

# **Nuclear Two- and Many-Body Forces from Chiral EFT:**

## **Current Status and Open Issues**

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# From the Program's web site

The program would address the following issues, among others:

## 1. Potentials:

The nature of the NN potential: CPT potentials vs empirical potentials.

Three-nucleon interactions, particularly those based on CPT.  
The application of these NN and NNN potentials to nuclear-structure calculations.

Evidence for or against the need for higher-rank potentials, and, in particular, the possible importance of NNNN correlations in nuclei.

The modification of these potentials inside the nuclear medium, *i.e.*, what is the structure of the renormalized or effective interaction needed for nuclear-structure calculations, particularly for heavier nuclei?

## 2. Theoretical Issues:

Linkages among QCD, lattice gauge calculations, EFT/CPT and nuclear structure calculations.

Consistent treatment of regulators/cutoffs between the 2/3-body systems and the many-body systems.

# Outline

- **History of microscopic nuclear structure**
- **Analyzing history: Where are we and what's left to do?**
- **QCD and nuclear physics**
- **The effective field theory approach to nuclear forces: 2N, 3N, 4N forces**
- **Summary and open issues**

# **History of microscopic nuclear structure**

**1958**

Brueckner,  
Gammel  
**Eden, Emery**

Brueckner  
Theory

Gammel-  
Thaler  
potential

Nuclear matter  
**Finite nuclei**  
**160**

# History of microscopic nuclear structure

<b>1958</b>	Brueckner, Gammel <b>Eden, Emery</b>	Brueckner Theory	Gammel- Thaler potential	Nuclear matter <b>Finite nuclei</b> <b>160</b>
<b>1966</b>	Kuo, Brown	Brueckner Theory	Hamada- Johnston pot	Spectra of 18O, 18F
<b>1965- 1968</b>	Becker, MacKellar; <b>Davies, Baranger, ...</b>	Brueckner Hartree-Fock	Hamada- Johnston potential	16O <b>Oak Ridge</b>

# History of microscopic

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<b>1973</b>	Kuemmel, Zabolizky	Coupled Cluster	Reid pot.	4He, 16O
<b>1970's</b>	<b>Decline in microscopic nuclear structure</b>			

# History

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<b>1970's</b>	<b>Decline in microscopic nuclear structure</b>			
<b>1980's 1990's</b>	Pandharipande, Pieper, Wiringa, Carlson	Variational, GFMC	Argonne V14, V18 pot., 3N forces	$A \leq 10$
<b>1990's</b>	Barrett, Vary, Navratil, ...	No-Core Shell Model	High- precision pots.	$A \leq 48$
<b>2000</b>	Dean, Hjort- Jensen, Papenbrock,...	Coupled Cluster	High- precision pots.	$A = 16,$ $A > 16$

**Oak Ridge**



# Analyze history

**Microscopic nuclear structure has two ingredients:**

- many-body theory/method
- bare nuclear forces

**Analyze history under these two aspects.**

Progress in many-body methods

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<b>1966</b>	...	Brueckner Theory	Hamada-Johnston pot	Spectra of 18O, 18F
<b>1965-</b>	...	Brueckner Hartree-Fock	Hamada-Johnston potential	160
<b>1968</b>	...	...	...	...
<b>1973</b>	...	Coupled Cluster	Reid pot.	4He, 16O
<b>1970's</b>	...	...	...	...
<b>1980's</b>	...	Variational, GFMC	Argonne V14, V18 pot., 3N forces	A ≤ 10
<b>1990's</b>	...	No-Core Shell Model	High-precision pots.	A ≤ 48
<b>2000</b>	..., Hjort-Jensen, Papenbrock,...	Coupled Cluster	High-precision pots.	A = 16, A > 16

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<b>1966</b>	Kuo, Brown	Brueckner Theory	Hamada-Johnston pot	Sp 18
<b>1965-1968</b>	Becker, MacKellar; <b>Davies, Baranger, ...</b>	Brueckner Hartree-Fock	Hamada-Johnston potential	16
<b>1973</b>	Kuemmel, Zabolizky	Coupled Cluster	Reid pot.	4H
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<b>2000</b>	Dean, Hjort-Jensen, Papenbrock,...	Coupled Cluster	High-precision pots.	A=1 A>16

**Pure phenomenology**

**Meson models**

**Was this good enough and  
are we done?**

The most fundamental Physics question:

How does the world emerge  
from the Standard Model?

