

Determination of Parton Distribution Functions

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Generalized Parton Distributions and Hard Exclusive Processes
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- **Polarized PDFs in the nucleon**
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Why PDFs ?

(1) basic interest to understand hadron structure

- perturbative & non-perturbative QCD

e. g. spin is a fundamental quantity

(2) practical purpose: to describe hadron cross sections precisely

For hadron reactions with $Q^2 > 1 \text{ GeV}^2$, accurate PDFs are needed.

For example

- exotic events at large Q^2 : physics “beyond QCD”
- heavy-ion reactions : quark-gluon plasma signature
- neutrino reactions : $\nu + O$ (neutrino properties)
- ...

Situation of PDFs?

(1) unpolarized PDFs in the nucleon

3 major groups (CTEQ, GRV, MRST)

→ well established from small x to large x

(2) polarized PDFs in the nucleon

several groups

→ not established

(3) PDFs in nuclei

only a few papers

Introduction: current status

Parton distributions are determined by fitting various experimental data.

- electron/muon $\mu + p \rightarrow \mu + X$
- neutrino $\nu_\mu + p \rightarrow \mu + X$
- Drell-Yan $p + p \rightarrow \mu^+ \mu^- + X$
- direct photon $\mu/p + p \rightarrow \gamma + X$
- ...

(1) assume parton distributions at $Q_0^2 (\sim 1 \text{ GeV}^2)$

$$\text{e.g. } f_i(x, Q_0^2) = A_i x^{\alpha_i} (1 - x)^{\beta_i} (1 + \gamma_i x)$$

where $i = u_v, d_v, \bar{u}, \bar{d}, \bar{s}, g$

(2) calculate structure functions

at experimental Q^2 points

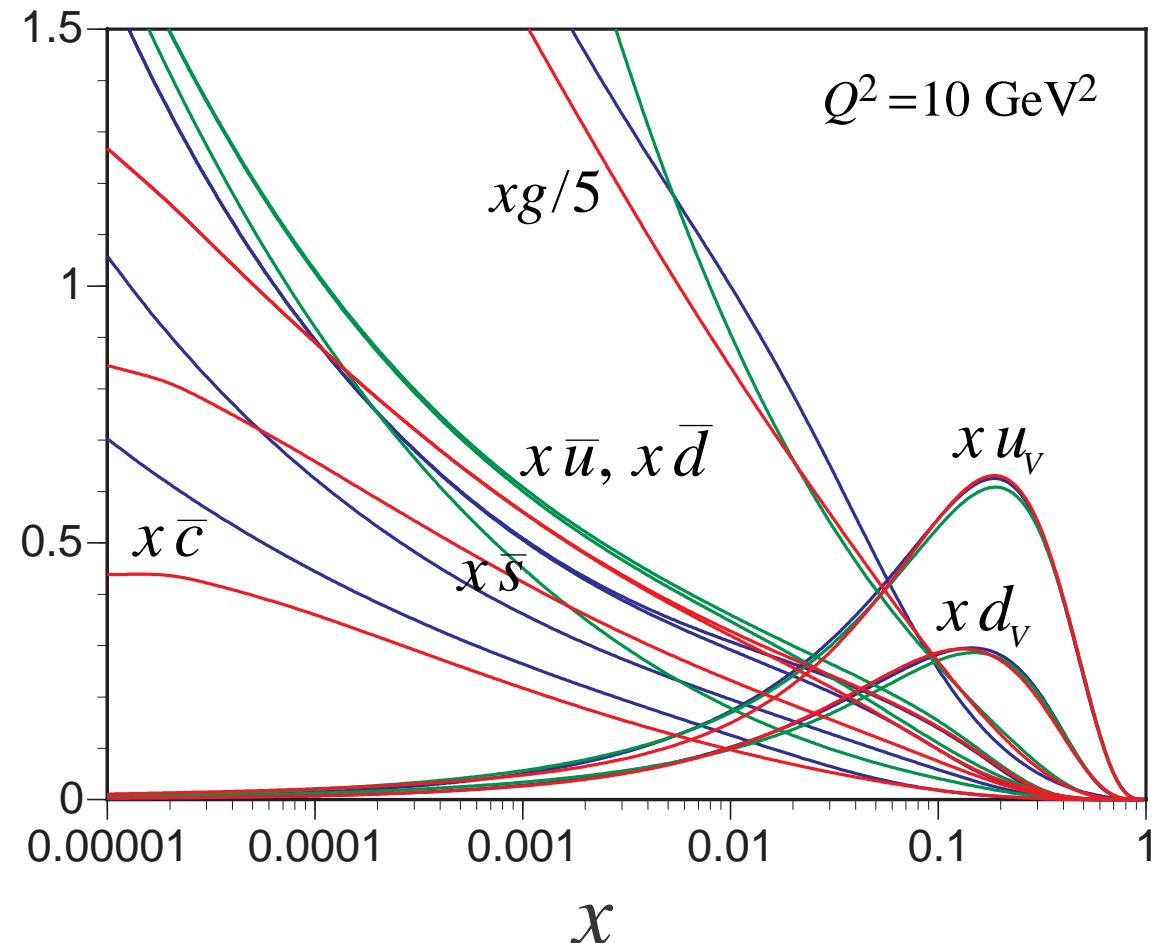
(3) then, $A_i, \alpha_i, \beta_i, \gamma_i$ are determined
in comparison with data

Recent unpolarized distributions

see <http://durpdg.dur.ac.uk/hepdata/pdf.html>

CTEQ6, JHEP 0207 (2002) 012; **GRV98**, Eur. Phys. J. C5 (1998) 461;

MRST02, Eur. Phys. J. C28 (2003) 455.



Determination of Polarized Parton Distribution Functions

**AAC (Asymmetry Analysis Collaboration) studies
on the polarized PDFs**

Y.Goto et al., Phys. Rev. D62 (2000) 034017.

M. Hirai et al., to be submitted for publication.

<http://spin.riken.bnl.gov/aac/>

(Polarized PDF codes could be obtained from this site.)

- proton-spin issue

polarized e/ μ -proton scattering

→ measurement of g_1

$$g_1^{\text{LO}} = \frac{1}{2} \sum_i e_i^2 (\Delta q_i + \Delta \bar{q}_i)$$

proton, deuteron, ${}^3\text{He}$ g_1 data

with isospin symmetry

→ valence and sea polarization $\Delta u_v, \Delta d_v, \Delta \bar{q}$

quark spin content $\Delta \Sigma = \Delta u_v + \Delta d_v + 6 \cdot \Delta \bar{q}$

experimentally $\int_0^1 dx \Delta \Sigma(x) \approx 0.1 - 0.3$

rest of the spin ???

Papers on the polarized PDFs

workshop participants

D. de Florian, L. N. Epele, H. Fanchiotti, C. A. Garcia Canal, and R. Sassot,
Phys. Lett. B319 (1993) 285; Phys. Rev. D51 (1995) 37; D57 (1998) 5803; D62 (2000) 094025.

M. Glück, E. Reya, M. Stratmann, and W. Vogelsang,
Phys. Rev. D53 (1996) 4775 (1996); D63 (2001) 094005.

T. Gehrmann and W. J. Stirling, Phys. Rev. D53 (1996) 6100.

G. Altarelli, R. D. Ball, S. Forte, and G. Ridolfi,
Nucl. Phys. B496 (1997) 337; Acta Phys. Pol. B29 (1998) 1145.

C. Bourrely, F. Buccella, O. Pisanti, P. Santorelli, and J. Soffer,
Prog. Theor. Phys. 99 (1998) 1017; Eur. Phys. J. C23 (2002) 487.

L. E. Gordon, M. Goshtasbpour, and G. P. Ramsey, Phys. Rev. D58 (1998) 094017.

SMC (B. Adeva et. al.), Phys. Rev. D58 (1998) 112002.

