

# *ab initio* DFT for Nucleons and Hyperons

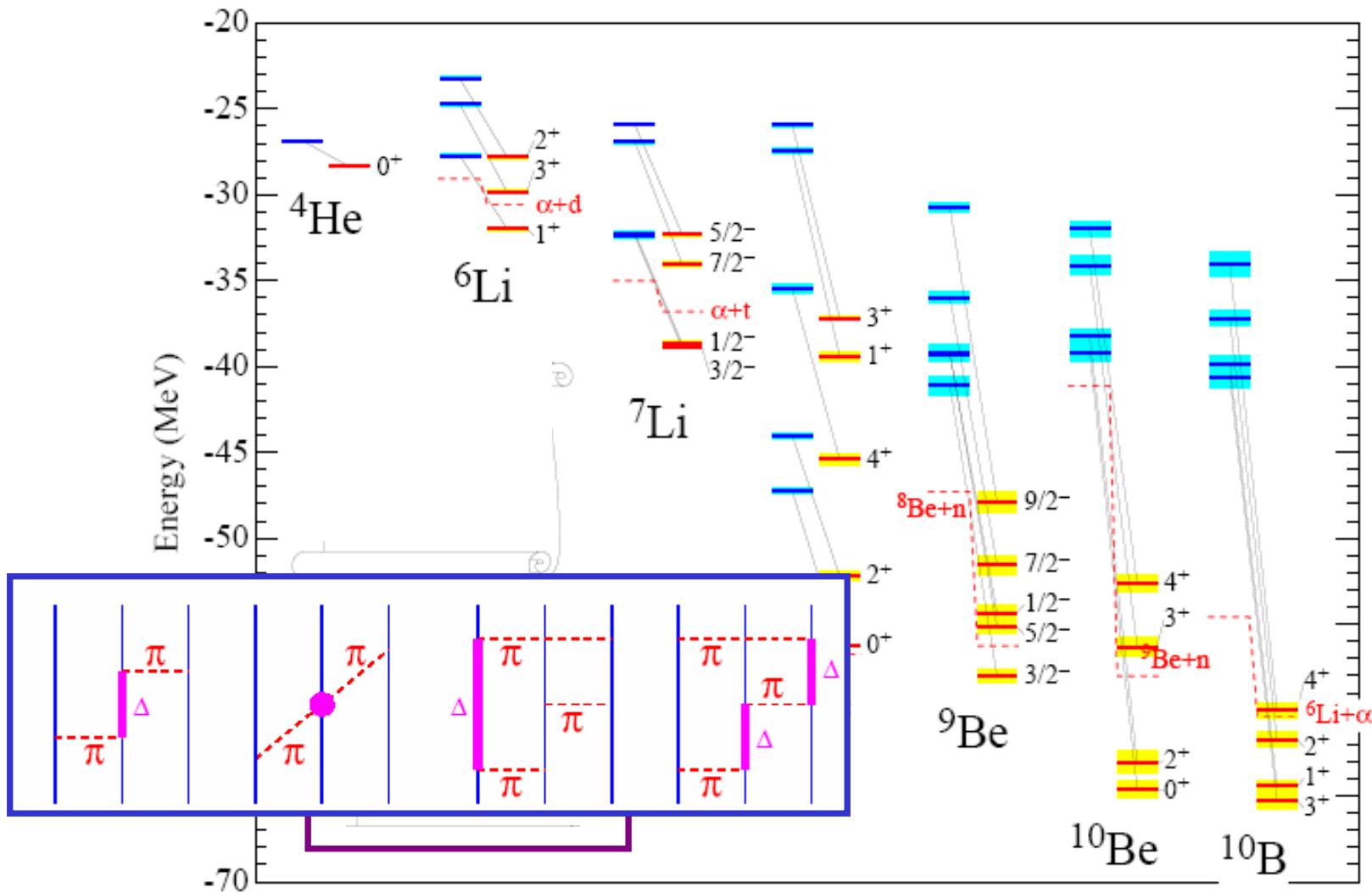
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## Content:

- Relativistic *ab initio* Approach: The DDRH Field Theory
- Interactions and Vertex Functionals
- Extension to SU(3): Hypernuclei and Neutronstars
- Summary

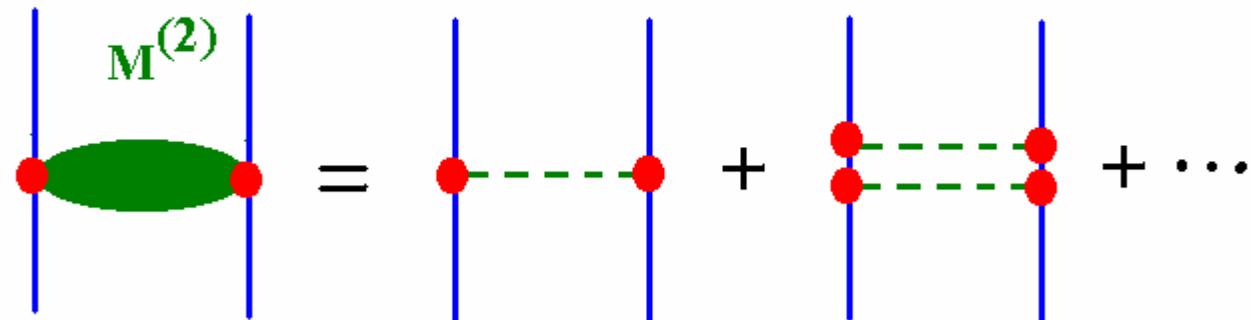
# New Impact on Nuclear Theory: *ab initio* Calculations



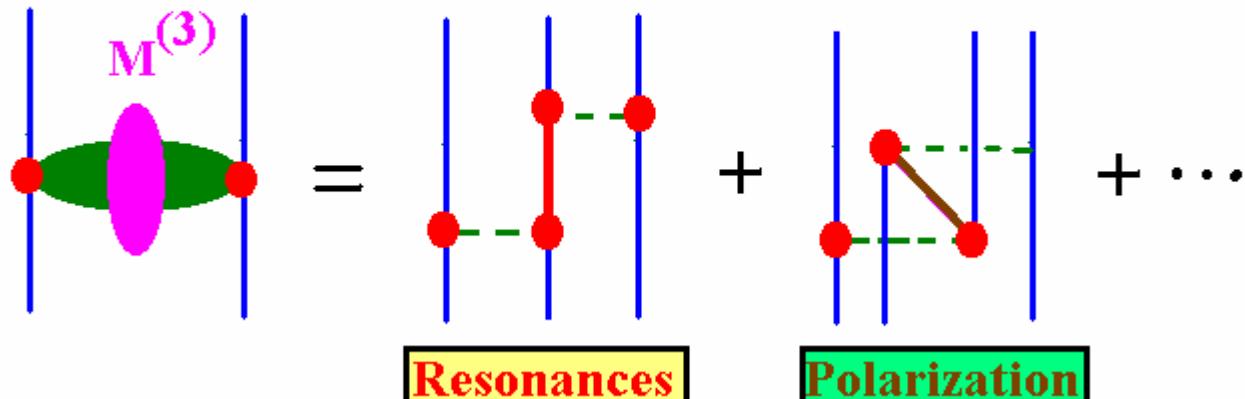
A=4-8 GFMC results: AV18 NN potential + Urbana 3-Body Forces

# In-Medium Interactions and *ab initio* Calculations

2-body Ladder (Brueckner) :

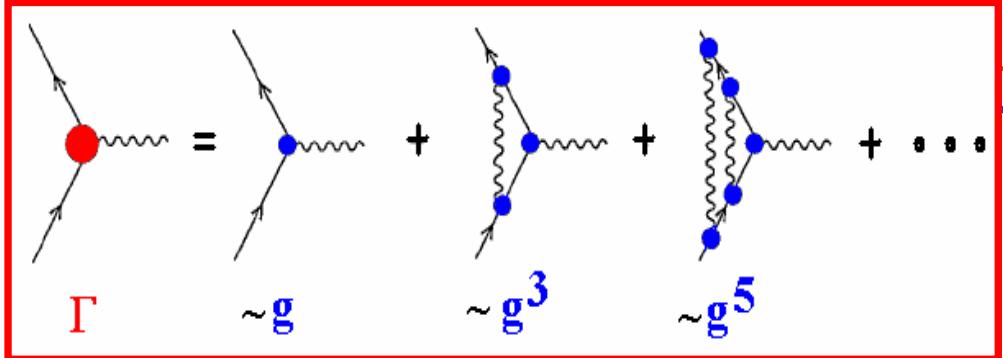
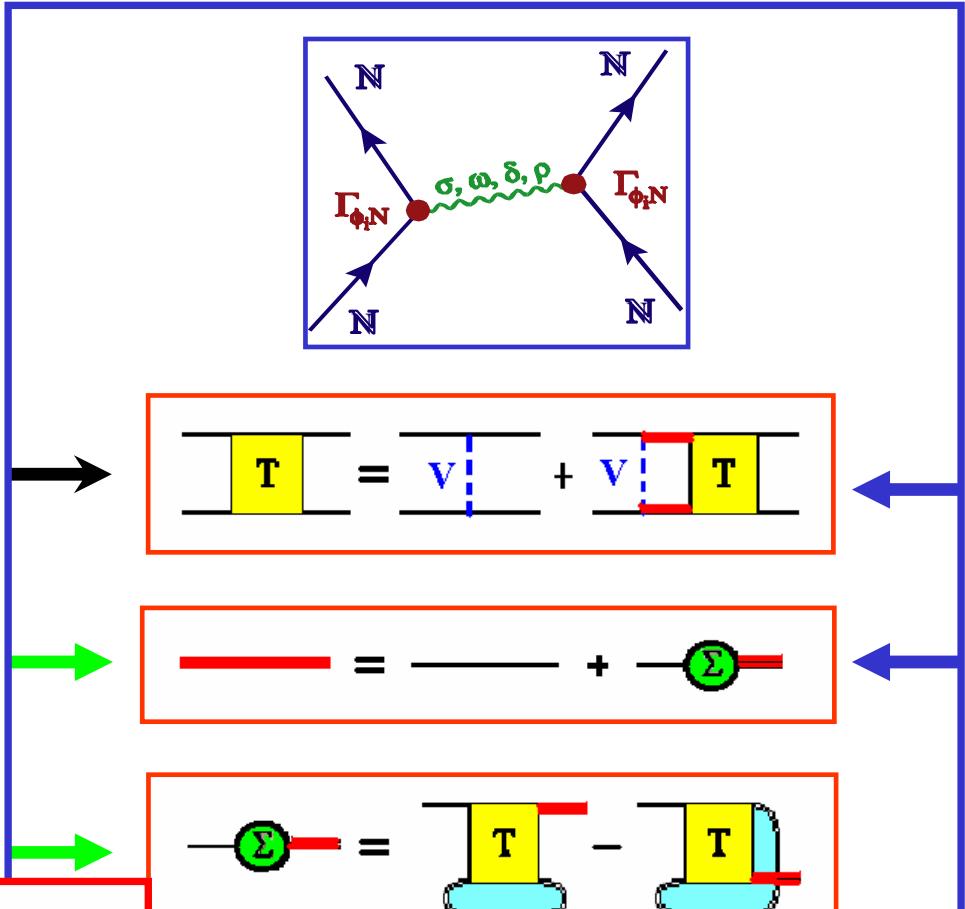


3-body interactions:



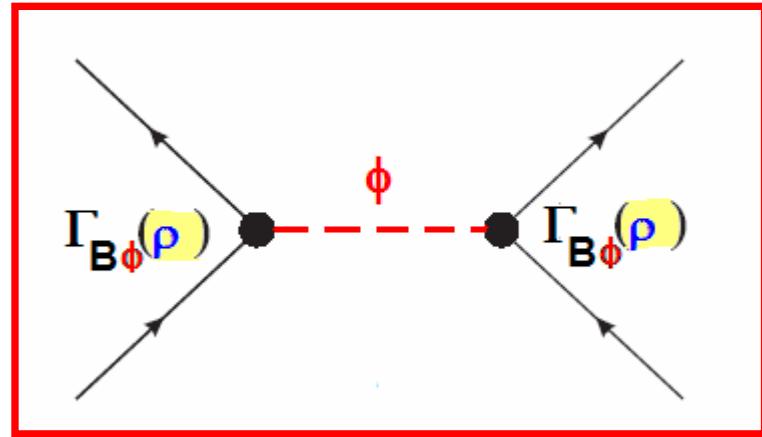
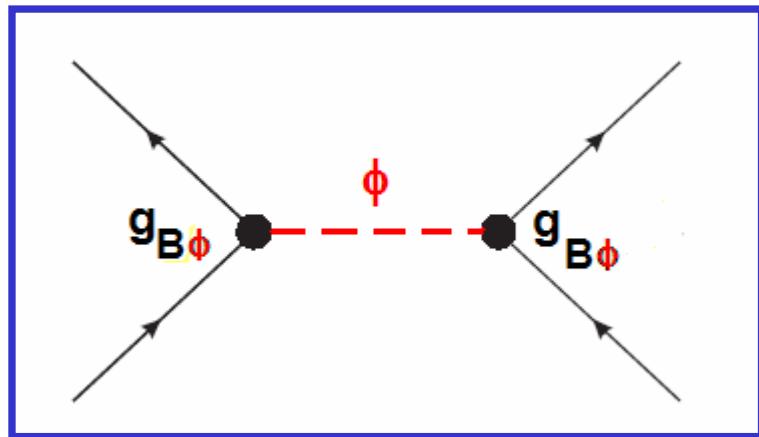
# Elements of an *ab initio* Relativistic Nuclear Field Theory

