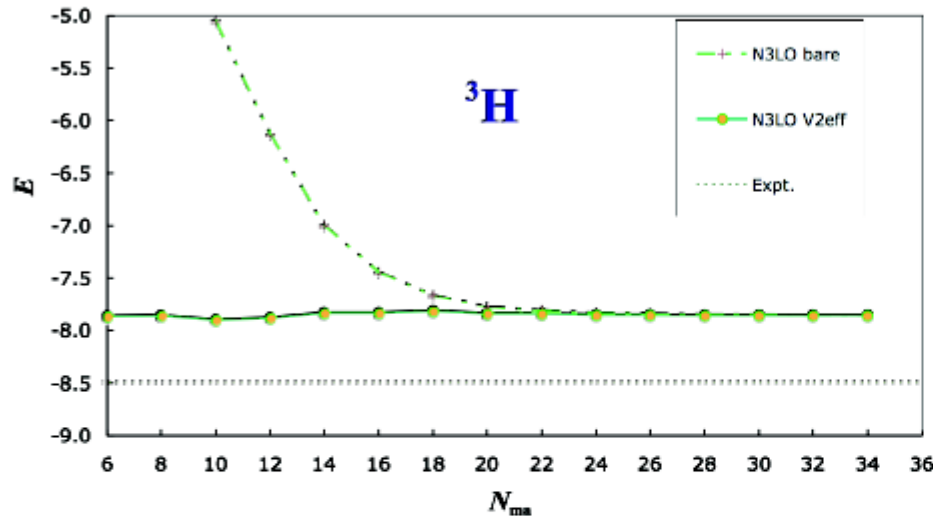
$$\langle \alpha_Q | \omega | \alpha_P \rangle = \sum_{k \in K} \langle \alpha_Q | k \rangle \langle \hat{k} | \alpha_P \rangle$$

NCSM ROAD MAP

1. Choose a NN interaction (or NN + NNN interactions)
2. Solve $H_n^\Omega |k_n\rangle = E_n |k_n\rangle$ for E_n and $|k_n\rangle$ with $n=2,3,\dots$
3. Calculate $\langle \alpha_Q^n | \omega | \alpha_P^n \rangle = \sum_{k \in K} \langle \alpha_Q | k_n \rangle \langle \tilde{k}_n | \alpha_P \rangle$
4. Determine $\mathcal{H}_n^{\text{eff}}$ and O_n^{eff} in the given model space
5. Diagonalize $\mathcal{H}_n^{\text{eff}}$ in the given model space, *i.e.*,
 $N_{\text{max}} \hbar\Omega = \text{energy above the ground state}$
6. To check convergence of results repeat calculations
for: *i)* increasing N_{max} and/or cluster level
ii) several values of $\hbar\Omega$