E. Leader, A. V. Sidrov, and D. B. Stamenov,
Phys. Rev. D58 (1998) 114028; Eur. Phys. J. C23 (2001) 479.

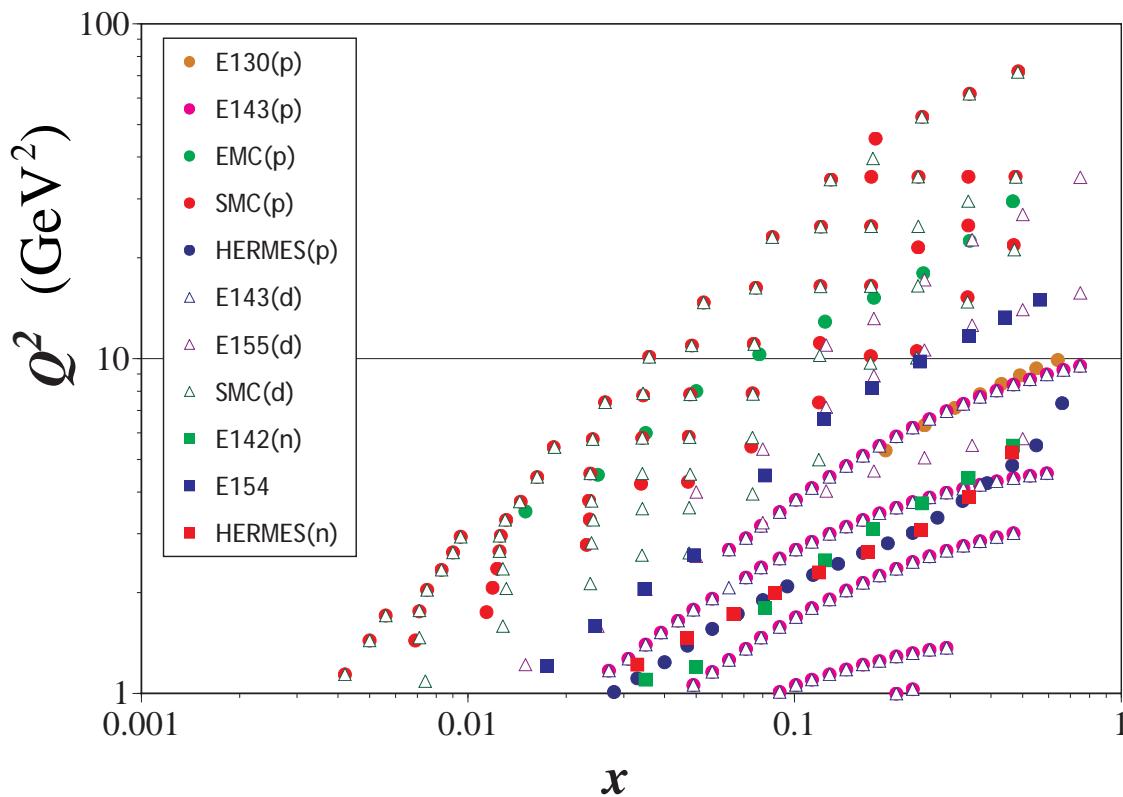
Y.Goto et al. (AAC), Phys. Rev. D62 (2000) 034017.

J. Bartelski and S. Tatur, Phys. Rev. D65 (2002) 034002.

J. Blümlein and H. Böttcher, Nucl. Phys. B636 (2002) 225.

Experimental data

Target	Exp.	x	$Q^2 \text{ GeV}^2$	Data #
Proton	EMC	0.015-0.466	3.5~29.5	10
	SMC	0.005-0.480	0.25~72.07	59
	E130	0.18-0.70	3.5~10.0	8
	E143	0.022-0.847	0.28~9.53	81
	HERMES	0.028-0.66	1.01~7.36	19
Deuteron	SMC	0.005-0.480	1.3~54.4	65
	E143	0.022-0.847	0.28~9.53	81
	E155	0.015-0.75	1.22~34.79	24
Neutron	E142	0.035-0.466	1.1~5.5	8
	E154	0.0174-0.5643	1.21~15.0	11
	HERMES	0.033-0.464	1.22~5.25	9
Total				375



Initial distributions

$$\Delta f_i(x, Q_0^2) = A_i x^{\alpha_i} (1 + \gamma_i x^{\lambda_i}) f_i(x, Q_0^2)$$

$i = u_v, d_v, \bar{q}, g$ $A_i, \alpha_i, \gamma_i, \lambda_i$: parameters

χ^2 fit to the data [p, n (${}^3\text{He}$), d]

$$\chi^2 = \sum_i \frac{(A_{1i}^{\text{data}} - A_{1i}^{\text{calc}})^2}{(\sigma_{A_{1i}}^{\text{data}})^2}$$

$$A_1 \approx \frac{g_1}{F_1} = g_1 \frac{2 x (1 + R)}{F_2} \quad R = \frac{F_L}{2 x F_1} = \frac{F_2 - 2 x F_1}{2 x F_1}$$

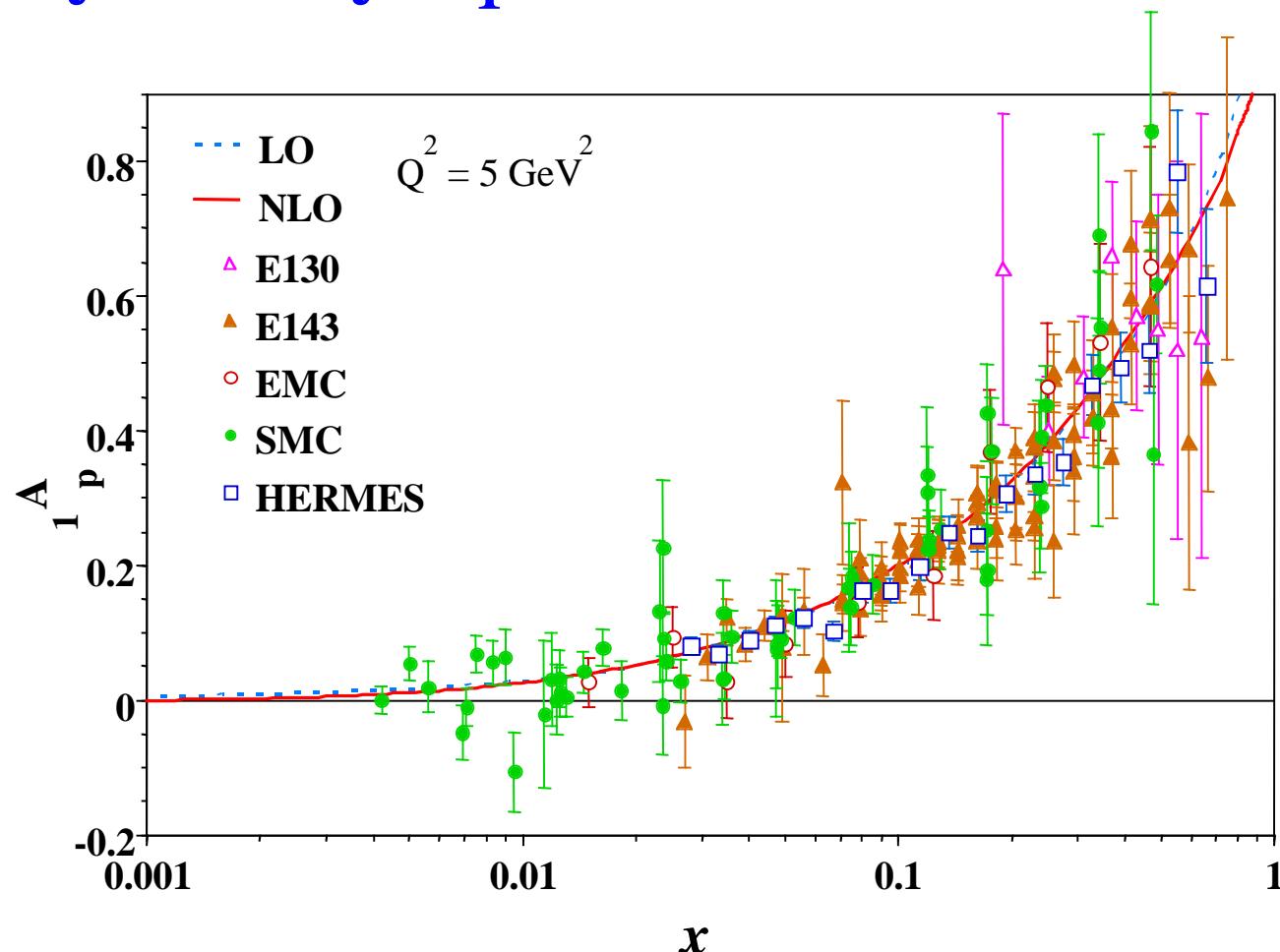
We analyzed with the following conditions.

