

# Density functional for nuclei from the renormalization group

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Nuclear Forces and Nuclear Systems, INT  
November 24, 2003

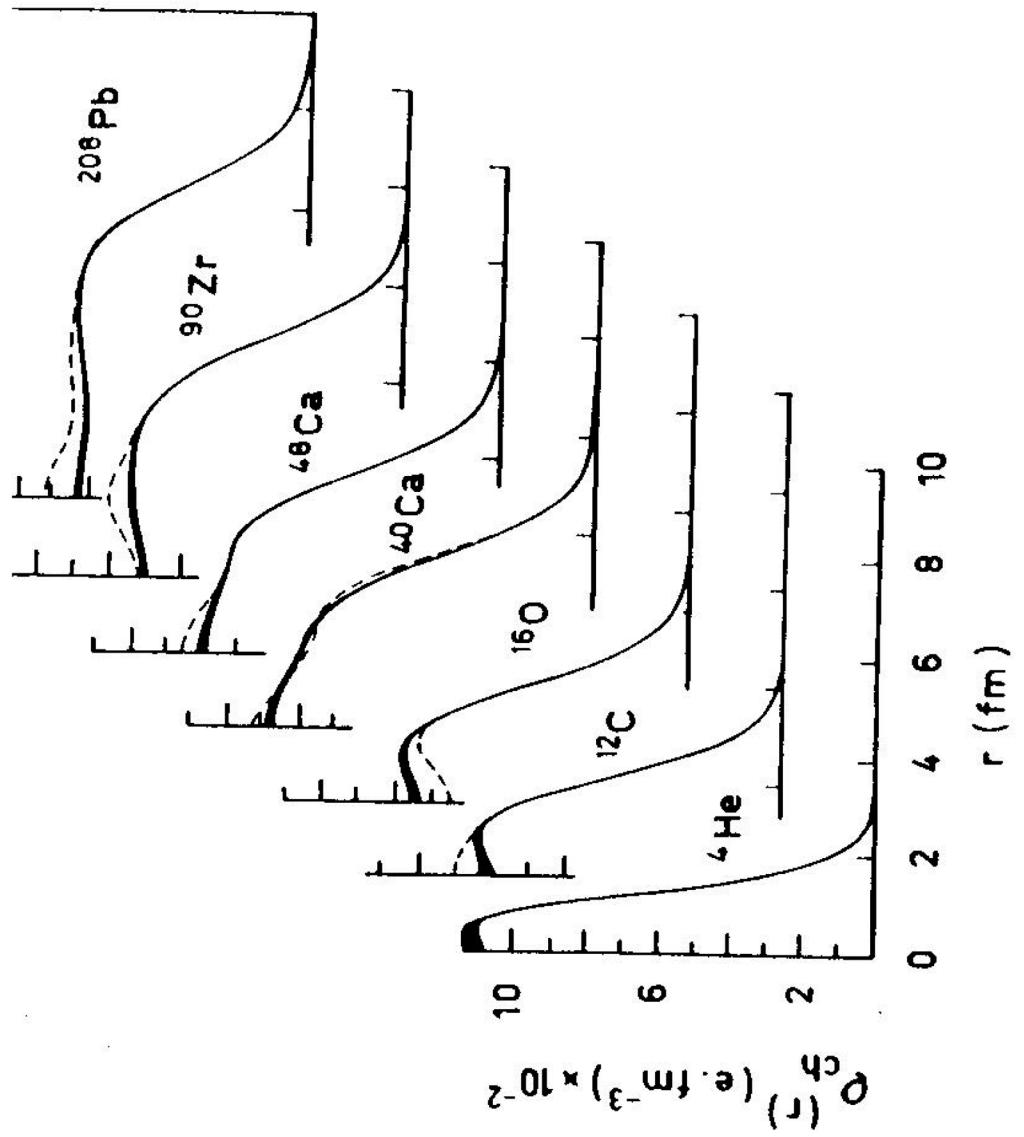
work in progress with Janos Polonyi

# Outline

- 1) Motivation
- 2) Effective action formalism – spin systems vS.  
Density Functional Theory (DFT)
- 3) Effective action for the density: non-interacting fermions
- 4) RG-inspired method for ground state properties:  
evolution equation – expansion scheme and diagrams  
*Polonyi, AS, in preparation.*

## 1) Motivation

- A main challenge in nuclear structure lies in the microscopic description of nuclei starting from NN and 3N interactions.
- However, microscopic approaches to large nuclei are difficult, since nucleons interact strongly and the # of possible configurations increases rapidly with A.
- A promising framework for heavy nuclei is to start from densities as variables instead of working in a single-particle basis. (very pictorially: nucleon densities of  $^{16}\text{O}$  and  $^{208}\text{Pb}$  not too different)
- **The description of ground state properties in terms of densities is the basis of density functional theory (DFT).**

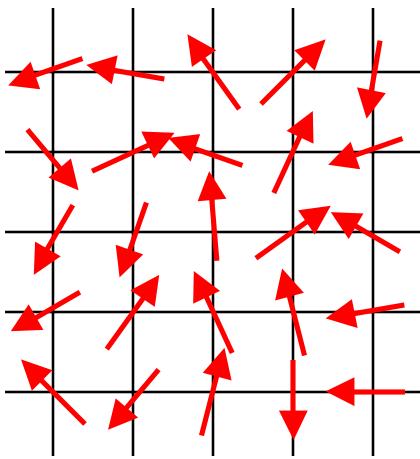


experimental charge densities Frois, Papanicolas,  
Ann. Rev. Nucl. Part. Sci. 37 (1987) 133.