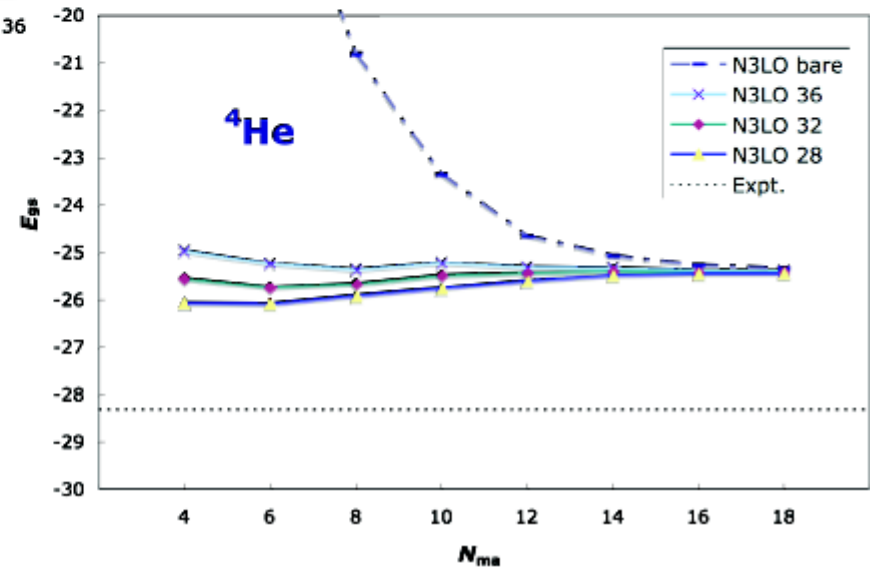
- NCSM convergence test

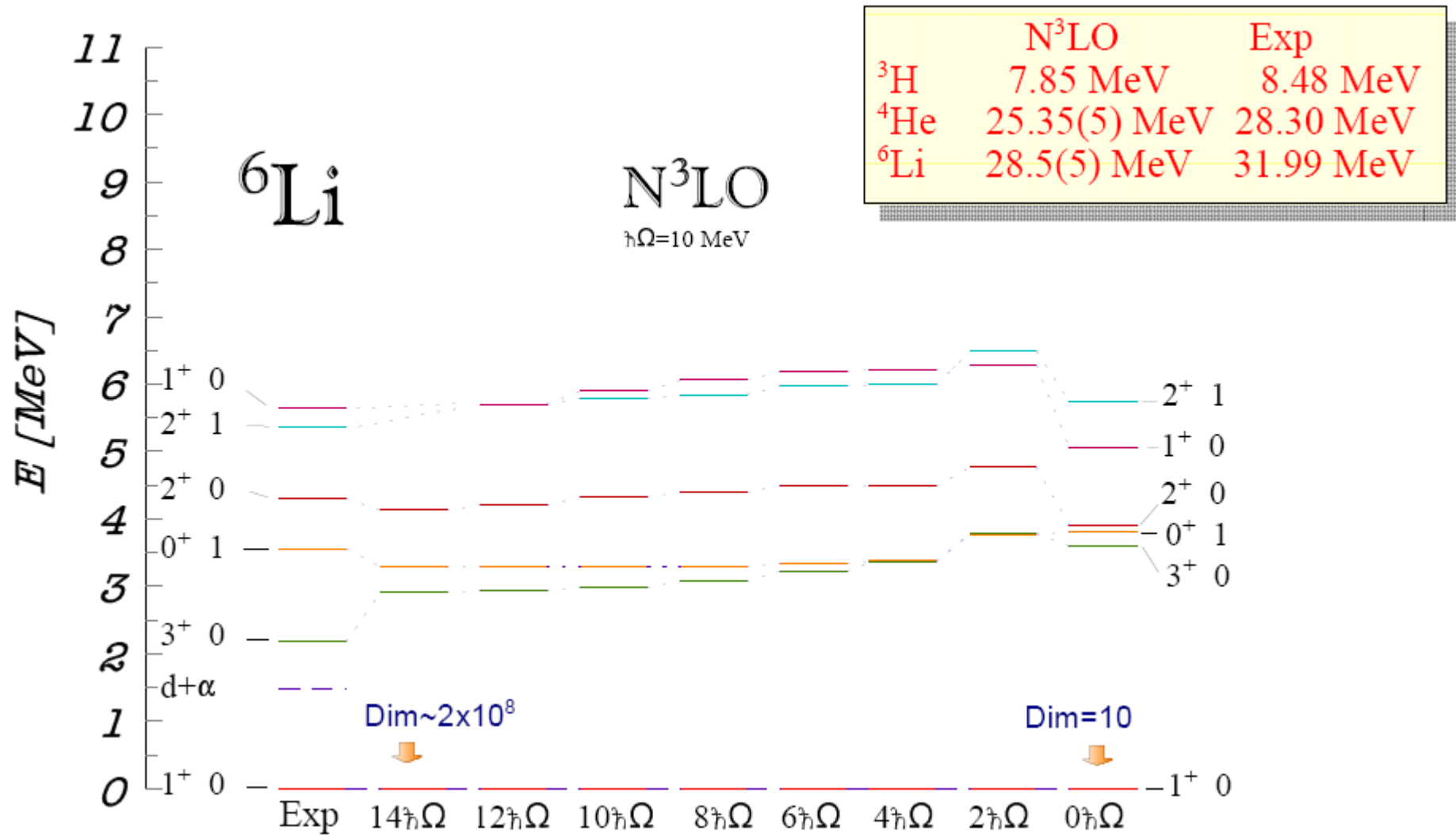
- Comparison to other methods



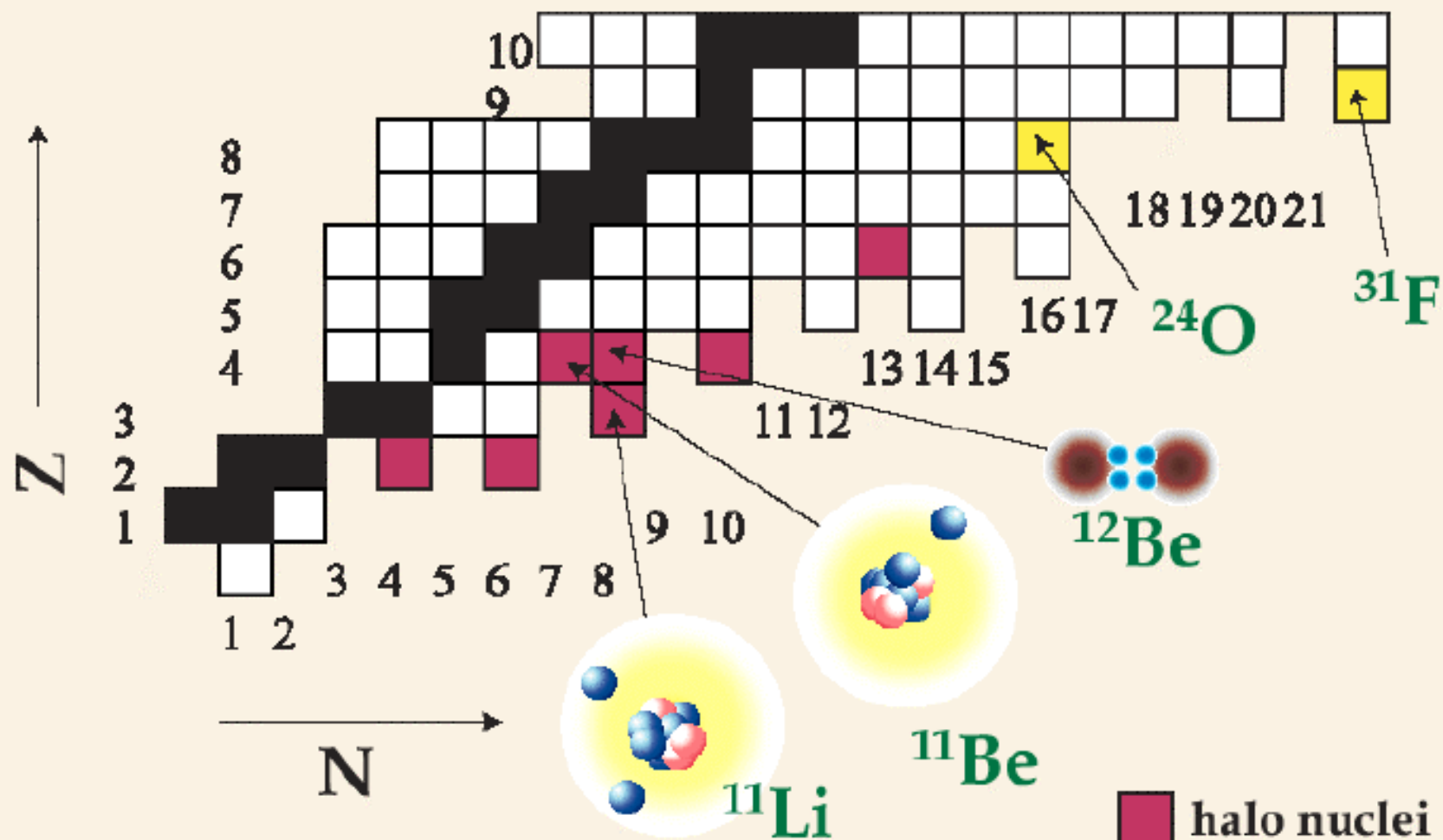
$N^3\text{LO NN}$	NCSM	FY	HH
${}^3\text{H}$	7.852(5)	7.854	7.854
${}^4\text{He}$	25.39(1)	25.37	25.38

- Short-range correlations \Rightarrow effective interaction
- Medium-range correlations \Rightarrow multi- $h\Omega$ model space
- Dependence on
 - size of the model space (N_{max})
 - HO frequency ($h\Omega$)
- Not a variational calculation
- Convergence OK
- NN interaction insufficient to reproduce experiment