- Baryon-Baryon interactions by meson exchange
- free space and In-medium interactions from the Bethe-Salpeter equation (Ladder Kernel)
- In-medium effects - **statistical**: Pauli principle
- In-medium effects - **dynamical**: baryon self-energies
- **Self-Consistent** solution of **Dyson** and BS equations



S. Typel, H. Wolter, NPA 1999; P. Ring, PLB345 (1995), PRC52 (1995), G. H. PRC57 (1997), PRC64 (2001), PRC Springer Lecture Notes (2004)

# DDRH Flavour Dynamics:



## Free Space BB Interaction:

$$\mathcal{L}_{int} \sim g_B \Phi \bar{\Psi}_B \hat{\gamma}_\Phi \Psi_B \Phi$$

- Tree-Level Born Diagram

$$V_{BB'} \sim g_{B\phi} \bar{\Psi}_B \gamma_\Phi \Psi_B D_\phi(q) g_{B'\phi} \bar{\Psi}_{B'} \gamma_\Phi \Psi_{B'}$$

- Fix the coupling constants

## In-Medium BB Interaction:

$$\mathcal{L}_{int} \sim \Gamma_{B\phi}(\hat{\rho}) \bar{\Psi}_B \hat{\gamma}_\Phi \Psi_B \Phi$$

- Resummation:

$$V_{BB'} \sim \Gamma_{B\phi}(\rho) \bar{\Psi}_B \gamma_\Phi \Psi_B D_\phi(q) \bar{\Psi}_{B'} \gamma_\Phi \Psi_{B'} \Gamma_{B'\phi}(\rho)$$

- Vertex Renormalization

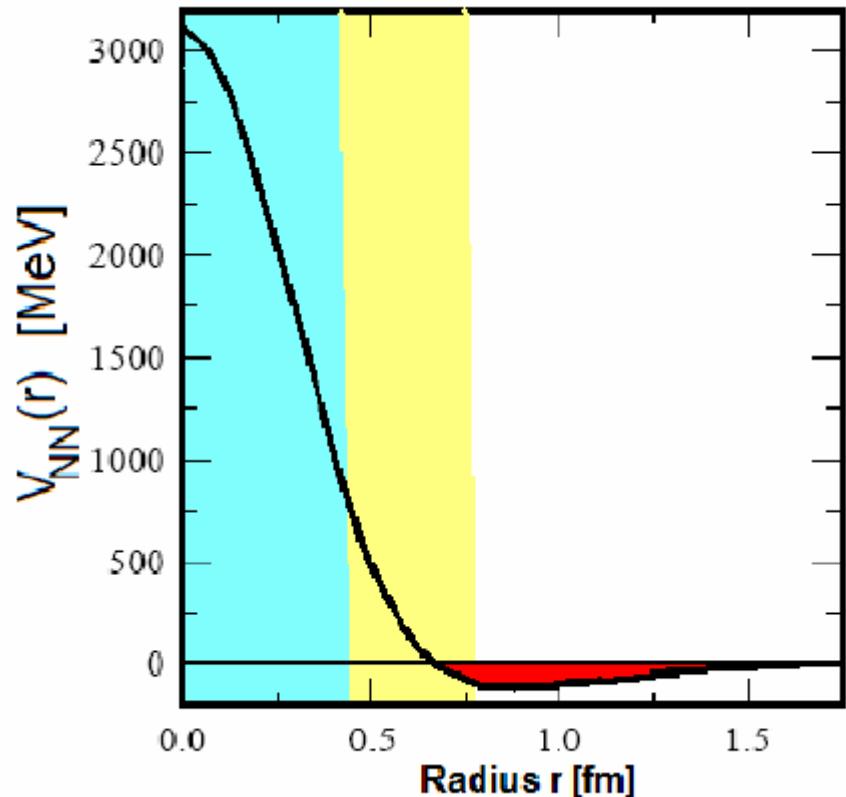
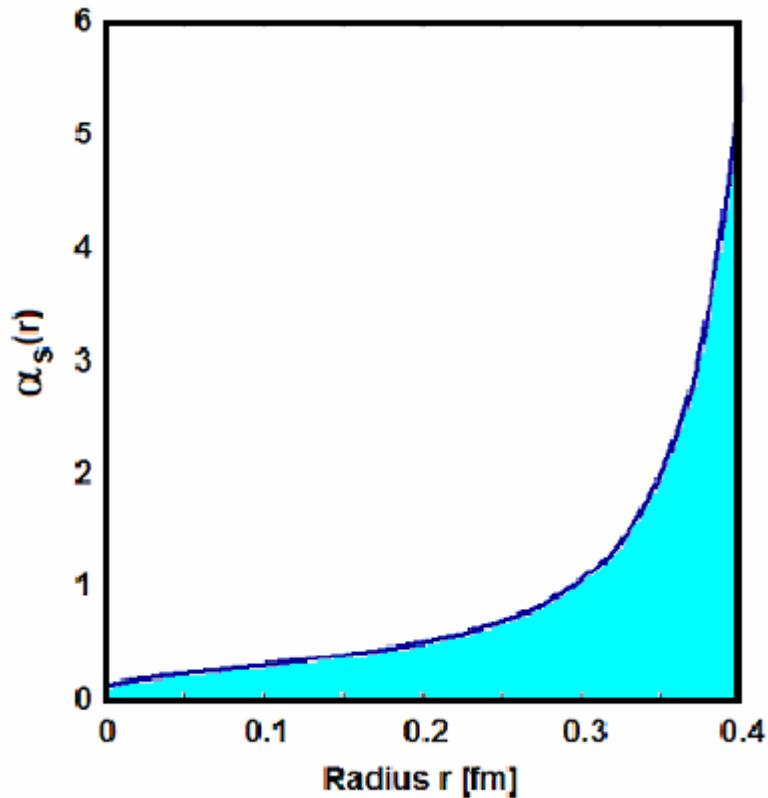
# *ab initio* Density Dependent Hadron Field Theory: The DDRH Lagrangian

$$\begin{aligned}
 \mathcal{L}_B &= \bar{\Psi} [i\gamma_\mu \partial^\mu - M] \Psi \\
 \mathcal{L}_M &= \frac{1}{2} \sum_{i=\sigma,\delta,\pi,\eta} (\partial_\mu \Phi_i \partial^\mu \Phi_i - m_i^2 \Phi_i^2) - \\
 &\quad \frac{1}{2} \sum_{\kappa=\omega,\rho,\gamma} \left( \frac{1}{2} F_{\mu\nu}^{(\kappa)} F^{(\kappa)\mu\nu} - m_\kappa^2 A_\mu^{(\kappa)} A^{(\kappa)\mu} \right) \\
 \mathcal{L}_{int} &= \bar{\Psi} \boxed{\hat{\Gamma}_\sigma(\hat{\rho})} \Psi \Phi_\sigma - \bar{\Psi} \boxed{\hat{\Gamma}_\omega(\hat{\rho})} \gamma_\mu \Psi A^{(\omega)\mu} + \\
 &\quad \bar{\Psi} \boxed{\hat{\Gamma}_\delta(\hat{\rho})} \tau \Psi \Phi_\delta - \bar{\Psi} \boxed{\hat{\Gamma}_\rho(\hat{\rho})} \gamma_\mu \tau \Psi A^{(\rho)\mu} - \\
 &\quad \bar{\Psi} \boxed{\hat{\Gamma}_\eta(\hat{\rho})} \gamma_5 \Psi \Phi_\eta - \bar{\Psi} \boxed{\hat{\Gamma}_\pi(\hat{\rho})} \gamma_5 \gamma_\mu \tau \Psi \partial^\mu \Phi_\pi - \\
 &\quad e \bar{\Psi} \hat{Q} \gamma_\mu \Psi A^{(\gamma)\mu} .
 \end{aligned}$$

- Covariance of field equations
- Thermodynamical consistency
- Systematic Expansion

- Density Dependent Vertices
- Static Polarization Self-Energies
- Nuclei and Hypernuclei

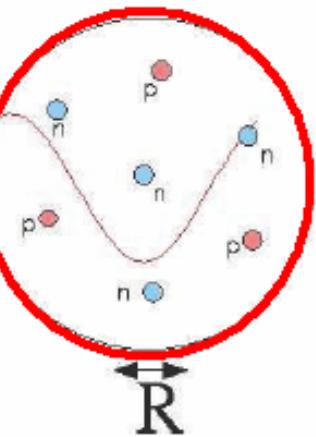
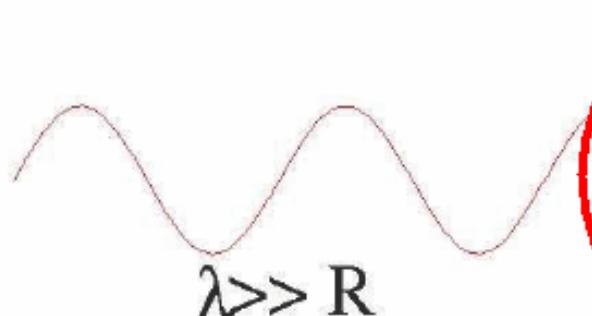
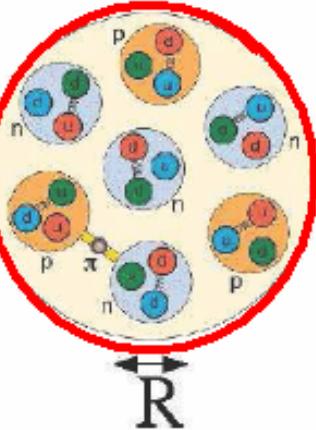
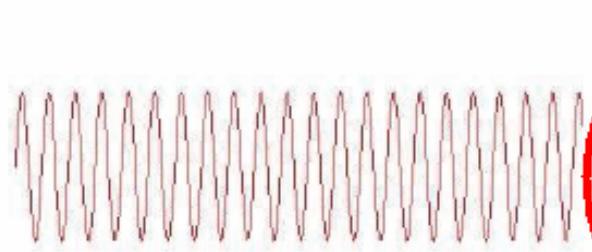
# QCD in Low-Energy Nuclear Physics?



$r \sim \hbar/Q :$   
 $\alpha_s(Q) \rightarrow \alpha_s(r)$

NN Potential (V18)  
SE Channel

# Effective Field Theories



QCD sub-nuclear sector:

$$\Lambda_{\text{QCD}} \sim 300 \text{ MeV}$$

Separating Scales:  
Integrating out high  
momenta!

Hadronic/Nuclear sector:

$$k_F = 0 \dots 260 \text{ MeV}/c \dots$$

- **DDRH Theory:** systematic treatment of the Nuclear Scale  $k_F$
- $R_\text{EFT}$  approach to create a low- $k$  interaction vertex

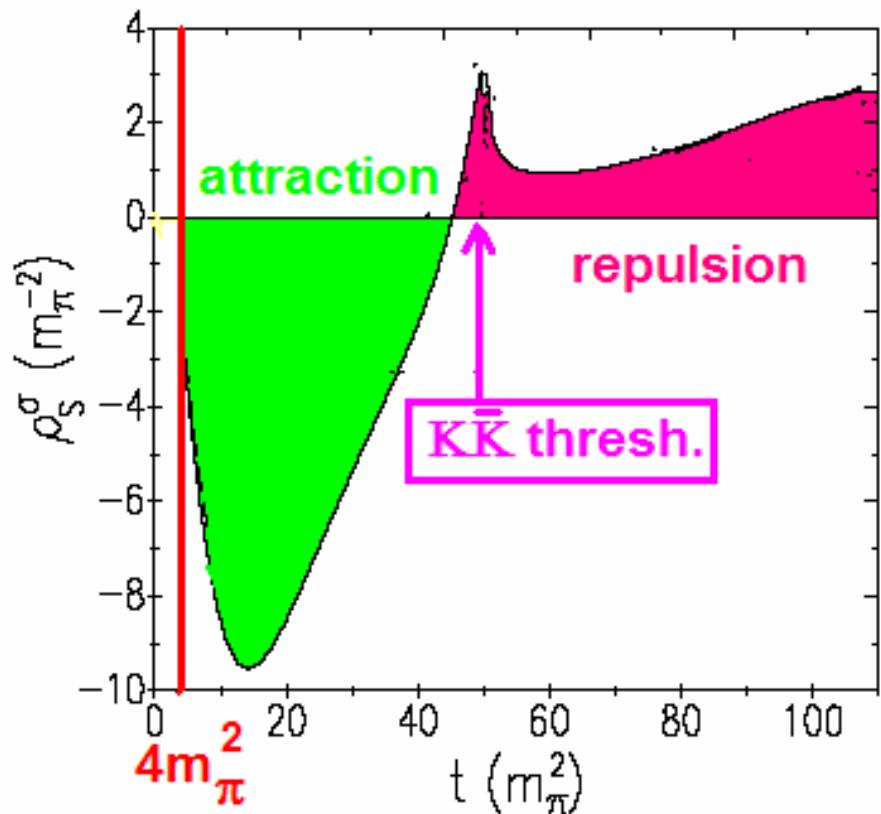
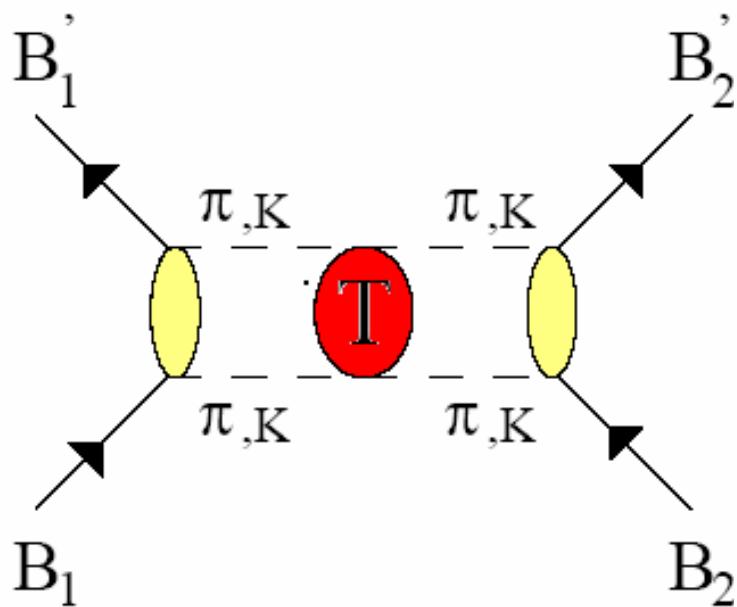
# Meson Exchange and Spectral Functions ( $t=(\mathbf{p}_1 - \mathbf{p}'_1)^2$ )

$$V_{B'_1, B'_2; B_1, B_2}^{(0^+, 1^-)}(t) \sim \int_{4m_\pi^2}^{\infty} dt' \frac{\rho_{B'_1, B'_2; B_1, B_2}^{(0^+, 1^-)}(t')}{t' - t}, \quad t < 0$$

$$V_{B'_1, B'_2; B_1, B_2}^{(0^+)}(t) \sim G_{B'_1, B'_2; B_1, B_2}^{(0^+)}(t) \frac{1}{m_\sigma^2 - t}$$

Coupling Constants/Vertex Factors  
including Formfactors!

