

# Low Density Nuclear Matter, Cluster Formation, and The Virial Expansion: Implications for Density Functionals

C. J. Horowitz



Indiana University, with A. Schwenk,  
“Towards a Universal Density  
Functional for the Nucleus”, INT, 9/05

# Low density matter and virial expansion

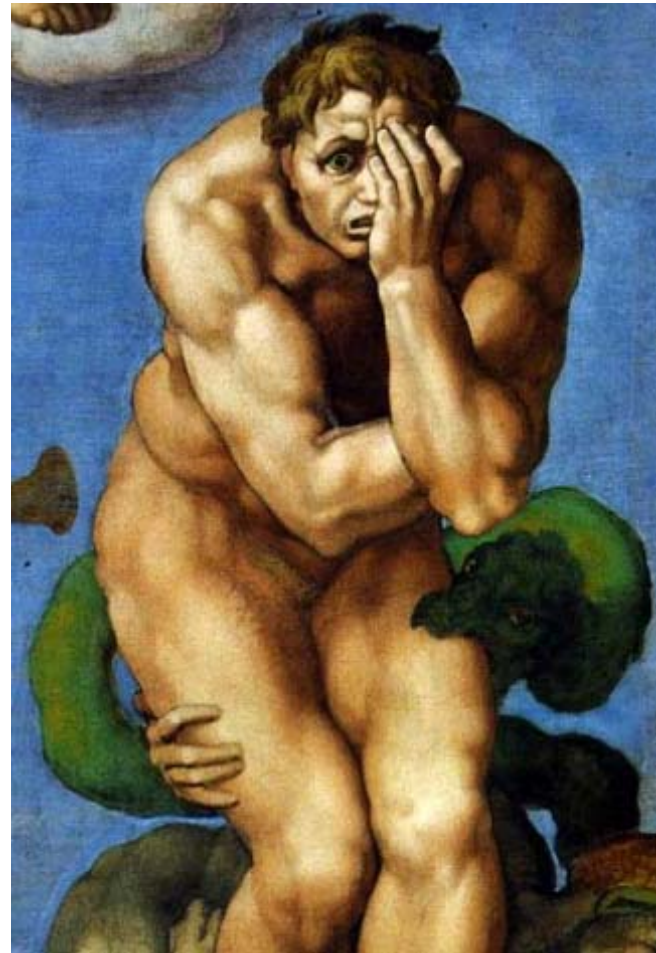
1. **Introduction** (low density matter is interesting)
  - Nuclear matter at low density and cluster formation.
  - Heavy ion collisions and multifragmentation.
  - Nuclear pasta in neutron star crusts.
  - Neutron matter at low densities and universal behavior of large scattering length systems.
  - Supernova simulations and low density matter.
2. **Virial Expansion 101** (basic virial formalism).
3. Results for **neutron matter** and universal behavior.
4. Results for **nuclear matter** and cluster formation.
5. Conclusions

# Low Density Nuclear Matter

- What should the energy functional be for ***uniform*** matter at ***subsaturation*** density?
- Should the functional have a smooth  $A \rightarrow \infty$  (thermodynamic) limit?
- What clusters form in low density matter?
- What is the phase diagram? Is there a simple liquid-vapor phase transition?
- What is the vapor phase like? Does it include clusters? Is an  $\alpha$  particle part of the liquid or vapor?

# All conventional matter is frustrated

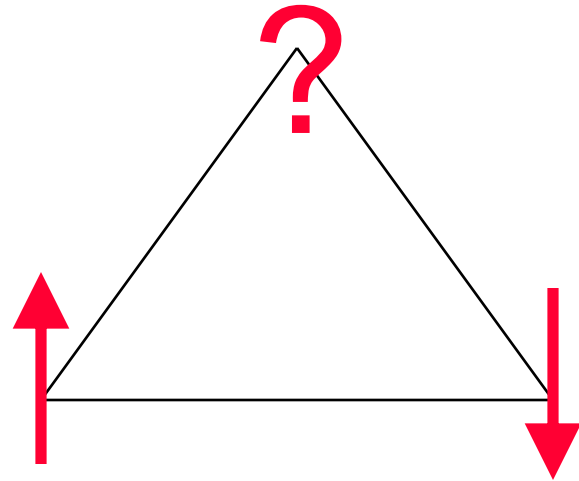
- It is correlated at short distances from attractive strong interactions.
- And anti-correlated at large distances from coulomb repulsion.
- Normally these length scales are well separated so nucleons bind into nuclei segregated on a crystal lattice.



Michelangelo

# Frustration in Condensed Matter

- Frustrated systems can not satisfy all elementary interactions.
- Example Ising antiferromagnet on triangular lattice.
- Present in many systems from magnets to protein folding.
- Characterized by large number of low-energy excitations.
- Systems display unusual low-energy dynamics.



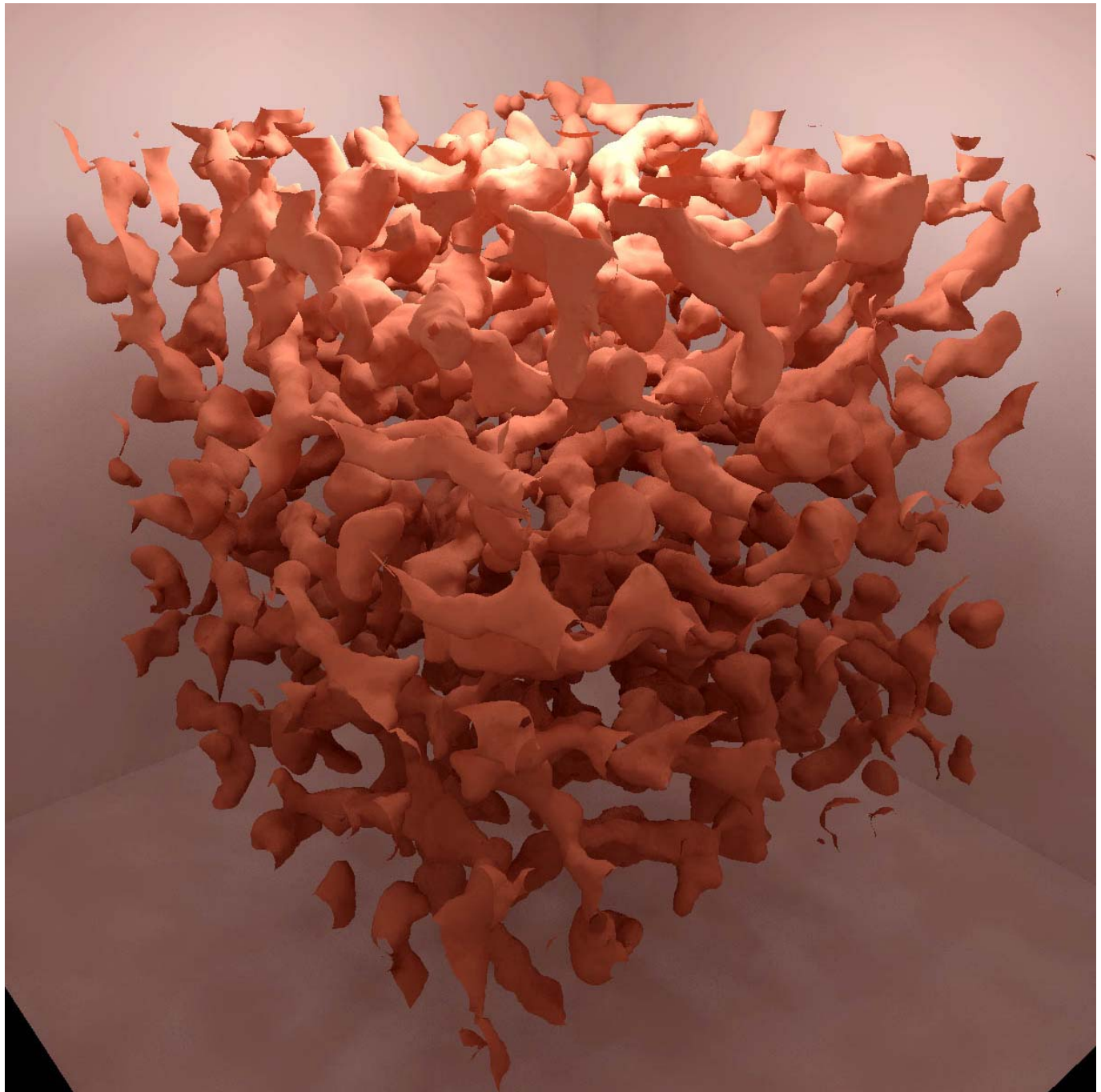


# Isosurface of proton density

$$\rho = 0.05 \text{ fm}^{-3},$$
$$T = 1 \text{ MeV},$$
$$Y_p = 0.2$$

Simulation  
with 20,000 p  
and 80,000 n

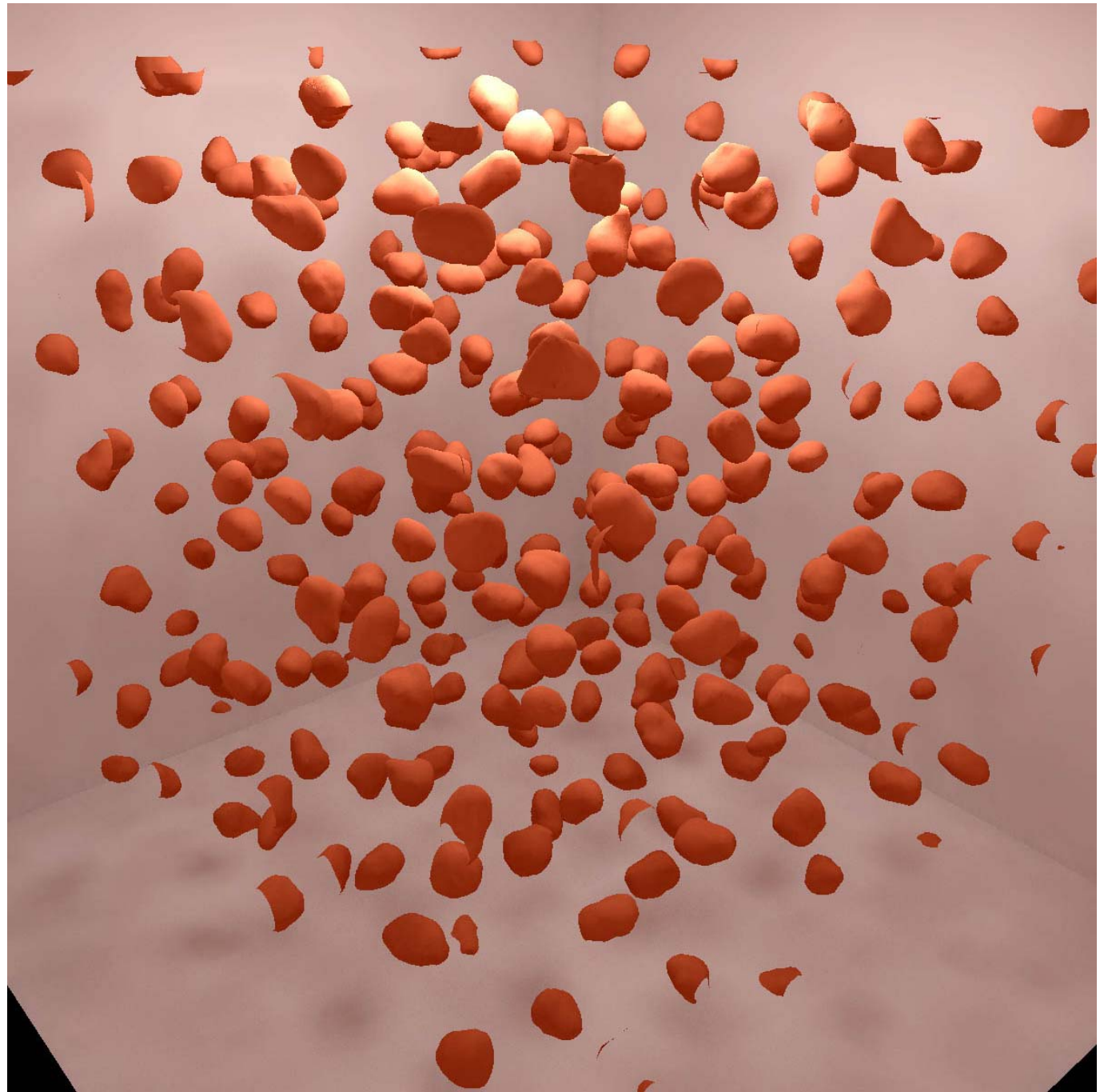
Not shown is  
uniform e gas  
and low  
density n gas  
between pasta



What is  
distribution  
of clusters  
with  
different  $Z$ ,  
 $N$  and how  
do they  
interact?

