

# NCSM: from effective interactions to effective operators and beyond

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## Collaborators:

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**San Diego State University:** Calvin W. Johnson

# Easter bunny story



**“Whoever shall question the rabbit but once, their firstborn shall thenceforth be a dunce.”**

(questioning the electromagnetic currents, not only the wavefunctions)

- 1 Theoretical approach
- 2 Applications to light nuclei
  - Low-lying spectra;
  - Other observables (**consistent** operators);
  - Lorentz integral transform for NCSM (continuum response using bound-state techniques).
- 3 EFT-like approach to effective operators for NCSM
- 4 Conclusions and outlook



- all particles allowed to interact
- truncation of the available single particle space
- unitary transformation to obtain effective interactions from bare high-precision nucleon-nucleon interactions which describe the phaseshifts with high accuracy

## The many-body Schrodinger Equation:

$$H|\Psi\rangle = E|\Psi\rangle$$

$$H_{int} = \frac{1}{A} \sum_{ij} \frac{(\vec{p}_i - \vec{p}_j)^2}{2m} + \sum_{i>j} V_{ij}^{NN} + \sum_{i>j>k} V_{ijk}^{NNN} + \dots$$

- realistic, high precision two-body potentials: Argonne, CD Bonn
- theoretical three-body forces: TM'



# Additional ingredient: center of mass motion

## Addition of CM Hamiltonian

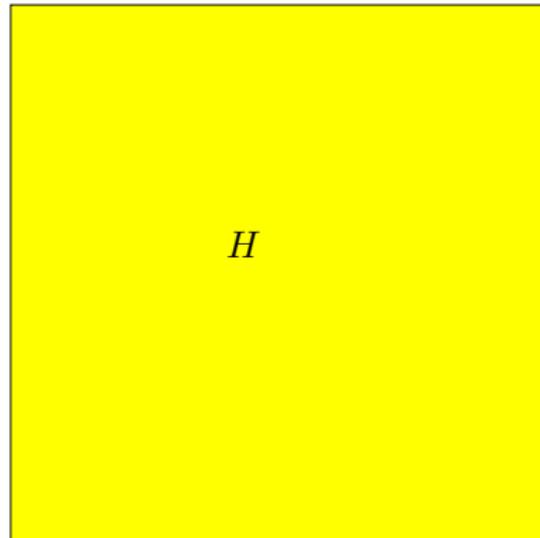
$$H \rightarrow H + \frac{1}{2mA} P_{CM}^2 + \frac{1}{2} mA \Omega^2 R_{CM}^2$$

- no influence on the intrinsic properties
- binds the nucleon clusters
- removed from the final results



