

# Fundamental constants and electroweak phenomenology from the lattice

## Lecture II: quark masses

Shoji Hashimoto (KEK)  
@ INT summer school 2007,  
Seattle, August 2007.

## II. Quark masses

---

### 1. How to define

- ▶ Pole mass; running mass

### 2. Heavy quark masses: continuum extraction

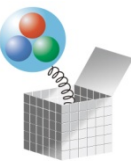
- ▶ Quarkonium sum rules
- ▶ B meson semileptonic decays

### 3. Lattice calculation: basic strategy

- ▶ Input choices for heavy and light quarks

### 4. Lattice calculation: case study for heavy quark masses

- ▶ Perturbative and non-perturbative matchings
- ▶ Bottom and charm quarks

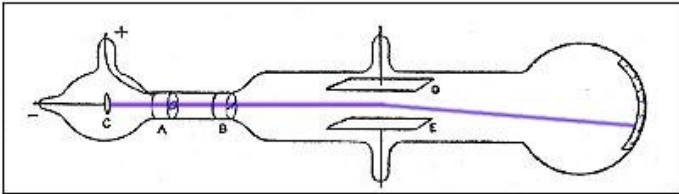


## II. Quark masses

### 1. How to define

# Measuring the particle mass

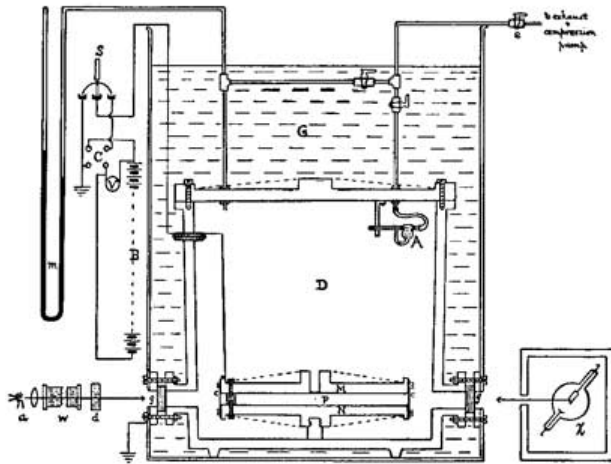
J.J. Thomson (1897)



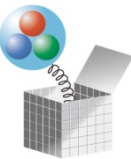
- ▶ In QED (electron mass)
- ▶ Measure the drift of the particle in electric/magnetic fields  $\rightarrow e/m$

$$m\dot{v} = e[E + v \times B]$$

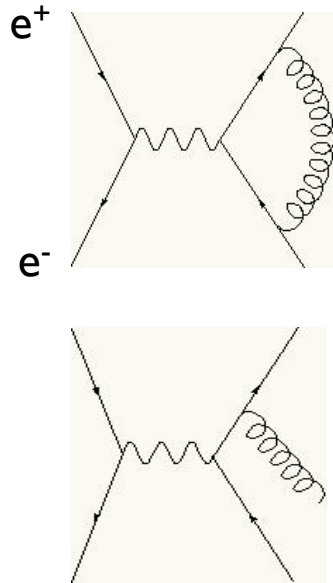
R. Millikan (1913)



- ▶ Together with the measurement of  $e$  (the coupling constant), the mass  $m$  is obtained.

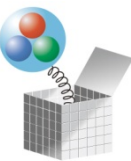
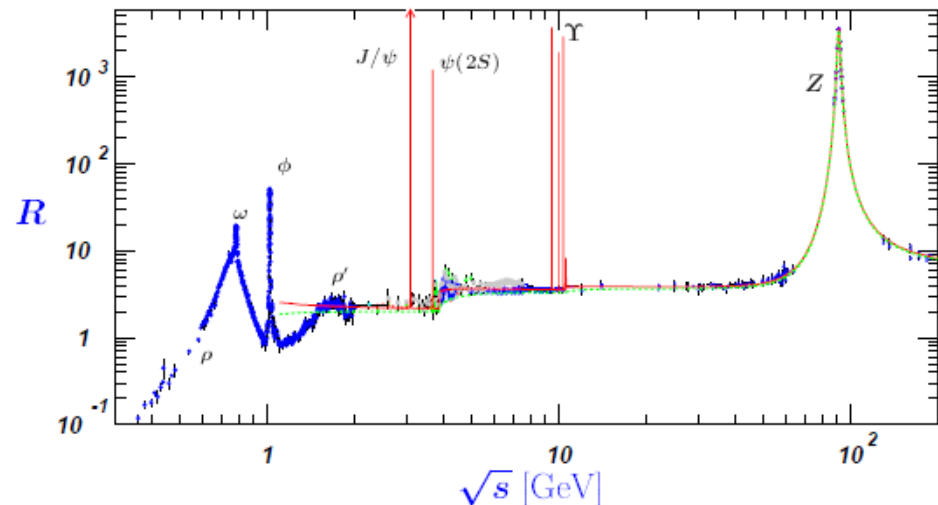


# In QCD, quarks are confined...



- ▶ No direct way to measure its mass alone.
- ▶ You may consider a perturbative process like  $e^+e^- \rightarrow \text{hadrons}$ . But the light quark mass is negligible, thus no sensitivity.
- ▶ Even for heavy quarks, sensitivity is lost in the region where PT can safely be used.

No way to measure? See Sec 2. Let us consider its definitions first.



