

The Symmetry Energy in Nuclei and Nuclear Matter

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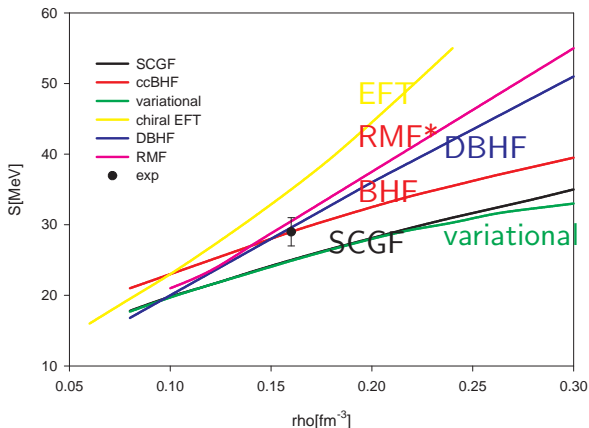
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INT, October 2007

Density dependence of SE in nm

$$S(\rho) = \frac{1}{2} \frac{\partial^2 E(\rho, \alpha)}{\partial \alpha^2} \Big|_{\alpha=0} = S_v + \frac{p_0}{\rho_s^2} (\rho - \rho_s) + \frac{\Delta K}{18 \rho_s^2} (\rho - \rho_s)^2 + \dots$$



$$\alpha = (N - Z)/A$$

ρ_s : saturation dens

two-body NN only
Main uncertainty:
three-body force
at higher densities

What about constraints from nuclei?

Relevance: Neutron stars: $P(\rho \sim \rho_s) \approx \rho_s^2 S'(\rho_s)$ and $x_s(\rho) \sim (S/\hbar c)^3$

Nuclear symmetry energy from nuclei

Issue: Density dependence of symmetry energy at subsaturation densities

Two methods: Microscopic (“mean field” using effective Lagrangian)
Phenomenological approach (this talk)

Content:

- Extended Liquid Drop Model formula
- Volume and Surface Symmetry Energy
- Shell effects, Wigner energy, Coulomb energy
- Information from Neutron skin
- SE in Nuclear matter

Recent work by

Steiner et al, Danielewicz, Jänecke, ...

Symmetry energy

Energy density $E(\rho, \alpha = (N - Z)/A)$

Expand in asymmetry $E(\rho, \alpha) = E(\rho, 0) + S(\rho)\alpha^2 + O(\alpha^4) + \dots$,

Expand around saturation density ρ_s

$$E(\rho, 0) = E_0 + \frac{K}{18\rho_s^2}(\rho - \rho_s)^2 + \dots,$$

$$S(\rho) = \frac{1}{2} \frac{\partial^2 E(\rho, \alpha)}{\partial \alpha^2} \Big|_{\alpha=0} = S_V + \frac{p_0}{\rho_s^2}(\rho - \rho_s) + \frac{\Delta K}{18\rho_s^2}(\rho - \rho_s)^2 + \dots$$

$S_V \approx 30 \pm 2 \text{ MeV}$; p_0 : little info

ΔK : recently from ISGMR in Sn isotopes: $E_{GMR} = \sqrt{\frac{\hbar^2 K_A}{mR^2}}$

compressibility $K_A(N, Z) = K_\infty + \frac{(N-Z)^2}{A^2} K_\tau + K_{Coul} \frac{Z^2}{A^{4/3}} + \dots$

exp: $K_\tau = -440 \pm 40 \text{ MeV}$ (Garg et al)

hence $\Delta K = K_\tau + 9p_0/\rho_s \approx -340 \text{ MeV}$

Bethe-Weizsäcker formula

- Conventional **Bethe-von Weizsäcker** (liquid drop) formula

$$E_A = -a_B A + a_{surf} A^{2/3} + a_{sym} (N - Z)^2 / A + a_C \frac{Z^2}{A^{1/3}} + E_{pair}$$

- **Incomplete form of symmetry energy**
(noted by Bohr-Mottelson, Myers, Danielewicz,..)
Need to introduce **volume** and **surface** symmetry energy
- **Coulomb** needs to be refined
- **shell corrections** need to be added

Symmetry energy in Bethe-Weizsäcker

- Improved BW: $E_{sym} = E(vol, surf)$

Decompose $N - Z = N_s - Z_s + N_v - Z_v$

$$E_{surf}^A = E_{surf}^0 + S_{surf}(N_s - Z_s)^2/A^{2/3}$$

$$E_{vol}^A = a_B A + S_{vol} \frac{(N_v - Z_v)^2}{A}$$

minimize $(E_v + E_s)$ under fixed $N - Z$:

$$\frac{N_s - Z_s}{N_v - Z_v} = A^{-1/3} S_v / S_s = A^{-1/3} y$$

$$E_A = -a_B A + a_{surf} A^{2/3} + \frac{S_v}{1+yA^{-1/3}} (N - Z)^2 / A + \dots$$

- LDM yields also relation between skin ΔR , and S_s, S_v

$$\frac{R_n - R_p}{R} = \frac{A(N_s - Z_s)}{6NZ} = \frac{A}{6NZ} \frac{N - Z - a_c Z A^{2/3}}{1 + A^{1/3}/y}$$
 note: depends on y only

Coulomb term!

