

The *hep* and the *hen* processes in EFT



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in collaboration with

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TSP et al., PRC67('03)055206, nucl-th/0208055

Y.-H. Song and TSP, nucl-th/0311055

K. Kubodera and TSP, to appear in Ann. Rev. Nucl. Part. Sci

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Among the solar burning processes ($4 p \rightarrow {}^4\text{He} + 2 e^+ + 2 \nu_e + \text{g's}$),

$$(pp) \quad p + p \rightarrow d + e^+ + \nu_e \quad E_\nu = 0 \sim 0.4 \text{ MeV}$$

$$(pep) \quad p + e^- + p \rightarrow d + \nu_e \quad E_\nu = 1.4 \text{ MeV}$$

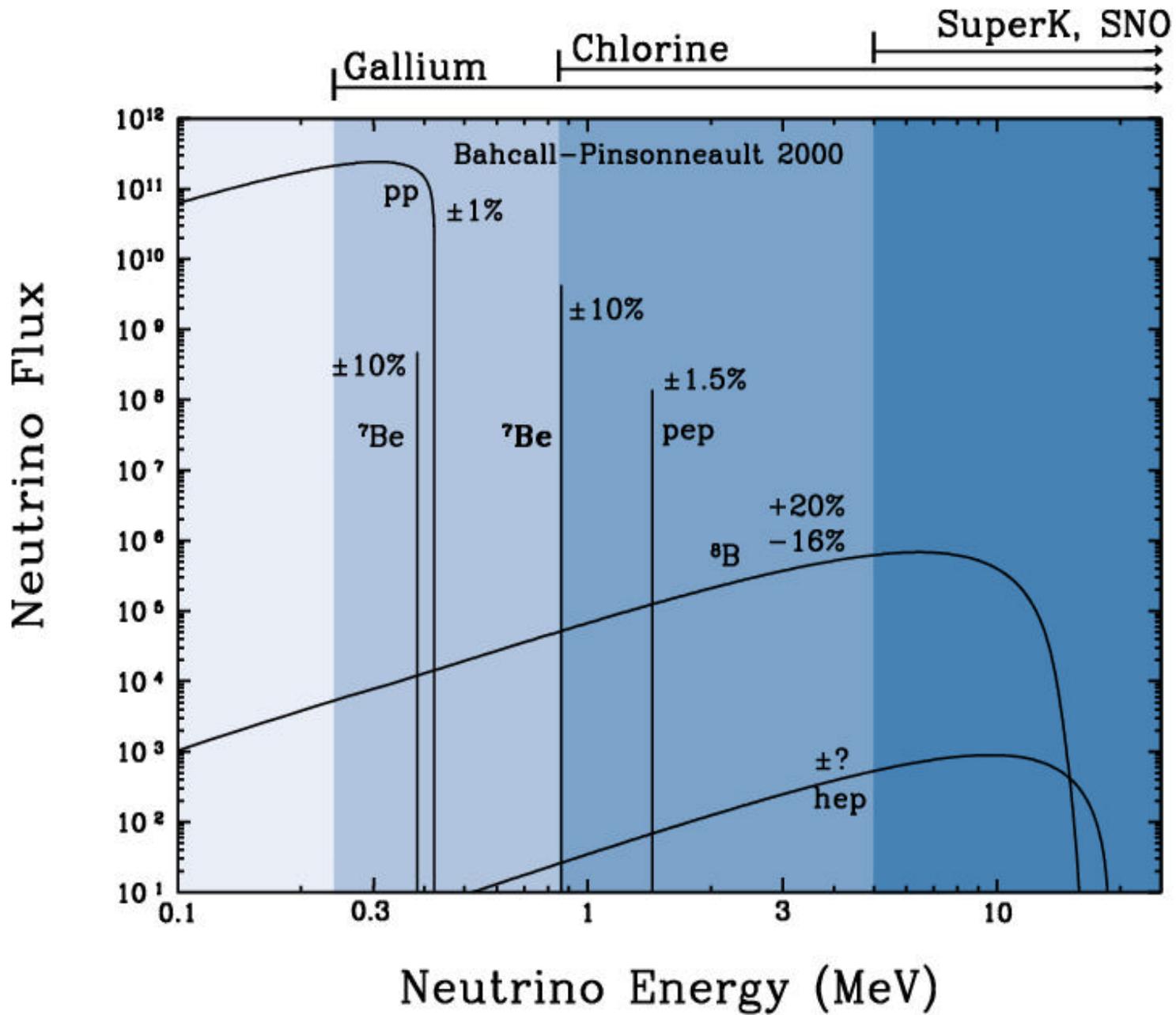
$$({}^8\text{B}) \quad {}^8\text{B} \rightarrow {}^8\text{Be} + e^+ + \nu_e \quad E_\nu < 18 \text{ MeV}$$

$$(hep) \quad {}^3\text{He} + p \rightarrow {}^4\text{He} + e^+ + \nu_e \quad E_\nu < 20 \text{ MeV}$$

$$\phi(pp-pep) \gg \phi({}^8\text{B}) \gg \phi(hep)$$

pp produces the **dominant** solar neutrinos.