# Effective operators

Start with the full space and the bare Hamiltonian  $H$

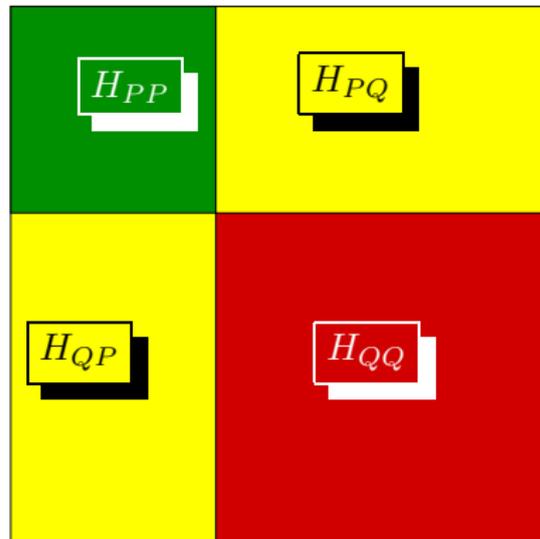


# Effective operators

Space truncation



**EFFECTIVE INTERACTIONS  
NECESSARY**



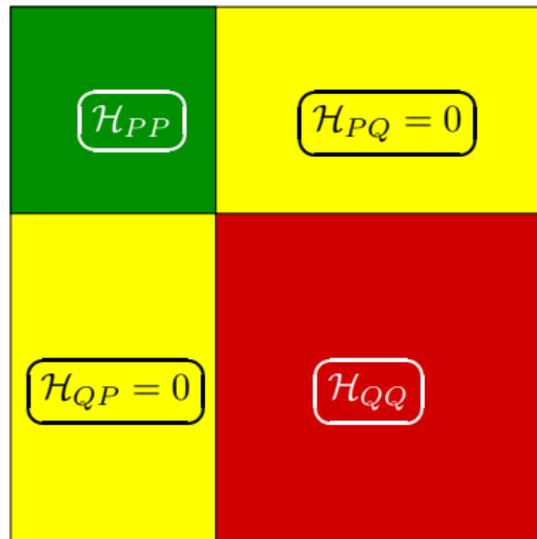
# Effective operators

## Unitary Transformation

$$\mathcal{H} = e^{-S} H e^S$$

$$S = \text{arctanh}(\omega^\dagger - \omega)$$

$$\omega = Q\omega P$$



## Effective Hamiltonian in the model space

$$H_{\text{eff}} = \frac{P + \omega^\dagger}{\sqrt{P + \omega^\dagger \omega}} H \frac{P + \omega}{\sqrt{P + \omega^\dagger \omega}}$$

$$H_{\text{eff}} P |\Psi_k\rangle = E_k P |\Psi_k\rangle \text{ for } k = 1, \dots, d$$

$$H |\Psi_k\rangle = E_k |\Psi_k\rangle \text{ for } k = 1, \dots, d, \dots, \infty$$

## Effective general operator in the model space

$$O_{JT}^{\text{eff}} = \frac{P + \omega_{J'T'}^\dagger}{\sqrt{P + \omega_{J'T'}^\dagger \omega_{J'T'}}} O_{JT} \frac{P + \omega_{JT}}{\sqrt{P + \omega_{JT}^\dagger \omega_{JT}}}$$



# Formal solution for $\omega$

$$Q|\Psi_k\rangle = Q\omega P|\Psi_k\rangle \text{ for } k = 1, \dots, d$$

$$\langle \alpha_Q^{(i)} | \Psi_k \rangle = \sum_{j=1}^d \langle \alpha_Q^{(i)} | \omega | \alpha_P^{(j)} \rangle \langle \alpha_P^{(j)} | \Psi_k \rangle$$

for  $k = 1, \dots, d$  and  $i = d + 1, \dots, \infty$

$$\langle \alpha_Q^{(i)} | \omega | \alpha_P^{(j)} \rangle = \sum_{k=1}^d \langle \alpha_Q^{(i)} | \Psi_k \rangle \langle \alpha_P^{(j)} | \tilde{\Psi}_k \rangle$$

Requires solution to the original problem



# Cluster approximation

- Find  $\omega$  for  $a < A$  (reproduce lowest eigenvalues)
- Compute  $H_{eff}^{(a)}$
- Use  $V_{eff}^{(a)}$  in the  $A$ -body calculation
- Scan for convergence (independence upon the model space and harmonic oscillator frequency).

Convergence to the exact solution if:

- $a \rightarrow A$  for fixed model space;
- $P \rightarrow \infty$  for fixed cluster.