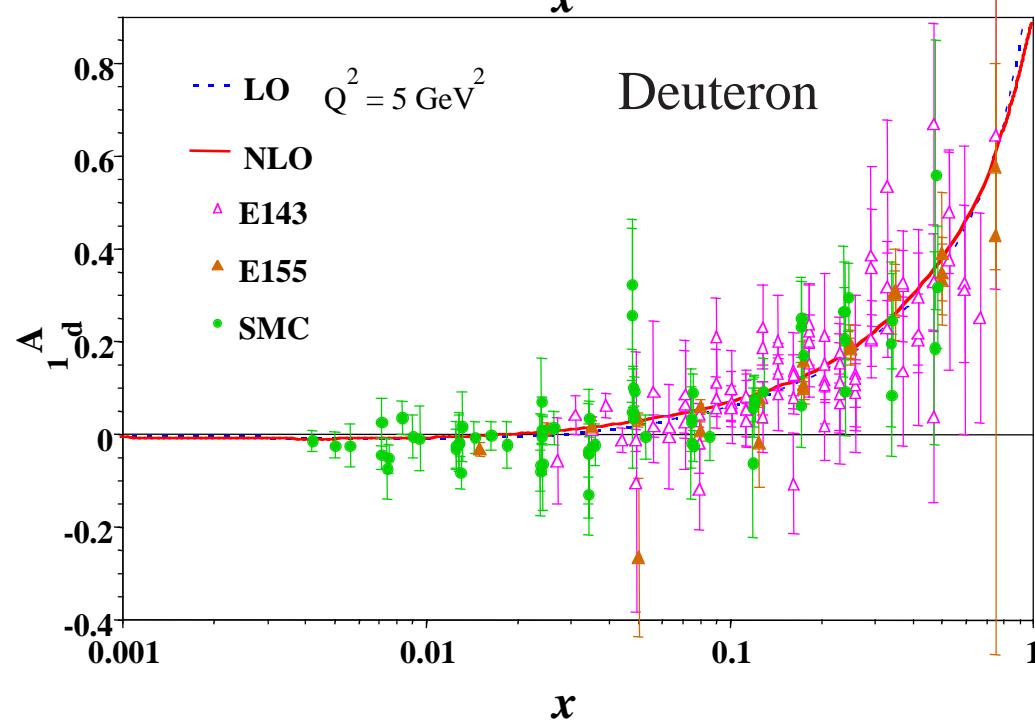
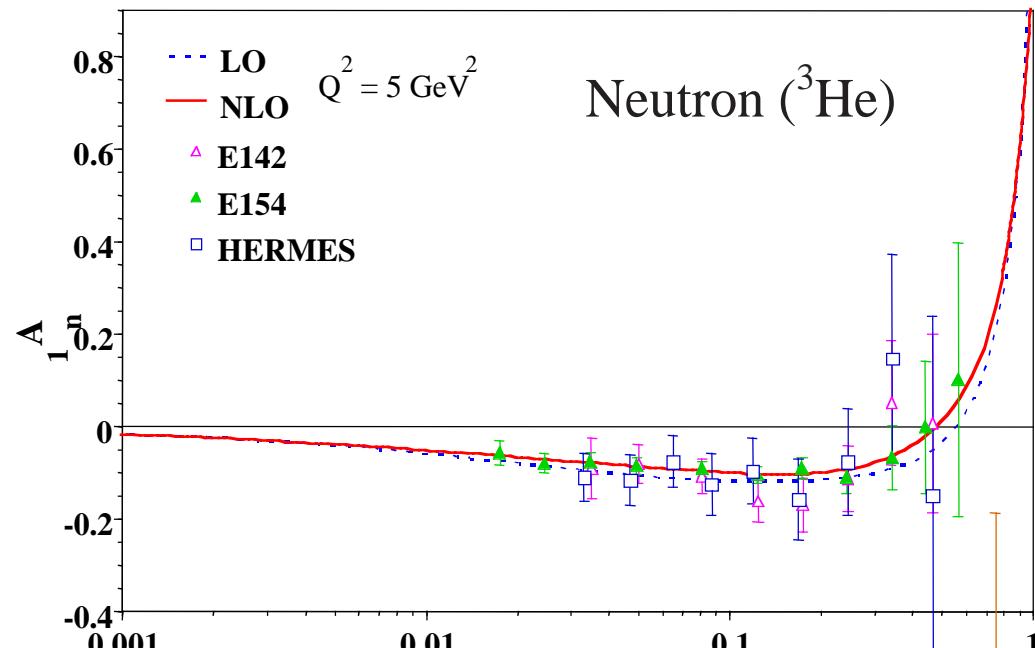
- unpolarized PDF GRV98
- initial Q^2 $Q_0^2 = 1 \text{ GeV}^2$
- number of flavor $N_f = 3$
- positivity $|\Delta f(x)| \leq f(x)$ (to be precise, $|\Delta \sigma| \leq \sigma$)
- antiquark flavor: $\Delta \bar{u} = \Delta \bar{d} = \Delta \bar{s}$

Results

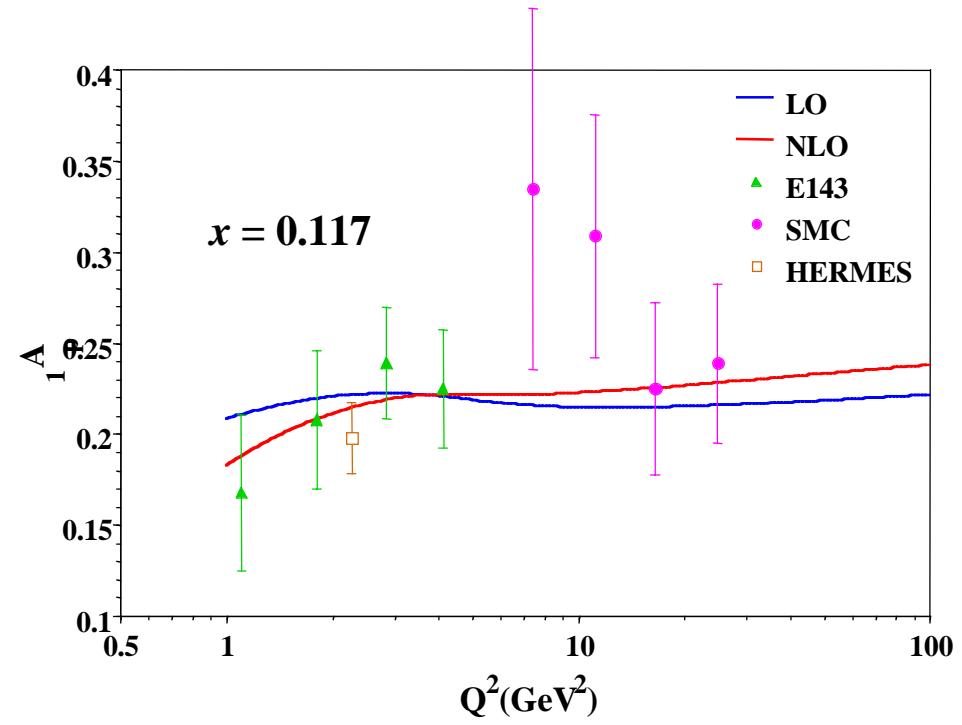
Total χ^2 LO $\chi^2/\text{d.o.f.} = 0.896$
NLO $\chi^2/\text{d.o.f.} = 0.834$
Total data 375

Spin asymmetry A_1^{p}

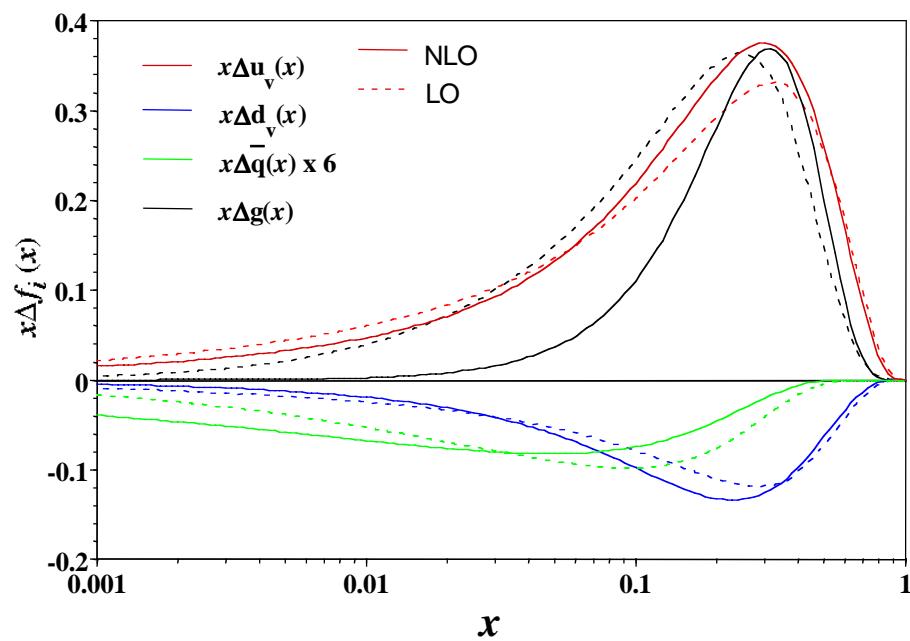




Q^2 dependence of A_1^p



Parton distributions ($Q^2=1 \text{ GeV}^2$)

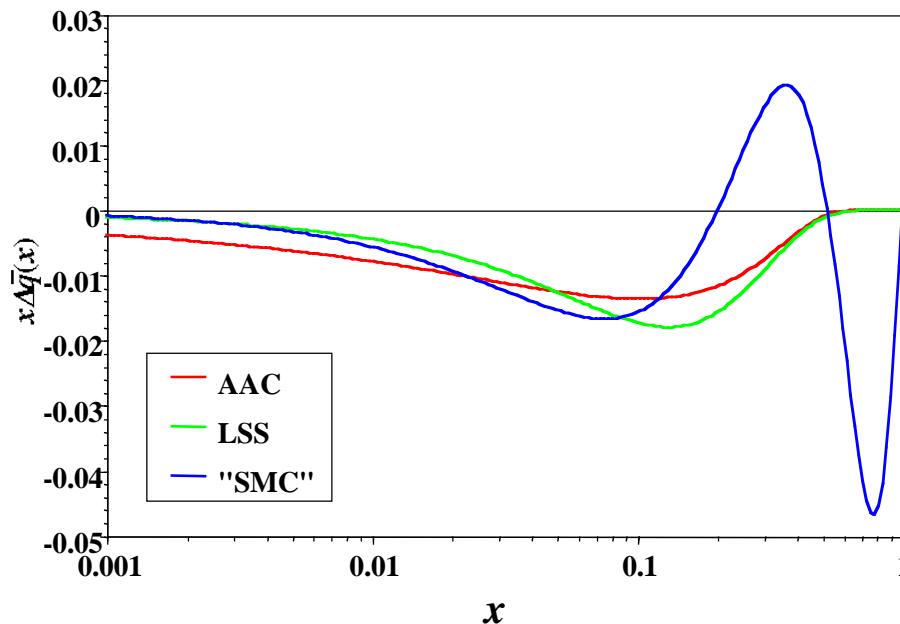


First moments ($Q^2 = 1 \text{ GeV}^2$)

	Δu_v	Δd_v	$\Delta \bar{q}$	Δg
LO	0.926	-0.341	-0.064	0.831
NLO	0.926	-0.341	-0.089	0.532