In this talk, I would like to convince you that the DFT framework can be used for **microscopic calculations of nuclear ground state properties starting from NN and 3N interactions.**

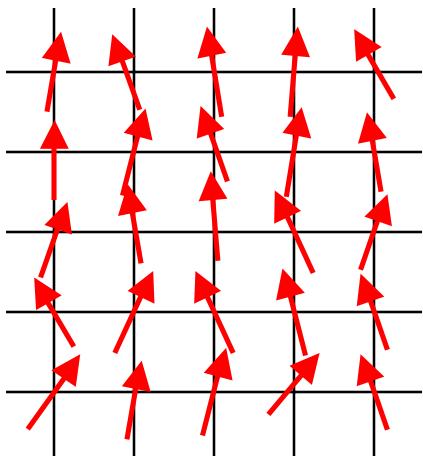
I will show that there are potential expansion schemes for strongly interacting nucleons in a DFT setup.

## 2) Effective action formalism – spin models vs. Density Functional Theory



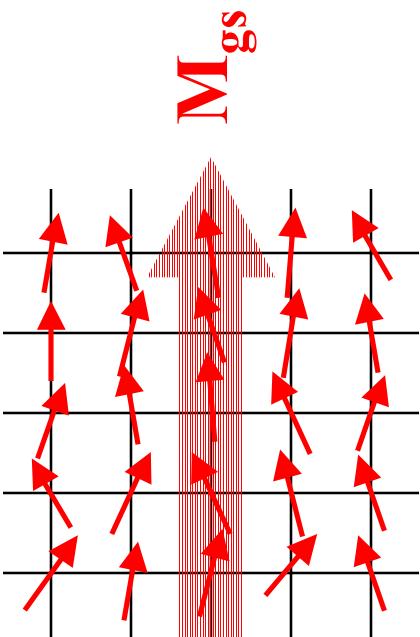
Consider a system of spins on a lattice. Can we calculate the total magnetization?

Do this by introducing a source magnet to the system.



Variations of the source  
(e.g., non-homogeneous fields)  
will probe all possible  
total spin configurations.

source magnet



The magnetization of the ground state  $\mathbf{M}_{\text{gs}}$  is found by removing the source after the system stabilizes.

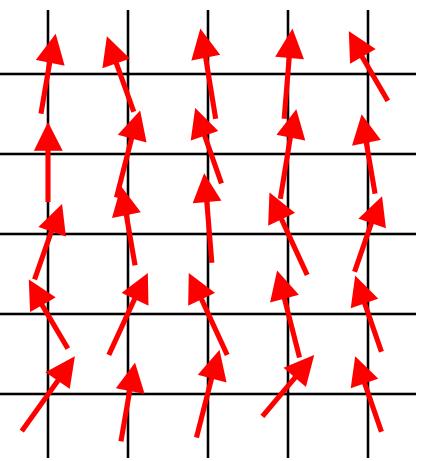
$\mathbf{M}_{\text{gs}}$  from the partition function:

$$M_{\text{gs}} = \left\langle \sum_i S_i \right\rangle = \frac{1}{Z} \text{Tr} \left[ \left( \sum_i S_i \right) e^{-\beta J \sum_{\{i,j\}} S_i S_j} \right]$$

with  $Z = \text{Tr} e^{-\beta J \sum_{\{i,j\}} S_i S_j}$ .

Introduce a source  $H$  coupled to the total spin:

$$Z[H] = e^{W[H]} = \text{Tr} e^{-\beta J \sum_{\{i,j\}} S_i S_j + H \sum_i S_i}$$



The source probes all possible configurations for the total spin.

$$\mathcal{Z}[H] = e^{W[H]} = \text{Tr} e^{-\beta J \sum_{\{i,j\}} S_i S_j + H \sum_i S_i}$$

Variations of the source yield:

$$\frac{\delta W[H]}{\delta H} = M = \left\langle \sum_i S_i \right\rangle_H \quad \text{and at } H=0 \quad \left. \frac{\delta W[H]}{\delta H} \right|_{H=0} = M_{\text{gs}}$$

Instead of  $W[H]$  it is advantageous to switch to the **effective action** which is given by a Legendre transform

$$\Gamma[M] = -W[H] + H M$$

where  $H$  is given in terms of  $M$  by inverting  $\frac{\delta W[H]}{\delta H} = M$

The magnetization can be determined from the effective action  $\Gamma[M] = -W[H] + H M$  directly by minimization:

$$\text{At the physical point (H=0): } \left. \frac{\delta \Gamma[M]}{\delta M} \right|_{M_{\text{gs}}} = 0$$

Therefore, the effective action can be expanded as

$$\Gamma[M] = \Gamma[M_{\text{gs}}] + \frac{1}{2} \Gamma^{(2)} (M - M_{\text{gs}})^2 + \dots$$

and a comparison with the spectral representation of the partition function  $\mathcal{Z} = \sum_n \exp(-\beta E_n)$  gives

$$\exp \left( -\Gamma[M_{\text{gs}}] - \frac{1}{2} \Gamma^{(2)} (M - M_{\text{gs}})^2 - \dots \right) = \exp(-\beta E_0) \sum_n \exp(-\beta \Delta E_n)$$

