

# What is the mean field theory of a weak or strong dynamical symmetry group?

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# Irreducible representations (Irreps)



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- $U(n)$ ,  $n = \dim$  s.p. space, Irrep = shell model space.
- $O(2n)$ , Irrep = shell model + pair creation and annihilation  $<$  Fock space.
- $G =$  Lie group, Irrep = Hilbert space of a strong dynamical symmetry.



# Algebraic mean field theory (AMFT)

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$G =$  Lie group,

$\pi(g)$  = group representation on some Hilbert space, not necessarily irreducible.

Mean field states = Orbit space  $\subset$  Hilbert space:

$$\mathcal{O}_\Psi = \{\pi(g)\Psi, g \in G\}.$$

The orbit space  $\mathcal{O}_\Psi$  consists of *coherent* states (Perelomov).

$U(n)$  Hartree-Fock (HF) (1980 Rowe, Gilmore, and GR)

$O(2n)$  HFB (1981 GR)



# Why use AMFT?

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- Easy. AMFT manifold is an enormous simplification compared to irreps.
- Flexible.  $L =$  Lie algebra of group  $G$  includes most significant degrees of freedom.



# Density matrix

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$$\begin{aligned} L^* &= \text{dual space to Lie algebra } L \\ &= \text{space of real-valued linear functions on } L \\ &= \text{space of "densities."} \end{aligned}$$

When  $L$  is a semisimple matrix Lie algebra,  $L^* \cong L$  and

$$\langle \rho, X \rangle = \text{Tr}(\rho X) \quad \text{for } X \in L \text{ and } \rho \in L^*.$$

*Example: Hartree-Fock*

$$\begin{aligned} X &\in u(n) \\ \hat{X} &= \sum X_{ij} a_i^\dagger a_j \\ \rho_{ij} &= M(\Psi) = \langle \Psi | a_j^\dagger a_i \Psi \rangle \\ \langle \Psi | \hat{X} \Psi \rangle &= \text{Tr}(\rho X) = \langle \rho, X \rangle. \end{aligned}$$



# Moment map M

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$$\begin{aligned} M : \text{representation space} &\longrightarrow L^* \\ \Psi &\longmapsto \rho = M(\Psi) \end{aligned}$$

where

$$\langle \rho, X \rangle = \frac{\langle \Psi | \hat{\pi}(X) \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

$X \in L$ ,  $\hat{X} = \hat{\pi}(X)$  is the operator representation of the matrix  $X$ .



# Advantages to density

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1. For HF,  $\langle \Psi | \Psi^{\text{exact}} \rangle \approx 0$ , yet  $\rho \approx \rho^{\text{exact}}$ . AMFT aims to derive accurate densities  $\rho$ , and doesn't try to find  $\Psi$
2. Group transformation simplifies.  $\Psi \mapsto \pi(g)\Psi$  is hard to compute. But the density corresponding to the coherent state  $\pi(g)\Psi$  is  $\text{Ad}_g^* \rho = g \rho g^{-1}$ , the product of three matrices.  $\text{Ad}_g^*$  is called the coadjoint transformation.



# Coadjoint orbit

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$$\mathcal{O}_\rho = \{\text{Ad}_g^* \rho = g \rho g^{-1}, g \in G\}.$$

The moment map, restricted to the set of coherent states,

$$M : \mathcal{O}_\Psi \longrightarrow \mathcal{O}_\rho,$$

is, in general, many-to-one.

1-1 exceptions: (a)  $\Psi$  is a highest weight vector and (b) Slater determinants  $\leftrightarrow$  Idempotent densities.





# Strong versus weak dynamical symmetry

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- Strong: States are vectors in one *irreducible representation* space.
- Weak: Densities are points in one *coadjoint orbit*.



# Casimirs

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$$\mathcal{C}_p(\rho) = \text{Tr}(\rho^p), p = 1, 2, \dots$$

The Casimirs are constant functions on each coadjoint orbit:

$$\mathcal{C}_p(\text{Ad}_g^* \rho) = \text{Tr}((g\rho g^{-1})^p) = \text{Tr}(g\rho^p g^{-1}) = \mathcal{C}_p(\rho).$$

Conversely, for a compact Lie group, every coadjoint orbit is a level surface of the Casimir functions.