hep produces the **highest-energy** solar neutrinos. There can be a significant distortion of the high-end of the ${}^8\text{B}$ neutrino spectrum.



hep history (S -factor in 10^{-23} MeV-b unit):

Schematic wave functions

'52 (Salpeter)	630	Single particle model
'67 (Werntz)	3.7	Symmetry group consideration
'73 (Werntz)	8.1	Better wave functions (P-wave)
'83 (Tegner)	4~25	D-state & MEC
'89 (Wolfs)	15.3 ± 4.7	analogy to ${}^3\text{He}+n$
'91 (Wervelman)	57	${}^3\text{He}+n$ with shell-model

Modern wave functions

'91 (Carlson et al.)	1.3	VMC with A_v14
'92 (Schiavilla et al.)	1.4-3.1	VMC with A_v28 (N+ Δ)
→	$S_0 = 2.3$	(“standard value”)
'01 (Marcucci et al)	9.64	CHH with A_v18 (N+ Δ) + p -wave PRL84('00)5959, PRC63('00)015801

J. Bahcall's challenge:

*“... do not see any way at present to determine
from experiment or
first principle theoretical calculations
a relevant, robust upper limit to
the *hep* production cross section.”*

(hep-ex/0002018)

Q: Can effective field theory (EFT) be a breakthrough ?

A: Yes (naive considerations: $BE(^4\text{He})=28 \text{ MeV}$)

No (if you know more about the *hep*)... ..

Yes ! (the 1st half of my presentation)

What's wrong with the *hep* ?

- Leading order $\langle 1B \tilde{n} \rangle$ is highly suppressed.

$$|{}^4\text{He}\tilde{n}\rangle = |S_4:\text{most symmetric}\tilde{n}\rangle + \dots$$

$$|{}^3\text{He} + p\tilde{n}\rangle = |S_{31}:\text{next-to-most symmetric}\tilde{n}\rangle + \dots$$

$$\langle S_4 | g_A \sum_i \sigma_i \tau_i | S_{31} \tilde{n} \rangle = 0. \quad : \quad (\text{Gamow-Teller})$$

→ **1B-LO is small and difficult to evaluate**

→ We need **realistic** (not schematic) wave functions.

→ Meson-exchange current (MEC) plays an important role.

2. Meson-exchange current (MEC) is *not* dominated by the long-ranged one-pion-exchange: **short-ranged operators with unknown coefficients** plays an important role.

3. There is a substantial cancellation between 1B and MEC.
→ **Errors are amplified.**

4. Getting realistic/reliable **4-body wave functions** is quite non-trivial. Furthermore we need w.f.s for both scattering states as well as bound states.

Various possible approaches for the *hep*

- Traditional/conventional, phenomenological or **standard nuclear physics approach** (SNPA) :
 - Chemtob-Rho type current operators ($\pi, \rho, \omega, \Delta, \dots$)
 - Phenomenological but very accurate potentials: $C^2 \approx 1$
 - State-of-the-art technique for many-body wave functions
 - **Extensively tested for many processes with impressive successes**
 - Limitations:
 - Not systematic
 - Uncertainties in the short-range physics

- Effective field theory (EFT) a la Weinberg
 - Consistent and systematic expansion for the current operators (and the potential)

$$O = \sum_n O_n = O_0 + O_1 + O_2 + \dots$$

- Wave functions need infinite summation for a given V , which can be done by solving Schroedinger equation

$$|\Psi\rangle = |\phi\rangle + G^0 V |\Psi\rangle$$

$$= (1 + G^0 V + G^0 V G^0 V + \dots) |\phi\rangle$$
- **Limitation:** As of now, we do not have accurate enough wave functions for the *hep* process, though great efforts and progresses are being made recently. **Q:** How much the w.f.'s should be accurate? (see the Discussion)
- How can we go further?

- Hybrid method (of SNPA & EFT)

|Yñ : SNPA

∴ : EFT

- We can concentrate only on the current operators
- Better accuracy (inherited from SNPA) for the 1B and the long-ranged contributions
- Problems (limitations)
 - Model dependence
 - Mismatch/inconsistency
 - Poor control over the short-range physics

- *More-effective EFT* (MEEFT, EFT*)

= Consistent and systematic EFT with the (phenomenological) SNPA wave functions

= hybrid method + renormalization procedure for the short ranged contributions

- The whole problem (of SNPA and hybrid-method) lies in the short-range (SR) physics.

- In EFT, SR physics is described by the local operators,

$$\mathbf{O}_{\text{short}} = \sum_n c_n \nabla^{2n} \mathbf{d}(r) = c_0 \mathbf{d}(r) + \dots$$

- Up to N⁴LO (Q⁴ compared to the LO), we have only non-derivative contact term, C_0 , for many cases.

- $\hat{a} Y_f | \mathbf{d}(\mathbf{r}) | Y_i \hat{n}$: model(potential)-dependent

- We can then fix the value of C_0 so as to reproduce other known experimental data (in many cases in a system with different A).
- The value of C_0 is model-dependent, which cancels out the model-dependence of $\hat{a}Y_f | \mathbf{d}(\mathbf{r}) | Y_i \tilde{\mathbf{n}}$, so as to have model-independent $\langle \Psi_f | O_{\text{short}} | \Psi_i \rangle$, which is the **renormalization condition**.

