

# Search for B-L Violation

(as seen by experimentalist)

Yuri Kamnyshev / University of Tennessee

Fundamental Neutron Physics Workshop, INT UW, Seattle, May 1, 2007



## This talk should be consolidated with other INT-07-01 talks:

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1. W. Mike Snow, Indiana University/IUCF: "Neutron-Antineutron Oscillations: Can the Current Experimental Limits be Improved?" , April 10, 2007  
[http://www.int.washington.edu/talks/WorkShops/int\\_07\\_1/People/Snow\\_M/Snow.pdf](http://www.int.washington.edu/talks/WorkShops/int_07_1/People/Snow_M/Snow.pdf)
2. Rabi Mohapatra, University of Maryland: "Neutron-Anti-Neutron Oscillation as a Probe of B-L Symmetry" , April 30, 2007
3. Brandon Hartfiel, California State University, Dominguez Hills: "Search for Neutron-Antineutron Oscillations at Super Kamiokande I" May 1, 2007
4. Albert Young, North Carolina State University: "Ultra-Cold Neutrons and NN-bar Oscillations", May 4, 2007

# Why we need B, L, and B-L violation ?

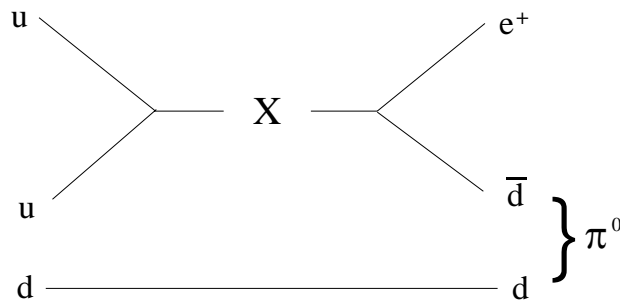
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## *Old arguments (remain unproven by experiment):*

- ❖ There are no good fundamental reasons for global quantum numbers like B, LF, or L to be conserved.  $\nu$  oscillations  $\rightarrow$  LFV
- ❖ Matter-Antimatter asymmetry of the Universe requires BV
  - $\rightarrow$  3 Sakharov's conditions (1967):
    - (1) *Baryon number violation*
    - (2) *Large C and CP symmetry violation*
    - (3) *Departure from thermal equilibrium*
- ❖ Scale of Early Inflation requires BV (*Zeldovich, Dolgov 1981*)
- ❖ GUT (Grand Unification Theory) models require BV:
  - Pati & Salam (1973)* : quark-lepton unification
  - Georgi & Glashow (1974)* : SU(5) – unification of forces ...

# Major expectation:

proton decay  
e.g. in  $SU(5)$



$$M_{PDK} \sim g \frac{uued}{m_X^2}$$

$$[fermion] = m^{3/2}$$

$$m_X \sim 10^{15} GeV$$

not measured  
above this line

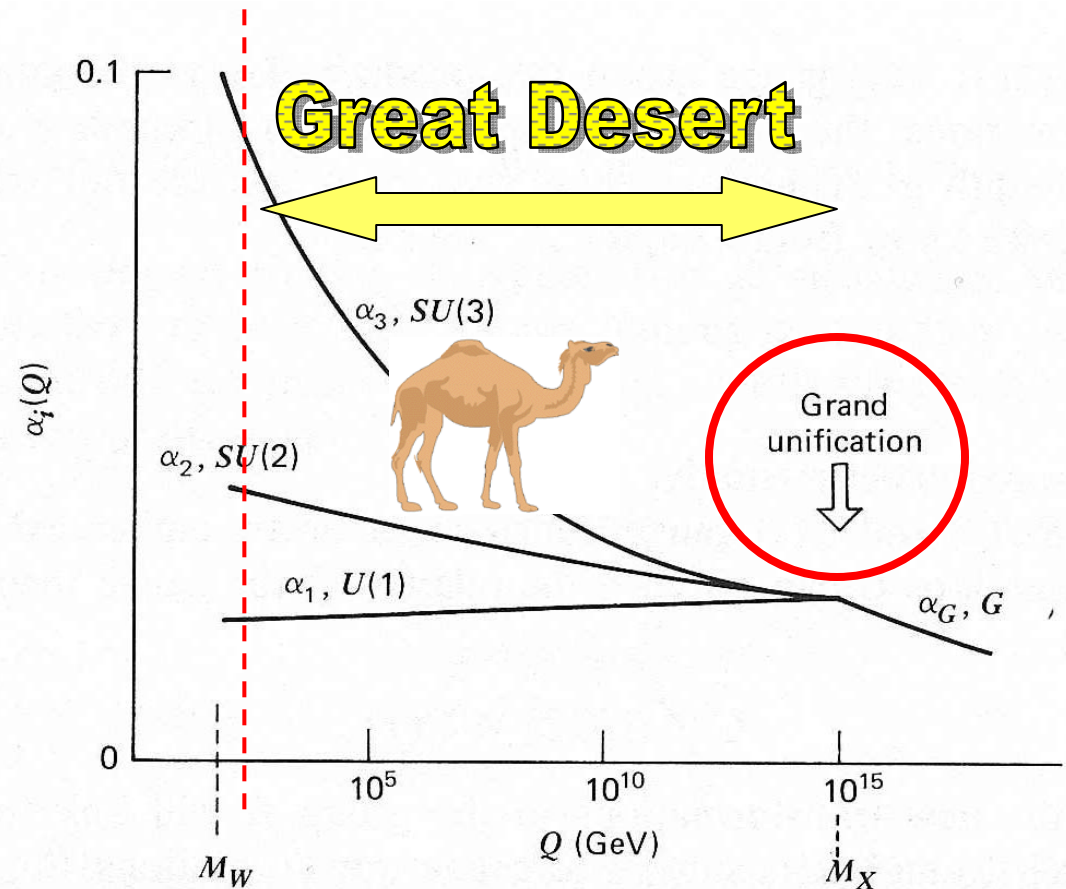
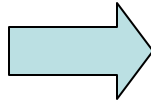


Fig. 15.4 The variation of  $\alpha_i \equiv g_i^2/4\pi$  with  $Q$ , showing the speculative grand unification of strong [ $SU(3)_{color}$ ] and electroweak [ $SU(2)_L \times U(1)_Y$ ] interactions at very short distances  $1/Q \approx 1/M_X$ .

# We should prize the work on Nucleon decay search performed by Super-K, Soudan-2, IMB3, Kamiokande, Fréjus experiments

although they found nothing

2003, M. Shiozawa  
28th International  
Cosmic Ray Conference

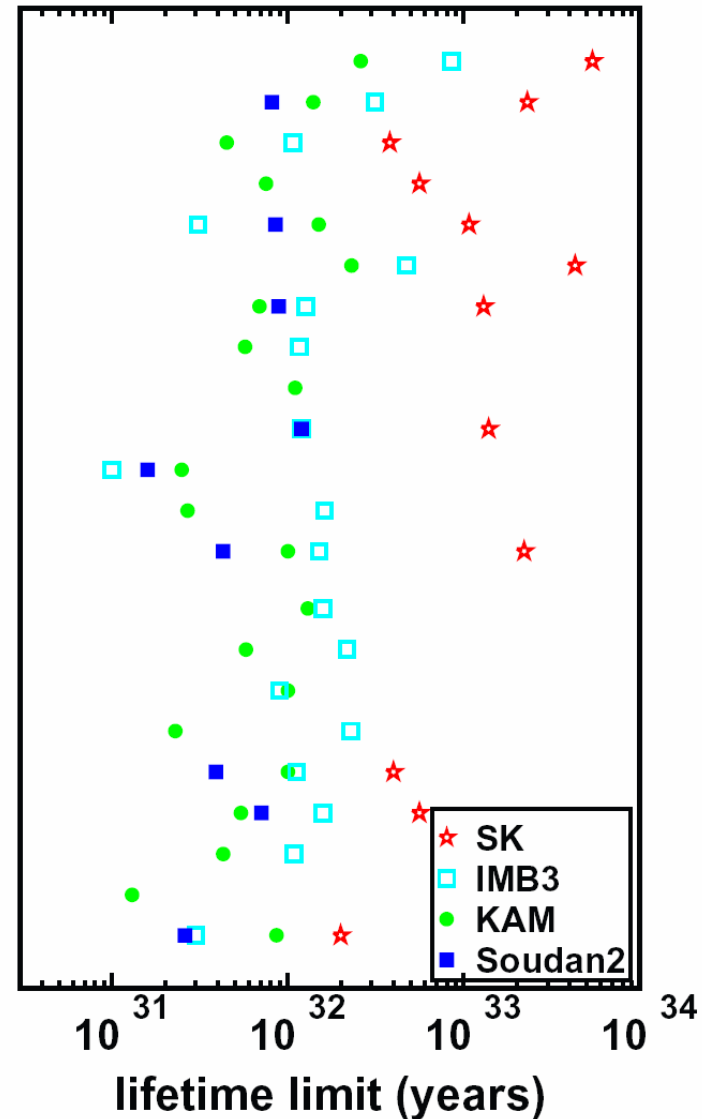


- $p \rightarrow e^+ \pi^0$
- $e^+ \eta$
- $e^+ \omega_0$
- $e^+ \rho^0$
- $e^+ K^0$
- $\mu^+ \pi^0$
- $\mu^+ \eta$
- $\mu^+ \omega_0$
- $\mu^+ \rho^0$
- $\mu^+ K^0$
- $\bar{\nu} \pi^+$
- $\bar{\nu} \rho^+$
- $\bar{\nu} K^+$
- $n \rightarrow e^+ \pi^-$
- $e^+ \rho^-$
- $\mu^+ \pi^-$
- $\mu^+ \rho^0$
- $\bar{\nu} \pi^0$
- $\bar{\nu} \eta$
- $\bar{\nu} \omega_0$
- $\bar{\nu} \rho^0$
- $\bar{\nu} K^0$

## Proton Decay by David Halliday

A proton once said, "I'll fulfill  
My long-term belief in free will.  
Though theorists (may) say  
That I ought to decay  
I'm damned if I think that I will."

All modes on this plot are  
baryon  $\rightarrow$  anti-lepton  
and therefore are  
conserving (B-L)



## **More recent arguments:**

- ❖ Before the Grand Unification happens all interactions should become Left-Right symmetric.

General relation in Left-Right symmetric SO(10):

$$Q = T_{3L} + T_{3R} + \frac{B-L}{2}$$

(B-L) is violated by 2 at the same scale where L-R symmetry broken

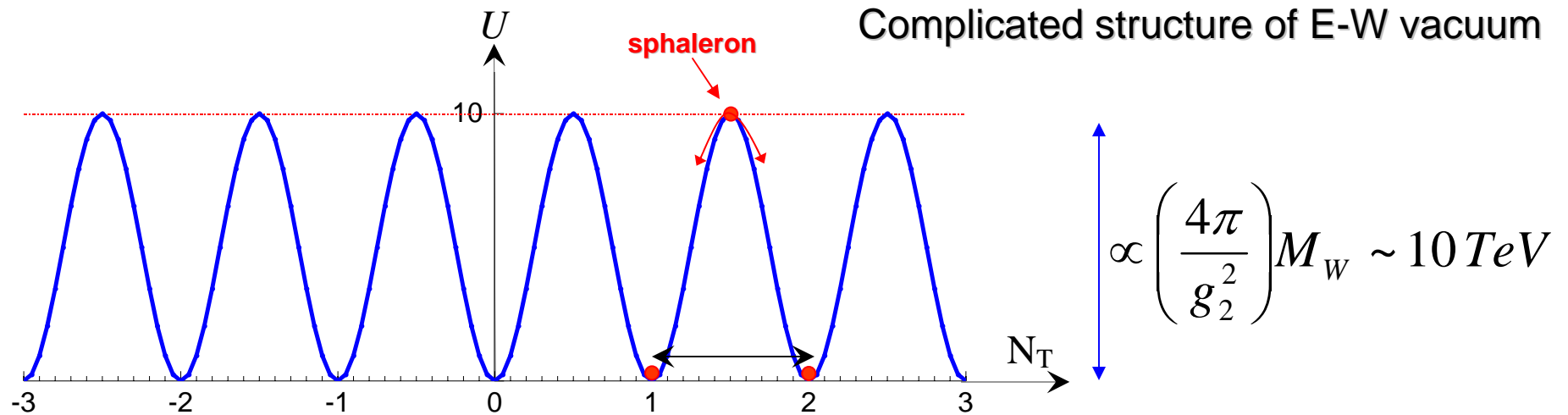
Non-conservation of (B-L) was discussed theoretically since 1978 by

*Davidson, Marshak, Mohapatra, Wilczek, Chang, Ramond ...*

- ❖ Neutrino masses require Seesaw mechanism and heavy Majorana neutrinos violating (B-L) by two units →  $2\beta\beta 0\nu$  detection efforts
- ❖ Low Quantum Gravity scale models might lower the unification scale down to ~ 100 TeV (No Great Desert !) and can destroy global B,L

❖ Fast anomalous SM interactions (*sphalerons*) in early Universe at TeV scales require that (B–L) should be violated at > 10 TeV scale

*V. Kuzmin, V. Rubakov, M. Shaposhnikov, 1985*



at high temperatures sphaleron transition are very intensive with rate exceeding the rate of inflation → will erase BAU made by  $\Delta(B-L)=0$  effects

“Proton decay is not a prediction of baryogenesis!” [Yanagida’02]

→ Leptogenesis or Baryogenesis with (B–L) violation

→ Motivation for Proton Decay with (B–L)=0 is weaker that 20 years ago

## Role of (B–L) : two possibilities

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For transitions  $fermion \rightarrow fermion$  the conservation of angular momentum requires that spin  $\frac{1}{2}$  of nucleon should be transferred to another fermion (lepton or baryon):

That leads to the selection rule:  
 $\Delta B = \pm \Delta L$  or  $|\Delta(B-L)| = 0, 2$

- In Standard Model, in SU(5), and many SUSY extensions:  
 $\Delta(B-L) = 0$  or  $\Delta B = + \Delta L$  (e.g. nucleon  $\rightarrow$  antilepton)
- Second possibility of  $|\Delta(B-L)| = 2$  allows transitions with  $\Delta B = - \Delta L$  (nucleon  $\rightarrow$  lepton), or  $|\Delta B| = 2$ , or  $|\Delta L| = 2$

*Conservation or violation of (B– L) determines the mechanism of baryon instability.*



# Is (B–L) number conserved?

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- ✧ In our laboratory samples  $(B-L) = \# \text{ protons} + \# \text{ neutrons} - \# \text{ electrons} \neq 0$

$$(B-L) \neq 0$$

Is it smoking gun?