# HOW DOES NUCLEAR PHYSICS EMERGE FROM QCD?

QCD



**Nuclear structure and reactions**



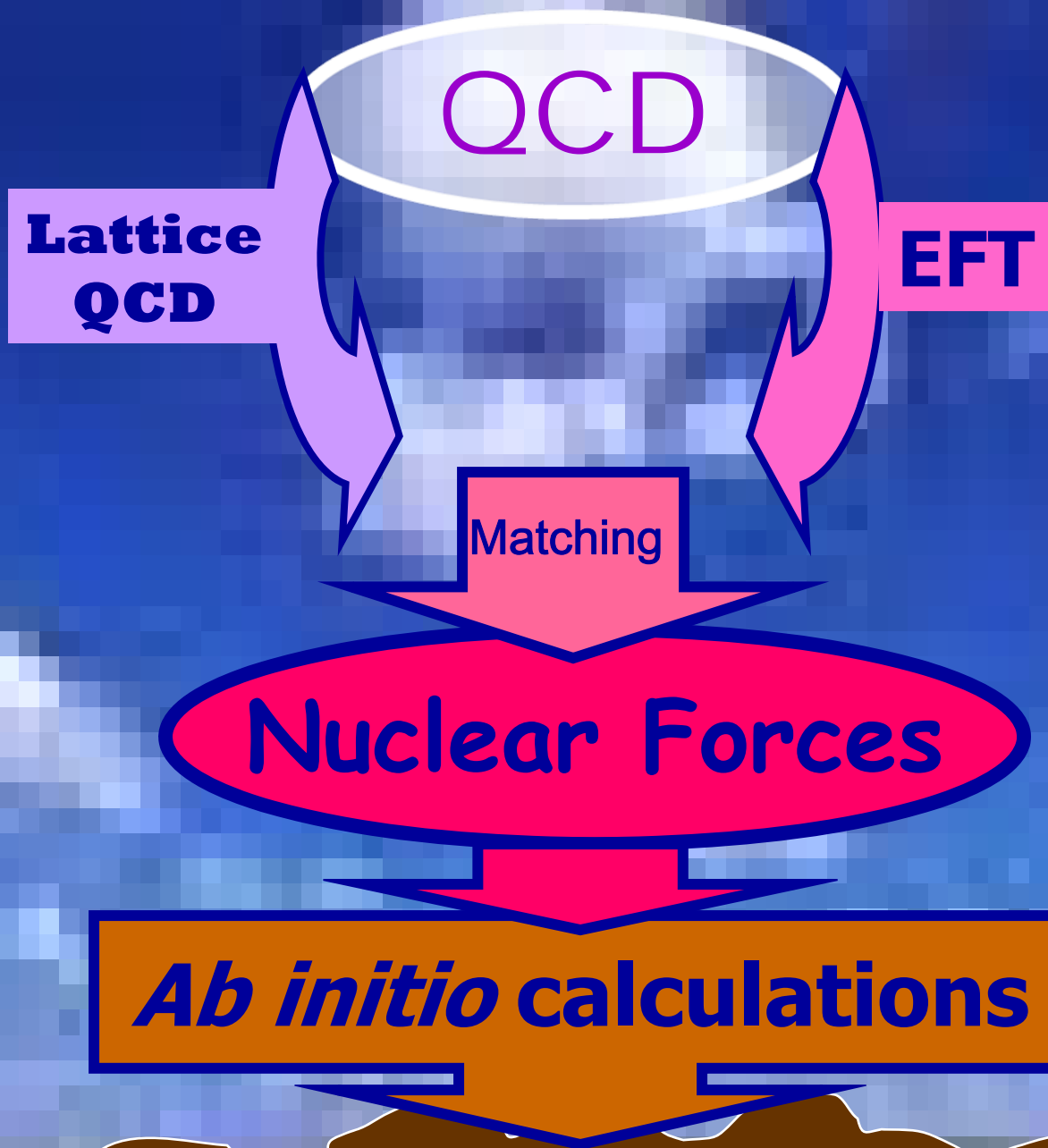
QCD



Nuclear Forces

*Ab initio* calculations

**Nuclear structure and reactions**

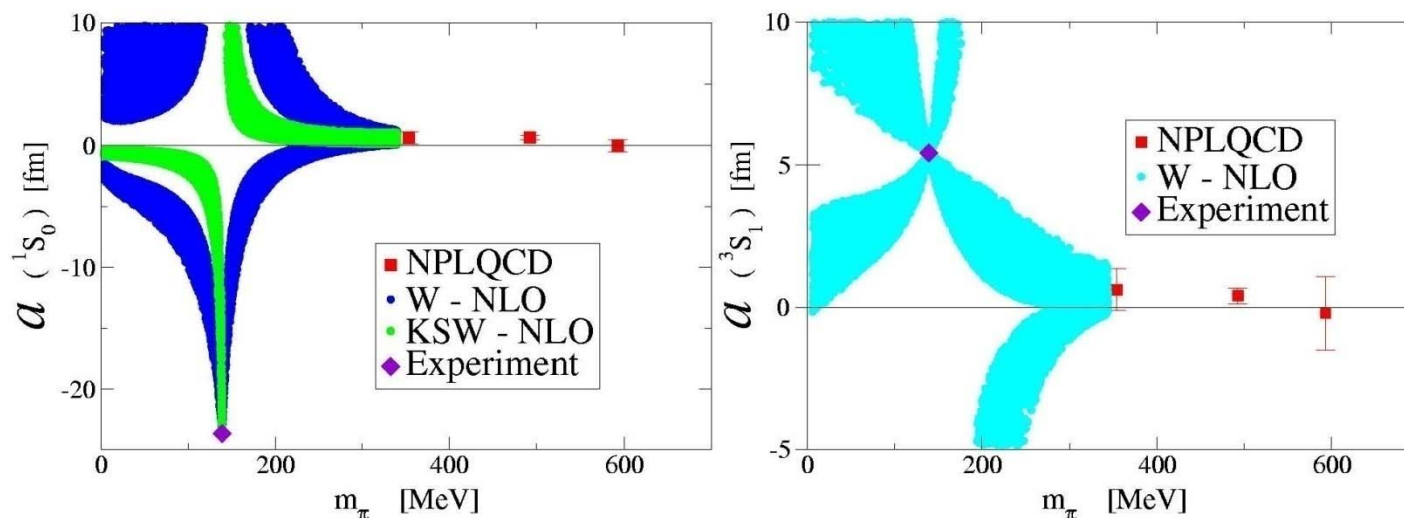


**Nuclear structure and reactions**

# Matching

Martin J. Savage: Baryon-Baryon Interactions from the Lattice

5



**Fig. 4.** Scattering lengths in the  $^1S_0$  and  $^3S_1 - ^3D_1$  NN channels as a function of pion mass. The experimental value of the scattering length and NDA have been used to constrain the extrapolation in both BBSvK [28,29,30] and W [31,32,33] power-countings at NLO.

# The EFT approach

**Notice first:**

**quarks and gluons are ineffective degrees of freedom at low energy.  
Nuclear forces are residual forces between colorless objects.**

- **Use the degrees which are relevant at nuclear physics energies: nucleons (and pions).**
- **Observe the symmetries of low-energy QCD and the patterns of their breaking: Symmetry generates and controls dynamics.**

# The low-energy QCD scenario in the u- and d-quark sector is characterized by **chiral symmetry**; but this symmetry is broken in two ways:

- **explicitly broken,**  
because the u and d quark masses are not exactly zero;
- **spontaneously broken:**  
 $SU(2)_L \times SU(2)_R \cong SU(2)_V \times SU(2)_A \longrightarrow SU(2)_V$   
i.e., in the QCD ground state (hadron spectrum),  
axial symmetry is broken (no parity doublets),  
while isospin symmetry is intact (iso-spin multiplets).
- **There exist 3 Goldstone bosons: the pions**

# The homework to be done:

- Write down the most general Lagrangian including **all** terms consistent with the assumed symmetries, particularly, spontaneously broken chiral symmetry. (Note: There will be infinitely many terms.)
- Calculate Feynman diagrams. (Note: There will be infinitely many diagrams.)
- Find a scheme for assessing the importance of the various diagrams (because we cannot calculate infinitely many diagrams).

# The organizational scheme

## “Power Counting”

Organize the contributions in terms of  $\left(\frac{Q}{\Lambda}\right)^\nu$ ;

where  $Q$  denotes a momentum (derivative) or a pion mass ( $m_\pi$ );

$\Lambda$  is the chiral symmetry breaking scale,  $\Lambda \approx 1$  GeV;

and  $\nu \geq 0$ .



## Chiral Perturbation Theory (ChPT)

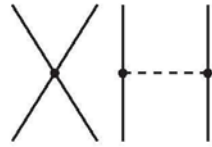
2N forces

3N forces

4N forces

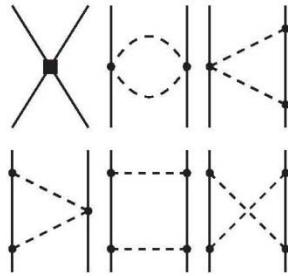
Leading Order

$Q^0$   
LO



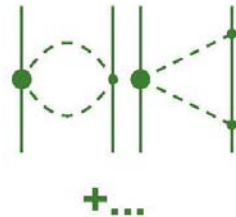
Next-to Leading Order

$Q^2$   
NLO

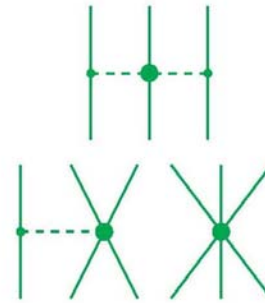


Next-to-Next-to Leading Order

$Q^3$   
 $N^2LO$

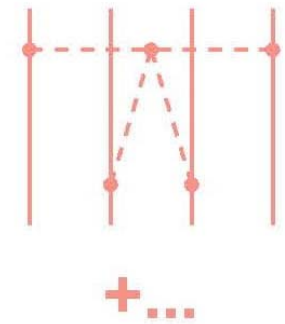
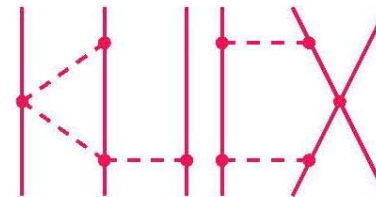
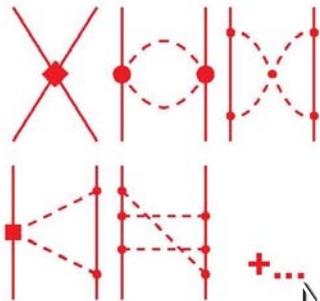


The Hierarchy of Nuclear Forces



Next-to-Next-to-Next-to Leading Order

$Q^4$   
 $N^3LO$



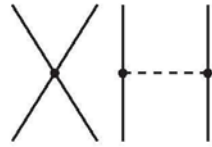
Nuclear Forces from ChEFT



# 2N forces

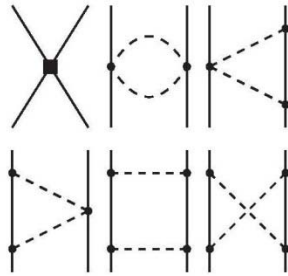
Leading Order

$Q^0$   
LO



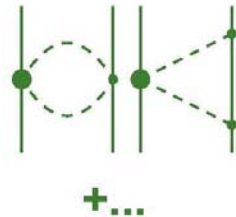
Next-to Leading Order

$Q^2$   
NLO



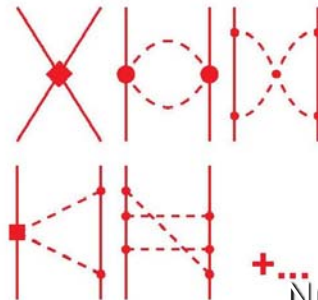
Next-to-Next-to Leading Order

$Q^3$   
 $N^2LO$



Next-to-Next-to-Next-to Leading Order

$Q^4$   
 $N^3LO$



The Hierarchy of Nuclear Forces

**What order do we need  
for sufficient precision?**

**Believe it or not,  
in answering this question,  
the phenomenological  
high-precision potentials  
of the 1990's can help us here.**

**How many  
parameters  
do we need?**

NUMBER OF PARAMETERS  
for the  $np$  potential

	Nijmegen PWA93	CD-Bonn “high precision”	NLO $Q^2$ (NNLO)	N <sup>3</sup> LO $Q^4$ (N <sup>4</sup> LO)	N <sup>5</sup> LO $Q^6$
$^1S_0$	3	4	2	4	6
$^3S_1$	3	4	2	4	6
$^3S_1$ - $^3D_1$	2	2	1	3	6
$^1P_1$	3	3	1	2	4
$^3P_0$	3	2	1	2	4
$^3P_1$	2	2	1	2	4
$^3P_2$	3	3	1	2	4
$^3P_2$ - $^3F_2$	2	1	0	1	3
$^1D_2$	2	3	0	1	2
$^3D_1$	2	1	0	1	2
$^3D_2$	2	2	0	1	2
$^3D_3$	1	2	0	1	2
$^3D_3$ - $^3G_3$	1	0	0	0	1
$^1F_3$	1	1	0	0	1
$^3F_2$	1	2	0	0	1
$^3F_3$	1	2	0	0	1
$^3F_4$	2	1	0	0	1
$^3F_4$ - $^3H_4$	0	0	0	0	0
$^1G_4$	1	0	0	0	0
$^3G_3$	0	1	0	0	0
$^3G_4$	0	1	0	0	0
$^3G_5$	0	1	0	0	0
<b>Total</b>	<b>35</b>	<b>38</b>	<b>9</b>	<b>24</b>	<b>50</b>

