

Bare Perturbation Theory, MOM schemes, finite volume schemes (lecture II)

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A couple of exercises

- 1 Check that Λ and M are indeed solutions of the Callan-Symanzik equation
- 2 Minimal subtraction of logarithms:
in perturbation theory we may introduce a renormalised coupling $g_{\text{lat}}(\mu)$ such that

$$g_{\text{lat}}(\mu = 1/a) = g_0$$

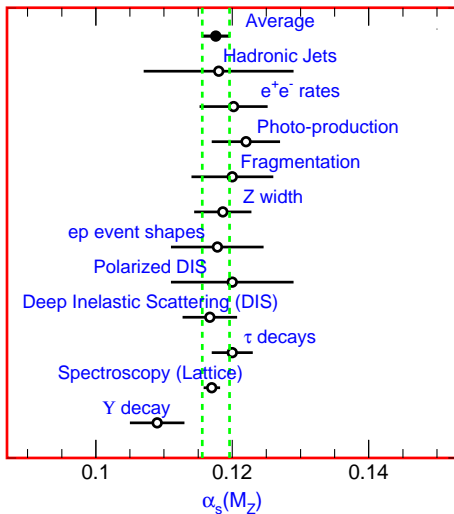
The couplings can be related in perturbation theory

$$g_{\text{lat}}^2(\mu) = g_0^2 + c_1(a\mu)g_0^4 + O(g_0^6)$$

The l.h.s. is renormalised and has a continuum limit. Compute $c_1(a\mu)$ and derive the behaviour of $g_0(a)$ for $a \rightarrow 0$, which follows from assuming that $g_{\text{lat}}(\mu)$ is independent of a .

- 1 Lattice results in the PDG
- 2 Bare perturbation theory
- 3 QCD and composite operators
- 4 Renormalisation Group Invariant operators
- 5 Perturbation Theory vs. Non-perturbative Methods
- 6 Momentum subtraction schemes
- 7 Finite volume schemes

World average for $\alpha_s(m_Z)$



[PDG 2005]

N.B. Lattice result claims the smallest error!

Staggering results from the HPQCD coll. (“High Precision QCD”):

[HPQCD coll., Q. Mason et al. '05] Determination of QCD parameters in the $\overline{\text{MS}}$ scheme with very small errors:

$$\alpha_s(m_Z) = 0.1170(12)$$

$$\hat{m}^{\overline{\text{MS}}}(2 \text{ GeV}) = 3.2(0)(2)(2)(0) \text{ MeV} \quad \text{errors:}$$

$$m_s^{\overline{\text{MS}}}(2 \text{ GeV}) = 87(0)(4)(4)(0) \text{ MeV} \quad (\text{stat.})(\text{syst.}) (\text{pert.})(\text{e.m. isospin})$$

- $N_f = 2 + 1$ rooted staggered quarks (MILC configurations), staggered chiral perturbation theory (cf. Claude Bernard's lecture)
- perturbation theory at 2-loop order (impressive!)
- various versions of bare perturbation theory, some internal consistency checks

Variants of the bare coupling

bare coupling: defined at the cutoff scale, vanishes in continuum limit

Example:

- expand the plaquette expectation value P in powers of α_0

$$P = 1 - p_1\alpha_0 - p_2\alpha_0^2 + \dots$$

- define modified bare couplings:

$$\alpha_P \stackrel{\text{def}}{=} (1 - P)/p_1, \quad \tilde{\alpha}_P = -\ln(P)/p_1,$$

where P is measured in the numerical simulation.

