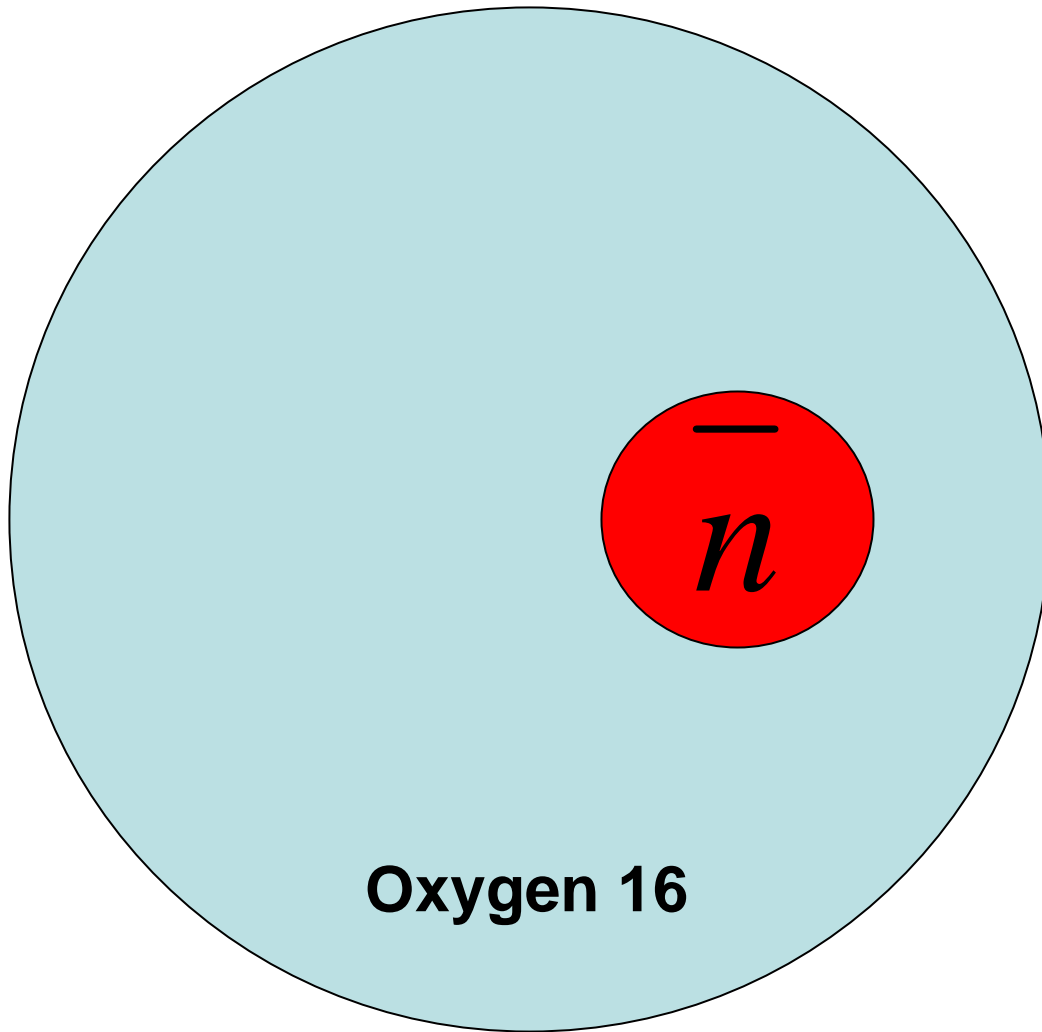


Search for Neutron Antineutron Oscillations at Super Kamiokande I

Brandon Hartfiel

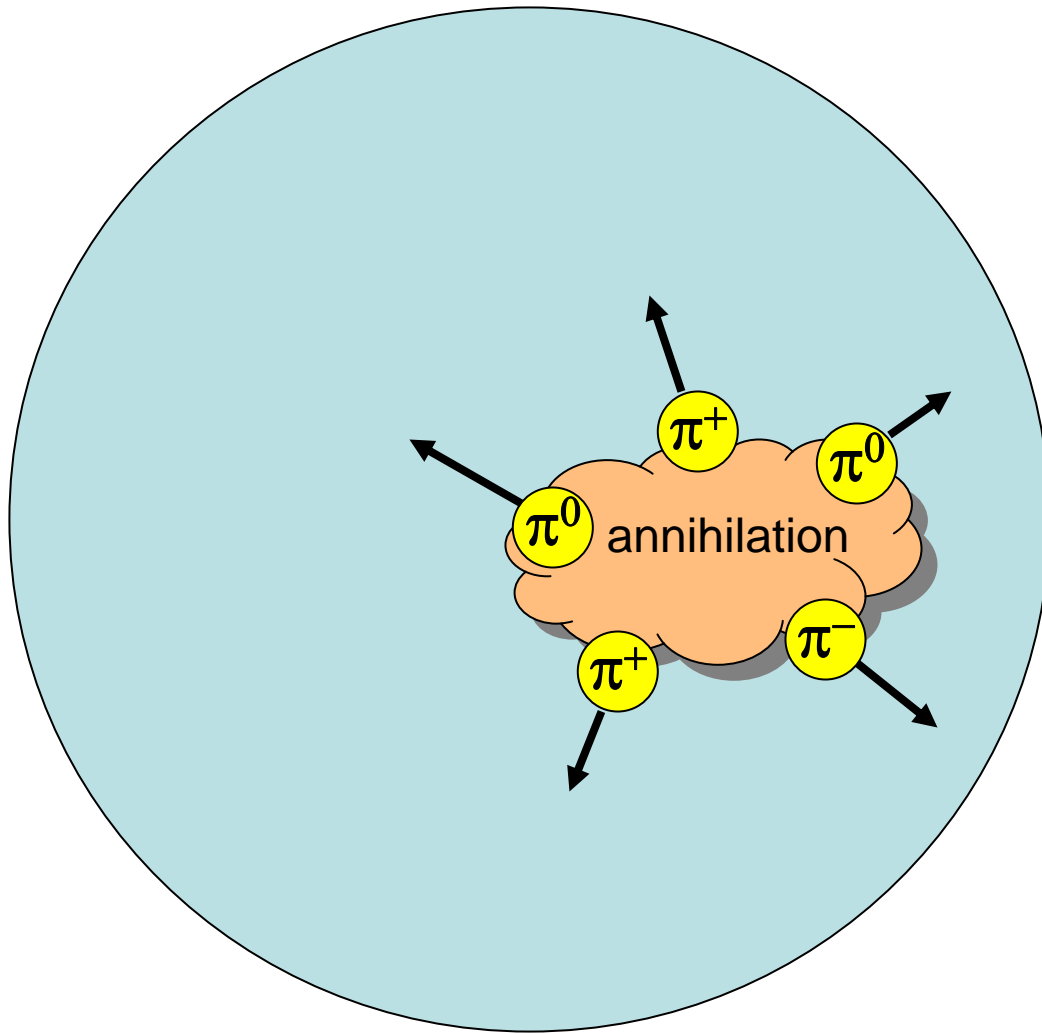
Cal State Dominguez Hills

May 1 2007



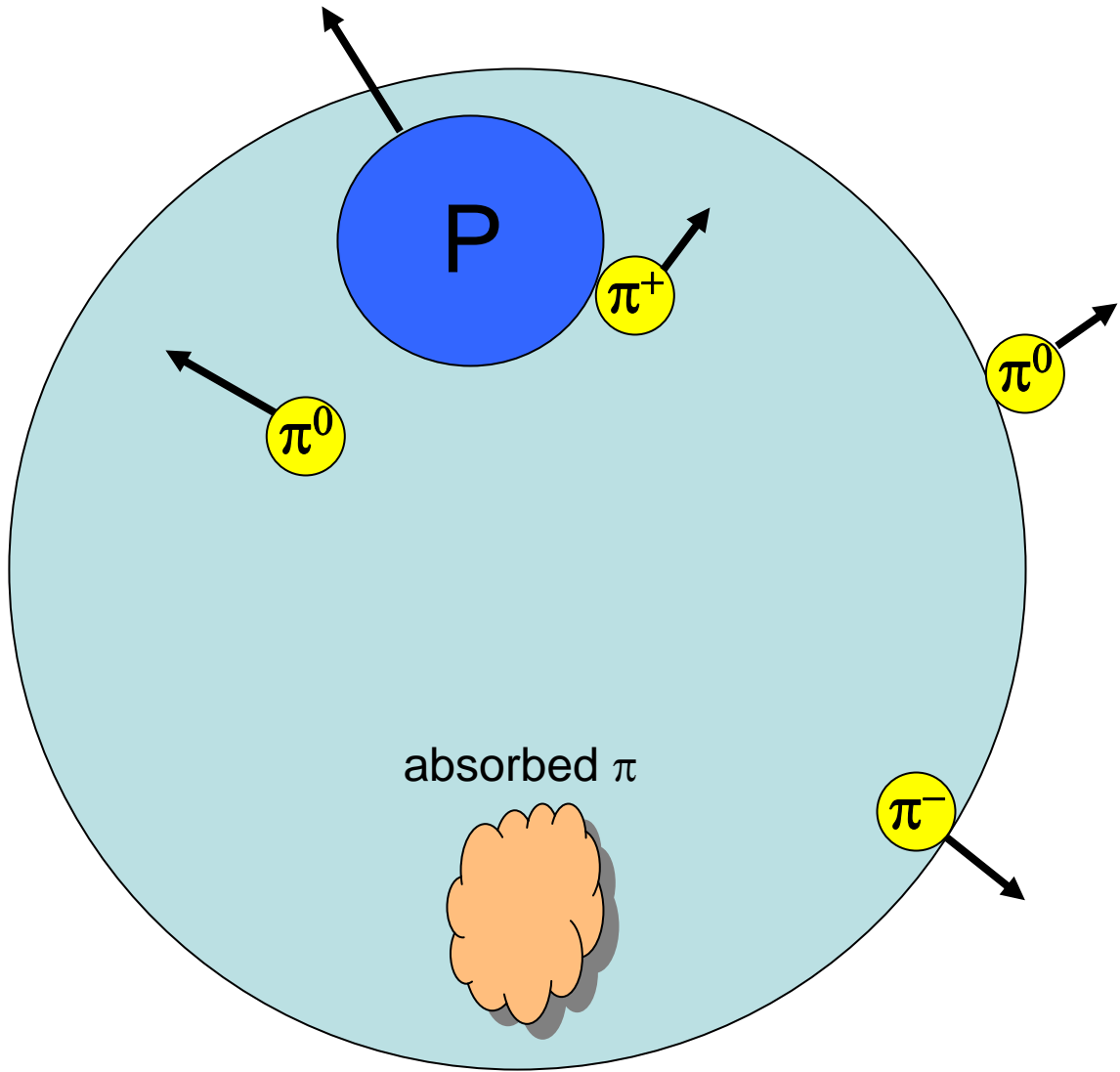
- a neutron oscillates into an antineutron

