

# **Standard Shell-Model Effective Interactions from the No Core Shell Model calculations**

**Alexander Lisetskiy**

**Bruce Barrett**

**Michael Kruse**

**Erdal Dikmen**

**Petr Navratil**

**James Vary**

# Motivation

**Goal: Describe nuclei as systems of nucleons  
interacting via realistic forces**

How to choose: Appropriate Finite Shell Model Space ???

Corresponding Effective Interaction & Operators ???

Way A: No Core Shell Model – consistent procedure to derive effective interaction  
from bare realistic NN & NNN potentials for the requested space and nucleus

**Problem: intractable spaces are needed for  $A > 12$  to get converged result**

Way B: Traditional Core Shell Model – powerful phenomenologically renormalized  
G-matrix type interactions :: USD(05), GXPF(1,2) ::  $A < 132$

**Problem: local, links to fundamental interactions are lost**

Way C: use NCSM Formalism (Way A) to get tools for CSM (Way B)



# No Core Shell Model

Starting Hamiltonian

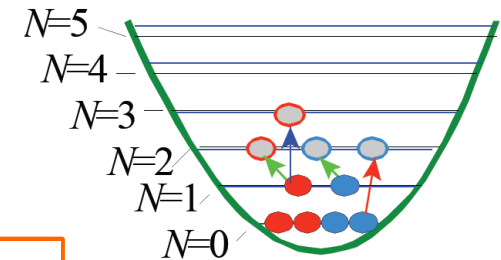
$$H = \sum_{i=1}^A \frac{\vec{p}_i^2}{2m} + \sum_{i<j}^A V_{NN}(\vec{r}_i - \vec{r}_j) \left( + \sum_{i<j<k}^A V_{ijk}^{3b} \right)$$

Realistic NN and NNN potentials

Coordinate space - Argonne V18, AV18', NNN Tucson - Melbourne  
 Momentum space - CD-Bonn, chiral N<sup>3</sup>LO, NNN chiral N<sup>2</sup>LO

Binding center-of-mass  
 HO potential (Lipkin 1958)

$$\frac{1}{2} Am\Omega^2 \vec{R}^2 = \sum_{i=1}^A \frac{1}{2} m\Omega^2 \vec{r}_i^2 - \sum_{i<j}^A \frac{m\Omega^2}{2A} (\vec{r}_i - \vec{r}_j)^2$$



$$H^\Omega = \sum_{i=1}^A \left[ \frac{\vec{p}_i^2}{2m} + \frac{1}{2} m\Omega^2 \vec{r}_i^2 \right] + \sum_{i<j}^A \left[ V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A} (\vec{r}_i - \vec{r}_j)^2 \right]$$

$\langle \text{HO} | V_{NN}(\Omega, A) | \text{HO} \rangle$

Two-body cluster approximation

$$H_2^\Omega = \sum_{i=1}^2 \left[ \frac{\vec{p}_i^2}{2m} + \frac{1}{2} m\Omega^2 \vec{r}_i^2 \right] + \sum_{i<j}^2 \left[ V_{NN}(\vec{r}_i - \vec{r}_j) - \frac{m\Omega^2}{2A} (\vec{r}_i - \vec{r}_j)^2 \right]$$

# NCSM & effective Hamiltonian

Solving

$$H_2^\Omega \Psi_2 = E \Psi_2$$

in “infinite space”  $2n+1 = 350$   
relative coordinates

Unitary Transformation using  $\Psi_2$

$$H_{\text{eff}}^\Omega = \frac{(P + P\omega^\dagger Q)}{\sqrt{P + \omega^\dagger \omega}} H_2^\Omega \frac{(Q\omega P + P)}{\sqrt{P + \omega^\dagger \omega}}$$

Criteria:

$$H: E_1, E_2, E_3, \dots, E_{d_p}, \dots, E_\infty$$

$$H_{\text{eff}}: E_1, E_2, E_3, \dots, E_{d_p}$$

$P$  – model space projector operator ,  $P+Q = 1$

To calculate matrix elements of  $\omega$  operator  $\Psi_2 = |k\rangle$  is needed

$$P|k\rangle = \sum_{p=1}^{d_P} A_{pk} |\alpha_p\rangle \quad Q|k\rangle = \sum_{q=d_P+1}^{\text{full}} B_{qk} |\alpha_q\rangle$$

$$\langle \alpha_q | \omega | \alpha_p \rangle = \sum_{k=1}^{d_P} B_{qk} [A^{-1}]_{kp}$$

Two ways of convergence:

- For  $P \rightarrow 1$   $H_{\text{eff}}^{(n)} \rightarrow H$
- For  $n \rightarrow A$  and fixed  $P$ :  $H_{\text{eff}}^{(n)} \rightarrow F$



# NCSM & effective Hamiltonian

$$H_{\text{diag}} = U H U^\dagger$$

$$H_{\text{diag}} = \begin{pmatrix} E_1 & 0 & \dots & 0 \\ 0 & E_2 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & E_{\text{max}} \end{pmatrix} \quad U = \begin{pmatrix} a_{1,1} & a_{1,2} & \dots & a_{1,\text{max}} \\ a_{2,1} & a_{2,2} & \dots & a_{2,\text{max}} \\ \dots & \dots & \dots & \dots \\ a_{\text{max},1} & a_{\text{max},2} & a_{\text{max},3} & a_{\text{max},\text{max}} \end{pmatrix}$$

$$H_{\text{diag}}^P = \begin{pmatrix} E_1 & 0 & \dots & 0 \\ 0 & E_2 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & E_{p_{\text{max}}} \end{pmatrix}$$

$$U_p = \begin{pmatrix} a_{1,1} & a_{1,2} & \dots & a_{1,p_{\text{max}}} \\ a_{2,1} & a_{2,2} & \dots & a_{2,p_{\text{max}}} \\ \dots & \dots & \dots & \dots \\ a_{p_{\text{max}},1} & a_{p_{\text{max}},2} & a_{p_{\text{max}},3} & a_{p_{\text{max}},p_{\text{max}}} \end{pmatrix}$$

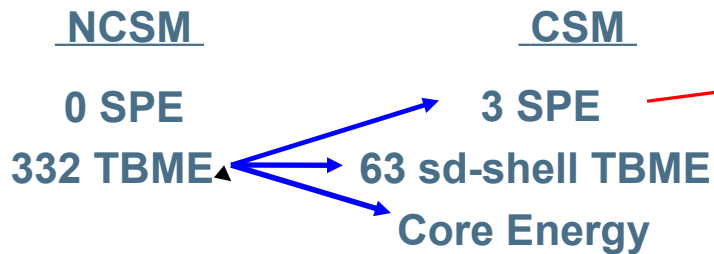
Effective Hamiltonian can be constructed

$$H_{\text{eff}} = \frac{U_p^\dagger}{\sqrt{U_p^\dagger U_p}} H_{\text{diag}}^P \frac{U_p}{\sqrt{U_p^\dagger U_p}}$$

# From NCSM to CSM in $0h\Omega$ space

**NCSM** : effective interaction is constructed for  $N_{\max} h\Omega$  space  
with  $\Delta N = 0, 2, \dots, N_{\max}$  cross shell excitations

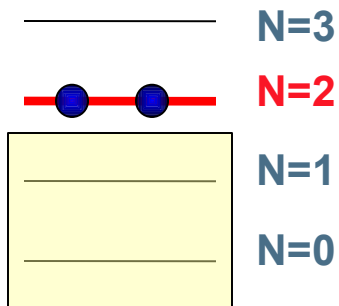
Relation between NCSM and CSM effective interactions  $N_{\max} = 0$  for  $^{18}\text{F}$



$$\epsilon_j = \sum_{j_{oc}} (2j_{oc} + 1) \frac{V_{\text{mon}}^{T=0}(jj_{oc}) + 3V_{\text{mon}}^{T=1}(jj_{oc})}{4}$$

$$V_{\text{mon}}^T(jj_{oc}) = \frac{\sum_J (2J + 1) \langle jj_{oc}; JT | V_{\text{eff}} | jj_{oc}; JT \rangle}{\sum_J (2J + 1)}$$

$$E_{\text{core}} = \sum_{j_{oc} < j'_{oc}, J, T} (2J + 1)(2T + 1) \langle j_{oc} j'_{oc}; JT | V | j_{oc} j'_{oc}; JT \rangle$$



1-step: UT of bare H to  $0h\Omega$   
2-step: UT of  $0h\Omega$  H to sd H

The same set of E



# From $4h\Omega$ NCSM to sd CSM for $^{18}\text{F}$

Petr Navrátil, Michael Thoresen, and Bruce R. Barrett, Phys. Rev. C 55, R573 (1997)