MEEFT Strategy for $M(\text{hep}) = \hat{a} Y_f | O | Y_i \tilde{n}$

$|Y\tilde{n}$: Correlated-hyperspherical-harmonics (CHH) with
Argonne **Av18** potential
+ **Urbana-IX** three-nucleon interactions

O : Up to N³LO in heavy-baryon chiral-perturbation theory (**H
BChPT**)

Pertinent degrees of freedom: **pions** and **nucleons**.

Expansion parameter = Q/Λ_χ

Q : typical momentum scale and/or m_π ,

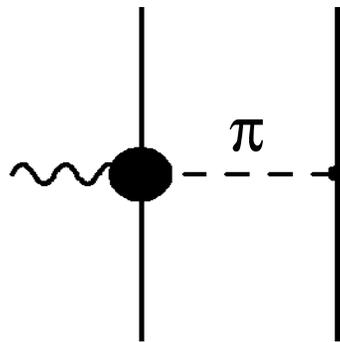
Λ_χ : m_N and/or $4\pi f_\pi$

Weinberg's power counting rule for irreducible diagrams.

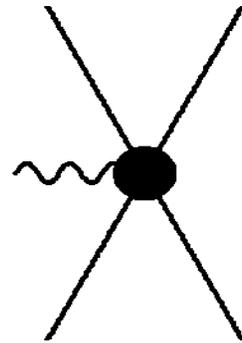
Gamow-Teller channel (*pp* and *hep*)

$$\vec{A}_{1B} = g_A \sum_i \mathbf{t}_i \left[\vec{\mathbf{s}}_i + \frac{\vec{p}_i \mathbf{s}_i \cdot p_i - \vec{\mathbf{s}}_i p_i^2}{2m_N^2} \right] = \text{LO} + \text{N}^2\text{LO}$$

$$\vec{A}_{2B} = \sum_{i < j} \left[\vec{A}_{ij}^{\text{OPE}} + \vec{A}_{ij}^{4F} \right] = \text{N}^3\text{LO}$$



OPE



4F

There is no soft-OPE (which is N²LO) contributions

$$\begin{aligned}
\vec{A}_{ij}^{\text{OPE}} = & -\frac{g_A}{2m_N f_p^2} \frac{1}{m_p^2 + q^2} \left[-\frac{i}{2} (\mathbf{t}_i \times \mathbf{t}_j) \vec{p} (\vec{\mathbf{s}}_i - \vec{\mathbf{s}}_j) \cdot \vec{q} \right. \\
& + 4\hat{c}_3 \vec{q} \vec{q} \cdot (\mathbf{t}_i \vec{\mathbf{s}}_i + \mathbf{t}_j \vec{\mathbf{s}}_j) \\
& \left. + \left(\hat{c}_4 + \frac{1}{4} \right) (\mathbf{t}_i \times \mathbf{t}_j) \vec{q} \times [(\vec{\mathbf{s}}_i \times \vec{\mathbf{s}}_j) \times \vec{q}] \right]
\end{aligned}$$

The values of c 's are determined from the π -N data

$$\hat{c}_3 = -3.66 \pm 0.08, \quad \hat{c}_4 = 2.11 \pm 0.08$$

$$\vec{A}_{ij}^{4F} = -\frac{g_A}{m_N f_p^2} \left[2\hat{d}_1(\mathbf{t}_i \vec{\mathbf{s}}_i + \mathbf{t}_j \vec{\mathbf{s}}_j) + \hat{d}_2(\mathbf{t}_i \times \mathbf{t}_j)(\vec{\mathbf{s}}_i \times \vec{\mathbf{s}}_j) \right]$$

Thanks to Pauli principle and the fact that the contact terms are effective only for L=0 states, **only one combination is relevant:**

$$\hat{d}^R \equiv \hat{d}_1 + 2\hat{d}_2 + \frac{1}{3}\hat{c}_3 + \frac{2}{3}\hat{c}_4 + \frac{1}{6}$$

\hat{d}^R corresponds to L_{1A} in PDS scheme (Butler et al, PLB549('02)26))

The **same combination** enters into

pp*, *hep, tritium- β decay (**TBD**), ***m-d*** capture, ***n-d*** scattering, We use the experimental value of TBD to fix \hat{d}^R , then all the others can be predicted !