time 10^{33} years



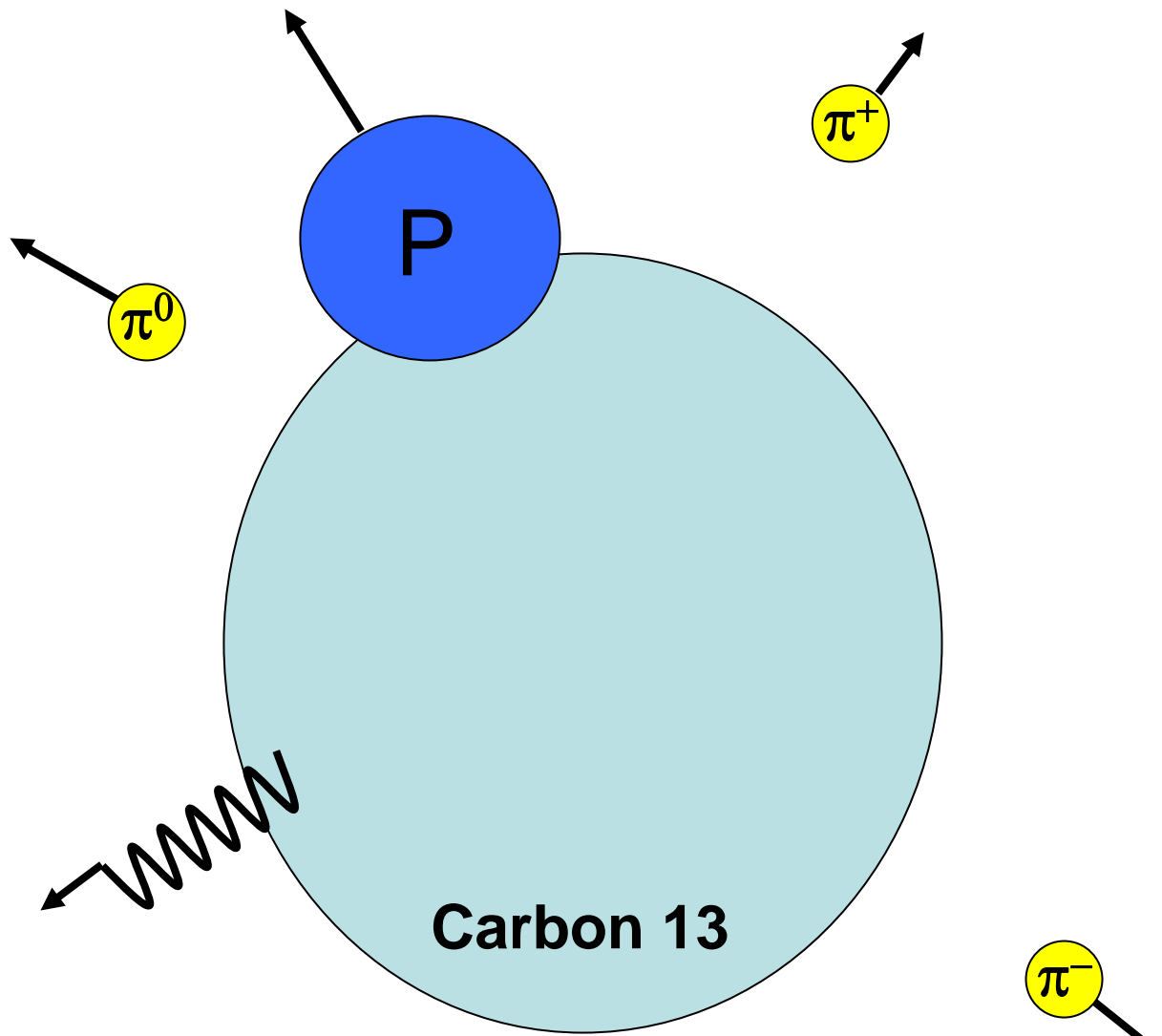
- the antineutron annihilates with another nucleon \rightarrow pions

time $\sim 5 \times 10^{-24}$ seconds



time $\sim 2 \times 10^{-23}$ seconds

- the pions traverse and interact with the residual nucleus



- the residual nucleus de-excites and/or breaks up.

time $\ll 1 \times 10^{-8}$ seconds

Outline

- R - Nuclear Suppression Factor
- Signal MC
- Detector
- Background – Atmospheric Neutrinos
- Analysis
- Future

Oscillation Suppression in Nuclei

Neutron Antineutron Oscillations

Two State non relativistic Schrodingers Equation

$$\begin{pmatrix} \frac{p^2}{2m} + \Delta E & \varepsilon \\ \varepsilon & \frac{p^2}{2m} - \Delta E \end{pmatrix} \begin{pmatrix} n \\ \bar{n} \end{pmatrix} = E \begin{pmatrix} n \\ \bar{n} \end{pmatrix}$$

where ΔE represents differing responses of n and \bar{n} to the environment:
Earth's magnetic field, Surrounding nuclear medium etc

if $\Delta E \ll \varepsilon$

Free oscillation probability = $\varepsilon^2 t^2$

wavefunction curvature

Oscillations in Nuclei

$$(p^2/2m + U_n - E) n = -\varepsilon \bar{n} \sim 0$$

$$(p^2/2m + U_{\bar{n}} + i W_{\bar{n}} - E) \bar{n} = -\varepsilon n$$

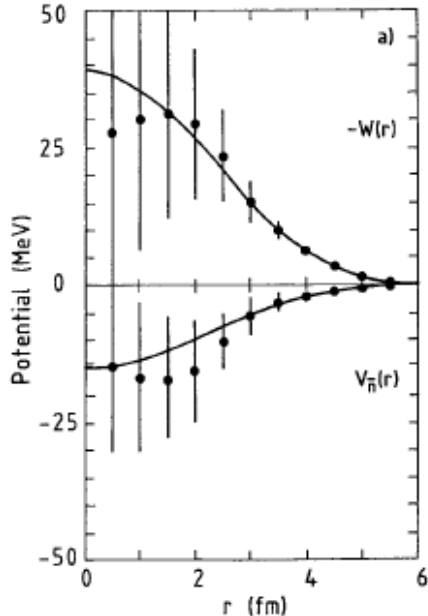
1) Adjust E to make n square normalizable.

2) Adjust \bar{n}/n

scattering

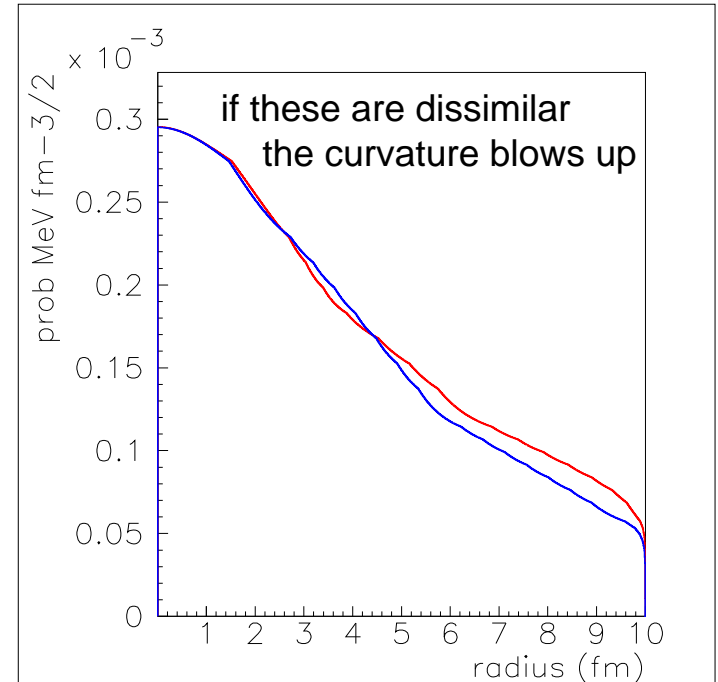
absorption

Batty Nuc Phys A 466(1987)



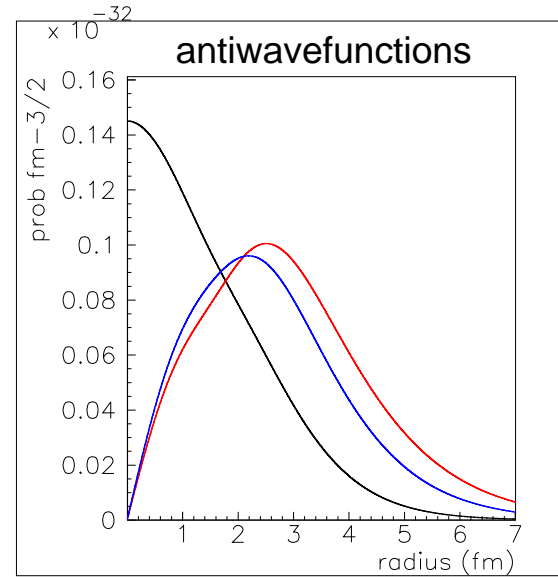
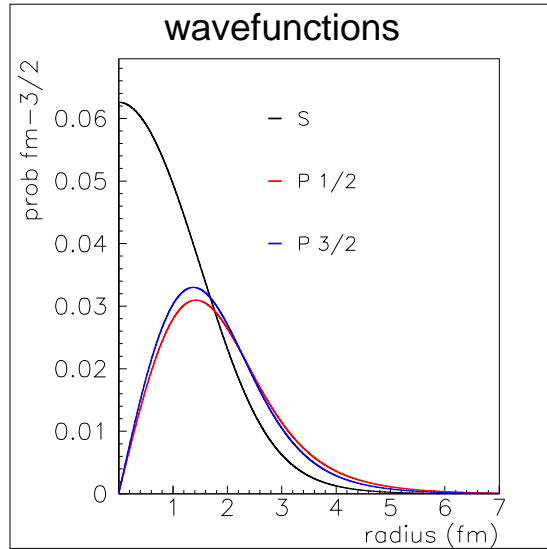
Taken from antiproton-nucleus scattering experiments.

The problem is we only have data near the nuclear surface

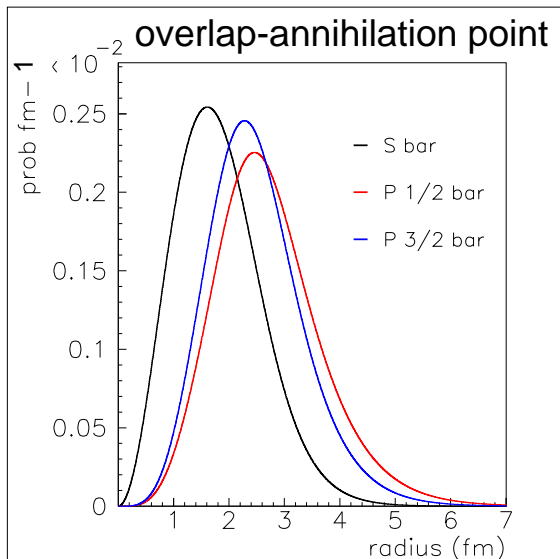


n and nbar wavefunctions

(based roughly on Dover. For qualitative illustration only)



roughly follows shape of $|n|^2$



$$\frac{1}{\tau} = \Gamma = -2 \int d^3x |\bar{n}(x)|^2 W(x)$$

from previous page $\bar{n} \propto \varepsilon \propto \frac{1}{\tau_{free}}$

so we can define $R = \frac{\tau}{\tau_{free}^2}$

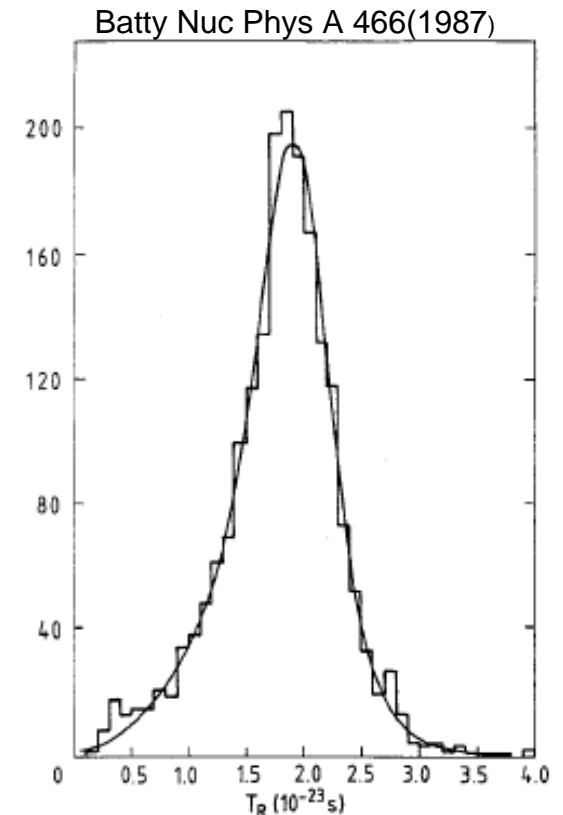
* in our current analysis the annihilation location is based on $|n|^2$

Some calculations of R

| Author | Year | Potential | R (10^{23}sec^{-1}) | R_O/R_{Fe} |
|----------|------|-----------|-----------------------------------|--------------|
| Dover | 1983 | 249+i107 | 1.2 | .71 |
| Dover | 1983 | 107+i222 | .8 | .71 |
| Alberico | 1991 | Various | 1.7-2.6 | ? |
| Hufner | 1998 | 40+i40 | .69 | 1.11 |
| Hufner | 1998 | 200+i40 | 3.6 | .92 |

We use the most conservative values $3.6 \times 10^{23} \text{ sec}^{-1}$ for comparing with τ_{free} and .71 when comparing with τ_{free}

From previously shown potential uncertainty



Signal MC

Fermi Momentum / Quasi-Invariant Mass

- annihilating antineutron and nucleon are in energy eigenstates.
- Fermi momentum can be taken from scattering data (should match the Fourier Transform of the wavefunctions)
- quasi-invariant mass $m^2 = E^2 - p_F^2$
- During roughly 10% of the events, the matter nucleon will be interacting with another nucleon during the annihilation – giving a smaller invariant mass – Yamazaki Phys Lett B 453 (not implemented yet)
However, we aren't very sensitive to these effects, setting the Fermi momentum to zero only changes our efficiency by ~10%