- ✧ In the Universe most of the leptons exist as, yet undetected, relict neutrino and antineutrino radiation (similar to CMBR) and the conservation of (B–L) on the scale of the whole Universe is still an open question

## Possible (B-L)V manifestations following from $\Delta(B-L)=2$

$\Delta B = 0 ; \Delta L = 2 \quad \nu \leftrightarrow \bar{\nu}$  i.e. Majorana neutrino,  $2\beta 0\nu$  observable

$\Delta L = 0 ; \Delta B = 2 \quad n \leftrightarrow \bar{n}$  oscillations

$\Delta B = -\Delta L$  e.g.  $n \rightarrow \nu\nu\bar{\nu}$  or  $p \rightarrow \nu\nu e^+$  (nucleon  $\rightarrow$  lepton)

also e.g.  $\tau^- \rightarrow n + \pi^-$  and  $\Lambda^0 \rightarrow \mu^- + \pi^+$

Singlet  $n_R$  is part of the SM while  $\nu_R$  might be heavy and difficult to detect

All observable  $\Delta(B-L)=2$  processes are topologically similar: operators of dim-9 for non-SUSY amplitude

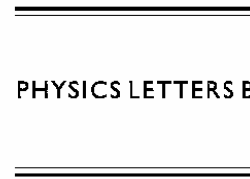
# Low quantum gravity scale models



ELSEVIER

5 August 1999

Physics Letters B 460 (1999) 47–57



Proton decay  
is strongly  
suppressed in  
this model, but  
 $n$ - $\bar{n}$  should  
occur since  $n_R$   
has no gauge  
charges

## Non-conservation of global charges in the Brane Universe and baryogenesis

Gia Dvali<sup>1, 2</sup>, Gregory Gabadadze<sup>3</sup>

*Department of Physics, New York University, New York, NY 10003, USA*

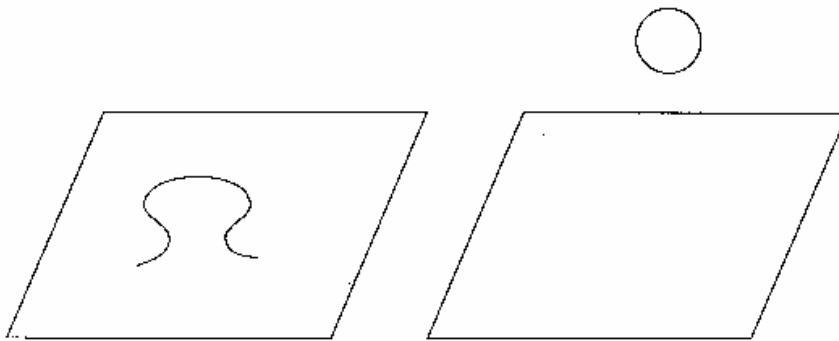


Fig. 1. Creation of baby branes.

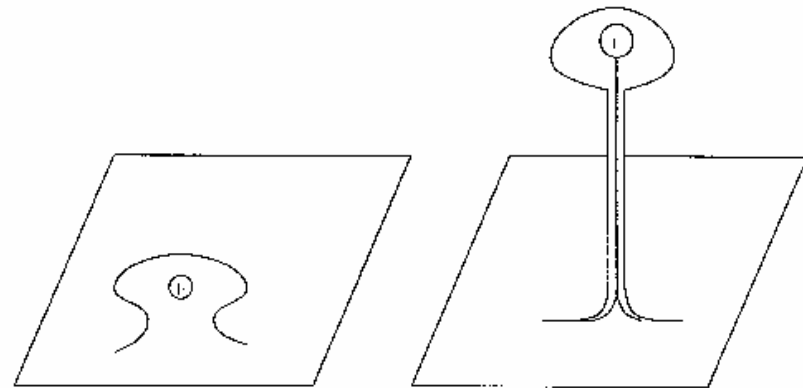


Fig. 2. Flux tube holding the baby brane with a local charge.

$$n \leftrightarrow \bar{n}$$

$$|\Delta B|=2 \ ; \ |\Delta(B-L)|=2$$

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- ❖ There are no laws of nature that would forbid the  $N \leftrightarrow Nbar$  transitions except the conservation of "*baryon charge (number)*":

*M. Gell-Mann and A. Pais, Phys. Rev. 97 (1955) 1387*

*L. Okun, Weak Interaction of Elementary Particles, Moscow, 1963*

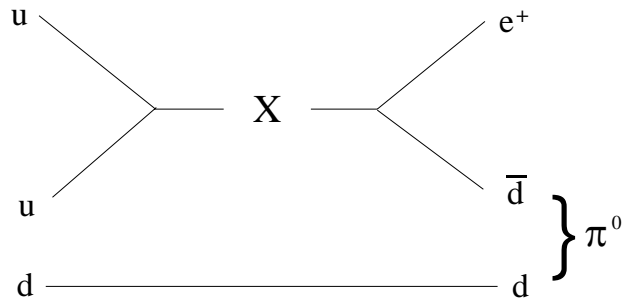
- ❖  $N \leftrightarrow Nbar$  was first suggested as a possible mechanism for explanation of Baryon Asymmetry of Universe *by V. Kuzmin, 1970*

- ❖  $N \leftrightarrow Nbar$  works within GUT + SUSY ideas. First considered and developed within the framework of L/R symmetric Unification models

*by R. Mohapatra and R. Marshak, 1979 ...*

# Why $N \rightarrow N\bar{}$ was not popular 25 years ago?

*proton decay*  
*e.g. in SU(5)*



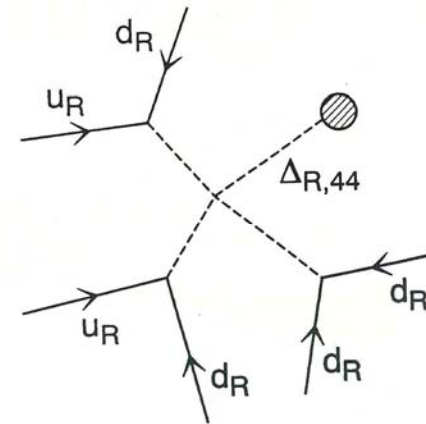
$$M_{PDK} \sim g \frac{uued}{m_X^2}$$

$$m_X \sim 10^{15} \text{ GeV}$$

$n \rightarrow \bar{n}$  transition

e.g. in SO(10)  $\rightarrow$

$SU(2)_L \otimes SU(2)_R \otimes SU(4)_C$



$$M_{n\bar{n}} \sim \lambda \frac{udd\bar{d}\bar{d}\bar{u}}{m_{B-L}^5}$$

$$m_{B-L} \sim 10^5 \text{ GeV}$$

- Connection with neutrino mass physics via seesaw (B–L)V mechanism

*K. Babu and R. Mohapatra, PLB 518 (2001) 269*

*B. Dutta, Y. Mimura, R. Mohapatra, PRL 96 (2006) 061801*

- Connection to low quantum gravity scale ideas

*G. Dvali and G. Gabadadze, PLB 460 (1999) 47*

*S. Nussinov and R. Shrock, PRL 88 (2002) 171601*

*C. Bambi et al., hep-ph/0606321*

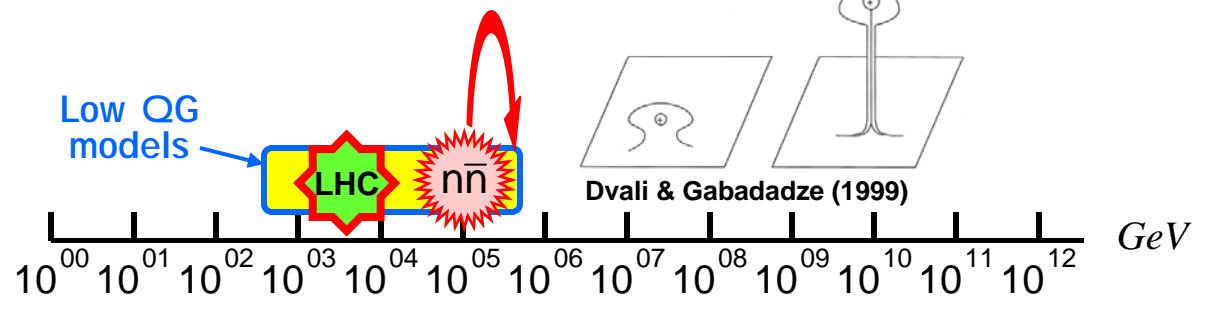
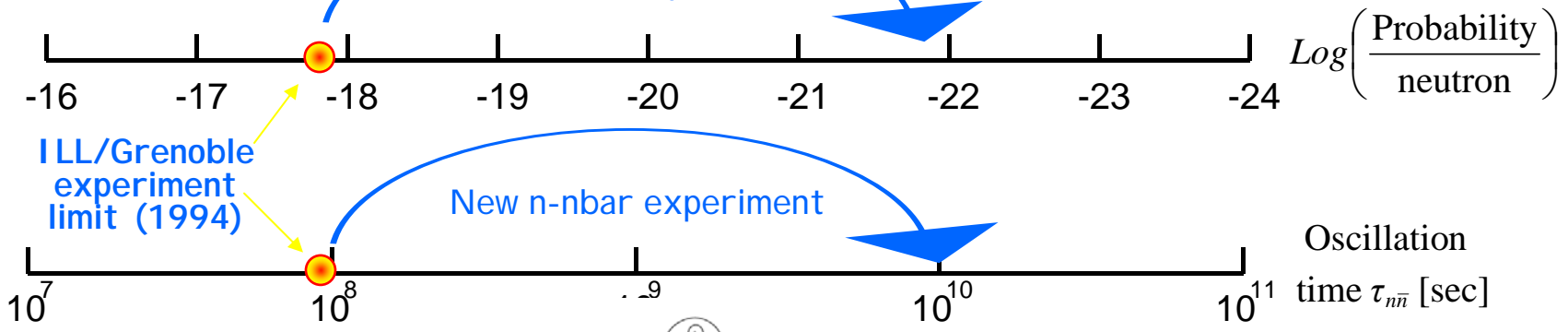
- Baryogenesis models at low-energy scale

*A. Dolgov et al., NP B752 (2006) 297*

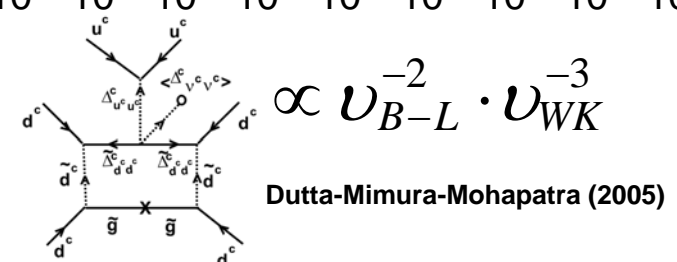
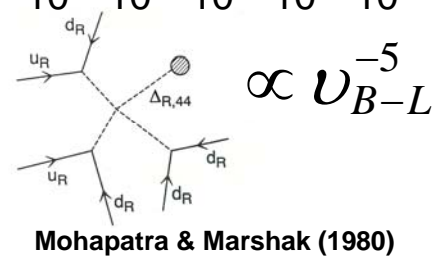
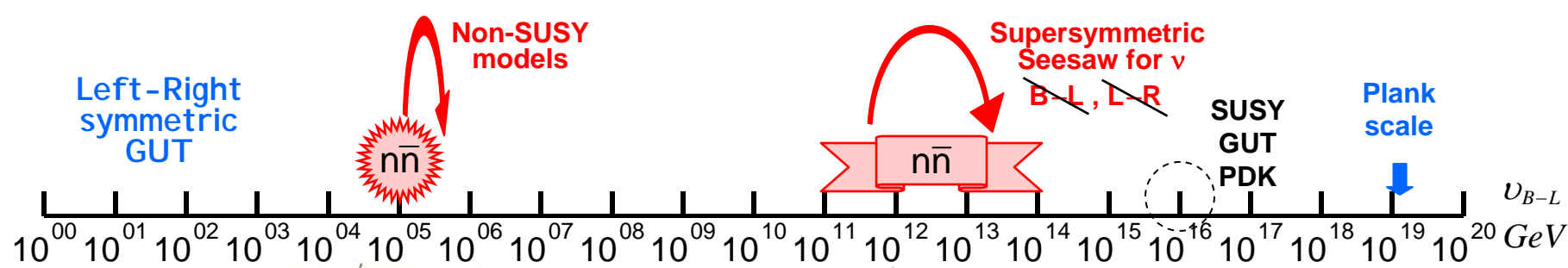
*K. Babu et al., PRL 97 (2006) 131301*

# Scales of $n \rightarrow \bar{n}$

$$P = N_n \cdot \left( \frac{t_{obs}}{\tau_{n\bar{n}}} \right)^2 \text{ in vacuum}$$



Scale improvement from new measurement



Dutta-Mimura-Mohapatra (2005)

## $n \rightarrow \bar{n}$ transition probability

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$\Psi = \begin{pmatrix} n \\ \bar{n} \end{pmatrix}$  mixed  $n$  -  $\bar{n}$  QM state

$H = \begin{pmatrix} E_n & \alpha \\ \alpha & E_{\bar{n}} \end{pmatrix}$  Hamiltonian on the system

where  $E_n$  and  $E_{\bar{n}}$  are non - relativistic energy operators :

$$E_n = m_n + \frac{p^2}{2m_n} + U_n ; \quad E_{\bar{n}} = m_{\bar{n}} + \frac{p^2}{2m_{\bar{n}}} + U_{\bar{n}}$$

### Important assumptions :

- $\alpha(n \rightarrow \bar{n}) \cong \alpha(\bar{n} \rightarrow n) = \alpha$  (i.e. T - invariance is hold)
- there is a reference frame where  $p = 0$
- $m_n = m_{\bar{n}}$  (if CPT is not violated)
- gravipotential for  $n$  and  $\bar{n}$  is the same :  $\Delta U = U_n - U_{\bar{n}} = 0$
- magnetic moment  $\mu(\bar{n}) = -\mu(n)$  as follows from CPT [BTW  $\mu(\bar{n})$  not measured!]
- Earth mag. field can be screened down to acceptable few  $nT$  level

All beyond SM  
physics is here

$\alpha$ -mixing amplitude



## $n \rightarrow \bar{n}$ transition probability (for given $\alpha$ )

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$$\text{For } H = \begin{pmatrix} m_n + V & \alpha \\ \alpha & m_{\bar{n}} - V \end{pmatrix}$$

$$P_{n \rightarrow \bar{n}}(t) = \frac{\alpha^2}{\alpha^2 + (V + \Delta m/2)^2} \times \sin^2 \left[ \frac{\sqrt{\alpha^2 + (V + \Delta m/2)^2}}{\hbar} t \right]$$

where  $V$  is a potential different for neutron and anti - neutron

(e.g. due to non - compensated Earth mag. field; or part of gravipotential)

$t$  is observation time in an experiment, and  $\Delta m = m_n - m_{\bar{n}}$

In an ideal situation of the "vacuum oscillations"  $V = 0$  and  $\Delta m = 0$

$$P_{n \rightarrow \bar{n}} = \left( \frac{\alpha}{\hbar} \times t \right)^2 = \left( \frac{t}{\tau_{n\bar{n}}} \right)^2$$

$\tau_{n\bar{n}} = \frac{\hbar}{\alpha}$  is characteristic transition (oscillation) time [ $\alpha < 10^{-23} \text{ eV}$ ]

"Sensitivity"  $\propto N_n \bullet \langle t^2 \rangle$

( $N_n$  number of neutrons used per sec,  $t^2$  square of flight time)

## PDG 2006:

Limits for both  
free reactor neutrons and  
 neutrons bound inside nucleus

**Bound  $n$ :** J. Chung et al., (Soudan II)

Phys. Rev. D 66 (2002) 032004  $> 7.2 \cdot 10^{31}$  years

**Free  $n$ :** M. Baldo-Ceolin et al.,  
 (ILL/Grenoble) Z. Phys C63 (1994) 409

with  $P = (t/\tau_{free})^2$

$$\tau_{bound} = R \cdot \tau_{free}^2$$

where  $R \sim 10^{23} s^{-1}$

## LIMIT ON $n\bar{n}$ OSCILLATIONS

### Mean Time for $n\bar{n}$ Transition in Vacuum

A test of  $\Delta B=2$  baryon number nonconservation. MOHAPATRA 80 and MOHAPATRA 89 discuss the theoretical motivations for looking for  $n\bar{n}$  oscillations. DOVER 83 and DOVER 85 give phenomenological analyses. The best limits come from looking for the decay of neutrons bound in nuclei. However, these analyses require model-dependent corrections for nuclear effects. See KABIR 83, DOVER 89, ALBERICO 91, and GAL 00 for discussions. Direct searches for  $n \rightarrow \bar{n}$  transitions using reactor neutrons are cleaner but give somewhat poorer limits. We include limits for both free and bound neutrons in the Summary Table.