Simulation  
with 40,000  
nucleons at  
 $T=1$  MeV,  
 $\rho=0.01$  fm<sup>-3</sup>,  
 $Y_p=0.2$

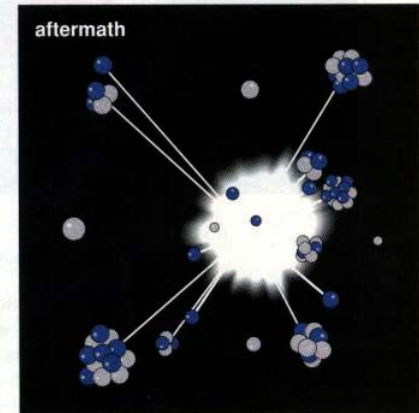
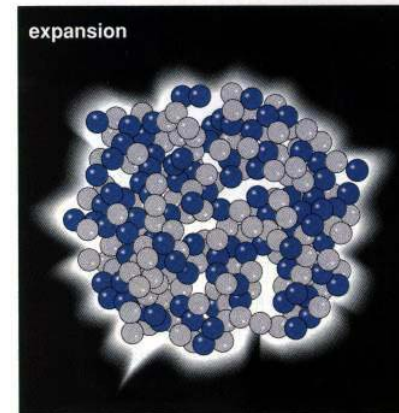
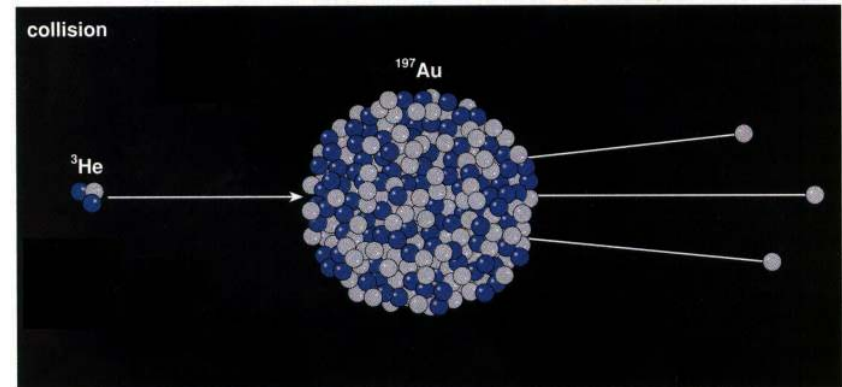
0.03 fm<sup>-3</sup>  
surface of the  
proton density



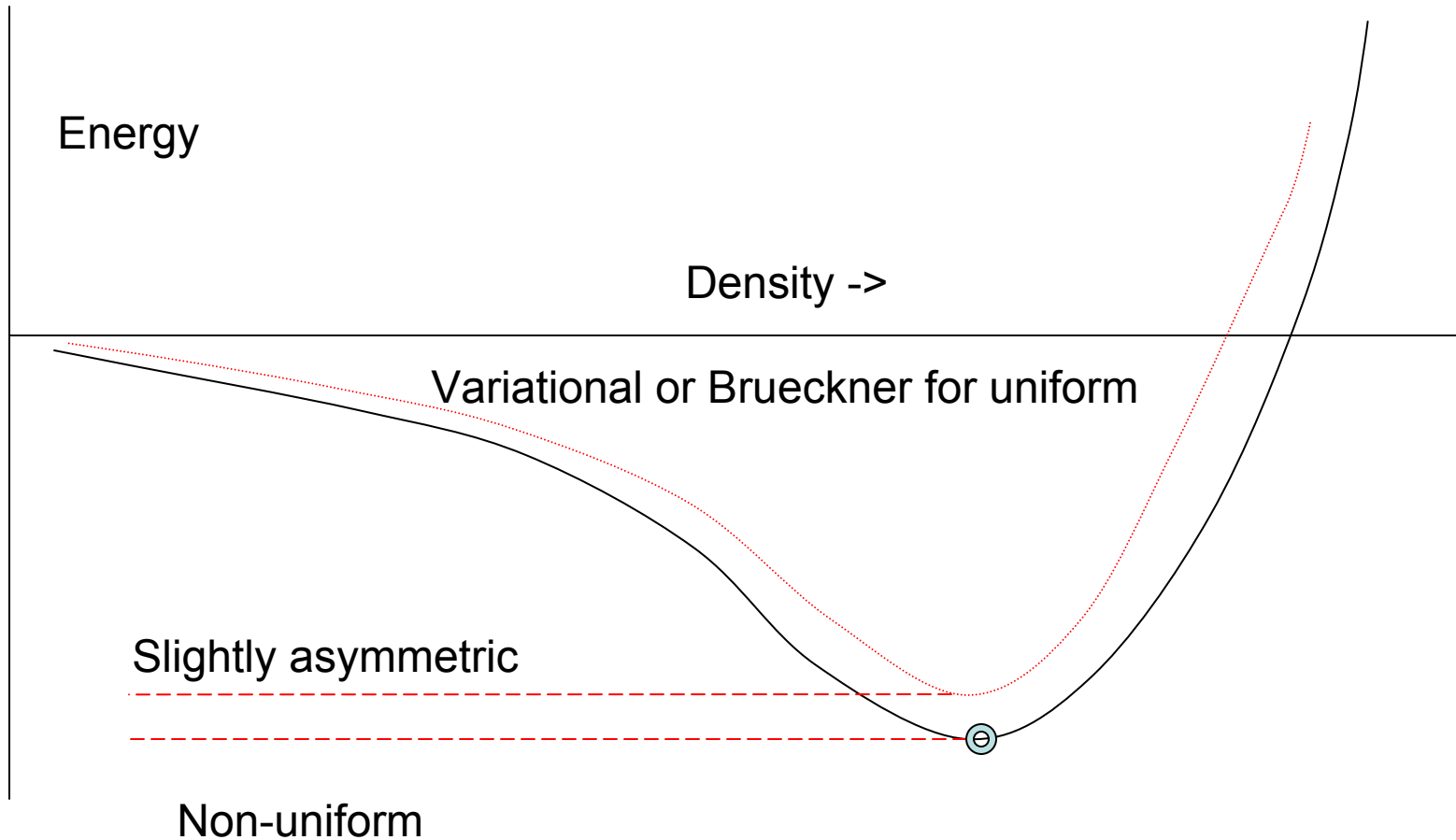


# Heavy Ion Collisions

- HI collisions can form low density matter. What are its properties? What clusters form (for example multi-fragmentation)? How are they related to matter in thermodynamic limit?
- Much effort to measure density dependence of symmetry energy  $S(n)$ .
  - Sym E describes energy cost for  $N \neq Z$ .
  - In thermodynamic limit  $S$  is independent of  $n$ .
  - What do we mean by density dependence of  $S$ ?



# Nuclear matter at low density



In thermodynamic limit, symmetry energy is independent of density (at low density).

# Incomplete Model for Energy Functional

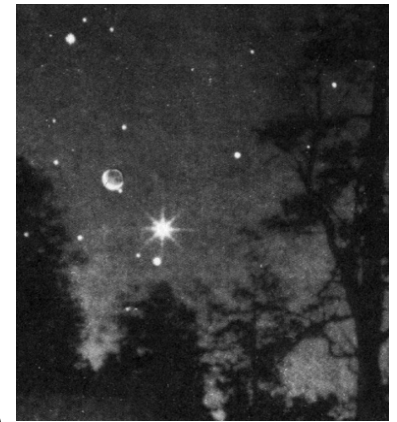
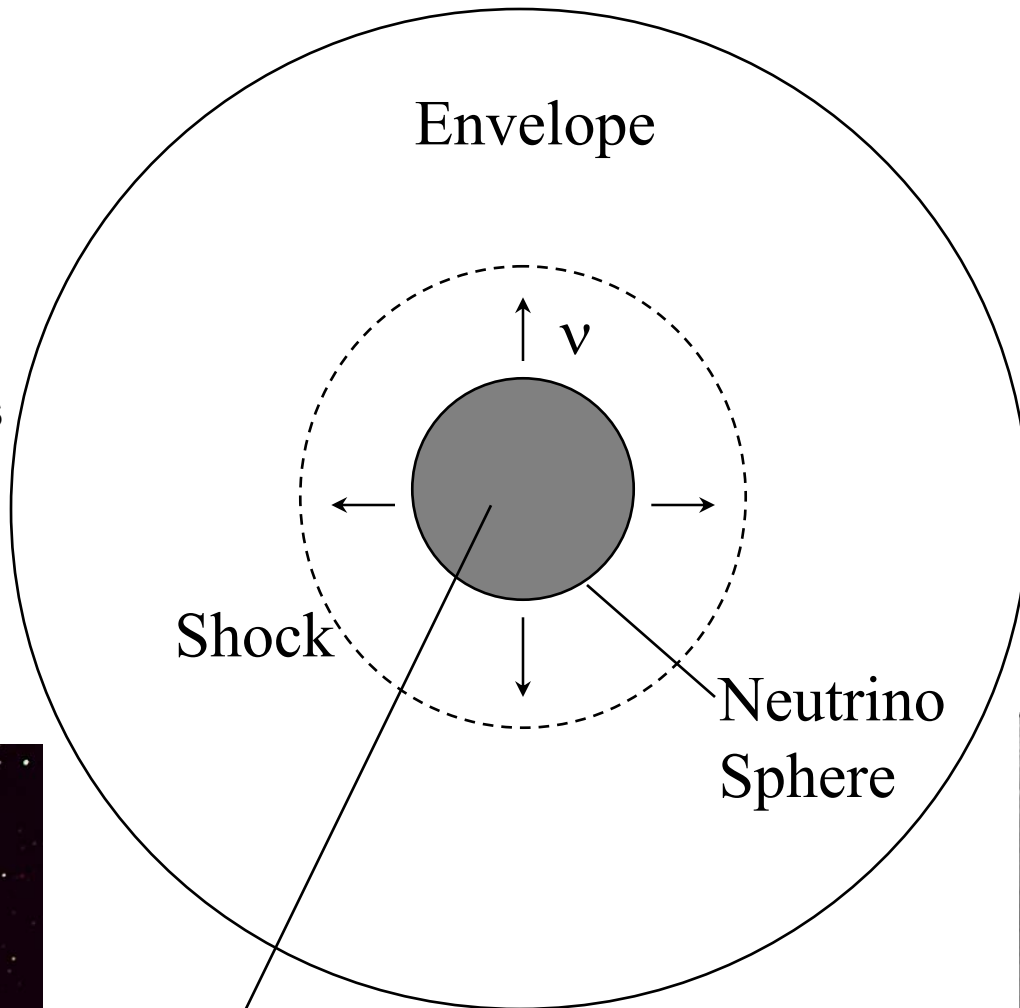
- Consider electron gas in a uniform background charge density.
- Greens Func Monte Carlo gives energy of uniform electron gas for any density.
  - Use this to build uniform part of energy functional.
  - This works because electron gas is stable.
- Uniform nuclear matter is unstable!
  - Greens func MC will fail because of cluster formation.
  - Hard to impose uniform matter with a constraint.