**effective action  $\sim$  ground state energy + excitations**

Application of the effective action formalism to interacting fermions:  $S[\psi^\dagger, \psi] = S_1[\psi^\dagger, \psi] + S_2[\psi^\dagger, \psi]$

**1-body + 2-body parts**

$$S_1[\psi^\dagger, \psi] = \sum_{\sigma} \int dx \psi_{\sigma}^\dagger(x) \left( \partial_t - \frac{1}{2m} \nabla_{\mathbf{x}}^2 + V_{\sigma}(\mathbf{x}) \right) \psi_{\sigma}(x)$$

background potential  $V$

$$S_2[\psi^\dagger, \psi] = \frac{1}{2} \sum_{\sigma_i, \sigma'_i} \int d\mathbf{x} dy dt \psi_{\sigma'_1}^\dagger(\mathbf{x}, t) \psi_{\sigma_1}(t) U_{\sigma_i, \sigma'_i}(\mathbf{x}, \mathbf{y}) \psi_{\sigma'_2}^\dagger(\mathbf{y}, t) \psi_{\sigma_2}(\mathbf{y}, t)$$

two-body interaction  $U$

$\downarrow$

$$S_1[\psi^\dagger, \psi] = \psi^\dagger \bullet G^{-1} \bullet \psi \quad \text{and single particle propagator}$$

$$S_2[\psi^\dagger, \psi] = \frac{1}{2} (\psi^\dagger \psi) \bullet U \bullet (\psi^\dagger \psi)$$

with a short-hand notation:

$$\psi^\dagger \bullet \psi = \sum_{\sigma} \int dx \psi_{\sigma}^\dagger(x) \psi_{\sigma}(x)$$

$$(\psi^\dagger \psi) \bullet \chi = \sum_{\sigma, \sigma'} \int dx \psi_{\sigma}^\dagger(x) \psi_{\sigma'}(x) \chi_{\sigma, \sigma'}(x)$$

In analogy to the magnetization of a spin system:

In order to determine the **ground state densities** couple a source  $\sigma_{\sigma,\sigma'}(x)$  to the **density operator**  $\psi_\sigma^\dagger(x) \psi_{\sigma'}(x)$ . This leads to a generating functional

$$e^{W[\sigma]} = \int \mathcal{D}[\psi^\dagger] \mathcal{D}[\psi] e^{-S[\psi^\dagger, \psi] + \sum_{\sigma, \sigma'} \int dx \psi_\sigma^\dagger(x) \psi_{\sigma'}(x) \sigma_{\sigma, \sigma'}(x)}$$

Perform a Legendre transform to the **effective action**

$$\Gamma[\rho] = -W[\sigma] + \sigma \cdot \rho$$

where the density is given by

$$\rho_{\sigma, \sigma'}(x) \equiv \langle \psi_\sigma^\dagger(x) \psi_{\sigma'}(x) \rangle = \frac{\delta W[\sigma]}{\delta \sigma_{\sigma, \sigma'}(x)}$$

and by inversion  $\sigma[\rho]$

As in the spin lattice case:

The **effective action**  $\Gamma[\rho] = -W[\sigma] + \sigma \cdot \rho$  is minimal at the physical (=zero source) ground state density, i.e.,

$$\frac{\delta \Gamma[\rho]}{\delta \rho} \Big|_{\rho=\rho_{\text{gs}}} = 0, \text{ with g.s. energy } E_{\text{gs}} = E[\rho_{\text{gs}}] = \lim_{\beta \rightarrow \infty} \frac{1}{\beta} \Gamma[\rho_{\text{gs}}]$$

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From Hohenberg, Kohn, Phys. Rev. B136 (1964) 864:

“It is proved that there exists a universal **functional of the density**, [...] which has as its minimum the correct ground state energy of a system of interacting fermions.”

- The **Hohenberg-Kohn density functional** is the **effective action for the density**.

# Inhomogeneous Electron Gas\*

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(Received 18 June 1964)

This paper deals with the ground state of an interacting electron gas in an external potential  $v(\mathbf{r})$ . It is proved that there exists a universal functional of the density,  $F[n(\mathbf{r})]$ , independent of  $v(\mathbf{r})$ , such that the expression  $E \equiv \int v(\mathbf{r}) n(\mathbf{r}) d\mathbf{r} + F[n(\mathbf{r})]$  has as its minimum value the correct ground-state energy associated with  $v(\mathbf{r})$ . The functional  $F[n(\mathbf{r})]$  is then discussed for two situations: (1)  $n(\mathbf{r}) = n_0 + \tilde{n}(\mathbf{r})$ ,  $\tilde{n}/n_0 < 1$ , and (2)  $n(\mathbf{r}) = \varphi(\mathbf{r}/r_0)$  with  $\varphi$  arbitrary and  $r_0 \rightarrow \infty$ . In both cases  $F$  can be expressed entirely in terms of the correlation energy and linear and higher order electronic polarizabilities of a uniform electron gas. This approach also sheds some light on generalized Thomas-Fermi methods and their limitations. Some new extensions of these methods are presented.

