Nuclear Many-Body Dynamics constrained by QCD and Chiral Symmetry

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framework of Lorentz-covariant, meson-nucleon or point-coupling models of nuclear dynamics based on EFT and DFT.

- QHD models are consistent with QCD symmetries
- the QHD framework implicitly includes vacuum effects, spontaneously broken chiral symmetry, nucleon substructure, exchange terms
- characteristic \Rightarrow large Lorentz scalar and vector nucleon self-energies ($\approx 0.3 0.4$ GeV at saturation density)
- success of the relativistic mean-field description of nuclear phenomenology





♣ In-medium changes of the scalar quark condensate (the chiral condensate) $< \bar{q}q >_{\rho}$ and of the quark density $< q^{\dagger}q >_{\rho}$ give rise to scalar Σ_S and vector Σ_V nucleon self-energies ($\approx 0.3 - 0.4$ GeV at saturation density)

 $\langle \bar{q}q \rangle_0 \simeq -(240 \text{ MeV})^3 \simeq -1.8 \text{ fm}^{-3}$

FINITE DENSITY QCD SUM RULES: \Rightarrow in leading order

$$\Sigma_{S}^{(0)} = -rac{8\pi^{2}}{\Lambda_{B}^{2}} (_{
ho} - _{
m vac})$$

$$\Sigma_V^{(0)} = rac{64\pi^2}{3\Lambda_B^2} < q^\dagger q >_
ho$$

QCD SUM RULES \Rightarrow not sufficiently precise to make detailed predictions at the scale of a few MeV

T.D. Cohen, R.J. Furnstahl and D.K. Griegel, Phys. Rev. Lett. 67, 961 (1991)

Nuclear binding and saturation arise dominantly from chiral (pionic) fluctuations superimposed on the condensate background fields.

IN-MEDIUM CHIRAL PERTURBATION THEORY

CHIRAL PION-NUCLEON DYNAMICS \Rightarrow EOS of isospin symmetric nuclear matter as an expansion in $(k_F)^n$

- the expansion coefficients are functions of k_f/m_π
- in-medium CHPT to three loop order with a single momentum space cut-off $\Lambda \simeq 0.65$ GeV (encodes NN-dynamics at short distances) \Rightarrow

 $ar{E}(k_{f0}) = -15.3 \ {
m MeV} \
ho_0 = 0.178 \ {
m fm}^{-3} \ K = 255 \ {
m MeV} \ A(k_{f0}) = 33.8 \ {
m MeV}$

N. Kaiser, S. Fritsch, and W. Weise, Nucl. Phys. A697, 255 (2002)



$$ar{\psi} {\mathcal O}_{ au} \Gamma \psi) \qquad {\mathcal O}_{ au} \in \{1, au_i\} \qquad \Gamma \in \{1,\gamma_\mu,\gamma_5,\gamma_5\gamma_\mu,\sigma_{\mu
u}\}$$

A general effective Lagrangian can be written as a power series in the point couplings $(\bar{\psi} \mathcal{O}_{\tau} \Gamma \psi)$ and the derivatives $\partial_{\nu}(\bar{\psi} \mathcal{O}_{\tau} \Gamma \psi)$.

How many parameters (coefficients of the power series) can be uniquely determined, either microscopically or from nuclear phenomenology?

FKVW point-coupling model \Rightarrow includes the following four-fermion interaction vertices:

> isoscalar-scalar: $(\bar{\psi}\psi)^2$ isoscalar-vector: $(\bar{\psi}\gamma_{\mu}\psi)(\bar{\psi}\gamma^{\mu}\psi)$ isovector-scalar: $(\bar{\psi}\vec{\tau}\psi)\cdot(\bar{\psi}\vec{\tau}\psi)$

isovector-vector: $(\bar{\psi}\vec{\tau}\gamma_{\mu}\psi)\cdot(\bar{\psi}\vec{\tau}\gamma^{\mu}\psi)$

$$\mathcal{L} = \mathcal{L}_{\mathrm{free}} + \mathcal{L}_{\mathrm{4f}} + \mathcal{L}_{\mathrm{der}} + \mathcal{L}_{\mathrm{em}}$$