# Neutron Matter at Low Density and Universal Behavior

- A low density fermi system with large scattering length  $a \rightarrow \infty$ , and effective range  $r \rightarrow 0$  much less than inter-particle spacing is universal.
- There are no length scales associated with interaction. Therefore system will exhibit universal behavior independent of details.
- Example  $E = \xi E_{FG}$  with  $E_{FG}$  energy of free Fermi gas and GFMC gives  $\xi \approx 0.44$ .
- To what extent does real neutron matter at low density approach this “unitary limit”? Real  $a = -19$  fm,  $r = 2.7$  fm.
- Not many results know for universal behavior at finite  $T$ .
- Use Virial expansion to simply relate energy of neutron matter to  $nn$  scattering properties.
- A number of cold atom experiments to test universal behavior of fermions in this unitary limit.
- Ho et al. use virial expansion to describe cold atom systems.

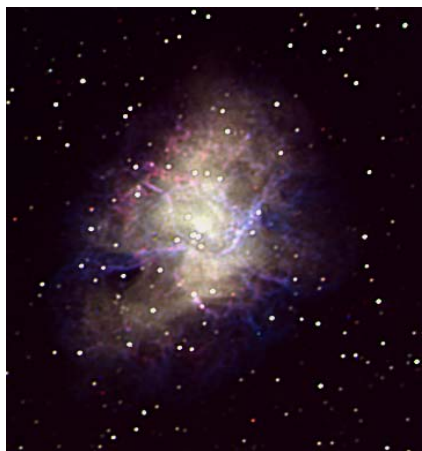
# Core Collapse Supernovae

Core of massive star collapses to form proto-neutron star.  
vs form neutron star energizes shock that ejects outer 90% of star.

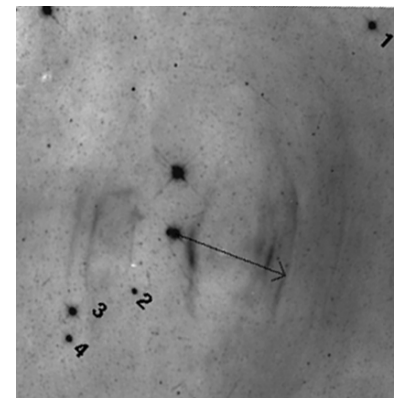


July 5, 1054

Crab nebula



Crab Pulsar

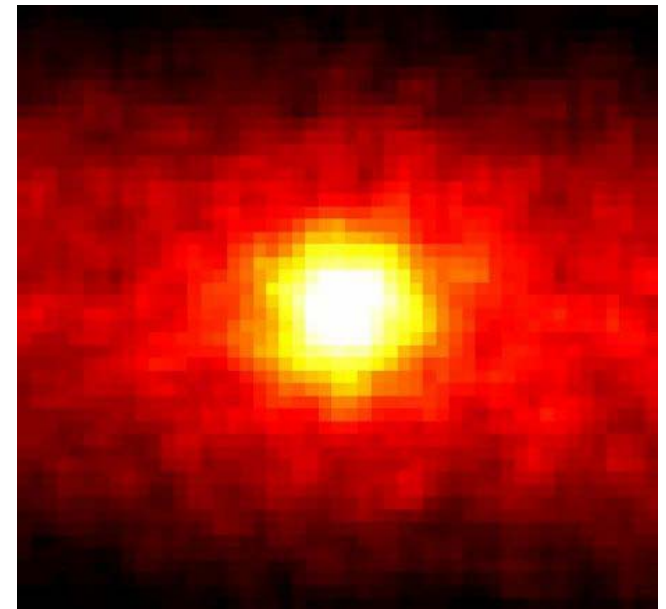


Hubble ST

Proto-neutron star: hot, e rich

# Neutrino-sphere in a Supernova

- View supernova in neutrinos and see neutrino-sphere.
- Mean free path  $\lambda \sim 1/\sigma\rho \sim R \sim 10\text{km}$  is size of system.
- Conditions at neutrino-sphere:
  - Temperature  $\sim 4\text{ MeV}$  crudely observed with 20 SN1987a events.
  - $\sigma \sim G_F^2 E_\nu^2$  and  $E_\nu \sim 3T$
  - $\rho \sim 10^{11}$  to  $10^{12}\text{ g/cm}^3$  [ $\sim 10^{-4}\text{ fm}^{-3}$ ]
  - Proton fraction starts near  $1/2$  and drops to small values.
- **What is the composition, equation of state, and neutrino response of nuclear matter under these conditions?**
- *Virial expansion gives model independent answers!*



← 90 deg. ! →

Neutrino view of sun showing SuperK's angular resolution.

# Virial Expansion

Gives properties of low density matter in thermodynamic limit.

# Potential Models versus Virial



- 2NF fit to NN phase shifts.
- 3 nucleon force fit to properties of few body systems.
- Complex wave functions and many body calculations needed for nuclear matter.
- Model dependent.
- Applicable at low and high densities



- 2<sup>nd</sup> virial from NN phase shifts
- Use  $N-\alpha$  and  $\alpha-\alpha$  phase shifts to describe interactions between few nucleon systems.
- Nuclear matter properties follow directly.
- Model independent! No unobserved short range wave functions or potentials.
- Applicable only at low densities.



# Virial 101

- Assume (1) system in gas phase and has not undergone a phase transition with increasing density or decreasing temp. (2) fugacity  $z=e^{\mu/T}$  with  $\mu$  the chemical pot is small.
- Expand grand canon. partition function  $Q$  in powers of  $z$ :  
 $P=T \ln Q/V,$   $n=z d/dz \ln Q/V$

$$P=2T/\lambda^3[z+b_2z^2+b_3z^3+\dots], \quad n=2/\lambda^3[z+2b_2z^2+3b_3z^3+\dots]$$

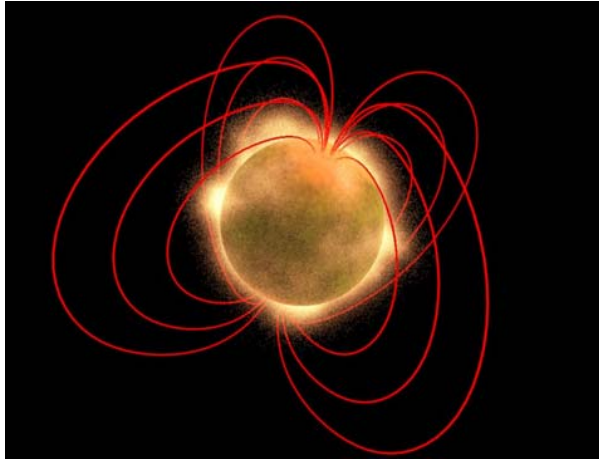
Here  $\lambda$ =thermal wavelength= $(2\pi/mT)^{1/2}$

- 2<sup>nd</sup> virial coef.  $b_2(T)$  calculated from 2 particle partition function:  $Q_2=\sum_{\text{states}} \text{Exp}[-E_2/T]$

$E_2$  is energy of 2 particle state. Thus  $b_2$  depends on density of states.

# Density of states

- Put system in big spherical box of radius  $R$
- Relative mom.  $k$  from  $E_2 = k^2/2m_{\text{reduced}}$ .
- $\psi(r_1 - r_2 = R \rightarrow \infty) = 0 = \sin[kR + l\pi/2 + \delta_l(k)]$  or  
 $kR + l\pi/2 + \delta_l(k) = n\pi.$
- Distance between states  $\Delta k = \pi/(R + d\delta/dk)$  so  
 $dn/dE \propto 1/\Delta k \propto R + d\delta/dk$
- $b_2 = 2^{1/2} \sum_B e^{E_B/T} + 2^{1/2}/\pi \int_0^\infty dk e^{-E_k/2T} \sum_l' (2l+1) d\delta_l(k)/dk \pm 2^{-5/2}$   
with + for bose and – for fermions.
- $b_2$  Includes both bound states and scattering resonances on equal footing.