# Weak dynamical symmetry example

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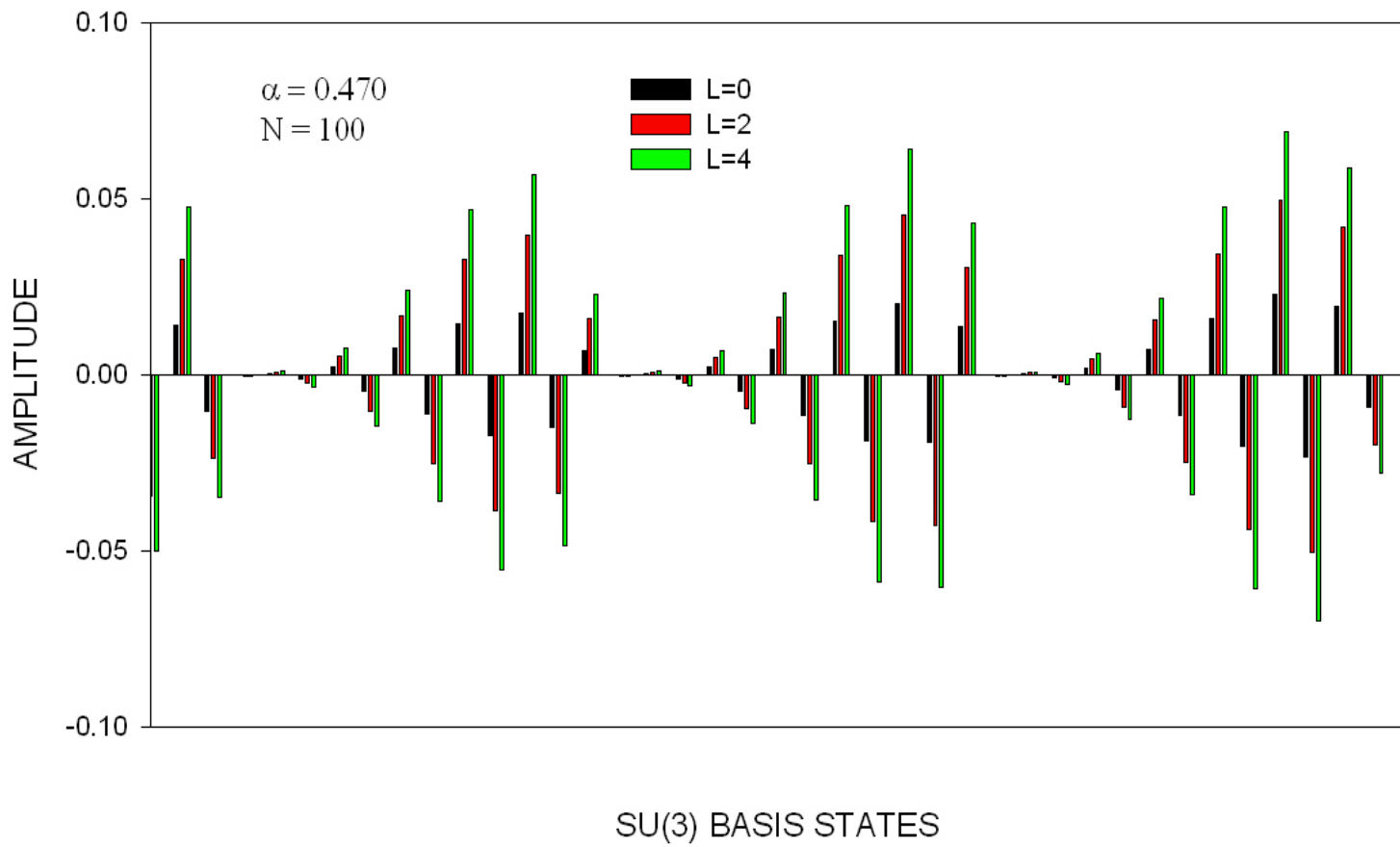
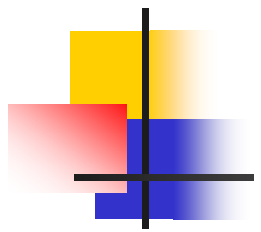
U(6) interacting boson model. Fix irrep [N].