VALUE (s)	CL%	DOCUMENT ID	TECN	COMMENT
$>1.3 \times 10^8$	90	CHUNG	02B SOU2	$n$ bound in iron
$>8.6 \times 10^7$	90	BALDO-...	94 CNTR	Reactor (free) neutrons
• • • We do not use the following data for averages, fits, limits, etc. • • •				
$>1 \times 10^7$	90	BALDO-...	90 CNTR	See BALDO-CEOLIN 94
$>1.2 \times 10^8$	90	BERGER	90 FREJ	$n$ bound in iron
$>4.9 \times 10^5$	90	BRESSI	90 CNTR	Reactor neutrons
$>4.7 \times 10^5$	90	BRESSI	89 CNTR	See BRESSI 90
$>1.2 \times 10^8$	90	TAKITA	86 CNTR	$n$ bound in oxygen
$>1 \times 10^6$	90	FIDECARO	85 CNTR	Reactor neutrons
$>8.8 \times 10^7$	90	PARK	85B CNTR	
$>3 \times 10^7$		BATTISTONI	84 NUSX	
$>2.7 \times 10^7 - 1.1 \times 10^8$		JONES	84 CNTR	
$>2 \times 10^7$		CHERRY	83 CNTR	

Search with free neutrons is square more advantageous but in suppressed intra-nuclear transitions larger number of neutrons can be used

## Suppression of $n \rightarrow \bar{n}$ in intranuclear transitions

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Neutrons inside nuclei are "free" for the time:  $\Delta t \sim \frac{1}{E_{binding}} \sim \frac{1}{10MeV} \sim 10^{-22} s$

each oscillating with free probability =  $\left(\frac{\Delta t}{\tau_{n\bar{n}}}\right)^2$

and "experiencing free condition"  $N = \frac{1}{\Delta t}$  times per second.

Transition probability per second:  $P_A = \frac{1}{\tau_A} = \left(\frac{\Delta t}{\tau_{n\bar{n}}}\right)^2 \times \left(\frac{1}{\Delta t}\right)$

Intranuclear transition (exponential) lifetime:

$$\tau_A = \frac{\tau_{n\bar{n}}^2}{\Delta t} = R \times \tau_{n\bar{n}}^2$$

where  $R \sim \frac{1}{\Delta t} \sim 10^{22} s^{-1}$  is "nuclear suppression factor"

Actual nuclear theory calculations for  $^{16}O$ ,  $^2D$ ,  $^{56}Fe$ ,  $^{40}Ar$  by C. Dover et al; W. Alberico et al; and most recently B. Kopeliovich and J. Hufner are consistent and give an order of magnitude higher suppression factors with uncertainty of  $\times 2$

Thus, e.g. Soudan - II limit  $\tau_{Fe} > 7.2 \times 10^{31} yr$  corresponds to  $\tau_{n\bar{n}} > 1.3 \times 10^8 s$

## $n \rightarrow \bar{n}$ search limits with bound neutrons

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Soudan - 2 limit:  $\tau_{Fe} > 7.2 \times 10^{31}$  years

$$S / B = 4 / 4.5$$

Future potential limits expected from SNO and Super-K (guess of 2002 by Tony Mann):

SNO:  $\tau_D \sim 4.8 \times 10^{32}$  years

Super - K:  $\tau_O \sim 7.5 \times 10^{32}$  years

Since sensitivity of SNO, Super-K, and future large underground detectors will be limited by atmospheric neutrino background (as demonstrated by Soudan-2 experiment), it will be possible to set a new limit, but difficult to make a discovery!

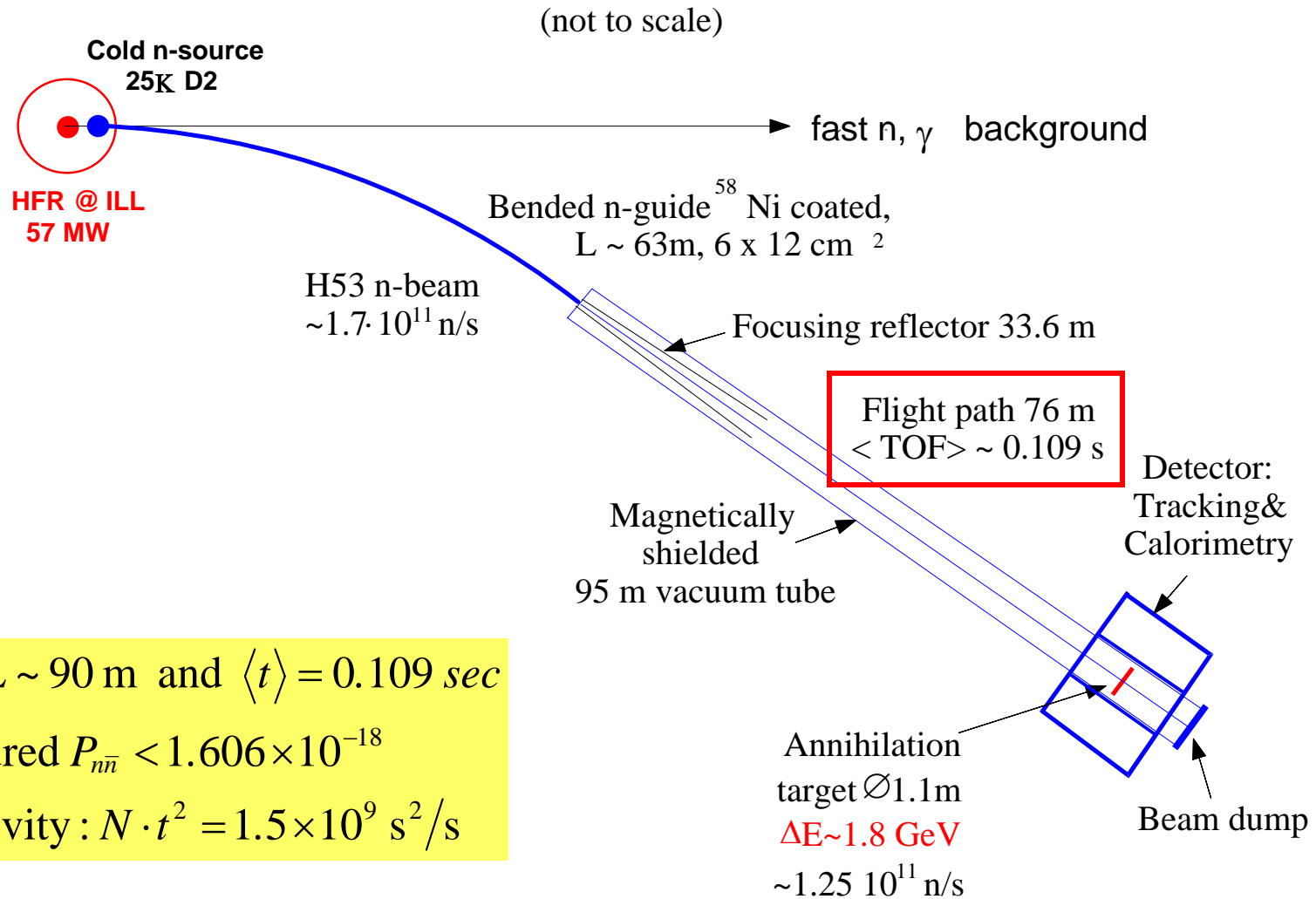
# ILL: Institute Max Von Laue-Paul Langevin in Grenoble



# Previous n-nbar search experiment with free neutrons

At ILL/Grenoble reactor in 89-91 by Heidelberg-ILL-Padova-Pavia Collaboration

M.Baldo-Ceolin M. et al., Z. Phys., C63 (1994) 409



with  $L \sim 90 \text{ m}$  and  $\langle t \rangle = 0.109 \text{ sec}$   
measured  $P_{n\bar{n}} < 1.606 \times 10^{-18}$   
sensitivity :  $N \cdot t^2 = 1.5 \times 10^9 \text{ s}^2/\text{s}$

# Detector of Heidelberg -ILL-Padova-Pavia Experiment @ILL 1991

**No background!**

No candidates observed.  
Measured limit for  
a year of running:

$$\tau_{n\bar{n}} \geq 8.6 \times 10^7 \text{ sec}$$

**= 1 unit of sensitivity**

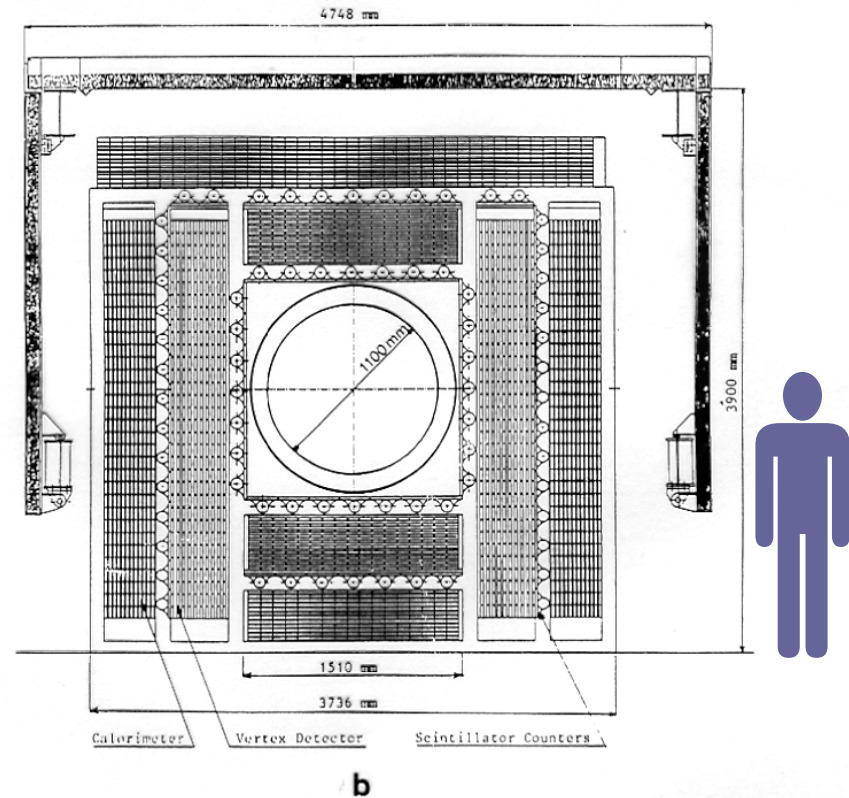
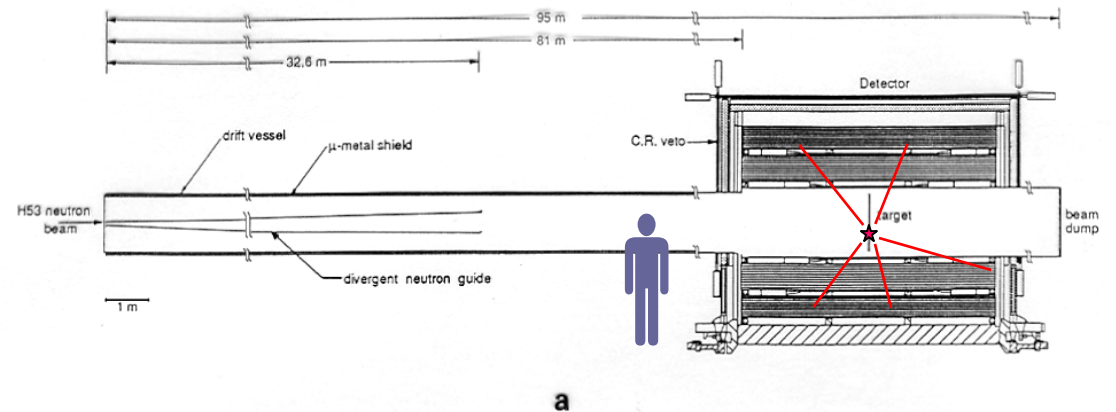


Fig. 1. (a) Experimental apparatus showing the "quasi free" neutron propagation length with the divergent guide, the target and the detection system. (b) Cross sectional view of the detector.

How one can improve on such state-of-the-art experiment and achieve 3-4 orders of magnitude higher sensitivity?

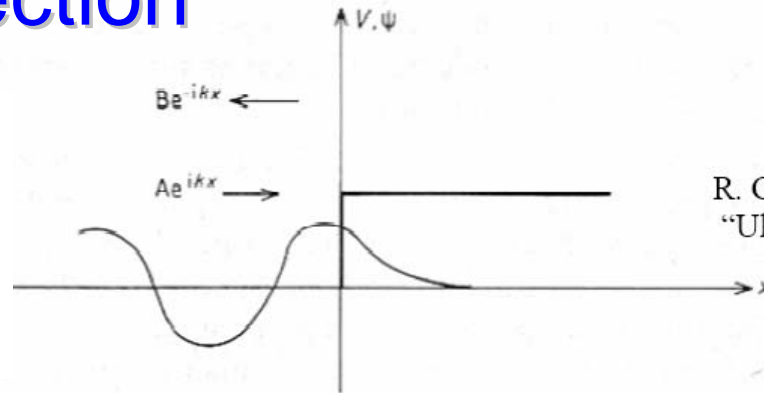
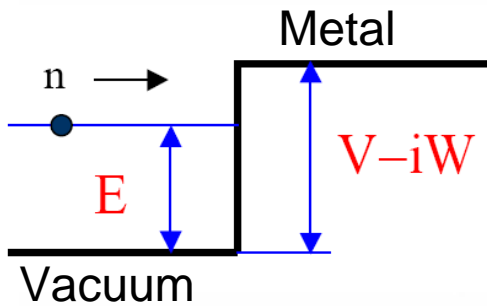
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Two major improvements:

1. Focusing of neutrons: use of larger solid angle  
→ longer neutron flight path
2. Vertical layout: compensating Earth gravity

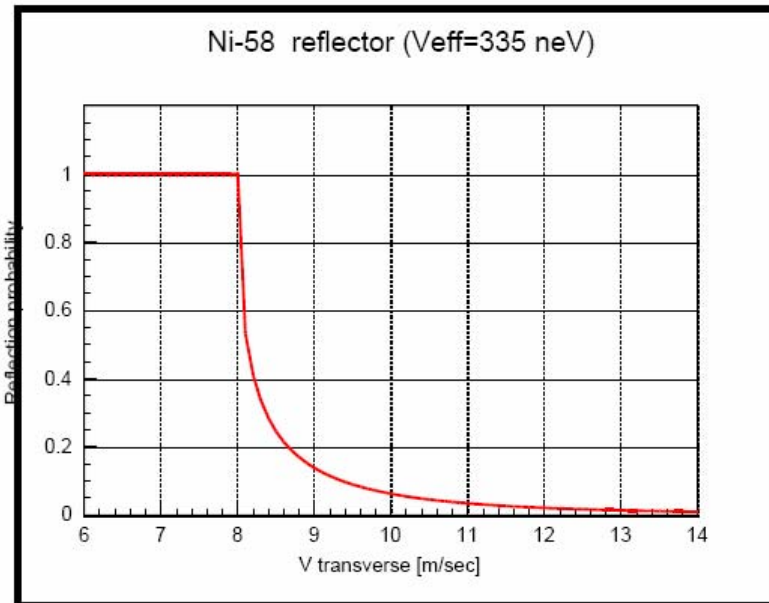


# Neutron reflection



R. Golub, D. Richardson, S. Lamoreaux,  
"Ultra-Cold Neutrons"

Reflection coefficient: 
$$R = \frac{\left| 1 - \sqrt{1 - \frac{V}{E}(1 - if)} \right|^2}{\left| 1 + \sqrt{1 - \frac{V}{E}(1 - if)} \right|^2}$$
 where  $U = V - iW$  and  $f = \frac{W}{V}$