Leads to <4.2% uncertainty in final efficiency

Branching Fractions

n pbar annihilations (from pbar deuterium data)

| Channel | Tegid's Memo | Our Analysis | Bettini (1967) |
|---------------------------------|--------------|--------------|-------------------|
| $\pi^+ \pi^0$ | 0% | 1%(±1.0) | $\leq 0.7\%$ |
| $\pi^+ \pi^0 \pi^0$ | 9% | 8%(±1.2) | * |
| $\pi^+ \pi^0 \pi^0 \pi^0$ | 10% | 10%(±1.2) | $16.4 \pm 0.5\%$ |
| $\pi^+ \pi^+ \pi^- \pi^0$ | 22% | 22%(±1.8) | $21.8 \pm 2.2\%*$ |
| $\pi^+ \pi^+ \pi^- \pi^0 \pi^0$ | 36% | 36%(±1.8) | $59.7 \pm 1.2\%$ |
| $2\pi^+ \pi^- \omega$ | * | 16%(±4) | $12.0 \pm 3.0\%*$ |
| $3\pi^+ 2\pi^- \pi^0$ | 23% | 7%(±4) | $23.4 \pm 0.7\%$ |
| $4\pi^+ 3\pi^- m\pi^0$ | 0% | 0% | $0.39 \pm 0.07\%$ |
| $\pi^+ \pi^+ \pi^-$ | 0% | 0% | $1.57 \pm 0.21\%$ |

p pbar annihilations (use isospin invariance)

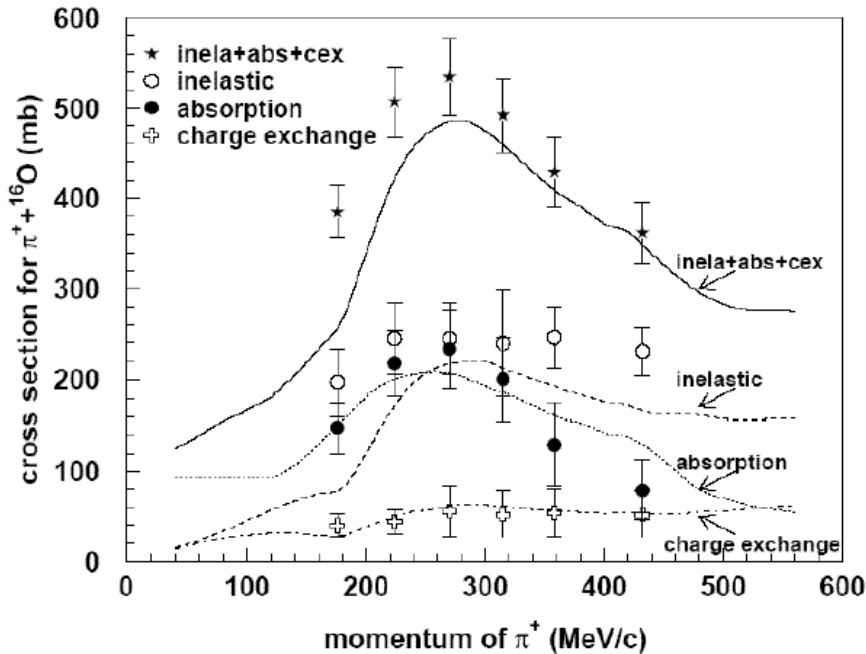
| Channel | Tegid's Memo | Our Analysis | Cresti Paper (1963) | Baltay (1966) |
|---------------------------------|--------------|--------------|---------------------|-------------------|
| $\pi^+ \pi^-$ | 0% | 2%(±.4) | $0.33 \pm 0.48\%$ | $0.32 \pm 0.03\%$ |
| $\pi^0 \pi^0$ | 0% | 1.52%(±.4) | | $3.20 \pm 0.50\%$ |
| $\pi^+ \pi^- \pi^0$ | 10% | 6.48%(±.7) | $5.4 \pm 0.7\%$ | $7.8 \pm 0.9\%$ |
| $\pi^+ \pi^- \pi^0 \pi^0$ | 11% | 11%(±1.3) | | * |
| $\pi^+ \pi^- \pi^0 \pi^0 \pi^0$ | 26% | 28%(±1.3) | | $34.5 \pm 1.2\%$ |
| $2\pi^+ 2\pi^-$ | 7% | 7%(±1.4) | $5.4 \pm 0.3\%$ | $5.8 \pm 0.3\%$ |
| $2\pi^+ 2\pi^- \pi^0$ | 22% | 24%(±3.4) | $22.6 \pm 0.7\%$ | $18.7 \pm 0.9\%$ |
| $\pi^+ \pi^- \pi^0 \omega$ | * | 10%(±0.9) | | * |
| $2\pi^+ 2\pi^- \pi^0 \pi^0$ | 20% | 10%(±0.9) | | $21.3 \pm 1.1\%$ |
| $3\pi^+ 3\pi^-$ | 0% | 0% | $1.7 \pm 0.2\%$ | $1.9 \pm 0.2\%$ |
| $3\pi^+ 3\pi^- \pi^0$ | 0% | 0% | $1.7 \pm 0.2\%$ | $1.6 \pm 0.3\%$ |

Decays are according to phase space (Genbod)

more recent ppbar BF's
[astro-ph/0005419](https://arxiv.org/abs/astro-ph/0005419)
 kaons 7%
 eta 3%
 won't change our results

Leads to 5.2% uncertainty in final efficiency

Pion (and Omega) Propagation

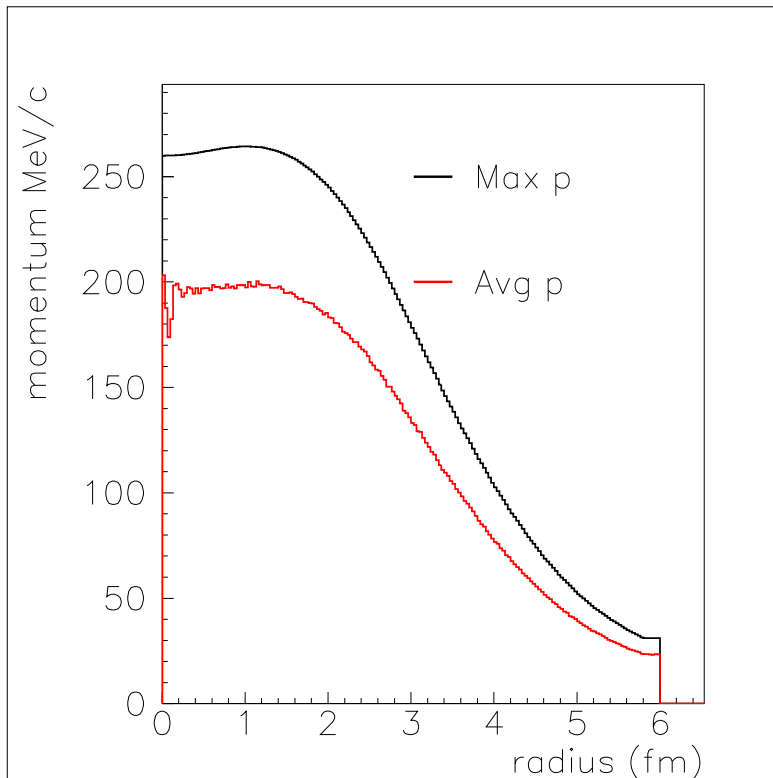


25% of pions absorbed 25%scattered
2.2 charged 1.3 neutral pions escape

- Pions propagate through the residual nucleus in .2 fm steps.
- Total pion-nucleus cross section is taken from scattering experiments and distributed throughout the residual nucleus according to the nuclear density
- Cross section peak due to $\Delta(1232)$

Leads to 12.5% uncertainty in final efficiency

Fermi Momentum / Pauli Blocking



- Nucleons of the residual nucleus are assigned a fermi momentum.
- For a fermi gas of local density ρ

$$p_{\max} \propto \rho^{1/3}$$

- Reactions that would result in a final state momentum $> p_{\max}$ are not allowed – Pauli Blocking

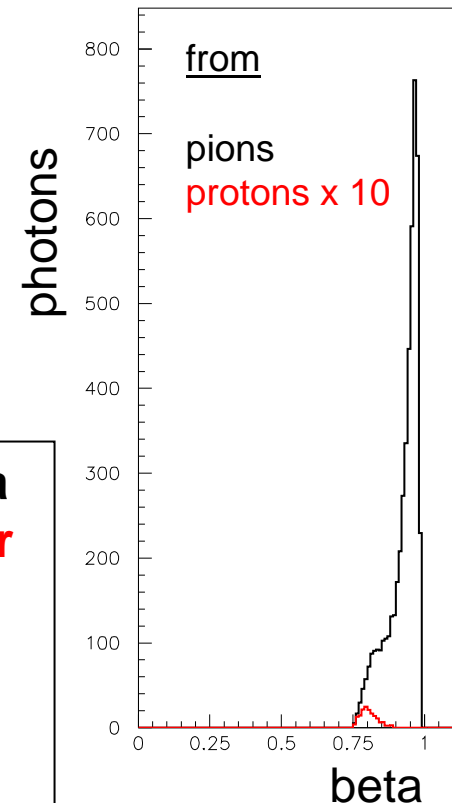
Nuclear De-excitation / Break-up

Gamma rays- P 3/2 holes will decay with the emission of a 6 MeV gamma – but this overlaps the rest of our signal.

In events with a pion interaction we currently create nuclear fragments (p n d He etc.) that statistically match inclusive multiplicities from antiproton-nucleus data.

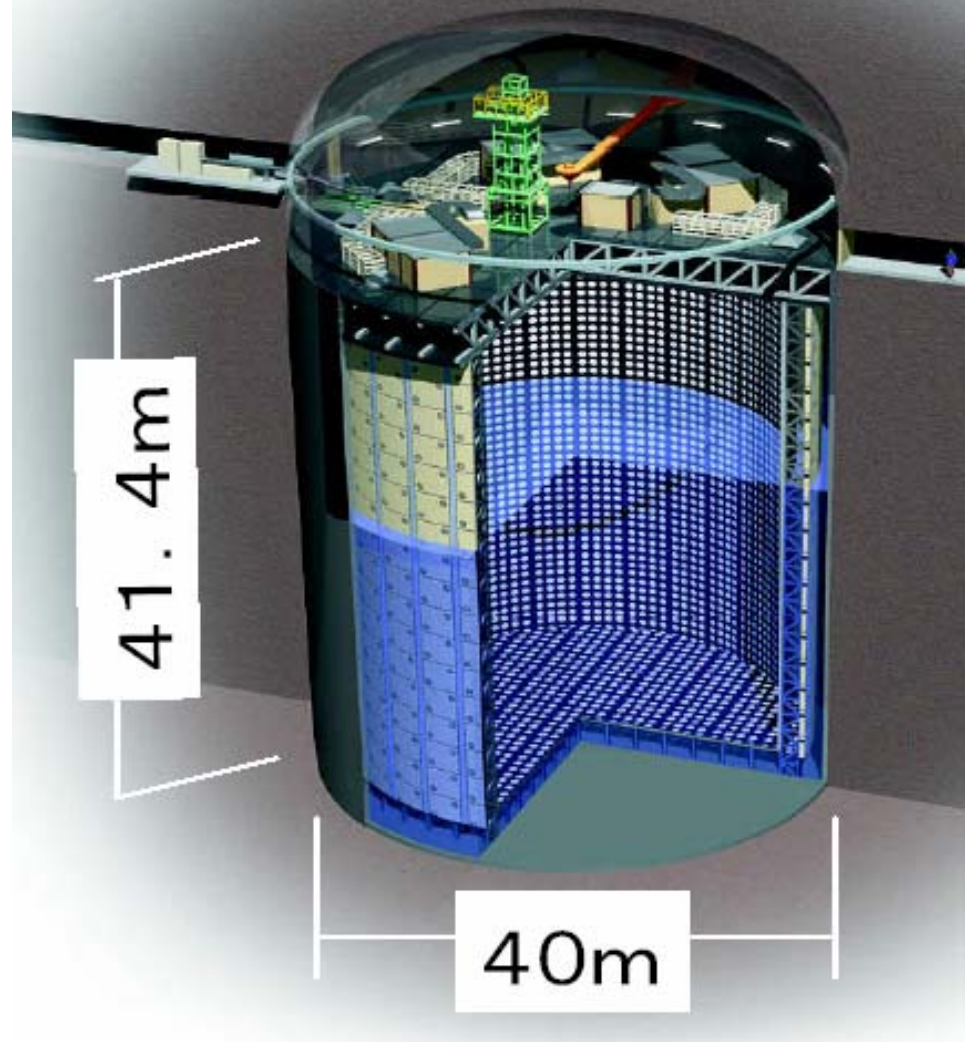
Cherenkov Light - some proton fragments will be above threshold, but the amount of light is insignificant compared to the pion contribution.