# Quark mass in perturbation theory

- ▶ Appears in the QCD lagrangian

$$L_{QCD} = \sum_q \bar{q}(i\not{D} - m_q)q - \frac{1}{4}(G_{\mu\nu}^a)^2$$

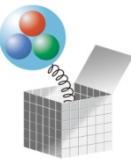
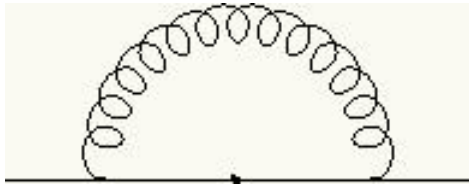
- ▶ Can be renormalized perturbatively

$$S(p) = \frac{i}{p - m + \Sigma(p)}$$

The pole of the dressed propagator gives a definition of quark mass (pole mass)

$$p - m_{pole} - \Sigma(p, m_{pole}) \Big|_{p^2 = m_{pole}^2} = 0$$

- ▶ Infrared finite Kronfeld, PRD58, 051501 (1998)
- ▶ Gauge independent
- ▶ Renormalization scale independent

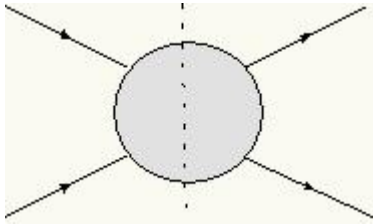


# Pole mass

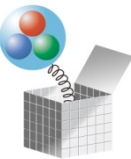
---

$$p - m_{pole} - \Sigma(p, m_{pole}) \Big|_{p^2 = m_{pole}^2} = 0$$

$$\frac{1}{p^2 - m^2 + i\epsilon} \rightarrow 2\pi i \delta(p^2 - m^2)$$



- ▶ Well-defined perturbatively, but should not exist non-perturbatively.
- ▶ If it exists, quarks must appear as asymptotic states.
  - ▶ The pole of the propagator implies a branch cut in scattering amplitudes. Optical theorem relates it to the asymptotic state.



# Another mass definition

- ▶ Consider the quark self-energy (at one-loop)

$$S(p) = \frac{i}{\not{p} - m + \Sigma(p)} = \frac{i[1 + \Sigma_2(p, m)]}{\not{p} - m[1 + \Sigma_1(p, m)]}$$

$$\Sigma_1(p, m) = C_F \frac{\alpha_s}{2\pi} \left\{ \frac{3}{\bar{\epsilon}} + \frac{5}{2} - \frac{3}{2} \ln \frac{m^2 - p^2}{\mu^2} - \frac{1}{2} \frac{m^2}{p^2} \left[ 1 - \left( 4 - \frac{m^2}{p^2} \right) \ln \left( 1 - \frac{p^2}{m^2} \right) \right] \right\}$$

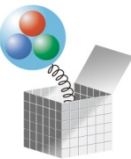
- ▶ Renormalize by simply chop off the divergent term

$$\frac{1}{\bar{\epsilon}} = \frac{1}{\epsilon} + \frac{1}{2} (\ln 4\pi + \gamma)$$

which gives the definition of the MSbar mass.

- ▶ This mass must depend on  $\mu$  to make the physical amplitude independent on  $\mu$ ; thus the “running” quark mass  $m(\mu)$ .
- ▶ Relation to the pole mass is easily obtained:

$$m_{pole} = m(\mu) \left\{ 1 + \frac{\alpha_s}{\pi} \left( \frac{4}{3} + \ln \frac{\mu^2}{m^2} \right) + \dots \right\}$$





# Running quark mass

- ▶ Like the coupling constant, the quark mass *runs*.

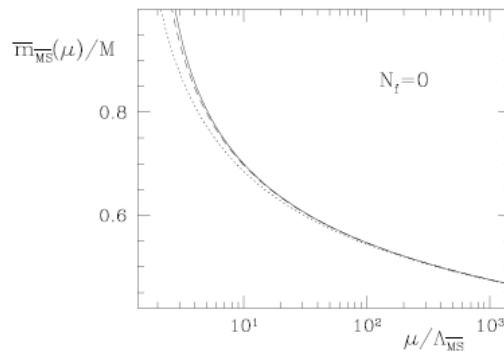
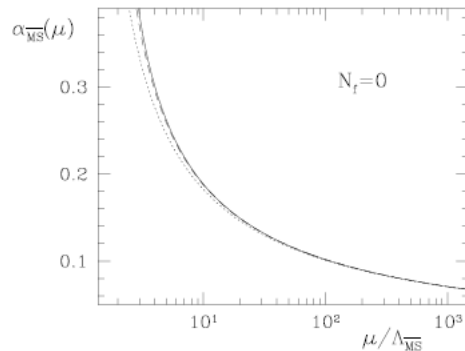
$$\mu^2 \frac{\partial \alpha_s}{\partial \mu^2} = \beta(\alpha_s);$$

$$\mu^2 \frac{\partial m}{\partial \mu^2} = -\gamma_m(\alpha_s)$$

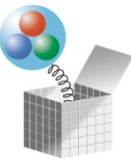
$$m(\mu) = \hat{m} [\alpha_s(\mu)]^{\gamma_1/\beta_0} \exp\left[-\int_0^{\alpha_s(\mu)} d\alpha'_s \left(\frac{\gamma_m(\alpha'_s)}{\beta(\alpha'_s)} - \frac{\gamma_1}{\beta_0 \alpha'_s}\right)\right]$$

- ▶ An integration constant is introduced: renormalization group invariant mass  $\hat{m}$

$$\alpha_s(\mu) = \frac{4\pi}{\beta_0 \ln(\mu^2 / \Lambda^2)} \left[ 1 - \frac{2\beta_1}{\beta_0^2} \frac{\ln[\ln(\mu^2 / \Lambda^2)]}{\ln(\mu^2 / \Lambda^2)} + \dots \right]$$



Plots from  
ALPHA, NPB544,669(1999)

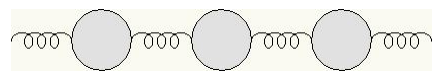


# Problem of the pole mass

- ▶ Perturbative series is divergent:

$$\frac{m_{pole}}{m(m)} = 1 + \frac{\alpha_s}{\pi} \frac{4}{3} + \left(\frac{\alpha_s}{\pi}\right)^2 (13.4 - 1.0N_f) + \left(\frac{\alpha_s}{\pi}\right)^3 (190.6 - 26.7N_f + 0.7N_f^2) + \dots$$

- ▶ In fact, the coefficient diverges as  $\beta_0 n!$ , if one sums up a leading log diagrams (large  $\beta_0$  limit)

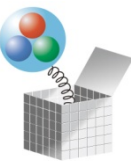


$$\sim \int \frac{dk^2}{k^2} f(k^2) [\beta_0 \alpha_s(\mu) \ln(k^2 / \mu^2)]^n \sim (\beta_0 \alpha_s)^n n!$$

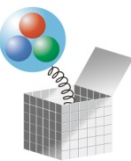
- ▶ Summation of the divergent series has an ambiguity of order

$$\exp\left[\frac{1}{\beta_0 \alpha_s(\mu)}\right] \sim \frac{\Lambda_{QCD}}{\mu}$$

called a renormalon ambiguity, which leads to an  $\mathcal{O}(\Lambda_{QCD})$  ambiguity of the pole mass.