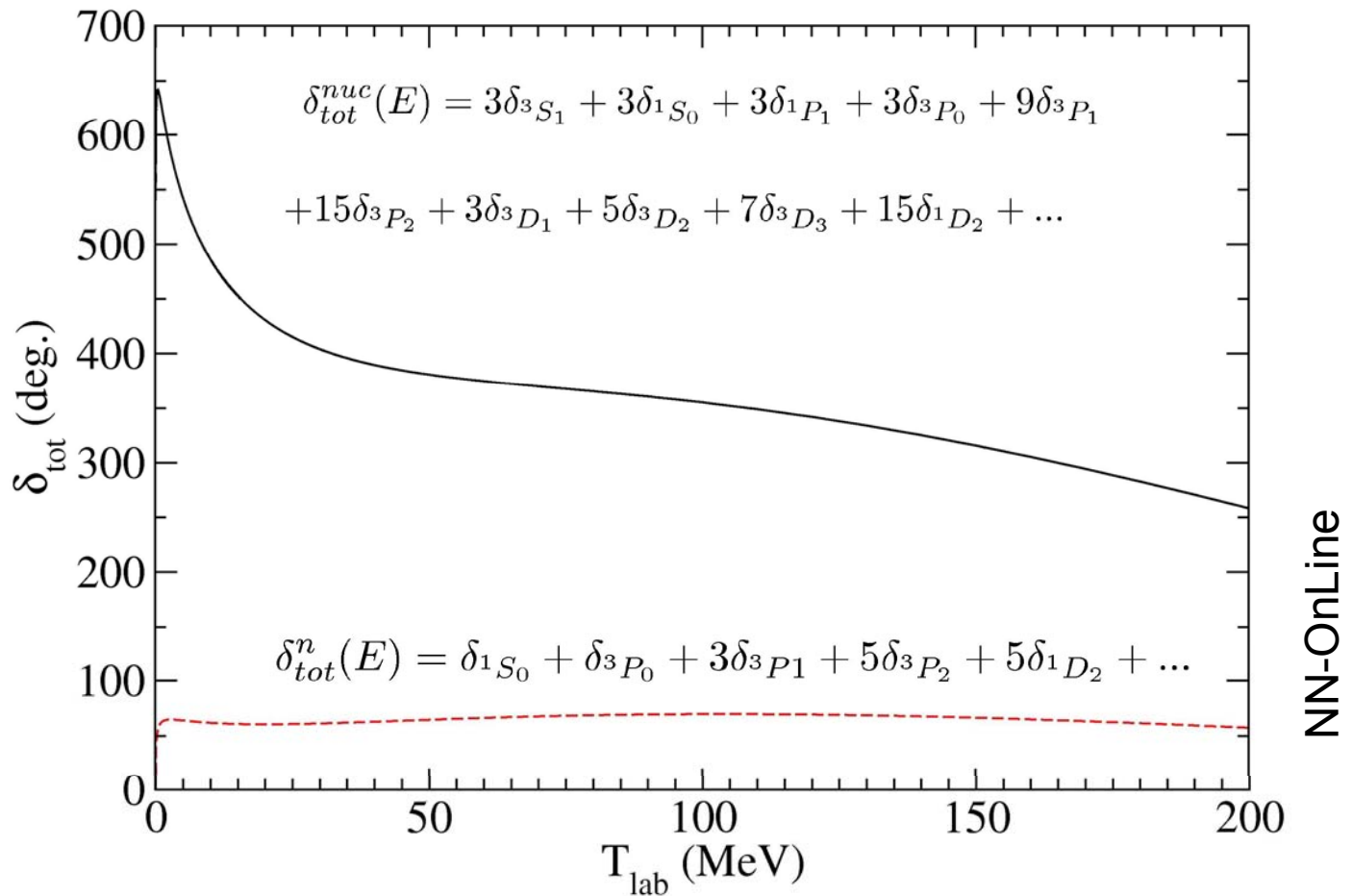


# Neutron Matter

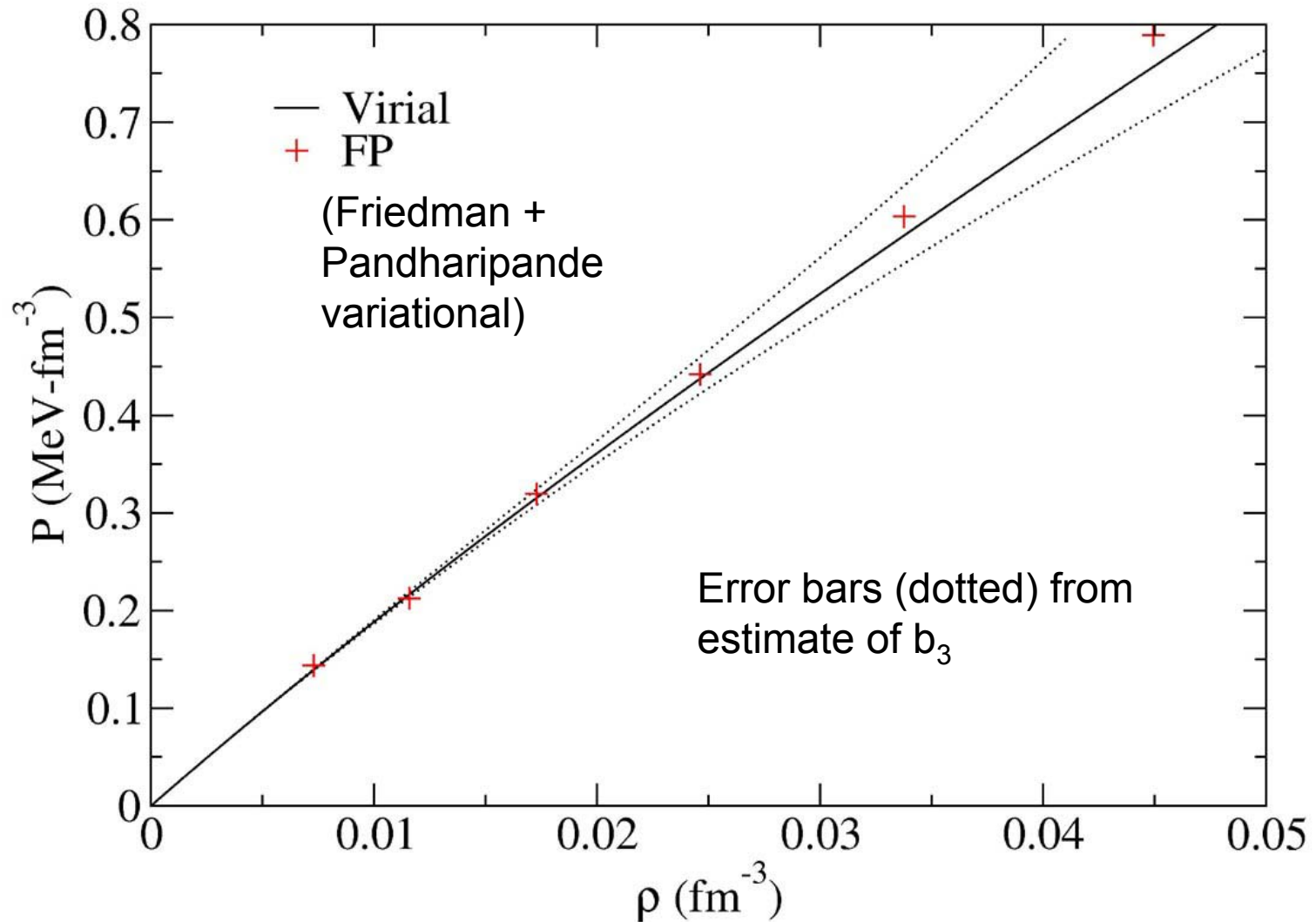
# Neutron Matter

- Integrate by parts and include spin,
- $b_n(T) = 1/(2^{1/2}\pi T) \int dE e^{-E/2T} \delta_{\text{tot}}(E) - 2^{-5/2}$
- $\delta_{\text{tot}} = \delta(^1S_0) + \delta(^3P_0) + 3\delta(^3P_1) + 5\delta(^3P_2) + 5\delta(^1D_2) + \dots$
- Use isospin 1 pn phase shifts.
- $b_n(T) = 0.301, 0.306, 0.309$  at  $T = 2, 4, \text{ and } 8$  MeV
- $b_n$  almost  $T$  independent, as s-wave phase falls with increasing energy, higher  $l$  contributions rise to almost cancel.
- Use  $b_3$  for error estimate. 3 n can't be in s state so expect  $b_3$  to be small. Use  $|b_3| \leq b_2/2$ .

# Total Phase Shift for Nuclear Matter (top) and Neutron Matter

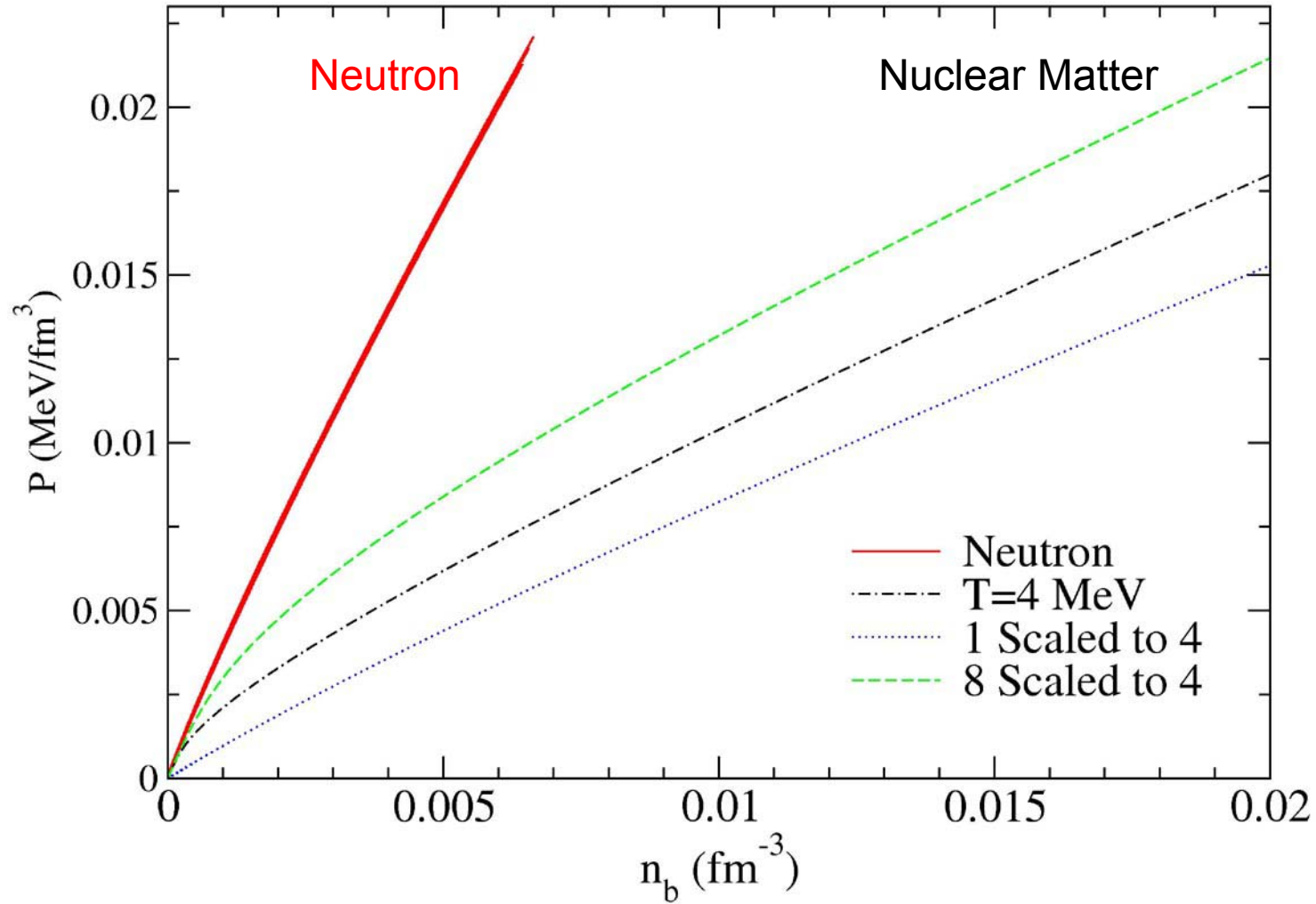


# Neutron matter Equation of State at T=20 MeV



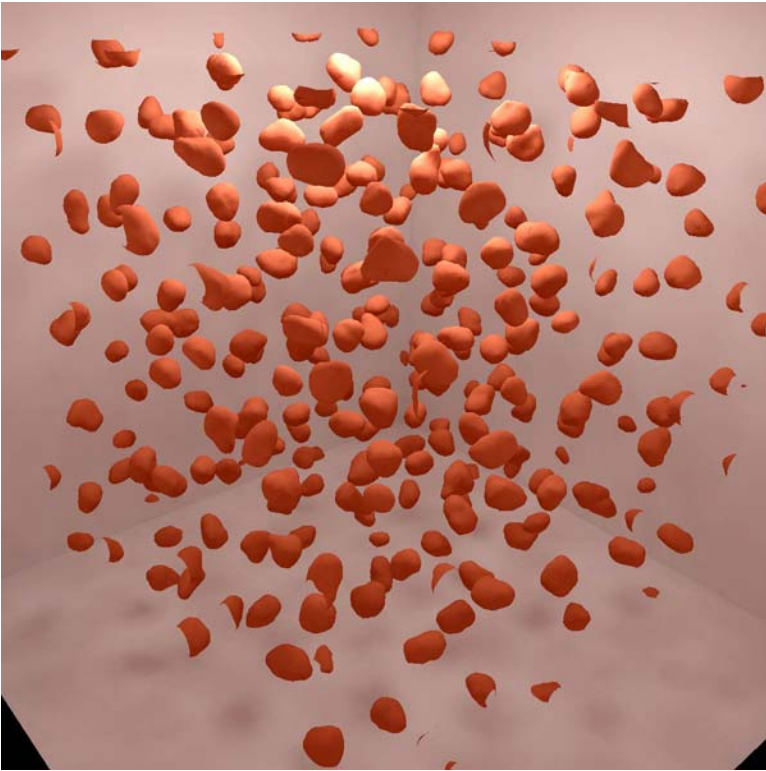
# Scaling of Neutron Matter EOS

- If  $b_i(T)$  are independent of  $T$  the EOS will scale  
$$P/T^{5/2} = f(n/T^{3/2}).$$
- Neutron matter  $P$  is only a function of  $n/T^{3/2}$  instead of a function of  $n$  and  $T$  separately
- From  $P/T = g/\lambda^3 [z + b_n z^2 + \dots]$  and  $n = g/\lambda^3 [z + 2b_n z^2 + \dots]$  with  $\lambda \propto T^{-1/2}$ .
- Unitary Limit: calculate  $b_n$  with only s-wave and  $a = -\infty$ ,  $r = 0$ .  $\delta(^1S_0) = \pi/2$   
$$b_n(T) = 3/2^{5/2} = 0.5303$$
 independent of  $T$ .
- In unitary limit system clearly scales.
- Real neutron matter scales but with a  $b_n \approx 0.3$  that is 40% smaller than unitary limit.
- In scaling limit energy density  $\varepsilon = 3/2P$  [Thomas et al have tested this for a universal system of cold  ${}^6\text{Li}$  atoms.]