 $rac{1}{2} \; D_{TV}(\hat{
ho})(\partial_{
u} ar{\psi} ec{ au} \gamma_{\mu} \psi) \cdot (\partial^{
u} ar{\psi} ec{ au} \gamma^{\mu} \psi)$ $\mathcal{L}_{4\mathrm{f}} = -rac{1}{2} G_S(\hat{
ho})(\bar{\psi}\psi)(\bar{\psi}\psi) \ -rac{1}{2} G_V(\hat{
ho})(\bar{\psi}\gamma_\mu\psi)(\bar{\psi}\gamma^\mu\psi) \ -rac{1}{2} G_{TS}(\hat{
ho})(\bar{\psi}\gamma_\mu\psi)(\bar{\psi}\gamma^\mu\psi) \ -rac{1}{2} G_{TV}(\hat{
ho})(\bar{\psi}\vec{\tau}\psi) \cdot (\bar{\psi}\vec{\tau}\psi) \ -rac{1}{2} G_{TV}(\hat{
ho})(\bar{\psi}\vec{\tau}\gamma_\mu\psi) \cdot (\bar{\psi}\vec{\tau}\gamma^\mu\psi)$ $\mathcal{L}_{
m em} = +eA^{\mu} \overline{\psi} rac{1+ au_3}{2} \gamma_{\mu} \psi - rac{1}{4} F_{\mu
u} F^{\mu
u}$ $m{\mathcal{L}}_{
m der} = -rac{1}{2} \, D_S(\hat{
ho}) (\partial_
u ar{\psi} \psi) (\partial^
u ar{\psi} \psi) \ -rac{1}{2} \, D_V(\hat{
ho}) (\partial_
u ar{\psi} \gamma_\mu \psi) (\partial^
u ar{\psi} \gamma^\mu \psi) \ -rac{1}{2} \, D_{TS}(\hat{
ho}) (\partial_
u ar{\psi} ar{\tau} \psi) \cdot (\partial^
u ar{\psi} ar{\tau} \psi)$ ${\cal L}_{
m free} = \psi (i \gamma_\mu \partial^\mu - M) \psi$ **MINIMAL LAGRANGIAN:**



$$G_i(\hat{
ho}) = G_i^{< qq>}(\hat{
ho}) + G_i^{\pi}(\hat{
ho})$$



$$\begin{split} \Sigma_{r}^{\mu} &= u^{\mu} \left(\frac{1}{2} \frac{\partial G_{S}}{\partial \hat{\rho}} (\bar{\psi}\psi) (\bar{\psi}\psi) + \frac{1}{2} \frac{\partial D_{S}}{\partial \hat{\rho}} (\partial^{\nu}(\bar{\psi}\psi)) (\partial_{\nu}(\bar{\psi}\psi)) \right. \\ &+ \frac{1}{2} \frac{\partial G_{TS}}{\partial \hat{\rho}} (\bar{\psi}\vec{\tau}\psi) \cdot (\bar{\psi}\vec{\tau}\psi) + \frac{1}{2} \frac{\partial D_{TS}}{\partial \hat{\rho}} (\partial^{\nu}(\bar{\psi}\vec{\tau}\psi)) \cdot (\partial_{\nu}(\bar{\psi}\vec{\tau}\psi)) \\ &+ \frac{1}{2} \frac{\partial G_{V}}{\partial \hat{\rho}} j^{\nu} j_{\nu} + \frac{1}{2} \frac{\partial D_{V}}{\partial \hat{\rho}} (\partial_{\nu}j_{\alpha}) (\partial^{\nu}j^{\alpha}) \\ &+ \frac{1}{2} \frac{\partial G_{TV}}{\partial \hat{\rho}} \vec{j}_{T}^{\nu} \cdot \vec{j}_{T\nu} + \frac{1}{2} \frac{\partial D_{TV}}{\partial \hat{\rho}} (\partial_{\nu}\vec{j}_{T\alpha}) \cdot (\partial^{\nu}\vec{j}_{T}^{\alpha}) \right) \\ &- \frac{\partial D_{V}}{\partial \hat{\rho}} (\partial_{\nu}j_{\alpha}) u^{\alpha} (\partial^{\nu}j^{\mu}) \end{split}$$