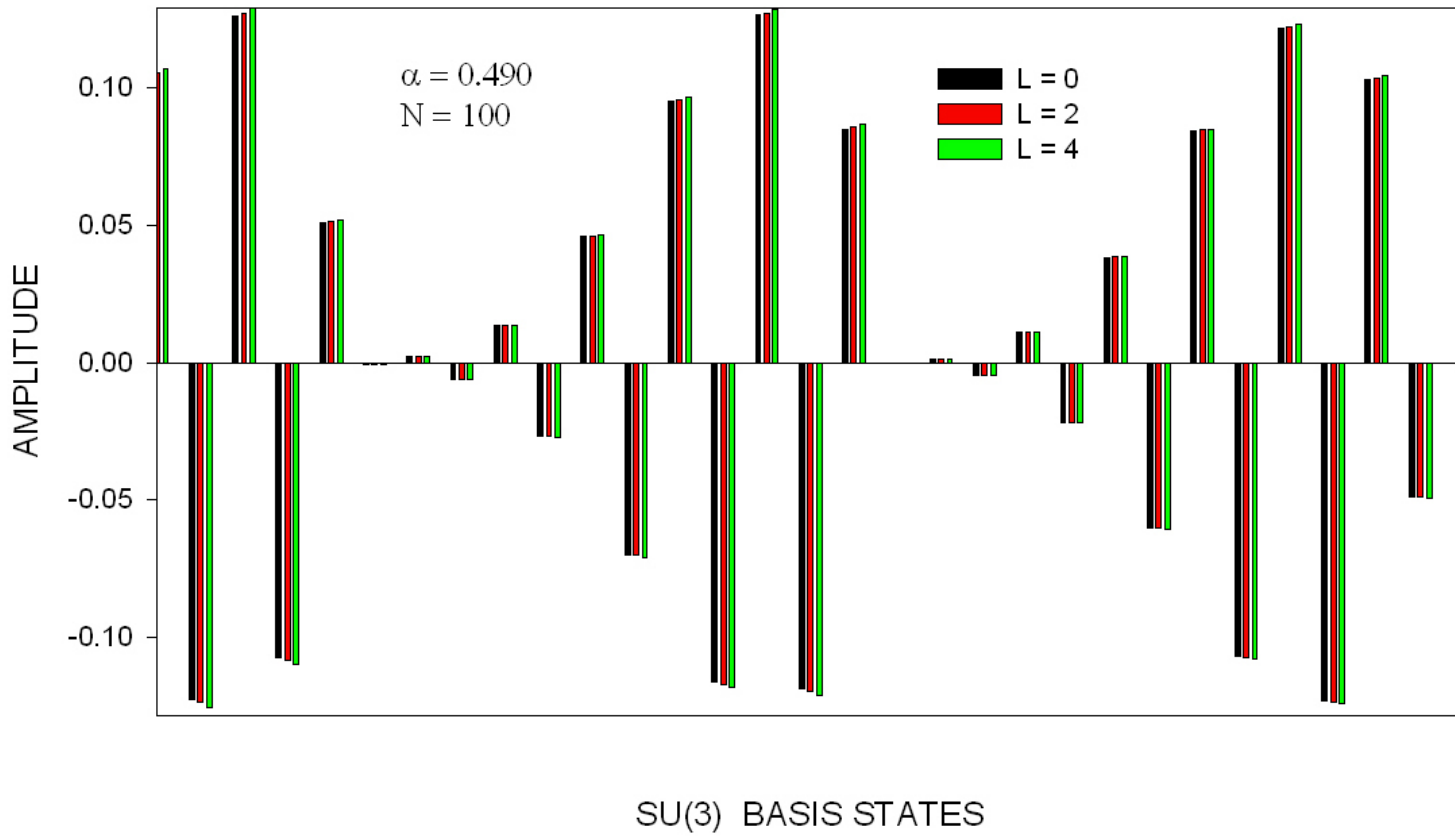
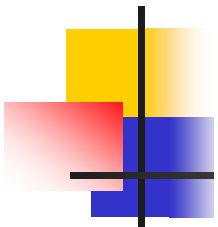
$$H(\alpha) = (1 - \alpha)H_1 + \alpha H_2, \quad 0 \leq \alpha \leq 1,$$


where  $H_1 = \hat{n}_d$ , the u(5) d-boson number operator, and  $H_2 = -\hat{Q} \cdot \hat{Q}$ , the su(3) quadrupole-quadrupole interaction.