**Hohenberg, Kohn II**

The dependence of the effective action on the background field is trivial:  $\Gamma[\rho] = \Gamma_{V=0}[\rho] + V \cdot \rho$

### Hohenberg, Kohn II

The dependence of the effective action on the background field is trivial:  $\Gamma[\rho] = \Gamma_{V=0}[\rho] + V \cdot \rho$

This can be easily understood in the effective action formalism, since the background potential enters in the dynamics of the system in the same manner as  $V$ .

$$e^{W[\sigma]} = \int \mathcal{D}[\psi^\dagger] \mathcal{D}[\psi] e^{-S[\psi^\dagger, \psi] + \sum_{\sigma, \sigma'} \int dx \psi_\sigma^\dagger(x) \psi_{\sigma'}(x) \sigma_{\sigma, \sigma'}(x)}$$

$$\text{with } S_1[\psi^\dagger, \psi] = \sum_{\sigma} \int dx \psi_\sigma^\dagger(x) \left( \partial_t - \frac{1}{2m} \nabla_{\mathbf{x}}^2 + V_{\sigma}(\mathbf{x}) \right) \psi_{\sigma}(x)$$

It follows that  $W[\sigma] = W_{V=0}[\sigma - V]$  and thus

$$\Gamma[\rho] = -W[\sigma] + \rho \cdot \sigma = -W_{V=0}[\sigma - V] + \rho \cdot (\sigma - V + V)$$

**What is the advantage of the effective action formalism?**

- 1) This is the rest of my talk. :)
- 2) More professional: The effective action formalism is a constructive framework for the density functional.  
It allows for calculations of g.s. properties starting from microscopic NN and 3N interactions.

### 3) Effective action for the density: non-interacting fermions

Polonyi, Sailer, Phys. Rev. B66 (2002) 155113;  
Fukuda et al., Prog. Theor. Phys. 92 (1994) 833.

Purpose:

Show how the inversion of  $\rho_{\sigma,\sigma'}(x) \equiv \langle \psi_\sigma^\dagger(x) \psi_{\sigma'}(x) \rangle = \frac{\delta W[\sigma]}{\delta \sigma_{\sigma,\sigma'}(x)}$  is carried out and set the stage for the RG approach and expansion scheme.

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set the stage for the RG approach and expansion scheme.

$$\text{Recall: } e^{W[\sigma]} = \int \mathcal{D}[\psi^\dagger] \mathcal{D}[\psi] e^{-S[\psi^\dagger, \psi] + \sum_{\sigma,\sigma'} \int dx \psi_\sigma^\dagger(x) \psi_{\sigma'}(x) \sigma_{\sigma,\sigma'}(x)}$$

For free fermions only 1-body part in  $S$

$$S_1[\psi^\dagger, \psi] = \sum_{\sigma} \int dx \psi_\sigma^\dagger(x) \left( \partial_t - \frac{1}{2m} \nabla_{\mathbf{x}}^2 + V_{\sigma}(\mathbf{x}) \right) \psi_{\sigma}(x)$$

propagator  $G^{-1}$  in background potential

Integration over the fermion fields yields:

$$W_{\text{free}}[\sigma] = \text{Tr} \log[G^{-1} - \sigma] = \text{Tr} \log G^{-1} - \sum_{n=1}^{\infty} \frac{1}{n} \text{Tr} [(G \cdot \sigma)^n]$$

In order to determine the density, compute  $\delta W_{\text{free}}[\sigma]/\delta\sigma$

$$\rho_{\sigma,\sigma'}(x) = - \sum_{n=0}^{\infty} [(G \cdot \sigma)^n \cdot G]_{(\sigma',x),(\sigma,x)}$$

$$\rho_{\sigma,\sigma'}(x) = -G_{\sigma',\sigma}(x,x) - \sum_{\sigma_1,\sigma'_1} \int_y G_{(\sigma',x),(\sigma_1,y)} \sigma_{\sigma_1,\sigma'_1,y} G_{(\sigma'_1,y),(\sigma,x)} + \sigma^{n \geq 2}$$

Thus, the ground state density follows for zero source

$$\rho_{\text{gs};\sigma,\sigma'}(x) = -G_{\sigma',\sigma}(x,x) \quad \text{and diagrammatically} = \begin{array}{c} \text{Diagram: A circle with a clockwise arrow.} \\ \longrightarrow \\ X = (\sigma, \sigma', x) \end{array}$$

$$\rho_{\sigma, \sigma'}(x) = -G_{\sigma', \sigma}(x, x) - \sum_{\sigma_1, \sigma'_1} \int_y G_{(\sigma', x), (\sigma_1, y)} \sigma_{\sigma_1, \sigma'_1, y} G_{(\sigma'_1, y), (\sigma, x)} + \sigma^{n \geq 2}$$

The particle-hole propagator  $\tilde{G} = (\delta^2 \Gamma_{\text{free}} / \delta \rho^2)^{-1} = \delta^2 W_{\text{free}} / \delta \sigma^2$  is obtained from a second derivative. For zero source:

$$\tilde{G}_{(\sigma_1, \sigma'_1, x), (\sigma_2, \sigma'_2, y)} = -G_{(\sigma'_1, x), (\sigma_2, y)} G_{(\sigma'_2, y), (\sigma_1, x)}$$



Diagrammatically =

$$\uparrow \rho_{\sigma, \sigma'}(x) = -G_{\sigma', \sigma}(x, x) - \sum_{\sigma_1, \sigma'_1} \int_y G_{(\sigma', x), (\sigma_1, y)} \sigma_{\sigma_1, \sigma'_1, y} G_{(\sigma'_1, y), (\sigma, x)} + \sigma^{n \geq 2}$$

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In order to invert the source for the density  $\sigma[\rho]$ , one can expand the source in a power series of  $r = \tilde{G}^{-1} \cdot (\rho - \rho_{\text{gs}})$