Neutron decay – another analysis group is working on a special trigger for neutron decay. **However it is not clear that a background DIS atmospheric neutrino event would break up differently than a signal nucleus, so a more sophisticated break-up model is probably not worth the effort for this analysis.**

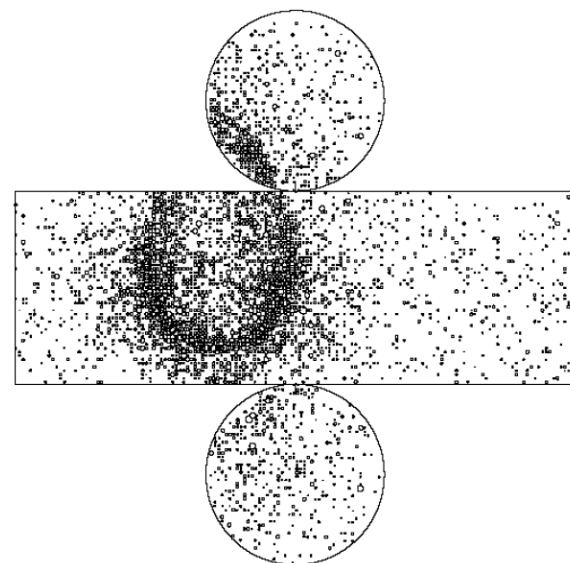
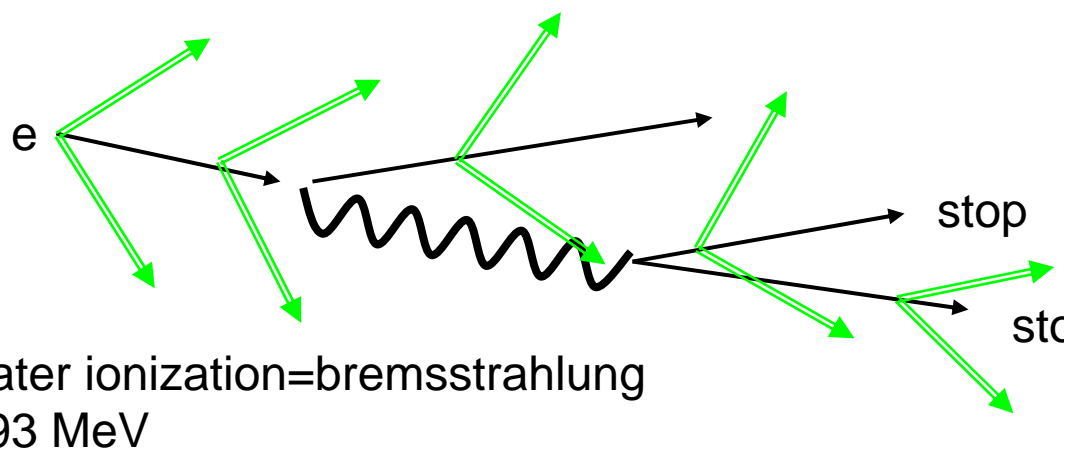
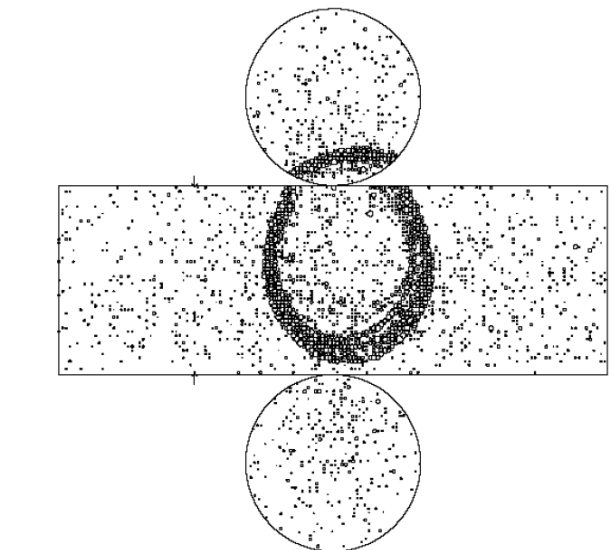
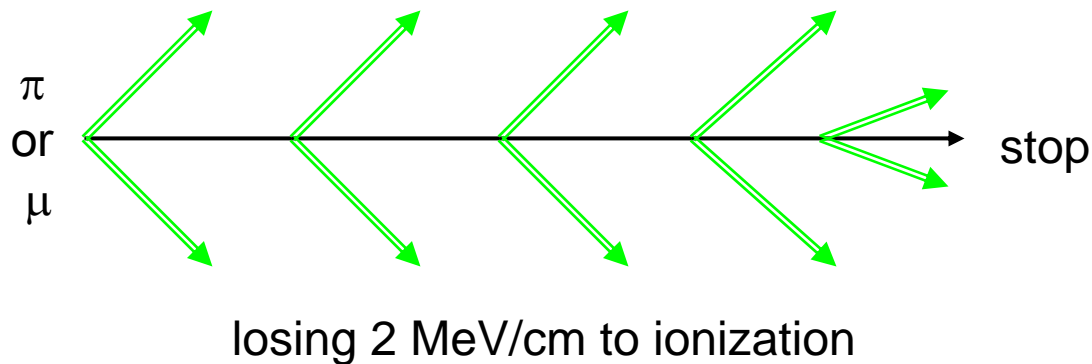


Detector

- 22.5 Kton Fiducial
- 2,700 m water equivalent overburden
- 11,146 inner PMTs
- 1,885 outer PMTs
- SK1 4.1 yr (this analysis)
- SK2 ~ 2 yr (1/2 PMTs)
- SK3 ~ 1 yr
- 2.2 nsec timing
- Can see 4.5 MeV neutrinos

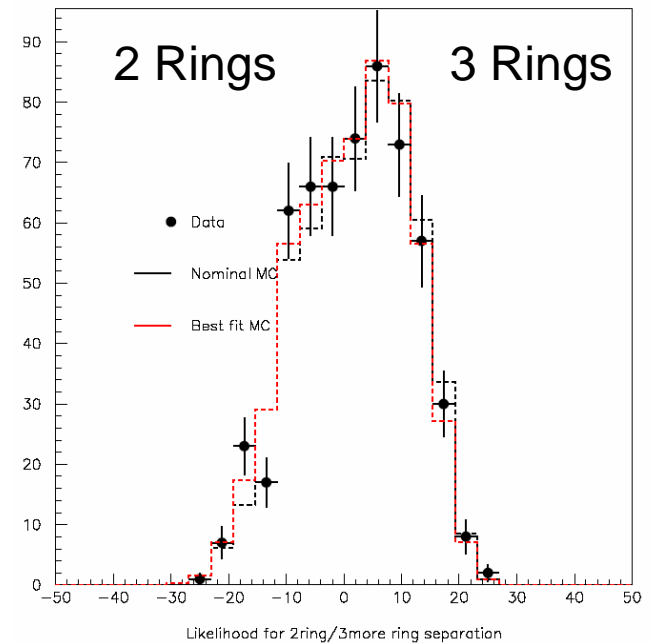
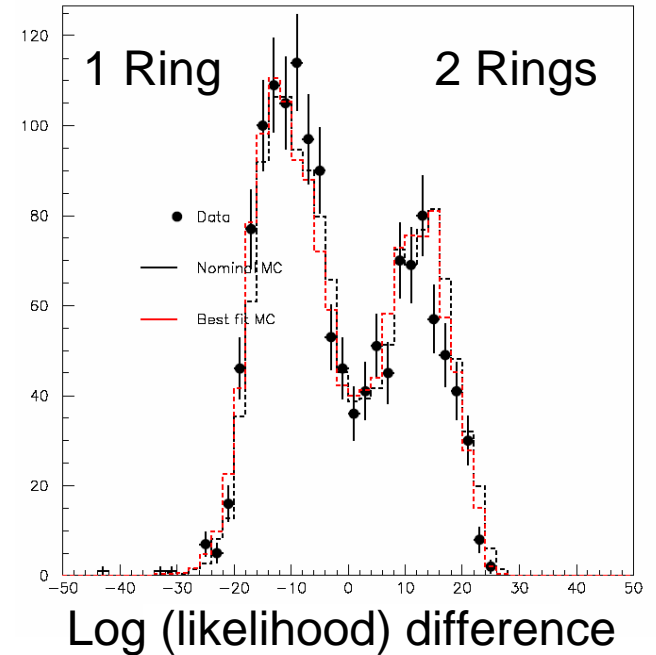


Cherenkov Rings



Ring Reconstruction

- 1) Place vertex at point which time of flight is equal to all hit PMTs.
Resolution ~ 30 cm
- 2) For each PMT plot all possible track directions which could have resulted in a 42 degree Cherenkov angle photon hitting the tube. Look for overlaps.
- 3) Reconstruct entire length of track
(~ 1 meter for our events.)



Track Momentum/Event Energy

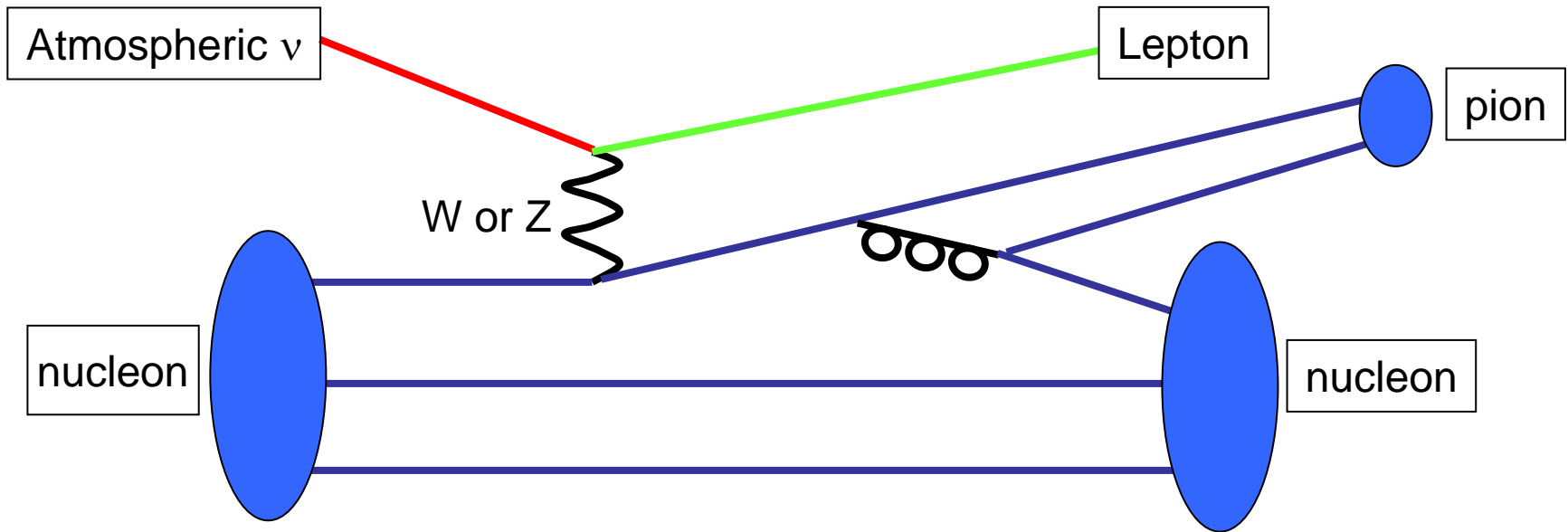
- The track momentum is determined from the total number of photo-electrons seen near the ring (70 degree $\frac{1}{2}$ angle for single ring events)
- In single ring events the momentum resolution is $(2.5/\sqrt{\text{GeV}} + .5)\%$ for electrons.
and 3% for muons
- “Visible Energy” for an event is defined as the energy of an electromagnetic shower giving the number of photo-electrons we see.