Step 2: Projection of 18-body  $4h\Omega$  Hamiltonian onto  $0h\Omega$  2-body Hamiltonian for  $^{18}\text{F}$

$$H_{\text{eff}}([sd]^2) = \sum_k |k, N_{\text{max}}=4, A=18 \rangle E_k(A=18) \langle k, N_{\text{max}}=4, A=18|$$

$$|k, N_{\text{max}}=4, A=18 \rangle = U_{k, kp2} |k_{p2}[0h\Omega, 18]\rangle + U_{k, kq2} |k_{q2}[2+4h\Omega, 18]\rangle$$

$$\dim(P_1) = 6\,706\,870 \quad \dim(P_2) = 28 \quad \dim(Q_2) = 6\,706\,842$$

$$H_{\text{diag}} = U H U^\dagger$$

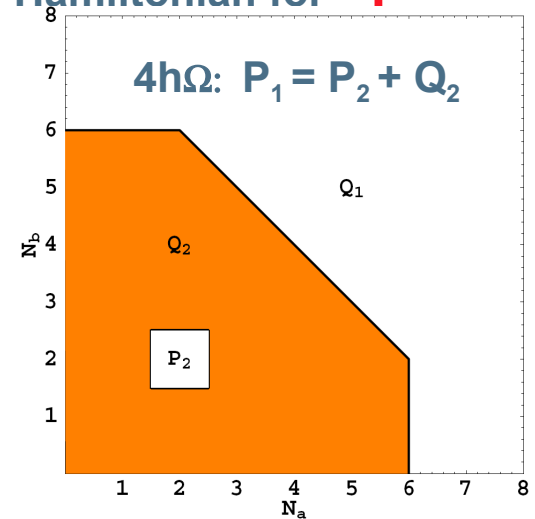
$$E_k(A=18)$$

$$H(N_{\text{max}}=4, A=18)$$

$$U = \begin{pmatrix} U_{PP} & U_{PQ} \\ U_{QP} & U_{QQ} \end{pmatrix}$$

$$H_{\text{eff}} = \frac{U_P^\dagger}{\sqrt{U_P^\dagger U_P}} H_{\text{diag}}^P \frac{U_P}{\sqrt{U_P^\dagger U_P}}$$

$$H_{\text{eff}} = H_{\text{eff}}(1b) + H_{\text{eff}}(2b) + H_{\text{eff}}(3b) + H_{\text{eff}}(4b) + \dots$$





# From $4h\Omega$ NCSM to sd CSM for $^{18}\text{F}$

Step 2: Projection of 18-body  $4h\Omega$  Hamiltonian onto  $0h\Omega$  2-body Hamiltonian for  $^{18}\text{F}$

$$H_{\text{eff}}([\text{sd}]^2) = \sum_k |k, N_{\text{max}}=4, A=18 \rangle E_k(A=18) \langle k, N_{\text{max}}=4, A=18|$$

$$|k, N_{\text{max}}=4, A=18 \rangle = U_{k, kp2} |k_{p2}[0h\Omega, 18]\rangle + U_{k, kq2} |k_{q2}[2+4h\Omega, 18]\rangle$$

$$\dim(P_1) = 6\,706\,870 \quad \dim(P_2) = 28 \quad \dim(Q_2) = 6\,706\,842$$

$$H_{\text{diag}} = U H U^\dagger$$

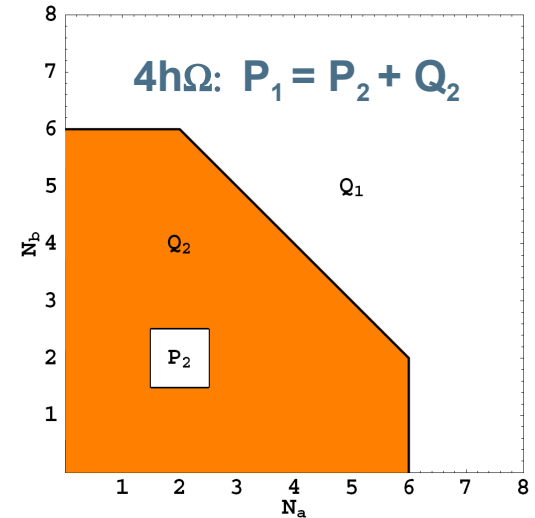
$$E_k(A=18)$$

$$H(N_{\text{max}}=4, A=18)$$

$$U = \begin{pmatrix} U_{PP} & U_{PQ} \\ U_{QP} & U_{QQ} \end{pmatrix}$$

$$H_{\text{eff}} = \frac{U_P^\dagger}{\sqrt{U_P^\dagger U_P}} H_{\text{diag}}^P \frac{U_P}{\sqrt{U_P^\dagger U_P}}$$

$$H_{\text{eff}} = H_{\text{eff}}(1b) + H_{\text{eff}}(2b)$$



# Separation of one-body & two-body parts

Step 3: Projection of 17-body  $4h\Omega$  Hamiltonian onto  $0h\Omega$  2-body Hamiltonian for  $^{17}\text{F}$

17-body H constructed using  $4AV18$  for  $A=18$  !

$$H_{\text{eff}}(j;17) = E_{\text{core}}(16) + H_{\text{eff}}(j;1b)$$

$$\varepsilon(j) = H_{\text{eff}}(j;1b) - H_{\text{eff}}(s_{1/2})$$

$s_{1/2}$	0.000
$d_{5/2}$	0.402
$d_{3/2}$	8.310

$$H_{\text{eff}}(s_{1/2}) = E_g(^{17}\text{F};\text{USD})$$

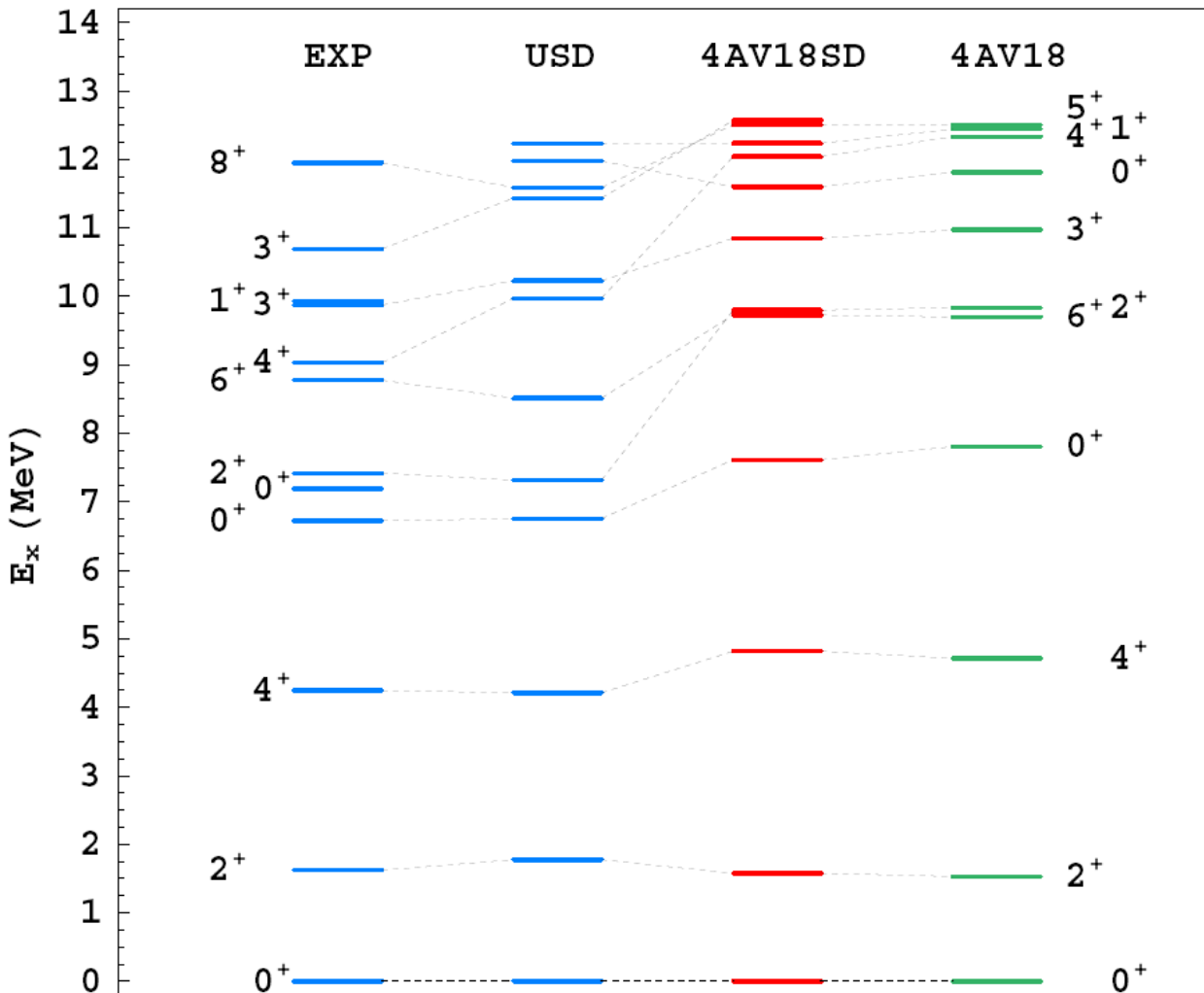
$$E_{\text{core}}(16) = E_g(^{18}\text{F};4AV18) - E_g(^{18}\text{F};\text{USD})$$

$$V_{\text{eff}}(abcd;JT) = H_{\text{eff}}(A=18) - \varepsilon(a) - \varepsilon(b)$$