For large  $N$ , this Hamiltonian has a quantum phase transition.

- $\alpha < \alpha_c \Rightarrow$  u(5) vibrational phase
- $\alpha > \alpha_c \Rightarrow$  su(3) rotational phase






$$|I\rangle, I = 0, 2, 4, \dots$$

*Strong*  $\text{su}(3)$  dynamical symmetry when all states of band belong to one irrep of  $\text{su}(3)$ .

*Weak*  $\text{su}(3)$  dynamical symmetry when the band's densities lie on a level surface of the  $\text{su}(3)$  Casimirs. For  $\alpha > \alpha_c$  in the IBM quantum phase transition example,

$$|I\rangle = \sum_{(\lambda,\mu)} A_{(\lambda,\mu)} |(\lambda, \mu)I\rangle,$$

where the coefficients  $A_{(\lambda,\mu)}$  in the expansion are independent of  $I$ . The expectation of any  $\text{su}(3)$  Casimir is

$$\langle I | \hat{C} | I \rangle = \sum_{(\lambda,\mu)} |A_{(\lambda,\mu)}|^2 C((\lambda, \mu)),$$

where  $C((\lambda, \mu))$  is the value of the Casimir operator  $\hat{C}$  in the irrep  $(\lambda, \mu)$ .



# Representations of Lie groups

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- Highest weight
- Duality, Permutation group and  $U(n)$
- Induced
- Geometric quantization

Starting point: coadjoint orbit

(Kirillov, Kostant, Souriau, Vogan)



# Kirillov metatheorem

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- Every property of a Lie group irrep may be determined from an analysis of the corresponding coadjoint orbit.
- Branching rules, group characters, etc.
- Kirillov "The orbit method in representation theory" (AMS)





# Symplectic structure

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- Every coadjoint orbit is a symplectic manifold or phase space
- $\Omega(X, Y) = \langle \rho, [X, Y] \rangle$  is nondegenerate
- $E(\rho)$  = energy functional on coadjoint orbit
- $h[\rho]$  = mean field Hamiltonian, where
$$dE(X) = \Omega(X, h[\rho]).$$



# Dynamics on a coadjoint orbit

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Dynamics is determined for semisimple Lie groups by a Lax equation:

$$\frac{d}{dt}\rho = [\rho, h[\rho]].$$

Casimir functions are constants of the motion because

$$\frac{d}{dt}\text{Tr}(\rho^p) = 0.$$

# Nonabelian density functional theory

Suppose  $E : L^* \rightarrow \mathbf{R}$  is an energy functional.

$$E_X(\rho) = E(\rho) - \langle \rho, X \rangle \text{ for } X \in L.$$

Hohenberg-Kohn (GR and Dankova JPA 31 (1998) 8933).

$$E(\rho) = \inf_{M(\Psi)=\rho} \frac{\langle \Psi | \hat{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

Conventional DFT:

$L =$  set of one-body multiplication operators,  $V = \sum_i v(r_i)$ ,

$$L^* = \mathcal{L}^{(1)}(\mathbf{R}^3),$$

$$\langle \rho, V \rangle = \int \rho(\vec{r}) v(\vec{r}) d^3 r.$$



# SU(3) densities

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$\rho = q - \frac{1}{2}il \in \text{su}(3)^* \cong \text{su}(3)$ , where

$$q_{ij} = \langle \Psi | \hat{Q}_{ij} \Psi \rangle$$
$$l_{ij} = \langle \Psi | \hat{L}_{ij} \Psi \rangle.$$

$Z = Y + iX \in \text{su}(3)$ ,  $\dot{\pi}(Z) = \sum_{ij} Y_{ij} \hat{Q}_{ij} - \frac{1}{2} \sum_{ij} X_{ij} \hat{L}_{ij}$ ,

$$\langle \rho, Z \rangle = \text{Tr}(\rho Z) = \langle \Psi | \dot{\pi}(Z) \Psi \rangle$$