Background

Atmospheric Neutrinos

Selected background events

| | ν_μ | Anti- ν_μ | ν_e | Anti- ν_e |
|------------|-------------|-----------------|-------------|---------------|
| Q.E. | 11 (2.0%) | 0 (0%) | 7 (1.3%) | 0 (0%) |
| CC 1pi | 50 (9.6%) | 10 (1.9%) | 47 (9.0%) | 7 (1.3%) |
| CC Mpi | 101 (19.4%) | 10 (1.9%) | 103 (19.8%) | 9 (1.7%) |
| CC K,eta | 6 (1.2%) | 6 (1.1%) | 8 (1.5%) | 1 (.2%) |
| NC 1pi | 17 (3.3%) | | | |
| NC mpi | 125(24.0%) | | | |
| NC K,eta | 2(0.4%) | | | |
| NC elastic | 1(0.2%) | | | |



Background Signatures

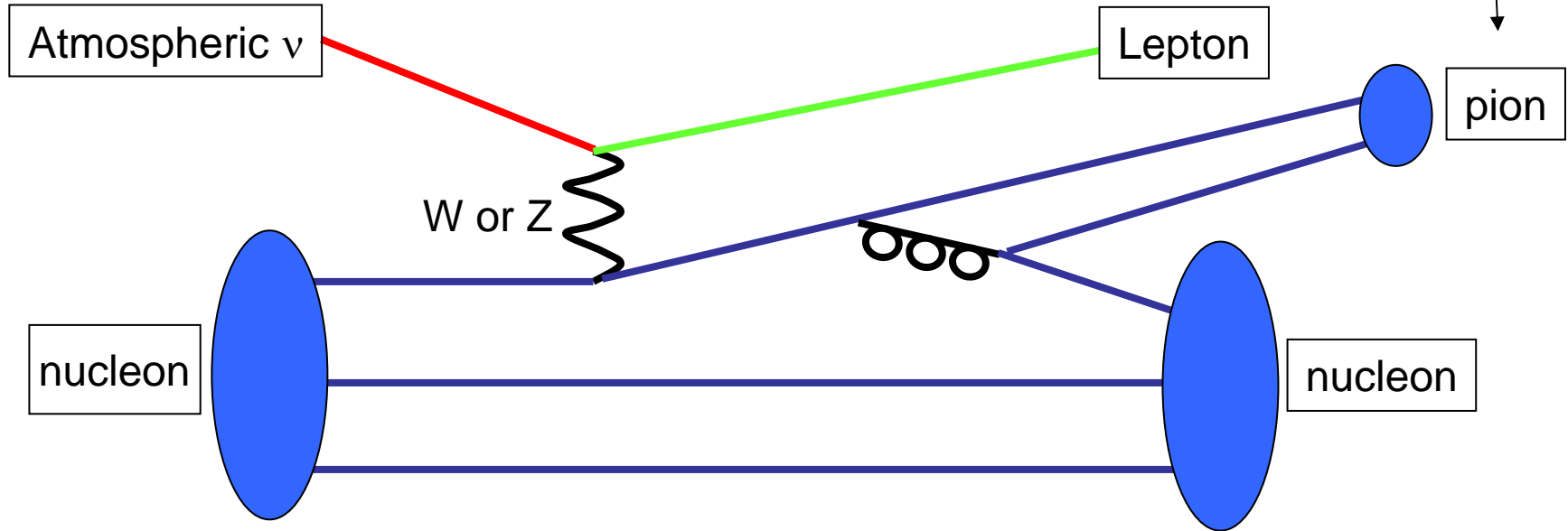
* red criteria not currently used

Invariant mass different from $n+n\bar{n}$ ~1800 MeV

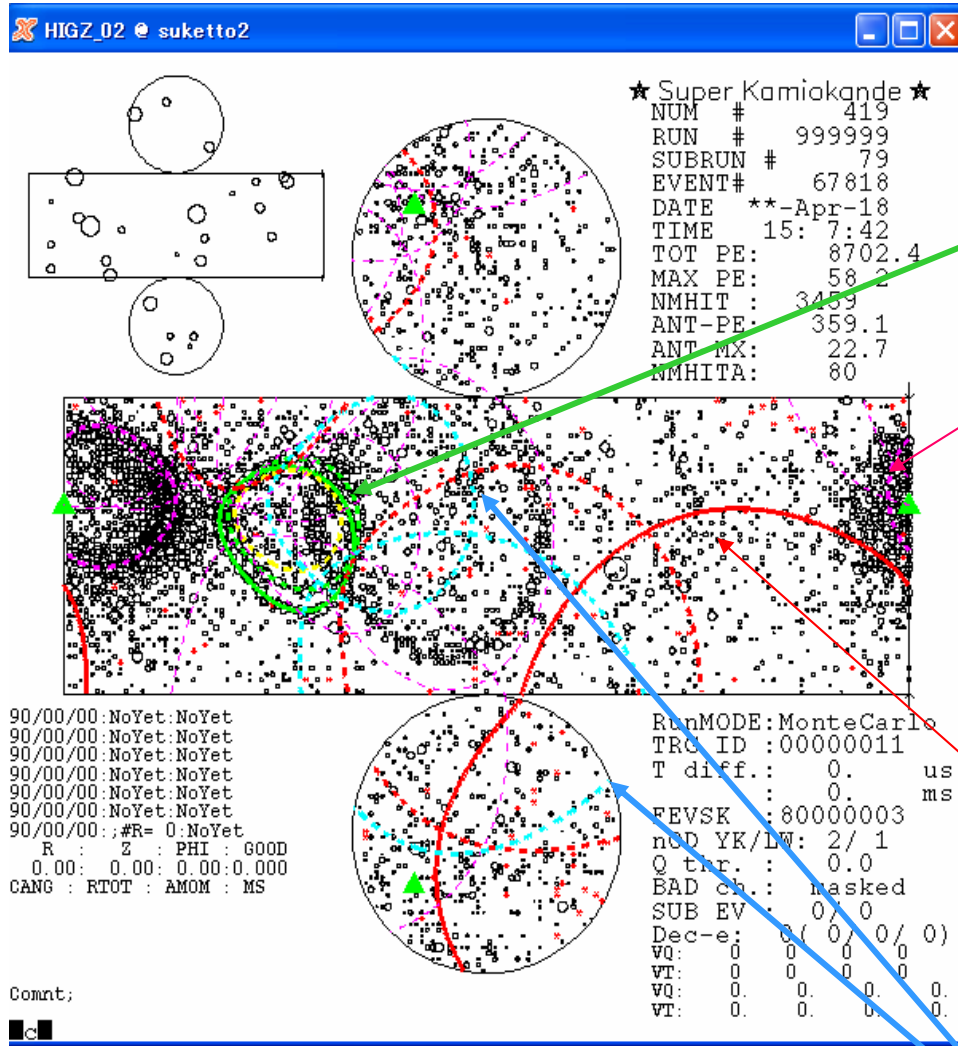
Smaller number of rings
Smaller number of $\pi \rightarrow \mu \rightarrow e$

Large final state total momentum

Large fraction of energy
in a single ring



Charged Current Background

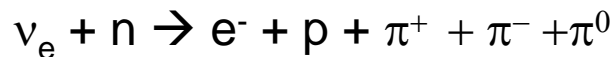


proton

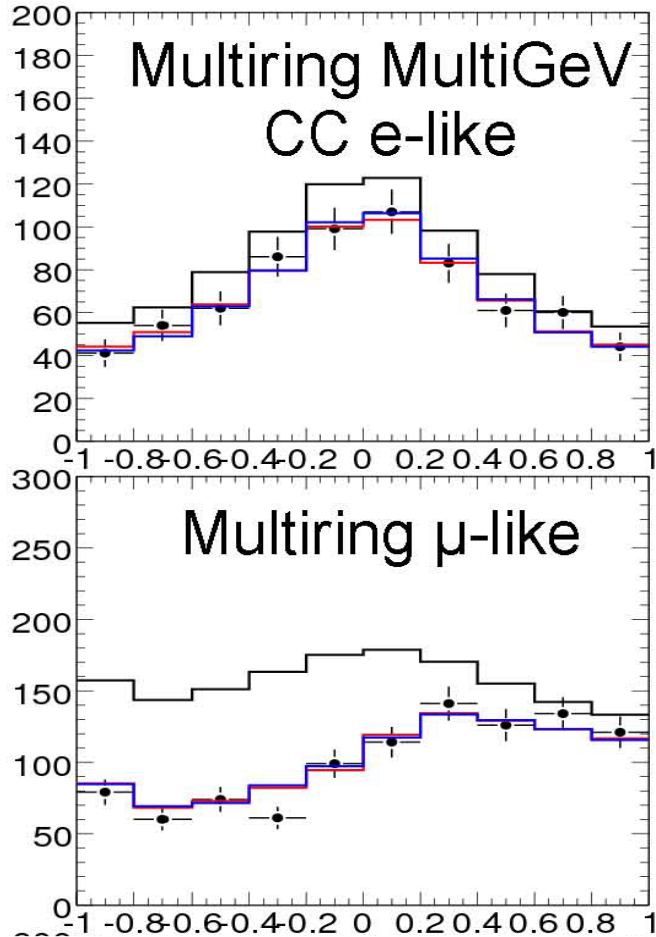
γ from π0

electron
(45MeV)