Scaled Pressure at T=4 MeV from equation of state at T=1, 4, and 8 MeV





# Nuclear Matter and cluster formation

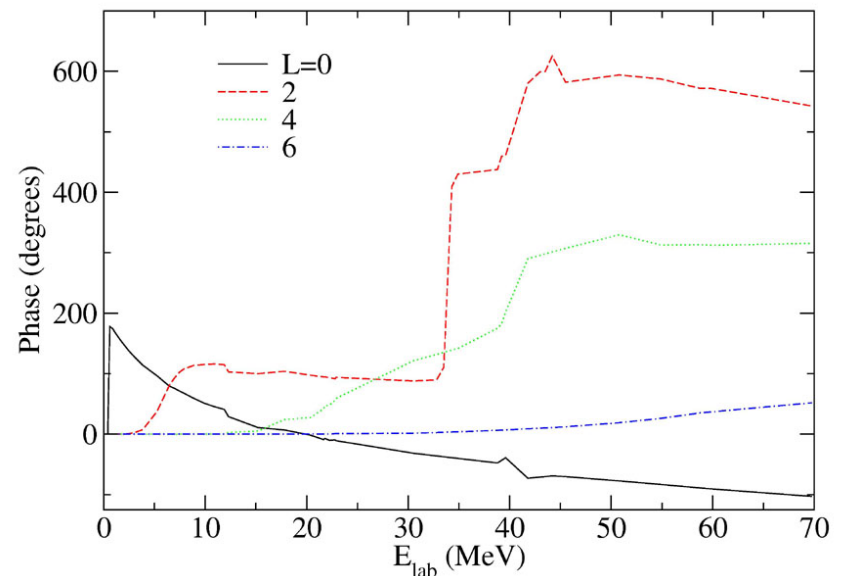
# Nuclear Matter

- Is very different from neutron matter because clusters can form.
- Deuterons appear as bound state in  $b_2$ .
- $\alpha$  particles will appear as bound state in  $b_4$  (if you calculate this high).
- Large  $\alpha$  binding  $E_\alpha = 28.3$  MeV gives large  $e^{+E_\alpha/T}$  contribution to  $b_4$ .
- Nucleon only virial expansion is accurate only over a reduced density range because of the abnormally large  $b_4$  (and higher) v. coefficients.
- Solution: include  $\alpha$  explicitly and work with system of p, n, and  $\alpha$  s. Chemical equilibrium  $2\mu_p + 2\mu_n = \mu_\alpha$  gives  $z_\alpha = z_p^2 z_n^2 e^{E_\alpha/T}$ .
- Work to 2<sup>nd</sup> order in  $z_p, z_n, z_\alpha$ . Can include heavier nuclei at even higher densities.

# $n, p, \alpha$ system

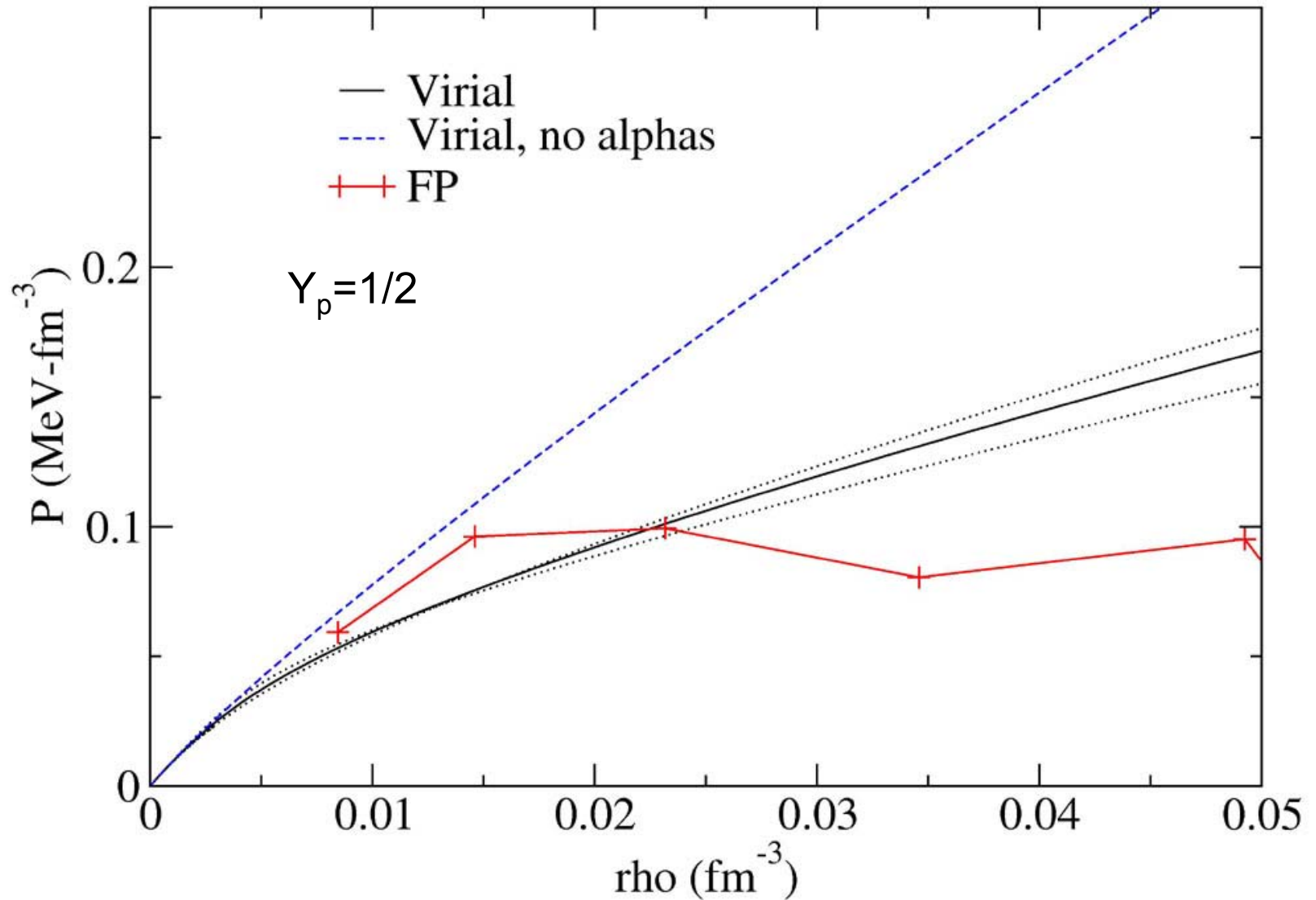
$$\frac{P}{T} = \frac{2}{\lambda^3} [z_p + z_n + (z_n^2 + z_p^2) b_n + 2z_n z_p (b_{nuc} - b_n)] + \frac{1}{\lambda_\alpha^3} [z_\alpha + z_\alpha^2 b_\alpha + z_\alpha (z_p + z_n) b_{\alpha n}]$$

- Need four virial coefficients:
  - $b_n$  for neutron matter,
  - $b_{nuc}$  for symmetric nuclear matter,
  - $b_\alpha$  for alpha system,
  - $b_{\alpha n}$  for interaction between an  $\alpha$  and N.
- Virials from NN, N $\alpha$  and  $\alpha\alpha$  elastic scattering phase shifts.