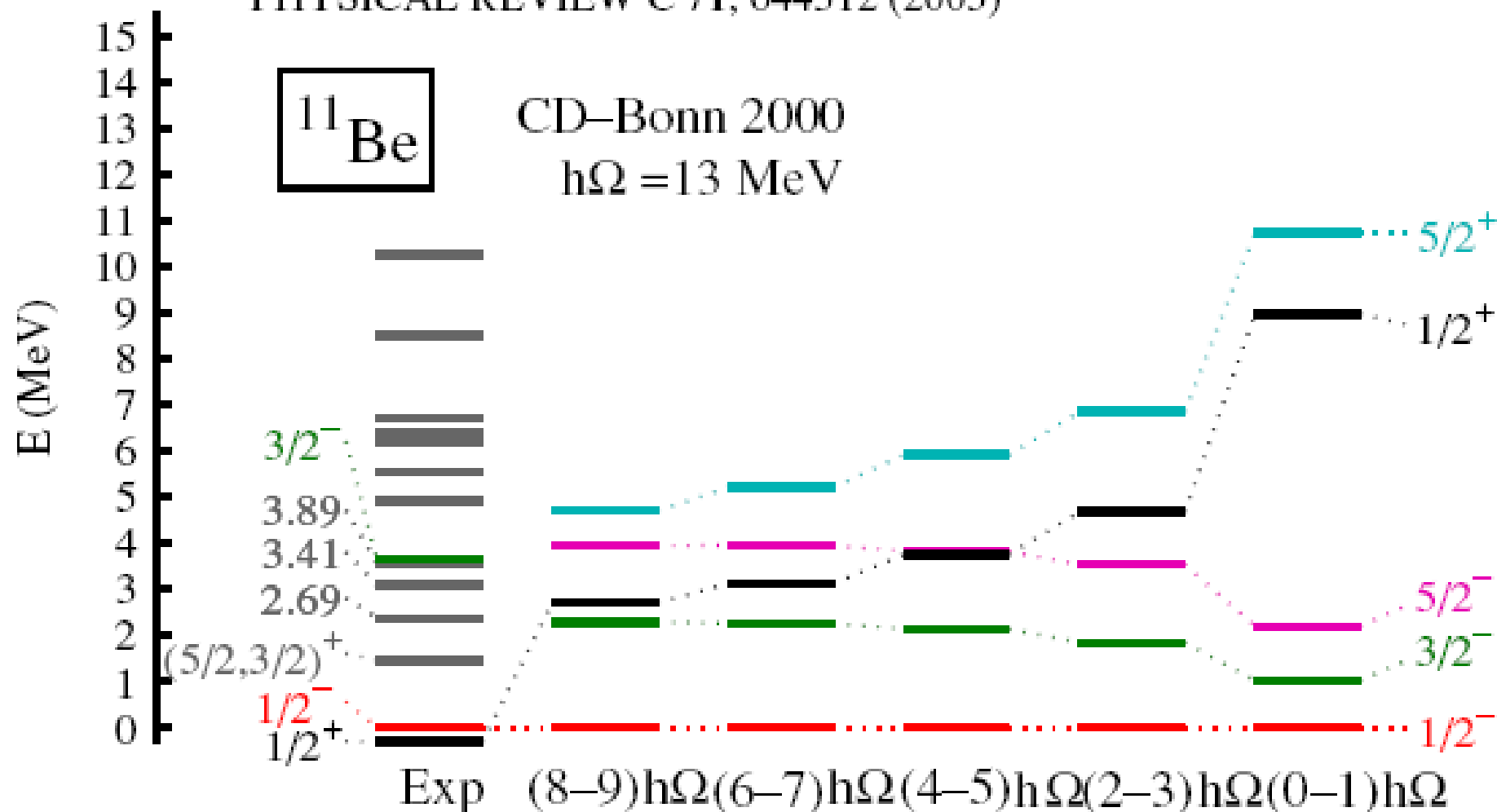


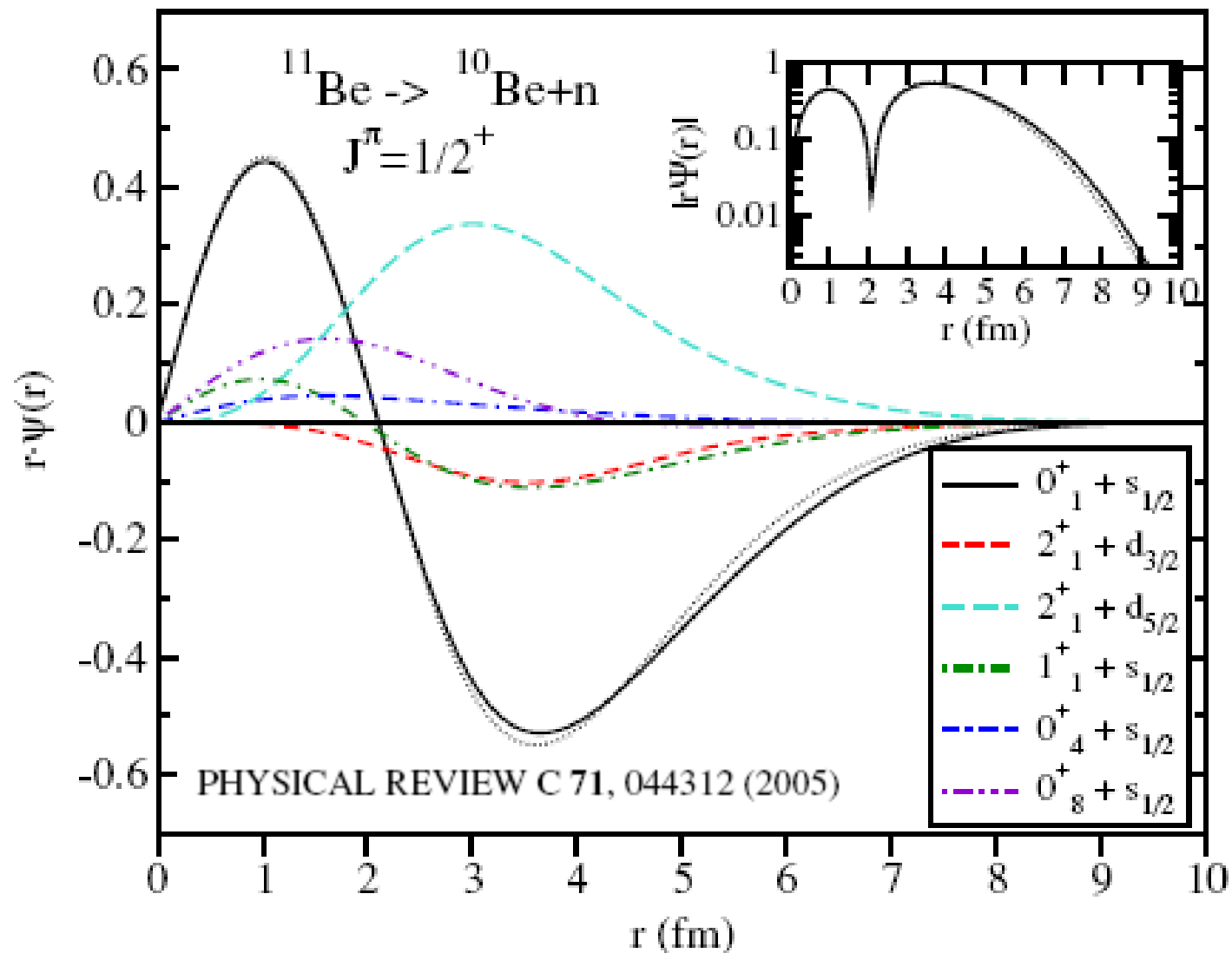
Light drip line nuclei

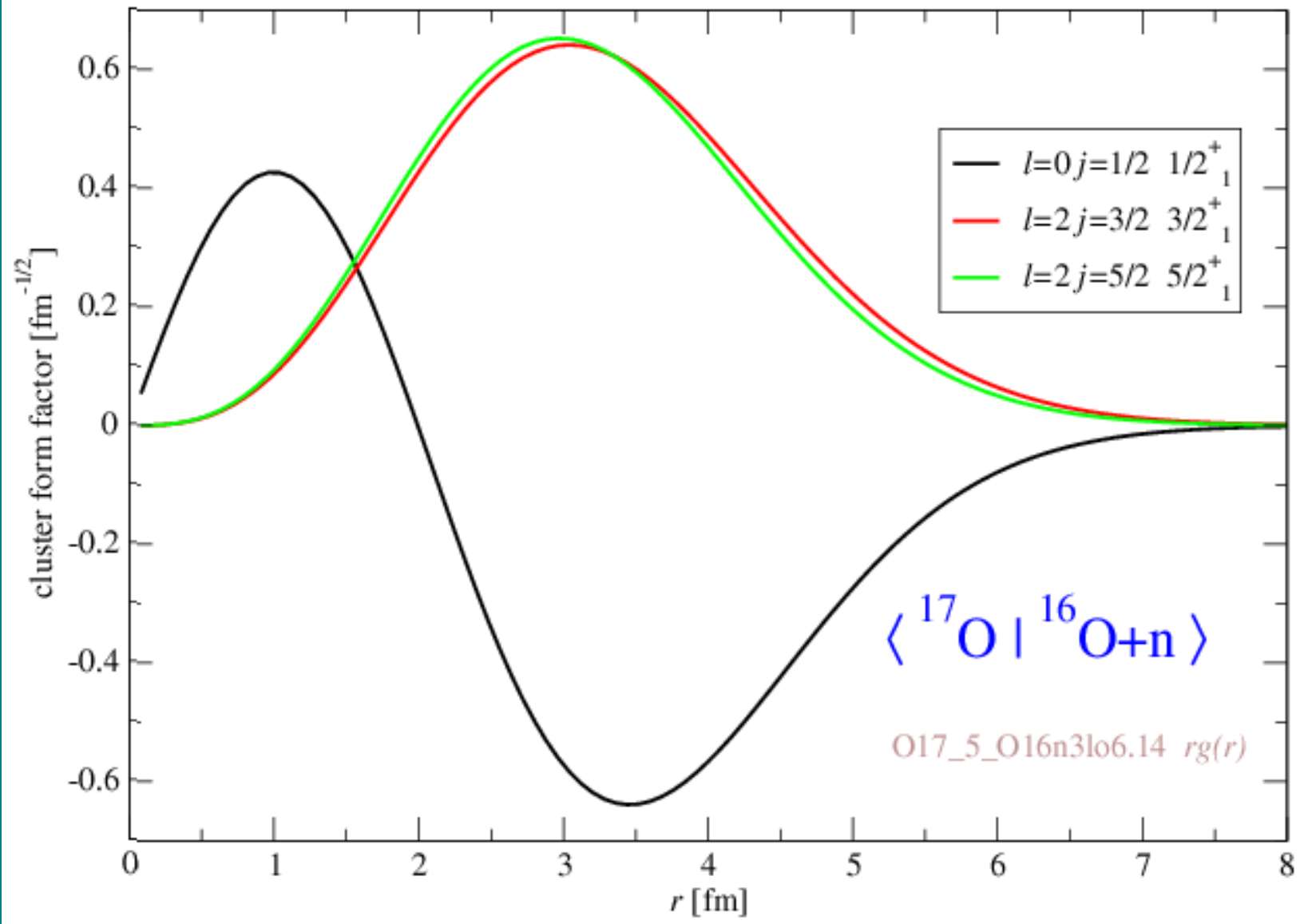


C. FORSSÉN, P. NAVRÁTIL, W. E. ORMAND, AND E. CAURIER

PHYSICAL REVIEW C 71, 044312 (2005)