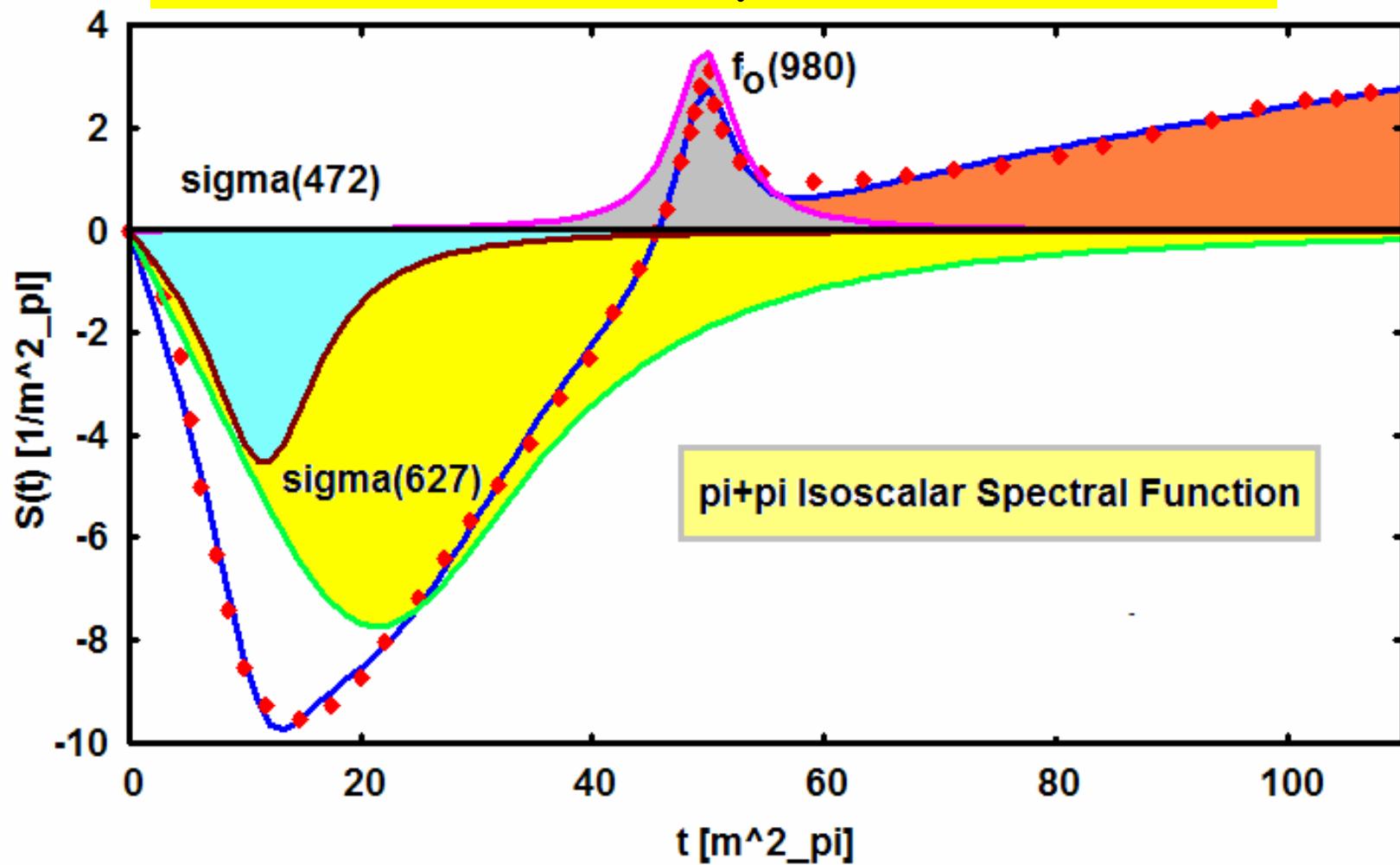
# Meson Exchange and Spectral Functions



Correlated 2-Meson Exchange  
Pions and Kaons

Correlated 2-Meson Spectral  
Function

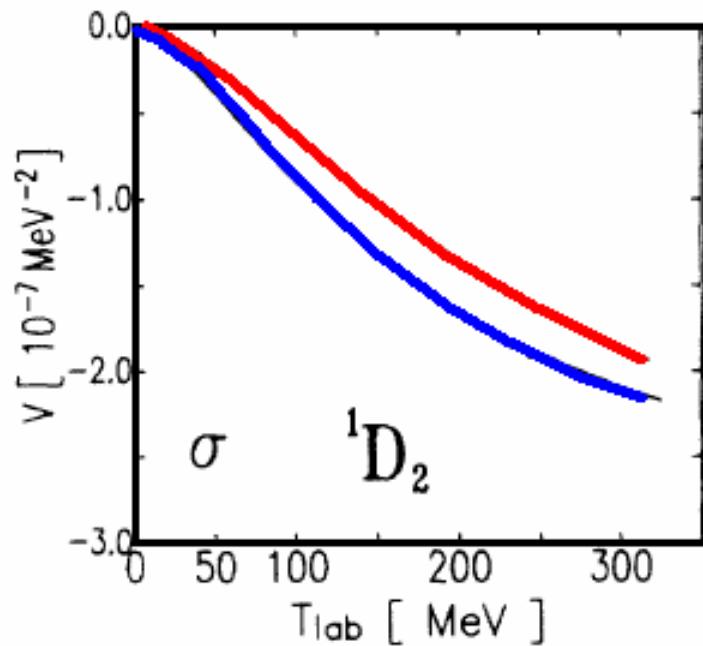
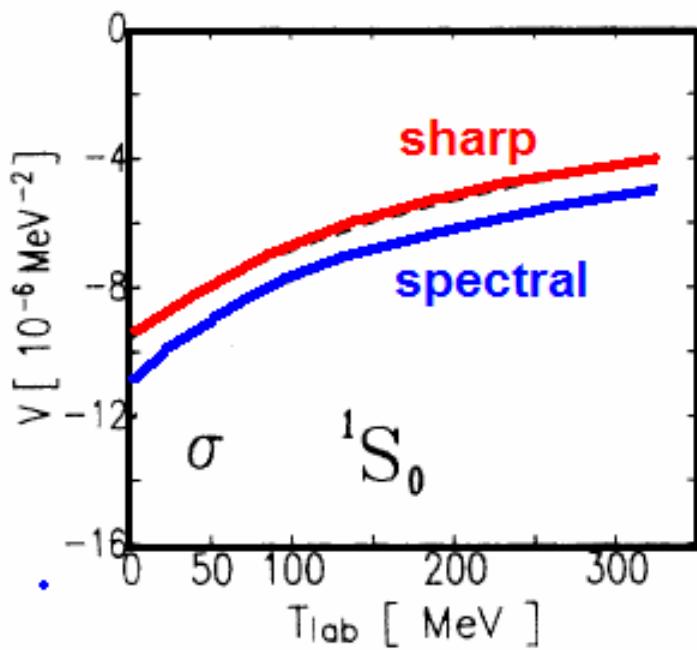
## Two-Pion Scalar Spectral Functions



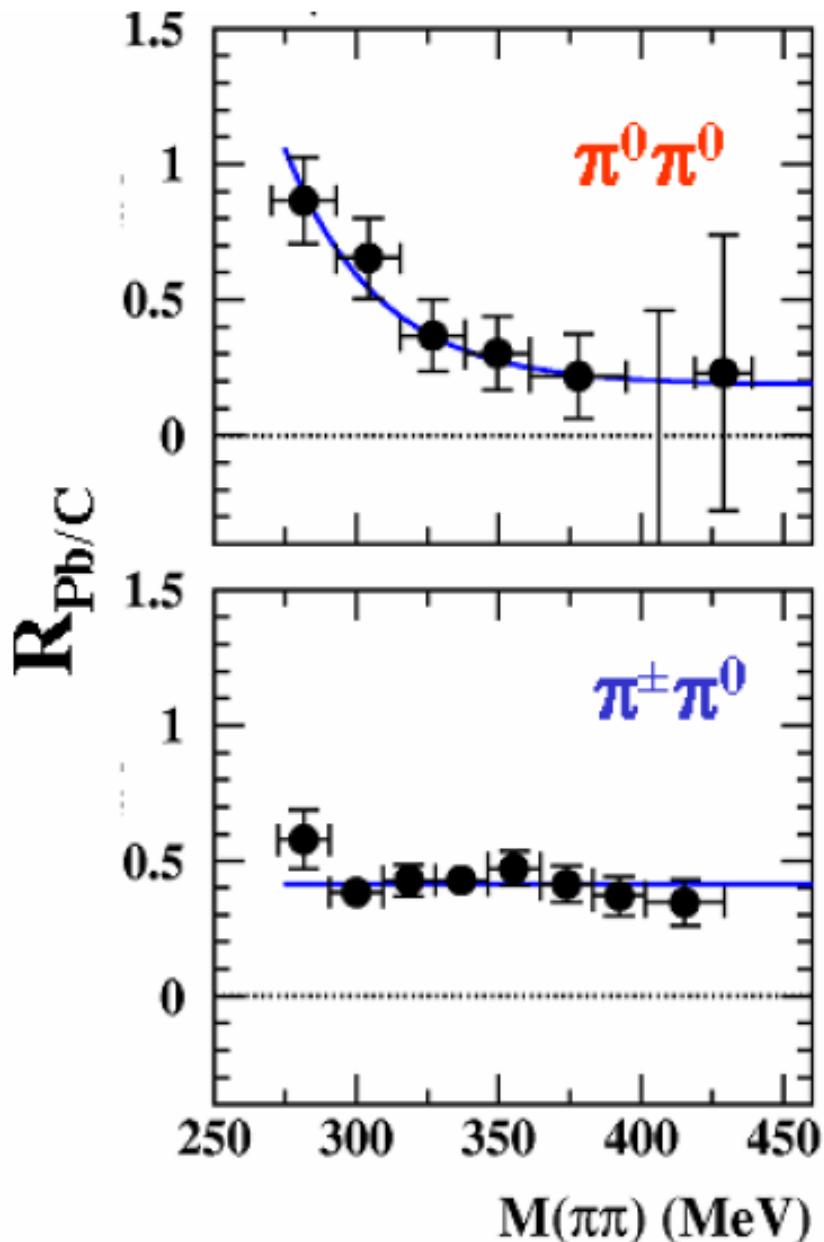
Superposition of relativistic Breit-Wigner distributions:

$$S_m(t) = \frac{\alpha_m}{m^2} \Im \left( \frac{m}{m-t-im\Gamma} \right)$$

## On-Shell Momentum Space Potentials (Born Terms, single „sigma(550)“)



# In-Medium Change of the Neutral 2-pion Channels



Ratio to Production X-section  
on a Nucleon in Free Space  
MAMI-B/TAPS-data for  
Photoproduction on C and Pb

J. Messchendorp et al.,  
Phys.Rev.Lett. 89 (2002) 222302.

# DDRH Theory: The practical Side

- Lorentz-scalar bilinears of the field operators  $\Psi_B, \Phi, V \dots :$

$$\Gamma_m(\rho) \sim a_{ms} \rho_s + a_{mv} j_\mu j^\mu + b_{ms} \Phi_s + b_{mv} V_\mu V^\mu + \dots$$