 \Rightarrow also Σ_{rS} , $\vec{\Sigma}_{rTS}$ and $\vec{\Sigma}_{rT}^{\mu}$. The rearrangement terms result from the variation of the vertex functionals with respect to the baryon fields in the density operator $\hat{\rho}$.

 $\frac{\delta \mathcal{L}_{int}}{\delta \bar{\psi}} = \frac{\partial \mathcal{L}_{int}}{\partial \bar{\psi}} + \frac{\partial \mathcal{L}_{int}}{\partial \hat{\rho}} \frac{\delta \hat{\rho}}{\delta \bar{\psi}} \quad \frac{\delta \hat{\rho}}{\delta \bar{\psi}} = \gamma_{\mu} \hat{u}^{\mu} \quad \hat{\rho} \hat{u}^{\mu} = j^{\mu}$ **\$** the inclusion of rearrengement self-energies is essential for: **a**) energy-momentum conservation

$$\partial_\mu T^{\mu
u}=0$$

and for b) the thermodynamical consistency of the model

$$ho^2 rac{\partial}{\partial
ho} \left(rac{arepsilon}{
ho}
ight) = rac{1}{3} \sum_{i=1}^3 T^{ii}$$

 \Rightarrow requires the equality of the pressure obtained from the thermodynamical definition and from the energy-momentum tensor ($\varepsilon = T^{00}$, $\rho = (2/3\pi^2)k_F^3$).

The Mean-Field and No-Sea Approximations

the mean-field approximation

$$\bar{\psi}\mathcal{O}_{\tau}\Gamma\psi\longrightarrow\sum_{\varepsilon_{\alpha}>0}w_{\alpha}\bar{\phi}_{\alpha}\mathcal{O}_{\tau}\Gamma\phi_{\alpha}$$

 w_{α} : occupation numbers ϕ_{α} : Dirac four-spinor single-particle wave functions ε_{α} : single-particle energies

the "no-sea" approximation: The summation is restricted to positive single-particle energies. The interaction terms in the Lagrangian are expressed in terms of the local densities.

isoscalar-scalar: $ho_{\rm S}(\vec{r}) = \sum_{\alpha} \bar{\phi}_{\alpha}(\vec{r}) \phi_{\alpha}(\vec{r})$ isoscalar-vector: $ho_{\rm V}(\vec{r}) = \sum_{\alpha} \bar{\phi}_{\alpha}(\vec{r}) \gamma_0 \phi_{\alpha}(\vec{r})$ isovector-scalar: $ho_{\rm TS}(\vec{r}) = \sum_{\alpha} \bar{\phi}_{\alpha}(\vec{r}) \tau_3 \phi_{\alpha}(\vec{r})$ isovector-vector: $ho_{\rm TV}(\vec{r}) = \sum_{\alpha} \bar{\phi}_{\alpha}(\vec{r}) \tau_3 \gamma_0 \phi_{\alpha}(\vec{r})$ proton: $ho_{\rm C}(\vec{r}) = \frac{1}{2} \left[\rho_{\rm V}(\vec{r}) - \rho_{\rm TV}(\vec{r}) \right]$