- 
- ▶ Pole mass cannot be determined beyond the  $O(\Lambda_{\text{QCD}})$  level; consistent with the quark confinement.
  - ▶ One must use some perturbative definition to quote the quark mass.
    - ▶  $\overline{\text{MS}}$  is a preferred choice.
    - ▶ Other definitions are also used, especially in the analysis of quarkonium. Conversion is possible (no dangerous ambiguity).

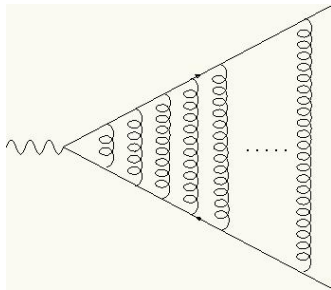


## II. Quark masses

### 2. Heavy quark masses (continuum)

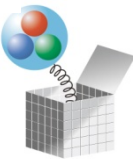
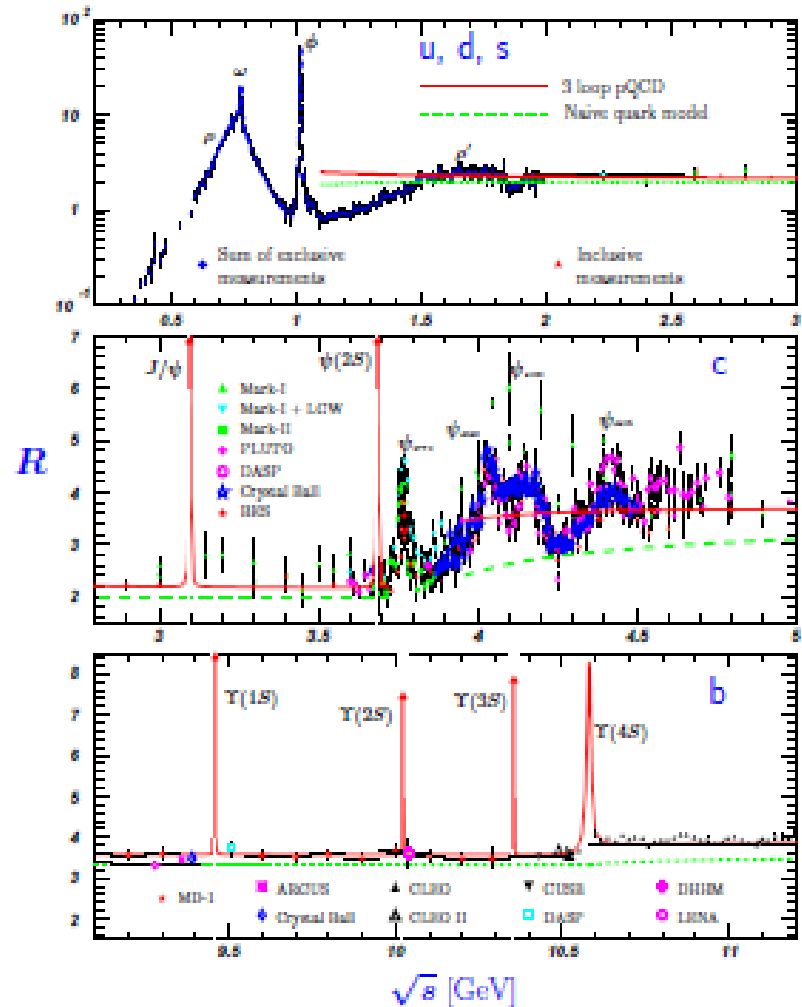
# Heavy quark mass (mainly bottom)

- ▶ Use the pair production  $e^+e^- \rightarrow b\bar{b}$
- ▶ Only the resonance region is sensitive to the quark mass; but at the same time non-perturbative.



$$\sim \left( \frac{\alpha_s}{v} \right)^n$$

- ▶  $\alpha_s \sim v$ : must be resummed.

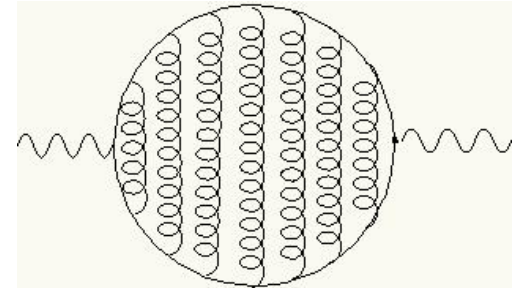


# Smearing

Poggio, Quinn, Weinberg, PRD13, 1958 (1976)

- ▶ Consider a smeared R ratio:

$$\begin{aligned}\bar{R}(s, \Delta) &\equiv \frac{\Delta}{\pi} \int_0^\infty ds' \frac{R(s')}{(s-s')^2 + \Delta^2} \\ &= \frac{1}{2\pi i} \int_0^\infty ds' R(s') \left( \frac{1}{s-s'+i\Delta} - \frac{1}{s-s'-i\Delta} \right) \\ &= \frac{1}{2i} [\Pi(s+i\Delta) - \Pi(s-i\Delta)]\end{aligned}$$



$$\text{Im}\Pi(s) \propto R(s) = \frac{\sigma(e^+e^- \rightarrow q\bar{q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

instead of  $\text{Im}\Pi(s)$ .