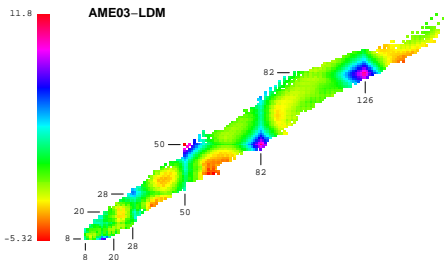
Danielewicz, NPA 727(2003)233; Steiner et al, Phys Rep 411,325

LDM: shell corrections

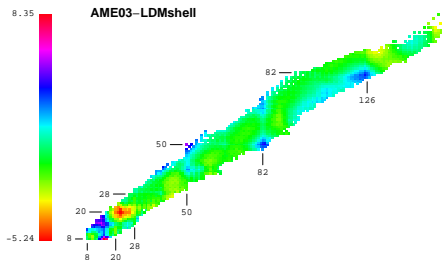
Further corrections to LDM:

1. **shell effects**: simple parametrization (x_v : # valence nucleons) works

$$E_{shell} = a(n_v + z_v) + b(n_v + z_v)^2 + c(z_v \cdot n_v) \quad c \approx 0 \rightarrow 2 \text{ par's } a, b$$



BE(exp)-BE(LDM-fit)



BE(exp)-BE(LDM+ **Shell corr**)

data base: Audi-Wapstra(2003) (2200 nuclei)

Similar (but simpler) to Duflo-Zuker; **rms dev. reduced 50% to 1.3MeV**

magic numbers: $N_0, Z_0 = 8, 20, 28, 50, 82, 126$ (note: $Z = 40$)

beyond midshell particles are counted as holes

LDM: shell corrections(2)

- Similar to Interacting Boson Model (IBA) (**F-spin**)
 $\Delta E_{sh} = aF_{max} + bF_{max}^2$ with $F_{max} = n_v + z_v$
- Can be improved by introducing two types of c.s. (Duflo - Zuker)
 - (i) **harmonic oscillator** ($N_0, Z_0 = 2, 8, 20, 40, \dots$)
 - (ii) **L.S** ($N'_0, Z'_0 = 2, 6, 14, 28, 50, \dots$)
(the "high-spin", $j = l_m + 1/2$, intruder orbital included in lower shell)

Example: Replacement of "20" by "14" improves fit by 10%

LDM: Wigner energy

2. Treatment of neutron-proton correlations require more care.

Replace $(N - Z)^2$ by $4\hat{T}^2 = 4T(T + 1)$??

Additional **linear term**, known as **Wigner energy**, can be present

$$B_w(N, Z) = -W(A)|N - Z| - d(A)\delta_{N,Z}\pi_{np}$$

Origin: overlap neutron and proton wave functions maximal if $N = Z$

small A: In **supermultiplet theory** (SU(4), no L.S) : $E \sim T(T + 4)$
compared to “charge independence” (SU(2)): $E \sim T(T + 1)$

large A: $(N - Z)^2 \rightarrow T(T + r)$ with r parameter (Jänecke)
(found to vary with region, in cases $r \sim 4$)

affects value of $a_{sym} = E/T(T + r)$

Inclusion of shell effects leads to $r \approx 1$

Wigner energy vs shell effects

Distinguish 2 situations

1) **neutrons** are **particles** and **protons holes** (or **vv**)

e.g. $66 < Z < 82$, $82 < N < 104$

shell corrections expressed in terms of **isospin**:

$$n_v = n_n + n_p = \Omega - (N - Z) = \Omega - 2T$$

Hence $\Delta E_{\text{shell}}(N, Z) = an_v + bn_v^2 + c$

can be absorbed into $a_{\text{sym}} T(T + r)$.