# $\chi^2$ /datum for the reproduction of the 1999 *np* database

Energy range (MeV)	# of data	<i>Bochum/Juelich</i>	
		NLO	NNLO
0–100	1058	4–5	1.4–1.9
100–190	501	77–121	12–32
190–290	843	140–220	25–69
0–290	2402	67–105	12–27

# Conclusion:

At least, **N3LO** is needed

Entem & Machleidt, PLB **524, 93**  
**(2002)**

$\chi^2/\text{datum}$  for the reproduction of the  
1999 *np* database

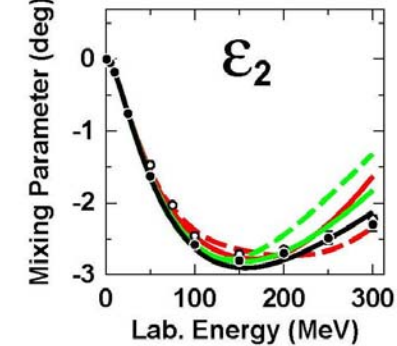
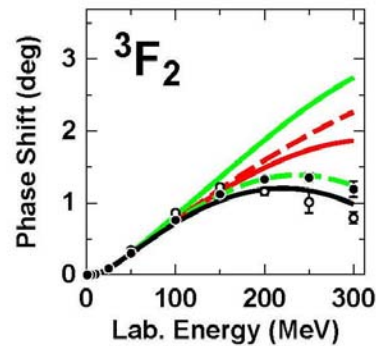
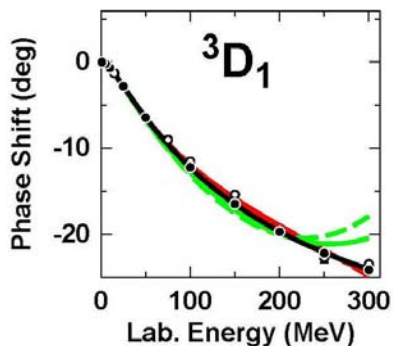
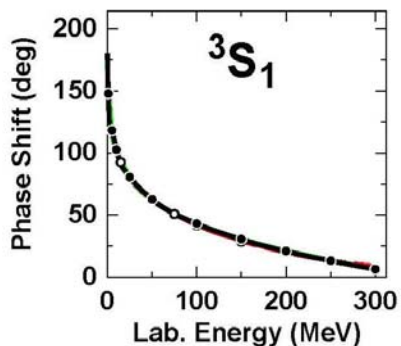
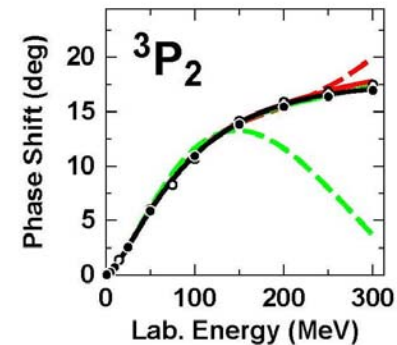
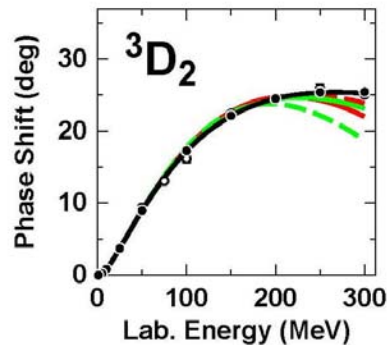
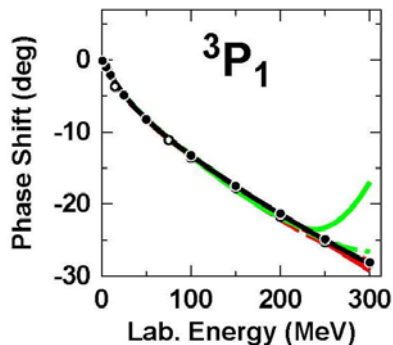
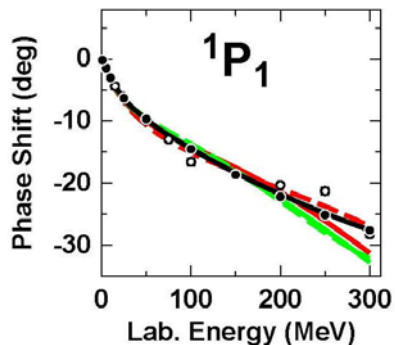
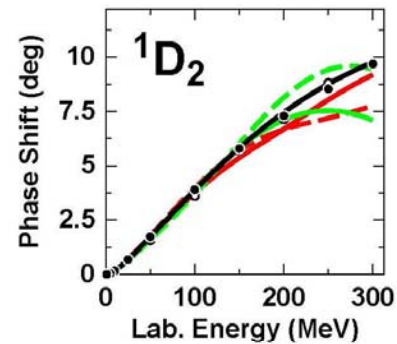
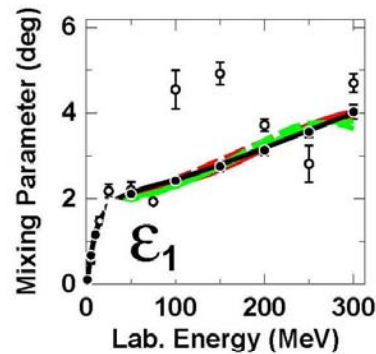
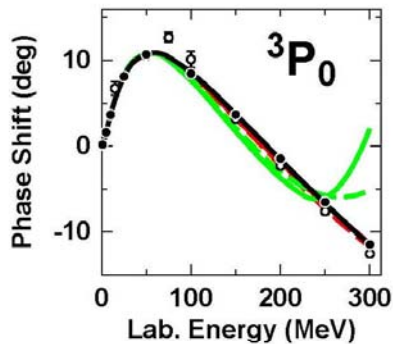
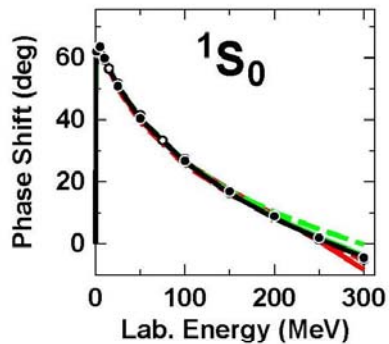
Energy range (MeV)	# of data	Idaho N <sup>3</sup> LO (500–600)	Bochum/Juelich N <sup>3</sup> LO (600/700–450/500)	Argonne V18
0–100	1058	1.0–1.1	1.0–1.1	0.95
100–190	501	1.1–1.2	1.3–1.8	1.10
190–290	843	1.2–1.4	2.8–20.0	1.11
0–290	2402	1.1–1.3	1.7–7.9	1.04

$\chi^2/\text{datum}$  for the reproduction of the  
1999 *pp* database

Energy range (MeV)	# of data	Idaho N <sup>3</sup> LO (500–600)	Bochum/Juelich N <sup>3</sup> LO (600/700–450/500)	Argonne V18
0–100	795	1.0–1.7	1.0–3.8	0.96
100–190	411	1.5–1.9	3.5–11.6	1.31
190–290	851	1.9–2.7	4.3–44.4	1.82
0–290	2057	1.5–2.1	2.9–22.3	1.38



red = Idaho N3LO, green = Juelich N3LO,  
black = CD-Bonn



## Microscopic nuclear structure based upon a chiral $NN$ potential

L. Coraggio,<sup>1</sup> A. Covello,<sup>1</sup> A. Gargano,<sup>1</sup> N. Itaco,<sup>1</sup> T. T. S. Kuo,<sup>2</sup> D. R. Entem,<sup>3,4</sup> and R. Machleidt<sup>3</sup>

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*and Istituto Nazionale di Fisica Nucleare, Complesso Universitario di Monte S. Angelo, Via Cintia, I-80126 Napoli, Italy*

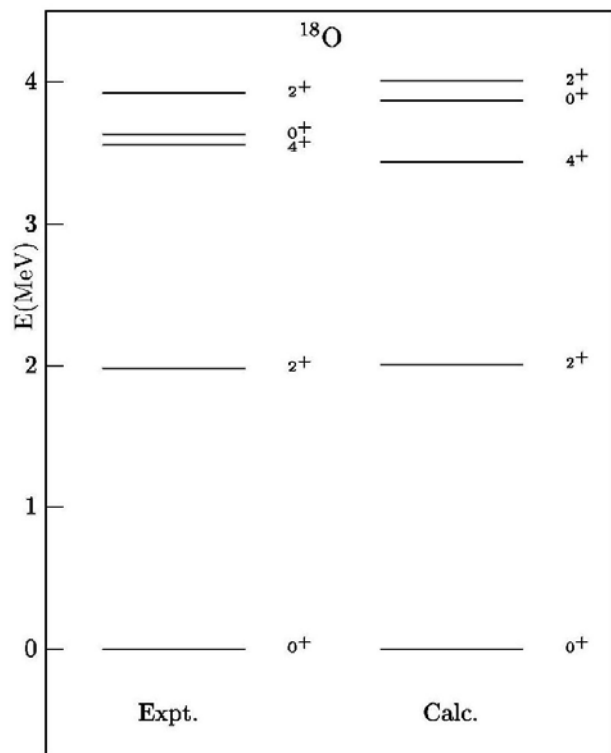


FIG. 1. Experimental and calculated spectra of  $^{18}\text{O}$ .