To control the short-range physics consistently,
we apply the same (Gaussian) regulator

$$j_{\Lambda}^{\mu}(\vec{r}) = \int \frac{d^3\vec{k}}{(2\pi)^3} e^{i\vec{k}\cdot\vec{r}} \exp\left(-\frac{\vec{k}^2}{\Lambda^2}\right) J^{\mu}(\vec{k})$$

for all the $\Lambda=2,3$ and 4 systems, with

$$\Lambda = [500, 600, 800] \text{ MeV}$$

\hat{d}^R is a function of Λ , and **determined for each value of Λ to reproduce experimental value of TBD rate**

(Warming up) Results: $M_{\Lambda}(pp)$

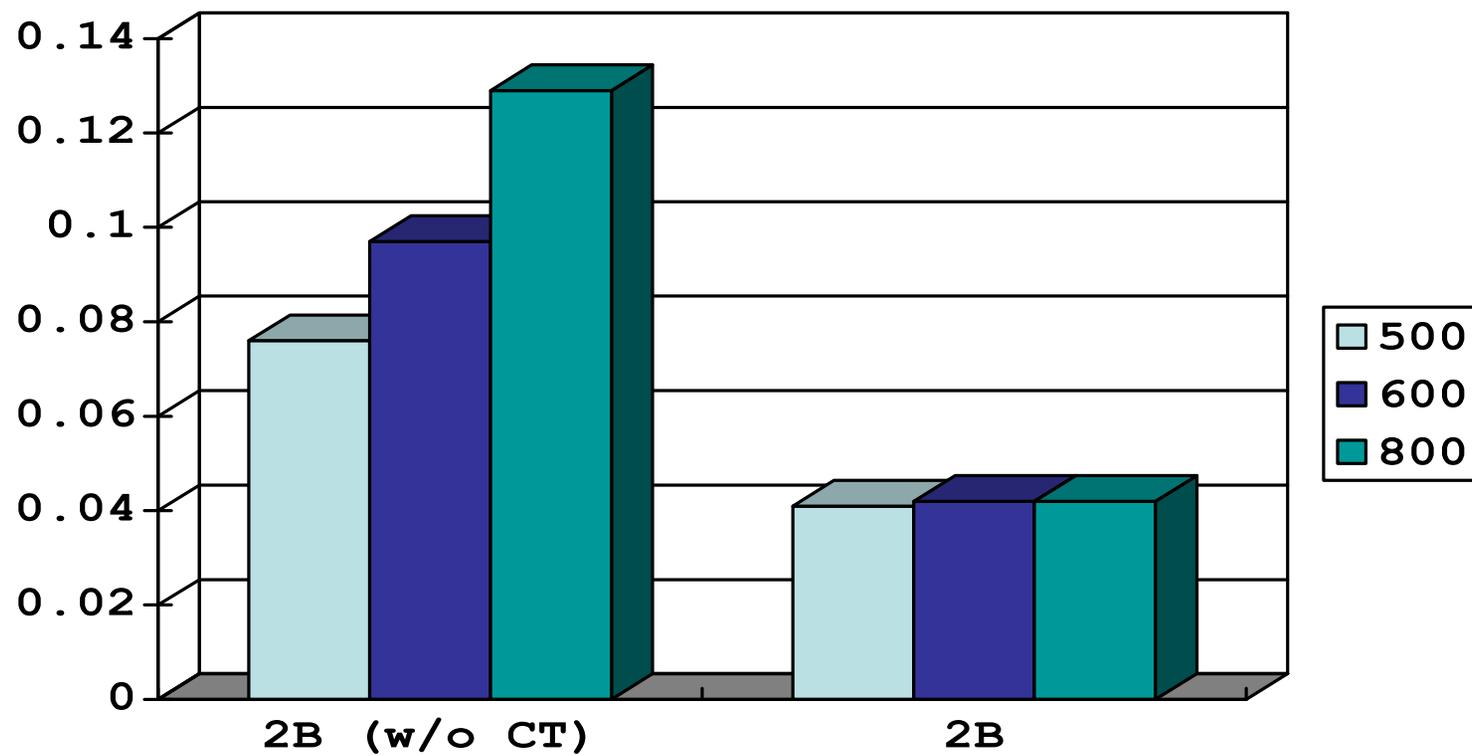
Λ (MeV)	\hat{d}^R	$\langle 1B \rangle$	$\langle 2B \rangle$
500	1.00	4.85	$0.076 - 0.035 \hat{d}^R = 0.041$
600	1.78	4.85	$0.097 - 0.031 \hat{d}^R = 0.042$
800	3.90	4.85	$0.129 - 0.022 \hat{d}^R = 0.042$

with \hat{d}^R -term, Λ -dependence has gone !!!