# Cluster approximation

## Two-body cluster

$$S \approx \sum_{i>j=1}^A S_{ij}$$

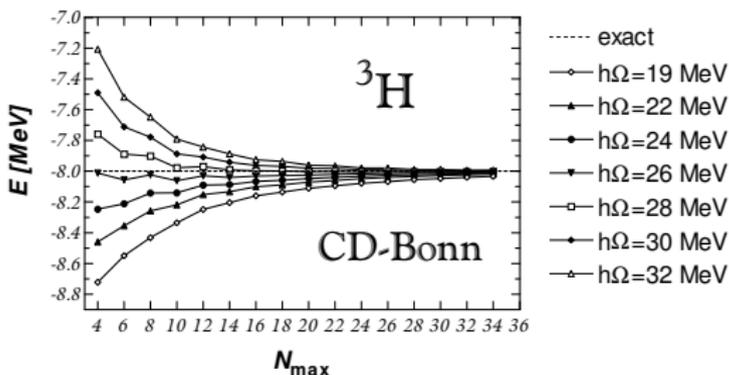
$$PO_{\text{eff}}P = P \sum_{i=1}^A O_i P + P \sum_{i>j=1}^A \left[ e^{-S_{ij}} (O_i + O_j) e^{S_{ij}} - (O_i + O_j) \right] P$$

$$PO_{\text{eff}}P = P \sum_{i>j=1}^A e^{-S_{ij}} O_{ij} e^{S_{ij}} P$$

$$PH_{\text{eff}}P = P \sum_{i=1}^A h_i P + P \left[ e^{-S_{ij}} (h_i + h_j + v_{ij}) e^{S_{ij}} - h_i - h_j \right] P$$



# Two-body cluster: illustration

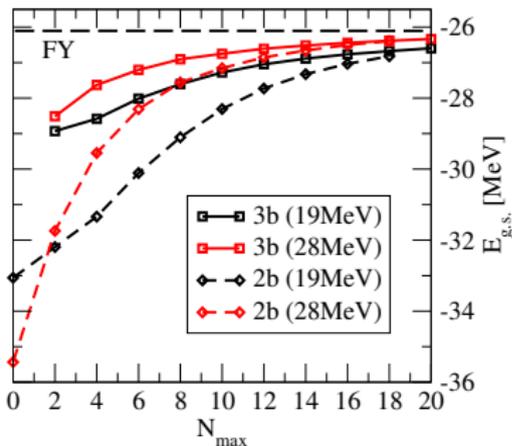
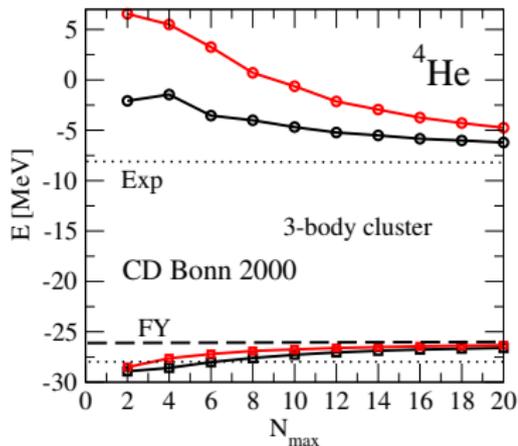


Navratil, Kamuntavicius, Barrett, Phys. Rev. C61 (2000) 044001

- Short range correlations included in  $V_{\text{eff}}^{(2)}$
- Long-range and many-body correlations accommodated by increasing the model space



# $^4\text{He}$ results (two- and three-body cluster approximation)



- higher-order cluster: correlations better described (faster convergence);
- more comprehensive review of applications to nuclear spectra: see P. Navratil's talk in this program.