# CSM(4AV18SD) & NCSM(4AV18) results for $^{20}\text{Ne}$



## Dimensions: $^{20}\text{Ne}$

**sd-space: 640**  
**2h $\Omega$ -space: 542 072**  
**4h $\Omega$ -space: 74 668 421**

## Dimensions: $^{22}\text{Ne}$

**sd-space: 4 206**  
**2h $\Omega$ -space: 3 108 957**  
**4h $\Omega$ -space: 415 227 419**  
 ???

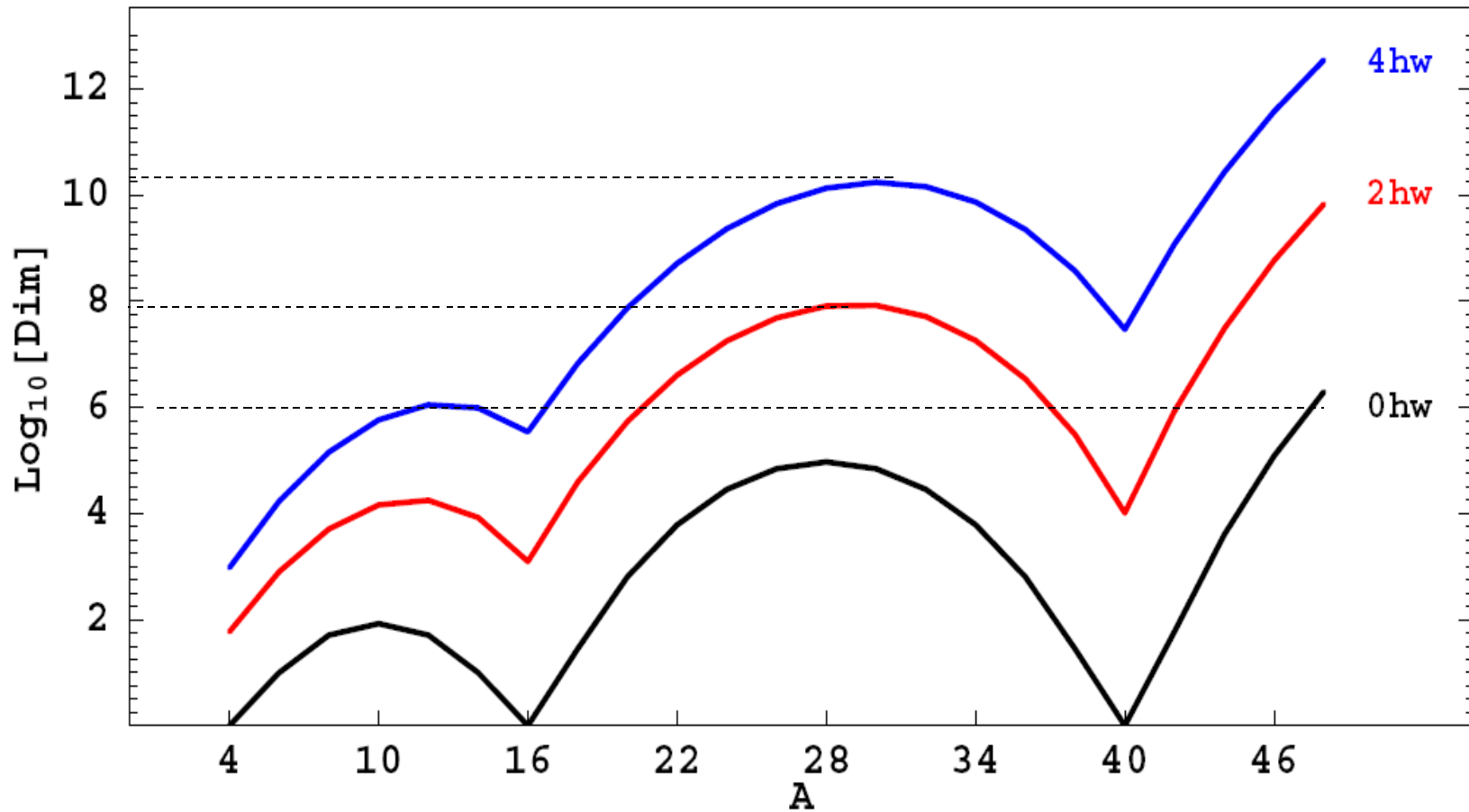
## Dimensions: $^{24}\text{Ne}$

**4h $\Omega$ -space: 1 000 618 679**  
 ???

**Test in 2h $\Omega$  space is possible for many cases**



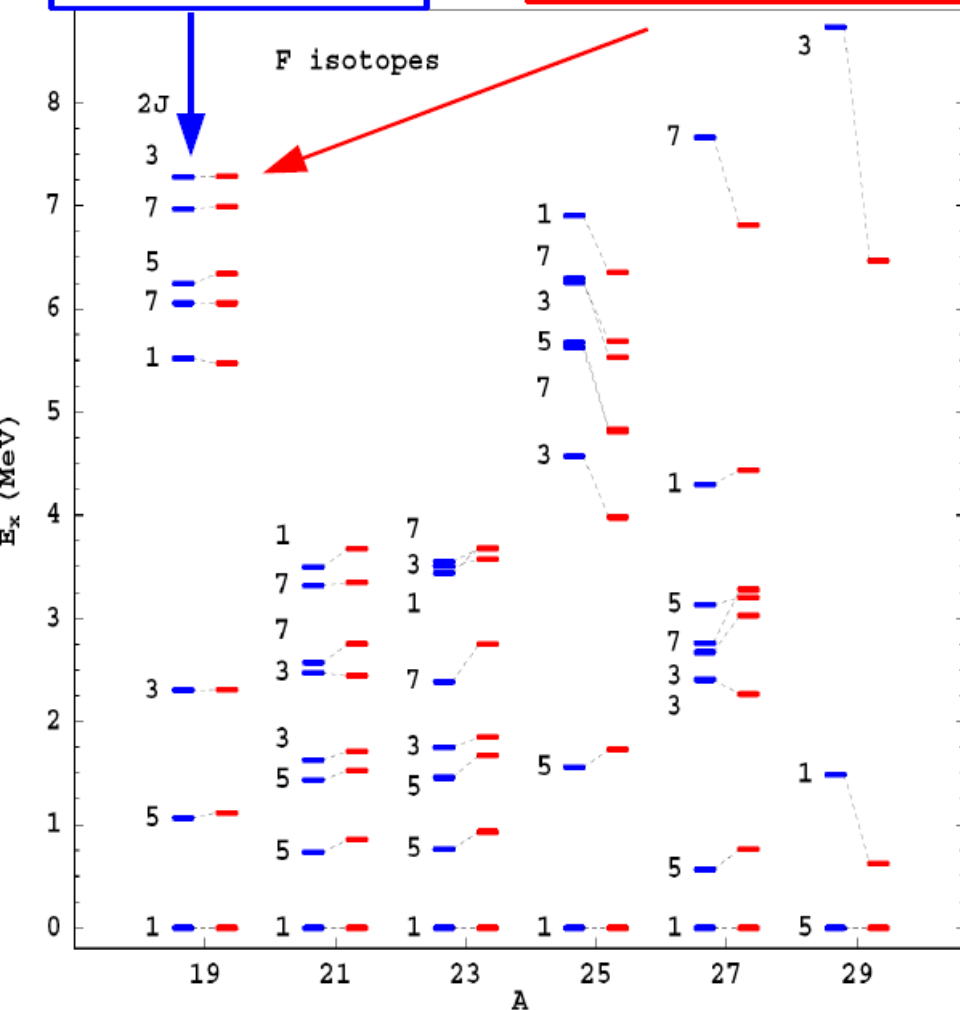
# Space dimension



# Testing effective 2AV18SD interaction for Fluorine isotopes in 2h $\Omega$ space

2h $\Omega$  NCSM with 2AV18 interaction

sd CSM with 2AV18SD interaction for A=18



Maximum dimension

$^{23}\text{F}$

sd-space: 1 469

2h $\Omega$ -space: 1 725 000

Sources of difference:

- a) many-body correlations
- b) mass dependence

NCSM 2AV18 is A-dependent

CSM 2AV18SD is for A=18

Source b) can be eliminated by deriving 2AV18SD interaction for specific mass A







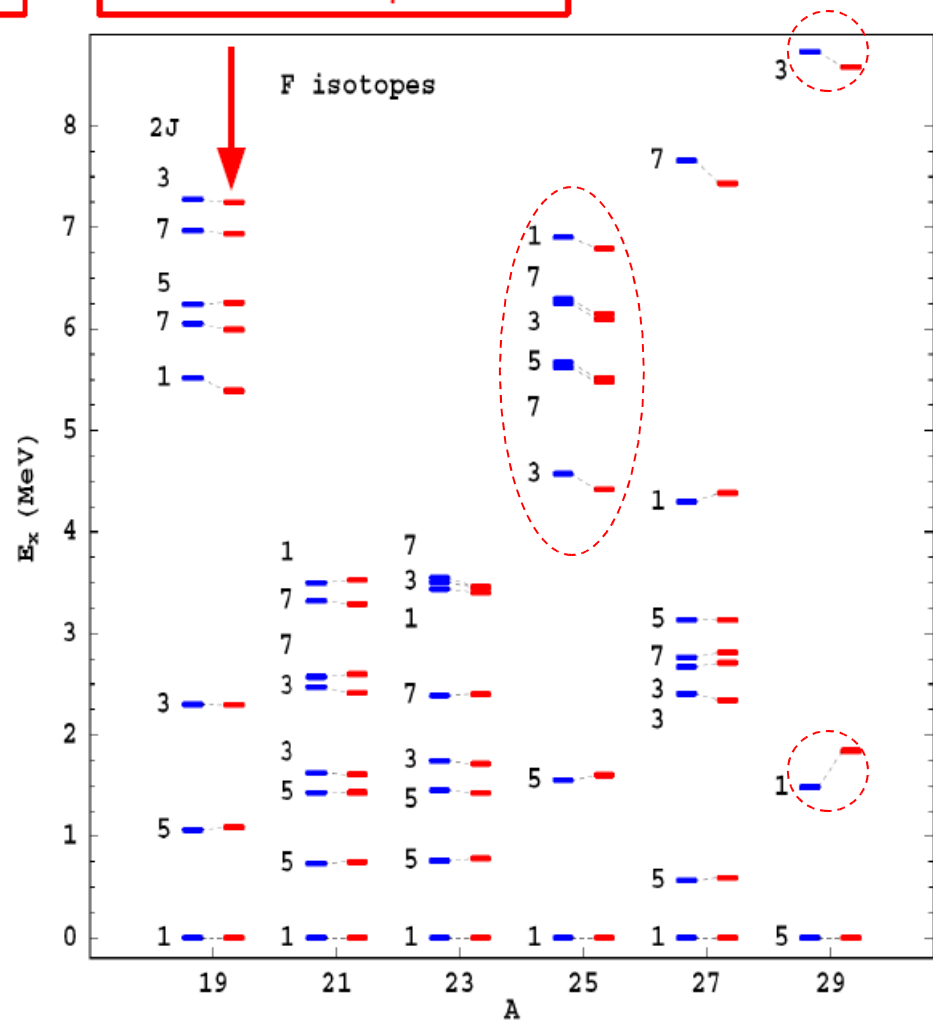
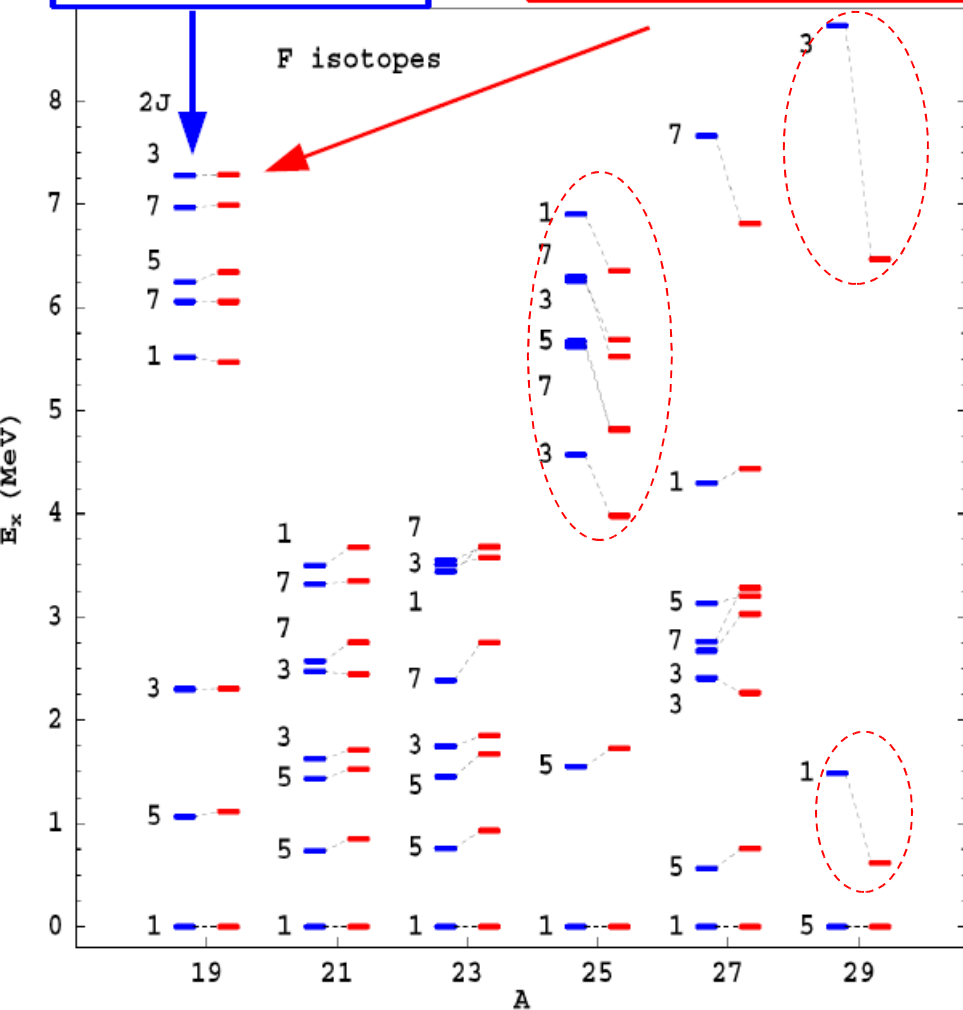


# Testing effective 2AV18SD interaction for Fluorine isotopes in 2h $\Omega$ space

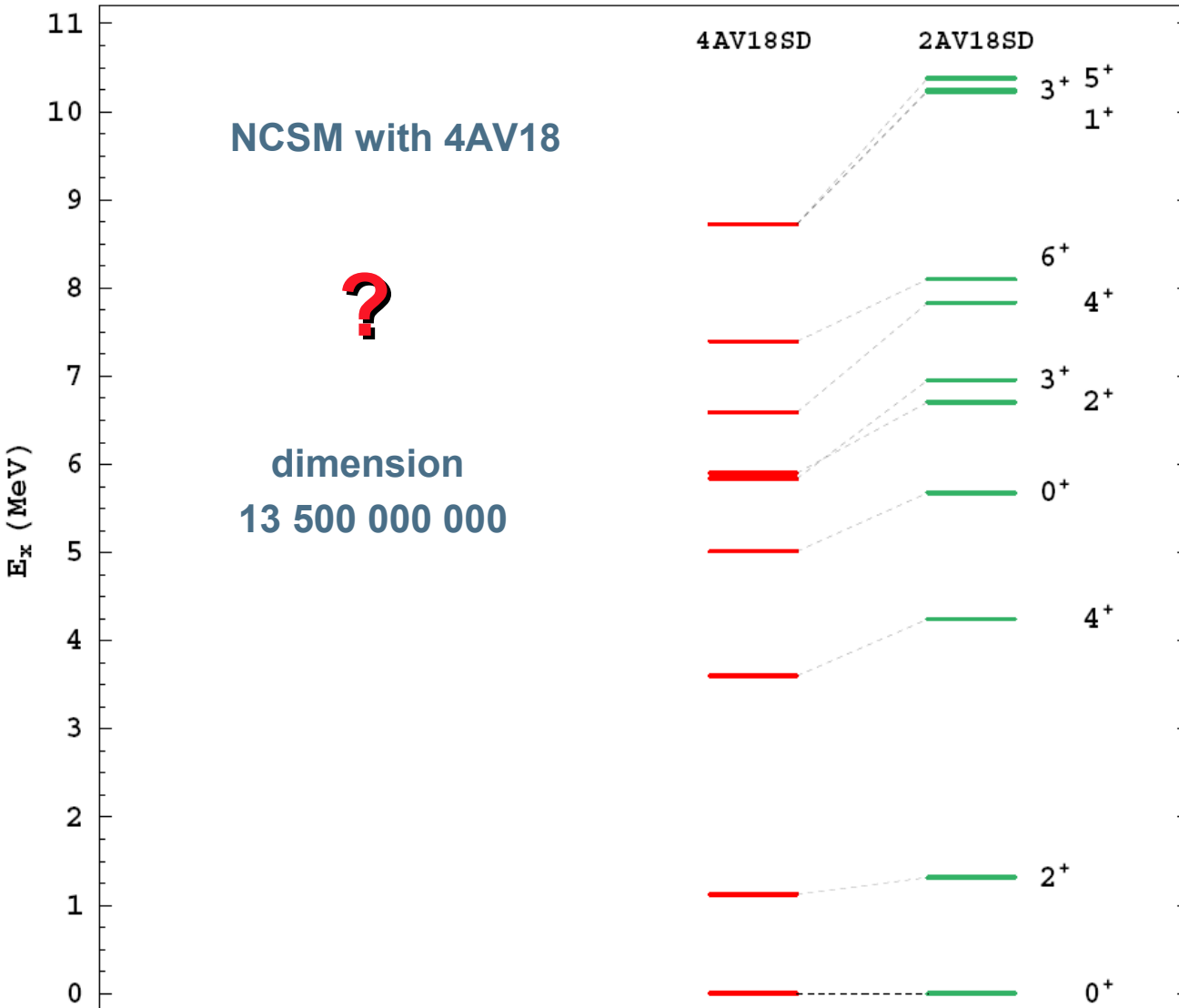
2h $\Omega$  NCSM with 2AV18 interaction

sd CSM with 2AV18SD interaction for A=18

sd CSM with 2AV18SD interaction for specific A



# CSM(2AV18SD & 4AV18SD) results for $^{28}\text{Si}$



# From $2h\Omega$ NCSM to pf CSM for $^{42}\text{Sc}$

Projection of 42-body  $2h\Omega$  Hamiltonian onto  $0h\Omega$  2-body Hamiltonian for  $^{42}\text{Sc}$

$$H_{\text{eff}}([\text{pf}]^2) = \sum_k |k, N_{\text{max}}=2, A=42 \rangle E_k(A=42) \langle k, N_{\text{max}}=2, A=42|$$

$$|k, N_{\text{max}}=4, A=42 \rangle = U_{k, kp2} |k_{p2}[0h\Omega, 42]\rangle + U_{k, kq2} |k_{q2}[2h\Omega, 42]\rangle$$

$$2h\Omega: P_1 = P_2 + Q_2$$

$$\dim(P_1) = 856\,722 \quad \dim(P_2) = 60 \quad \dim(Q_2) = 856\,662$$

$$H_{\text{diag}} = U H U^\dagger$$

$$U = \begin{pmatrix} U_{PP} & U_{PQ} \\ U_{QP} & U_{QQ} \end{pmatrix}$$

$$E_k(A=42)$$

$$H(N_{\text{max}}=2, A=42)$$

$$H_{\text{eff}} = \frac{U_P^\dagger}{\sqrt{U_P^\dagger U_P}} H_{\text{diag}}^P \frac{U_P}{\sqrt{U_P^\dagger U_P}}$$

$$H_{\text{eff}} = H_{\text{eff}}(1b) + H_{\text{eff}}(2b) + H_{\text{eff}}(3b) + H_{\text{eff}}(4b) + \dots$$