## Need max flux and max observation time!

E of reactor fission neutrons  $\sim 2$  MeV

E of thermal (300 K) neutrons  $\sim 0.025$  eV

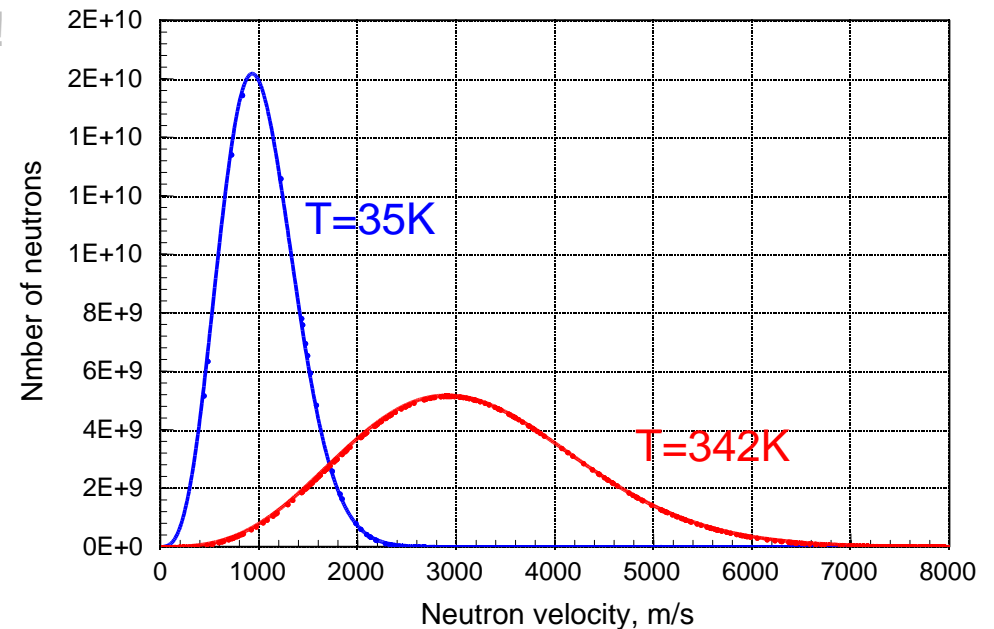
$\langle V \rangle$  of thermal neutrons  $\sim 2200$  m/s

$\langle V \rangle$  of Cold neutrons  $\sim 1000$  m/s

For 1-km initially horizontal flight path the vertical displacement due to gravity acceleration is  $\sim 5$ m for  $V_x=1000$  m/s and  $t=1$  sec;  
vertical velocity component is  $V_y=10$  m/s

→ Trajectory wiping effect on cold neutrons for horizontal beam layout

→ Vertical beam layout preserves all the cold spectrum and allows max path length



*For layout without focusing:*

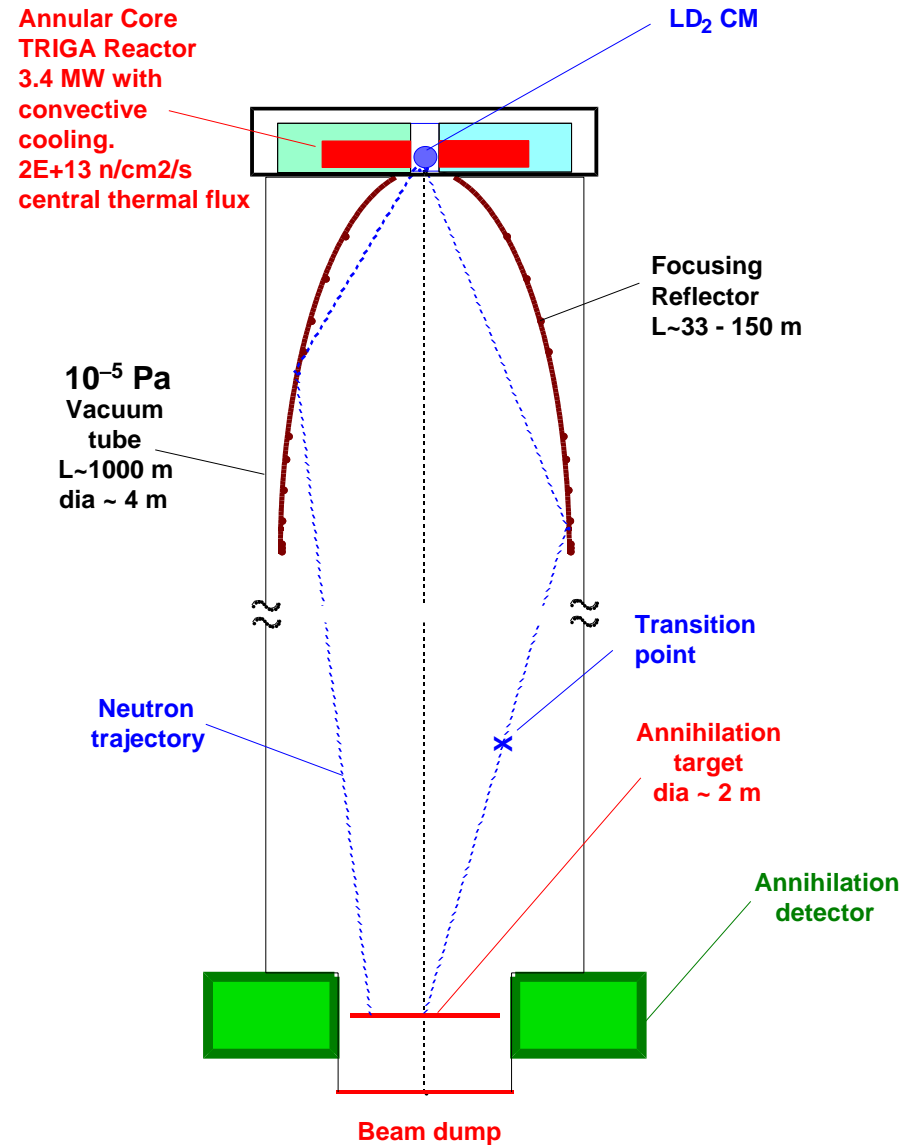
$$\text{Sensitivity} \propto \frac{1}{\sqrt{T}}$$

*For vertical layout with focusing:*

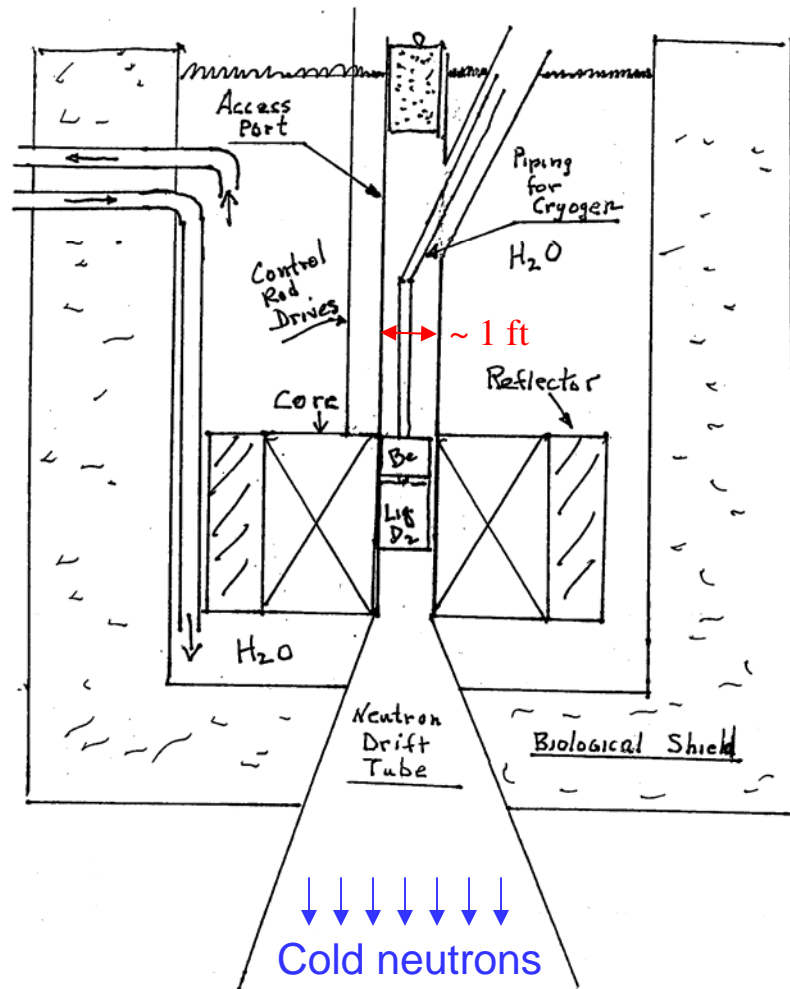
$$\text{Sensitivity} \propto \frac{L^2}{\sqrt{T^3}}$$

# N-Nbar search experiment idea with vertical layout

- Dedicated small-power research reactor with cold neutron moderator  $\rightarrow V_n \lesssim 1000$  m/s
- Vertical shaft  $\gtrsim 1000$  m deep with diameter  $\gtrsim 5$  m
- Large vacuum tube  $10^{-5}$  Pa, focusing reflector; Earth magnetic field compensation system to  $\sim$  nT
- Detector (similar to ILL N-Nbar detector) at the bottom of the shaft (no new technologies)
- No background: one event  $\rightarrow$  discovery!
- Sensitivity increase factor  $\sim 1,000$  (relative to present limits)



# Annular core TRIGA reactor (GA) for N-Nbar search experiment



- GA built ~ 70 TRIGA reactors 0.01÷14 MW (th)
- 19 TRIGA reactors are presently operating in US (last commissioned in 1992)
- 25 TRIGA reactors operating abroad (last commissioned in 2005)
- some have annular core and vertical channel
- most steady, some can be pulsed up to 22 GW
- safe ~ 20% EU uranium-zirconium hydride fuel

## Economic solution for n-nbar:

annular core TRIGA reactor 3.4 MW  
with convective cooling, vertical channel,  
and large cold LD<sub>2</sub> moderator ( $T_n \sim 35\text{K}$ ).  
Unperturbed thermal flux in the vertical  
channel  $\sim 2 \times 10^{13} \text{ n/cm}^2/\text{s}$

Courtesy of W. Whittemore  
(General Atomics)

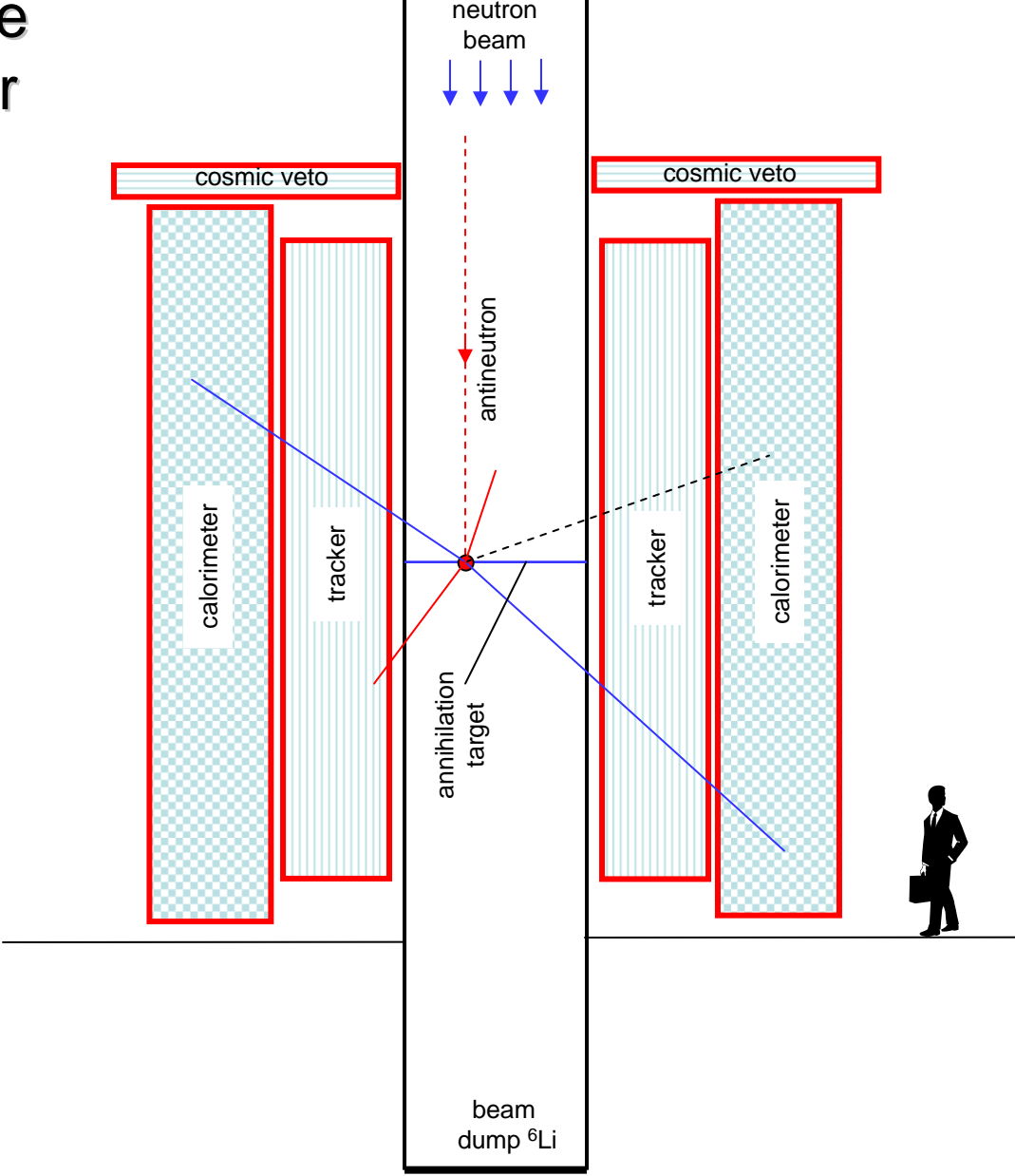
Neutron source needed:  
small power 3.4 MW  
TRIGA reactor

TRIGA Reactor picture  
courtesy of General Atomics



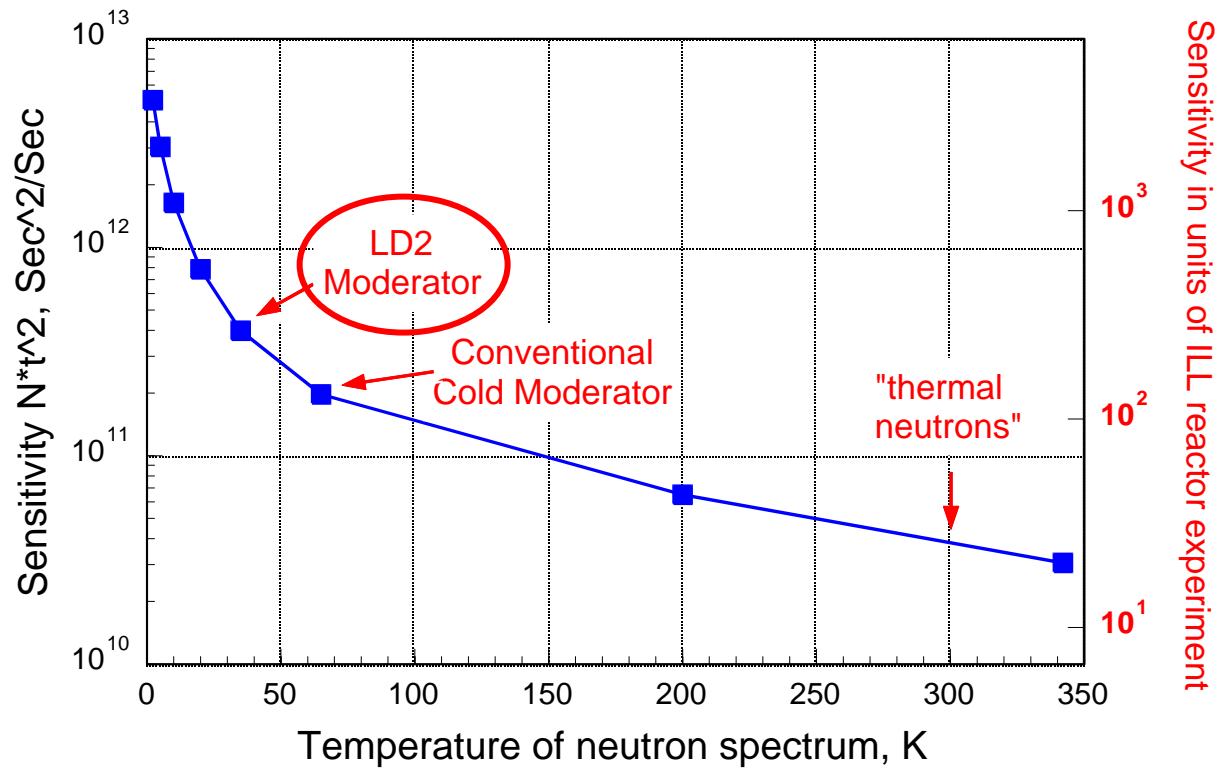
Dry central cavity in annular core

# The conceptual scheme of antineutron detector



# N-Nbar sensitivity vs neutron temperature

MC simulation: source dia 25 cm, target dia 2m,  $L(S/T) = 1150$  m  
 $3\theta_c$  reflector starts at  $z = 2$  m with dia 1 m; ends at  $z = 33$  m with dia 4 m