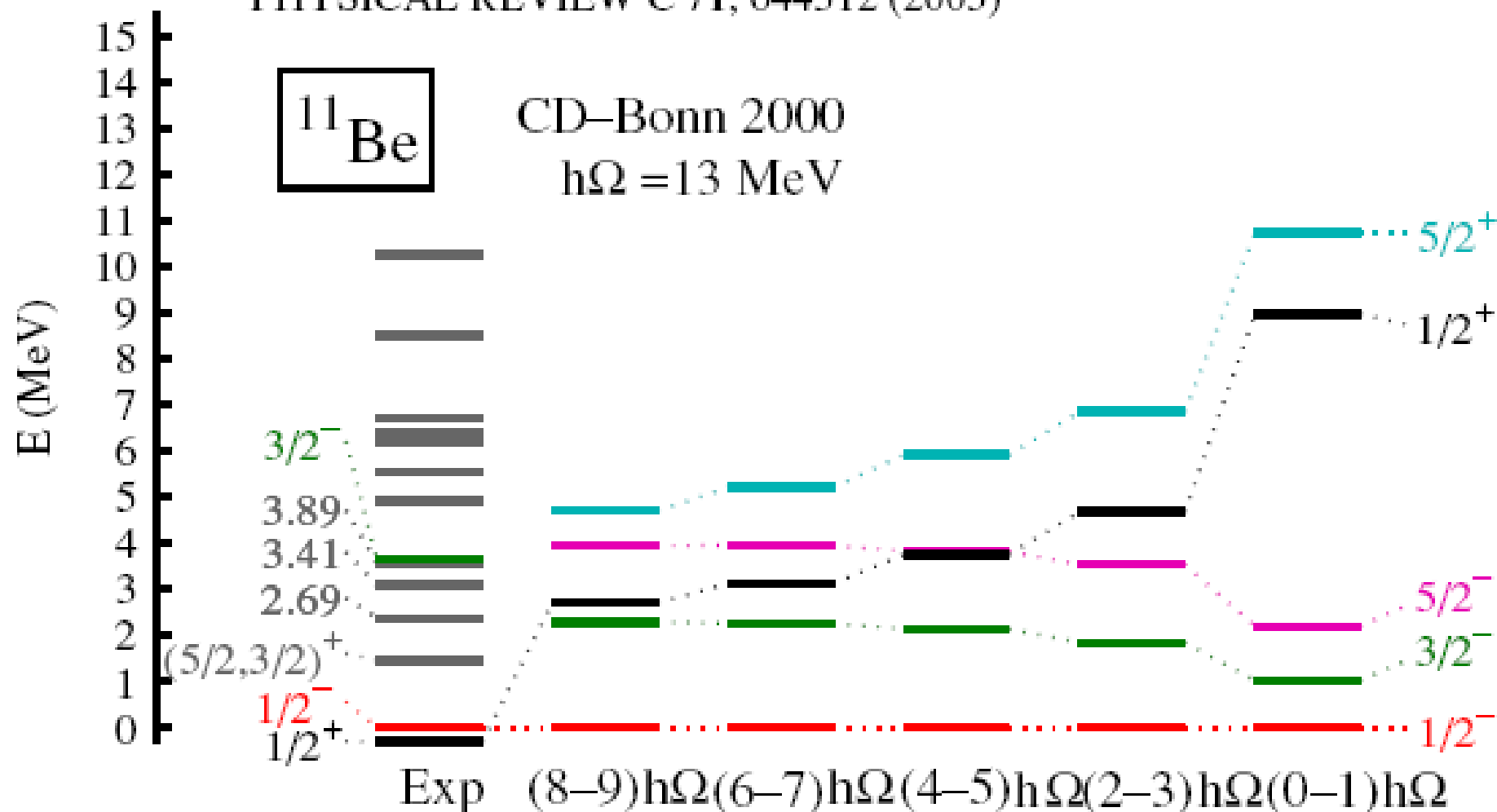


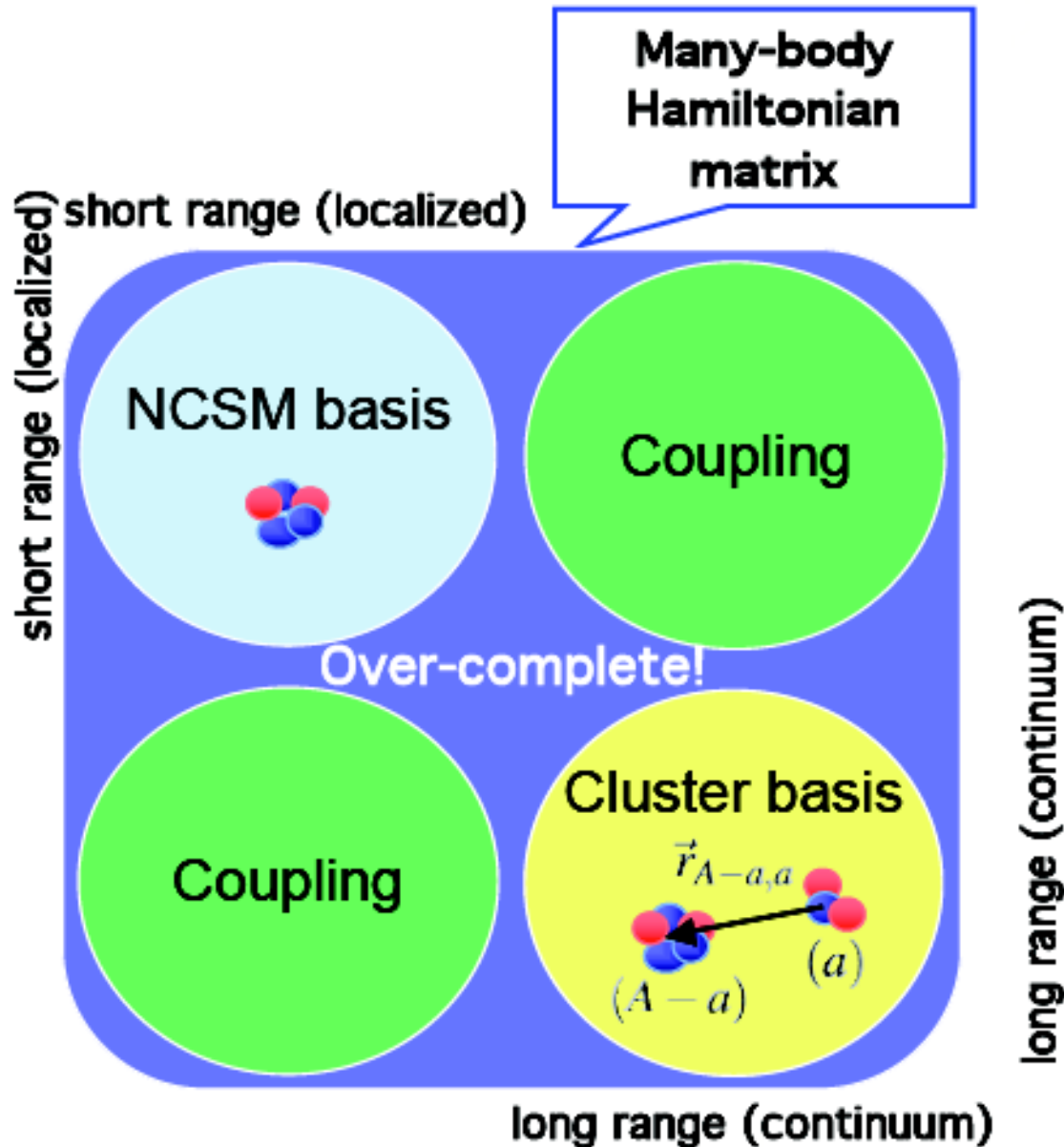


P. Navratil

C. FORSSÉN, P. NAVRÁTIL, W. E. ORMAND, AND E. CAURIER

PHYSICAL REVIEW C 71, 044312 (2005)





P. Navratil and
S. Quaglioni,
INT seminars fall
2007

From $4h\Omega$ NCSM to sd CSM for ^{18}F

Petr Navrátil, Michael Thoresen, and Bruce R. Barrett, Phys. Rev. C 55, R573 (1997)

Step 2: Projection of 18-body $4h\Omega$ Hamiltonian onto $0h\Omega$ 2-body Hamiltonian for ^{18}F