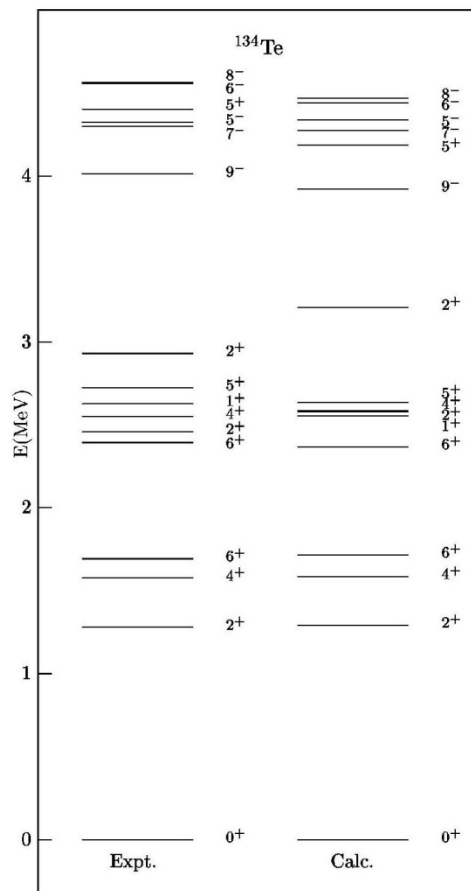


FIG. 2. Experimental and calculated spectra of  $^{134}\text{Te}$ .

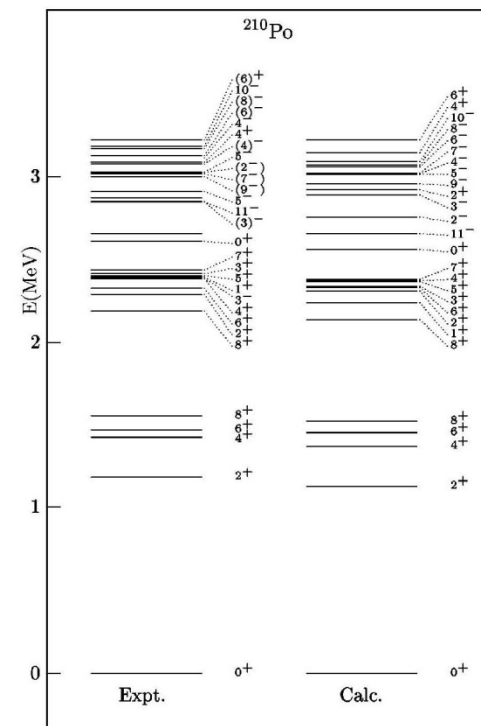


FIG. 3. Experimental and calculated spectra of  $^{210}\text{Po}$ .

## Microscopic nuclear structure based upon a chiral $NN$ potential

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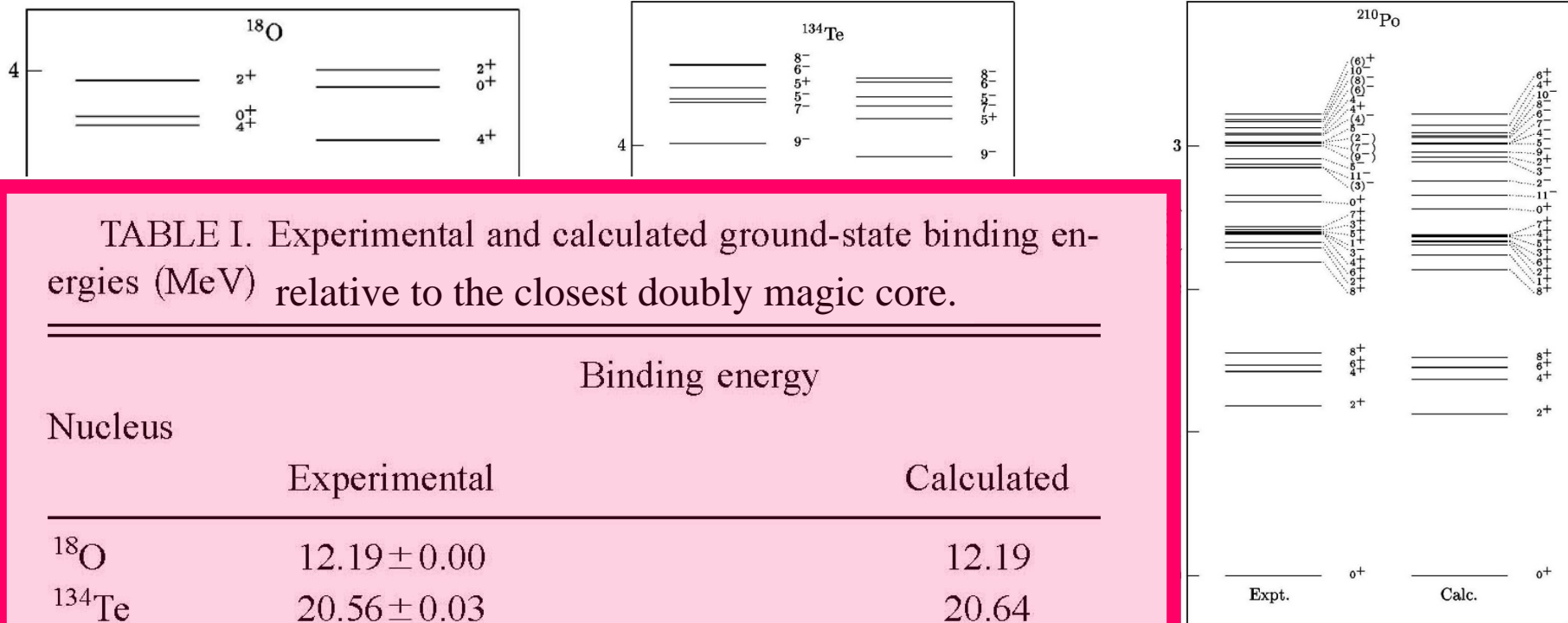


FIG. 3. Experimental and calculated spectra of  $^{210}\text{Po}$ .

FIG. 2. Experimental and calculated spectra of  $^{134}\text{Te}$ .

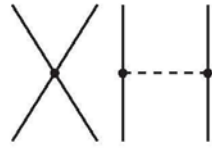
# 3N Forces

## 2N forces

## 3N forces

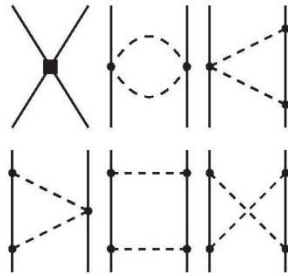
Leading  
Order

$Q^0$   
LO



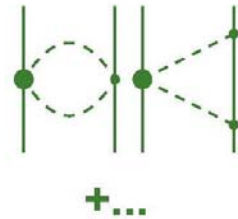
Next-to  
Leading  
Order

$Q^2$   
NLO



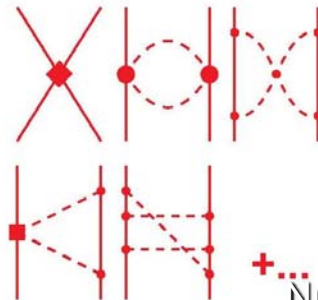
Next-to-  
Next-to  
Leading  
Order

$Q^3$   
 $N^2LO$



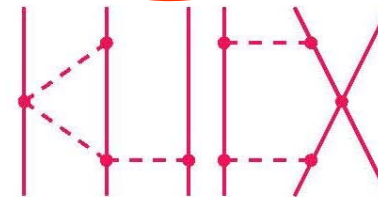
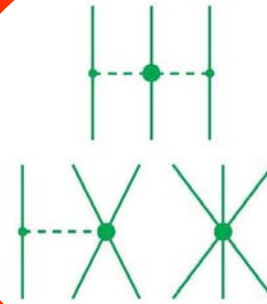
Next-to-  
Next-to-  
Next-to  
Leading  
Order