Base-line for cold TRIGA source is  $LD_2$  moderator



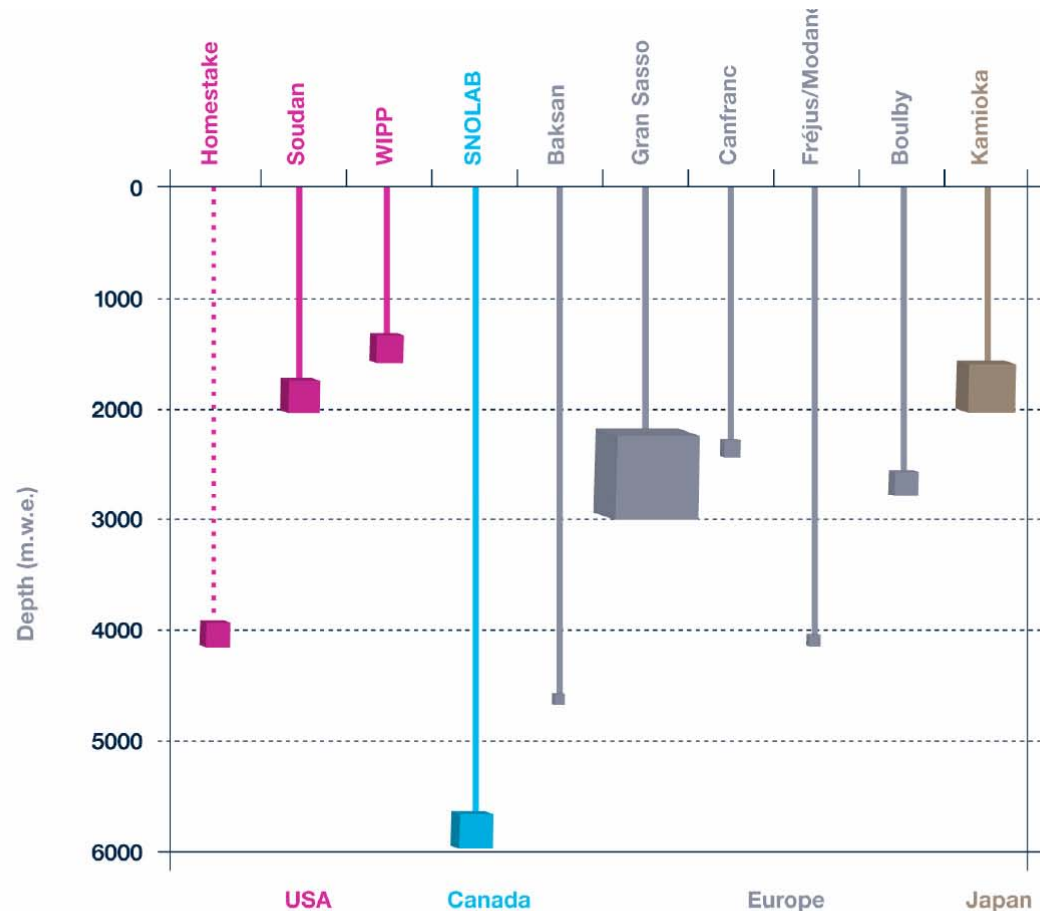
## Deep Underground Science and Engineering Laboratory

Initiative in US by National Science Foundation in 2004  
(also supported by DOE) motivated by possible broad  
scope of the underground science including

- ❖ Non-accelerator physics: solar neutrinos,  $\beta\beta 0\nu$  - decay, Dark Matter, supernovae
- ❖ Long-baseline neutrino physics
- ❖ Nucleon instability search (proton decay, neutron-antineutron oscillations)
- ❖ Geology (formations, conditions closer to earthquakes)
- ❖ Mine Engineering (rock mechanics, stresses, stability, hydrology)
- ❖ Microbiology (life at large depth and high pressure and temperatures)
- ❖ Atmospheric physics (rain/snow formation and growth)



Presently underground experimental facilities in US are not best in the world



**8 Candidate sites:** Cascades, WA ; Henderson Mine, CO ; Homestake Mine, SD ; Kimballton Mine, VA ; San Jacinto, CA ; Soudan Mine, MN ; SNOLAB, Sudbury, ONT ; WIPP, Carlsbad, NM

**2 Candidate sites (2005):** Henderson Mine, CO ; Homestake Mine, Lead, SD

**4 Candidate sites (2006):** Henderson, Homestake, Cascades, Minnesota\*

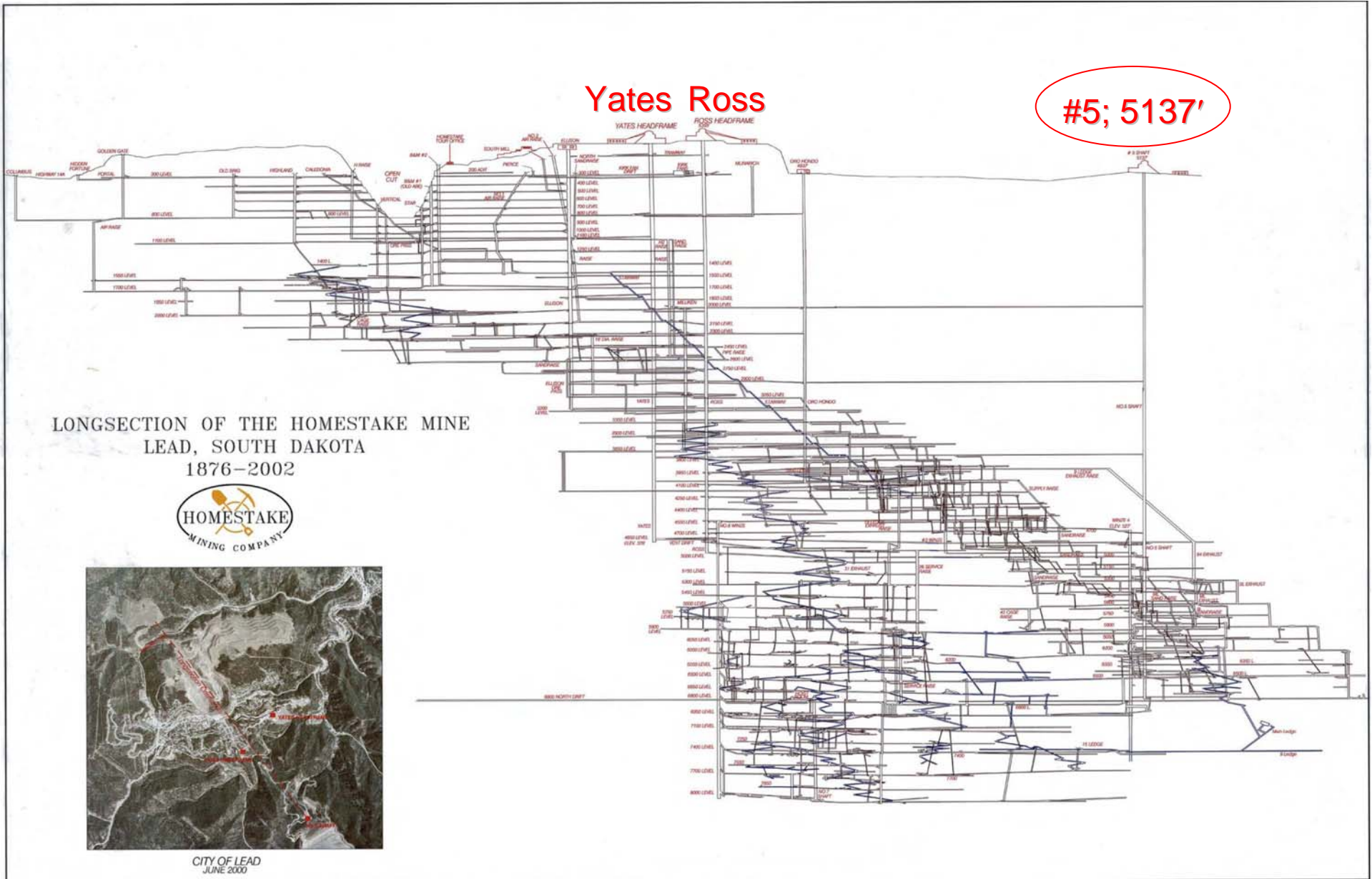
**Final decision expected in Spring 2007**

# Homestake shafts



## Yates Ross

#5; 5137'

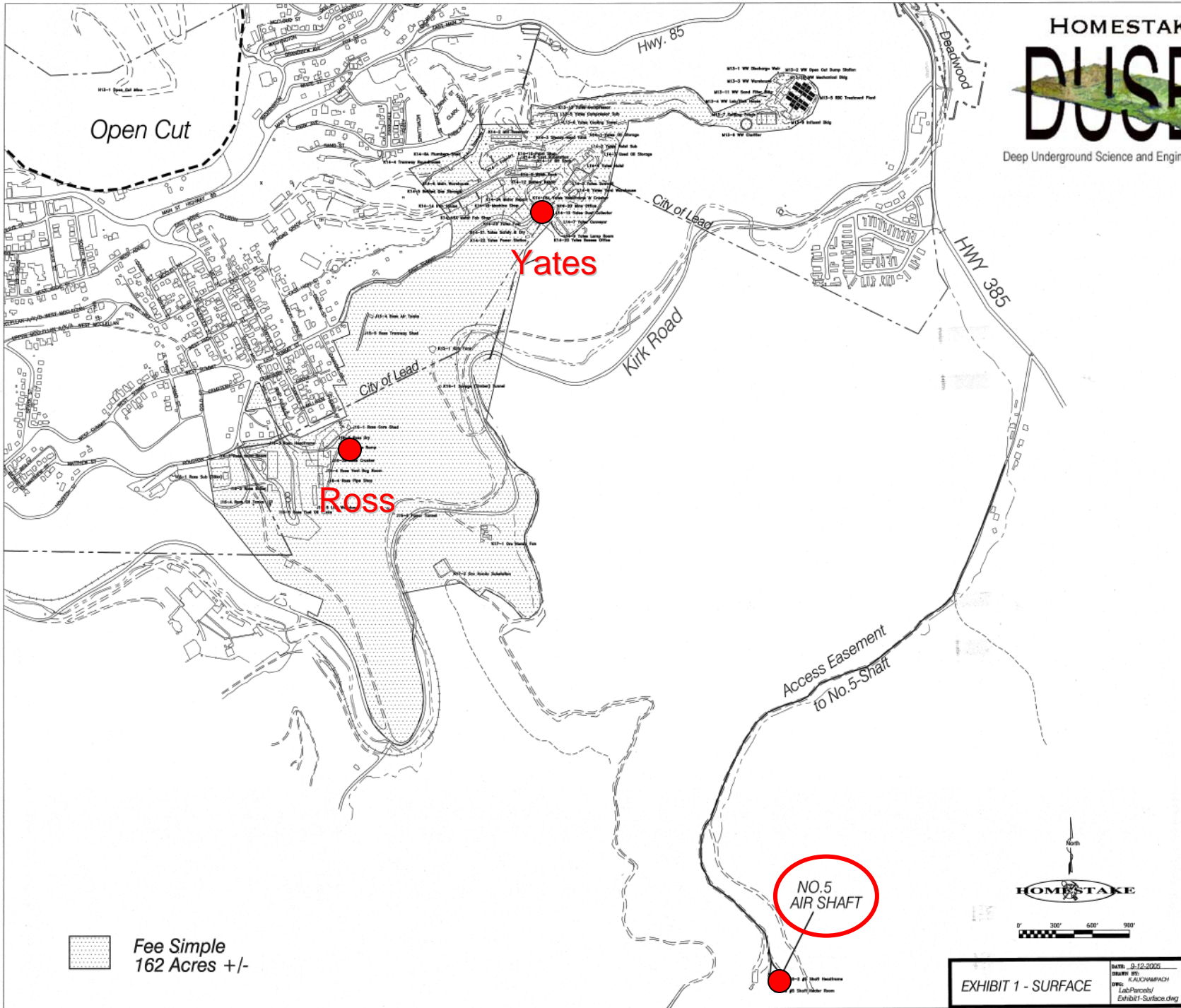


LONGSECTION OF THE HOMESTAKE MINE  
LEAD, SOUTH DAKOTA  
1876-2002



CITY OF LEAD  
JUNE 2000

HOMESTAKE  
**DUSEL**  
 Deep Underground Science and Engineering Laboratory



Fee Simple  
 162 Acres +/-

HOMESTAKE  
 NORTH  
 0 300' 600' 900'  
**EXHIBIT 1 - SURFACE**  
 DATE: 9-12-2005  
 DRAWN BY: KALCHAMBACH  
 Labeled Parcel/ Exhibit - Surface.dwg



Deep Underground Science and Engineering Laboratory

*February 9, 2006, Lead, SD (LOI #7)*

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## Search for neutron $\rightarrow$ antineutron transitions at DUSEL

*N-Nbar proto-collaboration*

*D. Baxter<sup>2</sup>, W. Bugg<sup>8</sup>, Y. Efremenko<sup>8</sup>, A. Fomin<sup>7</sup>, T. Gabriel<sup>8</sup>, K. Ganezer<sup>1</sup>,  
T. Handler<sup>8</sup>, T. Ito<sup>3</sup>, Y. Kamyshev<sup>8</sup>, A. Kharitonov<sup>7</sup>, A. Kozlov<sup>8</sup>, M. Leuschner<sup>2</sup>,  
C-Y. Liu<sup>2</sup>, V. Mityukhlyaev<sup>7</sup>, R. Mohapatra<sup>4</sup>, P. Mumm<sup>6</sup>, A. Serebrov<sup>7</sup>, G. Shmelev<sup>7</sup>,  
W. M. Snow<sup>2</sup>, S. Spanier<sup>8</sup>, A. Young<sup>5</sup>, C. West<sup>8</sup>, A. Young<sup>5</sup>, B. Wehring<sup>5</sup>, A. Zakharov<sup>7</sup>*