2) For pp (hh) situation: No net correction

Coulomb energy

3. **Coulomb energy** $E_C = \frac{Z^2}{R_c}$ needs to be refined
corrections for exchange, diffuseness etc

Various approaches

- **charge radius** $R_c = r_0 A^{1/3} \rightarrow R_c = r_0 A^{1/3} (1 + a \frac{N-Z}{A} + ..)$
(Duflo-Zuker; a fitted to charge radii)
- $Z \rightarrow Z_v + Z_s$ then $E_C = \frac{e^2}{R} (\frac{3}{5} Z_v^2 + Z_v Z_s + \frac{1}{2} Z_s^2) + E_{diff}$ (Danielewicz)
uniform sphere \uparrow \uparrow uniform shell
leads to $E_C \approx \frac{Z(Z-1)}{A^{1/3}(1+\Delta)}$ $\Delta \approx \frac{N-Z}{A} \frac{1}{1+yA^{1/3}}$

* qualitatively similar

* improves fit to masses (rms dev by 10% ,no additional par's)

* if pure isospin: $\Delta R = R_n - R_p \approx 2a \frac{N-Z}{A}$

* important constraint: Coulomb displacement energies (CDE)

Fit to complete LDM formula **not practical** : too many par's...

eliminate isoscalar, pairing, Coulomb, .. by using **differences of masses**

Two ways to proceed

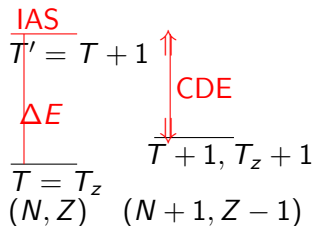
1. **Isobaric analogue states (IAS)** (Danielewicz, Jänecke)

$$E_A \sim \frac{S_v}{1+yA^{-1/3}} \cdot 4T(T+1)$$

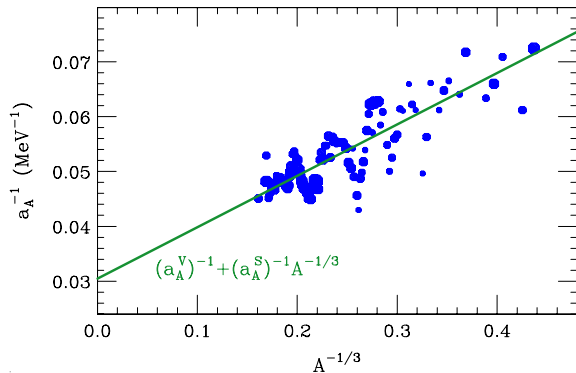
Take difference, invert

$$S_v^{-1} + S_s^{-1}A^{-1/3} = \frac{4[T'(T'+1)-T(T+1)]}{A\Delta E}$$

(assuming **charge independence, r=1**)



S_{vol}, S_s from fit to IAS



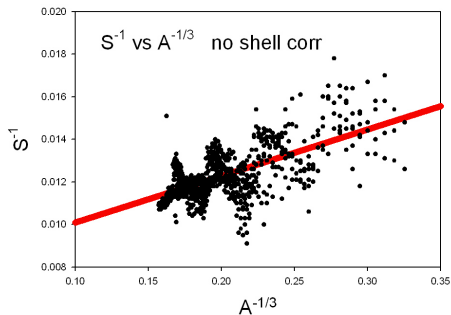
$S_A^{-1} = 1/S_v + A^{-1/3}/S_s$ vs $A^{-1/3}$ from Danielewicz Nucl-th/0411115

$S_{vol} \sim 31 \pm 2$ MeV (cf fit to gs energies 29 ± 2 MeV)

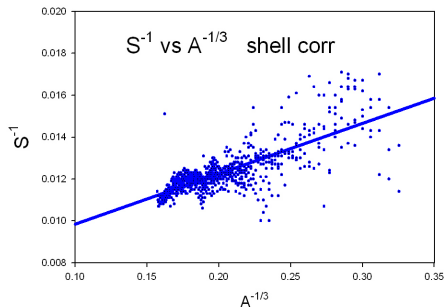
Values of S_v and S_s correlated!

examples of IAS fits

Computed IAS energies from estimated Coulomb displacement energies



$$S_V = 31.4 \pm 1.9 \text{ MeV}$$



$$S_V = 33.6 \pm 1.4 \text{ MeV}$$

Use of isovector chem potential

2. Use **n-p separation energies** (Steiner)

Isovector chemical potential $\frac{dE}{d\rho_n} - \frac{dE}{d\rho_p}$

$$\mu_a = \mu_n - \mu_p = \frac{1}{2}[B(N+1, Z) - B(N, Z+1) + B(N-1, Z) - B(N, Z-1)]$$