From  $\uparrow \rho = \rho_{\text{gs}} + \tilde{G} \cdot \sigma + \sigma^{n \geq 2}$

and to lowest order  $\sigma = \tilde{G}^{-1} \cdot (\rho - \rho_{\text{gs}}) + (\rho - \rho_{\text{gs}})^{n \geq 2}$

The expression for the source can then be inserted in effective action, which has the same expansion:

$$\Gamma_{\text{free}}[\rho] = \Gamma_{\text{free}}^{(0)} + \sum_{n=2}^{\infty} \int_{X_1, \dots, X_n} \frac{1}{n!} \Gamma_{\text{free}; X_1, \dots, X_n}^{(n)} \cdot \underline{(\rho - \rho_{\text{gs}})_{X_1} \cdots (\rho - \rho_{\text{gs}, \lambda})_{X_n}}$$

starts with n=2 (minimal at g.s. density)

$$\Gamma_{\text{free}}^{(0)} = -\text{Tr} \log G^{-1} =$$

$$\Gamma_{\text{free}; X_1, X_2}^{(2)} = \tilde{G}_{X_1, X_2}^{-1} =$$

$$\Gamma_{\text{free}; X_1, X_2, X_3}^{(3)} = 2 \int_{Y_1, Y_2, Y_3} S_{Y_1, Y_2, Y_3}^{(3)} \tilde{G}_{Y_1, X_1}^{-1} \tilde{G}_{Y_2, X_2}^{-1} \tilde{G}_{Y_3, X_3}^{-1}$$

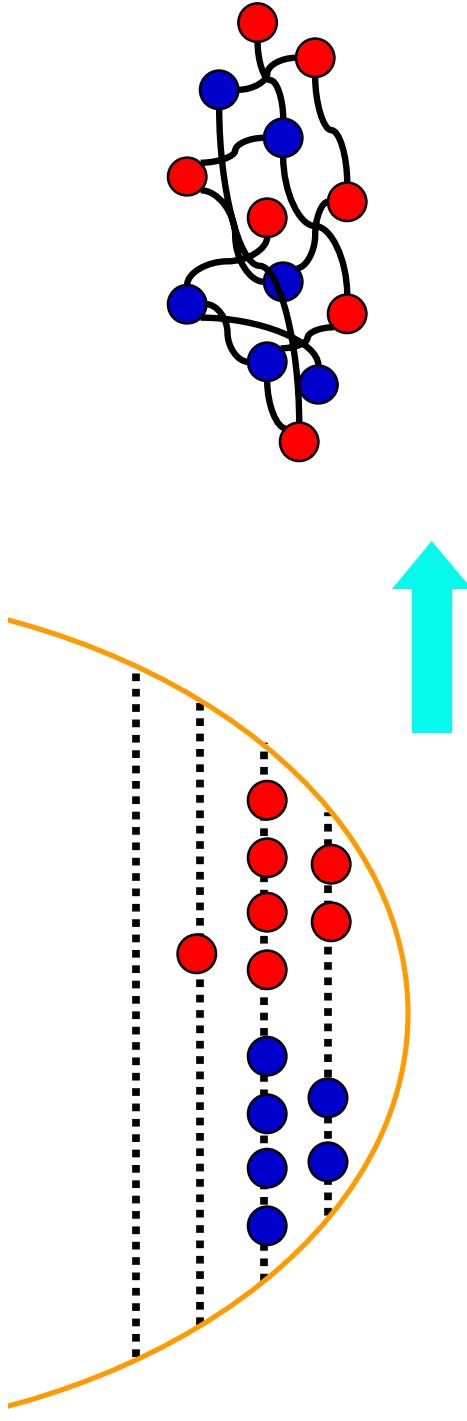
where  $S_{X_1, X_2, X_3}^{(3)} =$  is a 3-propagator ring.

**Non-interacting system has an expansion around the ground state density** (not surprising but useful for later).

Is it possible to take into account two- and three-body interactions without the usual loop expansion for the effective action?

## 4) RG-inspired method for nuclear ground state properties

Basic idea:



Start from **non-interacting fermions** in a **background potential** (HO) (or other localized basis states)

use a control parameter to **gradually switch off background potential** while turning on the microscopic interactions

Implementation in the effective action formalism:

Introduce a control parameter in the one- and two-body parts of the action

$$S_{\lambda,1}[\psi^\dagger, \psi] = \sum_\sigma \int dx \psi_\sigma^\dagger(x) \left( \partial_t - \frac{1}{2m} \nabla_x^2 + (1 - \lambda) V_{\lambda;\sigma}(\mathbf{x}) \right) \psi_\sigma(x)$$

$$S_{\lambda,2}[\psi^\dagger, \psi] = \frac{\lambda}{2} (\psi^\dagger \psi) \cdot U \cdot (\psi^\dagger \psi)$$

V (e.g., HO frequency)  
can depend on  $\lambda$

where  $0 \leq \lambda \leq 1$  connects the non-interacting system and the physical system.

The effective action depends on the control parameter and the evolution follows an RG equation for  $\Gamma_\lambda[\rho]$ .