- baryon fields are the dynamical variables
- expansion around a static, classical solution:

$$\Gamma_m(\rho) = \Gamma_m(<0| \rho |0>) + C_m(\rho)$$

- definition of the ground state:  $<0| C_m(\rho) |0> \equiv 0$
- DDRH Theory: Vertices from DBHF theory
- Empirical approaches: Typel et al., Ring&Vretenar et al., ...
- thermodynamical consistency  $\Leftrightarrow$  rearrangement self-energies

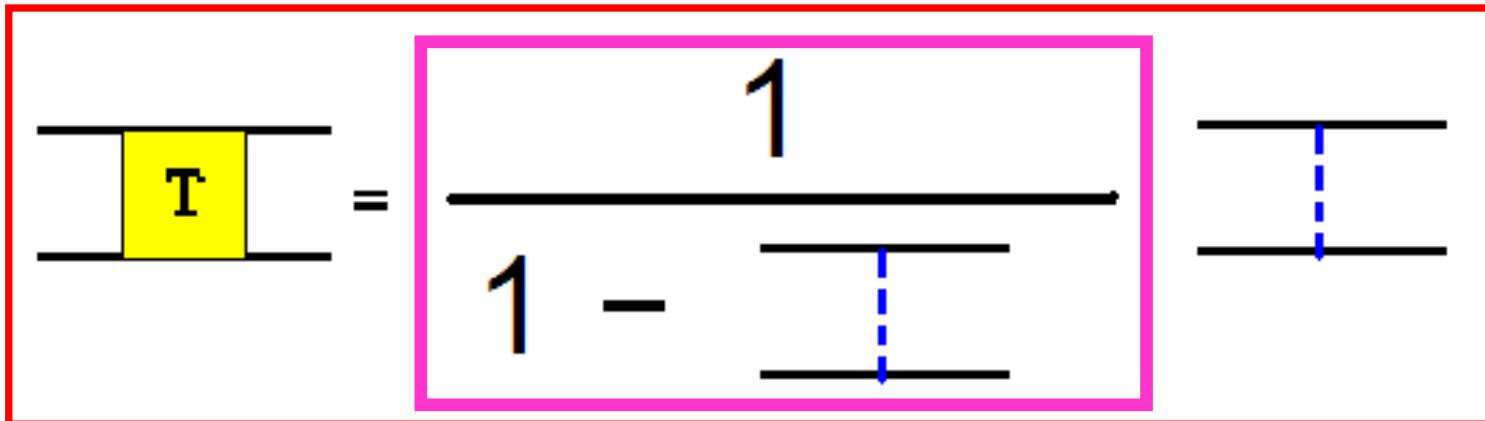
DDRH theory: *ab initio* approach  
to In-medium Interactions

Rearrangement  
self-energies

# Practical DDRH Theory: Analytic Model for Vertex Renormalization

- Ansatz:  $K(q, q_s | k_F) \sim \sum_m z_m(q_s | k_F) V_m(q, q_s)$
- $\sum_m (V_{qm}(q_s) - C_{qm}(q_s | k_F)) z_m(q_s | k_F) = V_q(q_s)$
- Correlation Integral:

$$C_{qm}(q_s | k_F) = P \int dk \ k^2 V(q, k) g(k, q_s, k_F) Q_F V_m(k, q_s)$$



## Density Dependence of the Vertices: (Averaged over the Fermi Sphere)

$$\Gamma^2(k_F) / g^2 \sim <\chi_m(q, k_F)> \sim z(k_F/m):$$
$$\gamma = (2/\pi)(gM/m)^2, \quad x = k_F/m \rightarrow 0:$$

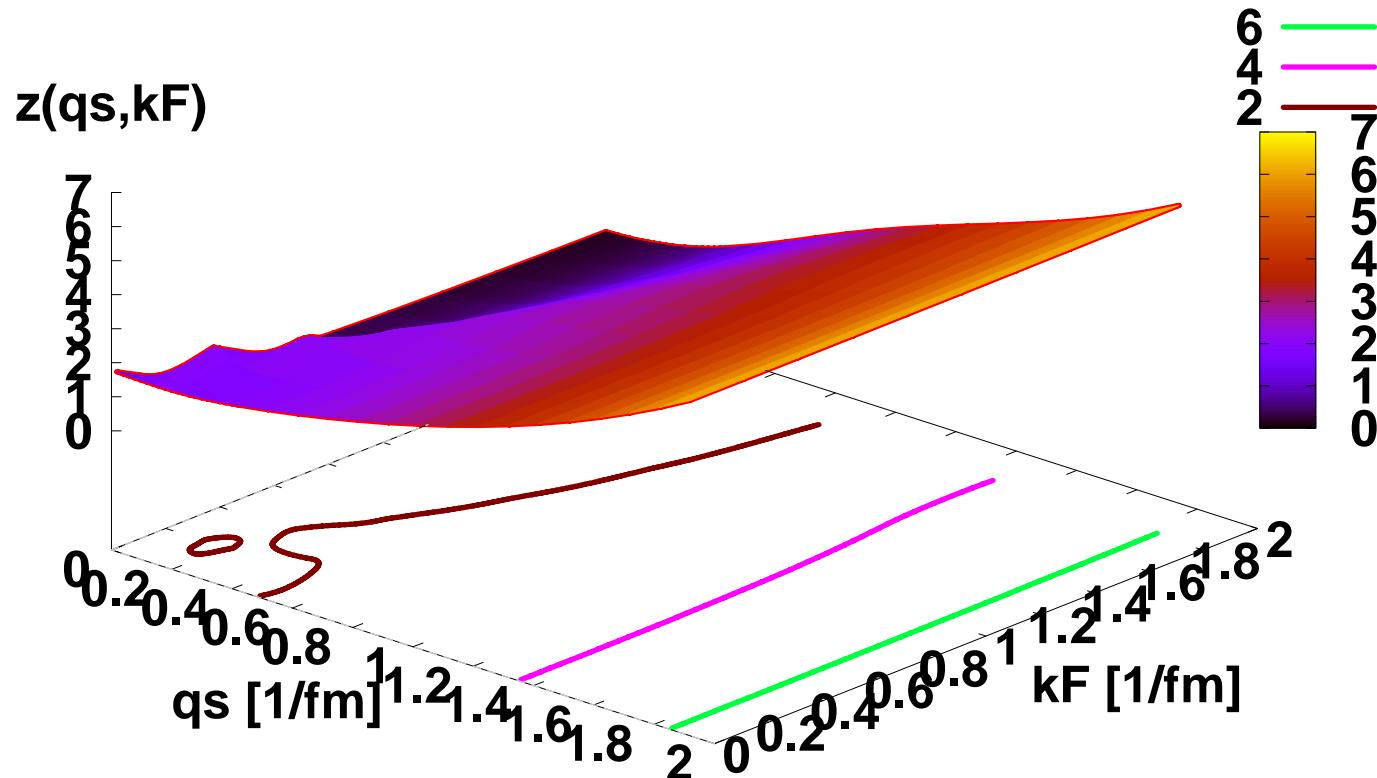
$$z(x) \sim$$

$$\left( 1 - \frac{15}{2} \frac{\gamma}{1 + \gamma \pi/4} x + \frac{3}{80} \frac{\gamma (64\pi + 16\gamma\pi^2 + 375\gamma)}{(1 + \gamma\pi/4)^2} x^2 \right) \frac{1}{1 + \gamma\pi/4} + O(x^3)$$

Scales for In-Medium Vertices:  
Fermi-Momentum  $k_F$  and Meson Mass  $m$

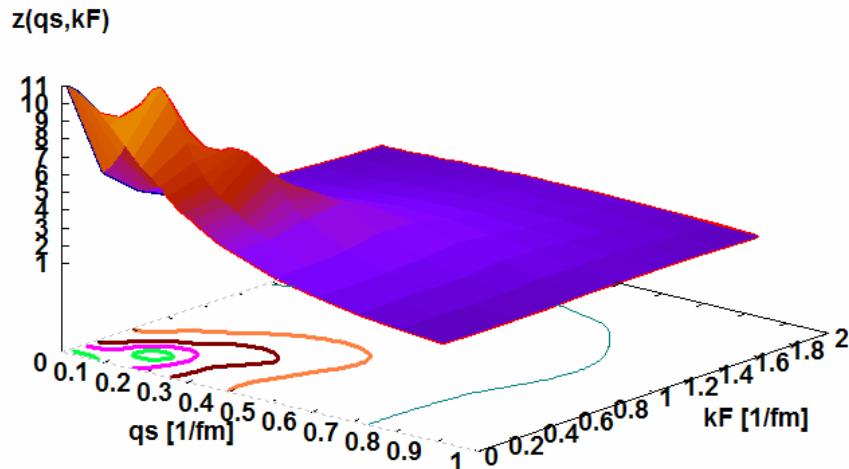
# Vertex Renormalization in Nuclear Matter Pions

## In-Medium Meson-Nucleon Vertex Scaling

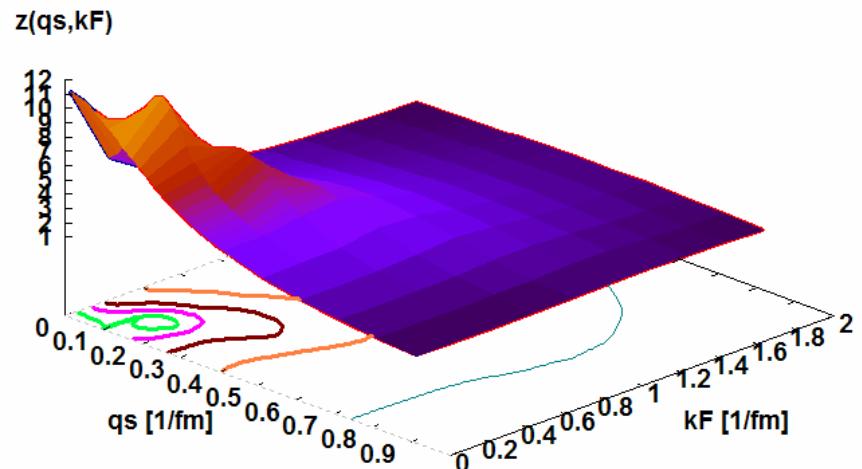


# Vertex Renormalization in Nuclear Matter

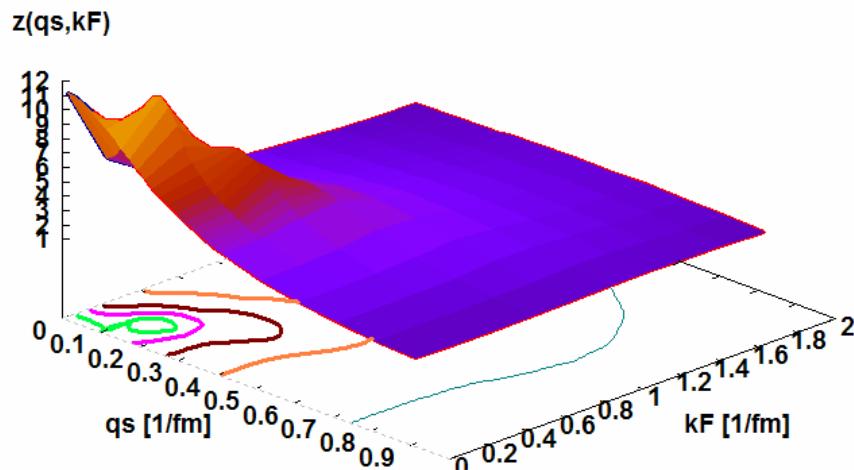
In-Medium Meson-Nucleon Vertex Scaling  
sigma



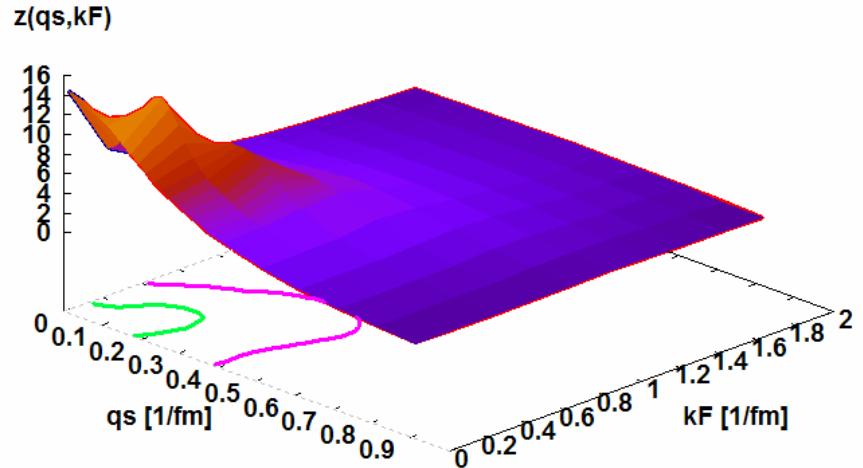
In-Medium Meson-Nucleon Vertex Scaling  
omega