# Selected results other observables

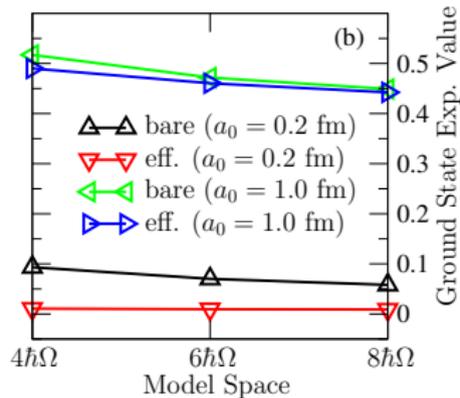
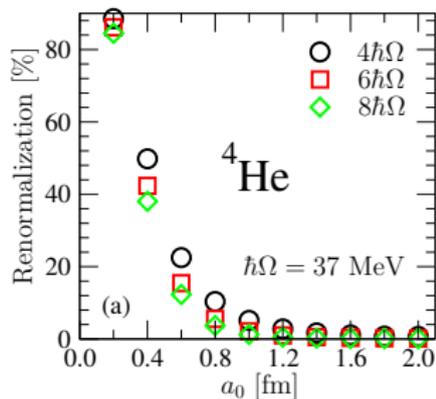
Nucleus	Observable	Model Space	Bare operator	Effective operator
${}^2\text{H}$	$Q_0$	$4\hbar\Omega$	0.179	<b>0.270</b>
${}^6\text{Li}$	$B(E2, 1^+0 \rightarrow 3^+0)$	$2\hbar\Omega$	2.647	2.784
${}^6\text{Li}$	$B(E2, 1^+0 \rightarrow 3^+0)$	$10\hbar\Omega$	10.221	-
${}^6\text{Li}$	$B(E2, 2^+0 \rightarrow 1^+0)$	$2\hbar\Omega$	2.183	2.269
${}^6\text{Li}$	$B(E2, 2^+0 \rightarrow 1^+0)$	$10\hbar\Omega$	4.502	-
${}^{10}\text{C}$	$B(E2, 2_1^+0 \rightarrow 0^+0)$	$4\hbar\Omega$	3.05	3.08
${}^{12}\text{C}$	$B(E2, 2_1^+0 \rightarrow 0^+0)$	$4\hbar\Omega$	4.03	4.05
${}^4\text{He}$	$\langle g.s.   T_{rel}   g.s. \rangle$	$8\hbar\Omega$	71.48	154.51

Stetcu, Barrett, Navratil, Vary, Phys. Rev. C **71**, 044325 (2005)

- small model space: expect larger renormalization
- large variation with the model space
- three-body forces: might be important, but not the issue
- $a \rightarrow A$  for fixed model space;
- $P \rightarrow \infty$  for fixed cluster.



# Range dependence



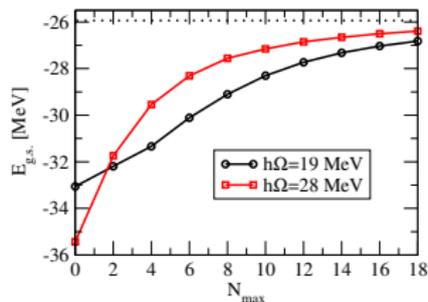
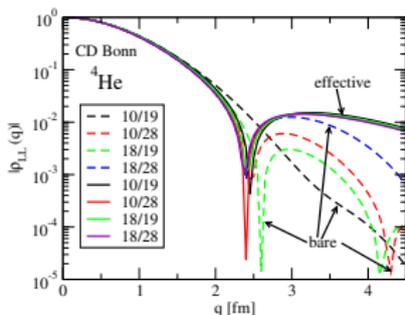
$$O \sim \exp \left[ -\frac{(\vec{r}_1 - \vec{r}_2)^2}{a_0^2} \right]$$

Stetcu, Barrett, Navratil, Vary, Phys. Rev. C **71**, 044325 (2005)



# Longitudinal-longitudinal distribution function

$$\rho_{LL}(q) = \frac{1}{4Z} \sum_{j \neq i} (1 + \tau_z(i))(1 + \tau_z(j)) \langle g.s. | j_0(q|\vec{r}_i - \vec{r}_j|) | g.s. \rangle$$



Stetcu et. al. (in preparation)

Model space independence at high momentum transfer: good renormalization at the two-body cluster level



# Lorentz integral transform 101

Efros, Leidemann, Orlandini, Phys. Lett. B338, 130 (1994).

$$R(E) = \sum_{\nu} |\langle \psi_0 | O | \psi_{\nu} \rangle|^2 \delta(E - E_{\nu})$$