## Derivation of the RG equation:

$$\partial_\lambda \Gamma_\lambda[\rho] = -\partial_\lambda W_\lambda[\sigma]$$

$$\begin{aligned} \partial_\lambda \Gamma_\lambda[\rho] &= e^{-W_\lambda[\sigma]} \int \mathcal{D}[\psi^\dagger] \mathcal{D}[\psi] e^{-S_\lambda[\psi^\dagger, \psi] + (\psi^\dagger \psi) \cdot \sigma} \left( \psi^\dagger \cdot (-V_\lambda + (1 - \lambda) \partial_\lambda V_\lambda) \psi \right. \\ &\quad \left. + \frac{1}{2} (\psi^\dagger \psi) \cdot U \cdot (\psi^\dagger \psi) \right) \end{aligned}$$

The expectation values for  $\langle \psi^\dagger \psi \rangle$  and  $\langle (\psi^\dagger \psi)^2 \rangle$  can be written in terms of the generating functional  $W$ :

$$\begin{aligned} \partial_\lambda \Gamma_\lambda[\rho] &= e^{-W_\lambda[\sigma]} \left( \sum_{\sigma} \int dx [-V_{\lambda;\sigma}(\mathbf{x}) + (1 - \lambda) \partial_\lambda V_{\lambda;\sigma}(\mathbf{x})] \frac{\delta}{\delta \sigma_{\sigma}(x)} \right. \\ &\quad \left. + \frac{1}{2} \sum_{\sigma_i, \sigma'_i} \int d\mathbf{x} dy dt U_{\sigma_i, \sigma'_i}(\mathbf{x}, \mathbf{y}) \frac{\delta^2}{\delta \sigma_{\sigma'_1, \sigma_1}(\mathbf{x}, t) \delta \sigma_{\sigma'_2, \sigma_2}(\mathbf{y}, t)} \right) e^{W_\lambda[\sigma]} \end{aligned}$$

combine

Therefore, we obtain

$$\begin{aligned} \partial_\lambda \Gamma_\lambda[\rho] &= \sum_{\sigma} \int dx [-V_{\lambda;\sigma}(\mathbf{x}) + (1-\lambda) \partial_\lambda V_{\lambda;\sigma}(\mathbf{x})] \frac{\delta W_\lambda[\sigma]}{\delta \sigma_\sigma(x)} \\ &\quad + \frac{1}{2} \sum_{\sigma_i, \sigma'_i} \int d\mathbf{x} dy dt U_{\sigma_i, \sigma'_i}(\mathbf{x}, \mathbf{y}) \left( \frac{\delta^2 W_\lambda[\sigma]}{\delta \sigma'_{\sigma'_1, \sigma_1}(\mathbf{x}, t) \delta \sigma'_{\sigma'_2, \sigma_2}(\mathbf{y}, t)} \right. \\ &\quad \left. + \frac{\delta W_\lambda[\sigma]}{\delta \sigma'_{\sigma'_1, \sigma_1}(\mathbf{x}, t)} \frac{\delta W_\lambda[\sigma]}{\delta \sigma'_{\sigma'_2, \sigma_2}(\mathbf{y}, t)} \right) \end{aligned}$$

The derivatives can be expressed in terms of the density and the effective action:

$$\partial_\lambda \Gamma_\lambda[\rho] = [-V_\lambda + (1-\lambda) \partial_\lambda V_\lambda] \cdot \rho + \frac{1}{2} \rho \cdot U \cdot \rho + \frac{1}{2} \text{Tr} \left[ U \cdot \left( \frac{\delta^2 \Gamma_\lambda[\rho]}{\delta \rho \delta \rho} \right)^{-1} \right]$$

change in background potential   Hartree contribution   exchange-correlations

**This is the RG equation for the density functional.**

Separate off analytically known background field and Hartree part in the density functional

$$\Gamma_\lambda[\rho] = (1 - \lambda)V_\lambda \cdot \rho + \frac{\lambda}{2}\rho \cdot U \cdot \rho + \tilde{\Gamma}_\lambda[\rho]$$

kinetic energy and exchange-correlation (xc) functional

Evolution equation for the kinetic and xc part:

$$\partial_\lambda \tilde{\Gamma}_\lambda[\rho] = \frac{1}{2} \text{Tr} \left[ U \cdot \left( \frac{\delta^2 \tilde{\Gamma}_\lambda[\rho]}{\delta \rho \delta \rho} + \lambda U \right)^{-1} \right]$$

Need a truncation scheme to solve the RG equation?

Expand the kinetic and exchange-correlation functional around the **evolving g.s. density**:

$$\tilde{\Gamma}_\lambda[\rho] = \tilde{\Gamma}[\rho_{\text{gs},\lambda}]^{(0)} + \sum_{n=1}^{N_\Gamma} \int_{X_1, \dots, X_n} \frac{1}{n!} \tilde{\Gamma}[\rho_{\text{gs},\lambda}]_{X_1, \dots, X_n}^{(n)} \cdot (\rho - \rho_{\text{gs},\lambda})_{X_1} \cdots (\rho - \rho_{\text{gs},\lambda})_{X_n}$$

Since the expansion coefficients are space-time dependent no local density approximation has been made.