# SU(3) Coadjoint orbit

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Density matrix of highest weight is diagonal:

$$\rho = \frac{1}{3} \text{diag}(-\lambda + \mu, -\lambda - 2\mu, 2\lambda + \mu).$$

Casimir functions:

$$\mathcal{C}_2(\rho) = \text{Tr}(\rho^2) = \frac{2}{3}(\lambda^2 + \lambda\mu + \mu^2)$$

$$\mathcal{C}_3(\rho) = \text{Tr}(\rho^3) = \frac{1}{9}(2\lambda^3 + 3\lambda^2\mu - 3\lambda\mu^2 - 2\mu^3).$$



# Intrinsic frame densities

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An intrinsic frame density is a density with a diagonal quadrupole matrix,

$$\tilde{q} = RqR^T = \text{diag}(q_1, q_2, q_3).$$

AMFT system of equations for intrinsic  $\tilde{\rho} = \tilde{q} - \frac{1}{2}iI$ :

$$q_1 + q_2 + q_3 = 0$$

$$I_1^2 + I_2^2 + I_3^2 = I^2$$

$$\sum_k q_k^2 + \frac{1}{2}I^2 = C_2(\lambda, \mu)$$

$$\sum_k q_k^3 - \frac{3}{4}\sum_k q_k I_k^2 = C_3(\lambda, \mu)$$



# Principal axis rotation

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$$I_2 = I_3 = 0:$$

$$q_1 = -\frac{\lambda + 2\mu}{3}, q_{2,3} = \frac{\lambda + 2\mu}{6} \pm \frac{1}{2}\sqrt{\lambda^2 - I^2},$$

for  $0 \leq I \leq \lambda$ .

$$q_1 = \frac{2\lambda + \mu}{3}, q_{2,3} = -\frac{2\lambda + \mu}{6} \pm \frac{1}{2}\sqrt{\mu^2 - I^2},$$

for  $0 \leq I \leq \mu$ .



# Routhian

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Lax equation in intrinsic frame:

$$i \frac{d}{dt} \tilde{\rho} = [h_{\Omega}[\tilde{\rho}], \tilde{\rho}],$$

where the Routhian is  $h_{\Omega}[\tilde{\rho}] = h[\tilde{\rho}] + i\Omega$ ,  $\Omega = \dot{R}R^T$  is the angular velocity of the rotating frame relative to the lab frame.

A rotating equilibrium density  $\tilde{\rho}$  satisfies  $[h_{\Omega}[\tilde{\rho}], \tilde{\rho}] = 0$ .





# Normal modes

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Suppose  $E(\rho) = A_1 I_1^2 + A_2 I_2^2 + A_3 I_3^2$ . Normal mode analysis via linearization of Lax equations near an equilibrium density determines wobbling frequency about short principal axis:

$$\omega = \frac{2I\sqrt{(A_1 - A_2)(A_3 - A_2)}}{1 + \left(\frac{I^2}{4\mu(\lambda + \mu)}\right)}$$

for  $0 \leq I \leq \lambda$ . The denominator contains the  $\text{su}(3)$  correction in parentheses.



# The End Part

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- GCM(3) Riemann ellipsoidal or Bohr-Mottelson model
- $Sp(3, R)$  symplectic collective model
- $O(6) = SU(4)$  interacting boson model
- $SO(5) = USp(4)$  ibm



# Internally consistent

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- Correct group representation properties are built into each coadjoint orbit. Branching rules for  $H < G$  derived from geometric analysis of H-orbits in coadjoint G-orbit space.
- DFT Hohenberg-Kohn assures the existence of an energy functional for which the exact ground state density is a minimum.



# Simple to use

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- AMFT calculations use  $n \times n$  matrices, e.g.,  $SU(3)$  works with  $3 \times 3$  matrices. The (possibly infinite) dimension of the representation under investigation is irrelevant.
- Method applies to nonintegral orbits which is necessary for weak dynamical symmetry.



# Questions

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- Is weak dynamical symmetry ubiquitous?
- What's the best way to find the universal energy functional for a given algebra  $L$ ?