- ▶ Imaginary momentum flows into the loop; can avoid the threshold singularity, which leads to the  $(\alpha_s/v)^n$  resummation.
- ▶  $\Delta$  must be larger than  $\Lambda_{\text{QCD}}$  in order to avoid non-perturbative physics.

Quark-hadron duality



# Sum rule

Use the analytic properties

▶ Vacuum polarization

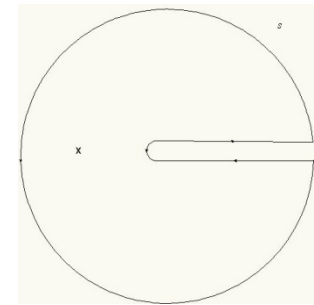
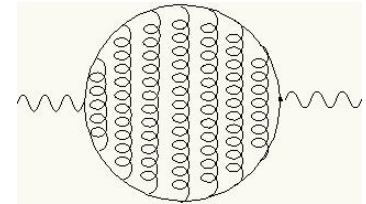
$$(q^2 g_{\mu\nu} - q_\mu q_\nu) \Pi(q^2) = -i \int d^4 x e^{iqx} \langle 0 | T V_\mu(x) V_\nu(0) | 0 \rangle$$

▶ Optical theorem

$$\Pi(z) = \frac{1}{\pi} \int_{s_0}^{\infty} ds \frac{\text{Im} \Pi(s)}{z - s}$$

▶ Moments

$$\frac{1}{n!} \left( \frac{d\Pi(z)}{dz^n} \right)_{z=0} = \frac{1}{\pi} \int_{s_0}^{\infty} ds \frac{\text{Im} \Pi(s)}{s^{n+1}}$$



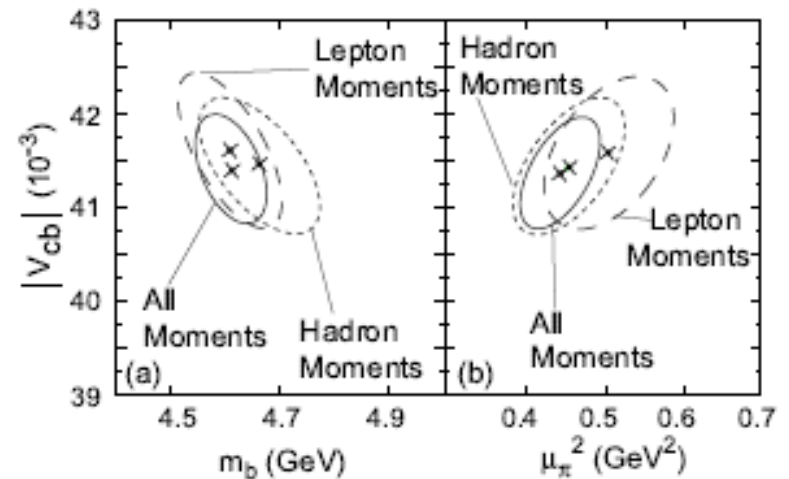
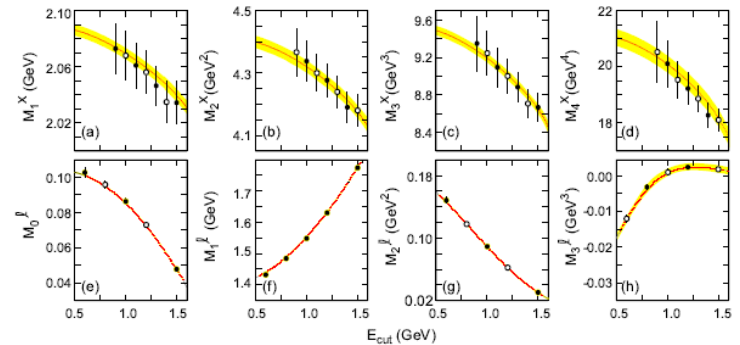
- ▶ LHS: perturbatively calculable using OPE for sufficiently small  $n$ .
- ▶ RHS: use experimental inputs  $R(s) \sim \text{Im} \Pi(s)$ ; integral naturally smeared over large range of  $s$ , if  $n$  not too large.
- ▶ Continuum more suppressed for larger  $n$ ; more sensitivity to  $m_b$ .



# B meson semileptonic decays

- ▶ From inclusive semileptonic B meson decays  $B \rightarrow X_c l \nu$ ,  $m_b$  (and  $m_c$ ) can be determined together with  $|V_{cb}|$ .
- ▶ Use the heavy quark expansion; smearing corresponds to the integral over final states.
- ▶ Measurements of hadronic mass ( $X_c$ ) and lepton energy ( $l$ ) moments.
- ▶ Detailed discussion will be given in Part IV.

BaBar, PRL93, 011803 (2004)