<sup>1</sup> *California State University, Domingues Hills*

<sup>2</sup> *Indiana University*

<sup>3</sup> *Los Alamos National Laboratory*

<sup>4</sup> *University of Maryland*

<sup>5</sup> *North Carolina State University*

<sup>6</sup> *NRC/NIST*

<sup>7</sup> *St. Petersburg Nuclear Physics Institute, Russia*

<sup>8</sup> *University of Tennessee*

*(Contact address: Yuri Kamyshev <kamyshev@utk.edu>)*

# $n \rightarrow \bar{n}$ Search Sensitivity

Soudan II limit  $\approx$  Grenoble limit = 1 unit (1 u) of sensitivity

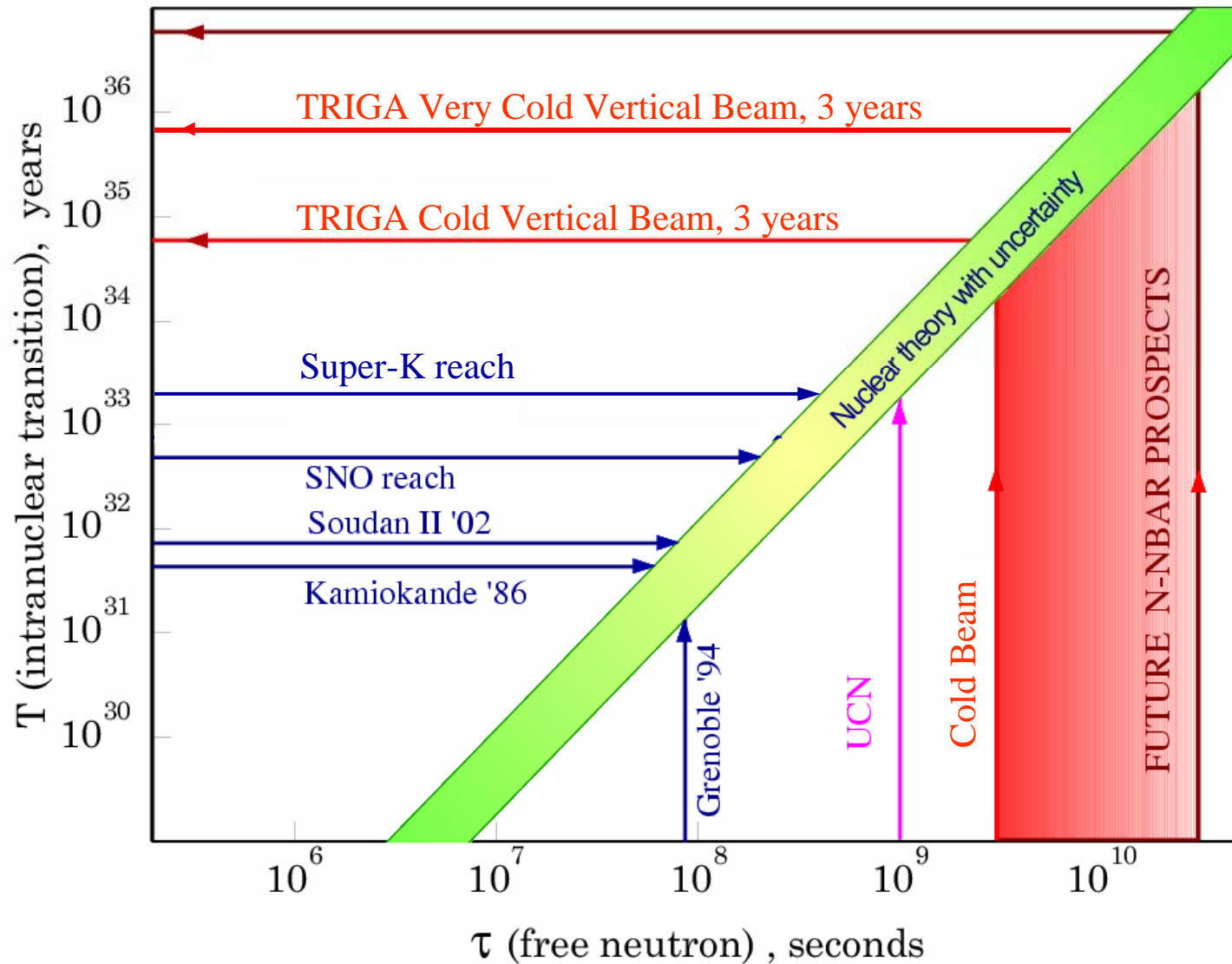
Method	Present limit	Possible future limit	Possible sensitivity increase factor
Intranuclear (in N-decay expts)	$7.2 \cdot 10^{31}$ yr = 1u Soudan II	$7.5 \cdot 10^{32}$ yr (Super-K) $4.8 \cdot 10^{32}$ yr (SNO)	$\times 16$ u (*)
Geo-chemical (ORNL)	none	$4 \cdot 10^8 \div 1 \cdot 10^9$ s (Tc in Sn ore)	$\times 20 \div 100$ u (*)
UCN trap ( $6 \cdot 10^7$ ucn/sec)	none	$\sim 1 \cdot 10^9$ s	$\times 100$ u (**)
Cold horizontal beam	$8.6 \cdot 10^7$ s = 1u @ILL/Grenoble	$> 3 \cdot 10^9$ s (e.g. HFIR@ORNL)	$\times 1,000$ u ( <del>***</del> )
Cold Vertical beam	none	$> 3 \cdot 10^9$ s (TRIGA 3.4 MW)	$> \times 1,000$ u (***)

**DUSEL**

There is no competition in the world ↗

# Stability of matter from Neutron-Antineutron transition search

$$T_A = R * (\tau_{\text{free}})^2, \text{ where } R \text{ is "nuclear suppression factor" in intranuclear transition}$$



# NNbar Summary

---

New physics beyond the SM can be discovered by NNbar search at DUSEL  
Expected improvement in N-Nbar search sensitivity is a big factor of >1,000!

## *If discovered:*

- $n \rightarrow \bar{n}$  will establish a new force of nature and a new phenomenon leading to exploration of the new physics beyond the SM at the energy scale above TeV
- will be relevant for understanding of matter-antimatter asymmetry

## *If NOT discovered:*

- within the reach of improved experimental sensitivity will set a new limit on the stability of matter exceeding the sensitivity of X-large nucleon decay experiments

Status of Baryon  $\rightarrow$  Lepton transition search  
with  $(B-L)V$



## Some $|\Delta(B-L)|=2$ nucleon decay modes (PDG'06+)

(B-L) $\neq$ 0 modes	Limit at 90% CL	S/B	Experiment/year
$n \rightarrow e^- \pi$	$>6.5 \times 10^{31}$ yr	0/1.6	IMB'88
$n \rightarrow \mu^- K^+$	$>5.7 \times 10^{31}$ yr	0/2.8	Fréjus'91
$p \rightarrow e^- \pi^+ \pi^+$	$>3.0 \times 10^{31}$ yr	1/2.5	Fréjus'91
$n \rightarrow \mu^- \pi^+ \pi^0$	$>3.4 \times 10^{31}$ yr	0/0.78	Fréjus'91
$p \rightarrow e^- \pi^+ K^+$	$>7.5 \times 10^{31}$ yr	81/127	IMB3'99
$p \rightarrow \mu^- \pi^+ K^+$	$>2.45 \times 10^{32}$ yr	3/4	IMB3'99
$n \rightarrow \nu \gamma$	$>2.8 \times 10^{31}$ yr	163/145	IMB3'99
$n \rightarrow \nu \gamma \gamma$	$>2.19 \times 10^{32}$ yr	5/7.5	IMB3'99
$p \rightarrow \nu \nu e^+$	$>1.7 \times 10^{31}$ yr	152/153.7	IMB3'99
$p \rightarrow \nu \nu \mu^+$	$>2.1 \times 10^{31}$ yr	7/11.23	Fréjus'91
$n \rightarrow e^+ e^- \nu$	$>2.57 \times 10^{32}$ yr	5/7.5	IMB3'99
$n \rightarrow \mu^+ \mu^- \nu$	$>7.9 \times 10^{31}$ yr	100/145	IMB3'99
$n \rightarrow \nu \nu \bar{\nu}$	$>1.9 \times 10^{29}$ yr	686.8/656	SNO'04
$n \rightarrow \nu \nu \bar{\nu}$	$>5.8 \times 10^{29}$ yr	0/0.82	KamLAND'06

- These limits are lower than limits for  $\Delta(B-L) = 0$  PDK modes and are determined by background
- In the presence of physics background new limits  $\sim \sqrt{kt \times yr}$
- In the presence of background effect discovery can not be made

Rest of my talk



## KamLAND – neutrino experiment

# KamLAND Publications:

- 1) “First results from KamLAND: Evidence for reactor anti-neutrino disappearance”  
Phys.Rev.Lett.90:021802,2003
- 2) “A High sensitivity search for  $\bar{\nu}_e$ 's from the sun and other sources at KamLAND”  
Phys.Rev.Lett.92:071301,2004
- 3) “Measurement of neutrino oscillation with KamLAND: Evidence of spectral distortion”  
Phys.Rev.Lett.94:081801,2005
- 4) “Experimental investigation of geologically produced antineutrinos with KamLAND”  
Nature 436:499-503,2005
- 5) “Search for the invisible decay of neutrons with KamLAND”  
Phys.Rev.Lett.96:101802,2006

# KamLAND Collaboration



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- **University of New Mexico:** B.D. Dieterle
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- **University of Tennessee:** M. Batygov, W. Bugg, Y. Efremenko, Y. Kamyshev, A. Kozlov
- **TUNL/NCSU:** H.J. Karwowski, D.M. Markoff, R.M. Rohm, W. Tornow, R. Wendell
- **IHEP, Beijing:** M.-J. Chen, Y.-F. Wang
- **CEN Bordeaux:** F. Piquemal





## “Search for the invisible decay of neutrons with KamLAND”

T. Araki et al, PRL 96:101802, 2006

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- for neutrons inside nuclei
- search for neutron “invisible decay” modes  
⇒ baryon number violation search
- decays that can not be seen in identifiable modes
- decays in the modes that are least constrained by existing experimental limits
- decays in the modes that might violate (B–L) needed for explanation of Matter-Antimatter asymmetry
- e.g.  $n \rightarrow$  neutrinos,  $nn \rightarrow$  neutrinos
- or  $n, nn \rightarrow$  anything invisible reasonably allowed by the conservation laws which are not in question (e.g. electric charge, angular momentum)
- detectability is independent of the specifics of the process as long as the rest-energy of  $n$  is carried away by the undetected particles

Most of measured nucleon decay modes in PDG 2006 have lifetime  $> (1-100) \cdot 10^{30}$  yr but few exceptions:

These are neutron disappearance modes

**p DECAY MODES**

See the "Note on Nucleon Decay" in our 1994 edition (Phys. Rev. **D50**, 1673) for a short review.

The "partial mean life" limits tabulated here are the limits on  $\tau/B_i$ , where  $\tau$  is the total mean life and  $B_i$  is the branching fraction for the mode in question. For  $N$  decays,  $\tau$  is the lifetime.

Mode			
$\tau_1$	$N \rightarrow e^+ \pi$	$> 158 (n), > 1600 (p)$	90%
$\tau_2$	$N \rightarrow \mu^+ \pi$	$> 100 (n), > 473 (p)$	90%
$\tau_3$	$N \rightarrow \nu \pi$	$> 112 (n), > 25 (p)$	90%
$\tau_4$	$p \rightarrow e^+ \eta$	$> 313$	90%
$\tau_5$	$p \rightarrow \mu^+ \eta$	$> 126$	90%
$\tau_6$	$n \rightarrow \nu \eta$	$> 158$	90%
$\tau_7$	$N \rightarrow e^+ \rho$	$> 217 (n), > 75 (p)$	90%
$\tau_8$	$N \rightarrow \mu^+ \rho$	$> 228 (n), > 110 (p)$	90%
$\tau_9$	$N \rightarrow \nu \rho$	$> 19 (n), > 162 (p)$	90%
$\tau_{10}$	$p \rightarrow e^+ \omega$	$> 107$	90%
$\tau_{11}$	$p \rightarrow \mu^+ \omega$	$> 117$	90%
$\tau_{12}$	$n \rightarrow \nu \omega$	$> 108$	90%

Partial mean life ( $10^{30}$  years)

$\tau_{57} \quad n \rightarrow 3\nu \quad > 0.0005$   
 $\tau_{58} \quad n \rightarrow 5\nu$

$\tau_{18}$	$p \rightarrow \mu^+ K_L^0$	$> 83$	90%
$\tau_{19}$	$N \rightarrow \nu K$	$> 86 (n), > 670 (p)$	90%
$\tau_{20}$	$n \rightarrow \nu K_S^0$	$> 51$	90%
$\tau_{21}$	$p \rightarrow e^+ K^*(892)^0$	$> 84$	90%
$\tau_{22}$	$N \rightarrow \nu K^*(892)$	$> 78 (n), > 51 (p)$	90%

**Antilepton + mesons**

$\tau_{23}$	$p \rightarrow e^+ \pi^+ \pi^-$	$> 82$	90%
$\tau_{24}$	$p \rightarrow e^+ \pi^0 \pi^0$	$> 147$	90%
$\tau_{25}$	$n \rightarrow e^+ \pi^- \pi^0$	$> 52$	90%
$\tau_{26}$	$p \rightarrow \mu^+ \pi^+ \pi^-$	$> 133$	90%
$\tau_{27}$	$p \rightarrow \mu^+ \pi^0 \pi^0$	$> 101$	90%
$\tau_{28}$	$n \rightarrow \mu^+ \pi^- \pi^0$	$> 74$	90%
$\tau_{29}$	$n \rightarrow e^+ K^0 \pi^-$	$> 18$	90%

**Lepton + meson**

$\tau_{30}$	$n \rightarrow \mu^- \pi^+ \pi^0$	$> 34$	90%
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$\tau_{73} \quad nn \rightarrow \nu_e \bar{\nu}_e \quad > 0.000049$   
 $\tau_{74} \quad nn \rightarrow \nu_\mu \bar{\nu}_\mu$

**Lepton + mesons**

$\tau_{36}$	$p \rightarrow e^- \pi^+ \pi^+$	$> 30$	90%
$\tau_{37}$	$n \rightarrow e^- \pi^+ \pi^0$	$> 29$	90%
$\tau_{38}$	$p \rightarrow \mu^- \pi^+ \pi^+$	$> 17$	90%
$\tau_{39}$	$n \rightarrow \mu^- \pi^+ \pi^0$	$> 34$	90%
	$p \rightarrow e^- \pi^+ K^+$	$> 75$	90%
	$p \rightarrow \mu^- \pi^+ K^+$	$> 245$	90%



PDG 2006

**Antilepton + photon(s)**

	$p \rightarrow e^+ \gamma$	$> 670$	90%
	$p \rightarrow \mu^+ \gamma$	$> 478$	90%
	$n \rightarrow \nu \gamma$	$> 28$	90%
$\tau_{44}$	$p \rightarrow e^+ \gamma \gamma$	$> 100$	90%
$\tau_{45}$	$p \rightarrow e^+ \gamma \gamma$	$> 100$	90%
$\tau_{46}$	$n \rightarrow \nu \gamma \gamma$	$> 219$	90%

**Three (or more) leptons**

$\tau_{47}$	$p \rightarrow e^+ e^+ e^-$	$> 793$	90%
$\tau_{48}$	$p \rightarrow e^+ \mu^+ \mu^-$	$> 359$	90%
$\tau_{49}$	$p \rightarrow e^+ \nu \nu$	$> 17$	90%
$\tau_{50}$	$n \rightarrow e^+ e^- \nu$	$> 257$	90%
$\tau_{51}$	$n \rightarrow \mu^+ e^- \nu$	$> 83$	90%
$\tau_{52}$	$n \rightarrow \mu^+ \mu^- \nu$	$> 79$	90%
$\tau_{53}$	$p \rightarrow \mu^+ e^+ e^-$	$> 529$	90%
$\tau_{54}$	$p \rightarrow \mu^+ \mu^+ \mu^-$	$> 675$	90%

**mesive modes**

$\tau_{59}$	$N \rightarrow e^+$ anything	$> 0.6 (n, p)$	90%
$\tau_{60}$	$N \rightarrow \mu^+$ anything	$> 12 (n, p)$	90%
$\tau_{61}$	$N \rightarrow \nu$ anything		90%
$\tau_{62}$	$N \rightarrow e^+ \pi^0$ anything	$> 0.6 (n, p)$	90%
$\tau_{63}$	$N \rightarrow 2$ bodies, $\nu$ -free		90%

**$\Delta B = 2$  dinucleon modes**

The following are lifetime limits per iron nucleus.