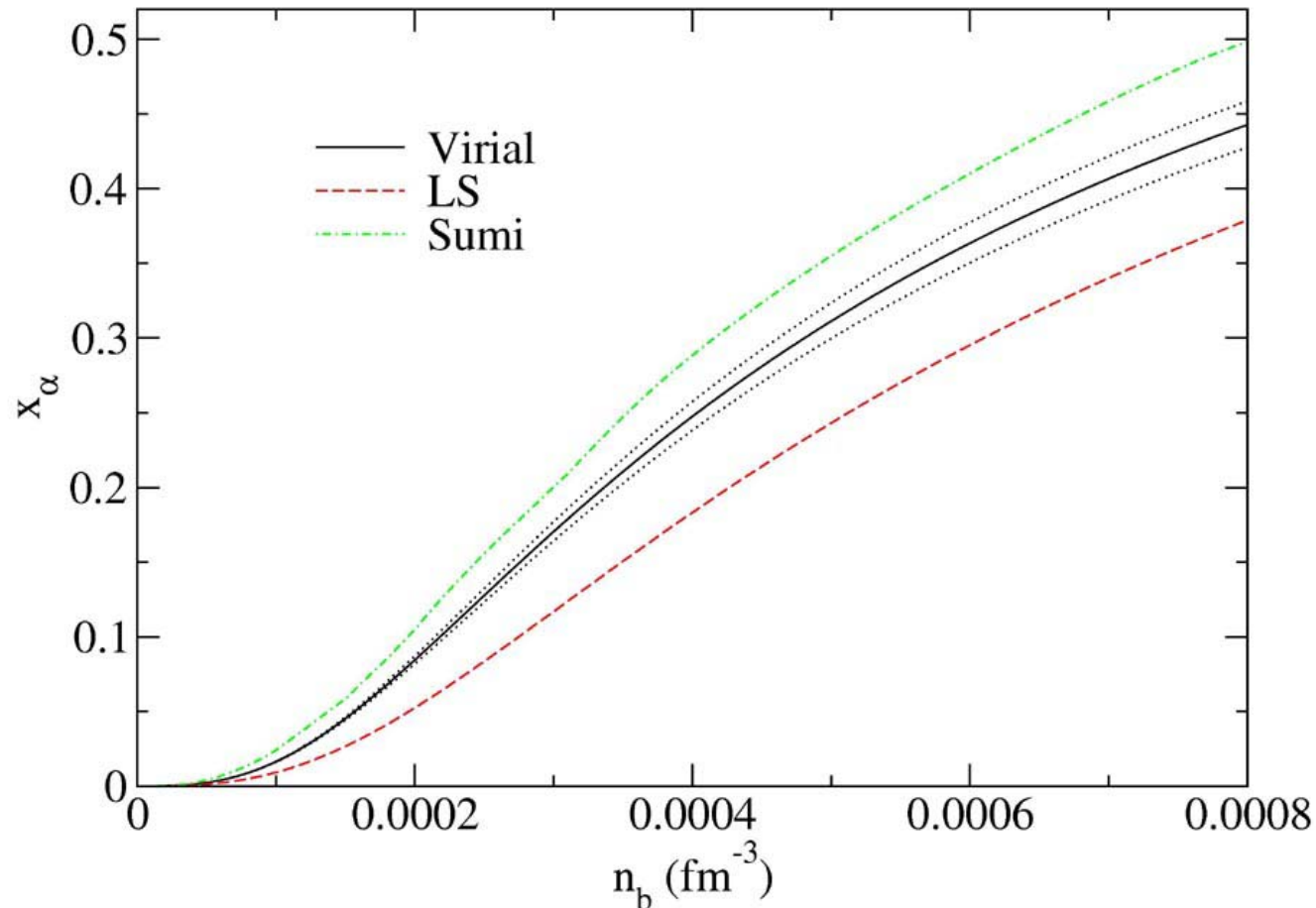


$\alpha$ - $\alpha$  Elastic Phase Shifts

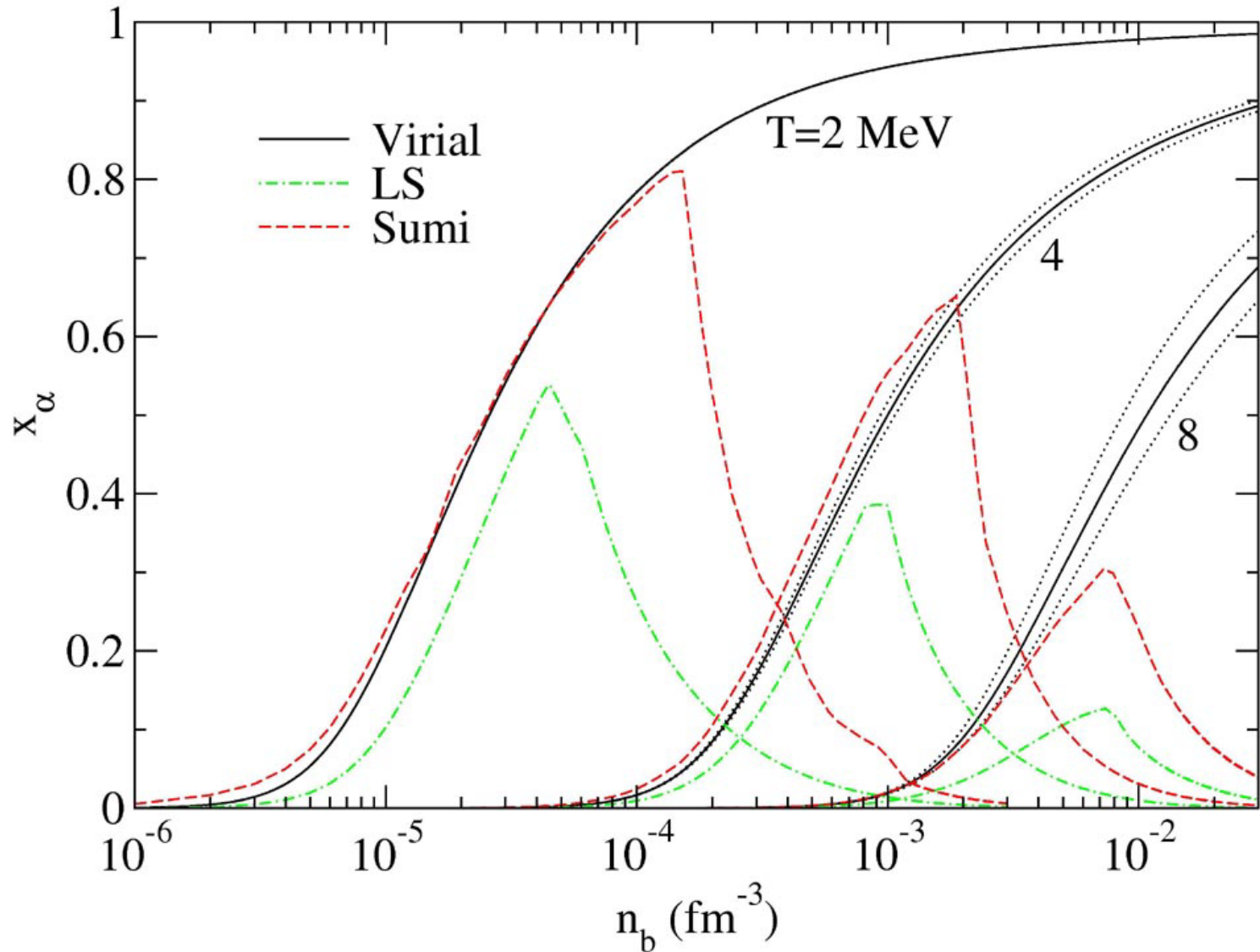
# Nuclear matter EOS at T=10 MeV



# $\alpha$ Mass Fraction at $T=4$ MeV

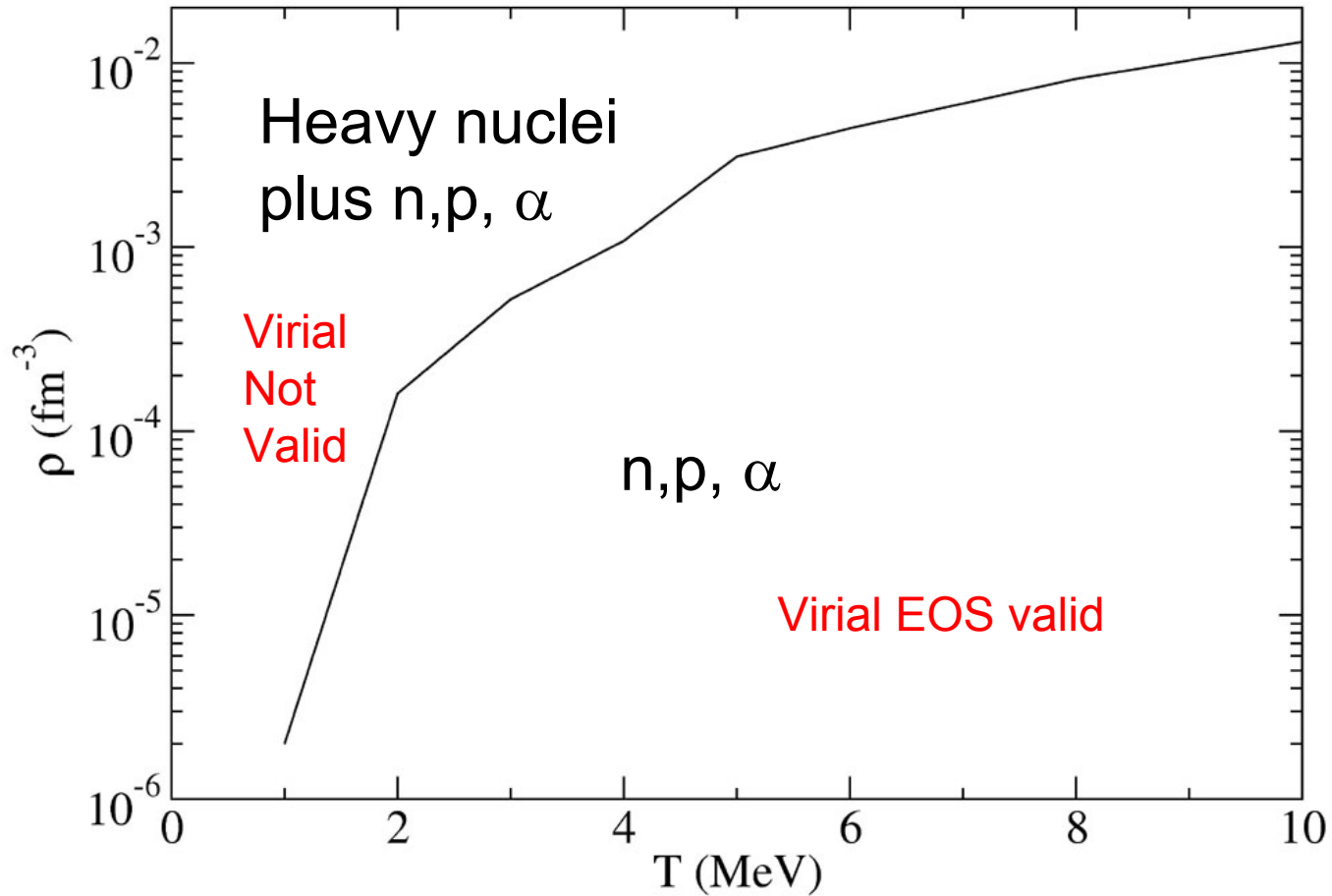


$\alpha$  particle mass fraction in symmetric nuclear matter vs density. The widely used phenomenological EOS by Lattimer Swesty is dashed while Sumi is an EOS based on a rel mean field interaction (dot-dashed).



Alpha mass fraction vs density for  $T=2, 4$  and  $8$  MeV. Also shown are predictions for Lattime Swesty and Sumioshi EOS models. These have alpha fractions that drop at high density because of the formation of heavy nuclei.

# Nuclear Matter Composition



Density above which Sumiوشي EOS has 10% or more heavy nuclei for  $Y_p=1/2$ . Thus n, p,  $\alpha$  EOS is only valid at lower densities.

# Entropy, Energy

- From thermodynamics, entropy density is

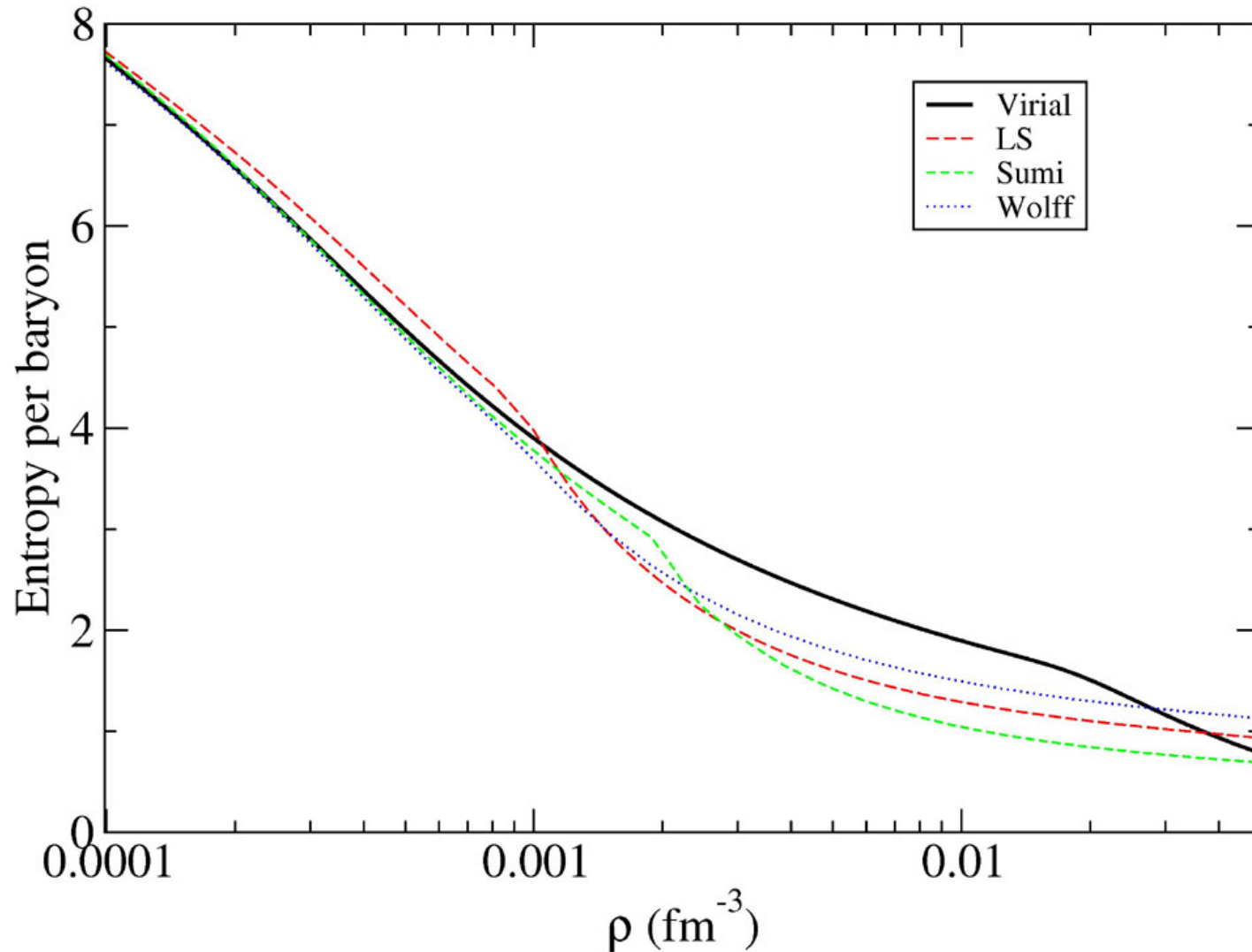
$$s = \left(\frac{\partial P}{\partial T}\right)_{\mu_i} = \frac{1}{T} \left[ \frac{5}{2} P - n_p \mu_p - n_n \mu_n - n_\alpha (\mu_\alpha + B_\alpha) \right] \\ + \frac{2T}{\lambda^3} [(z_p^2 + z_n^2) b'_n - 2z_p z_n (b'_{nuc} - b'_n)] \\ + \frac{T}{\lambda_\alpha^3} [z_\alpha^2 b'_\alpha + (z_p + z_n) z_\alpha b'_{\alpha n}]$$