$Q^4$   
 $N^3LO$



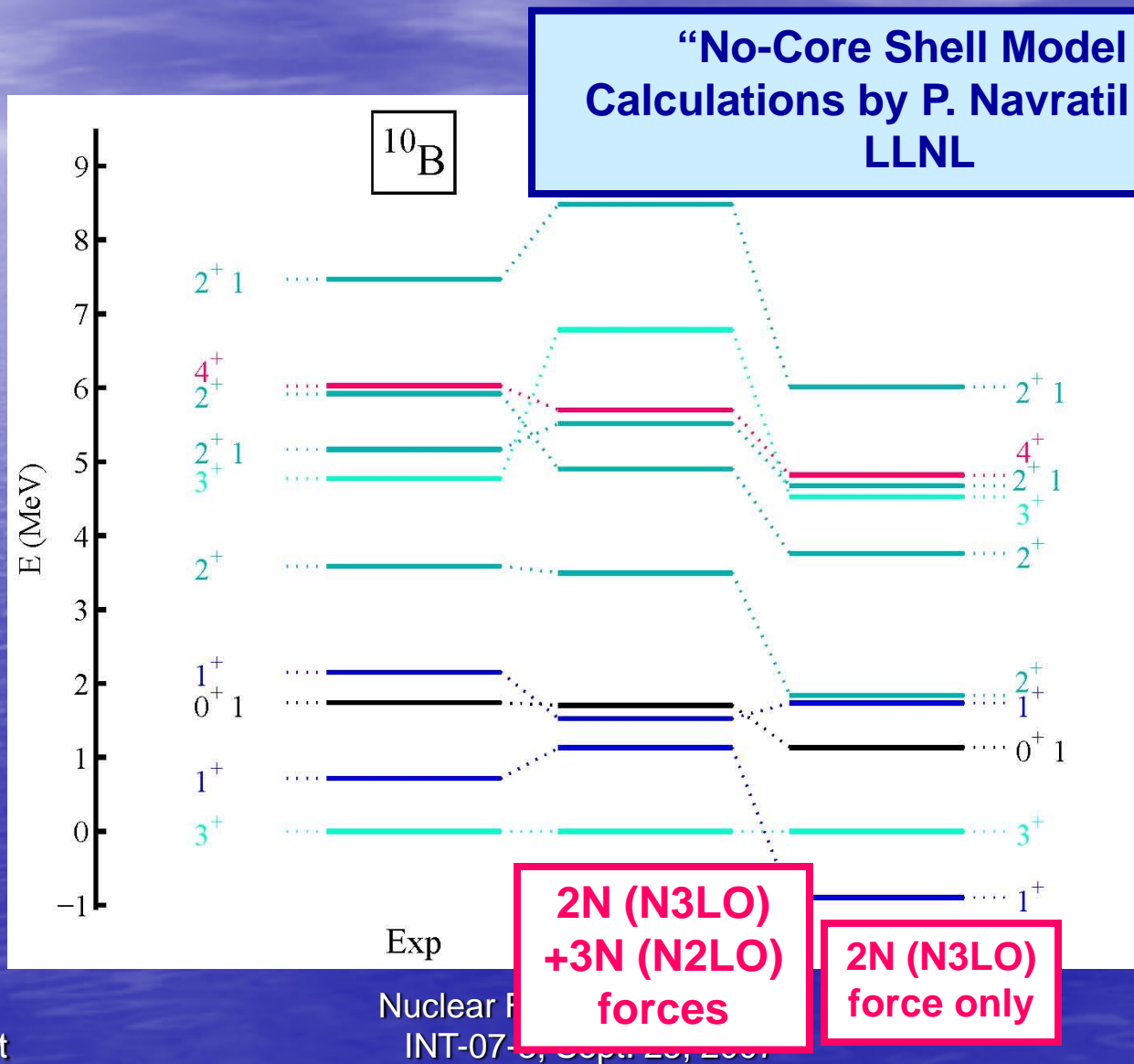
The Hierarchy of  
Nuclear Forces

3NF at  
NNLO

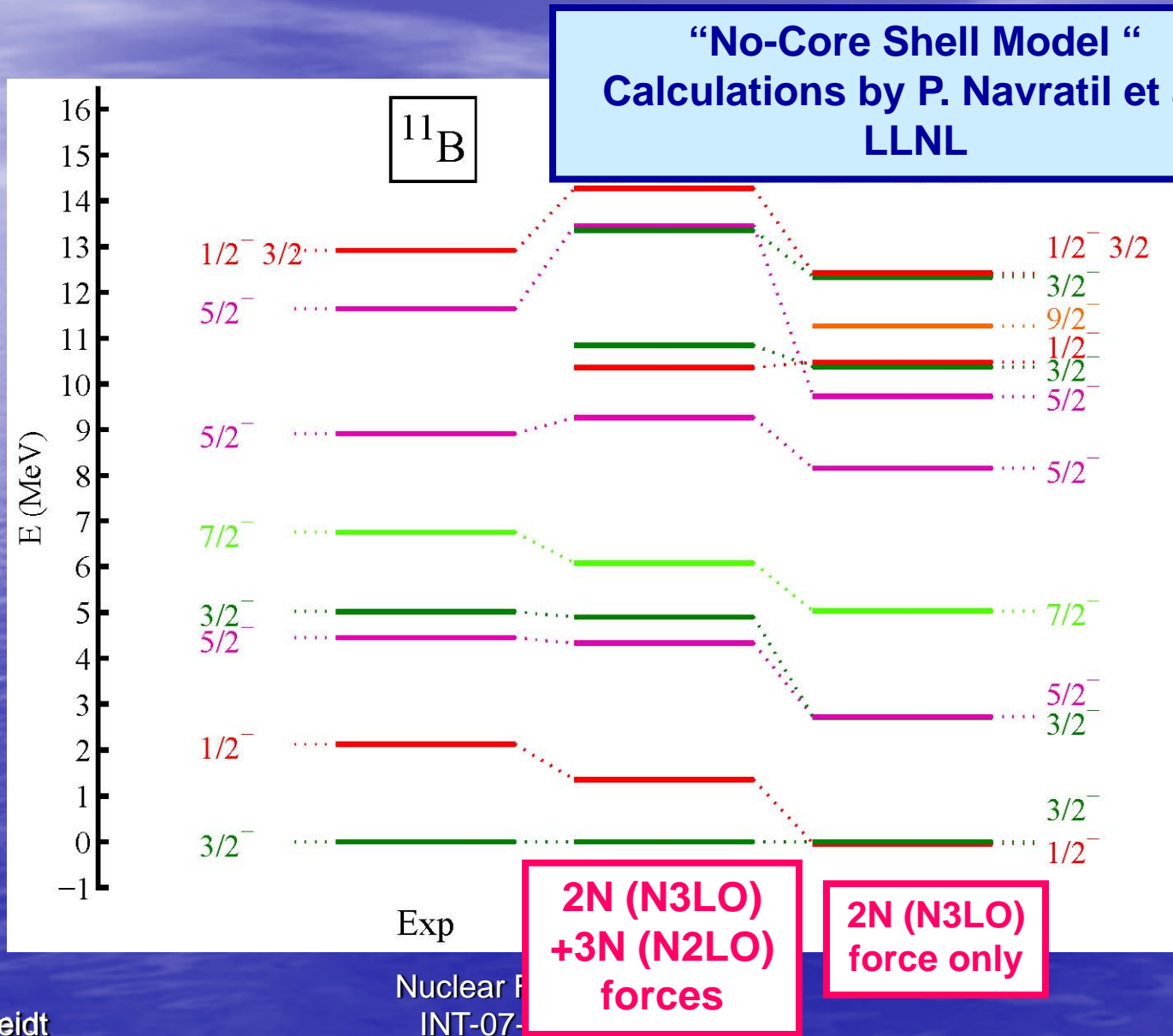


Nuclear Forces from ChEFT

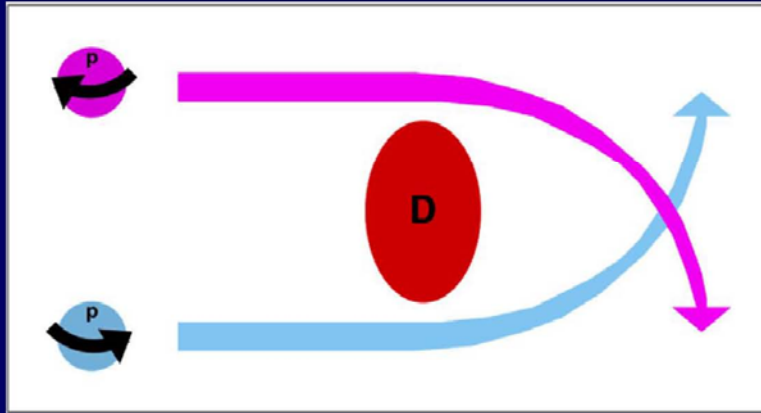
# Calculating the properties of light nuclei using chiral 2N and 3N forces