# Separation of one-body & two-body parts

Step 3: Projection of 41-body  $2h\Omega$  Hamiltonian onto  $0h\Omega$  2-body Hamiltonian for  $^{41}\text{Sc}$

41-body H constructed using  $2AV18$  for  $A=42$  !

$$H_{\text{eff}}(j;17) = E_{\text{core}}(16) + H_{\text{eff}}(p_{3/2}) + \varepsilon(j)$$

$$\varepsilon(j) = H_{\text{eff}}(j;1b) - H_{\text{eff}}(p_{3/2})$$

$p_{3/2}$	0.000
$f_{7/2}$	1.676
$p_{1/2}$	1.820
$f_{5/2}$	6.576

$$H_{\text{eff}}(p_{3/2}) = E_g(^{41}\text{Sc};\text{GXPF1})$$

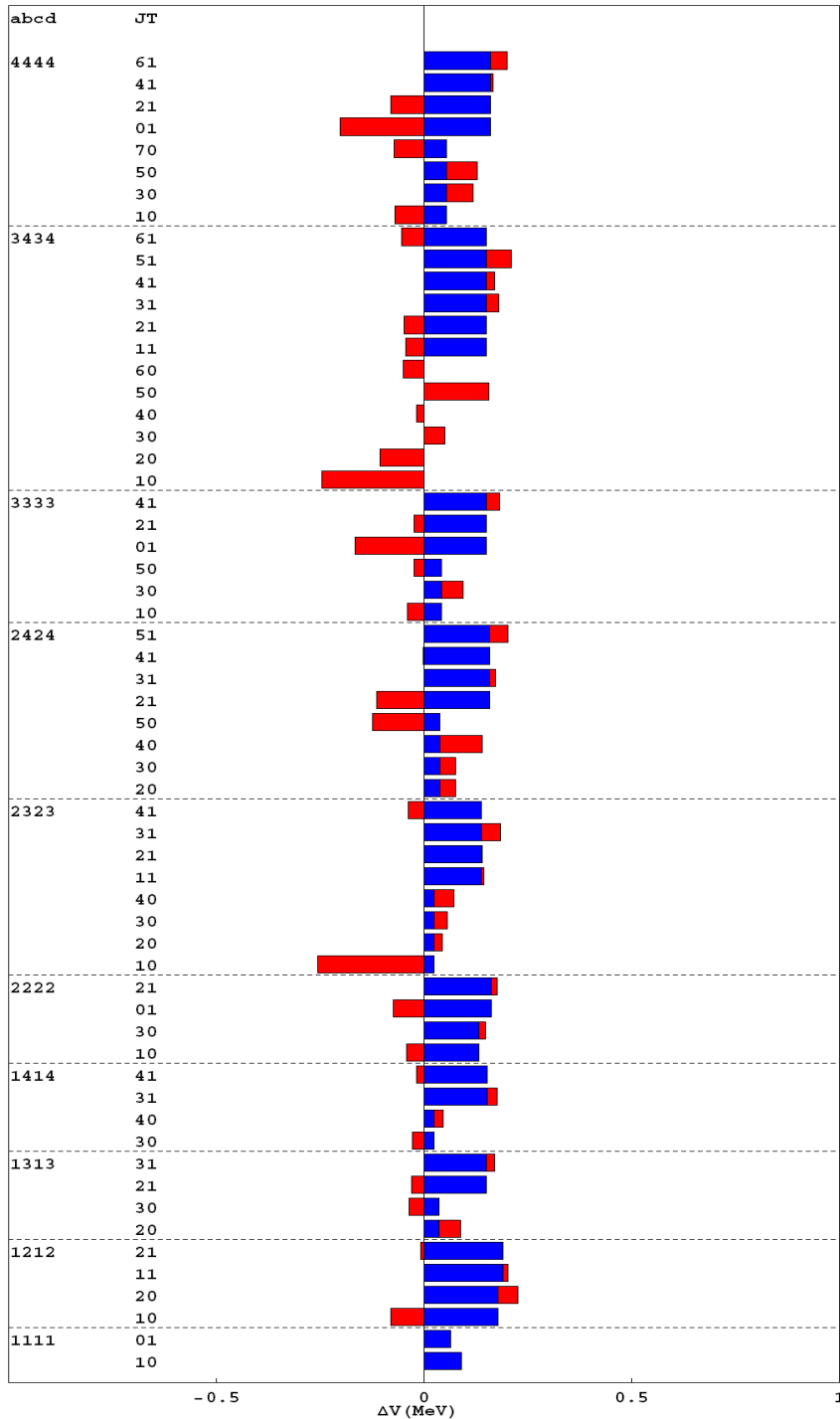
$$E_{\text{core}}(40) = E_g(^{41}\text{Sc};4AV18) - E_g(^{41}\text{Sc};\text{GXPF1})$$

$$V_{\text{eff}}(abcd;JT) = H_{\text{eff}}(A=42) - \varepsilon(a) - \varepsilon(b)$$

# Comparison of 2AV18PF & pf-part of 2AV18 diagonal part

$$\Delta V(\text{abcd}; \text{JT}) = V_{\text{eff}}(4\text{AV18F}) - V(4\text{AV18}) - \Delta V_{\text{mon}}(34, T=0)$$

$$\Delta V(\text{abcd}; \text{JT}) = \Delta V_{\text{mon}}(\text{ab}, T) + \Delta V_{\text{res}}(\text{abcd}; \text{JT}) - \Delta V_{\text{mon}}(34, T=0)$$



Next steps

Testing different methods to derive interactions directly:

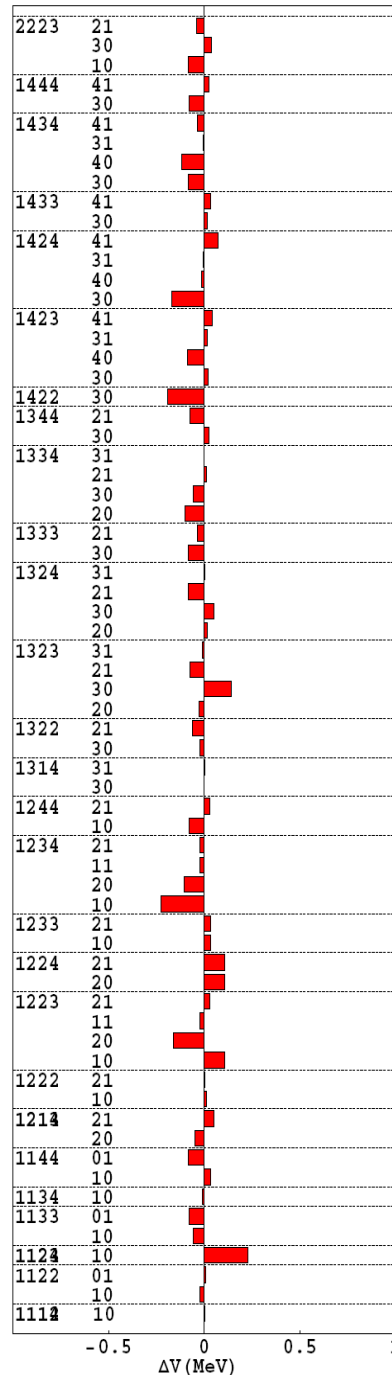
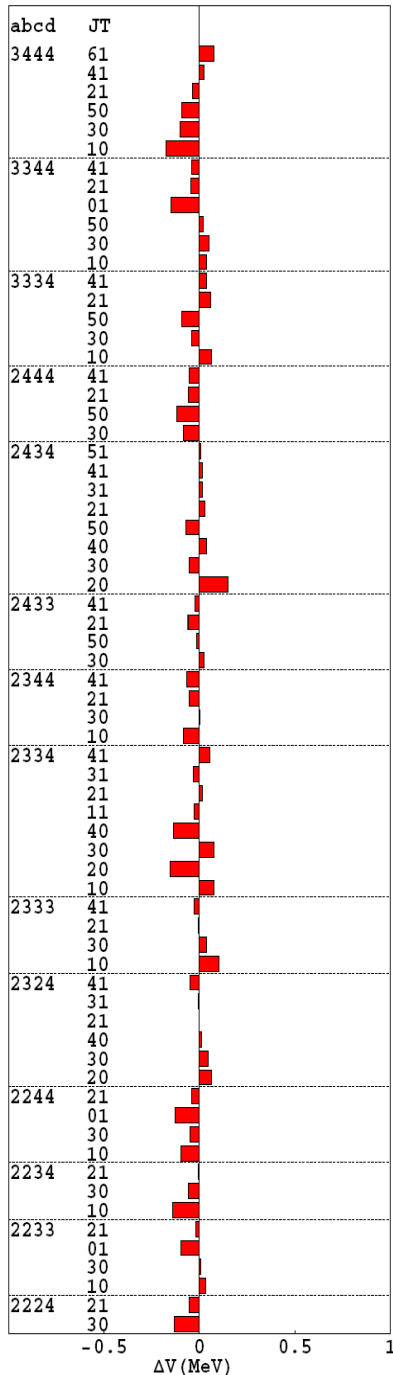
**2AV18PF** is exact  
mapping of the **2AV18** for  $^{42}\text{Sc}$