Spin content $\Delta\Sigma$ LO : **0.201** NLO : **0.051**

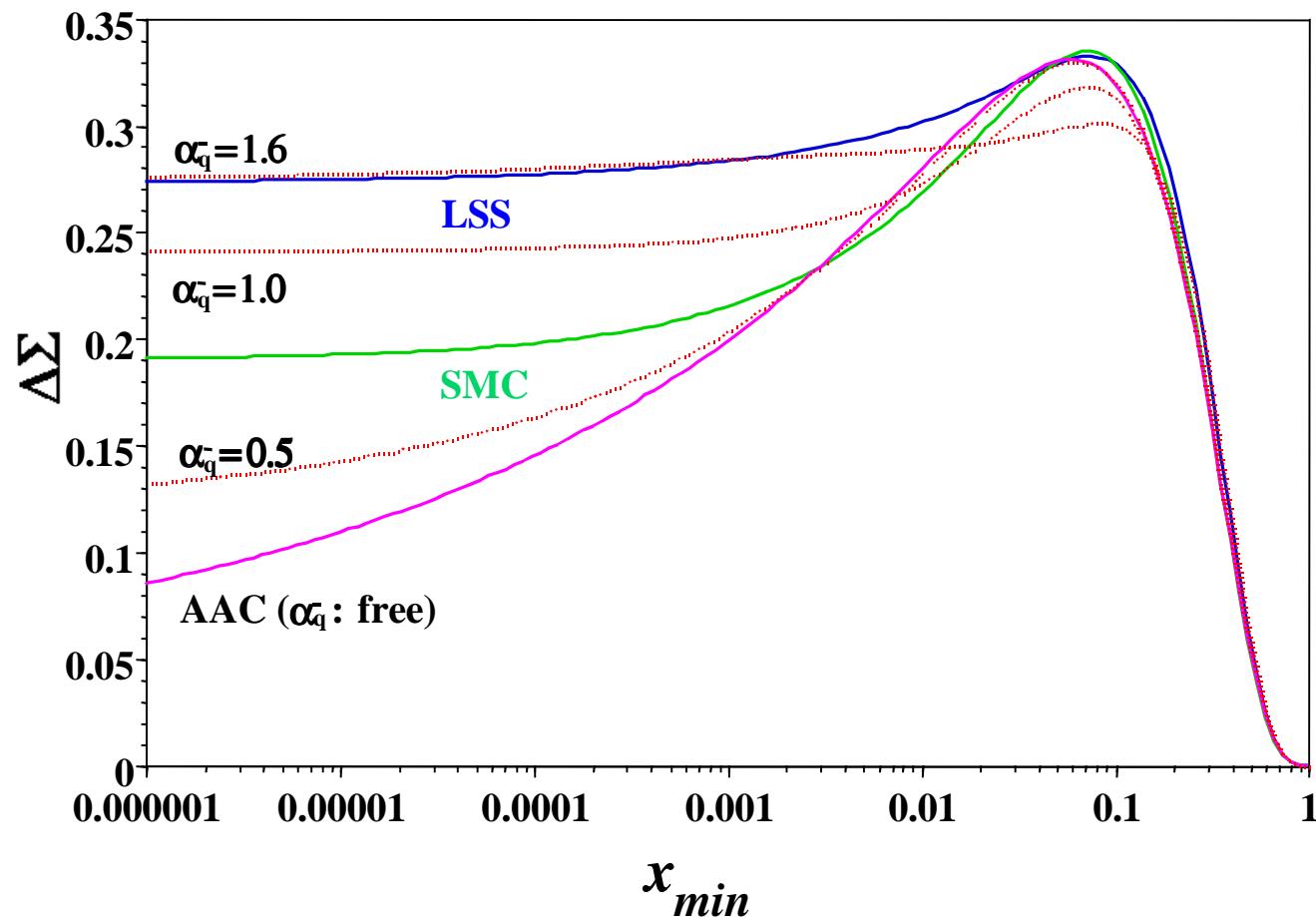
rather small spin content in the NLO, $\Delta\Sigma=0.1\sim0.3$?
→ check the antiquark distribution



“Spin content” $\Delta\Sigma$

$$\Delta\Sigma(x_{\min}) = \int_{x_{\min}}^1 \Delta\Sigma(x) dx$$

$$\frac{\Delta\bar{q}}{q} \propto x^{\alpha_q^-} \quad (x \rightarrow 0)$$



AAC studies in progress

**(1)re-analysis
with SLAC-E155 (proton)**

(2) errors of the polarized PDFs

by M. Hirai *et. al.*

Results

preliminary

- Total χ^2 New : $\chi^2(\text{d.o.f.}) = 346.33 (0.900)$
 $\Delta g(x)=0 : \chi^2(\text{d.o.f.}) = 355.01 (0.922)$
- First moments ($Q^2 = 1 \text{ GeV}^2$, $\overline{\text{MS}}$ scheme)

	Δu_v	Δd_v	Δq	Δg	$\Delta \Sigma$
New	0.926	-0.341	- 0.062 ± 0.023	0.499 ± 1.268	0.213 ± 0.138
$\Delta g(x)=0$	(fixed)	(fixed)	- 0.054 ± 0.011	0.00	0.259 ± 0.063
AAC00 (NLO-2)			- 0.057 ± 0.038	0.532 ± 1.949	0.241 ± 0.228

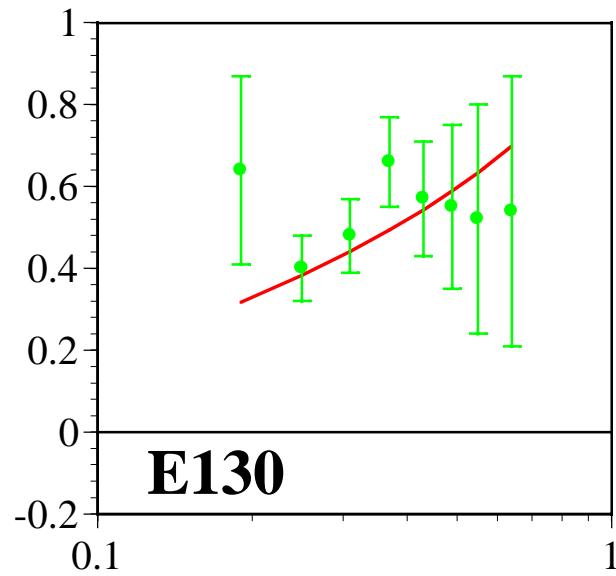
LSS01 (MS) : $\Delta g=0.680$, $\Delta \Sigma=0.210$

GRSV01 : 0.427, 0.204

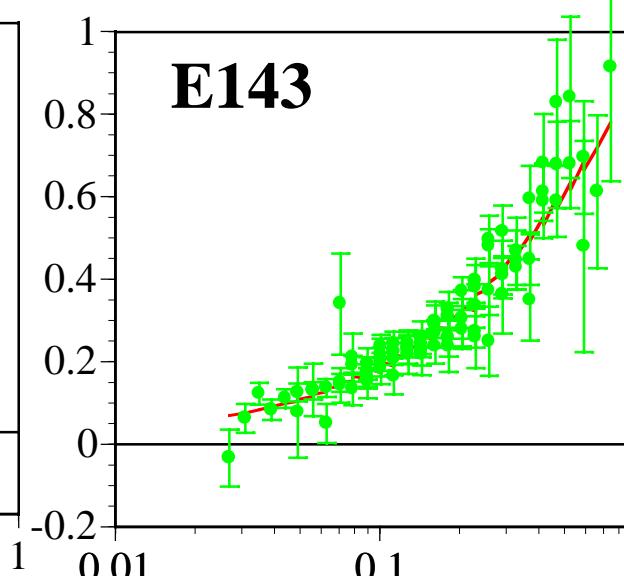
BB02 (SET4) : 0.931, 0.150

Proton spin asymmetry A_1^P

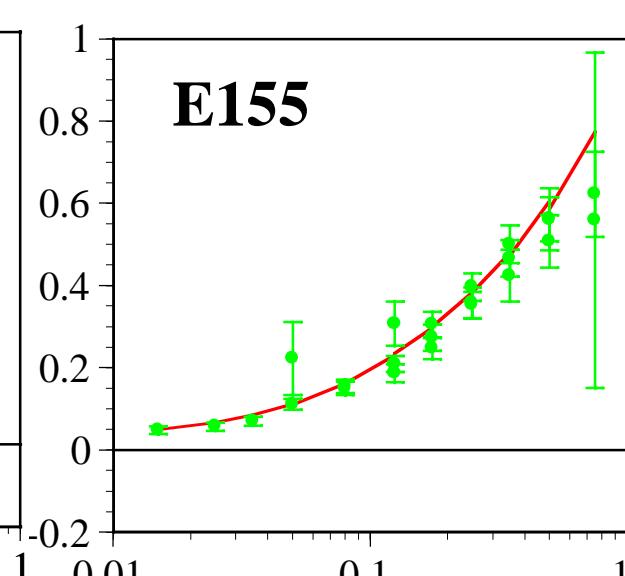
preliminary!