# Microscopic nuclear structure, cont'd



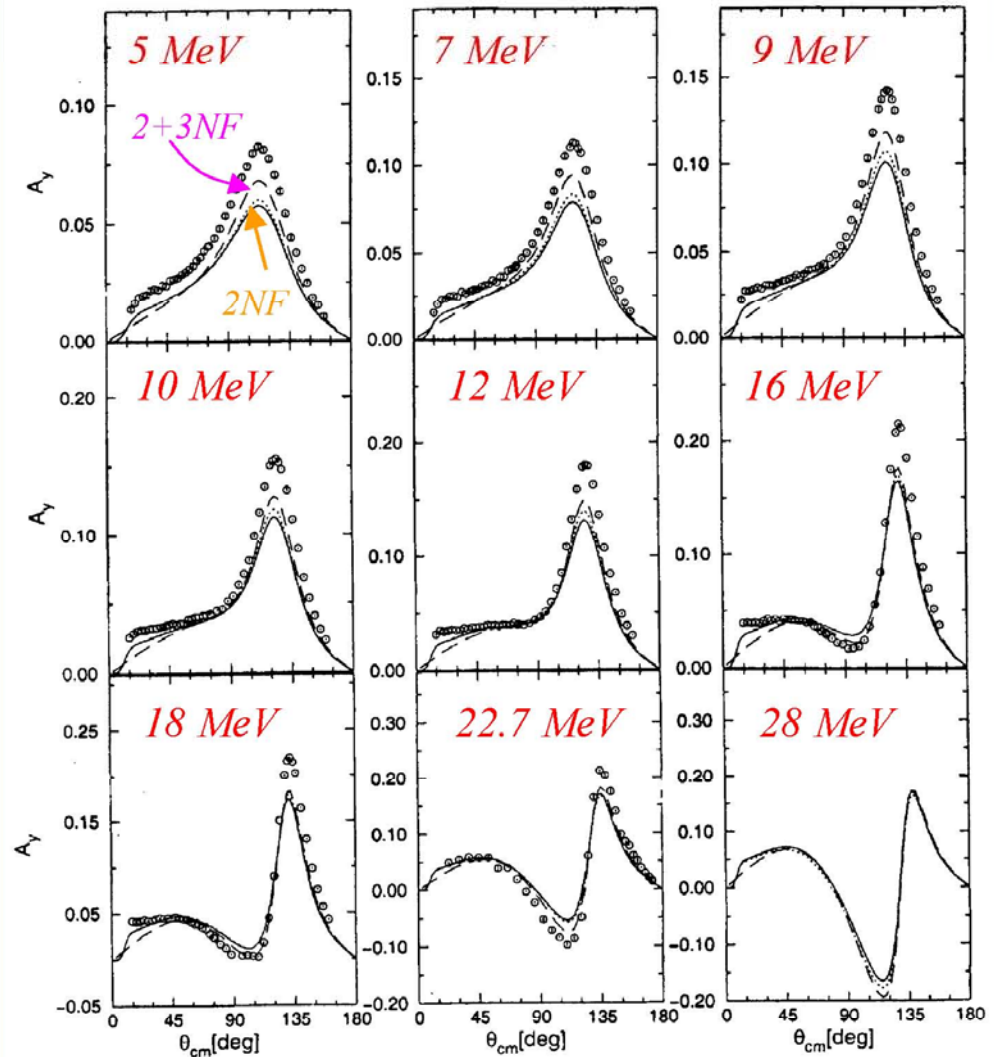
# The $A_y$ puzzle at low energies



$$\sigma = \sigma_0(1 + pA_y \cos \phi)$$

$$\Rightarrow A_y = \frac{\sigma_L - \sigma_R}{\sigma_L + \sigma_R}$$

*Calculations by Pisa group*

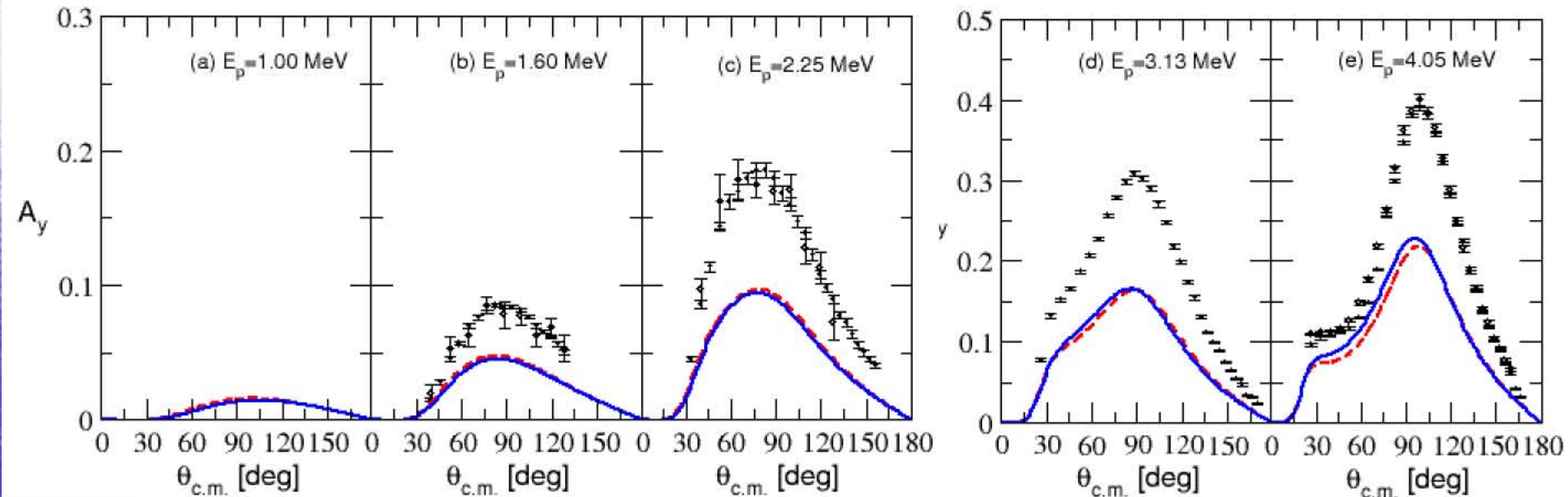




Very small effects from the  
(standard) 3N forces  
**p-<sup>3</sup>He elastic scattering**