$$H_{\text{eff}}([\text{sd}]^2) = \sum_k |k, N_{\text{max}}=4, A=18 \rangle E_k(A=18) \langle k, N_{\text{max}}=4, A=18|$$

$$|k, N_{\text{max}}=4, A=18 \rangle = U_{k, kp2} |k_{p2}[0h\Omega, 18]\rangle + U_{k, kq2} |k_{q2}[2+4h\Omega, 18]\rangle$$

$$\dim(P_1) = 6\,706\,870 \quad \dim(P_2)=28 \quad \dim(Q_2) = 6\,706\,842$$

$$H_{\text{diag}} = U H U^\dagger$$

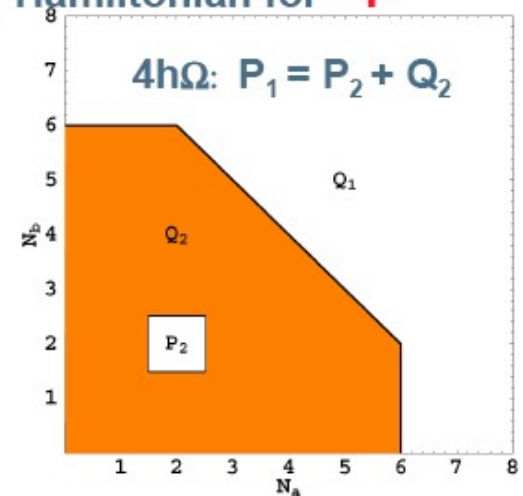
$$E_k(A=18)$$

$$H(N_{\text{max}}=4, A=18)$$

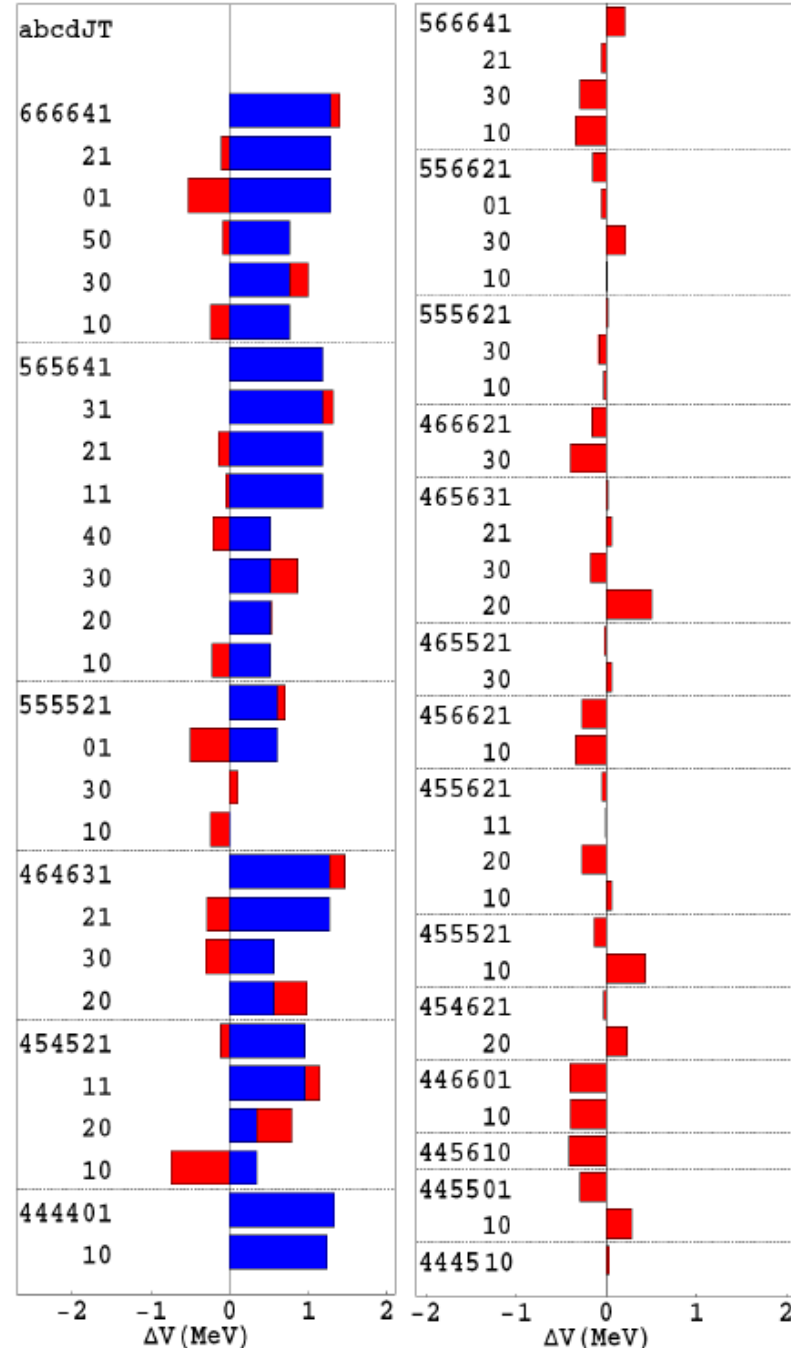
$$U = \begin{pmatrix} U_{PP} & U_{PQ} \\ U_{QP} & U_{QQ} \end{pmatrix}$$