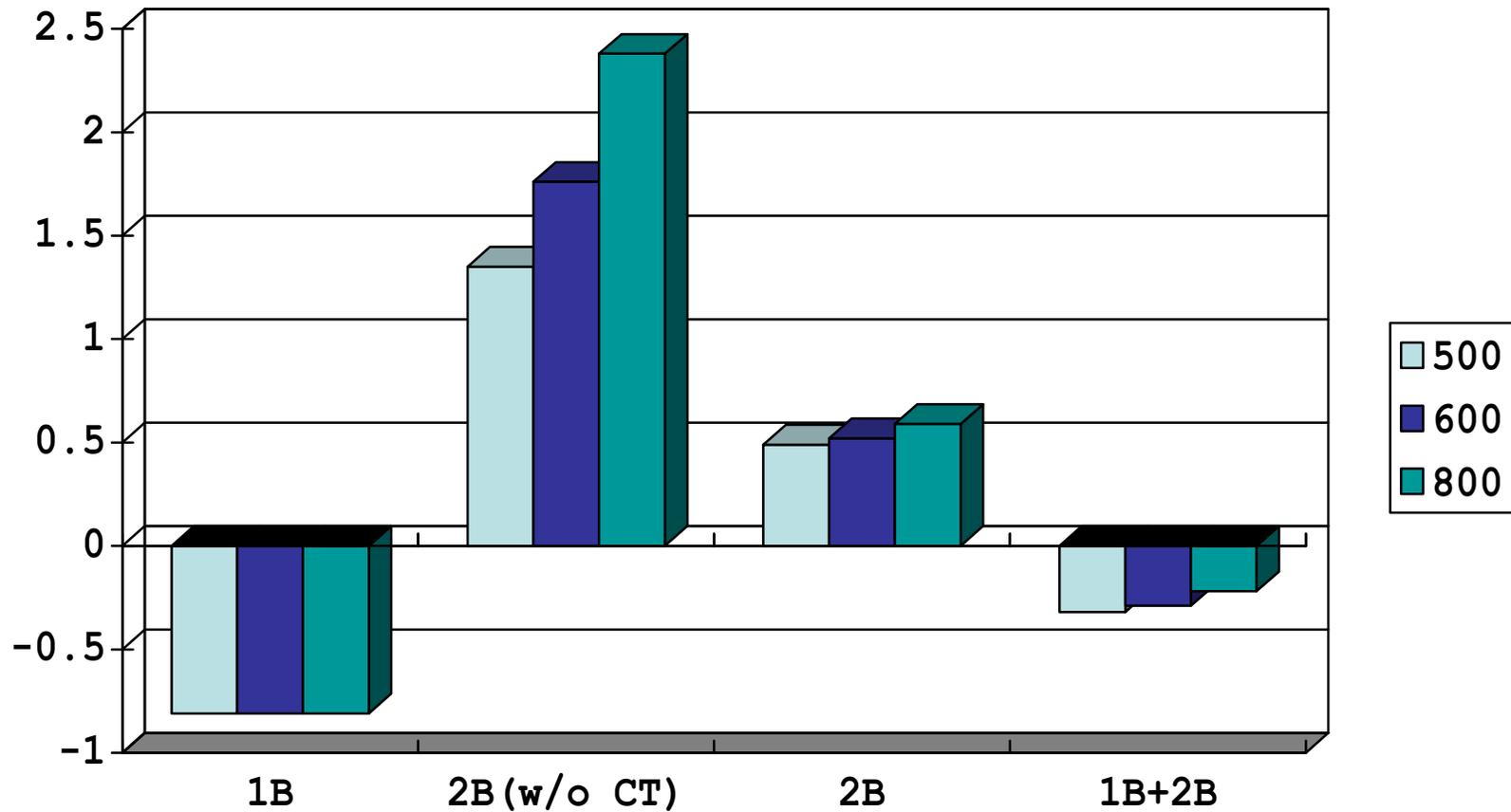
the astro S -factor (at threshold)

$$S_{pp} = 3.94 (1 \pm 0.15 \% \pm 0.10 \%) 10^{-25} \text{ MeV-barn}$$

Results: M_Λ (*pp*)



Results: M_Λ (*hep*)



Results: M_Λ (*hep*)

Λ (MeV)	$\langle 1B \rangle$	$\langle 2B \rangle$	$\langle 1B+2B \rangle$
500	-0.81	$1.35 - 0.85 \hat{d}^R = 0.49$	-0.32
600	-0.81	$1.76 - 1.22 \hat{d}^R = 0.52$	-0.29
800	-0.81	$2.38 - 1.78 \hat{d}^R = 0.59$	-0.22

\hat{d}^R -term removes the major Λ -dependence. The small Λ -dependence in 2B is however amplified due to the cancellation between 1B & 2B.

Sizable but still reasonable Λ -dependence in net amplitude.

hep S-factor in 10^{-23} MeV-barn:

$$S_{hep}(\text{theory}) = (8.6 \pm 1.3)$$

hep neutrino flux in $10^3 \text{ cm}^{-2} \text{ s}^{-1}$:

$$\phi_{hep}(\text{theory}) = (8.4 \pm 1.3)$$

$$\phi_{hep}(\text{experiment}) < 40$$

Super-Kamiokande data, hep-ex/0103033

The *hen* (${}^3\text{He} + \text{n} \rightarrow {}^4\text{He} + \text{g}$) process

- Both *pp* and *hep* process have **not been confirmed by experiments**
- **Accurate experimental data** are available for the *hen*
- The *hen* process has much in common with *hep* :
 - The leading order 1B contribution is strongly suppressed due to **pseudo-orthogonality**.
 - A **cancellation** mechanism between 1B and 2B occurs.
 - Trivial point: both are 4-body processes that involve ${}^3\text{He} + \text{N}$ and ${}^4\text{He}$.