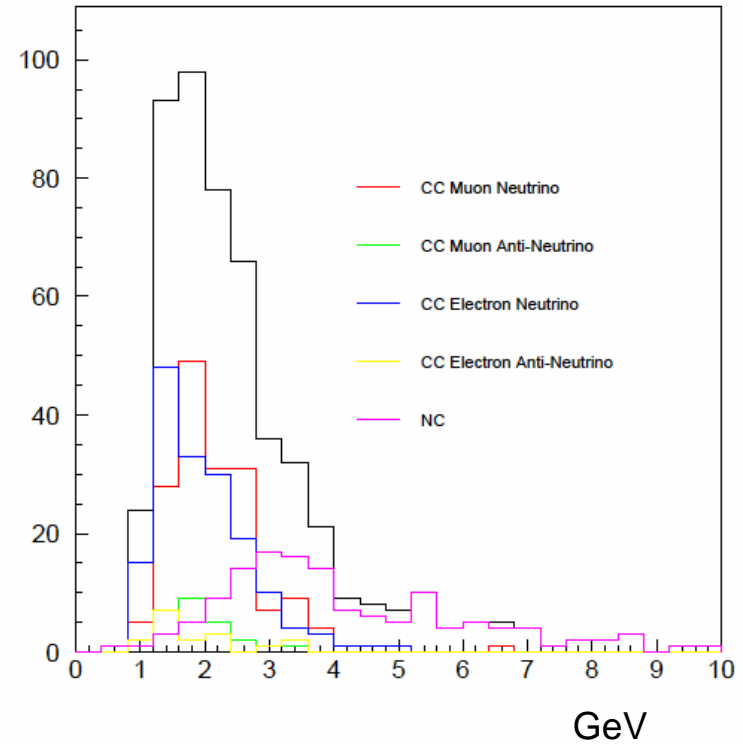
pions



Background Direction and Energy



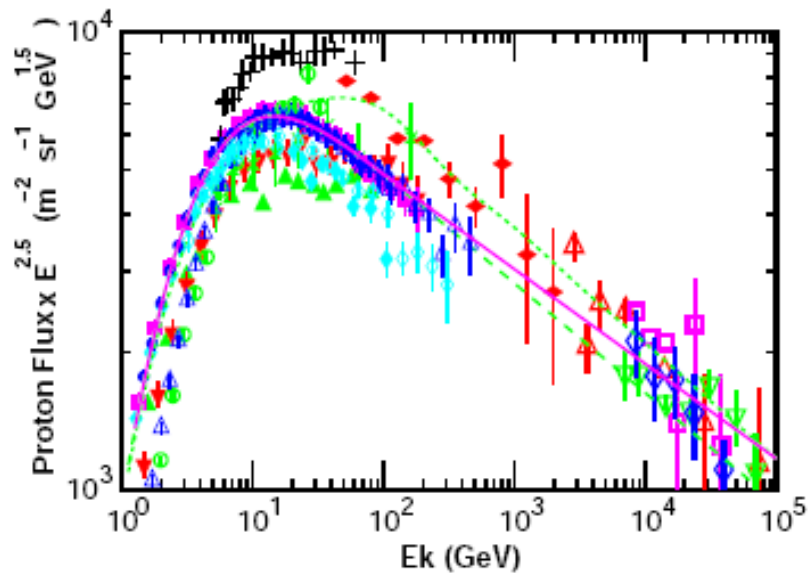
from oscillation analysis



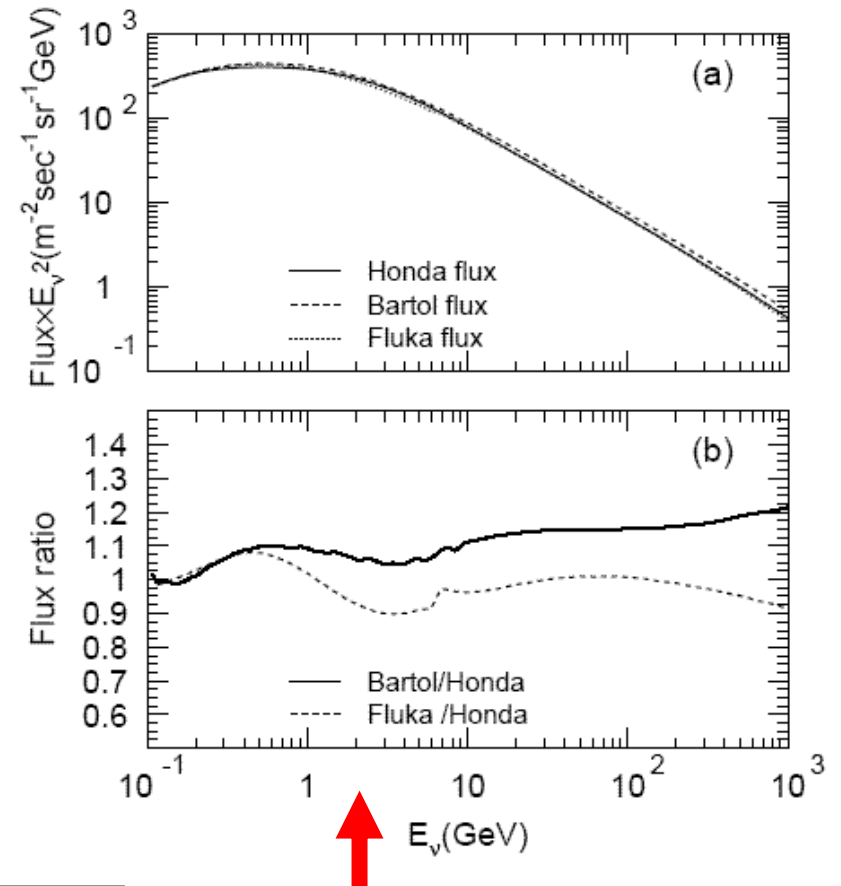
background more likely to come from above
(we are currently not using this)

Cosmic Ray and Neutrino Flux

Primary Proton Flux



Model Dependence of Resulting Neutrino Flux



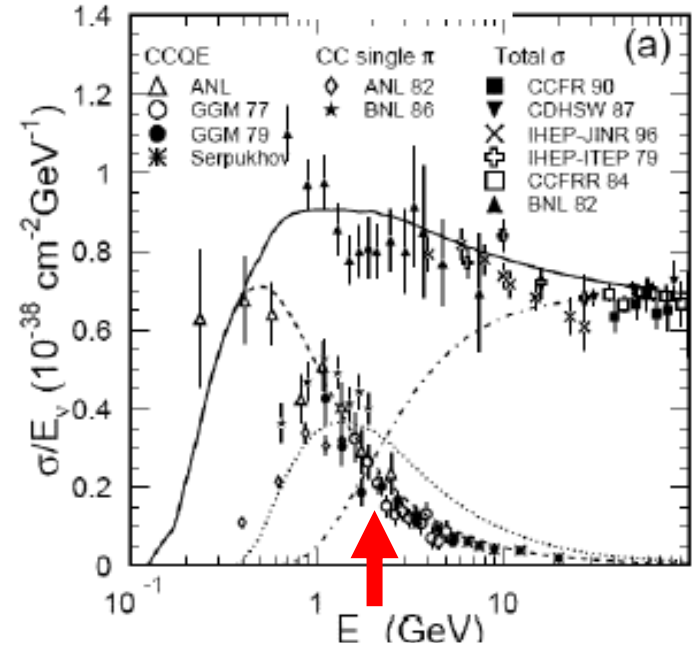
Neutrino flux is our largest systematic. It leads to 20% uncertainty in final background rate

Neutrino cross sections

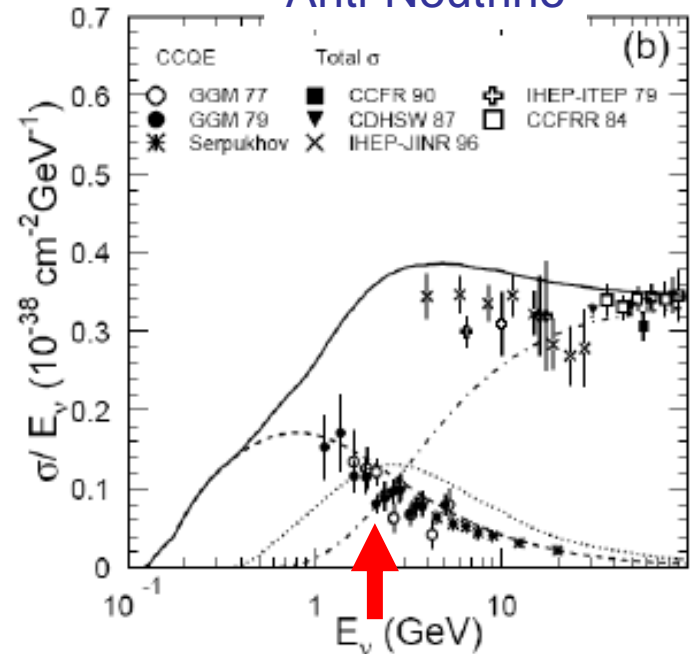
Solid – Total
 Dash – Quasi-elastic
 Dot – single pion
 Dash-Dot – multi-pion

Cross section in multi-pion events leads to 3.4% uncertainty in final background rate

Neutrino



Anti-Neutrino



Multiple pions production models

- For hadronic mass $1.4 < W < 2.0$ GeV, we fit Koba, Nielsen, Olesen (KNO) scaling to experimental pion multiplicities and forward/backward asymmetries from BECB and Gargamelle
- For $W > 2.0$ we use Jetset.

**Model dependence leads to 15.5%
uncertainty in final background rate**

Analysis

Reduction to “Fully Contained” Data Sample

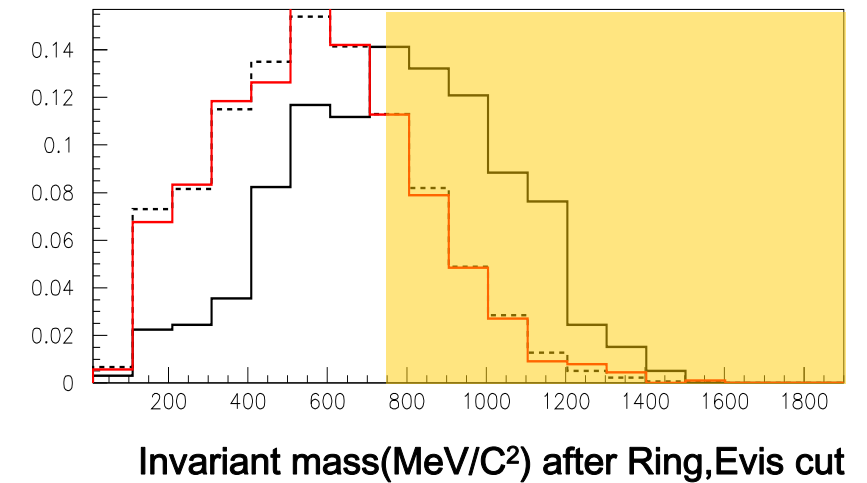
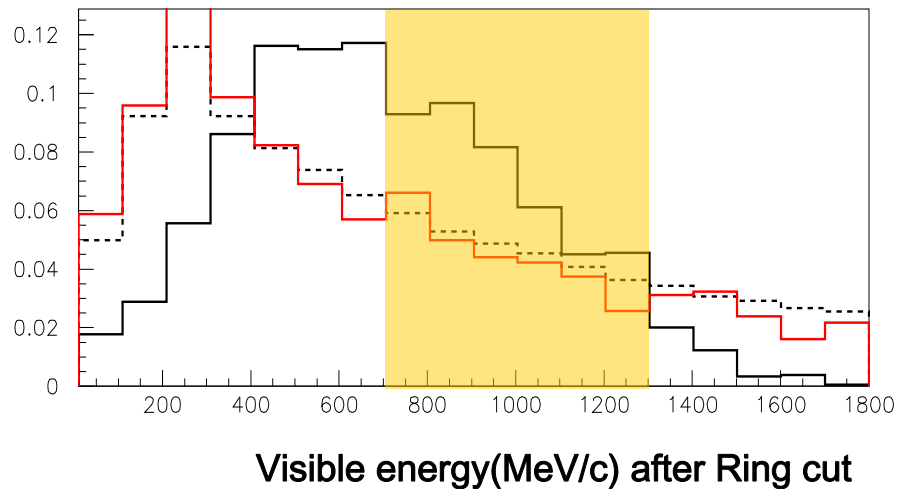
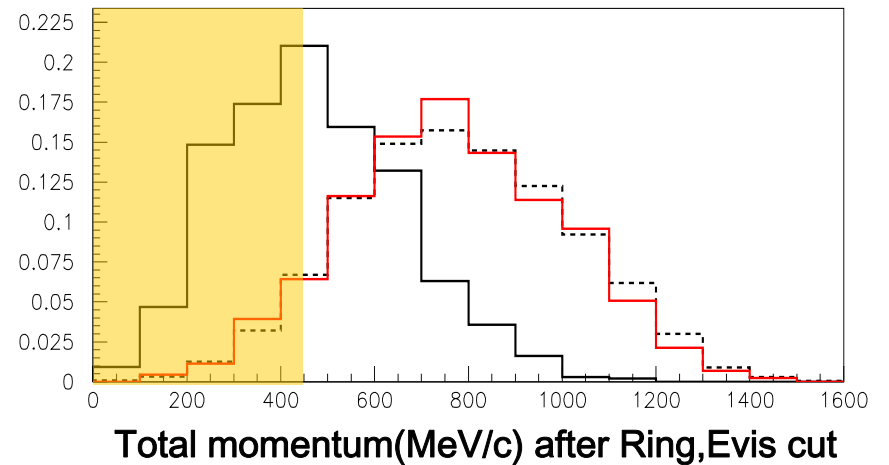
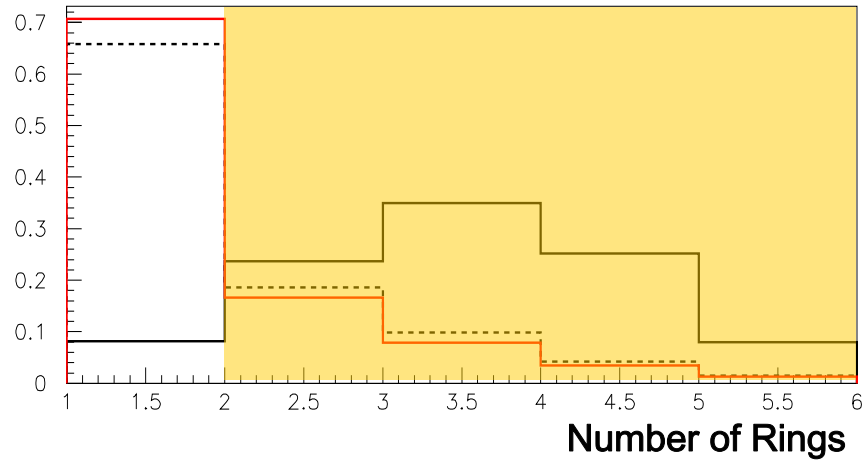
| Step | Data | FC MC |
|-----------------------------------------------------------------------------------------------------------------------------------------------|------|----------|
| Trigger | 1.9G | 100% |
| 200 PE within 300 nsec, <1/2 of PE in one PMT,<25 Outer Detector (OD) hits,>.1 msec since last event. | 302K | 99.94 |
| <10 OD hits aligned with an inner muon track. >50 PMT hits within 50 nsec after TOF (eliminates low energy events) | 67K | 99.83 |
| Elimination of Flashing PMTs -Broad timing distributions eliminated. Hit patterns similar to earlier events removed. | 27K | 99.17 |
| <10 OD hits in any 200 nsec window in the last 9 μ s. (removes muons which drop below Cherenkov threshold inside the detector then decay. | 24K | 97.59 |
| Within fiducial volume (2m from wall). Visible Energy >30 MeV | 12K | 97.59 |