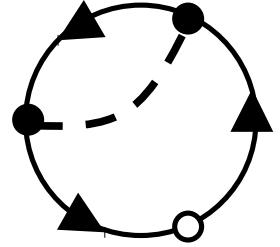
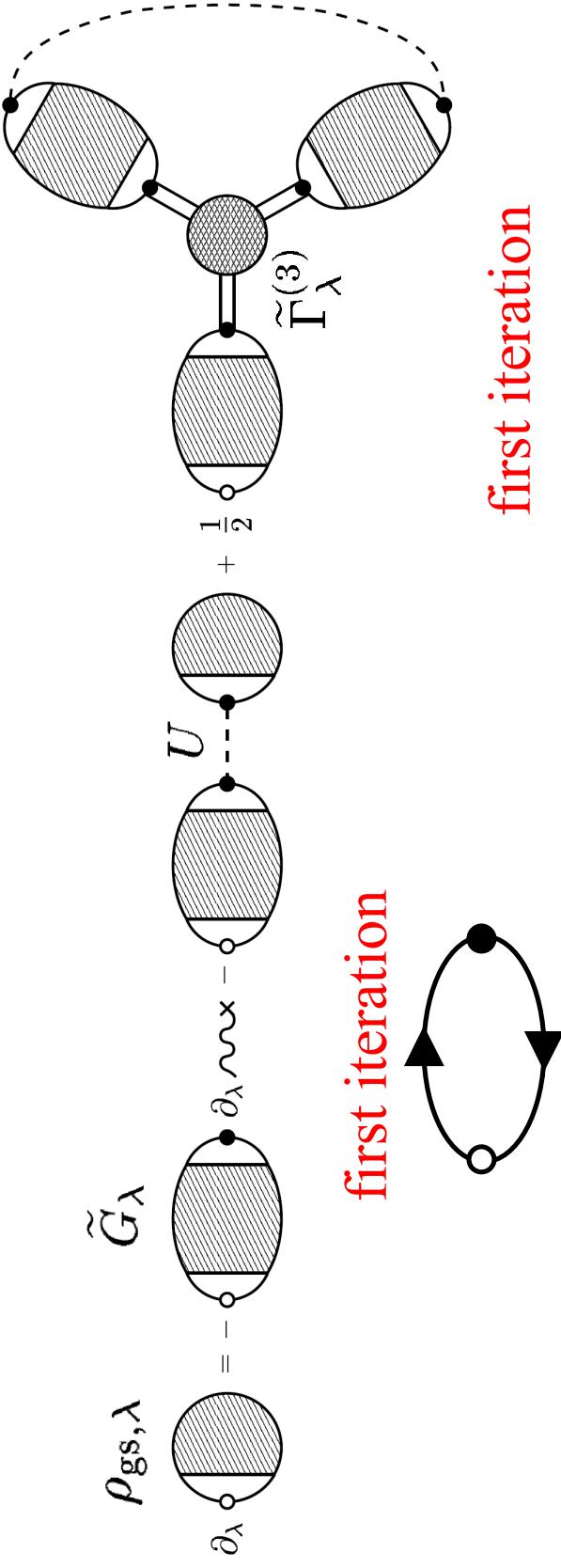
The RG equation for  $\tilde{\Gamma}_\lambda[\rho]$  then leads to a set of coupled evolution equations for the expansion coefficients, i.e.,

- for the kinetic and XC contribution to the g.s. energy,
- for the g.s. density,
- for the dressed particle-hole propagator  $\tilde{G}_\lambda = (\tilde{\Gamma}_\lambda^{(2)} + \lambda U)^{-1}$
- for the dressed 3-propagator ring, etc.

# RG equations and diagrams

For the g.s. density:

$$\partial_\lambda \rho_{\text{gs},\lambda;X} = -[-V_\lambda + (1-\lambda) \partial_\lambda V_\lambda] \cdot \tilde{G}_{\lambda;X} - \rho_{\text{gs},\lambda} \cdot U \cdot \tilde{G}_{\lambda;X} + \frac{1}{2} \text{Tr} [U \cdot \tilde{G}_\lambda \cdot (\tilde{\Gamma}_\lambda^{(3)} \cdot \tilde{G}_{\lambda;X}) \cdot \tilde{G}_\lambda]$$



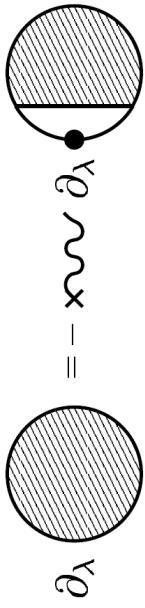
linear response to the change  
in V and the Hartree part

## RG equations and diagrams

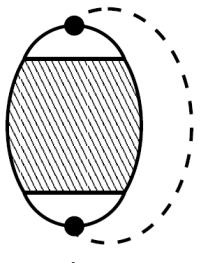
For the g.s. energy:

$$\beta \partial_\lambda E_{\text{kxc}}[\rho_{\text{gs}}, \lambda] = \partial_\lambda \tilde{\Gamma}_\lambda^{(0)} = -(1 - \lambda) V_\lambda \cdot \partial_\lambda \rho_{\text{gs}, \lambda} - \lambda \rho_{\text{gs}, \lambda} \cdot U \cdot \partial_\lambda \rho_{\text{gs}, \lambda} + \frac{1}{2} \text{Tr} [U \cdot \tilde{G}_\lambda]$$

$$E_{\text{kxc}}[\rho_{\text{gs}}, \lambda]$$

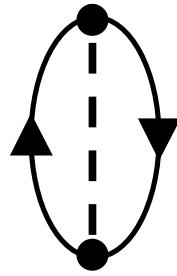


$$\partial_\lambda = - \text{wavy } \partial_\lambda - \lambda \text{ circle}$$



$$\partial_\lambda \text{ circle} - \lambda \text{ circle} - \partial_\lambda + \frac{1}{2}$$

first iteration



Exchange contributions are built in through the (dressed) particle-hole propagator.