Testing **2AV18PF** interaction for other sd-shell nuclei

1 -  $p_{1/2}$     2 -  $p_{3/2}$     3 -  $f_{5/2}$     4 -  $f_{7/2}$



# Comparison of 2AV18PF & pf-part of 2AV18 nondiagonal part



$$\Delta V(\text{abcd}; \text{JT}) = V_{\text{eff}}(4\text{AV18SD}) - V(4\text{AV18}) - \Delta V_{\text{mon}}(34, T=0)$$

$$\Delta V(\text{abcd}; \text{JT}) = \Delta V_{\text{mon}}(\text{ab}, T) + \Delta V_{\text{res}}(\text{abcd}; \text{JT}) - \Delta V_{\text{mon}}(34, T=0)$$

Next steps

Testing different methods to derive interactions directly:

**2AV18PF** is exact

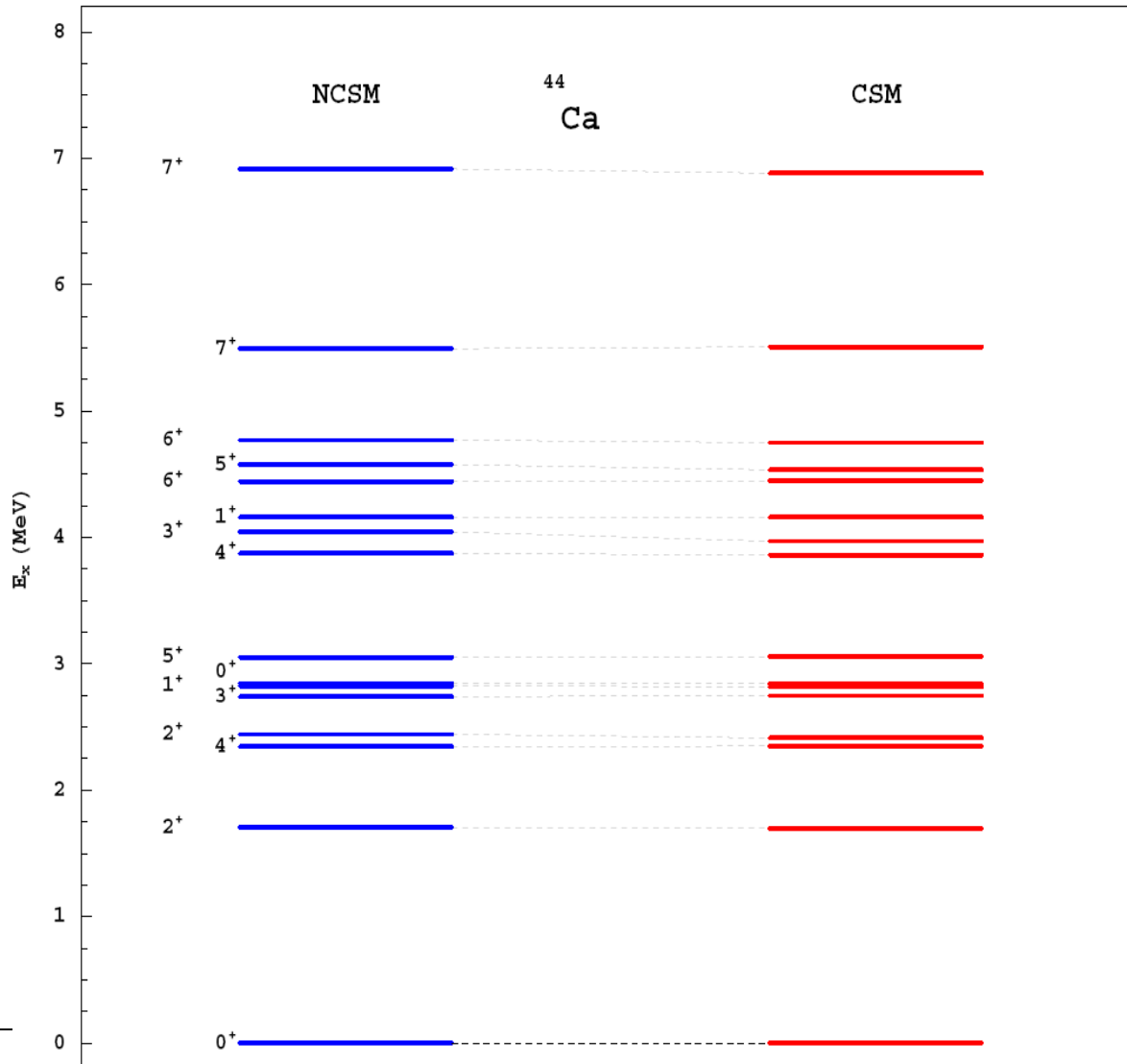
mapping of the **2AV18** for  $^{42}\text{Sc}$