LIT approach: calculate the transform of  $R(E)$  and then invert:

$$\Phi[R](\sigma) = \int R(E) K(\sigma, E) dE$$

Lorentz kernel:

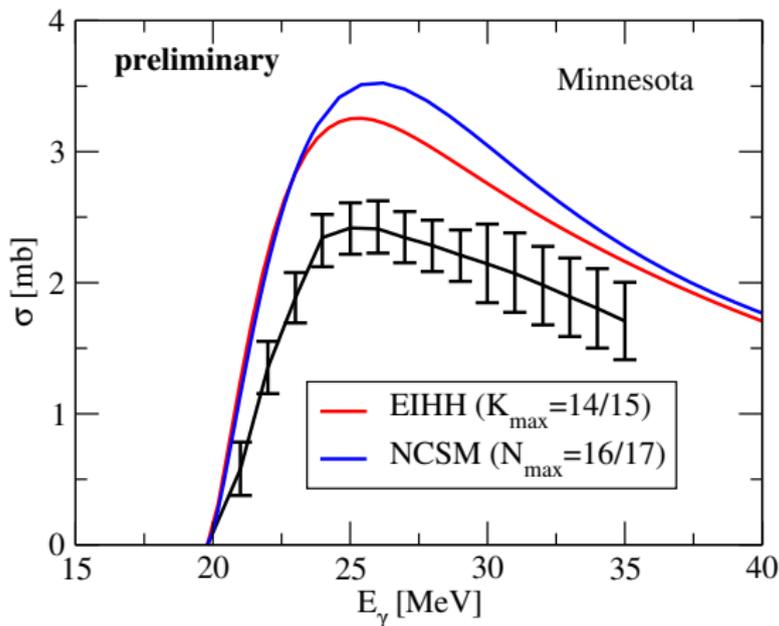
$$K(\sigma, E) = \frac{1}{(E - \sigma_R)^2 + \sigma_I^2}$$

$$\Phi[R](\sigma) = \langle \phi | \phi \rangle$$

$$(H - \sigma_R - i\sigma_I) | \phi \rangle = O | \psi_0 \rangle$$



# LIT application to $^4\text{He}$ disintegration



## Advantages

- Preserve all the symmetries, including the translational invariance
- Flexible enough to handle both local and non-local interactions
- Suitable for light and medium nuclei

## Disadvantages and Limitations

- Large dimensions in SD basis codes, difficult antisymmetrization in relative coordinates.
- Non-scalar effective operators are extremely demanding.
- In the lowest approximation, the long-range observables are weakly renormalized (difficult to obtain consistent operators).



# Effective interactions for NCSM using EFT

## Purposes

- to provide a consistent treatment of effective interactions and operators
- to obtain model-independent results

## Means

EFT approach:

- consider the most general Hamiltonian which respects all the symmetries
- determine the coupling constants by fit to experimental data



# EFT approach

- 1 identify relevant degrees of freedom
- 2 identify symmetries
- 3 write the most general Lagrangian (infinite number of terms)
- 4 organize interaction in powers of  $Q/M$
- 5 the results are improvable order by order and *model independent*



# Example: pionless theory

- applicable for processes involving momenta smaller than the pion mass
- fields: nucleons (and photons, neutrinos)

$$\begin{aligned}V_{NN}(\vec{p}, \vec{p}') &= C_0^{(S)} + C_0^{(T)} \vec{\sigma}_1 \cdot \vec{\sigma}_2 \\ &+ C_2^{(1)} q^2 + C_2^{(2)} k^2 + \left( C_2^{(3)} q^2 + C_2^{(4)} k^2 \right) \vec{\sigma}_1 \cdot \vec{\sigma}_2 \\ &+ i C_2^{(5)} \frac{\vec{\sigma}_1 + \vec{\sigma}_2}{2} (\vec{q} \times \vec{k}) + C_2^{(6)} \vec{q} \cdot \vec{\sigma}_1 \vec{k} \cdot \vec{\sigma}_2 + \dots\end{aligned}$$