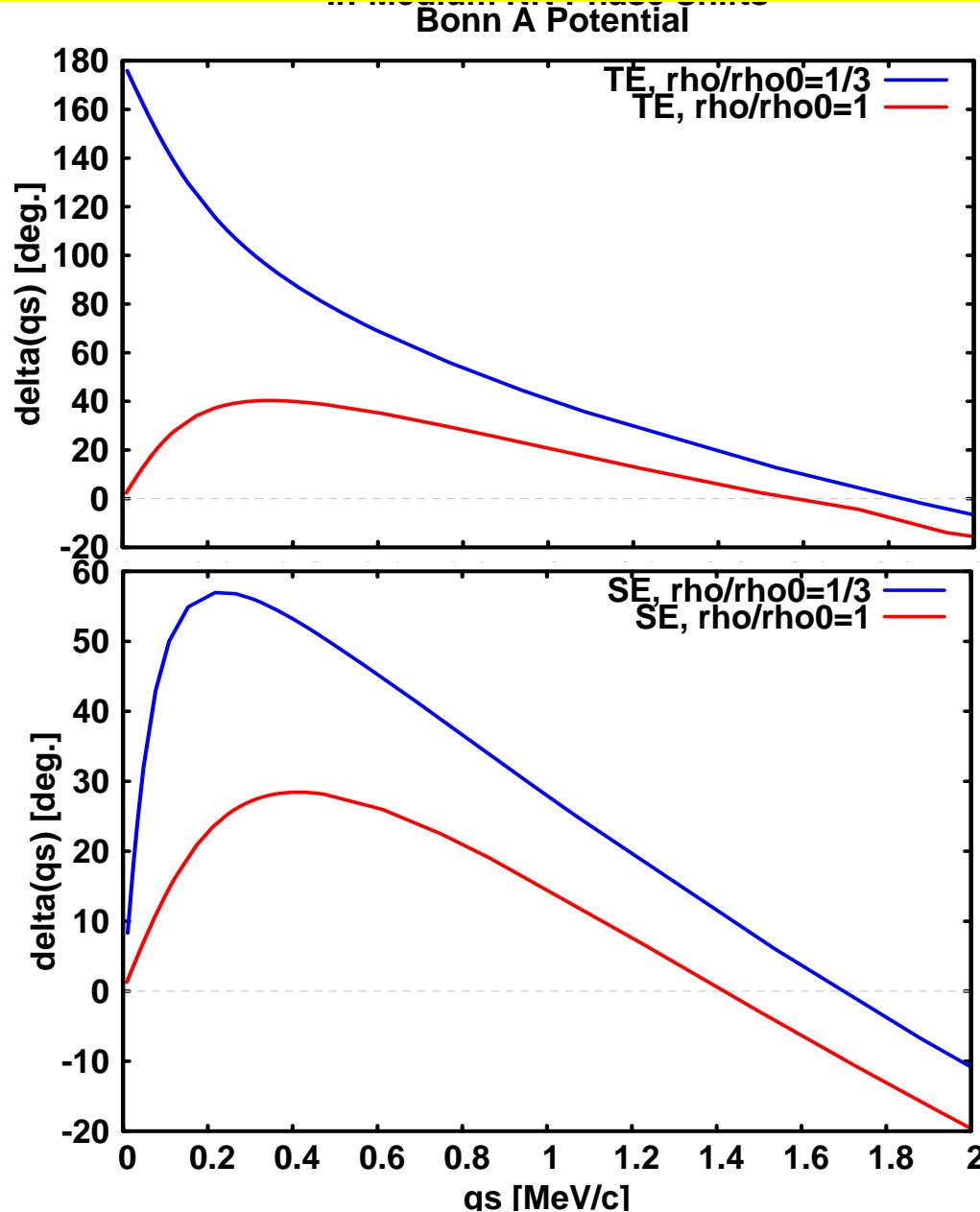
In-Medium Meson-Nucleon Vertex Scaling  
delta



In-Medium Meson-Nucleon Vertex Scaling  
rho



# NN-Interactions in Nuclear Matter

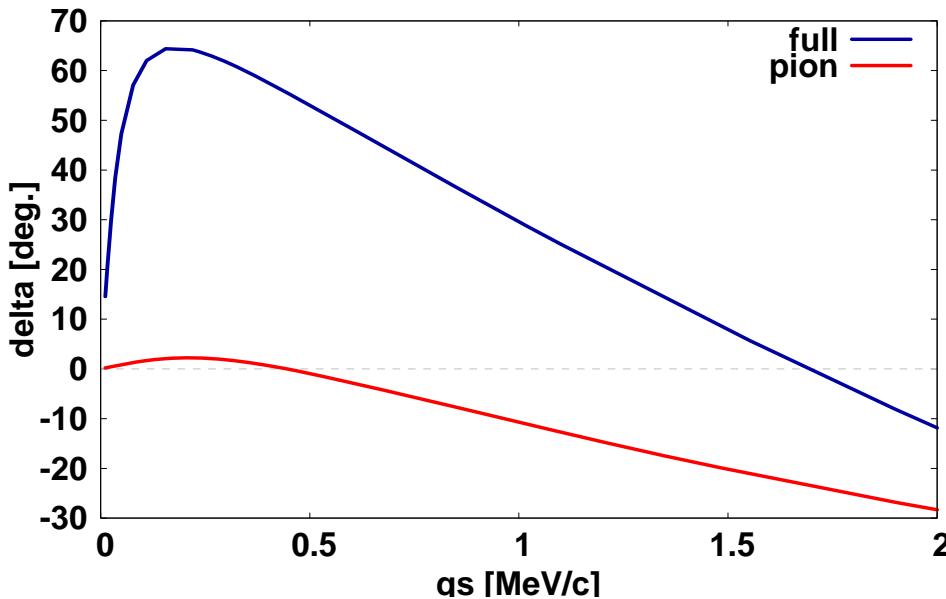


TE  
( $L=0, S=1$ )

SE  
( $L=0, S=0$ )

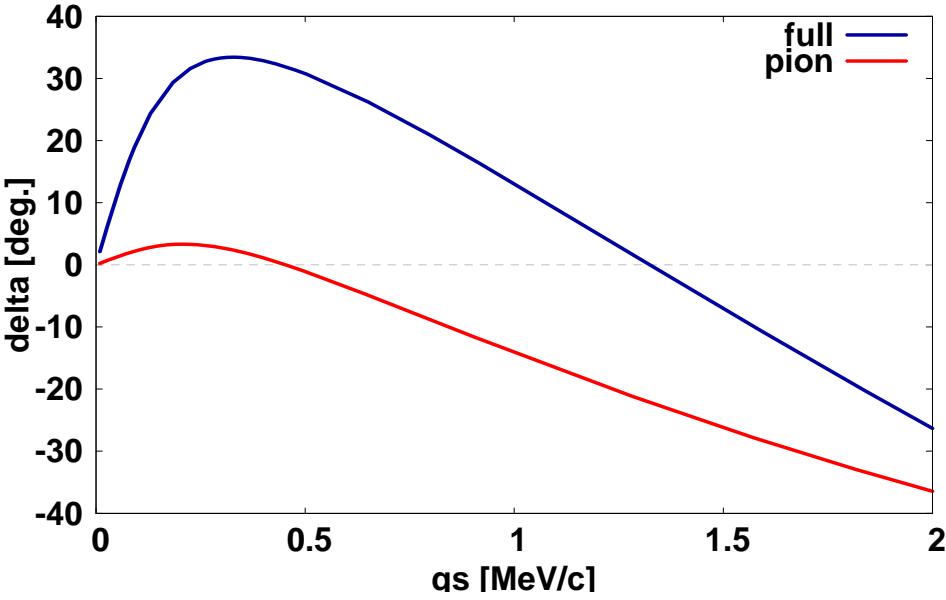
# Short and Long Range NN-Interactions

Free Space SE NN Phase Shifts - Bonn B Potential



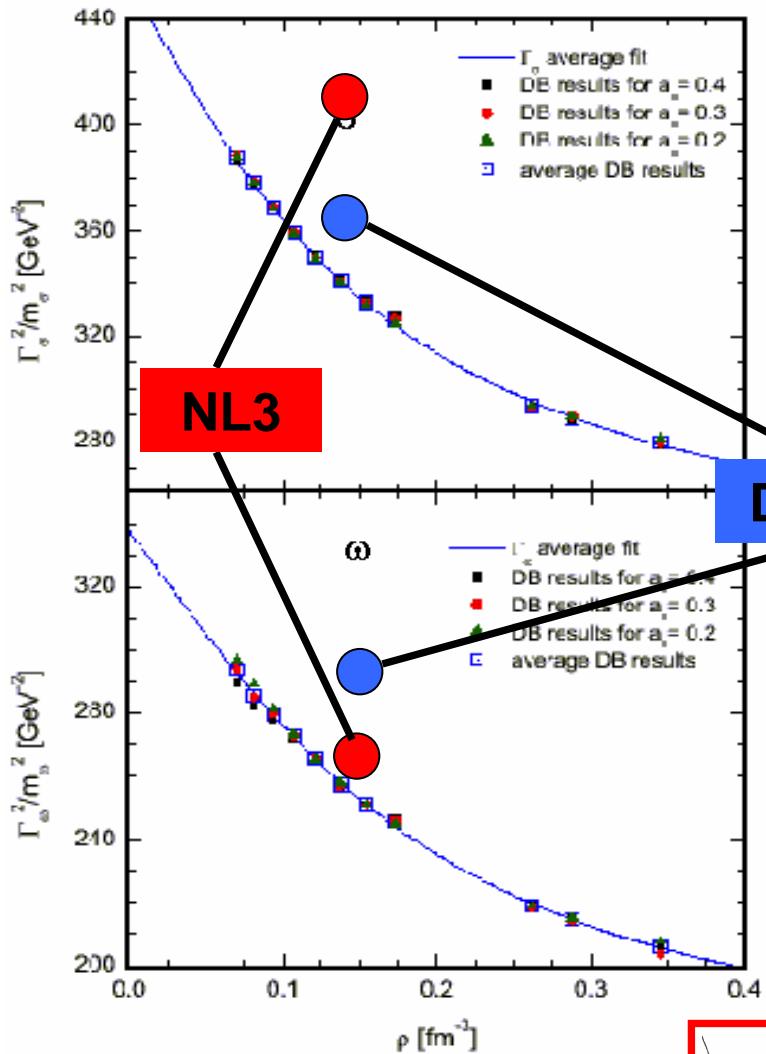
Free space SE phase shifts:  
Full Bonn B vs. pion

In-Medium SE NN Phase Shifts - Bonn B Potential

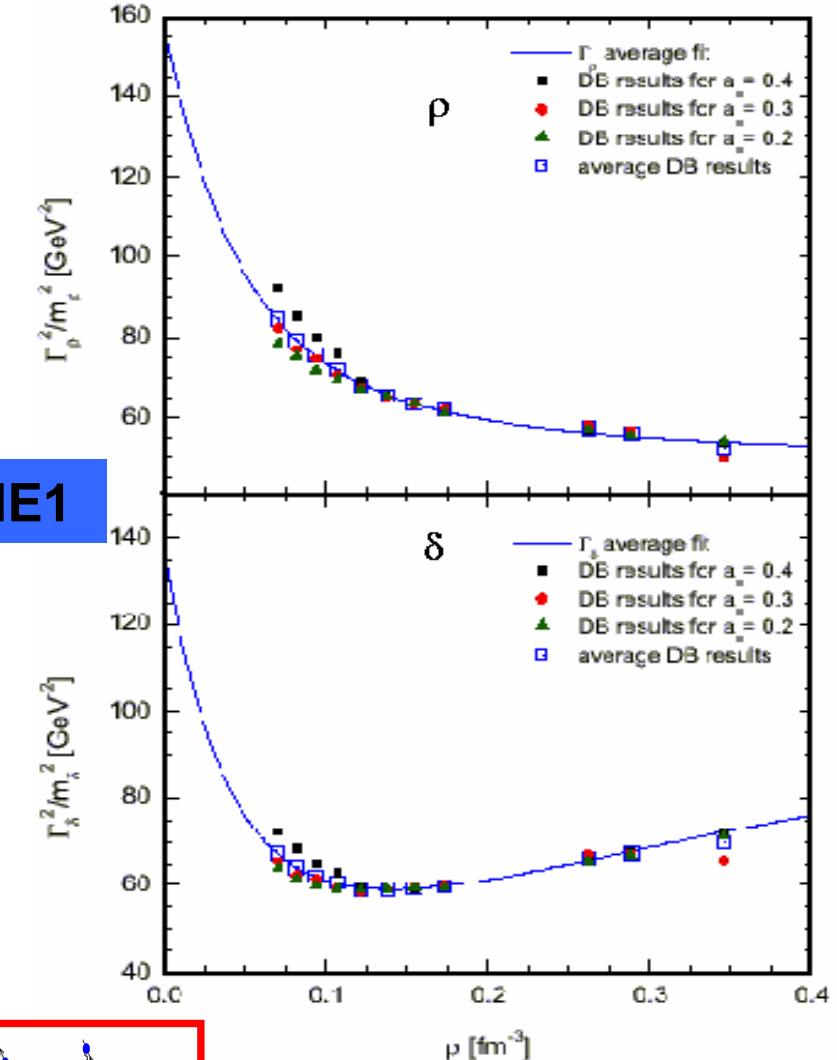
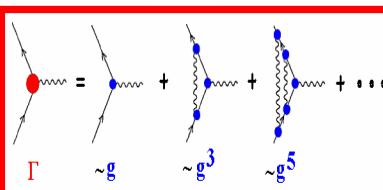