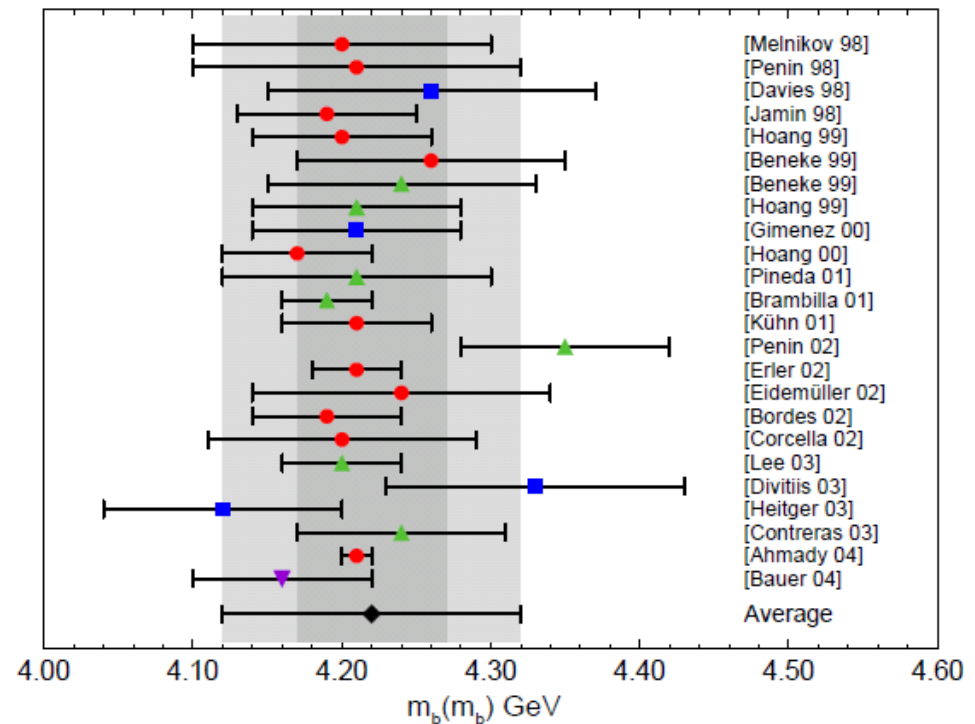


# b quark mass

- ▶ Compilation of many results are found in the PDG review; an average  $\bar{m}_b(\bar{m}_b) = 4.20 \pm 0.07$  GeV excluding lattice.

Can lattice calculation compete with this?  
How?

Q&G hep-ph/0412158



Red circles: sum rule; Green triangle: Y IS;  
Purple triangle: semileptonic; Blue squares: lattice



## II. Quark masses

### 3. Lattice calculation: basic strategy

# Inputs

---

In general, lattice QCD simulation requires inputs for

- ▶ Lattice scale  $1/a \Rightarrow$  determines  $\alpha_s(1/a)$ 
  - ▶ Inputs discussed in Part I.
- ▶ Quark masses for each flavor
  - ▶ up and down quarks  $m_{ud}$  (often assumed to be degenerate)
    - ▶ from pseudo-scalar meson mass  $m_\pi$ , good sensitivity because  $m_\pi^2 \sim m_{ud}$ .
  - ▶ Strange quark  $m_s$ 
    - ▶ from  $m_K$ , for the same reason.
  - ▶ Charm quark  $m_c$ 
    - ▶ either from D (heavy-light) or  $J/\psi$  (heavy-heavy) mass
  - ▶ Bottom quark  $m_b$ 
    - ▶ either from B (heavy-light) or Y (heavy-heavy) mass



# Up, down, strange

## ▶ Gell-Mann-Oakes-Renner (GMOR) relation (1968)

- ▶ At the leading order in  $m_q$

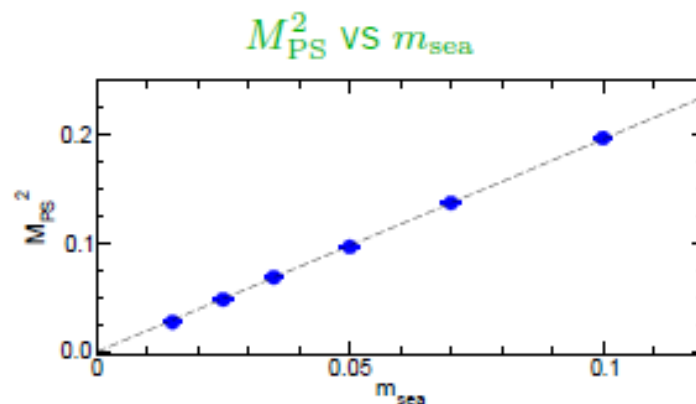
$$(m_u + m_d)\langle\bar{q}q\rangle \cong -f_\pi^2 m_\pi^2$$

$$(m_u + m_s)\langle\bar{q}q\rangle \cong -f_K^2 m_K^2$$

- ▶ The slope yields

$$B_0 = \frac{-\langle\bar{q}q\rangle}{f_\pi^2}$$

- ▶ Lattice calculation gives  $B_0$ , then  $m_q$  is obtained with an input of the experimental value of  $m_\pi$  or  $m_K$ .
- ▶  $m_q$  is in the lattice regularization; need a matching to obtain the  $\overline{\text{MS}}$  value.



JLQCD (2006)  
Dynamical overlap

Beyond LO, much more complicated; see Lecture III.



# Charm and bottom

## ▶ Heavy-light (D, B)

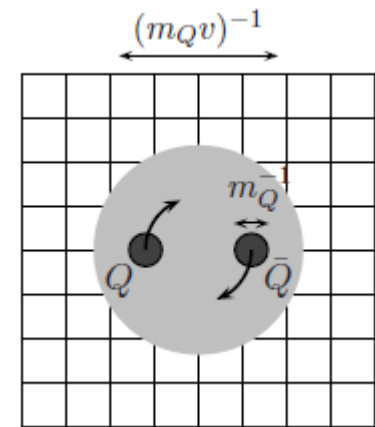
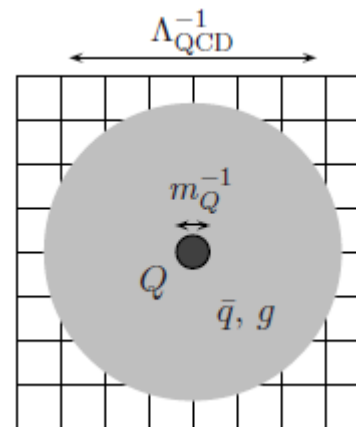
$$m_H = m_Q + E_{Q\bar{q}}$$

- ▶  $E_{Qq}$  denotes a binding energy.
- ▶ Simply calculate the meson mass; tune  $m_Q$  until  $m_H$  reproduces the experimental value.
- ▶ Calculate  $E_{Qq}$ , whose  $m_Q$  dependence is subleading. Then,  $m_H - E_{Qq}$  gives  $m_Q$ . (Heavy Quark Symmetry)

## ▶ Heavy-heavy ( $J/\psi$ , $Y$ )

$$m_H = m_Q + m_{\bar{Q}} + E_{Q\bar{Q}}$$

- ▶  $E_{QQ}$  denotes a binding energy.
- ▶ Binding energy crucially depends on  $m_Q$ .