Procedure:

- 1 in each order determine the coupling constants to reproduce the same number experimental data as the number of constants
- 2 improve the results order by order and estimate error

$$k \cot \delta_0 = -\frac{1}{a_0} + \frac{1}{2} r_0^2 k^2 + \dots$$



# Why pionless EFT?

- Simple
- The power counting is fully understood
- What is the limit of applicability?
- Same methods should be applicable for the pionfull theory



# Shell-model space

- the SM space is a particular type of truncation, using bound states only

$$\psi_{nl(s)j}(\vec{r}) = N_{nl} r^l L_n^{l+1/2}(\alpha r^2) \exp(-\alpha r^2) [Y_l(\hat{r}) \otimes \chi_s]_j$$

- defined by the maximum number of oscillator quanta allowed  $N_{max} (N = 2n + l)$

$$P_j = \sum_{2n+l \leq N_{max}} |nl(s)j\rangle \langle nl(s)j|$$

- in the limit  $N_{max} \rightarrow \infty$  equivalent with continuum



# Two-body observables

- one bound state in the  $^3S_1$  channel
- phaseshifts

In a finite HO basis, all wfs. have a bound-state behavior at large distances!!



# Phaseshifts in a finite basis

K. Kaufmann, W. Baumeister and M. Jungen, J. Phys. B.: At. Mol. Phys. 20, 4299 (1987)

$$H = \frac{p^2}{2\mu} + V$$

Diagonalization in the HO finite basis of dimension  $d$

$$|\Psi_E\rangle = \sum_{\alpha=1}^d A_{\alpha}(E)|\alpha\rangle$$

$$H|\Psi_E\rangle = E|\Psi_E\rangle$$

If  $|\Psi\rangle$  corresponds to an eigenvalue in the continuum, at large distances, **but not at infinity**, this solution **still** approximates a shifted free particle:

$$\Psi_E(r) = arj_l(kr) + brn_l(kr), \quad k = \sqrt{2\mu E}$$

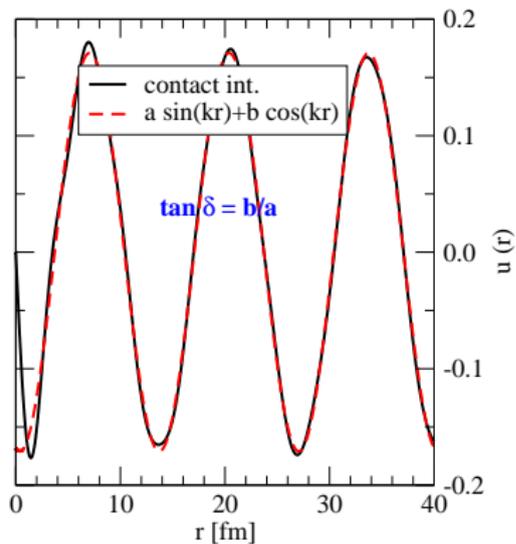
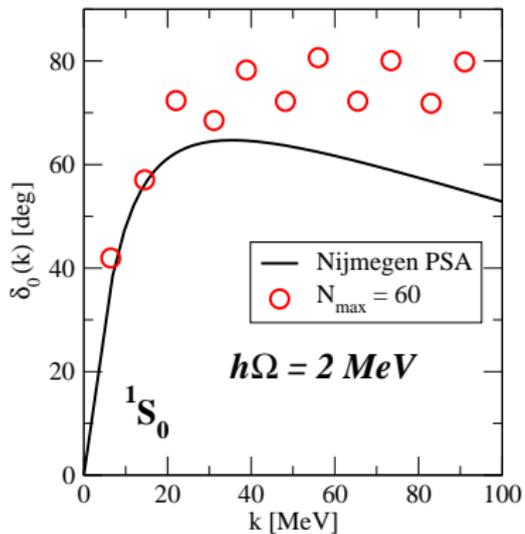
$$\tan \delta_l(E) = \frac{b}{a}$$