Testing **2AV18PF** interaction for other sd-shell nuclei

1 -  $p_{1/2}$     2 -  $p_{3/2}$     3 -  $f_{5/2}$     4 -  $f_{7/2}$



# CSM(2AV18PF) & NCSM(2AV18) results for $^{44}\text{Ca}$



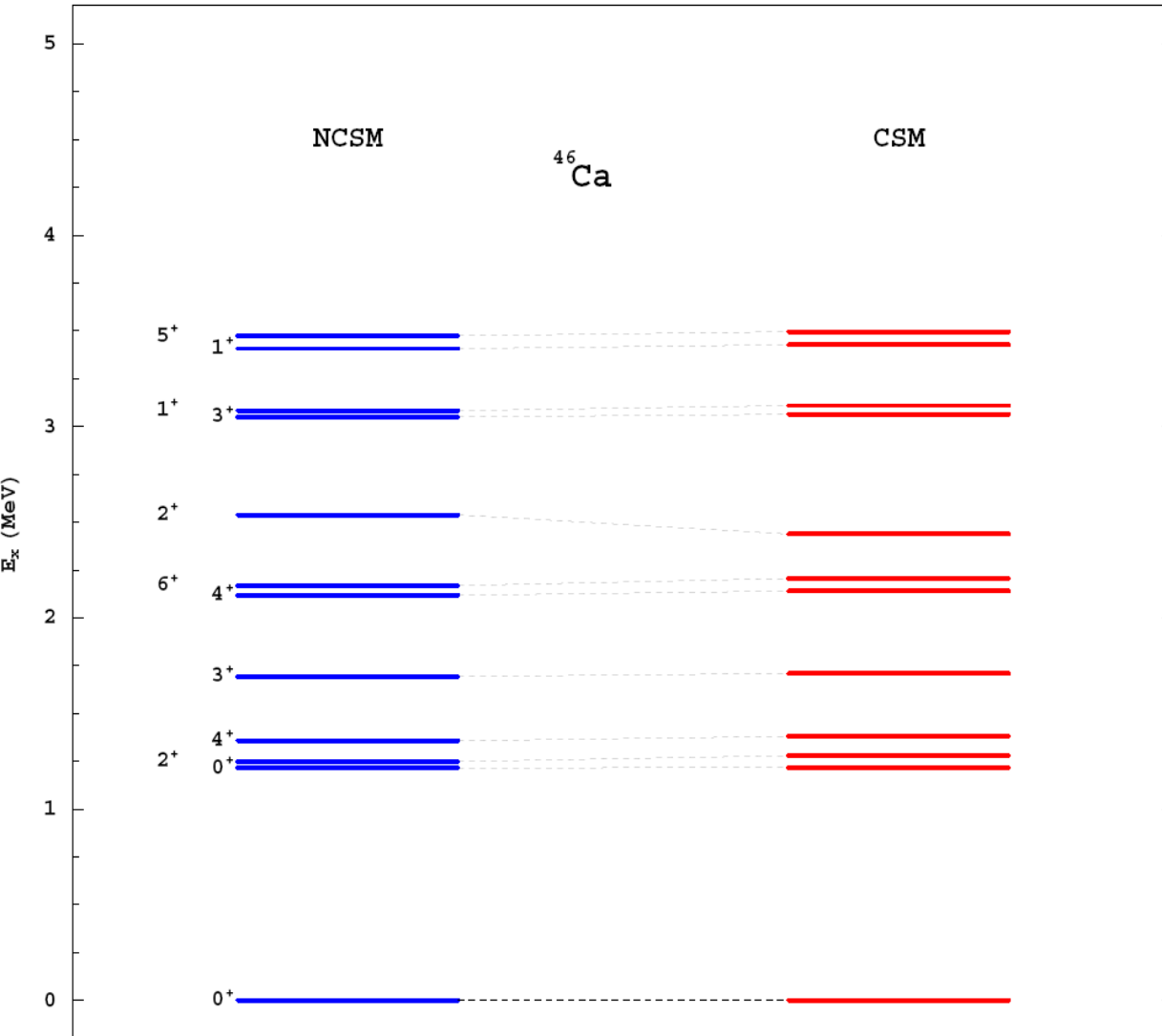
Dimensions:  $^{44}\text{Ca}$

**pf-space: 565**

**2h $\Omega$ -space: 10 545 125**



# CSM(2AV18PF) & NCSM(2AV18) results for $^{46}\text{Ca}$



**Dimensions:  $^{46}\text{Ca}$**

**pf-space: 3 952**

**2h $\Omega$ -space: 70 213 163**

**Dimensions:  $^{48}\text{Ca}$**

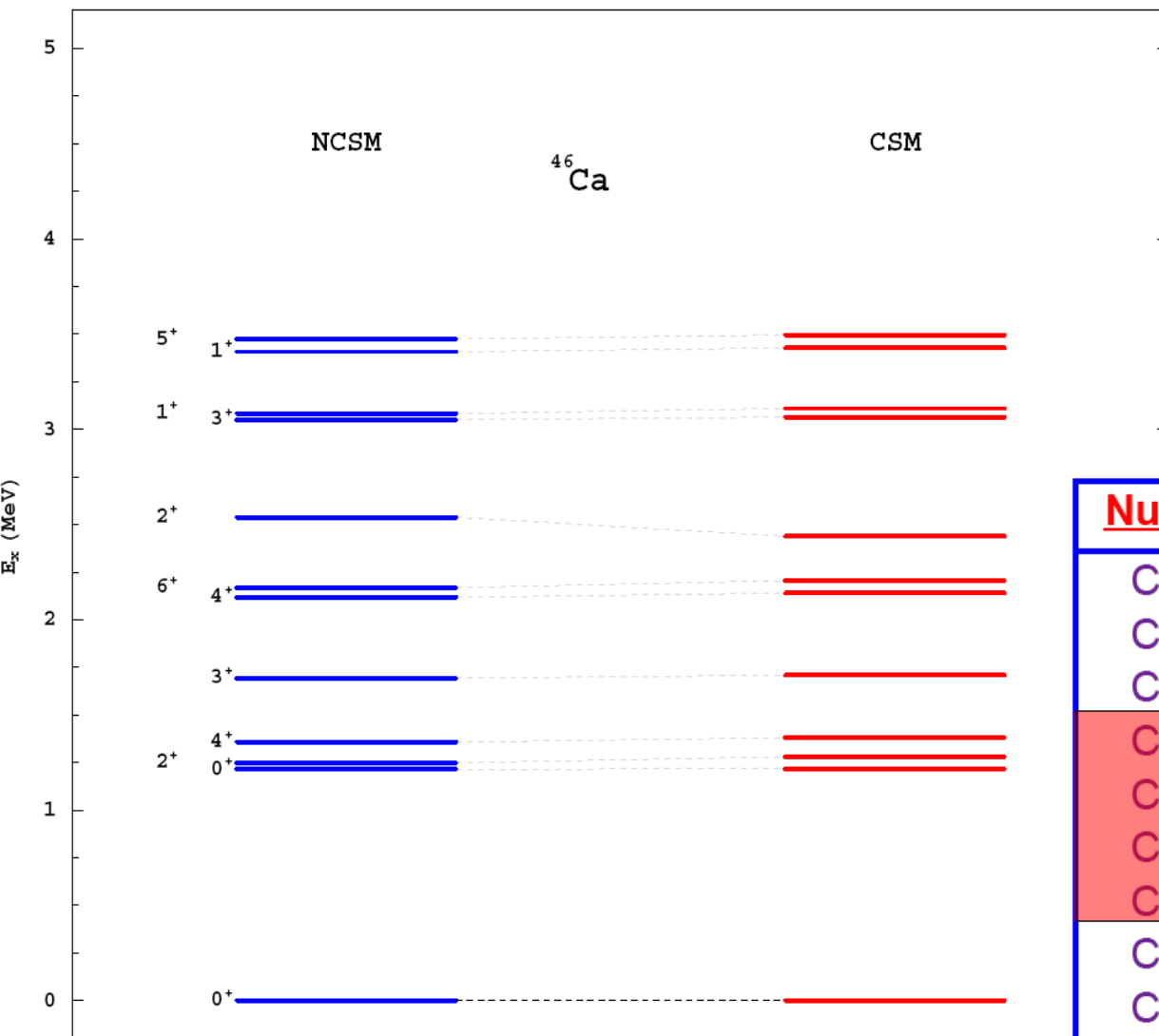
**pf-space: 12 022**

**2h $\Omega$ -space: 214 664 244**





# CSM(3AV18PF) & NCSM(3AV18) results for $^{46}\text{Ca}$



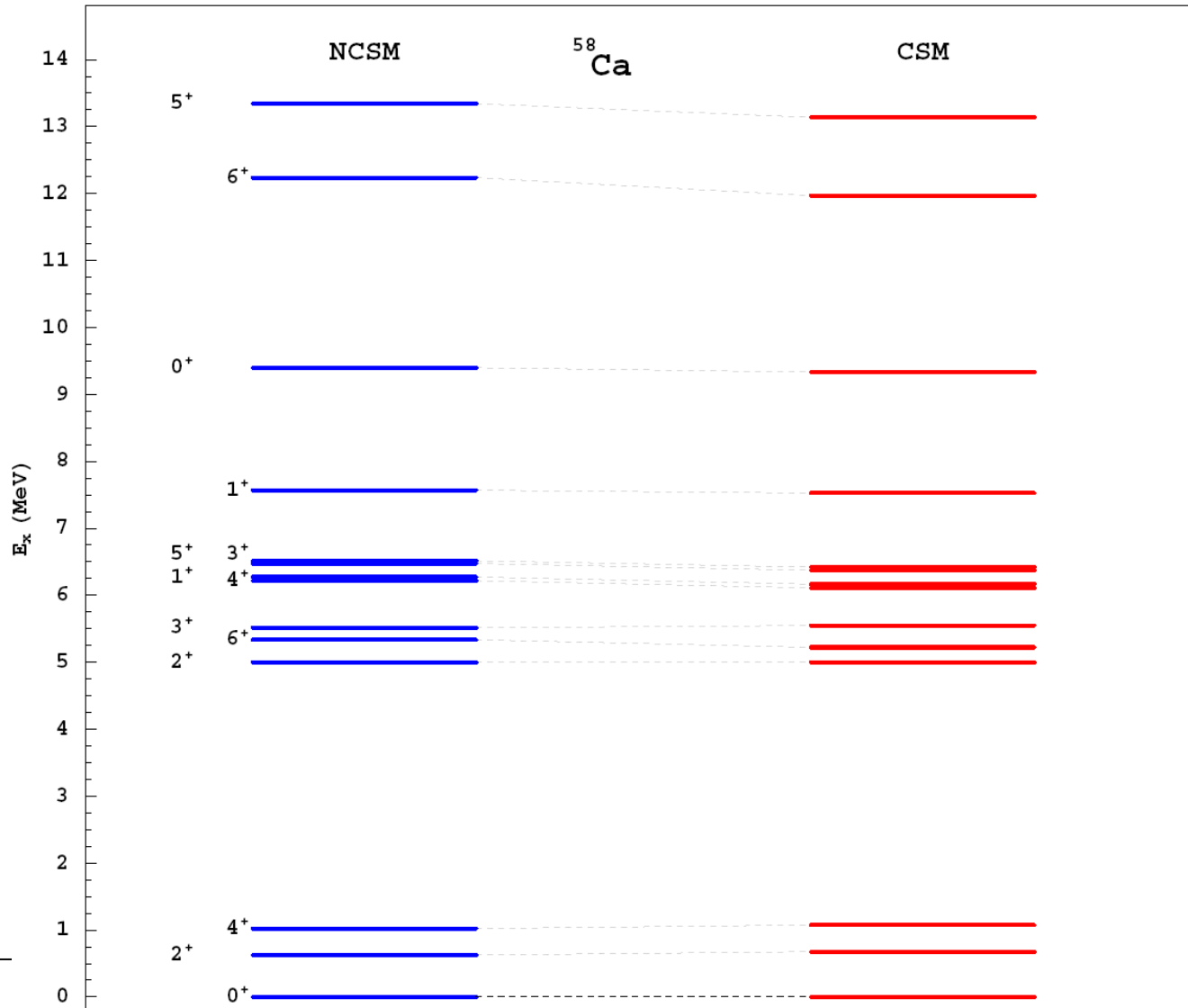
**Dimensions:  $^{46}\text{Ca}$**

**pf-space: 3 952**

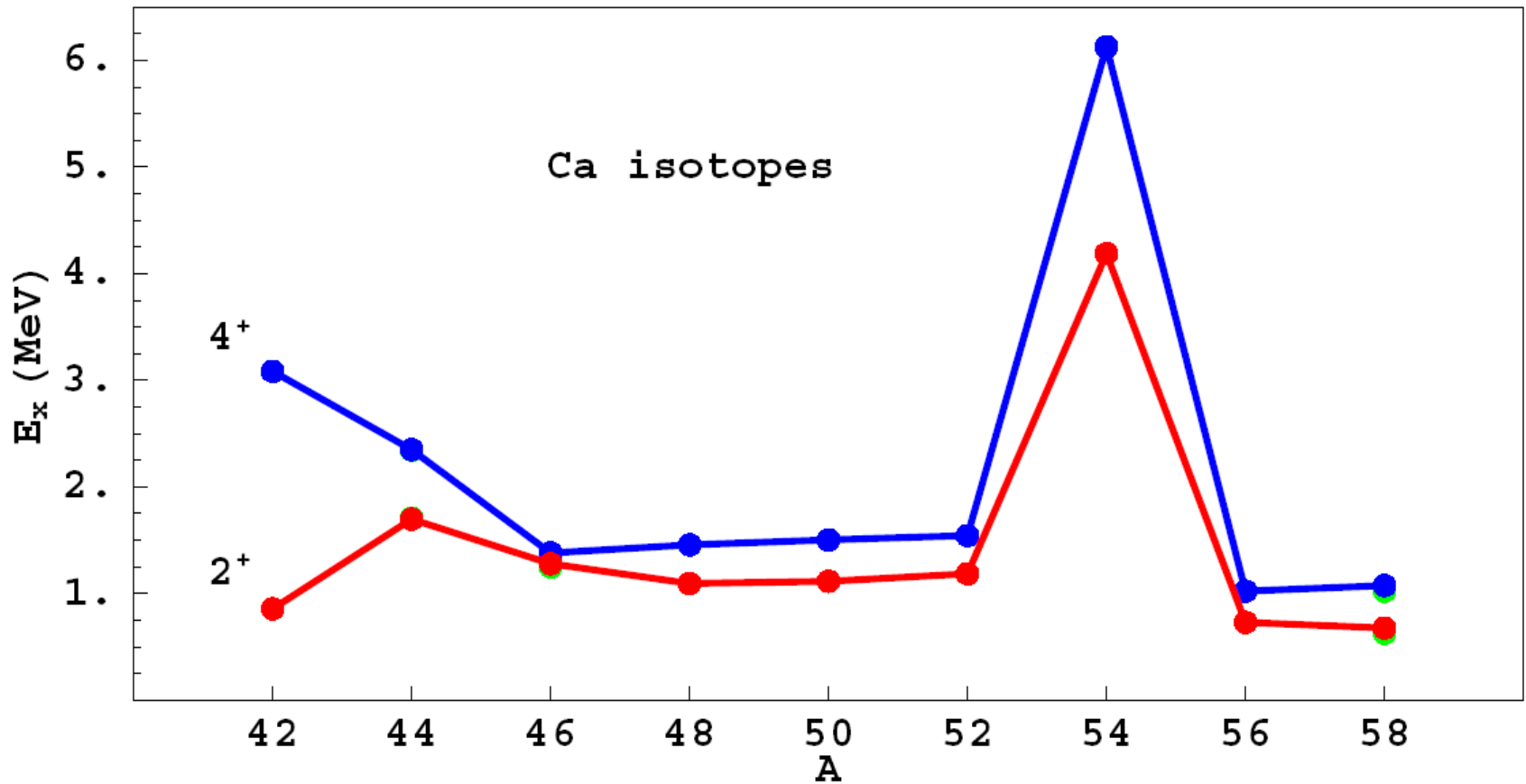
**2h $\Omega$ -space: 70 213 163**

<u>Nuclei</u>	<u>NCSM</u>	<u>CSM</u>
Ca42	623,931	30
Ca44	10,545,125	565
Ca46	70,213,163	3952
Ca48	214,664,244	12022
Ca50	323,752,656	17276
Ca52	246,605,270	12022
Ca54	93,356,454	3952
Ca56	16,503,967	565
Ca58	1,186,633	30

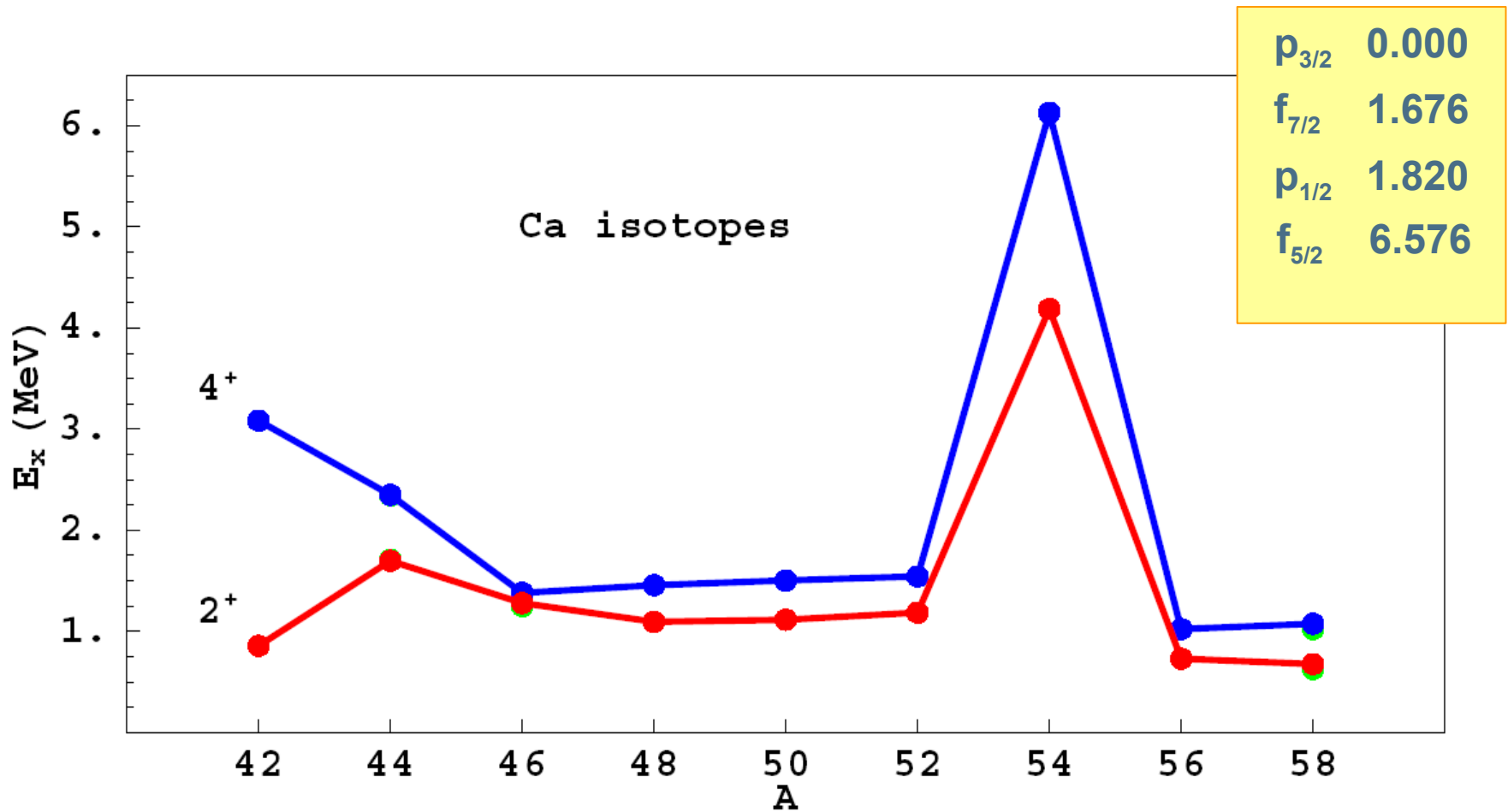
# CSM(2AV18PF) & NCSM(2AV18) results for $^{58}\text{Ca}$



# CSM(2AV18PF) & NCSM(2AV18) results for Ca isotopes



# CSM(2AV18PF) & NCSM(2AV18) results for Ca isotopes



# Summary

- 1) 2-step construction procedure of  $H_{\text{eff}}$  is introduced:
  - # 1 standard 2-body UT (2-body cluster approximation)
  - # 2 A-body UT + 2-body projection
- 2) **sd**-shell 2-body  $H_{\text{eff}}$  are derived from 18-body  $2h\Omega$  ( $4h\Omega$ ) Hamiltonians constructed using AV18,  $N^3\text{LO}$  and CD-Bonn NN potentials
- 3) **pf**-shell 2-body  $H_{\text{eff}}$  are derived from 42-body  $2h\Omega$  Hamiltonians constructed using AV18 potential
- 4) Major modification of the original  $H$  (after step #1) reduces to 1-body corrections and monopole corrections of TBME - to be explored further - to find an approach to generate  $H$  best matching exactly projected one without doing NCSM calculation
- 5) Effective 2-body interactions “predict” the large scale NCSM spectra for sd- & pf-shell nuclei with good precision - efficient tool to test NCSM performance in the case of intractable dimensions
- 6) Missing higher-order ( $>2$ ) correlations in sd- & pf- spaces do not play important role for low-energy spectra -- binding energy to be explored
- 7) Issues to be addressed: effective operators, increased “small” space, negative parity spectra, 3-body sd Hamiltonian effects for spectra & binding energies



# Acknowledgements

**B. R. Barrett, University of Arizona**

**M. Kruse, University of Arizona**

**E. Dikmen, University of Arizona**

**P. Navratil, LLNL**

**I. Stetcu, LANL**

**J. P. Vary, Iowa State University**