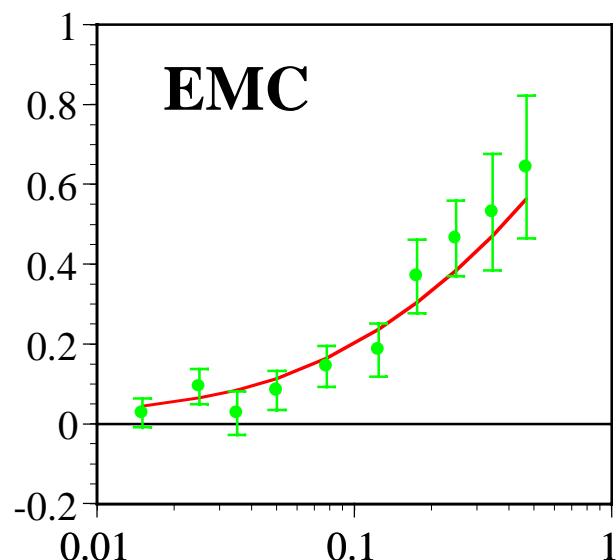
E130



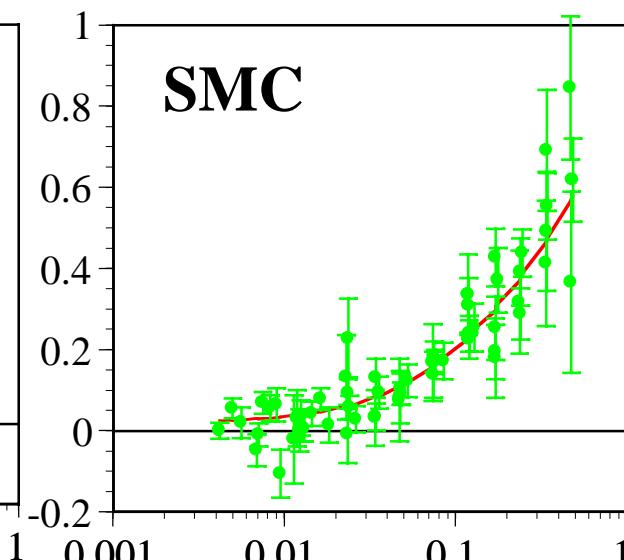
E143



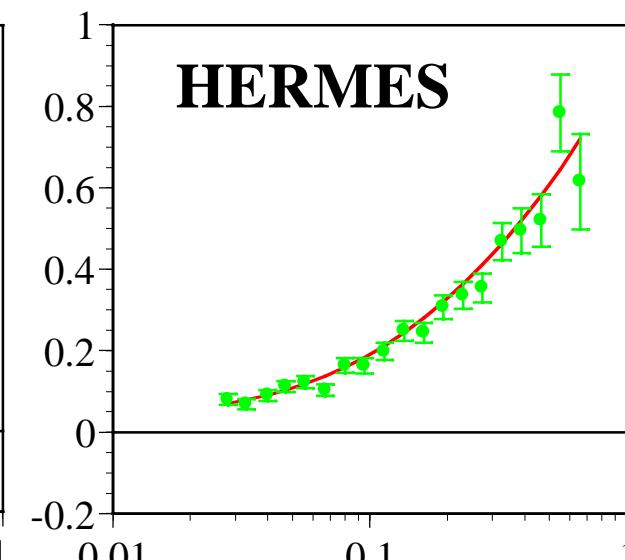
E155



EMC



SMC

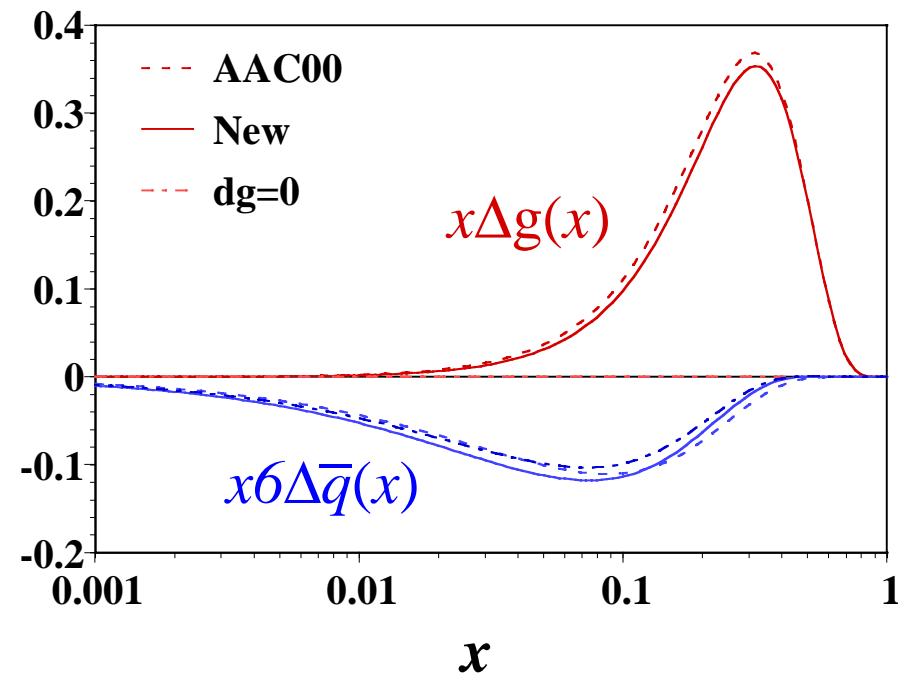
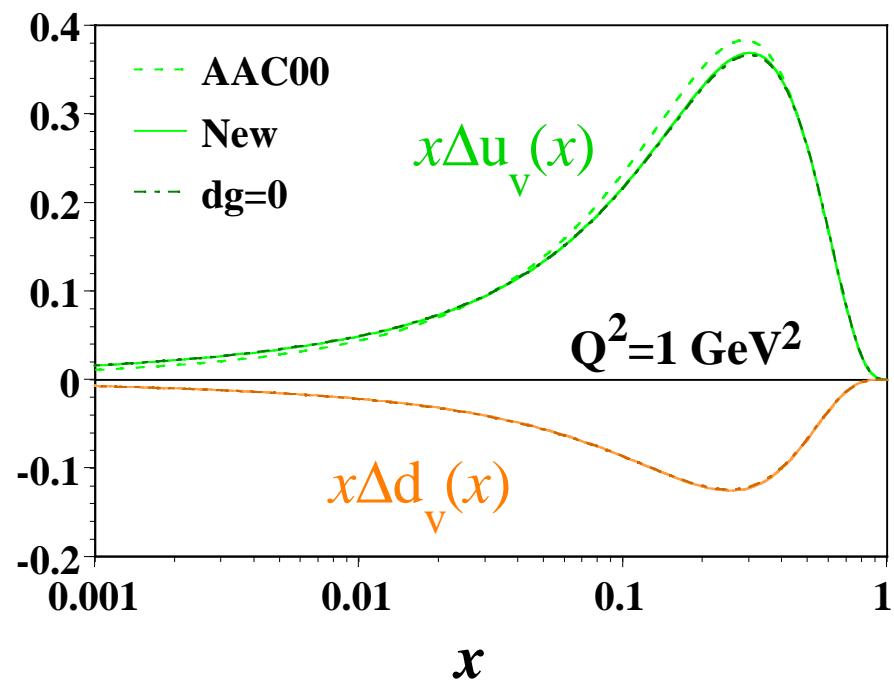


HERMES

New results vs. AAC2000

- $\Delta d_v(x)$ is almost the same as AAC2000
- $\Delta u_v(x)$, $\Delta q(x)$ and $\Delta g(x)$ are slightly changed by the E155 proton data