Q: Can we test our *hep* MEEFT calculation by applying the same method to the *hen* process ?

hen history

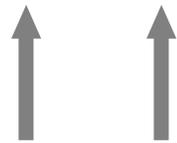
$$\sigma(\text{exp}) = (55 \pm 3) \mu\text{b}, (54 \pm 6) \mu\text{b}$$

2-14 μb : ('81) Towner & Kanna

50 μb : ('91) Wervelman

(112, 140) μb : ('90: VMC) Carlson et al

(86, 112) μb : ('92: VMC) Schiavilla et al



$$a(^3\text{He} - \text{n}) = (3.50, 3.25) \text{ fm}$$

- Accurate recent exp: $a(^3\text{He} - \text{n}) = 3.278(53) \text{ fm}$

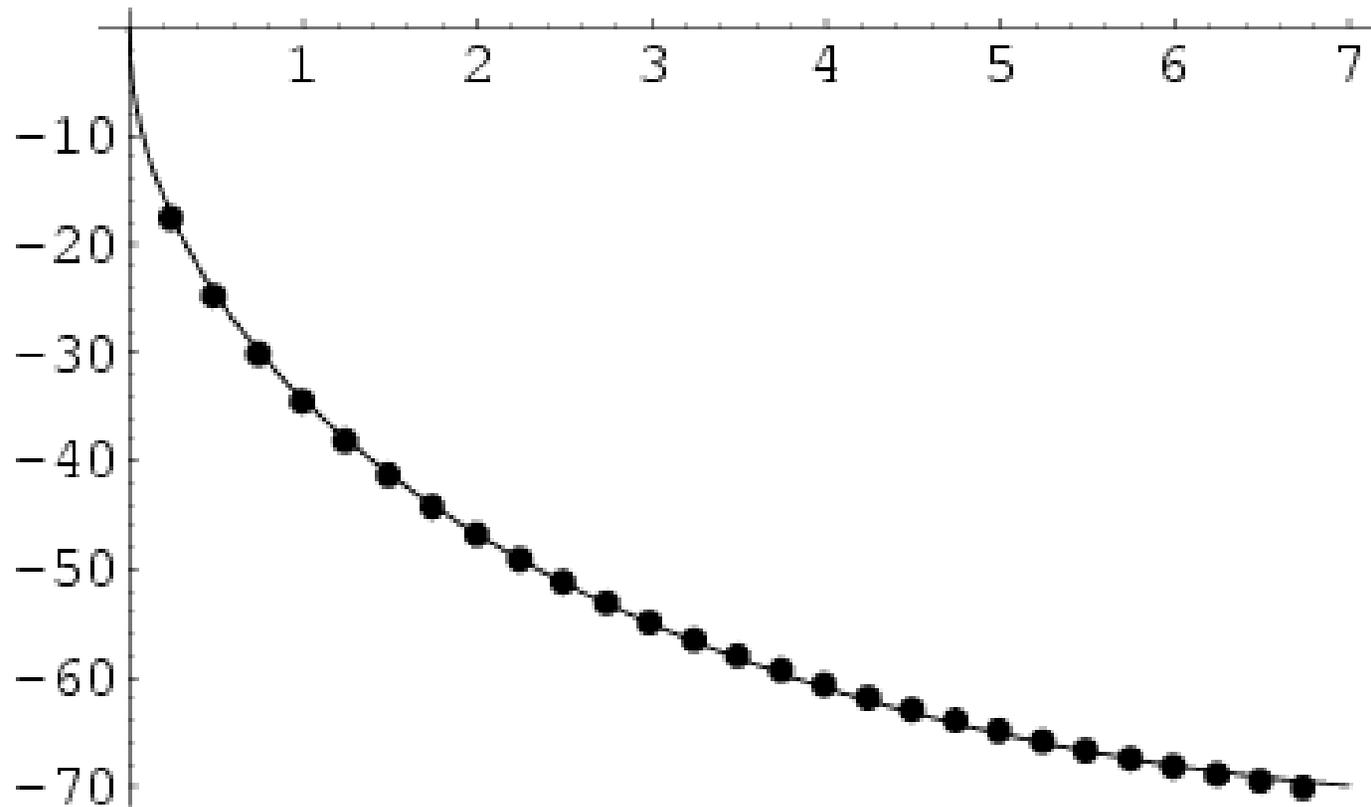
VMC wave functions with Av14 + Urbana VIII

- Predictions for the binding energy
 - $BE(^3\text{H})=8.21$ MeV (exp=8.48 MeV)
 - $BE(^4\text{He})=27.23$ MeV (exp=28.30 MeV)
- Prediction for the ^3He -n scattering length:
 - Variational : $a_n=3.5$ fm (exp=3.278(53) fm)
 - In our work, we have fit the Woods-Saxon potential parameters to reproduce $a_n=3.278$ fm and the low-E ^3He -n phase shifts.

$^3\text{He-n}$ phase shift [deg] wrt E_{cm} [MeV]

solid line = Woods-Saxon potential

dots = R -matrix analysis by Fofmann & Hale, NPA613('97)



Remarks on the *hen* process

The *hen* process is governed by isoscalar and isovector M1 operators.