Further (and future) features:

- g.s. densities remain normalized under the evolution
- projection on vanishing cm kinetic energy can be implemented by introducing a source for the cm momentum (source coupled to cm kinetic energy directly is a quartic operator and thus even the initial conditions would be non-perturbative)
- harmonic oscillator frequency can be optimized under the evolution to improve the convergence
- pairing correlations can be introduced by coupling a source to the off-diagonal densities
- renormalization of operators implies sources for the relevant transition operators

## Essential to the RG: Expansion around the current system

Changes of the cutoff (or “control parameters”) in the effective theory are absorbed in couplings (or general expansion coefficients)

$$g(\Lambda) - g(\Lambda - \delta\Lambda) = \frac{\delta\Lambda}{\Lambda} \beta(g, \Lambda)$$

The efficiency of the RG is due to the expansion of the beta function around the current coupling:

↑ RG expansion:  $\beta(g, \Lambda) = \sum_n b_n^{\text{RG}}(g(\Lambda), \Lambda) (g - g(\Lambda))^n$

Perturbation theory:  
 $\beta(g, \Lambda) = \sum_n b_n^{\text{PT}}(g_0, \Lambda) (g - g_0)^n$

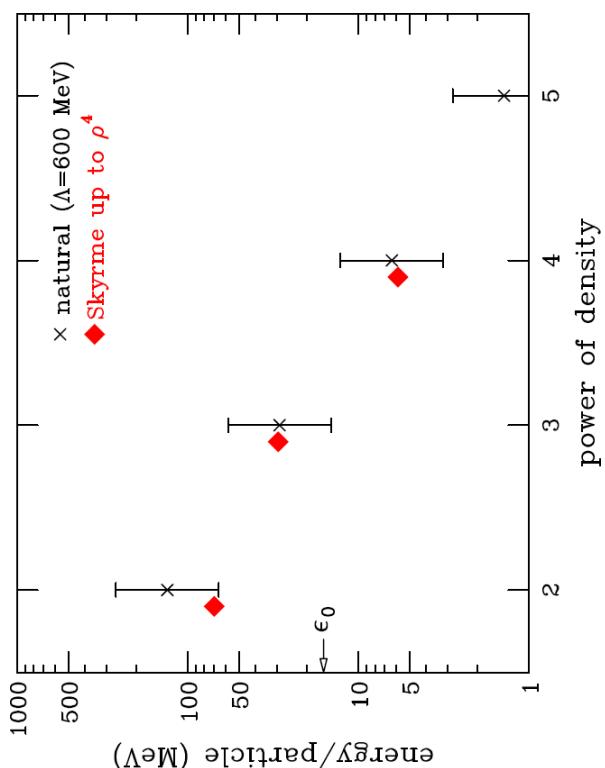
↑ Under the evolution one only needs  $\Lambda \frac{dg(\Lambda)}{d\Lambda} = b_0^{\text{RG}}$

Optimistic plan: We are implementing the method for test systems and will then move on to calculate “benchmark” nuclei (e.g.,  $^4\text{He}$ ,  $^{16}\text{O}$ ). In principle, the algorithm should scale well to larger nuclei.

## Other approaches to DFT

- Inversion method [Puglia, Bhattacharyya, Furnstahl, NP A723 \(2003\) 145.](#)  
Given an EFT power counting scheme, the inversion of the source in terms of the density (see non-interacting fermions) can be organized consistently.

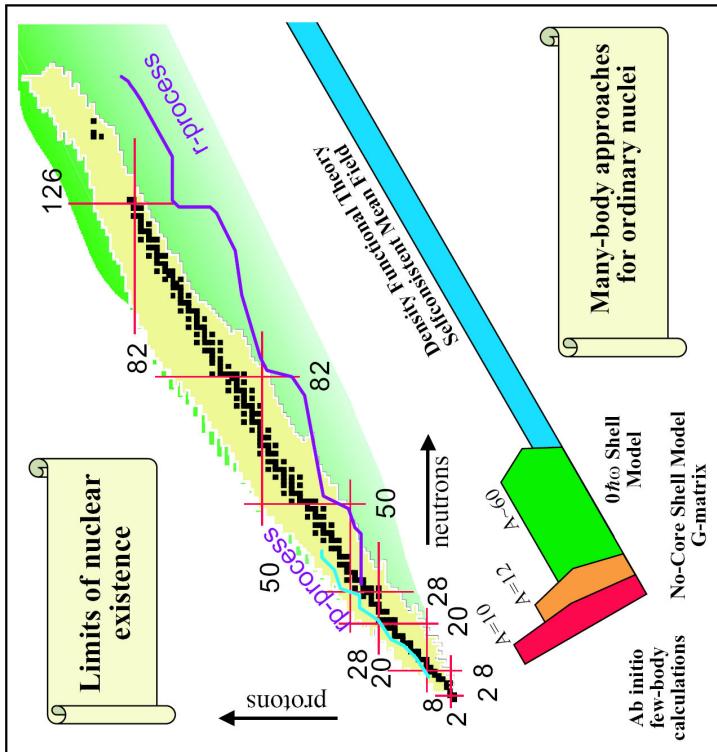
- Power counting for phenomenological density functionals (e.g. Skyrme [Furnstahl, nucl-th/0109007](#).



# Conclusions

Towards microscopic approaches for larger nuclei:

- Effective action – DFT can start from NN and 3N interactions
- RG-inspired method is potentially promising



- Microscopic calculations in a DFT framework should be scalable to larger nuclei