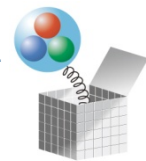


# Conversion

convert:

$$m^{MS}(\mu) = Z_m(\mu a) m^{lat}(a^{-1})$$

- ▶ Once the bare quark mass is fixed on the lattice, it must be converted to the continuum definition, because the pole mass is not adequate.
  - ▶ Just like the conversion of the coupling constant.
  - ▶ May use the perturbation theory (Use the renormalized coupling!). But, in most cases, known only at the one-loop level. (Exceptions are HQET, Asqtad, stochastic PT(?).)
    - Ex). O(a)-improved Wilson fermion
$$m^{MS}(\mu = a^{-1}) = [1 + 2.05 \alpha_s + \dots] m^{lat}(a^{-1})$$
  - ▶ Non-perturbative renormalization is desirable.

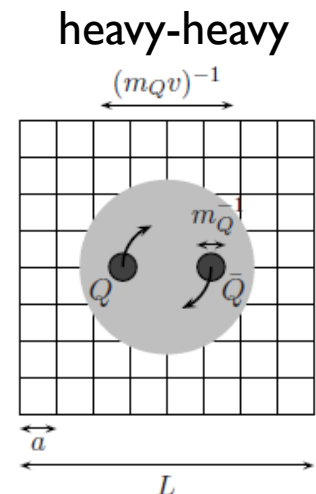
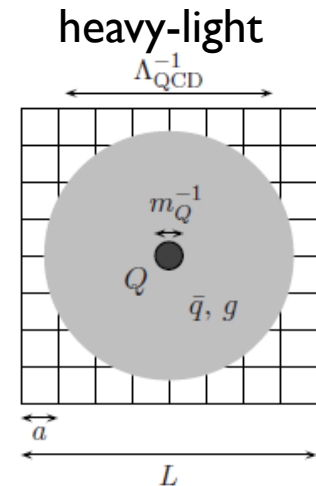


## II. Quark masses

### 4. Case study: heavy quark mass

# Heavy quark on the lattice

- ▶ **Additional complication for heavy quarks:**
  - ▶ Compton wave length  $\sim 1/m_Q$  is comparable to or shorter than the lattice spacing.
  - ▶ But, such a short distance scale is irrelevant to the bound state dynamics.
- ▶ **Construction of effective theories**
  - ▶ HQET: the leading order in  $1/m_Q$  expansion.
    - ▶ Used for heavy-light.
    - ▶ Higher orders may be included as operator insertions, or in the Lagrangian as in NRQCD.
  - ▶ NRQCD: expansion in  $v \sim \alpha_s$ .
    - ▶ Used for heavy-heavy.



Dedicated lectures by Kronfeld





# Heavy Quark Effective Theory (HQET)

- ▶ Write the momentum of heavy quark as

$$p = m_Q v + k$$

- ▶  $v$  : four-velocity of the heavy quark.
- ▶  $k$ : residual momentum

- ▶ Heavy quark mass limit:

- ▶ propagator

$$i \frac{p + m_Q}{p^2 - m_Q^2 + i\epsilon} = i \frac{m_Q \not{v} + m_Q + \not{k}}{2m_Q v \cdot k + k^2 + i\epsilon} \rightarrow i \frac{1 + \not{v}}{2} \frac{1}{v \cdot k + i\epsilon}$$

- ▶ Lagrangian

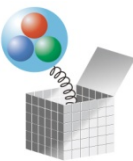
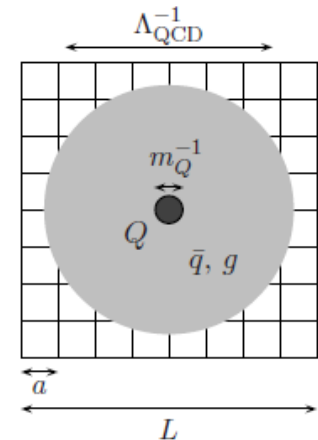
$$L_Q = \bar{Q}_v (i v \cdot D) Q_v; \quad Q(x) = e^{-im_Q v \cdot x} Q_v(x)$$

Georgi (1990), Eichten-Hill (1990)

- ▶ Heavy quark mass drops out from the dynamics

= Heavy Quark Symmetry

Isgur-Wise (1989)



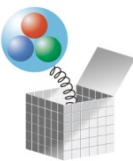
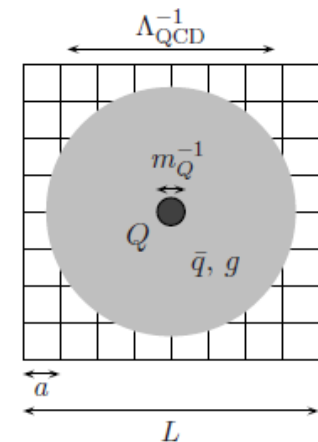
# HQET on the lattice

## ▶ Discretize the HQET lagrangian

- ▶ Assuming  $v^\mu=(1,0)$ : rest frame of the heavy quark

$$S_Q = \sum_x Q^+(x)[1-U_4^+(x-\hat{4})]Q(x-\hat{4})$$

- ▶ Heavy quark propagator becomes a static color source.
- ▶ Heavy-light meson mass:  $m_H = m_Q + E_{Q\bar{q}}$   
Calculate  $E_{Qq}$ , then,  $m_H - E_{Qq}$  gives  $m_Q$  up to  $\Lambda_{QCD}/m_Q$  corrections.



# Conversion at two-loop

Martinelli-Sachrajda, NPB559, 429 (1999).