From LDM formula: $E \approx \frac{(N-Z)^2}{A} S_A + a_c \left(\frac{Z^2}{A^{1/3}} + \dots \right)$

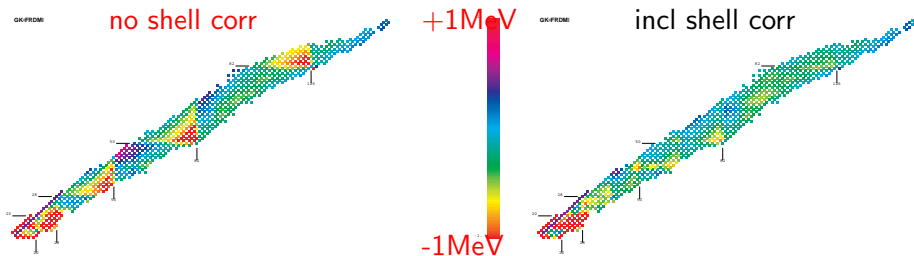
$$\mu_a = 2 \frac{N-Z}{A} S_A + 2a_c \left[\frac{Z-1}{(A-1)^{1/3}} + \frac{Z+1}{(A+1)^{1/3}} \right]$$

Leads to 2-par fit: $S_A = \frac{S_v}{1+yA^{-1/3}}$

Add shell corrections, and Wigner term ($N - Z \rightarrow N - Z + 1$)

result for separation energies

$$\mu_a(\text{exp}) - \mu_a(\text{fit}) \text{ (MeV)}$$



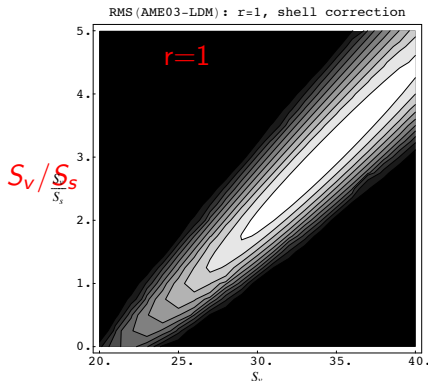
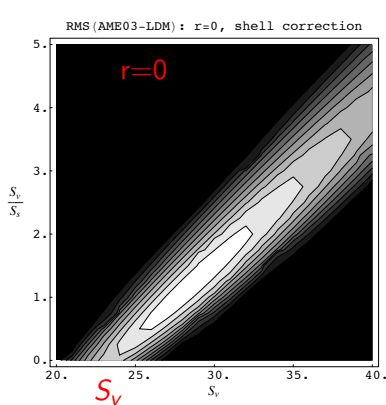
$$S_V = 30.1 \pm 0.7 \text{ MeV}, y = 2.8$$

$$S_V = 31.6 \pm 0.8 \text{ MeV } y = 2.4$$

$$S_A = \frac{S_V}{1+yA^{-1/3}} \approx \frac{A}{N-Z} \mu_a + E_C$$

Correlations

Correlations between S_s and S_v from LDM fit to masses



each contour: 100keV increase

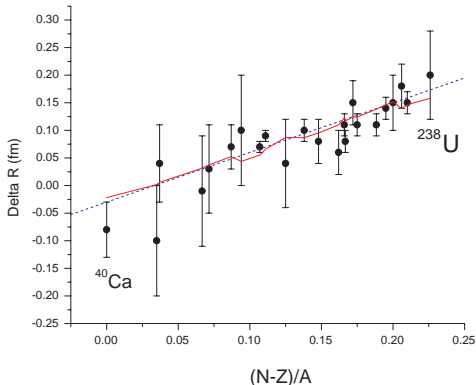
Explanation $\frac{S_v}{1+S_v A^{-1/3}/S_s} \rightarrow \langle A^{1/3} \approx 6 \rangle \rightarrow S_v/S_s = a + bS_v$

Way out: use neutron skin data: $y = S_v/S_s \sim \Delta R$

neutron skin

In LDM direct relation between **neutron skin** and **surface asymmetry**

$$\frac{R_n - R_p}{R} = \frac{A(N_s - Z_s)}{6NZ} = \frac{A}{6NZ} \frac{N - Z - a_c ZA^{2/3}(12S_v)^{-1}}{1 + y^{-1}A^{1/3}}$$



data from anti-protonic atoms
(Trzcinska et al, PRL 87)

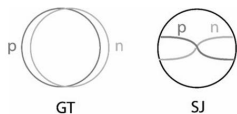
$$\dots \Delta R = -0.03 + 0.90 \frac{N-Z}{A}$$

$$\text{--- } y = 1.9 \pm 0.2 \text{ (our fit)}$$

shell effects ignored

Isvector dipole resonances contain info on SE

Two extreme models **Steinweibel-Jensen** (volume oscillation, $S_s = 0$) and **Goldhaber-Teller** (surface osc, $S_v = 0$) modes.