Analyzing power



FB18  
August  
2006

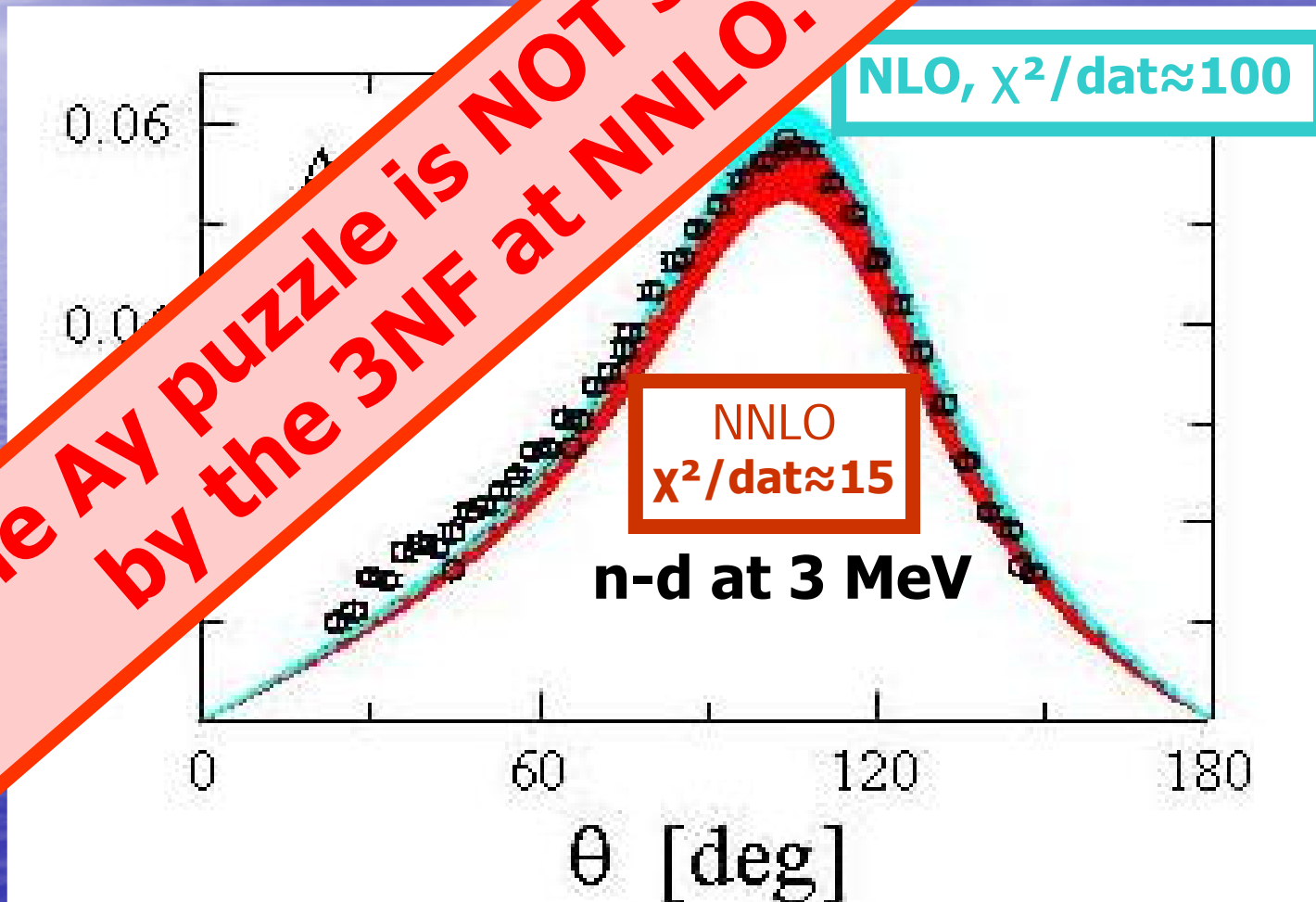
----- AV18      — AV18+UIX

MV, Marcucci, Kievsky & Rosati, PRC in press

Courtesy of M. Viviani;  
latest data from TUNL.

# Ay puzzle at NNLO and NNLO (2N)

The Ay puzzle is NOT solved by the 3NF at NNLO.

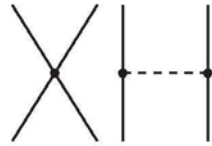


2N forces

3N forces

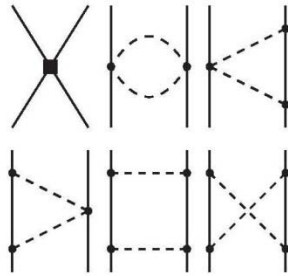
Leading Order

$Q^0$   
LO



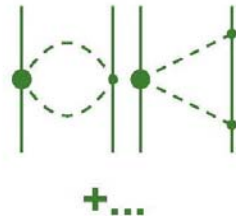
Next-to Leading Order

$Q^2$   
NLO



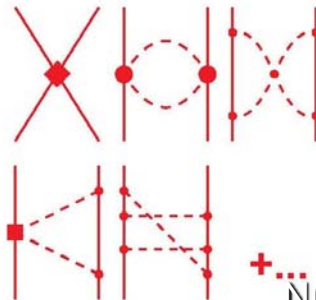
Next-to-Next-to Leading Order

$Q^3$   
 $N^2LO$

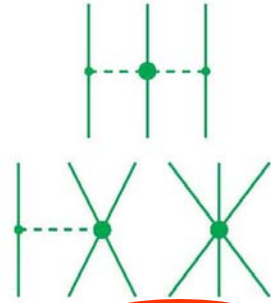


Next-to-Next-to-Next-to Leading Order

$Q^4$   
 $N^3LO$



The Hierarchy of Nuclear Forces



3NF at N3LO

Nuclear Forces from ChEFT

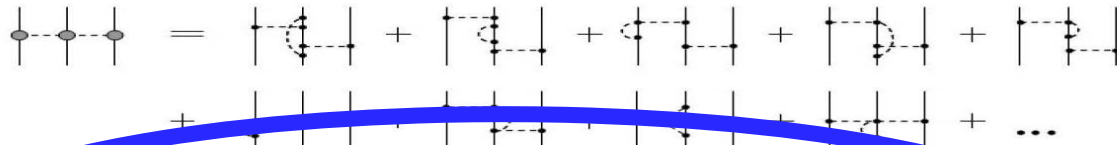
# Why do we need the 3NF at N<sup>3</sup>LO?

- The 2NF is N<sup>3</sup>LO;  
consistency requires that all contributions are at the same order.
- There are unresolved problems in 3N, 4N scattering and nuclear structure.

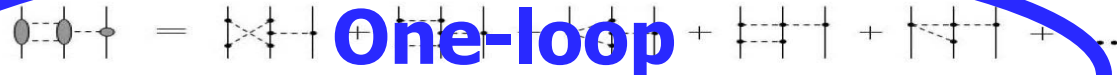
# The 3NF at N3LO

Bernard, Epelbaum, M., work in progress

•  $2\pi$



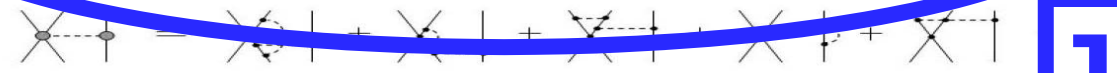
•  $2\pi-1\pi$



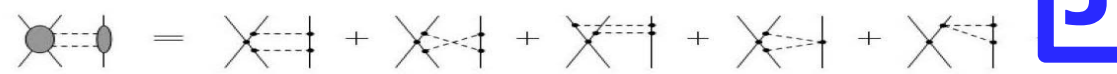
•  $2\pi-2N$



•  $1\pi$ -contact

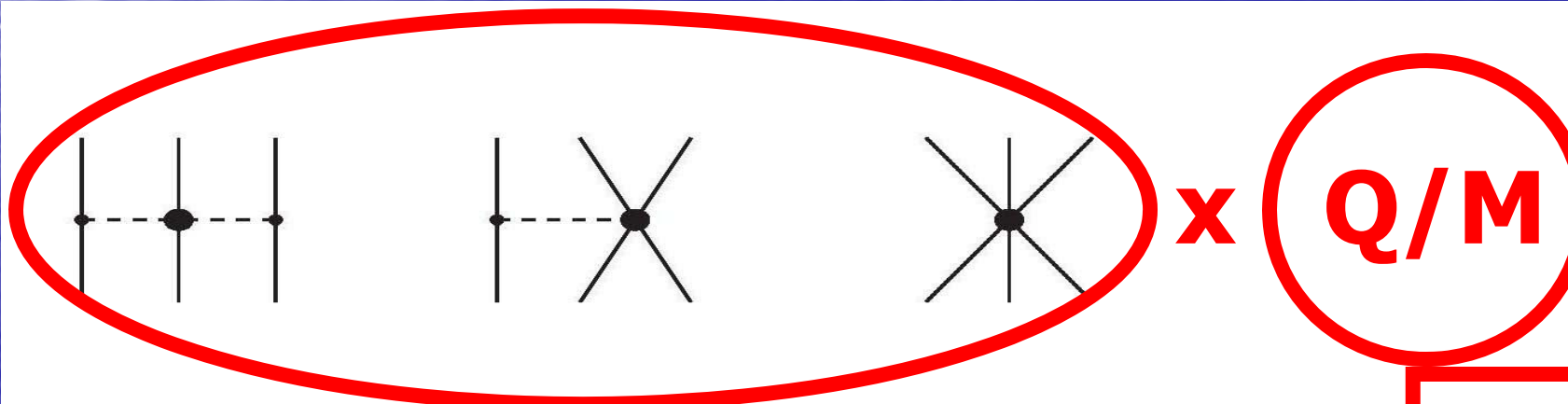


•  $2\pi$ -contact



**One-loop contributions**

**Juelich**

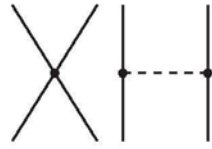


## 2N forces

## 3N forces

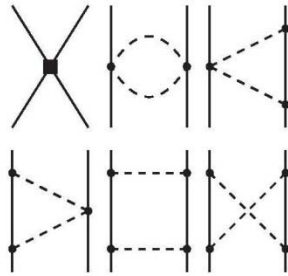
Leading  
Order

$Q^0$   
LO



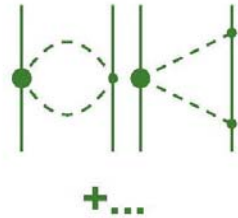
Next-to  
Leading  
Order

$Q^2$   
NLO



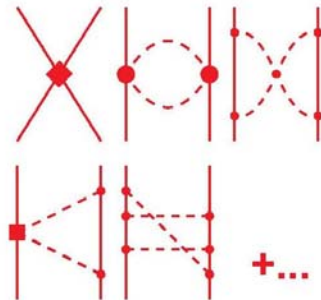
Next-to-  
Next-to  
Leading  
Order

$Q^3$   
 $N^2LO$

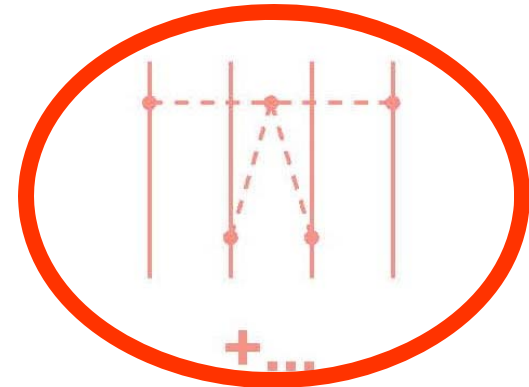
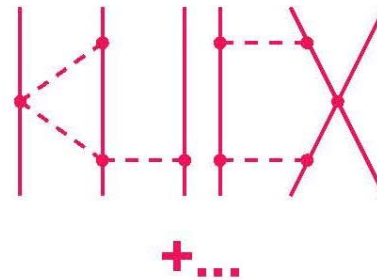
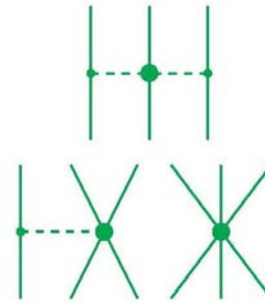