**NB: in the finite basis,  $E$  is a discrete eigenvalue!**



# LO pionless EFT

Results for phaseshifts:  $^1S_0$  channel

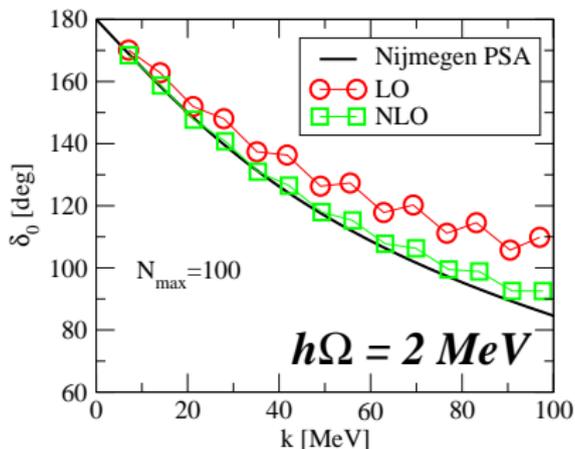


The coupling constant: fitted to reproduce the scattering length



# LO and NLO pionless EFT

$^3S_1$  channel



- Reproduces the deuteron binding energy and the scattering length
- The phaseshift curve smooths out



## New Approach to effective interactions in NCSM

### EFT-based method

- oscillations in the phaseshift curves
- $^1S_0$  channel fitting less accurate (and more involved)
- easier fit for  $N_{max}$  large and  $\hbar\Omega$  small

**Scattering information could be extracted, the quest for a better method continues**



# LS equation in a HO basis

- In continuum:

$$\langle p|T(E)|p'\rangle = \langle \vec{p}|V|\vec{p}'\rangle + \int d^3p'' \langle \vec{p}|V|\vec{p}''\rangle \frac{1}{E - p''^2/2\mu + i\epsilon} \langle \vec{p}''|T|\vec{p}'\rangle$$

(contact interaction: the integral has to be regularized)

- Inset a complete HO basis:

$$\hat{T} = \hat{V} + \hat{V}\hat{G}_0(E)\hat{T}$$

- In the truncated HO basis, solve for  $\hat{T}$ :

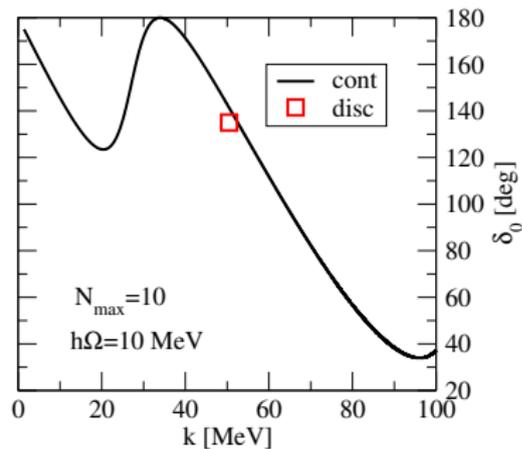
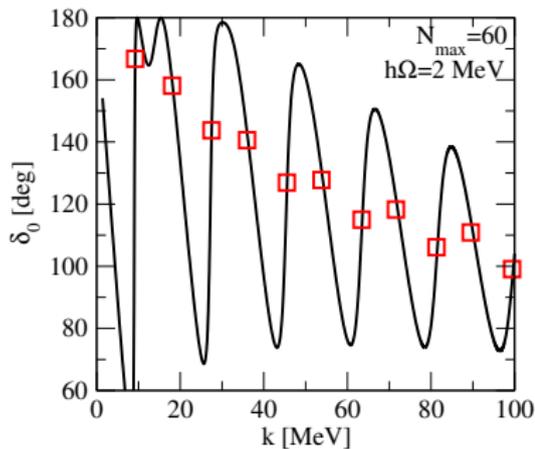
$$\hat{T} = \frac{1}{1 - \hat{V}\hat{G}_0(E)}\hat{V}$$

- Reconstruct the on-shell  $T$ -matrix, obtain the phasesift.