In-Medium SE phase shifts:  
Full Bonn B vs. pion

# Nuclear Matter DBHF Vertices (Groningen NN-Potential)

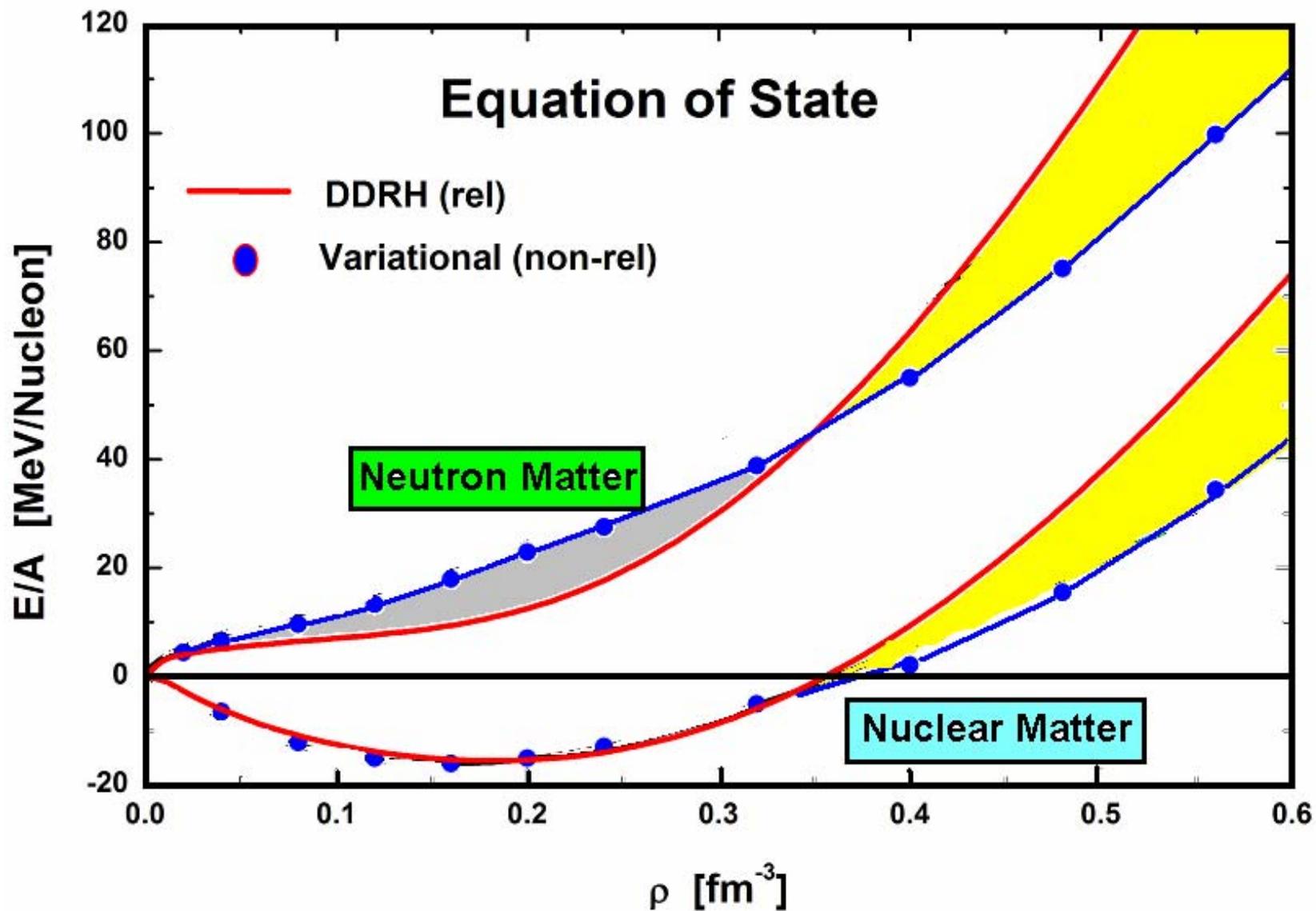


Isoscalar Vertices

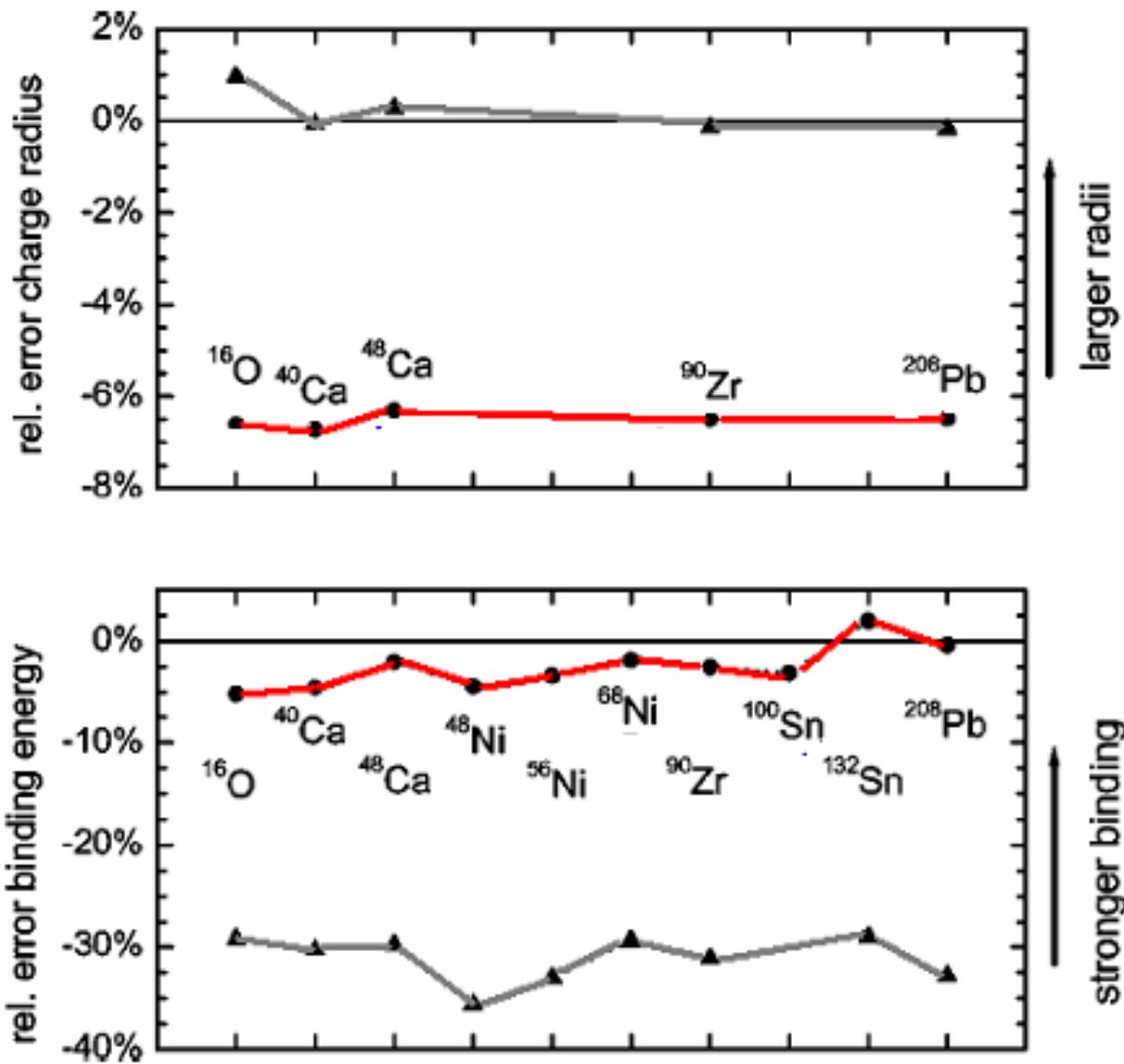


Isovector Vertices

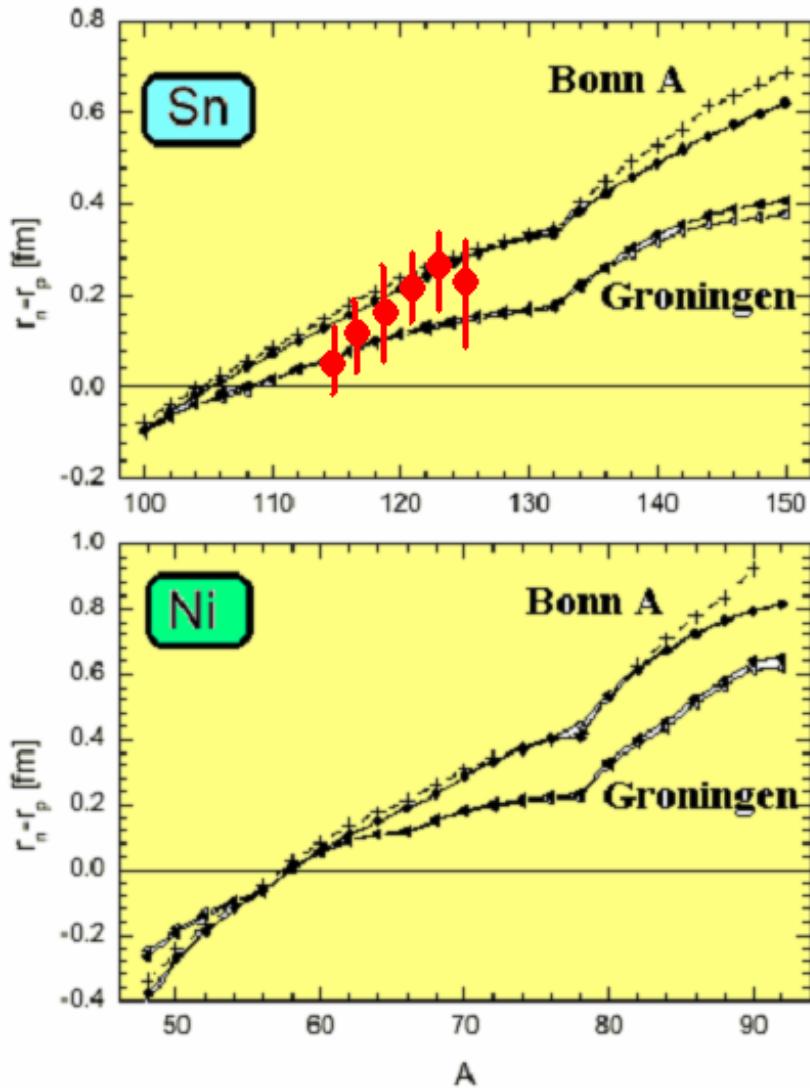
# The EoS: DDRH Dirac-Brueckner vs. Urbana V18+UIX



# Binding Energies and Charge Radii



# Neutron Skins in Ni and Sn Isotopes



## DDRH RMF-Calculations

Dirac-Brueckner In-Medium Vertices  
Bonn-A and Groningen NN-Potentials

### Neutron Skin and Symmetry Energy:

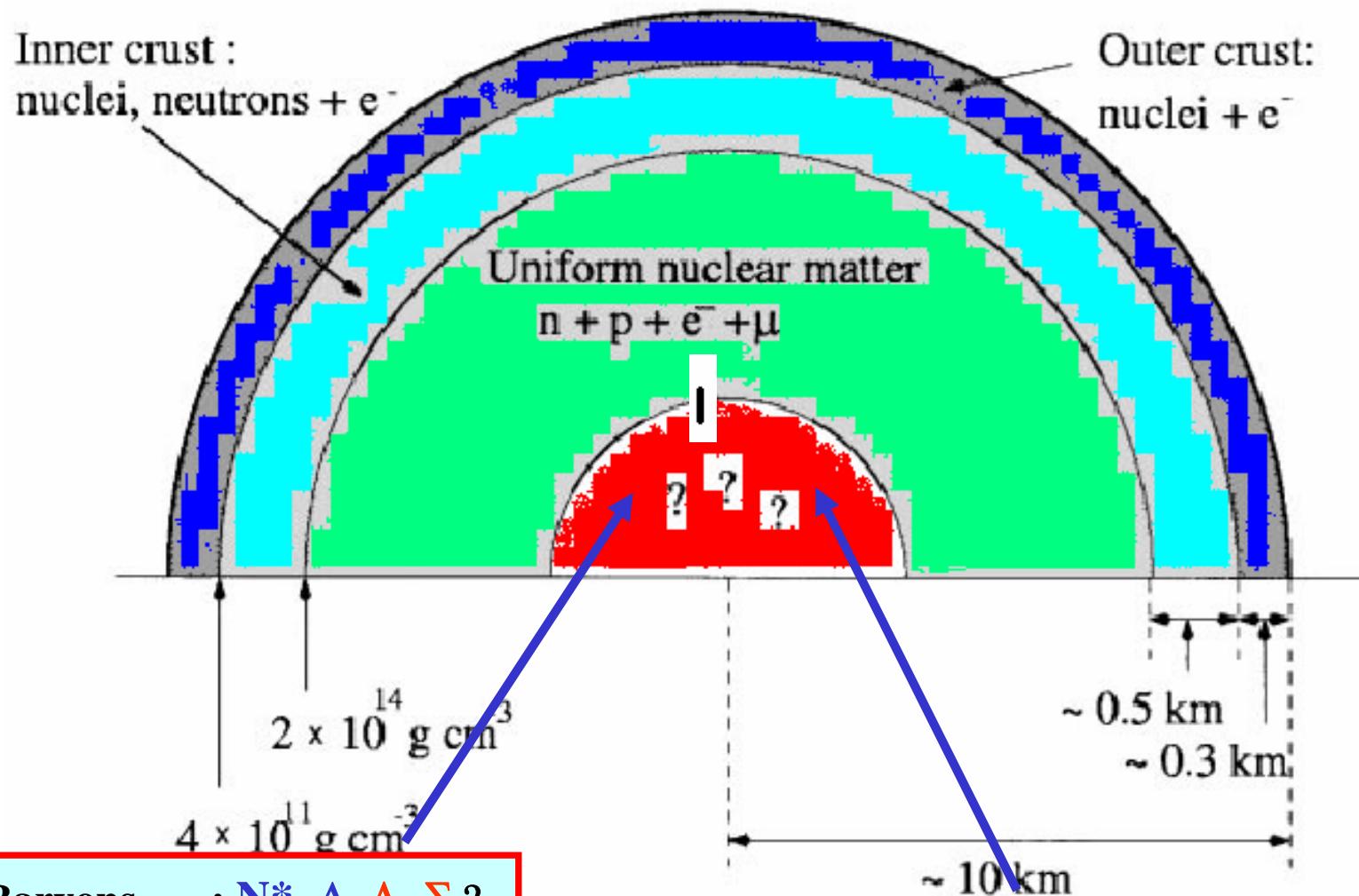
Bonn A :  $a_4 = 32$  MeV

Groningen :  $a_4 = 26$  MeV

Sn Data: Krasnahorkay et al. PRL 82 (1999) 3216  
(from Charge Exchange Spin-Dipole sum rules)