- Energy density is  $\epsilon = Ts + \sum_i n_i \mu_i - P$

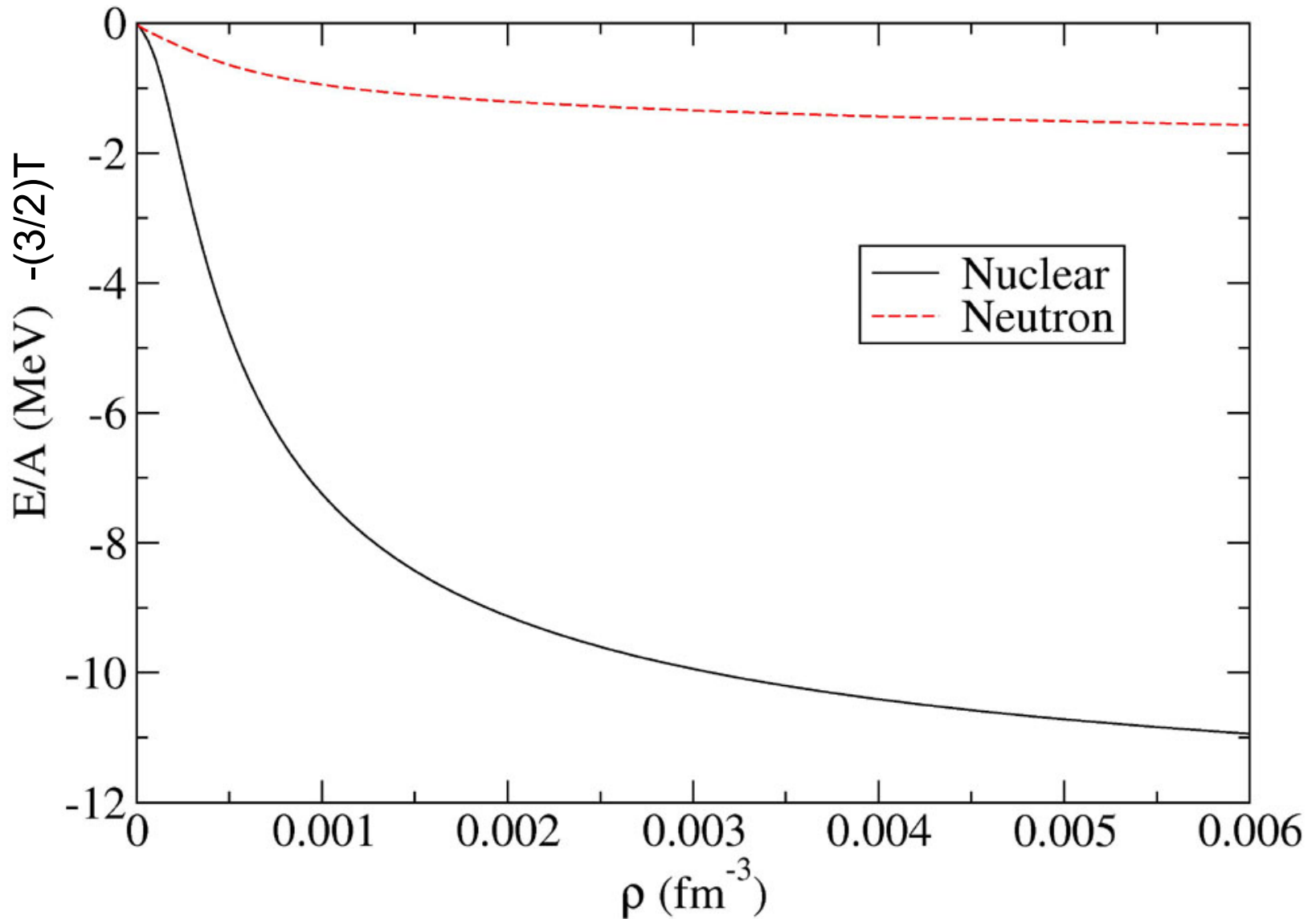
- Symmetry energy is  $S(n, T) = \frac{1}{8} \left( \frac{\partial^2 E/A}{\partial Y_p^2} \right)_{Y_p=1/2}$



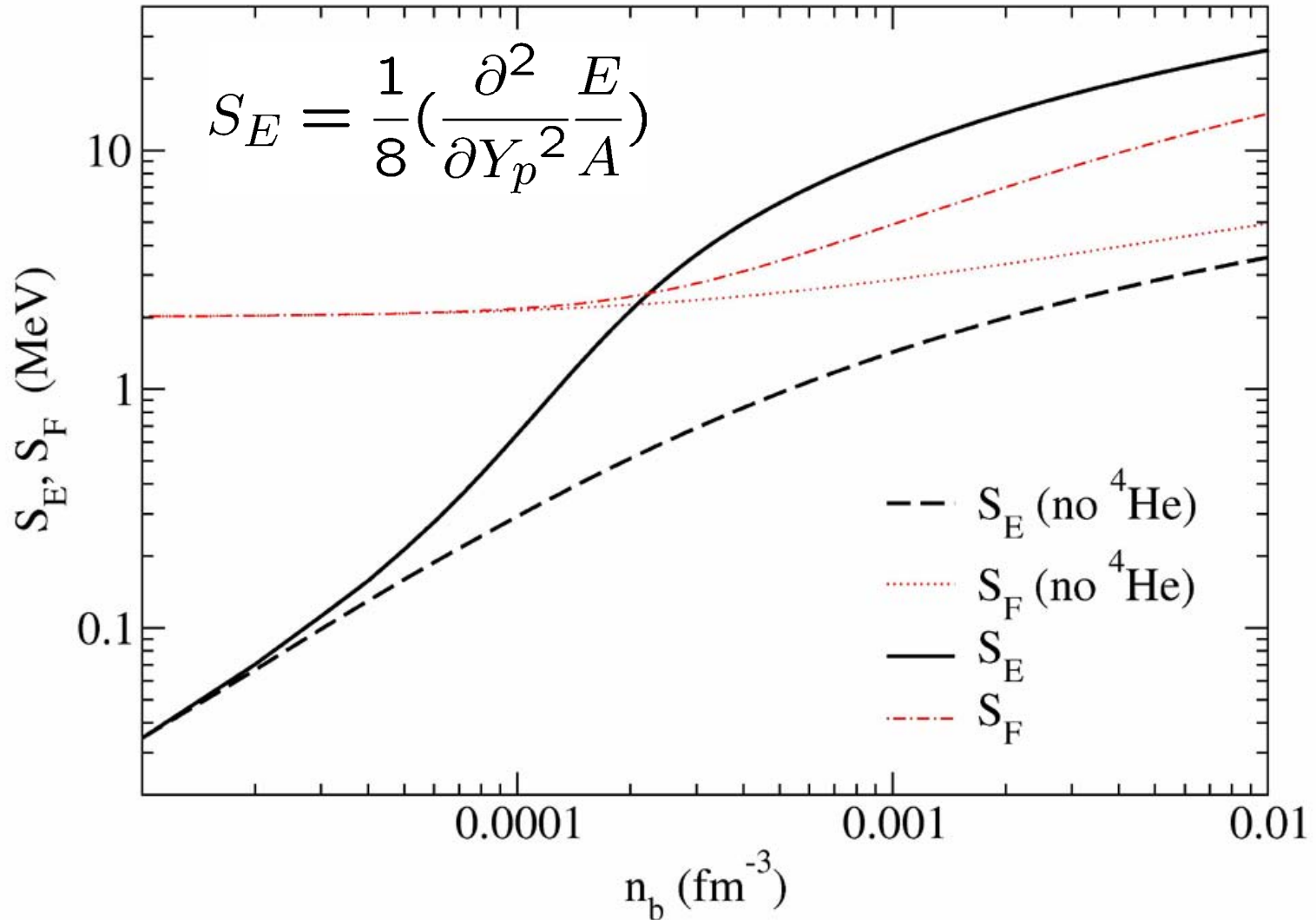
# Entropy vs density for symmetric nuclear matter at $T=4$ MeV