$$H_{\text{eff}} = \frac{U_P^\dagger}{\sqrt{U_P^\dagger U_P}} H_{\text{diag}}^P \frac{U_P}{\sqrt{U_P^\dagger U_P}}$$

$$H_{\text{eff}} = H_{\text{eff}}(1b) + H_{\text{eff}}(2b) + H_{\text{eff}}(3b) + H_{\text{eff}}(4b) + \dots$$



Comparison of 4AV18SD & sd-part of 4AV18



$$\Delta V(abcd;JT) = V_{\text{eff}}(4AV18SD) - V(4AV18) - \Delta V_{\text{mon}}(55, T=0)$$

$$\Delta V(abcd;JT) = \Delta V_{\text{mon}}(ab, T) + \Delta V_{\text{res}}(abcd;JT) - \Delta V_{\text{mon}}(55, T=0)$$

Next steps

Testing different methods to derive interactions directly:
4AV18SD is exact mapping of the **4AV18**

Testing **4AV18SD** interaction for other sd-shell nuclei

4 - $s_{1/2}$

5 - $d_{3/2}$

6 - $d_{5/2}$



Exact solution for ω : 3-body cluster level

Let E_k and $|k\rangle$ be the eigensolutions of H_3^Ω ,

$$H_3^\Omega |k\rangle = E_k |k\rangle$$

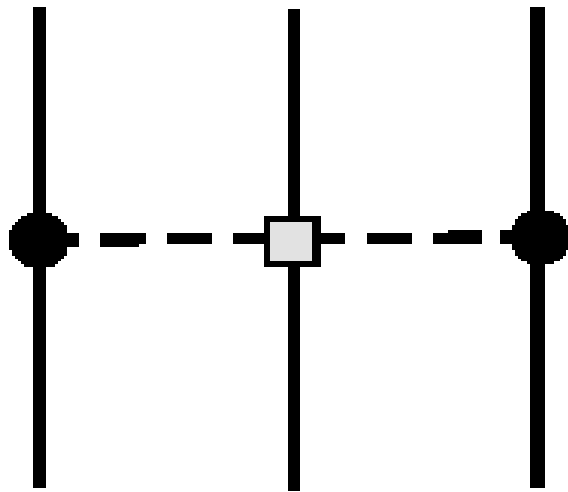
Let $|\alpha_P\rangle$ and $|\alpha_Q\rangle$ be HO states belonging to the model space P and the excluded space Q , respectively. **Then ω is given by:**

$$\langle \alpha_Q | k \rangle = \sum_{\alpha_P} \langle \alpha_Q | \omega | \alpha_P \rangle \langle \alpha_P | k \rangle$$

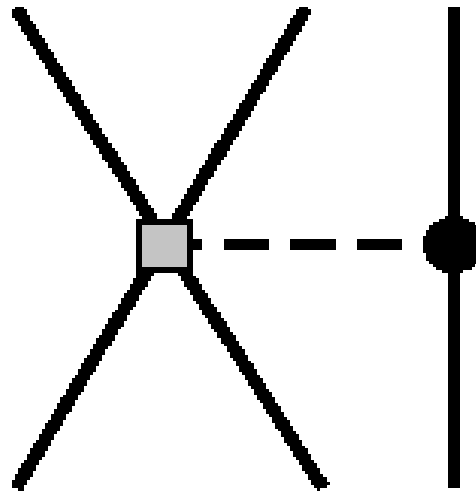
or

$$\langle \alpha_Q | \omega | \alpha_P \rangle = \sum_{k \in K} \langle \alpha_Q | k \rangle \langle \tilde{k} | \alpha_P \rangle$$

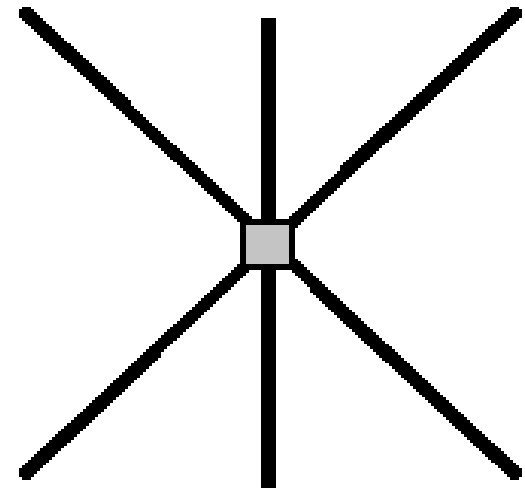
Topology of the leading chiral 3NF



2π -exchange part
(c-terms)