$\tau_{64}$	$pp \rightarrow \pi^+ \pi^+$	$> 0.7$	90%
$\tau_{65}$	$pn \rightarrow \pi^+ \pi^0$	$> 2$	90%
$\tau_{66}$	$nn \rightarrow \pi^+ \pi^-$	$> 0.7$	90%
$\tau_{67}$	$nn \rightarrow \pi^0 \pi^0$	$> 3.4$	90%
$\tau_{68}$	$pp \rightarrow e^+ e^+$	$> 5.8$	90%
$\tau_{69}$	$pp \rightarrow e^+ \mu^+$	$> 3.6$	90%
$\tau_{70}$	$pp \rightarrow \mu^+ \mu^+$	$> 1.7$	90%

$\tau_{76}$	$pp \rightarrow$ invisible	$> 0.00005$	90%
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# Previous disappearance limits

J. Learned, F. Reines, A. Soni, PRL43 (1979) 907

$$n \rightarrow 3\nu_{\mu} \quad \tau > 5 \times 10^{26} \text{ years}$$

Y. Suzuki, et al (Kamiokande II), “ ...  $n \rightarrow \nu\nu\bar{\nu}$  ... ”  
Phys. Lett. B311 (1993) 357

$$n \rightarrow 3\nu \quad \tau > 4.9 \times 10^{26} \text{ years}$$

**Recently:** S. N. Ahmed *et al.* (SNO Collaboration), PRL92, 102004 (2004).

## $p$ MEAN LIFE

PDG2006

A test of baryon conservation. See the “ $p$  Partial Mean Lives” section below for limits for identified final states. The limits here are to “anything” or are for “disappearance” modes of a bound proton ( $p$ ) or ( $n$ ). See also the  $3\nu$  modes in the “Partial Mean Lives” section. Table 1 of BACK 03 is a nice summary.

<u>LIMIT</u> (years)	<u>PARTICLE</u>	<u>CL%</u>	<u>DOCUMENT ID</u>	<u>TECN</u>	<u>COMMENT</u>
$>2.1 \times 10^{29}$	$p$	90	<sup>25</sup> AHMED	04 SNO	$p \rightarrow$ invisible
$>1.9 \times 10^{29}$	$n$	90	<sup>25</sup> AHMED	04 SNO	$n \rightarrow$ invisible

de-excitation  
of  $\gamma$ -rays  
following  
 $n$ -dis in  $^{16}\text{O}$

H.O. Back et al (Borexino)  
Phys. Lett. B563(2003) 23

$$nn \rightarrow inv \quad \tau > 4.9 \times 10^{25} \text{ years}$$

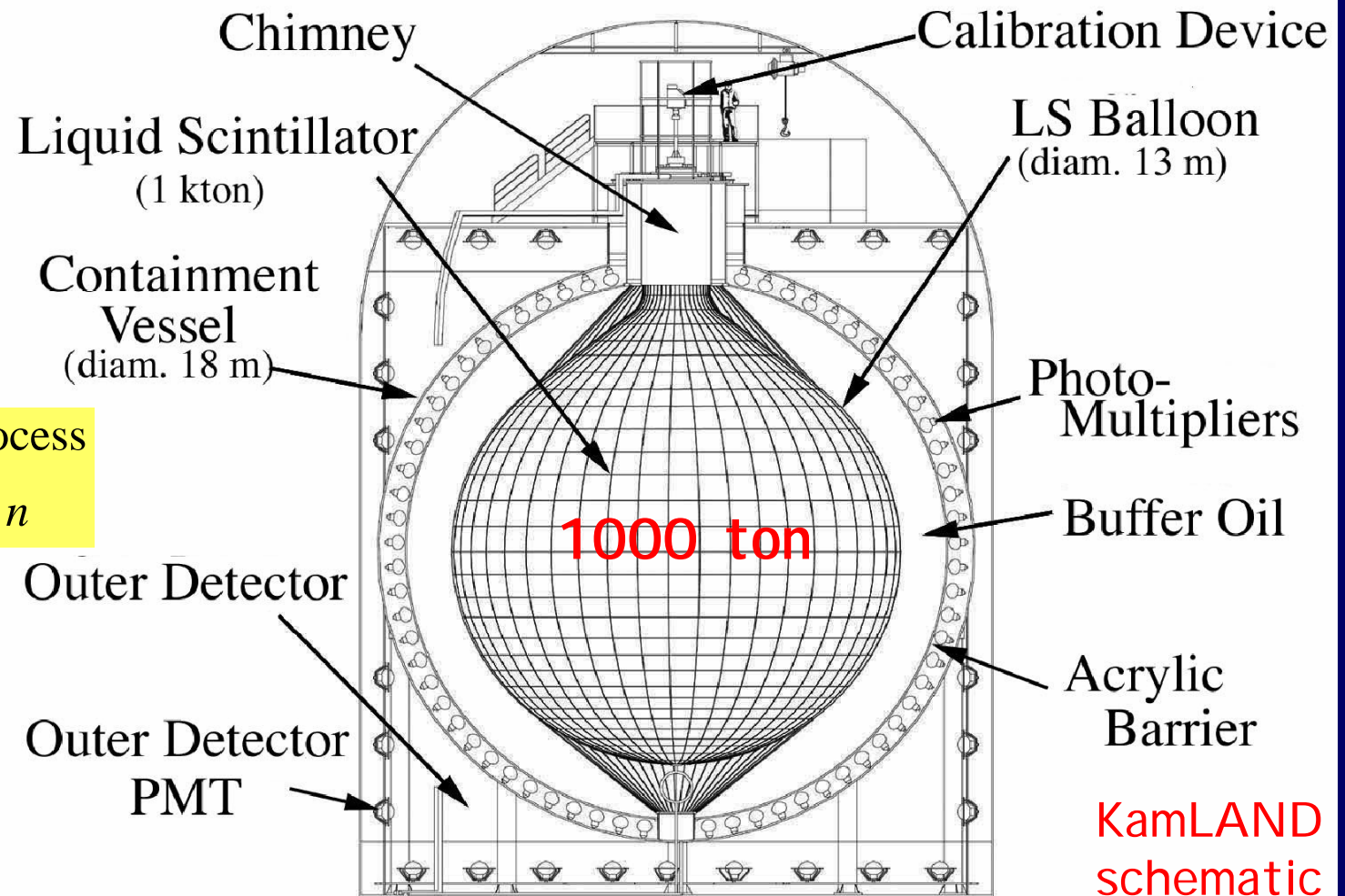
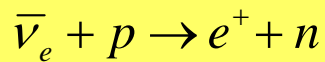
Decays of unstable  
nuclides resulting  
from  $nn$ -dis in  $^{12}\text{C}$ ,  
 $^{13}\text{C}$  and  $^{16}\text{O}$



# KamLAND Detector

data collected since early 2002

$\bar{\nu}$  detection process



KamLAND  
schematic



## Special features of KamLAND detector:

- Large mass: 1,000 ton of Liquid Scintillator (  $\sim \text{CH}_2$  )
- Low detection threshold:  $< 1 \text{ MeV}$
- Good energy resolution:  $\sim 6.2\% / \sqrt{E(\text{MeV})}$
- Position reconstruction accuracy in x,y,z:  $\sim 20 \text{ cm}$
- neutron detection efficiency close to 100%
- Low background: 2700 mwe; buffer shield; veto-shield; Rn shield; pure LS: U, Th  $< 10^{-16} \text{ g/g}$

*These features allow observation of the sequence of nuclear de-excitation events correlated in space and time produces by disappearance of neutron from  $^{12}\text{C}$*

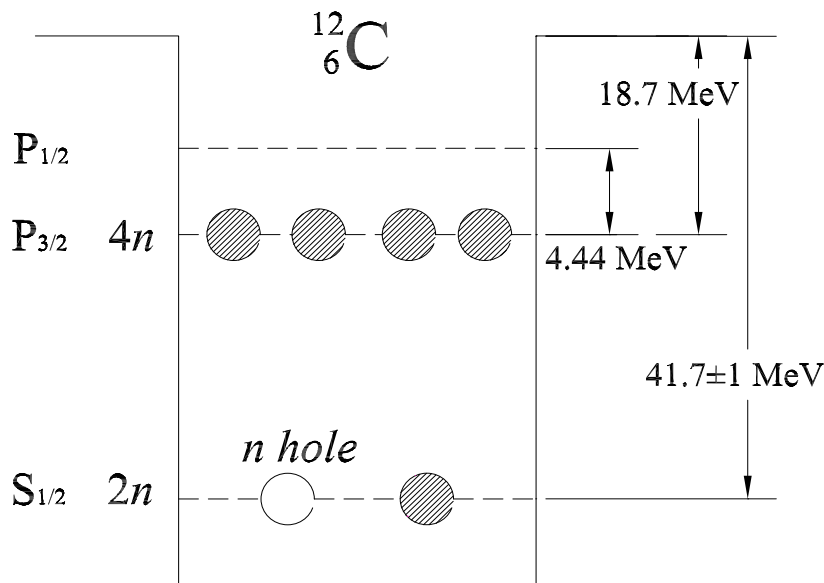


# How it happens:

SIGNATURES OF NUCLEON DISAPPEARANCE IN LARGE UNDERGROUND DETECTORS. *Edwin Kolbe and YK*  
Phys.Rev.D67:076007, 2003



$^{11}\text{C}^* \rightarrow$  deexcitation particles  
+  $\beta$ -decay of daughter nucleus



2 neutrons out of 6 in  $^{12}\text{C}$   
are in  $s_{1/2}$  state

## Modes favorable for detection in KL

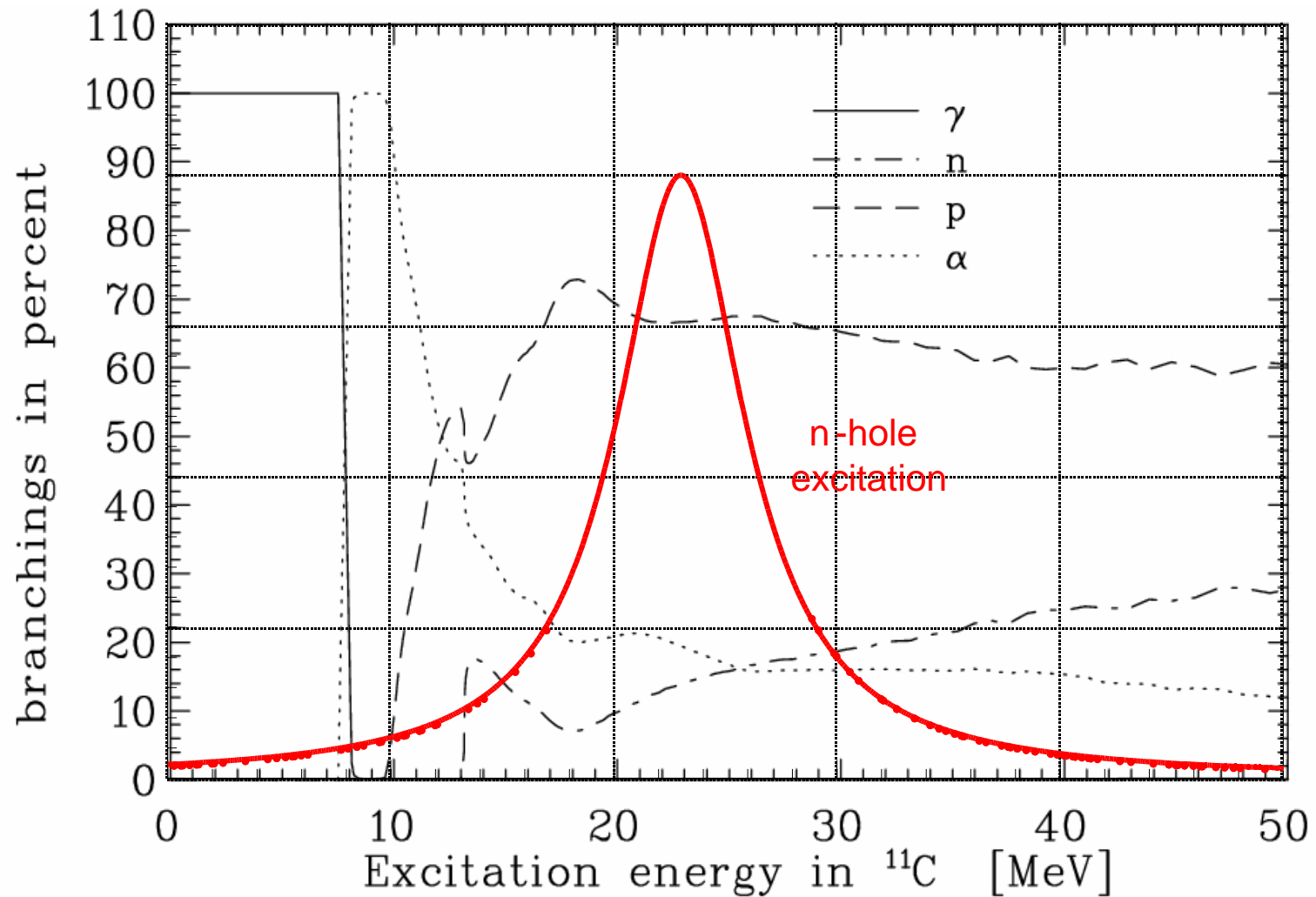
$n$ dis. $^{11}\text{C}^* \rightarrow$	Br %	Hits	3-rd hit $T_{1/2}$ , $Q_{EC}$
$n + ^{10}\text{C}_{gs} (\beta^+)$	3.0	3	19.3 s, 3.65 MeV
$n + \gamma + ^{10}\text{C}_{gs} (\beta^+)$	2.8	3	19.3 s, 3.65 MeV
$nn$ dis. $^{10}\text{C}^* \rightarrow$			
$n + ^9\text{C}_{gs} (\beta^+)$	6.2	3	0.127 s, 16.5 MeV
$n + p + ^8\text{B}_{gs} (\beta^+, \alpha)$	6.0	3	0.77 s, 18 MeV

↑  
fast  $n$

↑  
 $\pm 30\%$   
uncertainty

# De-excitation branching of $J^{\pi}=1/2^+$ $^{11}\text{C}^*$ state vs excitation energy

in statistical code SMOKER: J.J. Cowan, F.-K. Thielemann, J.W. Truran, Phys. Rep. 208 (1991) 267



# Selection criteria and efficiency Hit 1 → Hit 2 → Hit 3

Quantity	$n$ disappearance	$nn$ disappearance	
$R_{1,2,3}$ [m]	5.0	5.5	
$R_{XY3}$ [m]	>1.0	>1.0	
$\Delta R_{12}$ [m]	2.0	2.0	
$\Delta R_{13}$ [m]	0.8	1.0	
$\Delta T_{12}$ [ $\mu$ s]	0.5–1000	0.5–1000	
$\Delta T_{13}$ [s]	0.003–70	0.003–6	+ muon veto
$E_1$ [MeV]	0.9–25	0.9–40	
$E_2$ [MeV]	1.8–2.6	1.8–2.6	
$E_3$ [MeV]	1.5–3.8	3.1–18.0	
MC	$\epsilon_{n1(nn1)}$	$0.430 \pm 0.027$	$0.680 \pm 0.032$
	$\epsilon_{n2(nn2)}$	$0.651 \pm 0.033$	$0.678 \pm 0.032$