Cuts

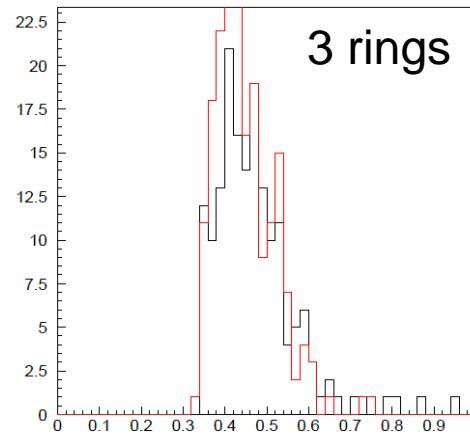
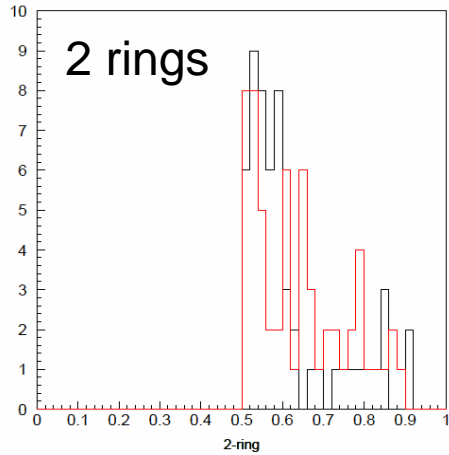
Red – atmospheric ν MC

Black – signal MC

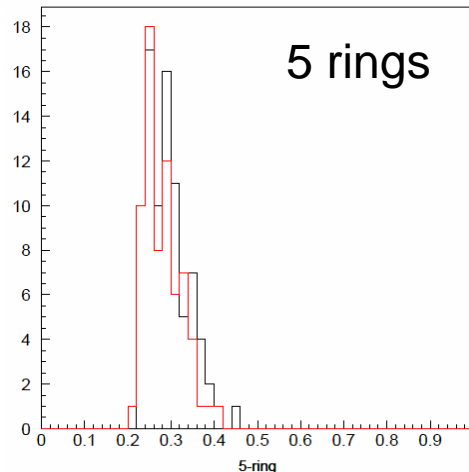
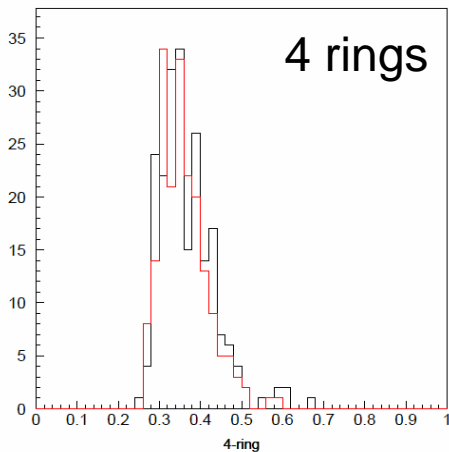
Dotted - data



High energy leptons from charged current events



— Atm. MC
— NNbar



plotting highest ring momentum / total energy

The shapes of the distributions are not very different.

→ cannot use for reduction.

Systematic uncertainties in the efficiency

| | error | Uncertainty |
|----------------------------------------------------|-----------------------------------------------------|-------------------|
| Detection efficiency | | 14.9 % |
| Fermi momentum | 20% of P_f | <4.2% |
| Annihilation branching ratio (model dependence) | Baltay('66), Bettini('67) | 5.2% |
| Non-uniformity of detector gain | +/-1.2% of P_{tot} | 4.0% |
| Energy scale | +/-2.5% of e_{vis} | 1.7% |
| Ring counting | | 0.6% |
| Nuclear propagation (model dependence) | NEUT | 1.7% |
| Nuclear propagation (cross section) | Elastic 20%, Charge ex 30%, Abs 25%, Pi prod 30% | 12.5% |
| Exposure | | < 3.2% |
| Detector livetime | | < 0.1% |
| Fiducial volume | | 3.2% |
| TOTAL | | < 15.2% |

| | error | Uncertainty |
|------------------------------------------------------------------|---------------------|--------------------|
| Un-uniformity of detector gain | +/-1.2% of Ptot | 9.0% |
| Energy scale | +/-2.5% of evis | 12.0% |
| Ring counting | | 4.3% |
| Neutrino flux | 21.5% | |
| flux absolute normalization | 20% | 20% |
| flavor ratios (En<5GeV,>5GeV) | 3%, 3-10% | -, 0.1% |
| $\bar{\nu}$ / ν ratio for ν_e (E ν <10GeV, >10GeV) | 5%, 5-10% | 0.9, -% |
| $\bar{\nu}$ / ν ratio for ν_μ (E ν <10GeV, >10GeV) | 5%, 5-10% | 0.8, -% |
| Up/down ratio | 0.4-2.1% | - % |
| Hor./vertical ratio | 0.3-2.8% (3D calc.) | - % |
| K/ π ratio | 20.0% | 5.2% |
| Energy spectrum | 0.05 for Ep>100GeV | 5.8% |

| | | |
|----------------------------------------------------|--------------------------------|-------|
| Neutrino cross section | 18% | |
| M_A in quasi-elastic and single-pi | 10% in M_A | 4.4% |
| Quasi elastic scattering (model dependence) | 1σ = Fermi-gas vs. Oset | - % |
| Quasi elastic scattering (cross section) | 10% | 0.4% |
| single-pion production (cross section) | 10% | 2.8% |
| multi-pion production (model dependence) | 1σ = w/, vs. w/o Bodek | 15.5% |
| multi-pion production (cross section) | 5% | 3.4% |
| coherent pion production (cross section) | 30% | 0.1% |
| NC/(CC) ratio | 20% | 6.2% |
| Nuclear effect in ^{16}O (mean free path) | 30% | 2.7% |

TOTAL

32.1%

Result

efficiency = $10.4\% \pm 1.6\%$

number of neutrons = 6.02×10^{33}

SK-I livetime = 4.077 years

observed candidates = 20

expected background = 21.31 ± 6.84

Bayesian statistics

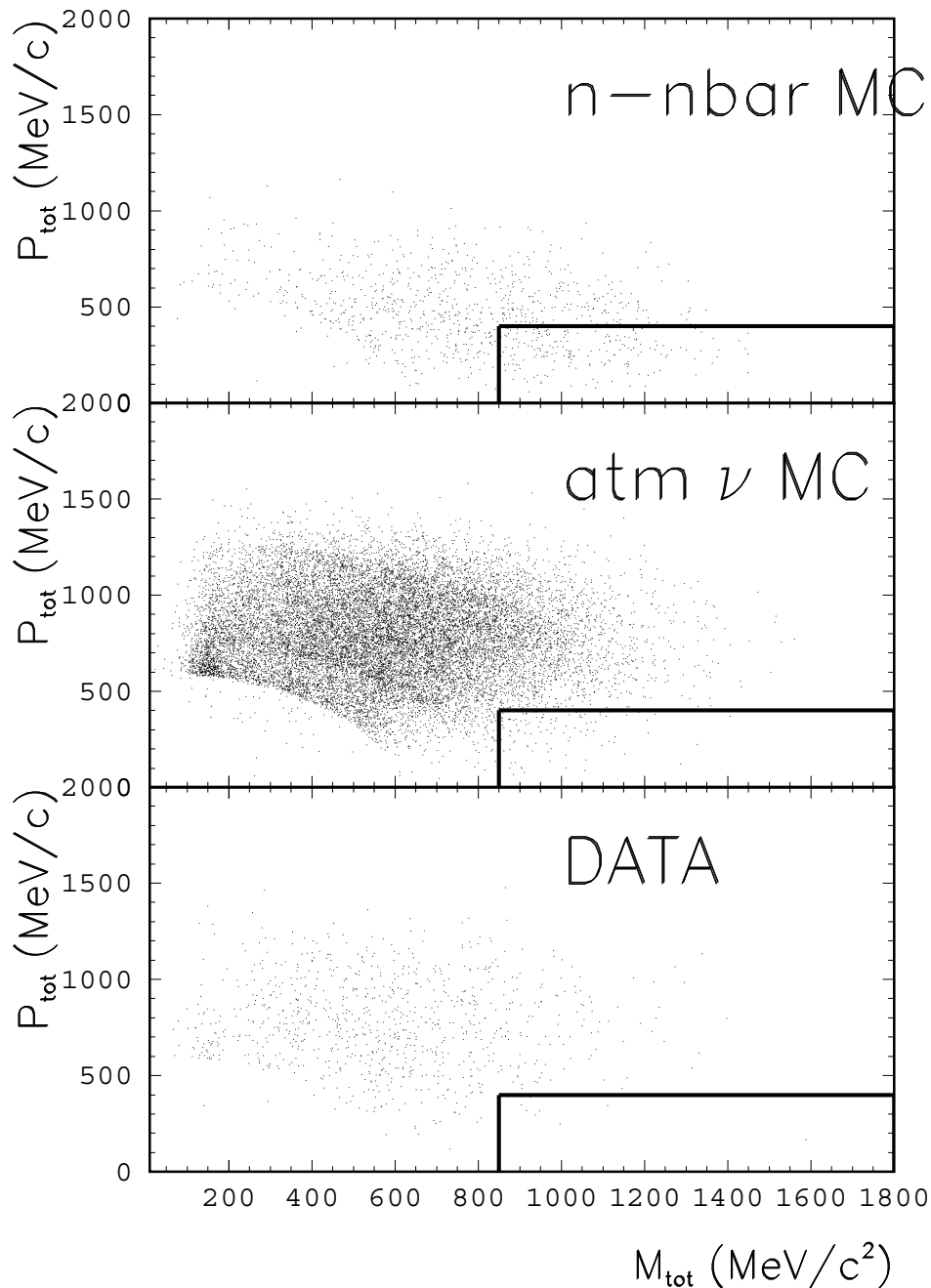
Official Result 90% CL

1.77×10^{32} years

**with $R=3.6 \times 10^{23}$ this corresponds
to $\tau_{\text{free}} = 1.25 \times 10^8$ seconds
compared to $.87 \times 10^8$ at Grenoble**

Frequentist result = 2.45×10^{32} years

Frequentist result
without systematics = 4.45×10^{32} years
(as in previous experiments)



Bayes vs Frequentist

with no systematic errors we have ...

$$\text{Frequentist } CL = 1 - e^{-(b+s)} \sum_{n=0}^{n_0} \frac{(b+s)^n}{n!}$$

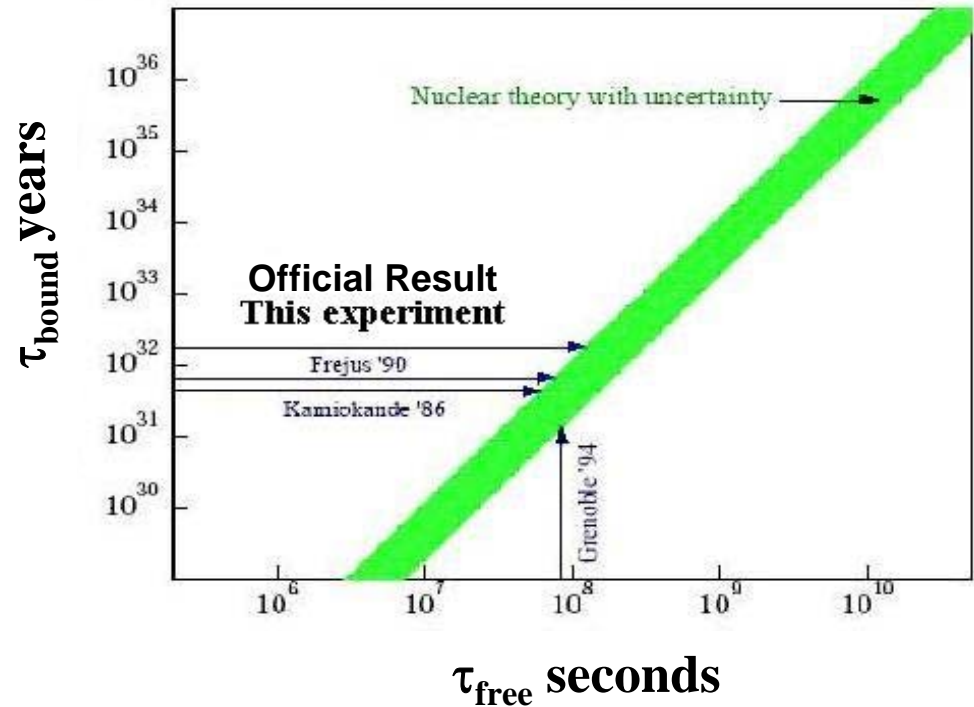
s= signal limit
b=expected background
n=selected data events

$$\text{Bayes } CL = 1 - \frac{e^{-(b+s)} \sum_{n=0}^{n_0} \frac{(b+s)^n}{n!}}{e^{-b} \sum_{n=0}^{n_0} \frac{(b)^n}{n!}}$$