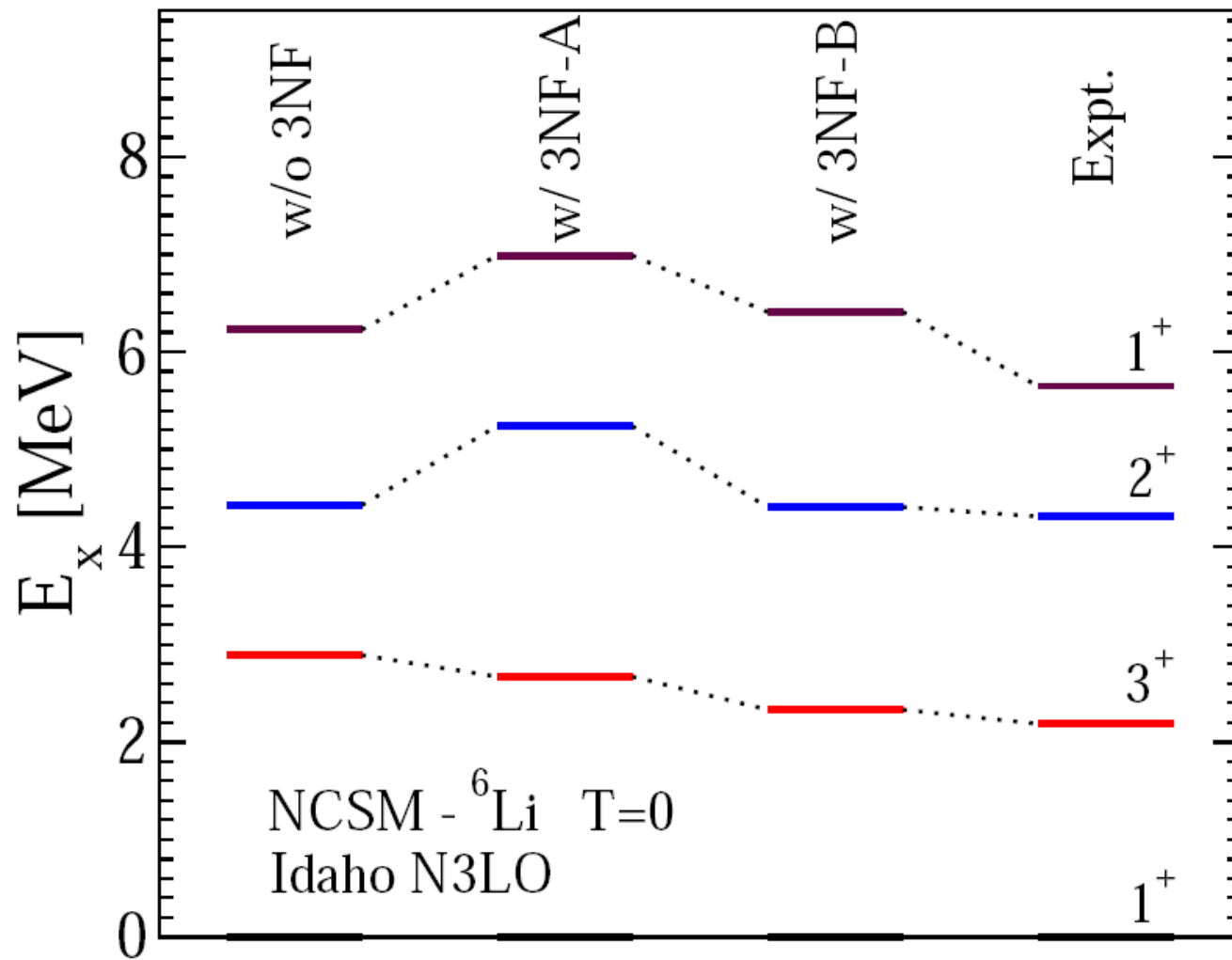


1π -exchange/contact
part (D-term)

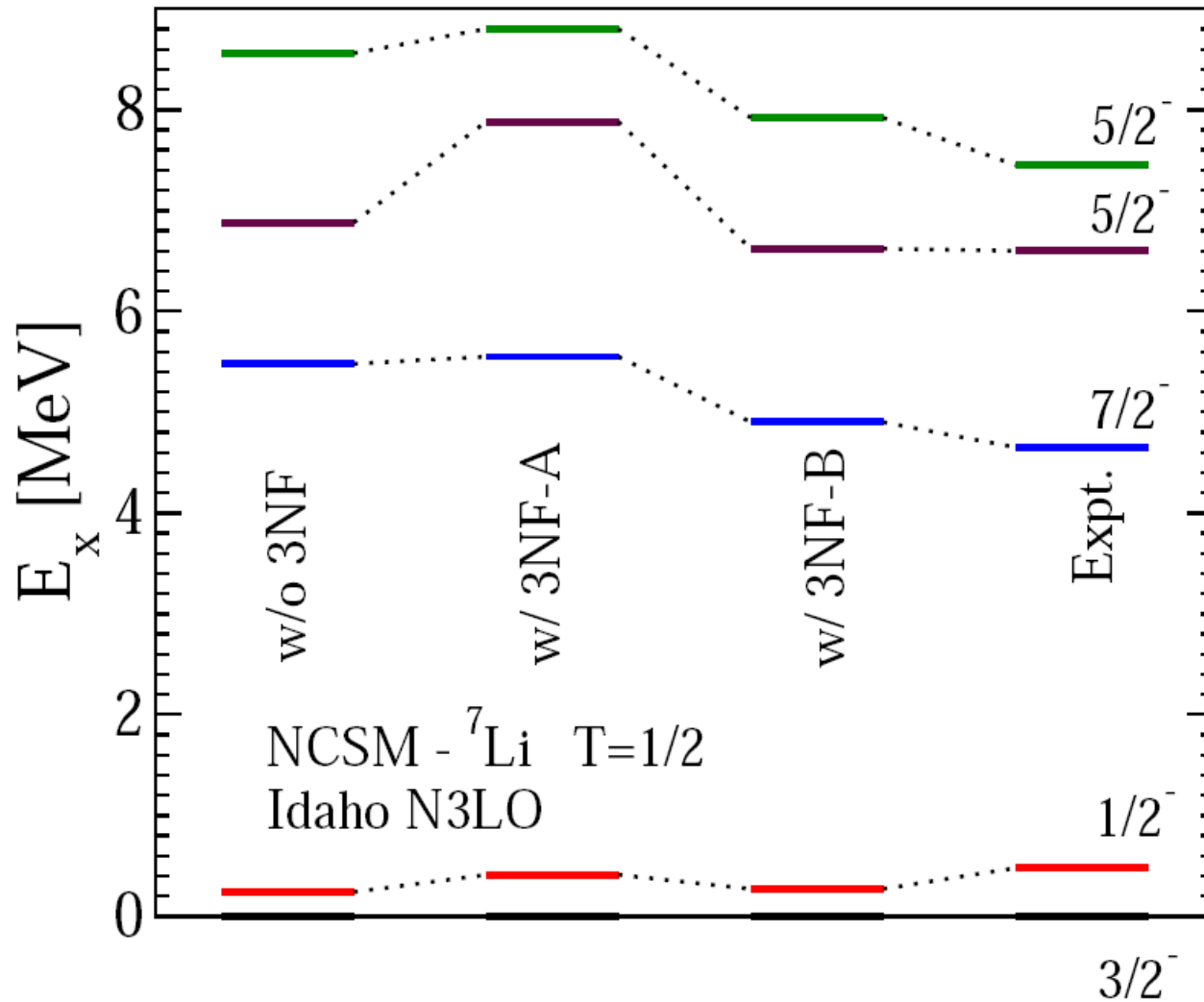


Pure contact part
(E-term)