- Motivation: the perturbative series may behave differently for different bare couplings;
- In principle any short distance quantity on the lattice can be chosen: $m \times n$ Wilson loops with $m, n = 1, 2, 3$, or expectation of the link variable in a fixed gauge

Perturbation theory in the bare coupling

A shortcut method: use bare perturbation theory to relate to the renormalised coupling and quark masses (e.g. $\overline{\text{MS}}$); Allowing for a constant $d = O(1)$ one sets

$$\alpha_{\overline{\text{MS}}}(d/a) = \alpha_0(a) + c_1\alpha_0^2(a) + c_2\alpha_0^3(a) + \dots, \quad \alpha_0 = \frac{g_0^2}{4\pi}$$
$$\bar{m}_{\overline{\text{MS}}}(d/a) = m(a) \left(1 + Z_m^{(1)}\alpha_0(a) + Z_m^{(2)}\alpha_0^2(a) + \dots \right)$$

Main difficulties:

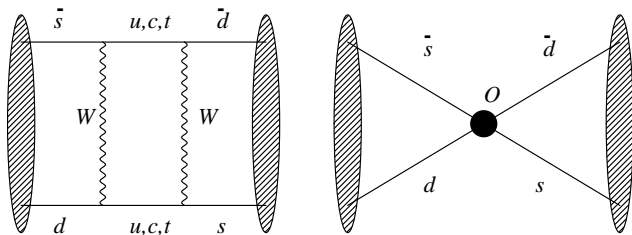
- The identification $\mu = da^{-1}$ means that cutoff effects and renormalisation effects cannot be disentangled; any change in the scale is at the same time a change in the cutoff.
- One needs to assume that the cutoff scale d/a is in the perturbative region
- One furthermore assumes that cutoff effects are negligible

⇒ how reliable are the error estimates?

QCD & composite operators (1)

Apart from the fundamental parameters of QCD one is interested in hadronic matrix elements of composite operators:

Example: $K^0 - \bar{K}^0$ mixing amplitude in the Standard Model:



A local interaction arises by integrating out W -bosons and t, b, c quarks, corresponding to a composite 4-quark operator

QCD & composite operators (2)

- The mixing amplitude reduces to the hadronic matrix element:

$$\begin{aligned}\langle \bar{K}^0 | O^{\Delta S=2} | K^0 \rangle &= \frac{8}{3} m_K^2 F_K^2 B_K \\ O^{\Delta S=2} &= \sum_{\mu} [\bar{s} \gamma_{\mu} (1 - \gamma_5) d] [\bar{s} \gamma_{\mu} (1 - \gamma_5) d]\end{aligned}$$

$O^{\Delta S=2}$ requires a multiplicative renormalization; it is initially defined in continuum scheme used for the Operator Product Expansion (OPE)

- Other composite operators arise by applying the OPE with respect to some hard scale, such as the photon momentum in Deep Inelastic Scattering (DIS)
- We thus need to discuss renormalisation of composite operators (cf. quark mass renormalisation for a first example)

RGI operators (1)

- Consider renormalized n -point function of multiplicatively renormalizable operators O_i :

$$G_{\text{R}}(x_1, \dots, x_n; m_{\text{R}}, g_{\text{R}}) = \prod_{i=1}^n Z_{O_i}(g_0, a\mu) G(x_1, \dots, x_n; m_0, g_0)$$

- Callan-Symanzik equation:

$$\left\{ \mu \frac{\partial}{\partial \mu} + \beta(\bar{g}) \frac{\partial}{\partial \bar{g}} + \tau(\bar{g}) \bar{m} \frac{\partial}{\partial \bar{m}} + \sum_{i=1}^n \gamma_{O_i}(\bar{g}) \right\} G_{\text{R}} = 0$$

where

$$\gamma_{O_i}(\bar{g}(\mu)) = \left. \frac{\partial \ln Z_{O_i}(g_0, a\mu)}{\partial \ln(a\mu)} \right|_{\bar{g}(\mu)}$$

- Asymptotic behaviour for small couplings:

$$\gamma_{O_i}(g) \sim -g^2 \gamma_{O_i}^{(0)} - g^4 \gamma_{O_i}^{(1)} + \dots$$

RGI operators (2)

RGI operators can be defined as solutions to the CS equation:

$$\left(\beta(\bar{g}) \frac{\partial}{\partial \bar{g}} + \gamma_O \right) O_{\text{RGI}} = 0$$

where

$$O_{\text{RGI}} = O_{\text{R}}(\mu) \left(\frac{\bar{g}^2(\mu)}{4\pi} \right)^{-\gamma_O^{(0)}/2b_0} \exp \left\{ - \int_0^{\bar{g}} dx \left[\frac{\gamma_O(x)}{\beta(x)} - \frac{\gamma_O^{(0)}}{b_0 x} \right] \right\}$$

- Its name derives from the fact that O_{RGI} is renormalisation scheme independent (analogous to M_i , verify it!)
- Beware: the overall normalisation for O_{RGI} here follows the standard convention used for B_K , which differs from the one used for M .