~1/2

Previous Results

Present Neutron-Antineutron transition limits

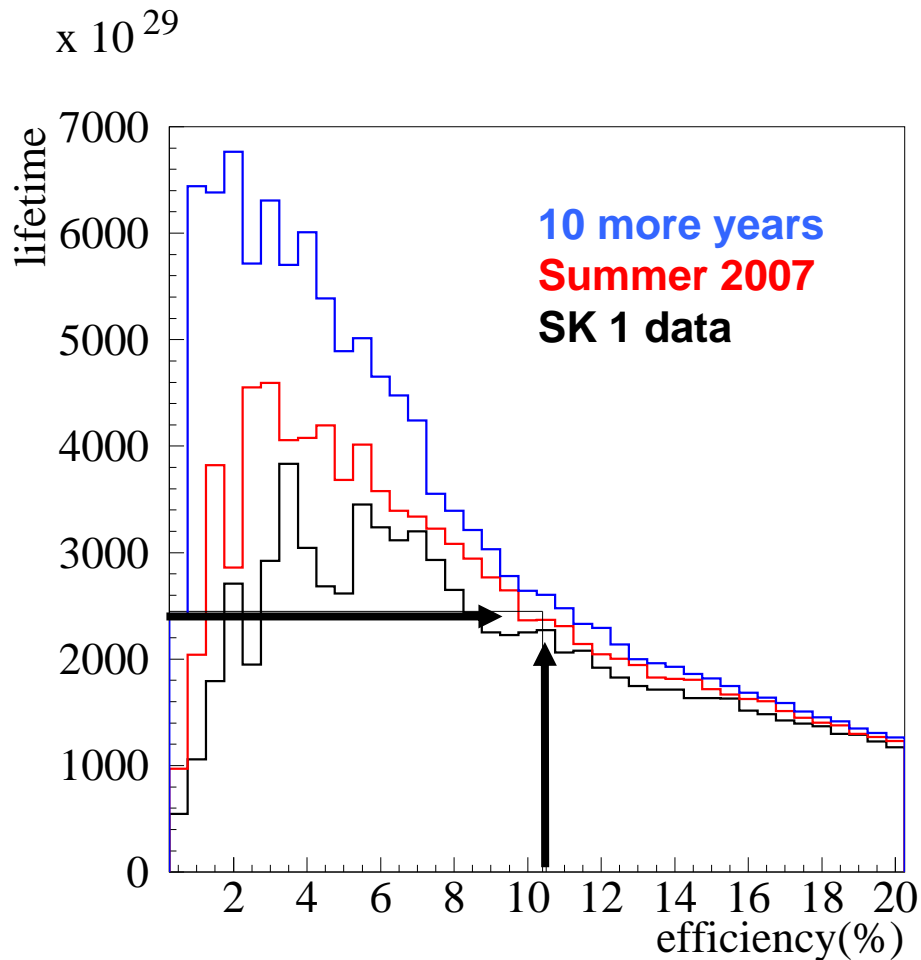


| Experiment | Year | Exposure (10 ³² neutron-yr) | Efficiency | Data | BG | Signal Limit | Frequentist Limit no systematics (10 ³² yr) | Start point | Absorption cross section |
|------------|------|----------------------------------------|------------|------|------|--------------|--------------------------------------------------------|-------------|--------------------------|
| SuperK I | 2006 | 245 | .104 | 20 | 21.3 | 5.7 | 4.45 | Volume | Linear |
| Sudan II * | 2002 | 2.15 | .18 | 5 | 2.5 | 5.5 | .72 (.84)* | Vol. (Dov.) | Linear |
| Frejus * | 1990 | 5 | .30 | 0 | 2.1 | 2.3 | .65* | Volume | Linear |
| Kamiokande | 1986 | 3 | .33 | 0 | 1.2 | 2.3 | .43 | Dover | Linear |
| IMB | 1983 | 3.2 | .14 | 0 | 0 | 2.3 | .17 | Dover | Density ² |

*Iron experiments have an additional suppression of ~1/.71 not included here (see slides 11 and 43)

Future

Future Results



- Predicted MC results for cuts resulting in different efficiencies.
- Frequentist result with systematic errors
- Upward fluctuations correspond to seeing one less event in the data.
- Future SK limit $\sim 6 \times 10^{32}$ years

Efficiency Correlations with “R”

experimentalists average

$$\tau_{free}^2 = \frac{\text{Exposure}}{\text{Signal limit}} \frac{E_s + 3E_p}{R_s + 3R_p}$$

theorists average

if we consider correlations
we have ...

$$\tau_{free}^2 = \frac{\text{Exposure}}{\text{Signal limit}} \left[\frac{E_s}{4R_s} + \frac{3E_p}{4R_p} \right]$$

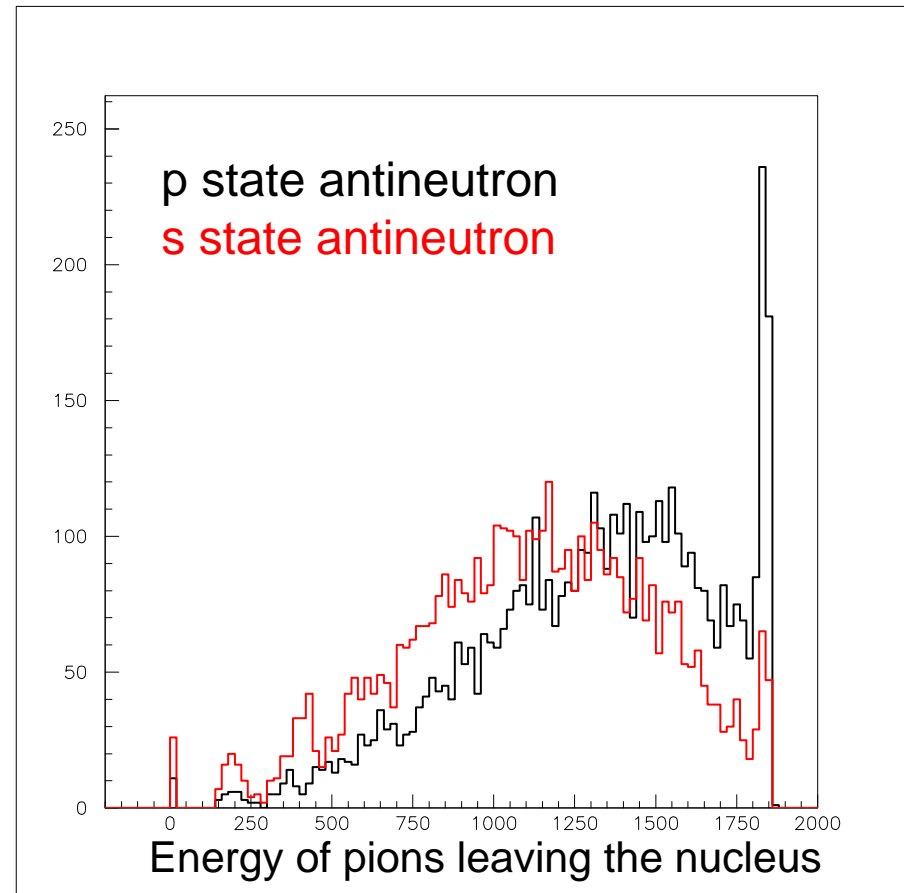
plugging in Dover

$$R_s = 1.21 \quad R_p = .82 \times 10^{23}$$

and a guess from this plot →

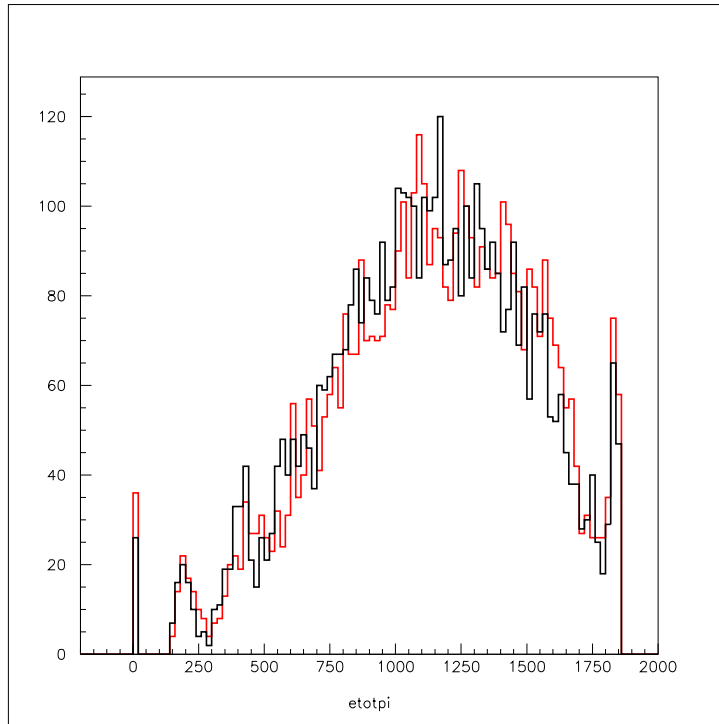
$$E_s = .06 \quad E_p = .12$$

gives us 7% higher τ_{free}^2

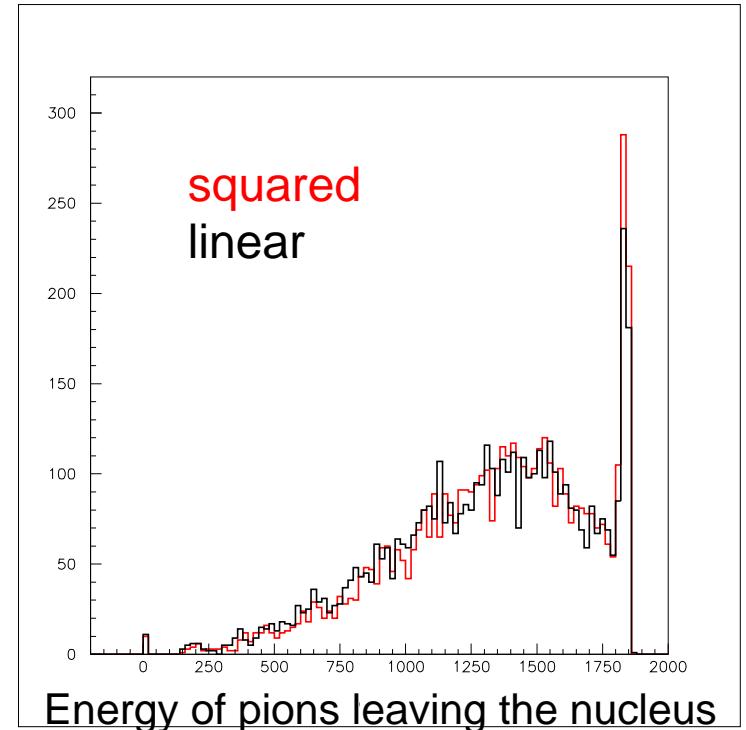


Should absorption cross section scale like the nuclear density squared?

S state antineutrons



P state antineutrons



Absorption is a three body reaction, so IMB (1983) distributed the Oxygen-pion absorption cross section according to the nuclear density squared. No one has done this since then. Looks like it will result in a 5-10% improvement.

Conclusions

- Official Result $\tau=1.77 \times 10^{32}$ years (Bayes, including systematic errors)
- Improvement of 4.4 times over previous measurement of τ_{nuclei} (When duplicating their procedure of using frequentist statistics without any systematic errors and $R_{\text{O}}/R_{\text{Fe}}=.71$)
- 44% better than Grenoble τ_{free} (Bayes, $R=3.6 \times 10^{23}$)