Next-to-  
Next-to  
Leading  
Order

$Q^4$   
 $N^3LO$

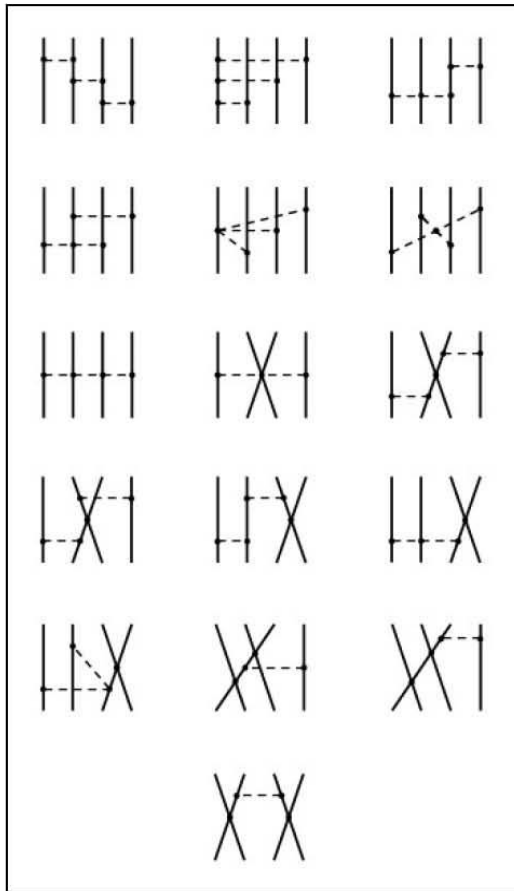


The Hierarchy of  
Nuclear Forces



# 4NF at N3LO (leading order)

Epelbaum, Phys. Lett. **B639** (2006) 456 [nucl-th/0511025]



Note that only vertices from  $L_{\pi\pi}^{(2)}$ ,  $L_{\pi N}^{(1)}$  and  $L_{NN}^{(0)}$  are involved,

- no new parameters,
- weak.

First rough estimate:

$\approx 0.1$  MeV to  $\alpha$  binding.

Do not expect much for  
4N scattering!

# Summary

- Chiral EFT **IS** fundamental (QCD based) **and** quantitative.
- At N3LO, some **accurate** NN potentials have been developed (but, watch it, not all N3LO pots. are accurate!).
- Two- and many-forces are created on an equal footing.
- Current results in nuclear structure including the 3NF at N2LO are encouraging (however, the N-d  $A_y$  puzzle is not solved!).



# Open issues, problems ...

- The 3NF at N3LO:  
Will it solve the N-d  $A_y$  puzzle, ... ,  
will it further improve the spectra of light nuclei, ... ?
- Do we need to go to N4LO, N5LO, ... ?
  - Not necessary for NN and if, then we have to go to N5LO - to get more contacts. Contacts at N5LO no problem, but the 2-pi, 3-pi, and 4-pi contributions will be a nightmare!

NUMBER OF PARAMETERS  
for the  $np$  potential

	Nijmegen PWA93	CD-Bonn “high precision”	NLO $Q^2$ (NNLO)	N <sup>3</sup> LO $Q^4$ (N <sup>4</sup> LO)	N <sup>5</sup> LO $Q^6$
$^1S_0$	3	4	2	4	6
$^3S_1$	3	4	2	4	6
$^3S_1$ - $^3D_1$	2	2	1	3	6
$^1P_1$	3	3	1	2	4
$^3P_0$	3	2	1	2	4
$^3P_1$	2	2	1	2	4
$^3P_2$	3	3	1	2	4
$^3P_2$ - $^3F_2$	2	1	0	1	3
$^1D_2$	2	3	0	1	2
$^3D_1$	2	1	0	1	2
$^3D_2$	2	2	0	1	2
$^3D_3$	1	2	0	1	2
$^3D_3$ - $^3G_3$	1	0	0	0	1
$^1F_3$	1	1	0	0	1
$^3F_2$	1	2	0	0	1
$^3F_3$	1	2	0	0	1
$^3F_4$	2	1	0	0	1
$^3F_4$ - $^3H_4$	0	0	0	0	0
$^1G_4$	1	0	0	0	0
$^3G_3$	0	1	0	0	0
$^3G_4$	0	1	0	0	0
$^3G_5$	0	1	0	0	0
<b>Total</b>	<b>35</b>	<b>38</b>	<b>9</b>	<b>24</b>	<b>50</b>

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  - Illinois 3NF contains N4LO and N5LO terms!

**Realistic models of pion-exchange three-nucleon interactions**

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(Received 1 February 2001; published 6 June 2001)

**“Illinois”  
3NFs**

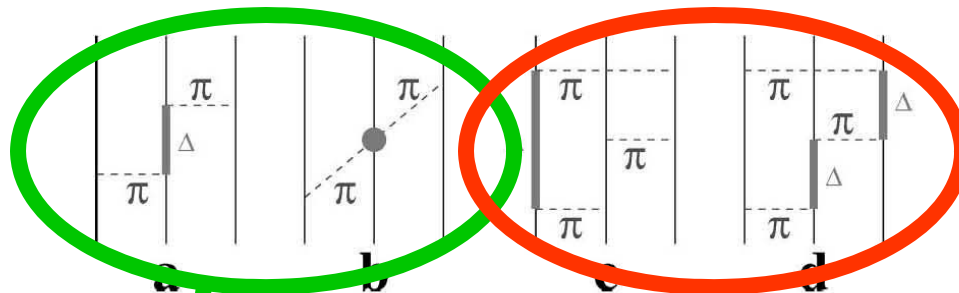
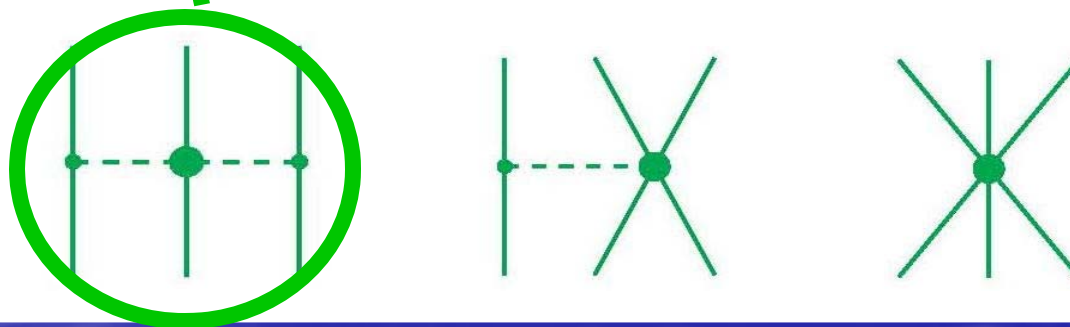


FIG. 2. Three-body force Feynman diagrams: (a) is the Fujita-Miyazawa, (b) is two-pion exchange with one  $\Delta$  in intermediate state, (c) is two-pion exchange with one  $\Delta$  in intermediate state and one pion exchange between the third nucleon and the intermediate state, (d) is two-pion exchange with one  $\Delta$  in intermediate state and one pion exchange between the third nucleon and the intermediate state, with a  $\Delta$  in the final state.

**N4LO and N5LO  
(in a theory w/o explicit  
Deltas)**

**N2LO  
3NFs**



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- Do we need to go to N4LO, N5LO, ... ?
  - Not necessary for NN and if, then we have to go to N5LO - to get more contacts. Contacts at N5LO no problem, but the 2-pi, 3-pi, and 4-pi contributions will be a nightmare!
  - Illinois 3NF contains N4LO and N5LO terms!
- The renormalization of the chiral NN potential: What's the right power counting scheme? Weinberg counting has some problems!

QCD



**Nuclear structure and reactions**

**Lattice  
QCD**

QCD

**EFT**

Matching

Nuclear Forces

*Ab initio* calculations

**We are  
getting  
there**

**Nuclear structure and reactions**