# Results

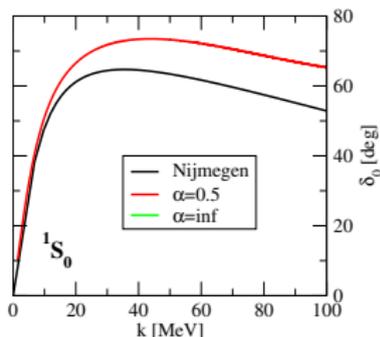
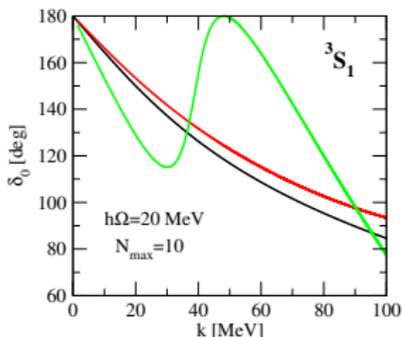
Leading order  ${}^3S_1$



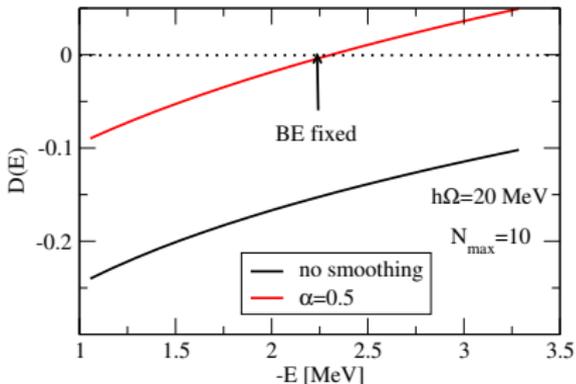
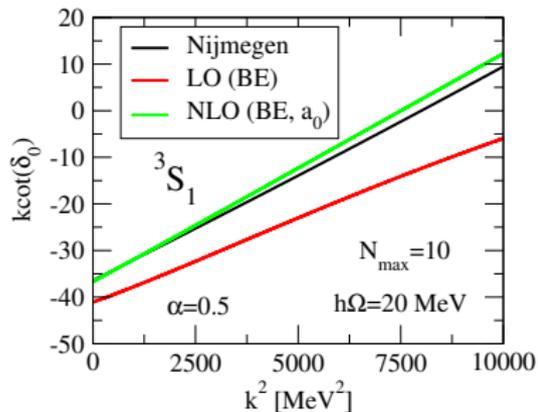
# Removing the Gibbs oscillations

$$V = \sum_{n,m} |m\rangle V_{mn} \langle n| \implies \sum_{m,n} \sigma_{mN_{max}}(\alpha) |m\rangle V_{mn} \langle n| \sigma_{nN_{max}}(\alpha)$$

$$\sigma_{nN_{max}}(\alpha) = \left( \frac{1 - \exp\{-[\alpha(2n - N_{max} - 1)/(N + 1)]^2\}}{1 - \exp(-\alpha^2)} \right)^{1/2}$$



# Improved description



# Conclusions and outlook

- Effective operators from unitary transformation:
  - good description of nuclear spectra
  - other operators implemented at the two-body cluster level
  - little effect for long-range operators (the rabbit grows more legs)
  - **good description of short-range operators**
  - applications to other problems in progress
  
- New approach to effective interactions
  - description of phaseshifts in finite  $L^2$  integrable basis: continuum and discrete approaches
  - removal of Gibbs oscillations introduces a new parameter (?)
  - better treatment of all the other operators (not discussed here)