- ▶ Conversion to the MSbar scheme done to two-loop (static theory is simple!)

$$\bar{m}_b(\bar{m}_b) = \left[ 1 - \frac{4}{3} \frac{\alpha_s(\bar{m}_b)}{\pi} - 11.66 \left( \frac{\alpha_s(\bar{m}_b)}{\pi} \right)^2 + \dots \right]$$

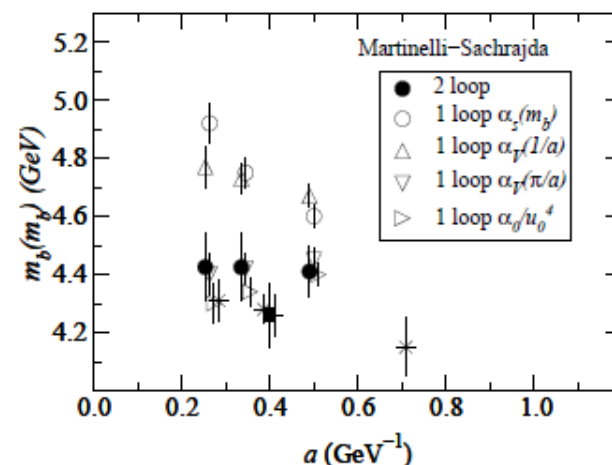
$$\times \left[ M_B - E_{\bar{b}q} + 2.117 \alpha_s(\bar{m}_b) + (3.7 \ln(\bar{m}_b a) - 1.3) \alpha_s^2(\bar{m}_b) + \dots \right]$$

- ▶ Two-loop essential for stable (and thus precise) determination of  $m_b$ .
- ▶ Now, known to three-loop (Di Renzo-Scorzato, JHEP 02(2001)020)



Continuum ← Pole

Pole ← Lattice



# Limitation of effective theory

---

- ▶ Obviously, HQET (at LO) ignores the  $1/m_Q$  effects.

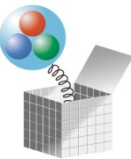
- ▶ Higher order terms can be included. The leading corrections:

$$H = -\frac{D^2}{2m_Q} - \frac{\sigma \cdot B}{2m_Q}$$

- ▶ The coefficients of terms are constrained by the Lorentz invariance, thus giving  $1/2m_Q$ .
- ▶ But, in the quantum theory they are renormalized differently, since the Lorentz invariance is violated by the choice of the reference frame  $v^\mu$ .

$$H = -\frac{D^2}{2(Z_m m_Q)} - c_B \frac{\sigma \cdot B}{2(Z_m m_Q)}$$

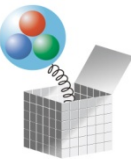
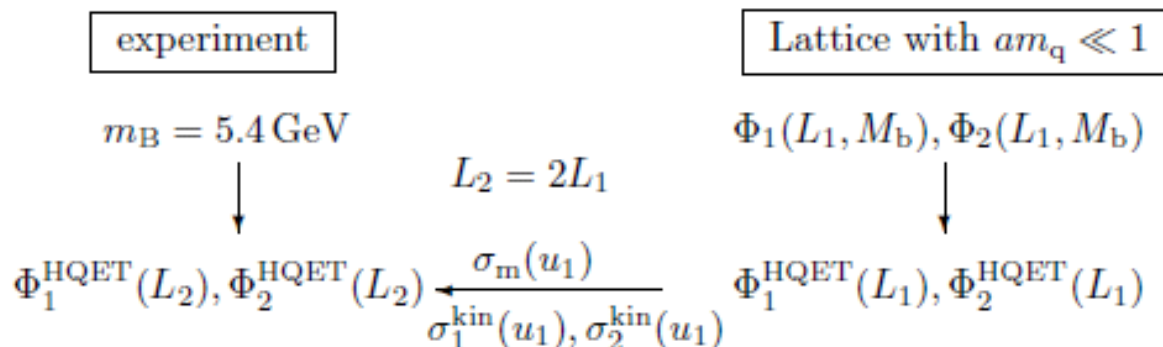
- ▶ The coefficients ( $Z_m$  and  $c_B$ ) must be calculated (non-)perturbatively.
- ▶ The same complication arises at every order of the expansion.



# State-of-the-art

Della Morte, Garron, Papinutto, Sommer, JHEP 0701, 007 (2007).

- ▶  $1/m_Q$  terms renormalized non-perturbatively.
  - ▶ This is non-trivial, because it requires a non-perturbative input.
  - ▶ The matching was done on a fine lattice against the usual relativistic fermion in the region ( $m_Q a \ll 1$ ). The lattice is necessarily small there.
  - ▶ Then match onto coarser lattices using the step scaling technique.  $\Rightarrow$  Lectures by Sint



# State-of-the-art

---

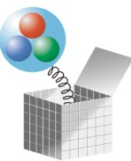
- ▶ matching to  $\overline{\text{MS}}$  done non-perturbatively.