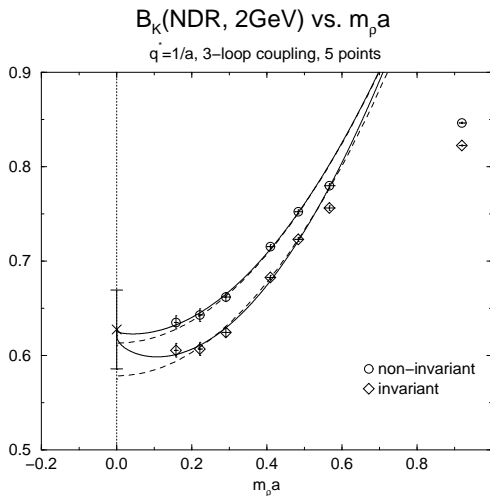
Perturbative vs. non-perturbative renormalisation

Distinguish 3 cases:

- 1 finite renormalisations: e.g. axial current normalisation for Wilson quarks $Z_A(g_0)$ (cf. lecture 4)
⇒ perturbation theory to high orders in g_0^2 might be an option
[Di Renzo et al. '2006]
- 2 multiplicative scale dependent renormalisations, e.g. $O^{\Delta S=2}$:
⇒ strong case for non-perturbative renormalisation (see below)
- 3 Power divergences: mixing with operators with lower dimensions, additive quark mass renormalisation with Wilson quarks:
⇒ total failure of perturbation theory (s. below)

Quenched B_K with staggered quarks [JLQCD, '98]

2 different discretised operators, perturbative 1-loop renormalisation



\Rightarrow Continuum extrapolation difficult due to $O(\alpha^2)$ terms.

Power divergences and perturbation theory

What problems arise if we just use perturbation theory?

In the case of power divergent subtraction PT is clearly insufficient:

additive mass subtraction with Wilson quarks

$$m_R = Z_m(m_0 - m_{\text{cr}}), \quad m_{\text{cr}} = \frac{1}{a}f(g_0^2)$$

Suppose one uses a perturbative expansion of f up to g_0^{2n} :

$$\Delta f(g_0^2) = O(g_0^{2n}), \quad g_0^{2n} \sim \frac{1}{(\ln a\Lambda)^n}$$

Remainder (after perturbative subtraction at finite order),

$$\frac{1}{a}\Delta f(g_0^2) \sim \{a(\ln a\Lambda)^n\}^{-1} \rightarrow \infty$$

is still divergent!

Momentum Subtraction Schemes (MOM)

Recall procedure in continuum perturbation theory:

- example: renormalisation of the pseudoscalar density

$$P^a(x) = \bar{\psi}(x)\gamma_5\frac{1}{2}\tau^a\psi(x):$$

- Correlation functions in momentum space with external quark states:

$$\langle \tilde{\psi}(p)\tilde{\bar{\psi}}(q) \rangle = (2\pi)^4\delta(p+q)S(p) \quad \text{quark propagator}$$

$$\langle \tilde{\psi}(p)\tilde{P}^a(q)\tilde{\bar{\psi}}(p') \rangle = (2\pi)^4\delta(p+q+p')S(p)\Gamma_P^a(p,q)S(p+q),$$

- At tree-level:

$$\Gamma_P^a(p,q)|_{\text{tree}} = \gamma_5\frac{1}{2}\tau^a,$$

$$\Rightarrow \frac{1}{4} \sum_{b=1}^3 \text{tr} \left\{ \gamma_5\tau^b\Gamma_P^a(p,q)|_{\text{tree}} \right\} = 1$$