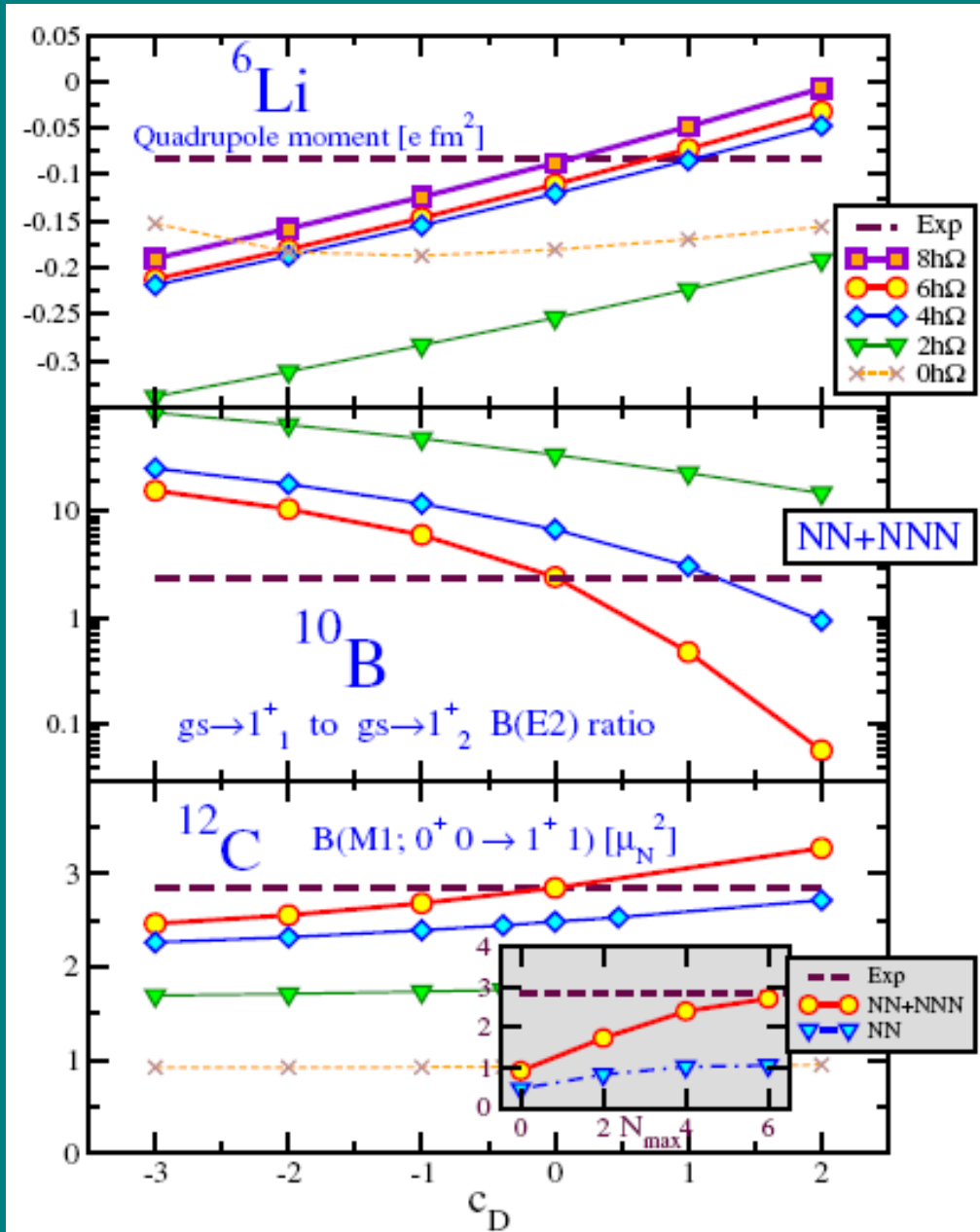
A. Nogga, *et al.*, NPA 737, 236 (2004)



A. Nogga, *et al.*, nucl-th/0511082 (2005)

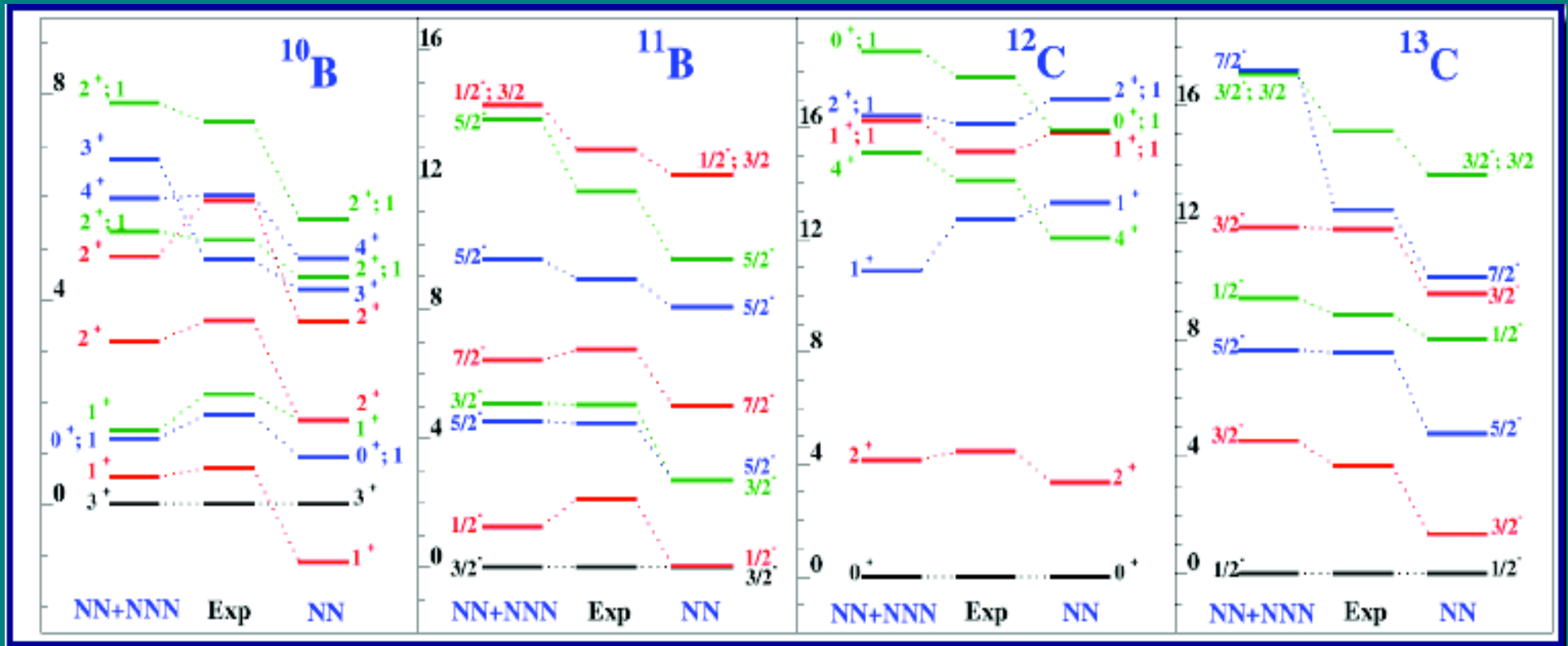


P. Navratil, et al. PRL 99, 042501 (2007)



P. Navratil, et al., Phys. Rev. Letters 99, 042501 (2007)

N3LO Interaction: D.R. Entem, et al., Phys. Rev. C 86, 041001 (2007)



COLLABORATORS

Alexander F. Lisetskiy, University of Arizona

Michael Kruse, University of Arizona

Sybil de Clark, University of Arizona

Erdal Dikmen, Suleyman Demire University

Ionel Stetcu, Los Alamos National Laboratory

Petr Navratil, Lawrence Livermore National Laboratory

James P. Vary, Iowa State University