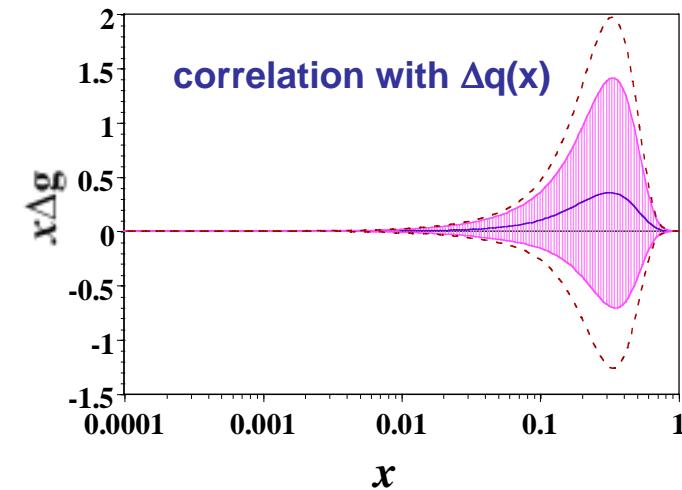
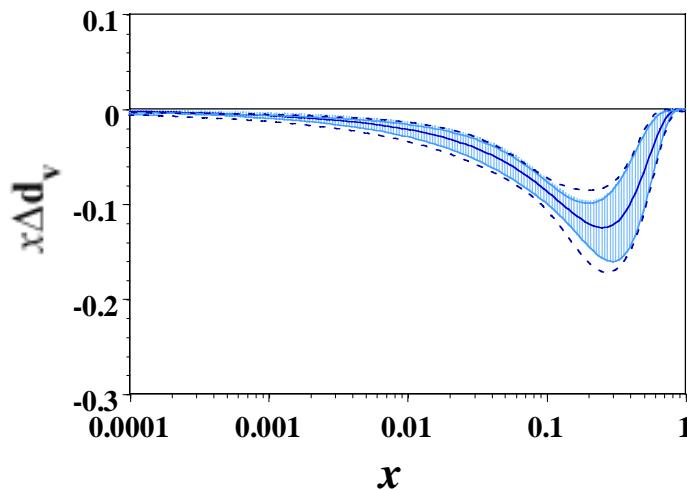
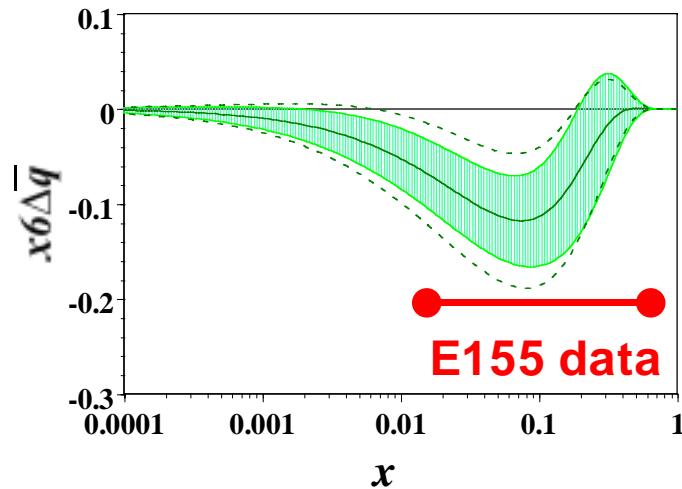
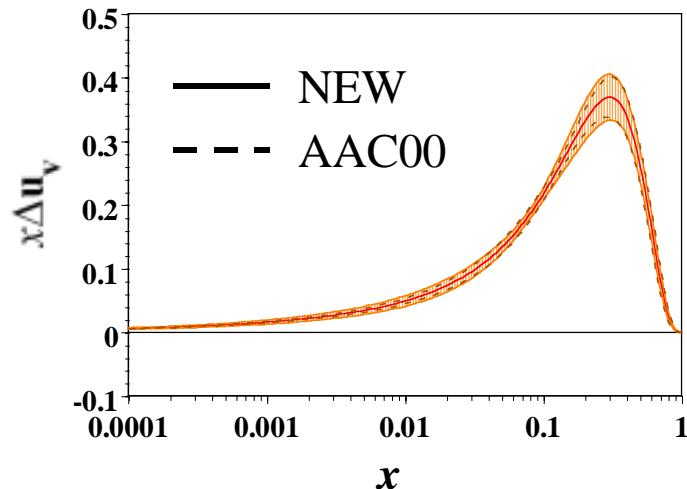
preliminary!



Errors of the PDFs

preliminary!

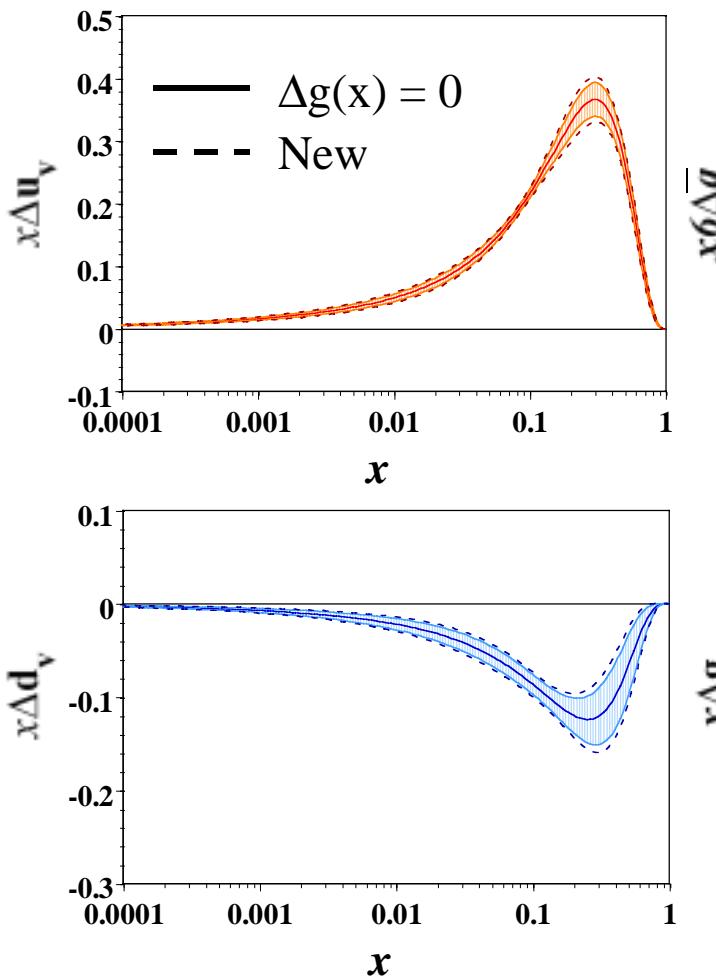
reduction of the error band
due to the E155 data



Analysis with $\Delta g(x)=0$

preliminary!

The error band shrinks
due to the correlation
with $\Delta g(x)$.



Summary: AAC determination of the polarized PDFs

(1) 2000 version

- Q^2 dependence of A_1 especially at small Q^2
- positivity condition is taken into account (unless, unphysical result: $|\Delta\sigma| >$)
- issue of $\Delta\bar{q}(x)$ at small and large x
 - $\Delta\bar{q}(x \rightarrow 0)$ issue → the quark spin content $\Delta\Sigma$
- The obtained PDFs are available from <http://spin.riken.bnl.gov/aac/>.

(2) new analysis (2003)

- include E155 (p) data, errors of the polarized PDFs
 - Errors of $\Delta\bar{q}$ and Δg become smaller; however,
 $\Delta\bar{q}$ and Δg are not well determined (especially Δg).
 Δg error is correlated with $\Delta\bar{q}$ error, $\Delta\Sigma = 0.213 \pm 0.136$, $\Delta g = 0.468 \pm 0.136$
- analysis with RHIC γ pseudo-data
 - Including the pseudo-data in our χ^2 analysis,

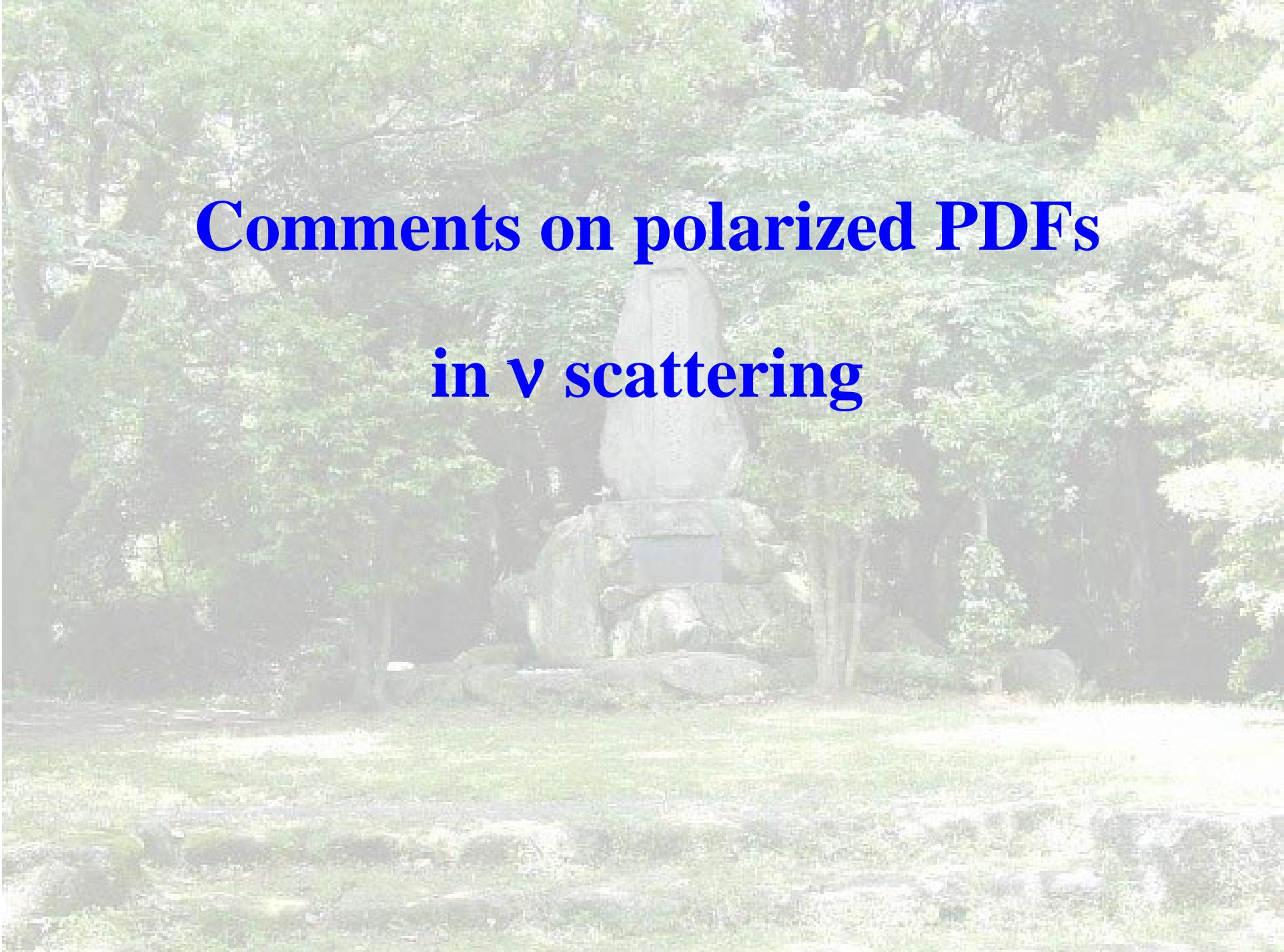
Prospects

(1) new data are needed for the PDF determination

- fortunately, experiments are going on
JLab, RHIC-Spin, COMPASS, HERMES, ...
- these new data should lead to accurate determina
of the polarized PDFs (**bright prospects!**)