- Renormalised fields:

$$\psi_R = Z_\psi \psi, \quad \bar{\psi}_R = Z_\psi \bar{\psi}, \quad P_R^a = Z_P P^a$$

⇒ renormalised vertex function:

$$\Gamma_{P,R}^a(p, q) = Z_P Z_\psi^{-2} \Gamma_P^a(p, q)$$

- typical MOM renormalisation condition (quark masses set to zero):

$$\Gamma_{P,R}^a(p, 0)|_{\mu^2=p^2} = \gamma_5 \frac{1}{2} \tau^a \quad \Rightarrow \quad Z_P Z_\psi^{-2}$$

- equivalently using “projector”:

$$\frac{1}{4} \sum_{b=1}^3 \text{tr} \left\{ \gamma_5 \tau^b \Gamma_{P,R}^a(p, 0)|_{\mu^2=p^2} \right\} = 1$$

- Determine Z_ψ either from propagator or use MOM scheme for vertex function of a conserved current

$$\Gamma_{V,R}(p, q) = Z_\psi^{-2} \Gamma_V(p, q)$$

Summary: MOM schemes in the continuum

- Renormalisation conditions are imposed on vertex functions **in the gauge fixed theory** with external quark, gluon or ghost lines
- The vertex functions are taken in momentum space.
- A particular momentum configuration is chosen, such that the vertex function becomes a function of a single momentum p ; quark masses are set to zero
- MOM condition: a renormalised vertex function at subtraction scale $\mu^2 = p^2$ equals its tree-level expression
- Can also be used to define a renormalised gauge coupling: take vertex function of either the 3-gluon vertex, the quark-gluon vertex or the ghost-gluon vertex.
- Renormalisation constants depend on the chosen gauge! Need wave function renormalisation for quark, gluon and ghost fields.

RI/MOM Schemes (RI = Regularisation Independent; MOM = Momentum Subtraction)

[Martinelli et al '95]: mimick the procedure in perturbation theory:

- choose Landau gauge

$$\partial_\mu A_\mu = 0$$

can be implemented on the lattice by a minimisation procedure

- RI/MOM schemes are very popular: many major collaborations use it because
 - it is straightforward to implement on the lattice; many improvements over the years regarding algorithmic questions
 - it can be used on the very same gauge configurations which are produced for hadronic physics
- Regularisation Independence (RI) means: correlation functions of a renormalised operator do not depend on the regularisation used (up to cutoff effects).

RI/MOM schemes, discussion

- Suppose we have calculated a renormalised hadronic matrix element of the multiplicatively renormalisable operator O

$$\mathcal{M}_O(\mu) = \lim_{a \rightarrow 0} \langle h | O_R(\mu) | h' \rangle$$

- Provided μ is in the perturbative regime, one may evaluate the MOM scheme in **continuum perturbation theory** and evolve to a different scale:

$$\begin{aligned} \mathcal{M}_O(\mu') &= U(\mu', \mu) \mathcal{M}_O(\mu), \\ U(\mu', \mu) &= \exp \left\{ \int_{\bar{g}(\mu)}^{\bar{g}(\mu')} \frac{\gamma_O(g)}{\beta(g)} dg \right\} \end{aligned}$$

- N.B. Continuum perturbation theory is available to 3-loops in some cases!

RI/MOM schemes, what could go wrong?

- The scale μ could be too low; need to hope for a “window”

$$\Lambda_{\text{QCD}} \ll \mu \ll a^{-1}$$

In practice scales are often too low: non-perturbative effects (e.g. pion poles, condensates) are then eliminated by fitting to expected functional form (from OPE in fixed gauge);

⇒ errors are difficult to quantify!

- Gribov copies: the (Landau) gauge condition does not have a unique solution on the full gauge orbit
- Perturbative calculations are made using
 - infinite volume
 - vanishing quark masses

⇒ inconvenient for numerical simulations especially in full QCD.

- Wilson quarks: a priori cutoff effects are $O(a)$ even in on-shell $O(a)$ improved theory.