Nuclear matter equation of state 1) QCD CONSTRAINTS: the presence of a non-trivial vacuum characterized by strong condensates T.D. Cohen, R.J. Furnstahl and D.K. Griegel, Phys. Rev. Lett. 67, 961 (1991); Phys. Rev. C 45, 1881 (1992); X. Jin, M. Nielsen, T.D. Cohen, R.J. Furnstahl and D.K. Griegel, Phys. Rev. C 49, 464 (1994) the important role of pionic fluctuations governing the low-energy, long wavelength dynamics N. Kaiser, S. Fritsch, and W. Weise, Nucl. Phys. A697, 255 (2002); Nucl. Phys. A700, 343 (2002)

IN-MEDIUM QCD SUM RULES \Rightarrow relate the changes of the scalar condensate $< \bar{q}q >_{
ho}$ and the quark density $< q^{\dagger}q >_{\rho}$ with the scalar self-energy Σ_{S} and vector self-energy Σ_V of a nucleon in the nuclear medium. in leading order $\Sigma^{(0)}_{f S} = -rac{8\pi^2}{\Lambda^2_{f B}} (<ar q q>_
ho - <ar q q>_0) = -rac{8\pi^2}{\Lambda^2_{f B}} rac{\sigma_{f N}}{m_{f u}+m_{f d}}
ho_{f S}$ $\langle \bar{q}q \rangle_0 \simeq -1.8 \text{ fm}^{-3} \qquad \sigma_N \sim \langle N | m_q \bar{q}q | N \rangle$ $\Sigma_V^{(0)} = rac{64\pi^2}{3\Lambda_D^2} < q^\dagger q >_
ho = rac{32\pi^2}{\Lambda_D^2}
ho \qquad \langle q^\dagger q
angle_
ho = rac{3}{2}
ho$ $\simeq -\frac{\sigma_N}{4(m_u+m_d)} \simeq -1 \ (\sigma_N \simeq 45 \text{ MeV}; \ m_u + m_d \simeq 12 \text{MeV})$

• Ioffe's formula for the nucleon mass:
$$M = -\frac{8\pi^2}{\Lambda_B^2} \langle \bar{q}q \rangle_0$$
• Gell-Mann-Oakes-Renner:
$$(m_u + m_d) \langle \bar{q}q \rangle_0 = -m_\pi^2 f_\pi^2$$

$$\sum_{S}^{(0)} = M^* - M = -\frac{\sigma_N M}{m_\pi^2 f_\pi^2} \rho_S \Rightarrow G_S^{(0)} = -11 \text{ fm}^2 \frac{\sigma_N}{50 \text{ MeV}}$$

$$\sum_{V}^{(0)} (\rho) = \frac{4(m_u + m_d)M}{m_\pi^2 f_\pi^2} \rho$$
• the corresponding equivalent strength parameters of the point-coupling model: $G_{S,V}^{(0)}$ are simply determined by
$$\sum_{S}^{(0)} = G_S^{(0)} \rho_s \qquad \sum_{V}^{(0)} = G_V^{(0)} \rho$$





2) EOS based on in-medium ChPT:

FIRST STEP: assume $\Sigma_S^{(0)} = -\Sigma_V^{(0)}$ in nuclear matter, and neglect the contribution of the condensate background self-energies to the nuclear matter EOS.

$$egin{array}{rcl} G_S^{(\pi)}
ho_s&=&\Sigma_S^{
m CHPT}(k_f,
ho)\ G_V^{(\pi)}
ho+\Sigma_r^{(\pi)}&=&\Sigma_V^{
m CHPT}(k_f,
ho)\ G_{TS}^{(\pi)}
ho_{s3}&=&\Sigma_{TS}^{
m CHPT}(k_f,
ho)\ G_{TV}^{(\pi)}
ho_3&=&\Sigma_{TV}^{
m CHPT}(k_f,
ho) \end{array}$$

$$\Sigma_{r}^{(\pi)} = rac{1}{2} rac{\partial G_{S}^{(\pi)}}{\partial
ho}
ho_{s}^{2} + rac{1}{2} rac{\partial G_{V}^{(\pi)}}{\partial
ho}
ho^{2} + rac{1}{2} rac{\partial G_{TS}^{(\pi)}}{\partial
ho}
ho_{s3}^{2} + rac{1}{2} rac{\partial G_{TV}^{(\pi)}}{\partial
ho}
ho_{3}^{2}$$