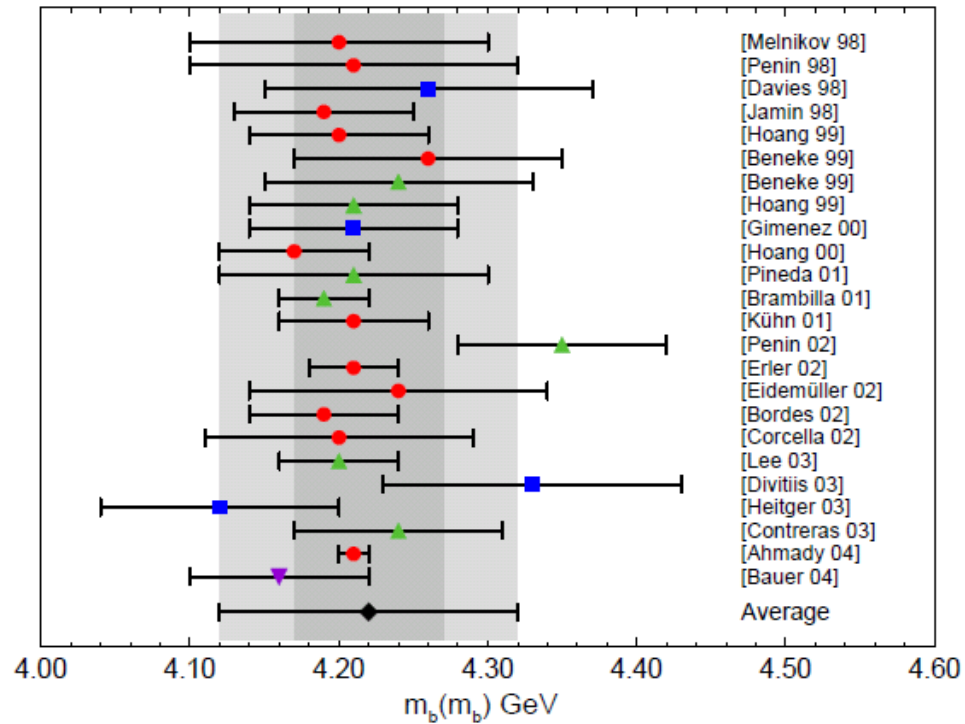
- ▶ The result in the quenched theory.

$$\bar{m}_b(\bar{m}_b) = [4.35(5) - 0.05(3)] \text{ GeV}$$

HQET             $1/m_b$

- ▶ Compared to the earlier result, 4.41(5)(10) GeV, by Martinelli-Sachrajda in the heavy quark limit (pert matching).
  - ▶ PDG value 4.20(7) GeV





Della Morte et al. (2007)



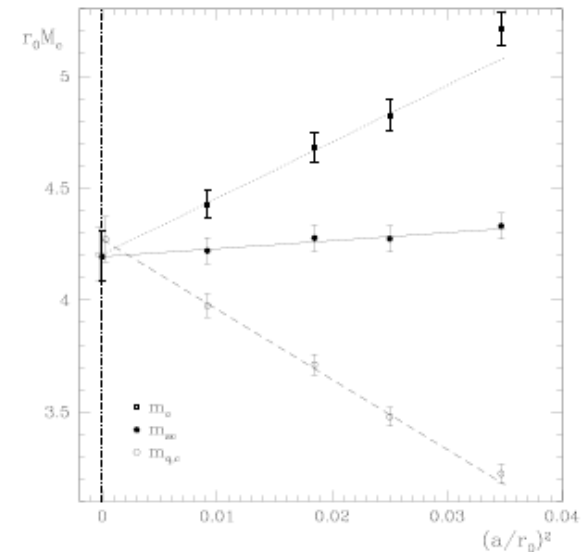
# Charm quark

- ▶ HQET is of little use. Use the conventional lattice fermion with  $a$  as small as possible to avoid the  $(am_c)^2$  error. Extrapolation in  $a$  will be essential.
- ▶ Non-perturbative matching of quark mass is available for the  $O(a)$ -improved Wilson fermion. Again obtained with the step scaling technique.
- ▶ Quenched calculation (Rolf-Sint, 2002) gave

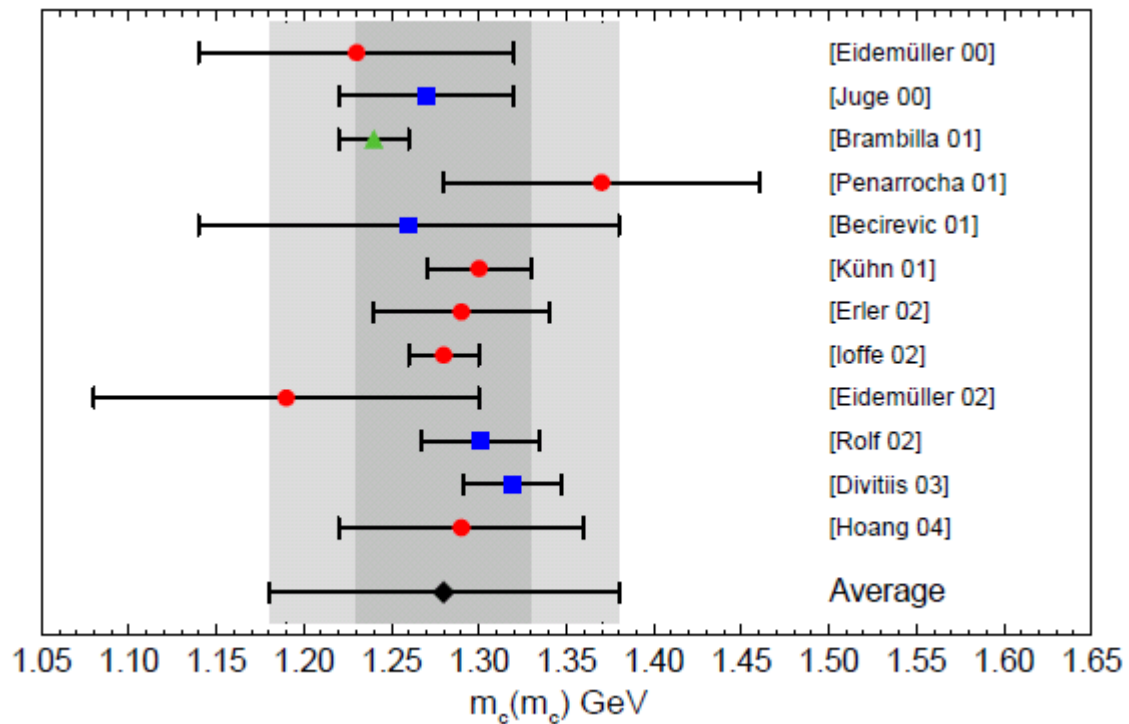
$$\overline{m}_c(\overline{m}_c) = 1.30(3) \text{ GeV}$$

- ▶ PDG value 1.25(9) GeV

Rolf-Sint, JHEP 0212, 007 (2002)







Will become competitive if done with dynamical quarks!