A prominent non-perturbative effect: the pion pole

[Martinelli et al. '95]

- Consider the 3-point correlation function for P^a :

$$\int d^4x \int d^4y e^{-ipx} \langle \bar{\psi}(0) \gamma_5 \frac{1}{2} \tau^b \psi(x) \bar{\psi}(0) P^a(y) \rangle$$

- For large p^2 it is dominated by short distance contributions either at $x \approx 0$ or $x \approx y$. The contribution for $x \approx 0$ is proportional to the pion propagator

$$\int d^4y \langle P^b(0) P^a(y) \rangle \propto \frac{1}{m_\pi^2}$$

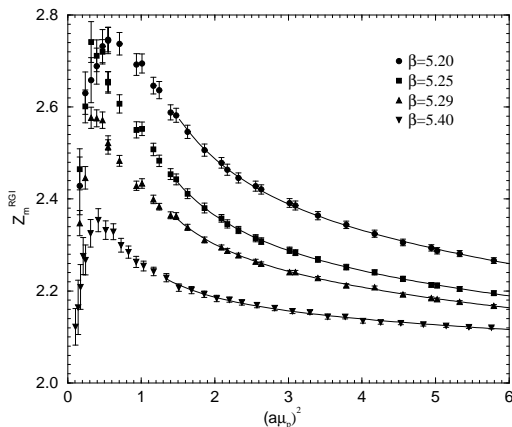
- Dimensional counting: suppression by $1/p^2$ relative to the perturbative term at $x \approx y$:

$$Z_P^{\text{MOM,non-pert}} \sim \frac{A}{\mu^2 m_q} + \dots$$

⇒ the chiral limit is ill-defined!

RI/MOM scheme, example 1

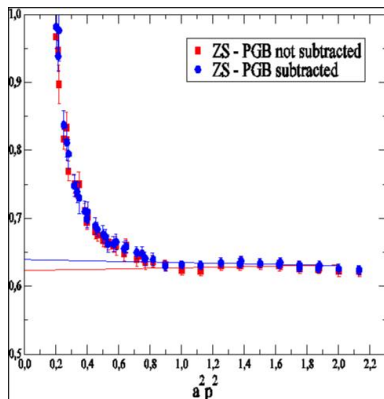
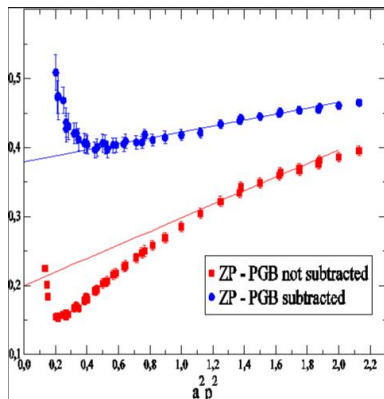
[QCDSF-UKQCD collaboration, Gökeler et al. '06]



- Z_P^{-1} for the RGI operator after subtraction of the pion pole through a fit. While there is no plateau at fixed β , the situation seems to improve towards higher β , as μ gets larger in physical units.

RI/MOM scheme, example 2

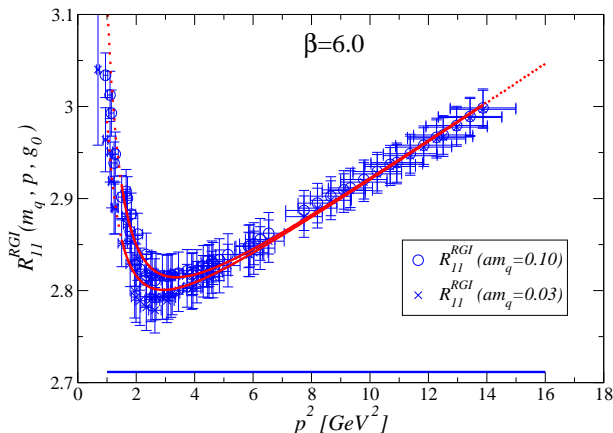
[ETMC collaboration, talk by P. Dimopoulos at Lattice '07]
twisted mass QCD with $N_f = 2$, subtraction of pion pole à la [Giusti, Vladikas '00]



While Z_S shows the expected plateau, Z_P shows some slope even after subtraction of the pion pole (cutoff effects?)