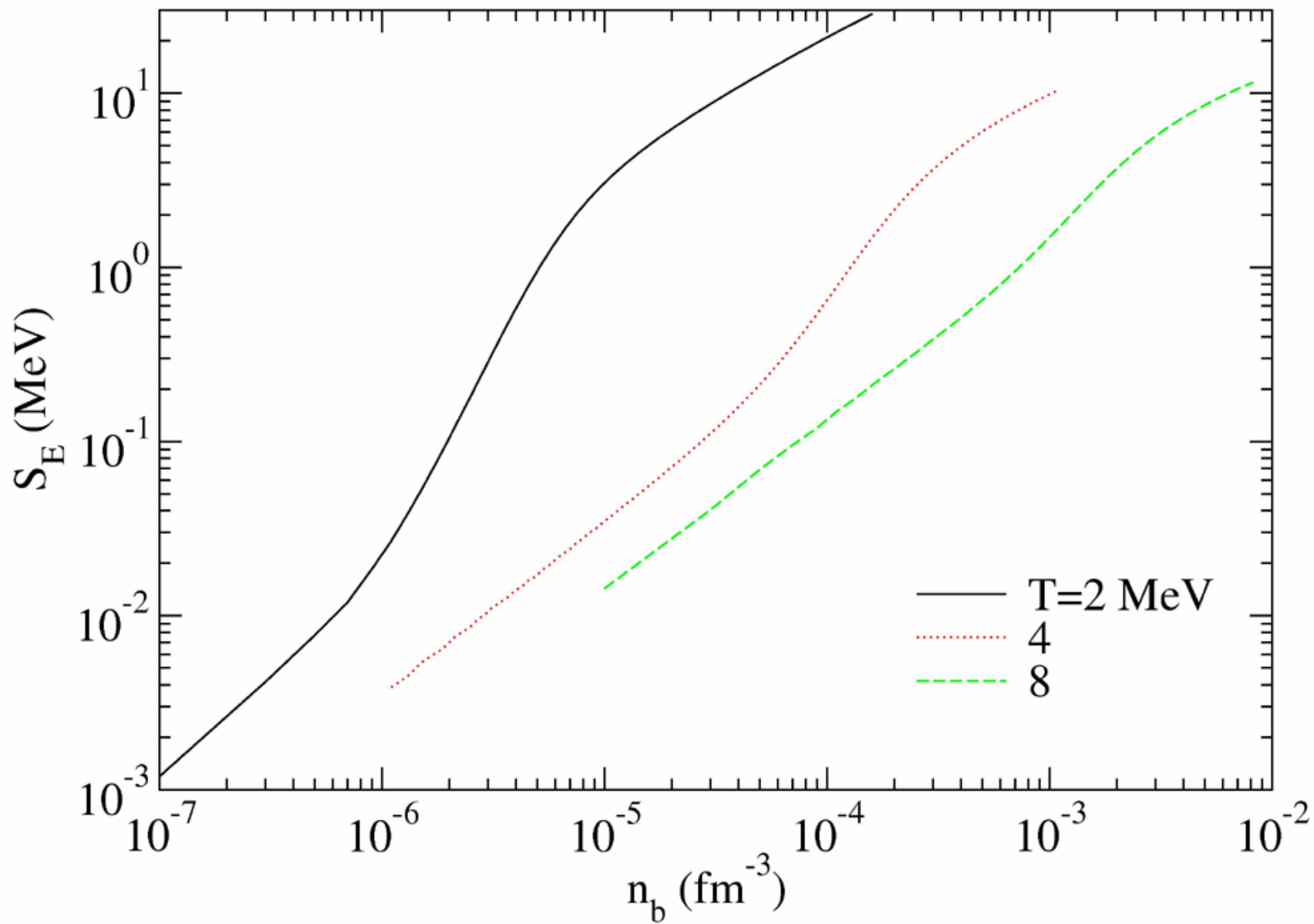


# Energy per Particle at T=4 MeV

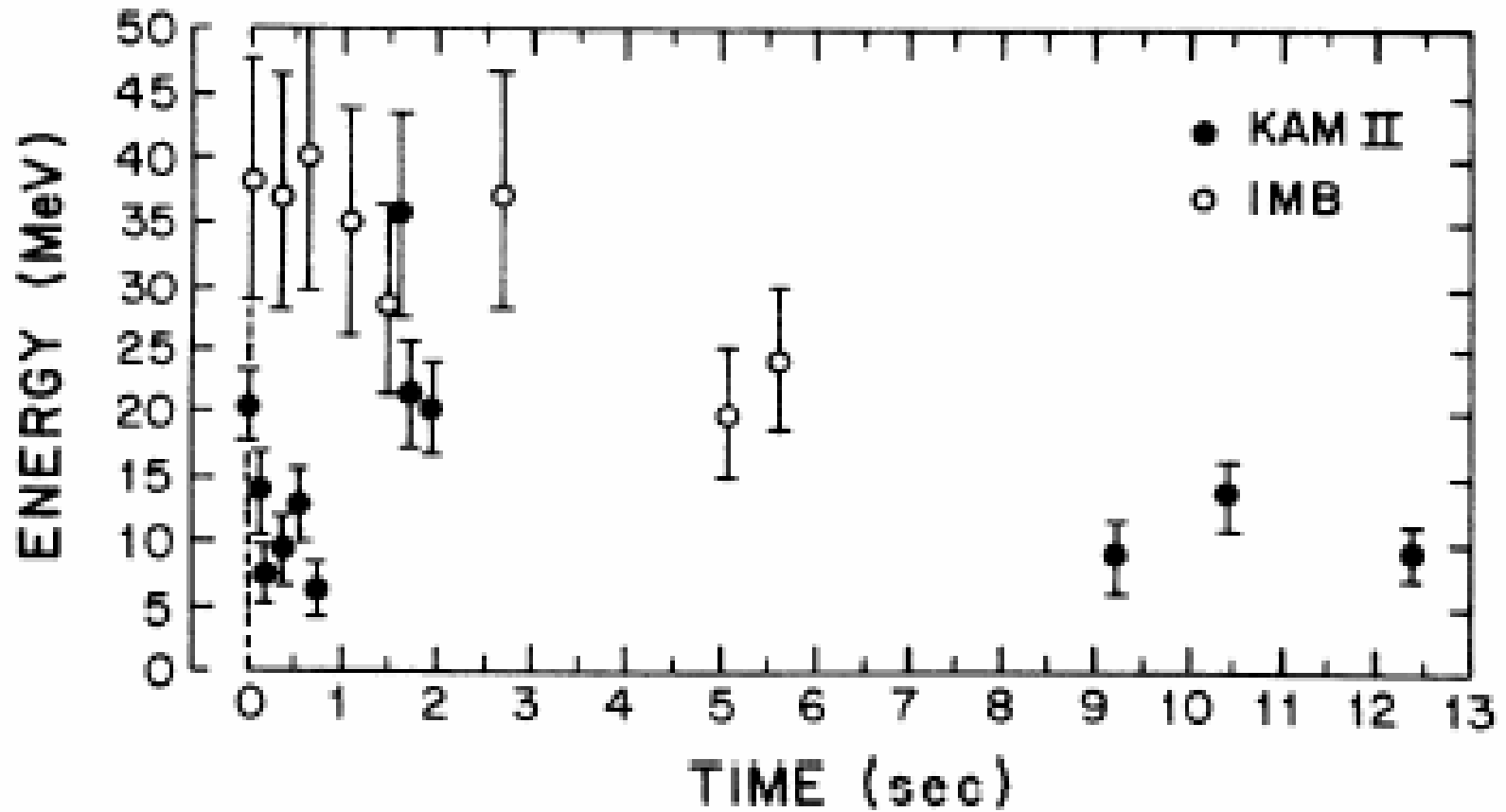


# Symmetry Energy, T=4 MeV





# Neutrino Response



Historic SN1987A data

# Neutrino Response

- Static structure factor  $S_q$  in  $q \rightarrow 0$  limit

$$S_v = S_{q=0} = T / (dP/dn)$$

- Axial or spin response from spin polarized neutron matter.  $z_+$  is fugacity of spin up, and  $z_-$  spin down, neutrons.  $z_a = (z_+/z_-)^{1/2}$

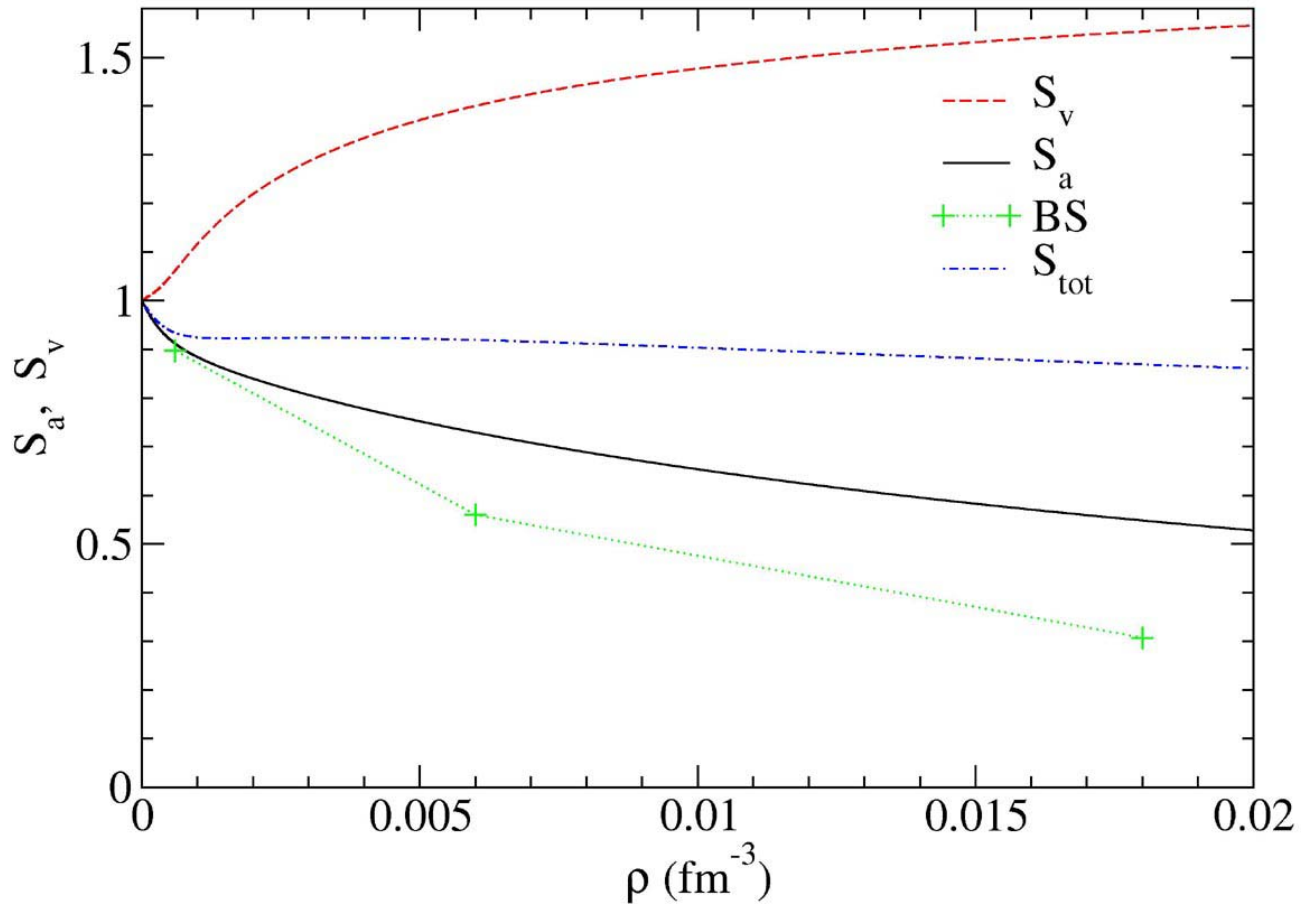
$$S_a = (1/n) d/dz_a (n_+ - n_-) |_{n_+ = n_-}$$

- Neutrino-neutron elastic cross section

$$d\sigma/d\Omega = (G^2 E_\nu^2 / 16\pi^2) [(1 + \cos\theta) S_v + g_a^2 (3 - \cos\theta) S_a]$$

- Add contributions from  $\nu$ -p and  $\nu$ - $\alpha$  scattering
- Response is only model independent in  $q \rightarrow 0$  limit.

# $\nu$ response $T=4$ MeV, $Y_p=0.3$



Total response is given by  $S_{tot}$  and this is much larger than traditional RPA calculation of Burrows and Sawyer (BS) because of  $\alpha$  contributions.

# Future Work

- Calculate nucleon 3<sup>rd</sup> virial  $b_3$  for neutron and nuclear matter. Example Paulo Bedaque + G. Rupak cond-mat/0206527
- Include heavy nuclei in addition to n, p, and  $\alpha$ 
  - As a single heavy nucleus with ave.  $\langle Z \rangle$  and  $\langle A \rangle$ .
  - As a distribution of many heavy nuclei (perhaps with simplified N-nucleus scattering).
- Include coulomb interactions.
- Study role of inelastic scattering.
- ...



# Conclusions

- Virial expansion provides model independent equation of state, composition, entropy, energy, and long wave length responses for nuclear matter at low densities.
- In neutron matter 2<sup>nd</sup> virial is nearly independent of T but 40% smaller than in unitary limit ( $a \rightarrow \infty$ ,  $r_s \rightarrow 0$ ).
- Neutron matter EOS scales:  $P = T^{5/2} f(n/T^{3/2})$
- Low density nuc matter has clusters and does not scale.
- We describe nuclear matter in n, p, and  $\alpha$  coordinates with virial coefficients from NN, N $\alpha$ , and  $\alpha\alpha$  scattering.
- Incorporate d and  $\alpha$  bound states and scattering resonances including  ${}^2\text{He}$ , N- $\alpha$  p-waves, and  ${}^8\text{Be}$ .
- Model independent  $\alpha$  mass fraction larger than in widely used Lattimer Swesty model.

- C. J. Horowitz Indiana University and Achim Schwenk
- Support from DOE

“Towards a Universal Density Functional for the Nucleus”, INT, 9/05

IN HEAVEN AND ON EARTH 2006

THE NUCLEAR EQUATION OF STATE IN ASTROPHYSICS

- The physics of cold dense matter.
- Neutron star masses, radii, and cooling.
- Nuclear and HI experiments targeting the EOS.



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JULY 5-7 2006

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