A neglect the momentum dependence of $\Sigma_{(T)S,V}^{CHPT}(p,\rho)$ and take their values at the Fermi surface $p = k_f$.

$$egin{aligned} \Sigma^{ ext{CHPT}}(k_{f},\lambda) &= & \left[c_{30}+c_{31}\lambda+c_{32}\lambda^{2}+c_{3L}\lnrac{m_{\pi}}{4\pi f_{\pi}\lambda}
ight]rac{k_{f}^{3}}{M^{2}} \ &+c_{40}\,rac{k_{f}^{4}}{M^{3}}+\left[c_{50}+c_{5L}\lnrac{m_{\pi}}{4\pi f_{\pi}\lambda}
ight]rac{k_{f}^{5}}{M^{4}} \end{aligned}$$

 $\Lambda = 2\pi f_\pi \lambda$ and $f_\pi =$ 92.5 MeV.

+ the CHPT self-energies are re-expressed in terms of the baryon density $\rho = 2k_f^3/3\pi^2$:

$$\begin{split} \Sigma_{S}^{\text{CHPT}}(k_{f},\rho) &= (c_{s1}+c_{s2}\rho^{\frac{1}{3}}+c_{s3}\rho^{\frac{2}{3}})\rho \\ \Sigma_{V}^{\text{CHPT}}(k_{f},\rho) &= (c_{v1}+c_{v2}\rho^{\frac{1}{3}}+c_{v3}\rho^{\frac{2}{3}})\rho \\ \Sigma_{TS}^{\text{CHPT}}(k_{f},\rho) &= (c_{ts1}+c_{ts2}\rho^{\frac{1}{3}}+c_{ts3}\rho^{\frac{2}{3}})\rho_{3} \\ \Sigma_{TV}^{\text{CHPT}}(k_{f},\rho) &= (c_{tv1}+c_{tv2}\rho^{\frac{1}{3}}+c_{tv3}\rho^{\frac{2}{3}})\rho_{3} \end{split}$$





FP-81: B. Friedman and V.R. Pandharipande, Nucl. Phys. A 361, 502 (1981)

3) Constraints from QCD Sum Rules: leading orders

Include the contributions of the condensate background self-energies in the isoscalar channels

$$G_{S,V}(
ho) = G_{S,V}^{(0)} + G_{S,V}^{(\pi)}(
ho)$$

 \clubsuit isovector channel \Rightarrow only pionic (chiral) fluctuations contribute to the nucleon self-energies.

A In leading order $\Sigma_{S}^{(0)}$ & $\Sigma_{V}^{(0)}$ are linear functions of the corresponding densities and $G_{S,V}^{(0)}$ are constants.

$$G_S^{(0)} \simeq -11 \; {
m fm}^2 \; {\sigma_N \over 50 \; {
m MeV}} \; {
m ct} \; \;
ho_s \simeq
ho_0 = 0.16 \; {
m fm}^{-3}$$

4 three parameters: $G_S^{(0)}$, $G_V^{(0)}$ and Λ are adjusted to reproduce the "empirical" nuclear matter properties.







The couplings of the condensate background fields $G_S^{(0)} = -12 \text{ fm}^2$ and $G_V^{(0)} = 11 \text{ fm}^2$ are very close to the prediction of the leading order in-medium QCD sum rules.

♣ The $\delta G_V^{(1)} = g_V^{(1)} \rho$ term acts like a three-body force in the energy density. Its effect is relatively small: at saturation density, $\delta G_V^{(1)} / G_V^{(0)} \simeq -0.05$.