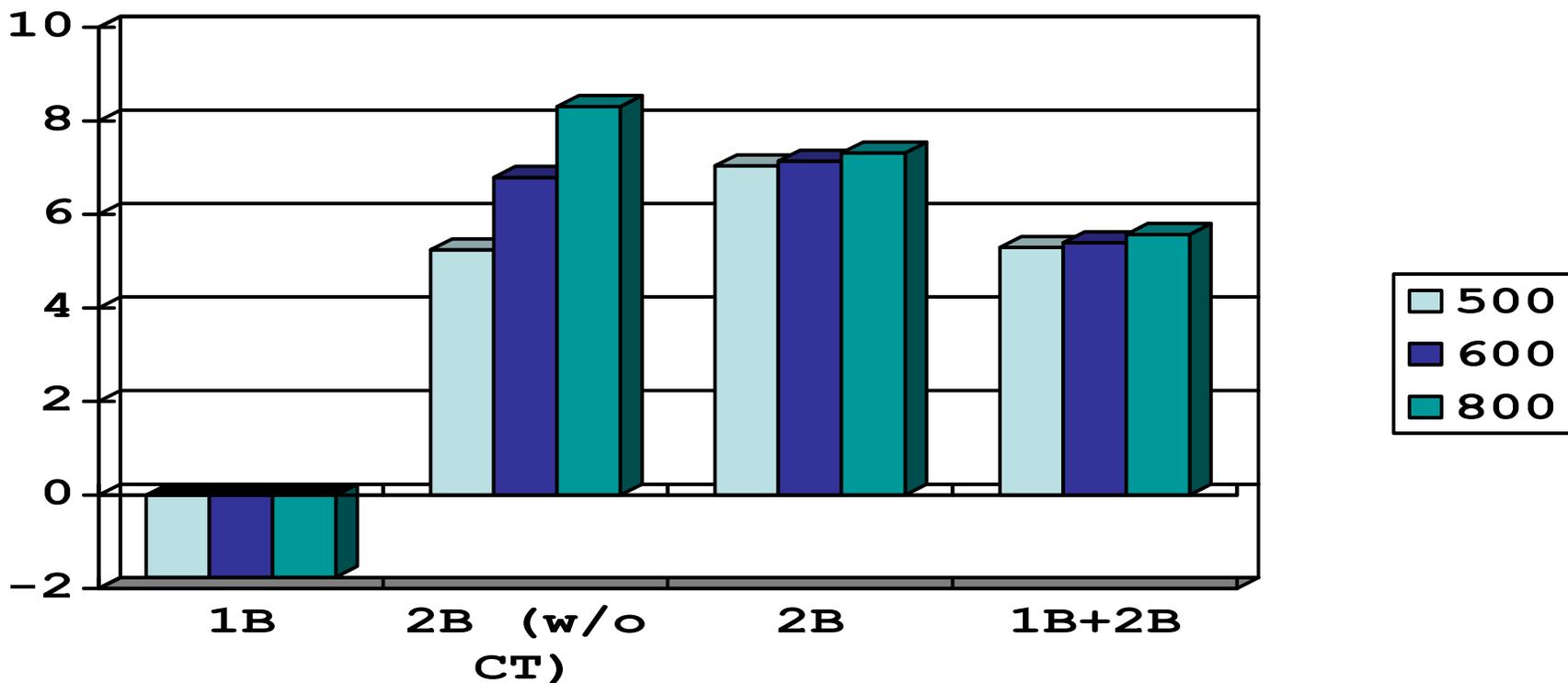
Contrary to GT, there is soft-OPE contribution to the isovector M1, which is NLO compared to 1B.

The N3LO of Isovector M1 corresponds to 1-loop.

At N³LO, there appear two 4F contact counter-terms, g_{4S} and g_{4V} , which we can fix by imposing the condition to reproduce the magnetic moments of ${}^3\text{H}$ and ${}^3\text{He}$

$$\vec{V}_{12}^{4F} = \frac{i}{2m_p} \vec{q} \times [g_{4S}(\vec{\sigma}_1 + \vec{\sigma}_2) + g_{4V}(\vec{\tau}_1 \times \vec{\tau}_2)^z (\vec{\sigma}_1 \times \vec{\sigma}_2)]$$

Results: M_Λ (*hen*)



Results: M_Λ (*hen*)

Λ (MeV)	$\langle 1B \rangle$	$\langle 2B \rangle$	$\langle 1B+2B \rangle$
500	-1.76	5.24 + 1.80 = 7.04	5.29
600	-1.76	6.79 + 0.35 = 7.14	5.39
800	-1.76	8.31 - 0.99 = 7.32	5.57

Contact terms **remove the major Λ -dependence.**

$\sigma(\text{theory}) = (60 \pm 3 \pm 1) \mu\text{b}$, which is in reasonable agreement with the exp., $(55 \pm 3) \mu\text{b}$, $(54 \pm 6) \mu\text{b}$.

A caveat: we have not included the so-called fixed-term contribution, which is expected-to-be small but hard-to-evaluate.

MEEFT in other processes

n-d scattering cross section: the Λ -dependence is less than 0.4 %.