(2) possibilities in Japan

- **J-PARC** (Japan Proton Accelerator Research Complex)
primary proton beam: large-x physics
- **Neutrino Factory** (also in Europe / US)
valence polarization, spin content, strange,



Comments on polarized PDFs in ν scattering

Polarized neutrino-proton scattering (CC)

$$\begin{aligned}
 W_{\mu\nu} = & (-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2}) F_1 + \frac{\hat{p}_\mu \hat{p}_\nu}{p \cdot q} F_2 - i \epsilon_{\mu\nu\lambda\sigma} \frac{q^\lambda p^\sigma}{2p \cdot q} F_3 \quad \text{where } \hat{p}_\mu = p_\mu - \frac{p \cdot q}{q^2} q_\mu \\
 & + i \epsilon_{\mu\nu\lambda\sigma} \frac{q^\lambda s^\sigma}{p \cdot q} g_1 + i \epsilon_{\mu\nu\lambda\sigma} \frac{q^\lambda (p \cdot q) s^\sigma - s \cdot q p^\sigma}{(p \cdot q)^2} g_2 \\
 & + \left[\frac{\hat{p}_\mu \hat{s}_\nu + \hat{s}_\mu \hat{p}_\nu}{2p \cdot q} - \frac{s \cdot q \hat{p}_\mu \hat{p}_\nu}{(p \cdot q)^2} \right] g_3 + \frac{s \cdot q \hat{p}_\mu \hat{p}_\nu}{(p \cdot q)^2} g_4 + (-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2}) \frac{s \cdot q}{p \cdot q} g_5
 \end{aligned}$$

new structure functions g_3, g_4, g_5

be careful about “various” definitions of g_3, g_4, g_5 !

$$\begin{aligned}
 \frac{d(\sigma_{\lambda_p=-1}^{CC} - \sigma_{\lambda_p=+1}^{CC})}{dx dy} = & \frac{G_F^2 Q^2}{\pi(1+Q^2/M_W^2)^2 xy} \left\{ \left[-\lambda_\ell y(2-y)xg_1^{CC} - (1-y)g_4^{CC} - y^2 x g_5^{CC} \right] \right. \\
 & + 2xy \frac{M^2}{Q^2} \left[\lambda_\ell x^2 y^2 g_1^{CC} + \lambda_\ell 2x^2 y g_2^{CC} + \left(1 - y - x^2 y^2 \frac{M^2}{Q^2} \right) x g_3^{CC} \right. \\
 & \left. \left. - x \left(1 - \frac{3}{2}y - x^2 y^2 \frac{M^2}{Q^2} \right) g_4^{CC} - x^2 y^2 g_5^{CC} \right] \right\}
 \end{aligned}$$

$\rightarrow 0$ at $Q^2 \gg M^2$

g₁, g₄, g₅ in parton model (CC)

$$g_4 = 2x g_5 \quad \Delta q \equiv q^\uparrow - q^\downarrow$$

$$g_1^{vp} = +\Delta d + \Delta s + \Delta \bar{u} + \Delta \bar{c}, \quad g_1^{\bar{v}p} = +\Delta u + \Delta c + \Delta \bar{d} + \Delta \bar{s}$$

$$g_5^{vp} = -\Delta d - \Delta s + \Delta \bar{u} + \Delta \bar{c}, \quad g_5^{\bar{v}p} = -\Delta u - \Delta c + \Delta \bar{d} + \Delta \bar{s}$$

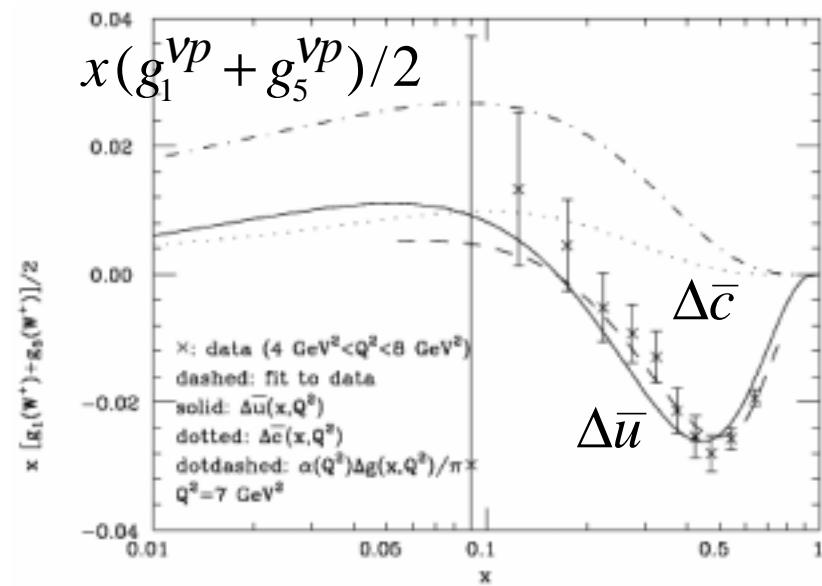
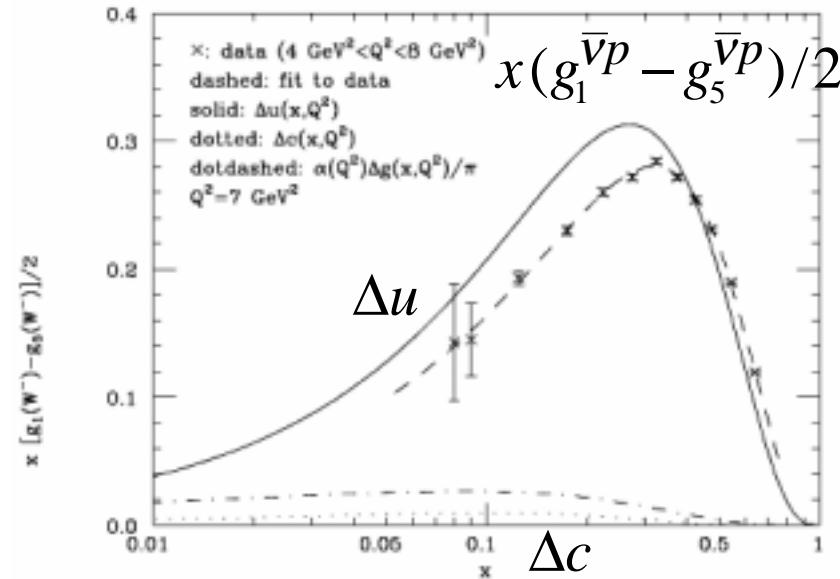


$$g_5^{vp} + g_5^{\bar{v}p} = -(\underline{\Delta u_v + \Delta d_v}) - (\Delta s - \Delta \bar{s}) - (\Delta c - \Delta \bar{c})$$

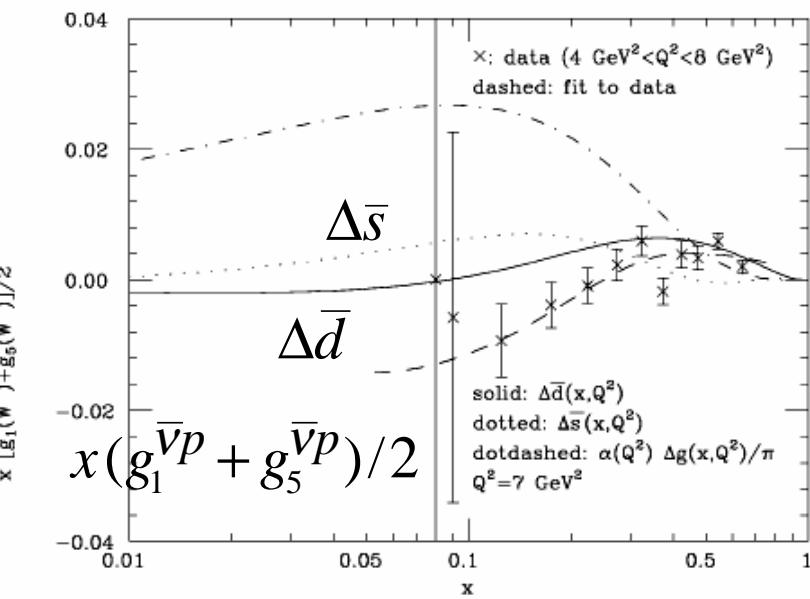
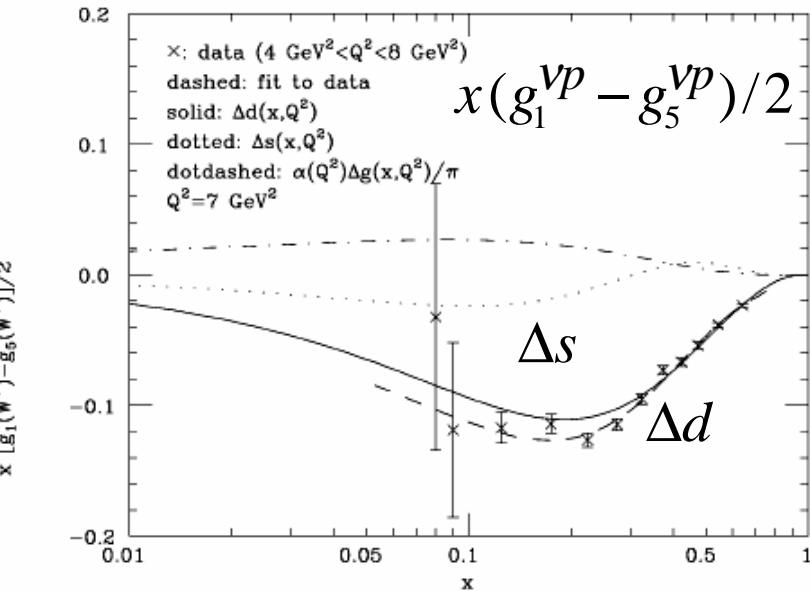
determination of valence polarization

$$\underline{g_5^{vp(p+n)/2} - g_5^{\bar{v}p(p+n)/2}} = -(\Delta s + \Delta \bar{s}) + (\Delta c + \Delta \bar{c})$$

Possibilities at ν factory



S. Forte, M. L. Mangano, G. Ridolfi
Nucl. Phys. B602 (2001) 585.



Quark spin content

e/ μ scattering $\rightarrow \Delta\Sigma = 0 \sim 30\%$

It is not uniquely determined.