Energy per particle of neutron matter as a function of the neutron density.







FINITE NUCLEI

additional contribution from:

$$\begin{aligned} \mathcal{L}_{der} &= -\frac{1}{2} \, D_S(\hat{\rho}) (\partial_\nu \bar{\psi} \psi) (\partial^\nu \bar{\psi} \psi) \\ &- \frac{1}{2} \, D_V(\hat{\rho}) (\partial_\nu \bar{\psi} \gamma_\mu \psi) (\partial^\nu \bar{\psi} \gamma^\mu \psi) \\ &- \frac{1}{2} \, D_{TS}(\hat{\rho}) (\partial_\nu \bar{\psi} \vec{\tau} \psi) \cdot (\partial^\nu \bar{\psi} \vec{\tau} \psi) \\ &- \frac{1}{2} \, D_{TV}(\hat{\rho}) (\partial_\nu \bar{\psi} \vec{\tau} \gamma_\mu \psi) \cdot (\partial^\nu \bar{\psi} \vec{\tau} \gamma^\mu \psi) \end{aligned}$$

Only one parameter of the derivative terms can be determined from nuclear binding energies and radii.

 \clubsuit in the present version of the FKVW model: only $D_S
eq 0$

5 MODEL PARAMETERS:

$$G_S^{(0)} = -12 \text{ fm}^2$$
, $G_V^{(0)} = 11 \text{ fm}^2$, $g_V^{(1)} = -3.9 \text{ fm}^5$,
 $\Lambda = 600 \text{ MeV and } D_S$



$$\mathcal{G}_{S}^{(0)} = -12 \text{ fm}^{2}, \mathcal{G}_{V}^{(0)} = 11 \text{ fm}^{2}, g_{V}^{(1)} = -3.9 \text{ fm}^{5}, \\ A = 600 \text{ MeV and } D_{S} = -0.713 \text{ fm}^{4}$$

$$\mathcal{G}_{S}^{(0)} = -12 \text{ fm}^{2}, \mathcal{G}_{V}^{(0)} = 11 \text{ fm}^{2}, g_{V}^{(1)} = -3.9 \text{ fm}^{5}, \\ A = 600 \text{ MeV and } D_{S} = -0.713 \text{ fm}^{4}$$

	$E/A^{ m exp}~({ m MeV})$	$E/A~({ m MeV})$	$r_c^{ m exp}~({ m fm}^{-3})$	$r_c (\mathrm{fm}^{-3})$
¹⁶ O	7.976	8.027	2.730	2.735
40 Ca	8.551	8.508	3.485	3.470
42 Ca	8.617	8.537	3.513	3.473
48 Ca	8.666	8.964	3.484	3.486
⁴² Ti	8.260	8.182		3.551
⁵⁰ Ti	8.756	8.779		3.571
$^{52}\mathrm{Cr}$	8.776	8.635	3.647	3.641
⁵⁸ Ni	8.732	8.493	3.783	3.778
⁶⁴ Ni	8.777	8.775	3.868	3.879
88 Sr	8.733	8.855	4.206	4.234
$^{90}\mathrm{Zr}$	8.710	8.746	4.272	4.284







CONCLUSIONS

(1) Relativistic nuclear point-coupling model \Rightarrow emphasizes the connection between nuclear dynamics and key features of low-energy, non-perturbative QCD:

- the presence of a non-trivial vacuum characterized by strong condensates
- the important role of pionic fluctuations governing the low-energy, long wavelength dynamics

(2) The built-in QCD constraints and explicit treatment of π -exchange drastically reduce the freedom in adjusting parameters and functional forms of density- dependent couplings.

CONCLUSIONS

(3) Nuclear binding and saturation are essentially governed by chiral (two-pion exchange) fluctuations.

4 Strong scalar and vector fields of \approx equal magnitude, induced by changes of the QCD vacuum in the presence of baryonic matter, generate the large effective spin-orbit potential in finite nuclei.