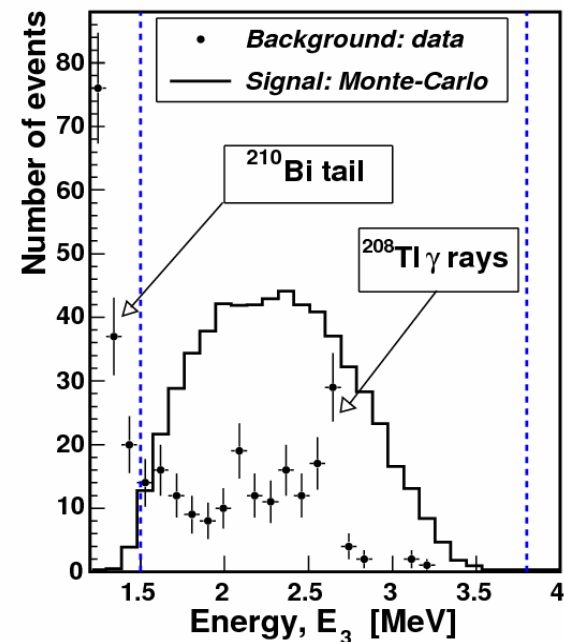
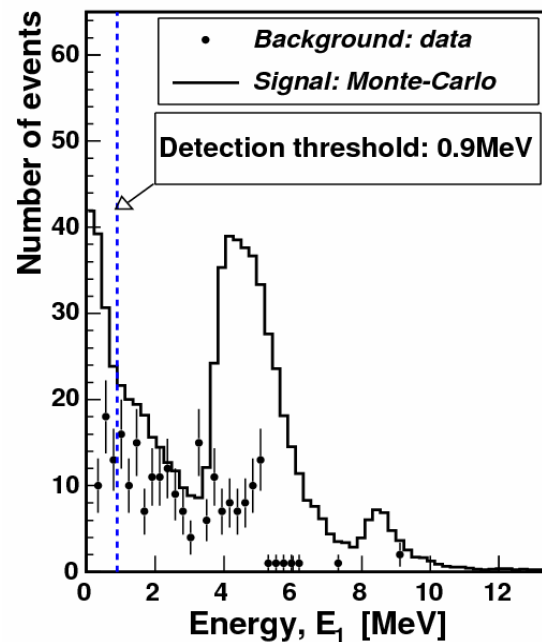
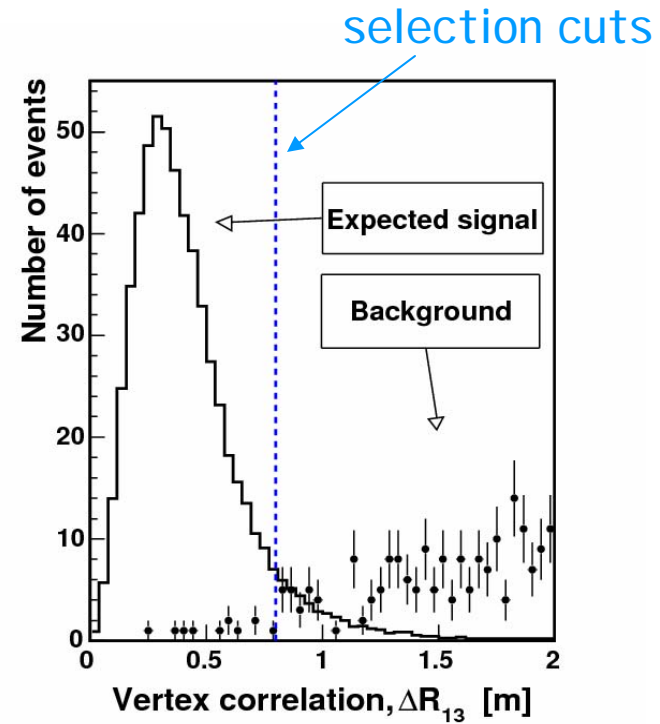
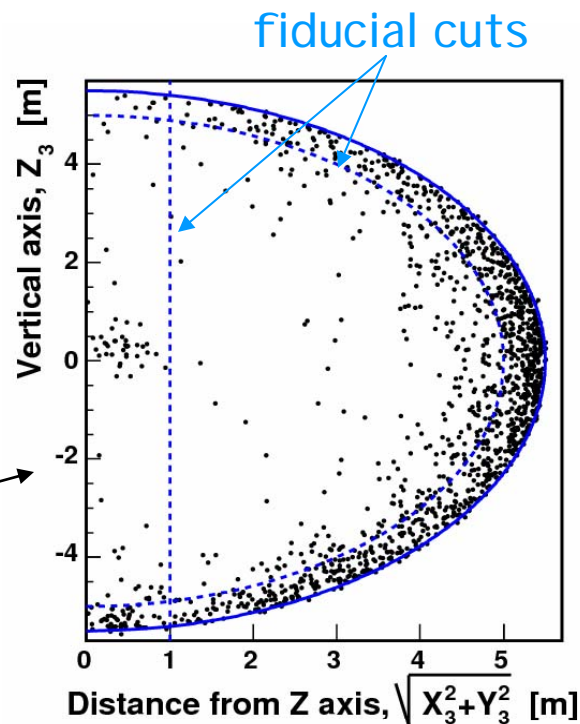
Note: due to emission of  $n$  first two hits are similar to antineutrino signature in KL

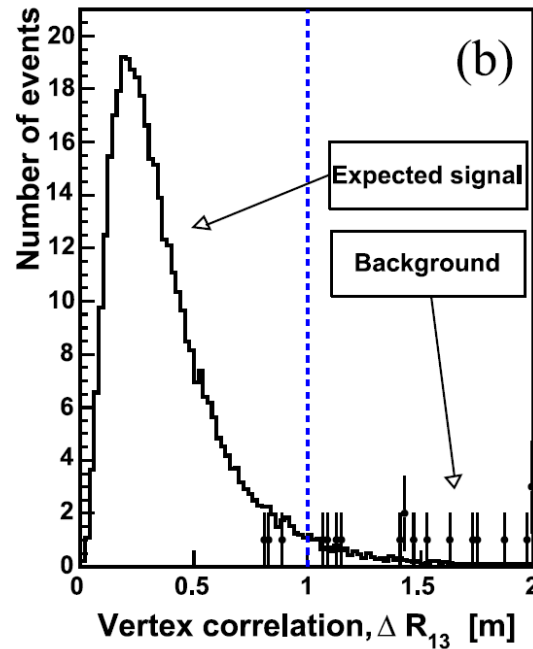
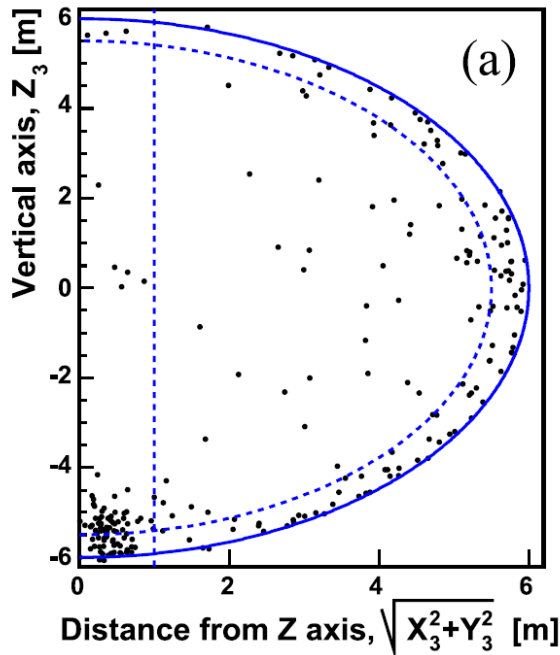
For ~ 1.5 year data analyzed:

mode	data sample	events observed	meas. <span style="color: red;">accidental</span> background
$n$ -dis	838 ton·yr	1	$0.82 \pm 0.26$
$nn$ -dis	1119 ton·yr	0	$0.018 \pm 0.010$

# Search for one n disappearance

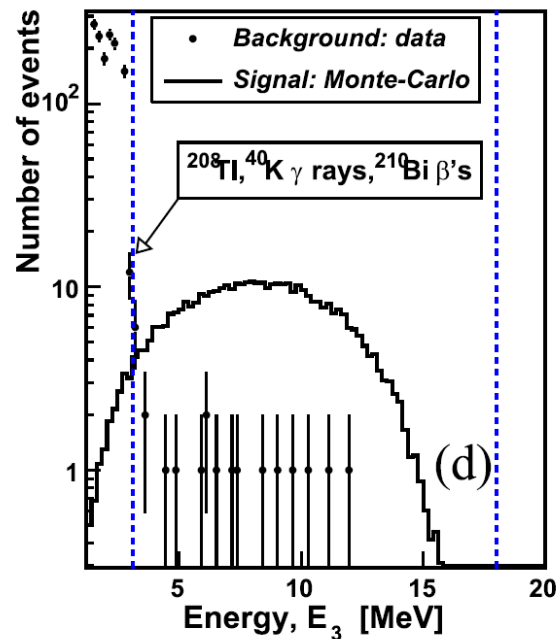
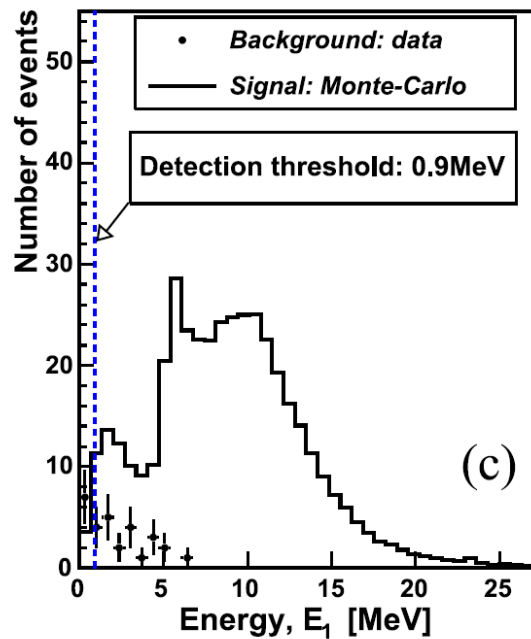
Enhanced correlated background in 150 – 1000 s off-time window for 3-rd hit,  $R < 5.5$  m, and  $\Delta R_{13} < 2$  m





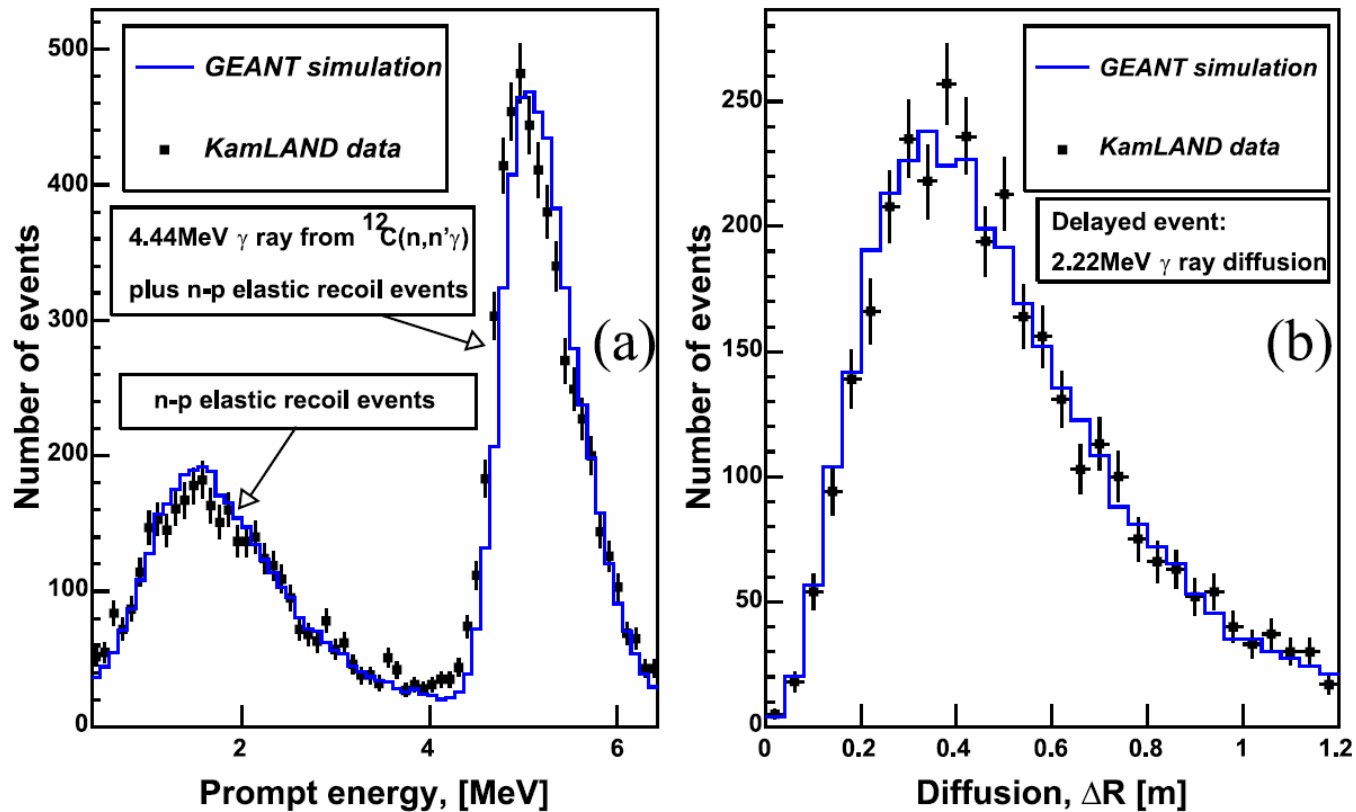
## Search for nn disappearance

Enhanced correlated background  
in 10 – 1000 s  
off-time window for  
3-rd hit,  $R < 6$  m,  
and  $\Delta R_{13} < 2$  m



Efficiencies of position and energy cuts are based on MC simulations of de-excitation events, where fast neutron detection in LS is the most critical part.  
→ GEANT vs SCINFUL (ORNL code) comparison and tuning.

**Am-Be neutron source in KamLAND:  
data vs GEANT Monte Carlo**



# Results

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Major systematic uncertainty  $\pm 30\%$   
from the model predictions of de-excitation branchings

$$\tau(n \rightarrow inv) > 5.8 \times 10^{29} \text{ yr} \quad \text{at } 90\% \text{ CL}$$

factor of 3 better than previous SNO result

$$\tau(nn \rightarrow inv) > 1.4 \times 10^{30} \text{ yr} \quad \text{at } 90\% \text{ CL}$$

improvement of factor 10,000 to previous Borexino limit

**In the future:**

- more statistics  $\times 3$  in hands
- improved analysis tools
- liquid scintillator purification in KL-II should reduce accidental background

# International Workshop: "Search for Baryon and Lepton number violation"

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September 20-22, 2007 at LBL, Berkley, CA

- ✿ E-W SM sphaleron transition and violation of (B–L)
- ✿ role (B – L) in baryogenesis and cosmology
- ✿ status of leptogenesis
- ✿ relation of (B-L) violation with Left-Right Symmetry
- ✿ status of proton decay search and corresponding models
- ✿ review of proposed PDK search experiments
- ✿ n-nbar oscillations models and expectations for osc. time
- ✿ n-nbar future experimental plans
- ✿ Majorana neutrino and  $2\beta 0\nu$  search
- ✿ sterile neutrinos and mirror matter search
- ✿ (B – L) violating nucleon decays (like  $n \rightarrow 3\nu$  and others)
- ✿  $\tau$  decays with (B – L) violation
- ✿ (B – L) violation search in hyperon decays
- ✿ searches of B, L, and B–L violation at LHC and ILC

Workshop website: <http://inpa.lbl.gov/blnv/blnv.htm>