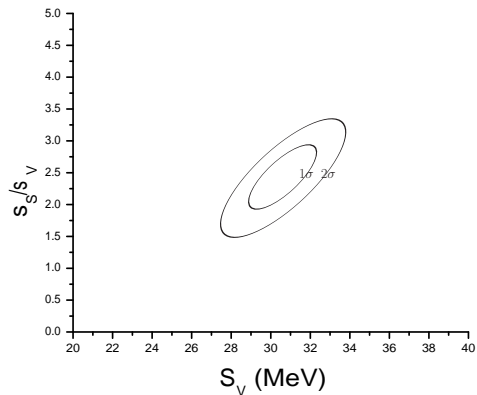
$$E_{\text{GDR}} = \sqrt{\frac{6(1+K)S_v}{m\langle r^2 \rangle (1 + \frac{5}{3}y_s A^{-1/3})}}$$

Main limitation: Exp uncertainty in E_{GDR}

New **Pygmy GDR**: oscillation of neutron excess wrt core?

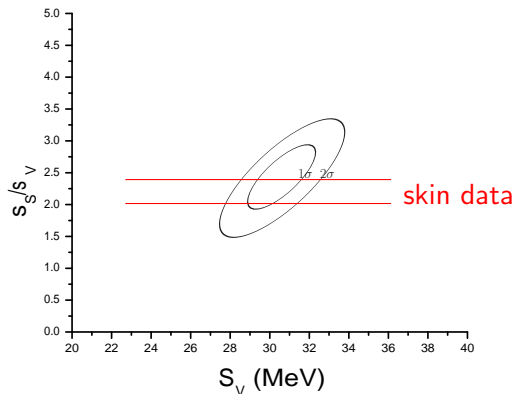
Result for S_V, S_S

Combined results from sep. energies and skin



Result for S_V, S_S

Combined results from sep. energies and skin



present: $S_V = 31.5 \pm 1.5$ MeV, $y = 2.0 \pm 0.2$

cf Danielewicz $S_V = 31 \pm 1.3$ MeV, $y = 2.8 \pm 0.2$ ([different data for \$\Delta R\$](#))

from nuclei to nuclear matter

Clearly S_s gives one more constraint on $S_{nm}(\rho)$

Use LDA: ratio S_s/S_v is integral over $\rho(r)$

$$\frac{S_v}{S_s} \approx \frac{3}{R\rho_0} \int \rho(r) \left(\frac{S(\rho_s)}{S(\rho(r))} - 1 \right) dr$$

Follows from the minimization of the second-order energy functional (in $\frac{N-Z}{A}$)

$$E = E_0 + \int d^3r S(\rho(r)) \rho(r) (\rho_a/\rho)^2 \quad \text{with } \rho_a \equiv \rho_n - \rho_p$$

under fixed particle numbers $A = \int \rho dr$, $N - Z = \int \rho_a dr$

Note: if S independent of ρ then $S_v/S_s \rightarrow 0$

Results for nuclear matter

In practice take $S_{nm}(\rho) = S_v(\rho/\rho_s)^\gamma$

Danielewicz: $0.55 < \gamma < 0.79$

Theory (RMF) : Piekarewicz: $\gamma = 0.98$ (NL3), 0.64 (FSUGold)

B.-A. Li (exp) (isospin diffusion) $0.7 < \gamma < 1.1$

Presnt: $\gamma = 0.55 \pm 0.1$ **Soft EOS**

- For analysis of Symmetry energy
LDM must be extended with surface term
- Shell effects affect values of SE
- Data on neutron skin are needed
- Analysis suggests “soft EOS ”

* Recent **Asymmetry compressibility ΔK** :

from ISGMR in Sn isotopes: $E_{GMR} = \sqrt{\frac{\hbar^2 K_A}{mR^2}}$

Decompose $K_A(N, Z) = K_\infty + \frac{(N-Z)^2}{A^2} K_\tau + K_{Coul} \frac{Z^2}{A^{4/3}} + \dots$

exp: $K_\tau = -440 \pm 40$ MeV (Garg et al)

$\Delta K = K_\tau + 9\rho_0/\rho_s \approx -340$ MeV

* **Pygmy GDR** : low-energy oscillation of neutron excess wrt core?
correlation between relative dipole strength and neutron skin (Piekarewicz)

* Heavy-ion reactions (n-p flow) address $\rho > \rho_s$