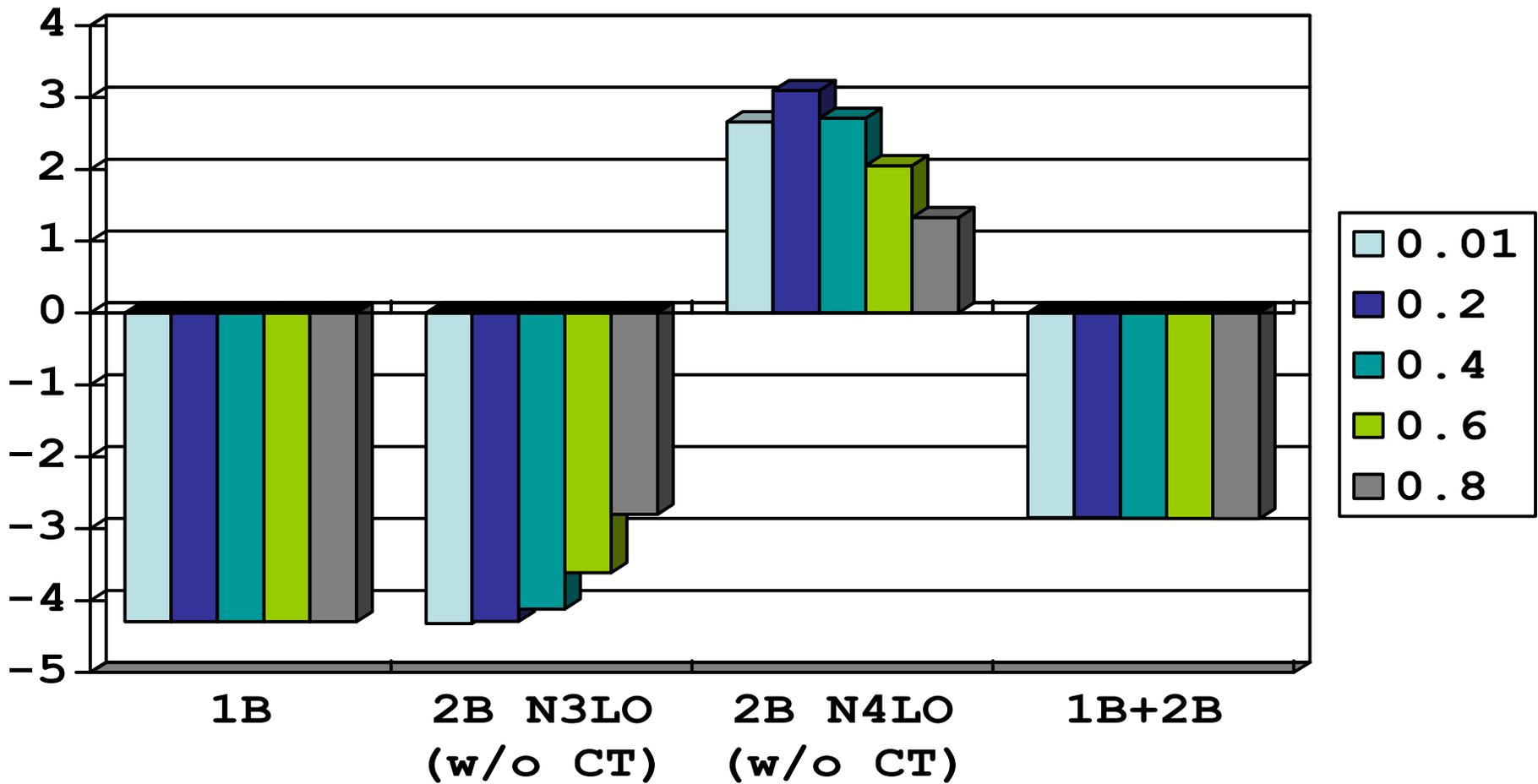
Nakamura et al, NPA707('02)561, Ando et al, PLB472('03)49

μ -d capture rate: Ando et al, PLB533('02)25)

Λ (MeV)	\hat{d}^R	$\Gamma_{\mu d}^{L=0} [s^{-1}]$
500	1.00 ± 0.07	$254.7 - 9.85 \hat{d}^R + 0.159 (\hat{d}^R)^2 = 245.0 \pm 0.7$
600	1.78 ± 0.08	$261.1 - 9.09 \hat{d}^R + 0.132 (\hat{d}^R)^2 = 245.3 \pm 0.7$
800	3.90 ± 0.10	$271.0 - 6.76 \hat{d}^R + 0.070 (\hat{d}^R)^2 = 245.7 \pm 0.6$

Isoscalar M1 in $np \rightarrow d\gamma$

with respect to r_C [fm]: Park et al, PLB472('00)232



Discussion

Numerically, the results of MEEFT and the latest SNPA agree each other for the Gamow-Teller channel (*pp* and *hep*). But in the M1 channel, MEEFT can explain the *hen* cross section, while SNPA could not yet.

MEEFT allows us to reduce theoretical uncertainties dramatically.

Other successful applications of MEEFT: isosc
alar and isovector M1 in $n + p \rightarrow D + g$, *m-d*
capture rate, *n-d* scattering.

- The PDS scheme also has been successfully applied to 2B systems.

We can go up to N⁴LO w/o having new parameters.

- Possibility to have *pure*-EFTs for the *hep* and *hen* in near future ?
 - Low-energy amplitudes are very sensitive to the scattering length. To guarantee to reproduce the exp. value of it, we need 4-nucleon contact interaction, which is N⁶LO (N⁵LO in Epelbaum's lang.) !
- Possibility to have MEEFT for more complicated systems ?
- Thank you !