RI/MOM scheme, example 3

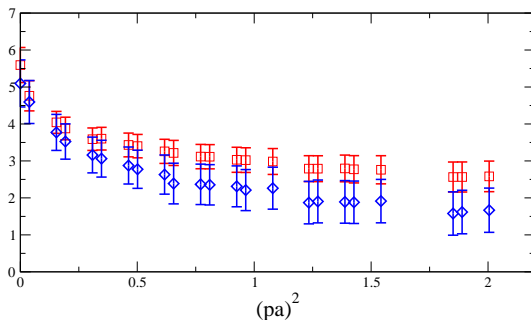
[R. Babich et al. 06] four-quark operator for B_K with overlap quarks (quenched QCD at $\beta = 6.0$):



- non-perturbative effects are eliminated through fit function from OPE including logarithmic terms

RI/MOM scheme, example 4

[Huey-Wen Lin '06] study of quark gluon vertex:



- Comparison of Landau gauge fixed results obtained from 2 gauge equivalent configurations
- Influence of Gribov copies can be sizable!

- There are examples where the method seems to work fine
- Non-perturbative effects like the pion pole are either subtracted or taken into account by fits to the expected p^2 -behaviour; error estimates seem difficult!
- A warning from the quark-gluon vertex: the effect of Gribov copies while often found to be small should be monitored!
- finite volume and quark mass effects seem to be small
- Since the method can be applied at little cost on the existing configurations it should always be tried!
- However it seems difficult to get reliable errors down to the desired level (say 1-2 percent for Z -factors)

Possible improvements of RI/MOM schemes

- use gauge invariant states \Rightarrow no trouble with Gribov copies, but more demanding in perturbation theory; expect larger cutoff effects
- use non-exceptional momentum configurations (P. Boyle, Lattice 2007): could reduce the problem with Goldstone poles; Perturbation theory needs to be re-done!
- reach higher scales: Promote to finite volume scheme: fix μL
- need gauge fixing on the torus (complicated)
- twisted gauge field boundary conditions; link between N_c and N_f
- in any case perturbation theory needs to be re-done from scratch and may be complicated
- dimensional argument: gauge invariant fermionic correlation functions typically suffer from larger cutoff effects

Main idea of finite volume schemes

[Lüscher et al. 91-94, Jansen et al '95] Main idea:

- define a finite volume renormalisation scheme, where

$$\mu = L^{-1}$$

- \Rightarrow possibility to construct the RG evolution recursively, by going in steps

$$L \rightarrow 2L \rightarrow 4L \rightarrow \dots \rightarrow 2^n L$$

- in practice e.g. $n = 8$, can bridge 2 orders of magnitude in scale
- The problem of large scale differences is solved by NOT having all scales on a single lattice.

Sketch of the recursive procedure:

- suppose we have a non-perturbative definition of the running coupling $\bar{g}(L)$

$$\sigma(u) = \bar{g}^2(2L)|_{u=\bar{g}^2(L)}$$

At fixed $u = \bar{g}^2(L)$ the function $\sigma(u)$ can be obtained from a sequence of pairs of lattices with sizes L/a and $2L/a$:

$$\sigma(u) = \lim_{a \rightarrow 0} \Sigma(u, a/L)$$

- repeat the procedure for a range of u -values in $[\bar{g}^2(L_{\min}), \bar{g}^2(L_{\max})]$.

$$u_0 = \bar{g}^2(L_{\min}), \quad u_k = \sigma(u_{k-1}) \quad \left[= \bar{g}^2 \left(2^k L_{\min} \right) \right], \quad k = 1, 2, \dots$$

⇒ after 7-8 steps scale differences of $O(100)$ are bridged!

- need to compute $F_\pi L_{\max}$ and $\bar{g}^2(L_{\min}) = g^2 + k_1 g^4 + \dots$