F. Hofmann et al., PR C64 (2001)  
N. Tsoneva. H.L., PLB586 (2004)

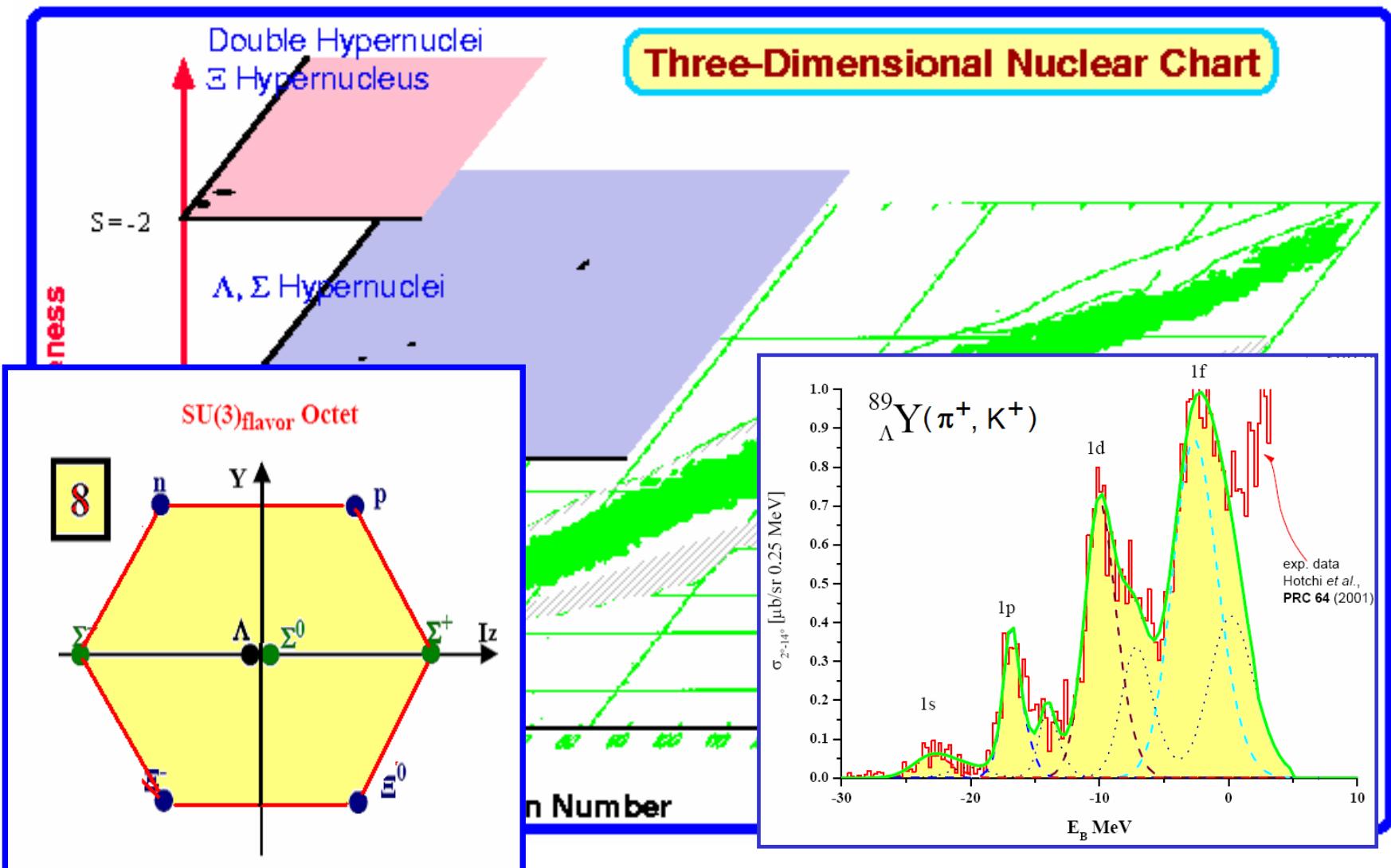
# Expected Structure of a Neutron Star



Baryons :  $N^*, \Delta, \Lambda, \Sigma ?$   
Condensates:  $\pi, K ... ?$

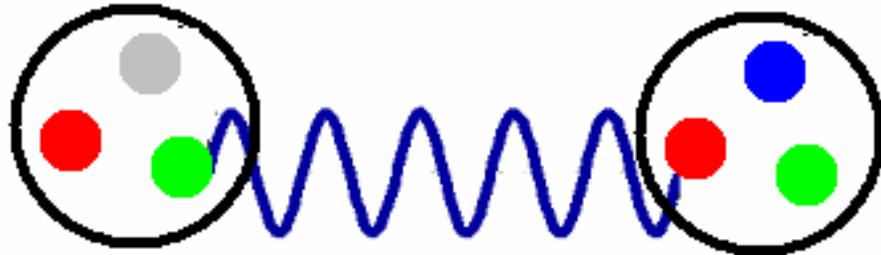
Quark Matter, QGP, CFL?

# Strangeness and Hypernuclear Physics: From SU(2) Isospin to SU(3) Flavour Dynamics



## Scaling of Lambda-Meson Vertices:

$$g_\Lambda(m) = R_m g_N(m)$$



Naïve Quark Model:

Non-strange mesons couple only to non-strange quarks →  
 $g_\Lambda(m) = \frac{2}{3} g_N(m)$

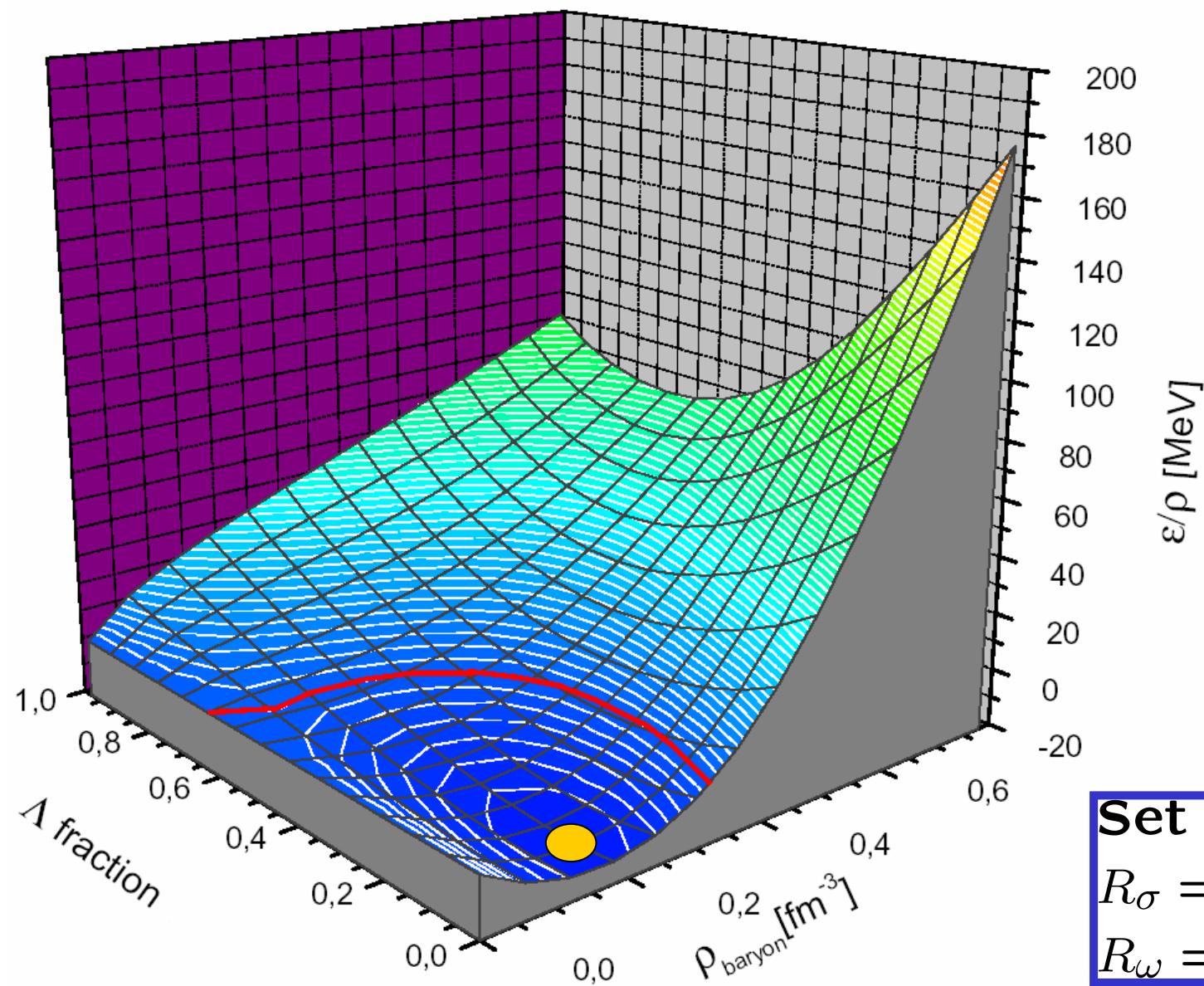
$$K = V + V G Q_F K$$

$$K_{\Lambda N} = \frac{1}{1 - RV_{NN}GQ_F} RV_{NN}$$

$$R_\alpha = \Gamma_{\alpha Y} / \Gamma_{\alpha N} = \Sigma_{\alpha Y} / \Sigma_{\alpha N}$$

$$R_\alpha = g_{\alpha Y} / g_{\alpha N} (1 + O((k_F^Y/k_F^N)^2)) + O(1 - M_N/M_Y))$$

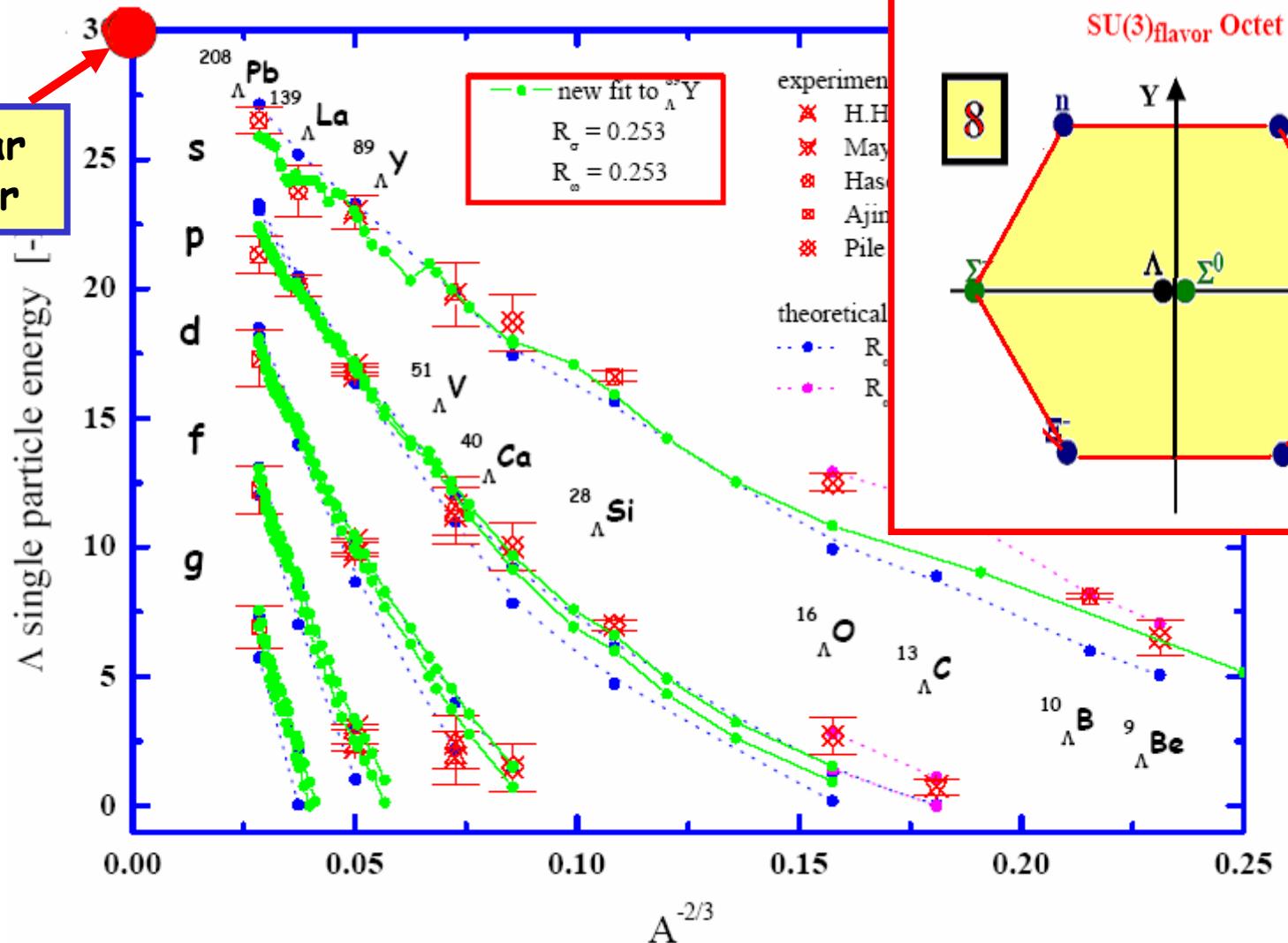
# DDRH Hypermatter Equation of State



**Set 1:**  
 $R_\sigma = 0.49$   
 $R_\omega = 0.54$

# DDRH Flavour Dynamics: $\Lambda$ Single Particle Energies

Nuclear Matter



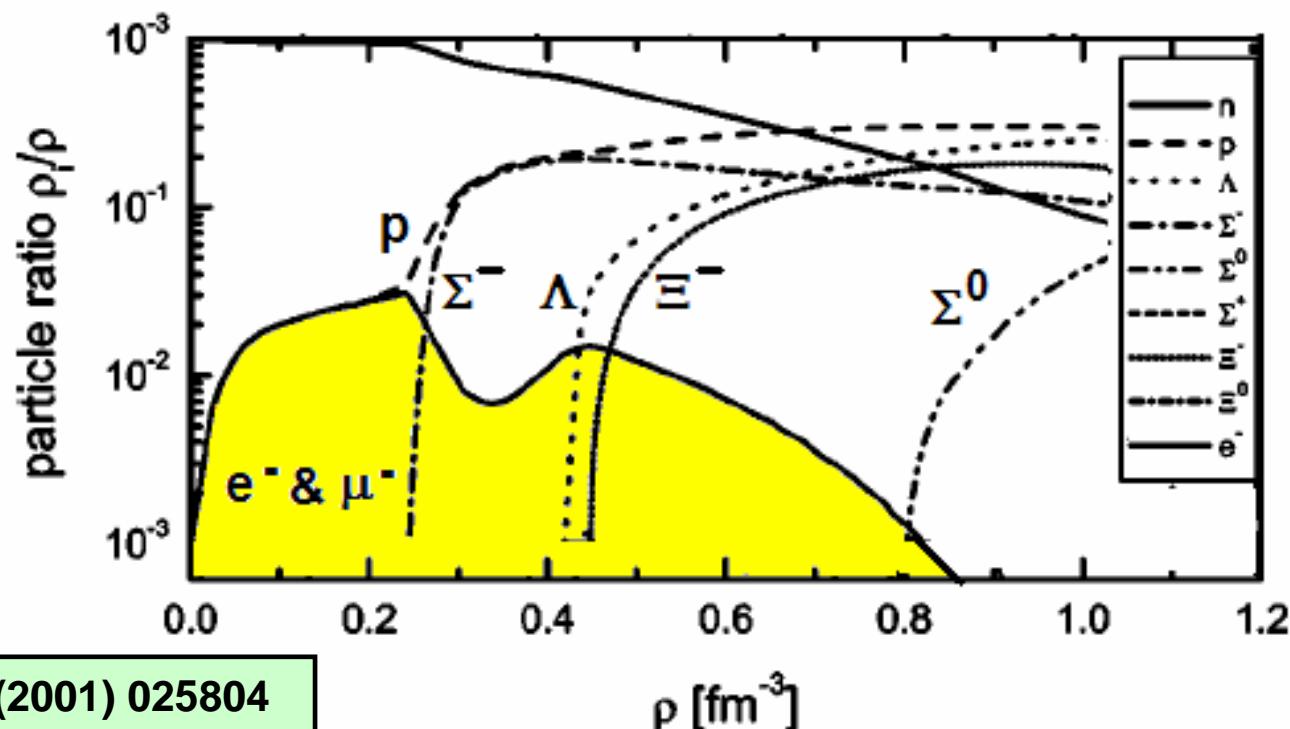
DDRH Theory: Density Dependent NN and  $N\Lambda$  Dirac-Brueckner Vertices

# Charge-Neutral Neutron Star Matter in $\beta$ -Equilibrium

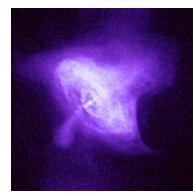
$$n + n \rightarrow \Lambda + n ; p + n \rightarrow n + e^- ; \Lambda + \Lambda \rightarrow \Xi^- + p$$
$$\mu_i = b_i \mu_n - q_i \mu_e$$

Creation of Strangeness:

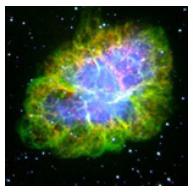
$\rho \sim 2\rho_0$ : hyperon threshold ( $\Sigma^-, \Lambda$ ),  $\rho > 5\rho_0$ : hypermatter dominates



# DDRH Neutron Star Mass-Radius Relation:

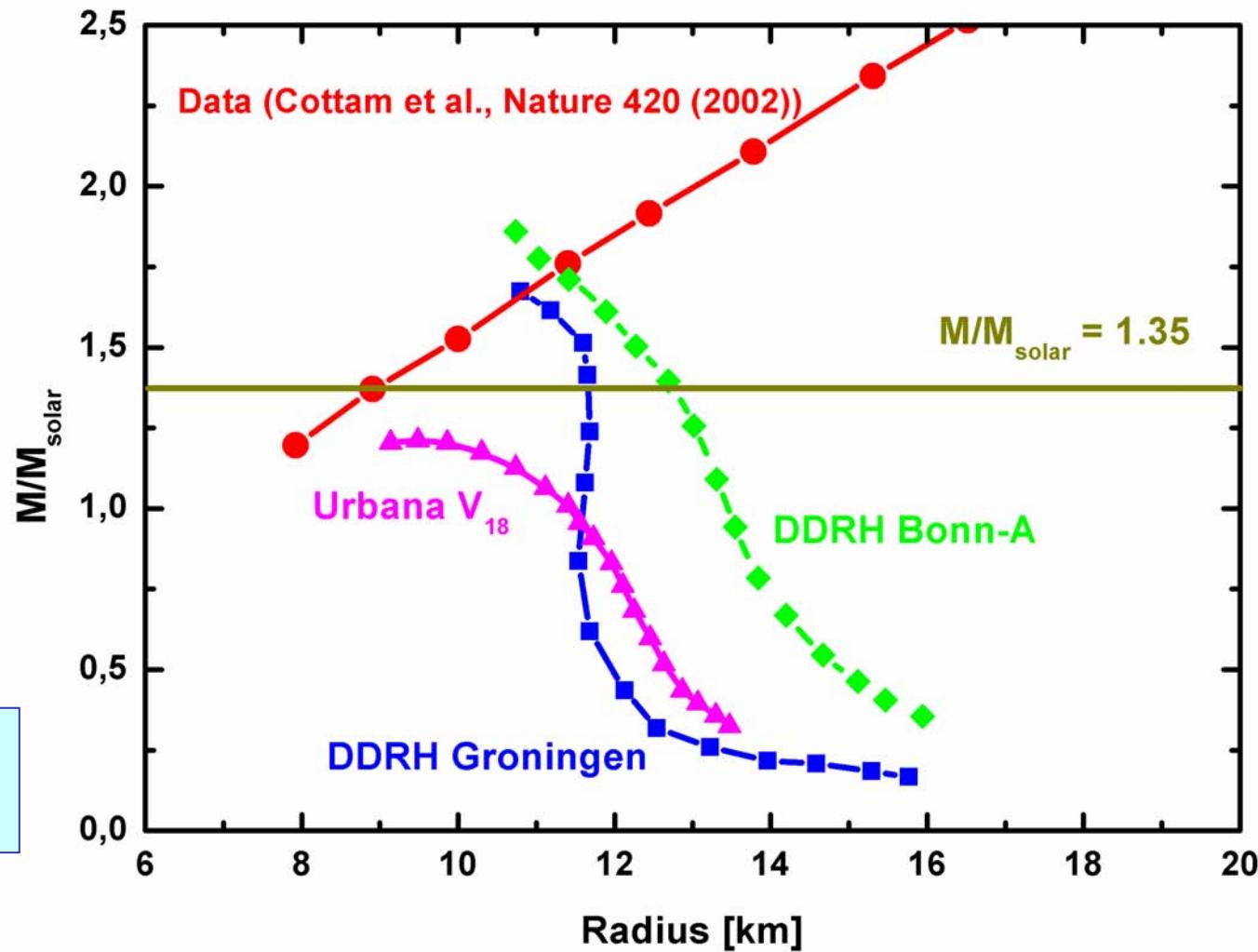


X-ray



Optical

Crab Nebula  
Chandra X-Ray  
Observatory



PRC 64  
(2001)  
025804

X-ray data from the XMM-Newton observatory:  
Red-Shift  $z \sim M/R$

(Fe-Lines from a series of 28 X-ray bursts from EXO07481676)

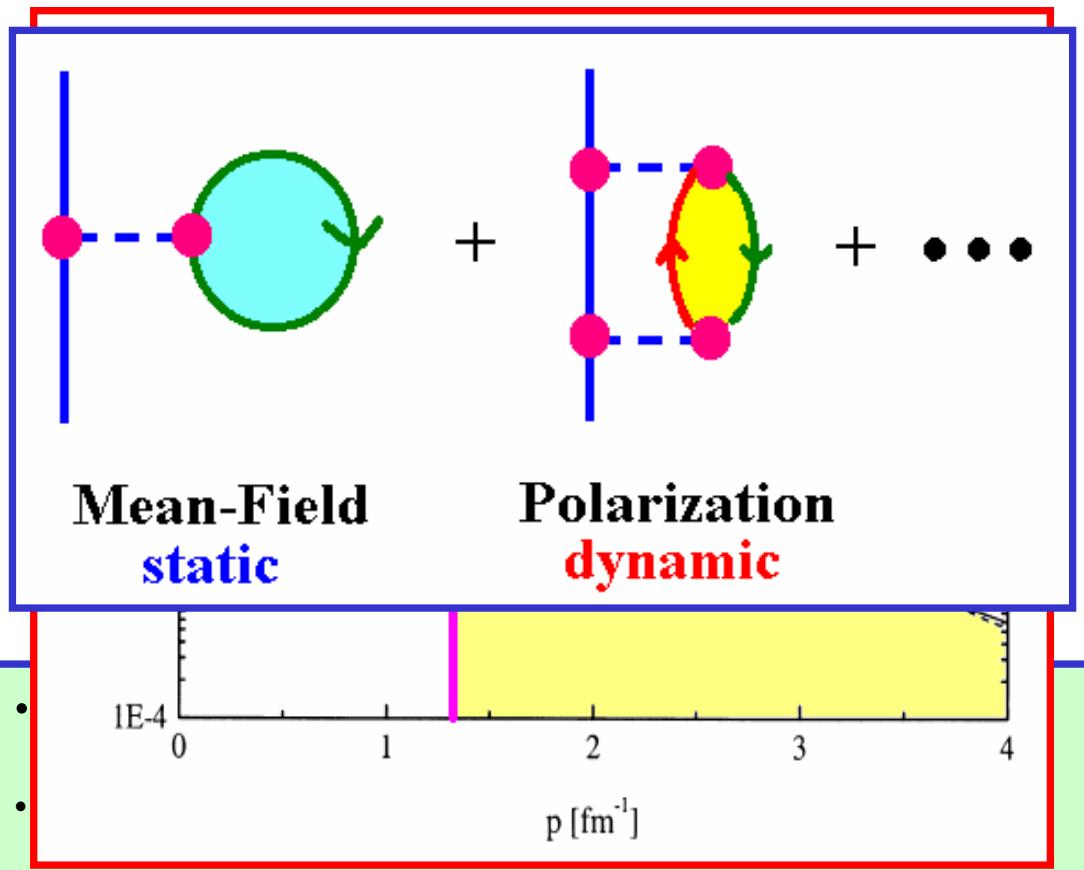
## Summary:

- DFT and Nuclear Many-Body Theory:  
*In-Medium interactions, tadpoles, loops, correlations...*
- DDRH Relativistic field theory with DD vertices
- *ab initio* RMF description of stable and unstable Nuclei
- Extension to SU(3) flavor and hypernuclei
- Neutron Star Matter and Neutron Stars
- Dynamical Correlations in Nuclear Matter
- Polarization of the Dirac-Sea

## Contributors:

C. Keil, F. Hofmann, P. Konrad, Nadia Tsoneva, P. Rosenfield,  
Sonja Orrigo, Urnaa Badarch

# Beyond Mean-Field Dynamics: Dynamical Correlations



- **Dynamical Breaking of Symmetries**
- **Mixing of Mean-Field s.p. States**

# Beyond Mean-Field: Nucleon Spectral Functions