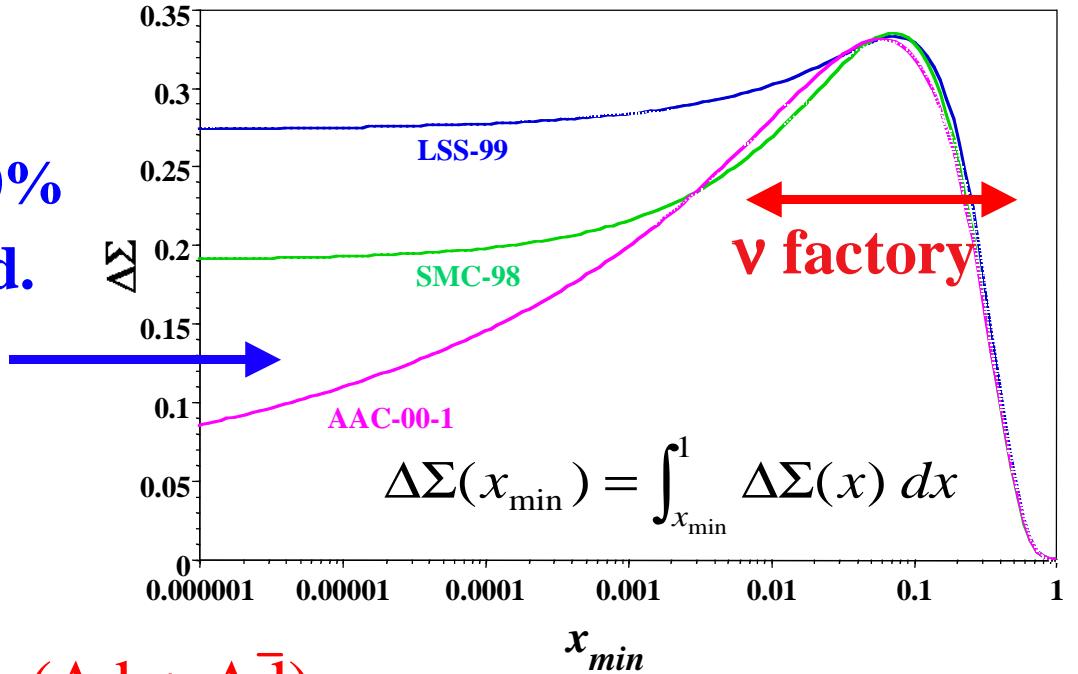
ν scattering

$$g_1^{\nu p} + g_1^{\bar{\nu} p} = (\Delta u + \Delta \bar{u}) + (\Delta d + \Delta \bar{d})$$

$$+ (\Delta s + \Delta \bar{s}) + (\Delta c + \Delta \bar{c})$$

$$\text{in LO} \quad \int dx (g_1^{\nu p} + g_1^{\bar{\nu} p}) = \Delta\Sigma$$

independent determination of
quark spin content $\Delta\Sigma$!



Determination of Nuclear Parton Distribution Functions

<http://hs.phys.saga-u.ac.jp/nuclp.html>

(Nuclear PDF codes could be obtained from this site.)

Refs. (1) M. Hirai, SK, M. Miyama,
Phys. Rev. D64 (2001) 034003.
(2) to be submitted for publication.

Purposes

- nuclear mechanisms in the high-energy region
- heavy-ion reactions: quark-gluon plasma signature
- neutrino physics: nuclear effects in $\nu + {}^{16}\text{O}$

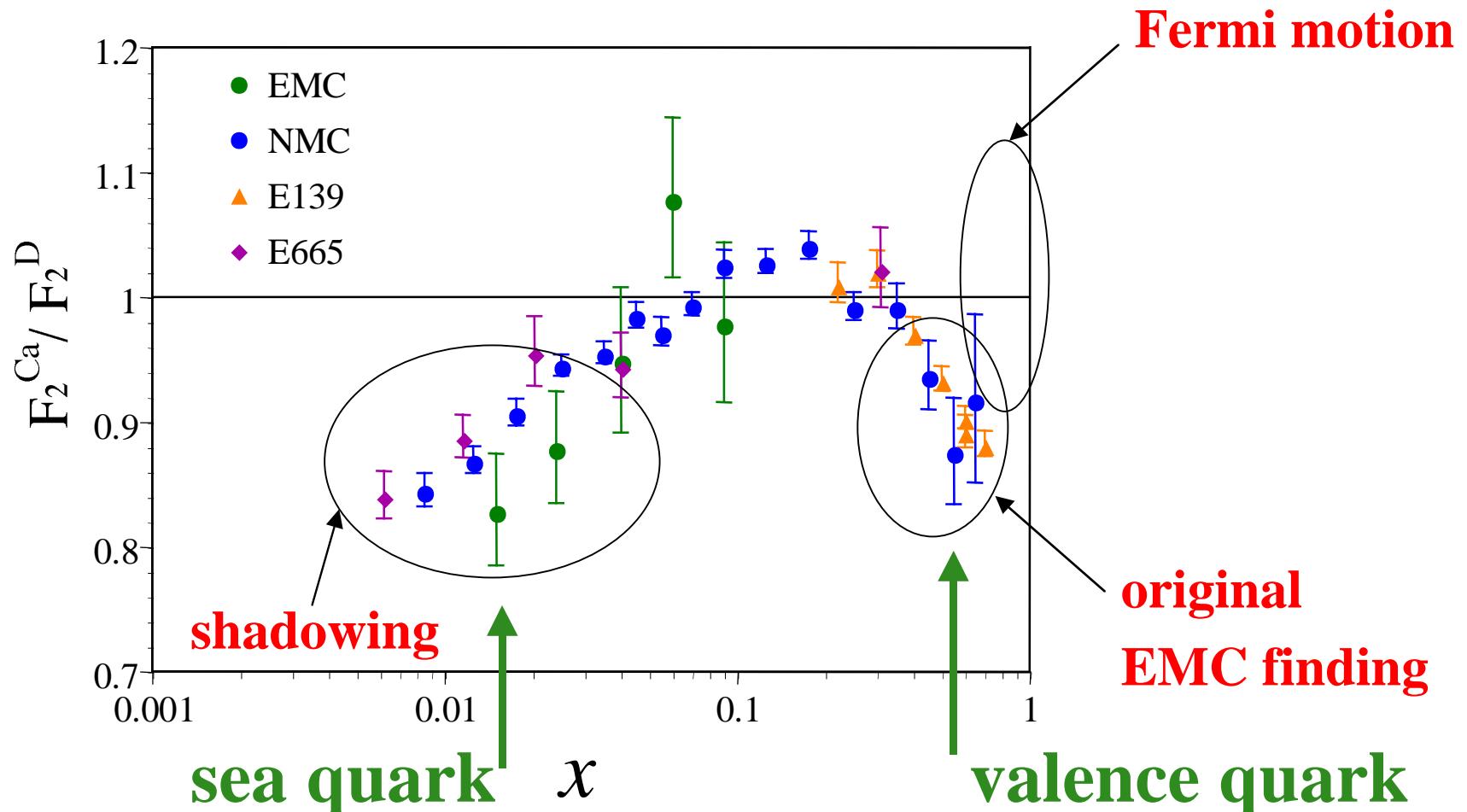
Today's talk on

- χ^2 analysis method, used data
- results

Nuclear modification

$$F_2^A = \sum_i e_i^2 x [q_i(x) + \bar{q}_i(x)]_A$$

Nuclear modification of F_2^A / F_2^D is well known in electron/muon scattering.



Nuclear parton distributions (per nucleon)

if there *were* no modification

$$A \ u^A = Z \ u^p + N \ u^n, \quad A \ d^A = Z \ d^p + N \ d^n$$

Isospin symmetry: $u^n = d^p \equiv d, \quad d^n = u^p \equiv u$

$$\rightarrow u^A = \frac{Z \ u + N \ d}{A}, \quad d^A = \frac{Z \ d + N \ u}{A}$$

Take into account the nuclear modification
by the factors $w_i(x, A)$

$$u_v^A(x) = w_{u_v}(x, A) \frac{Z \ u_v(x) + N \ d_v(x)}{A}$$

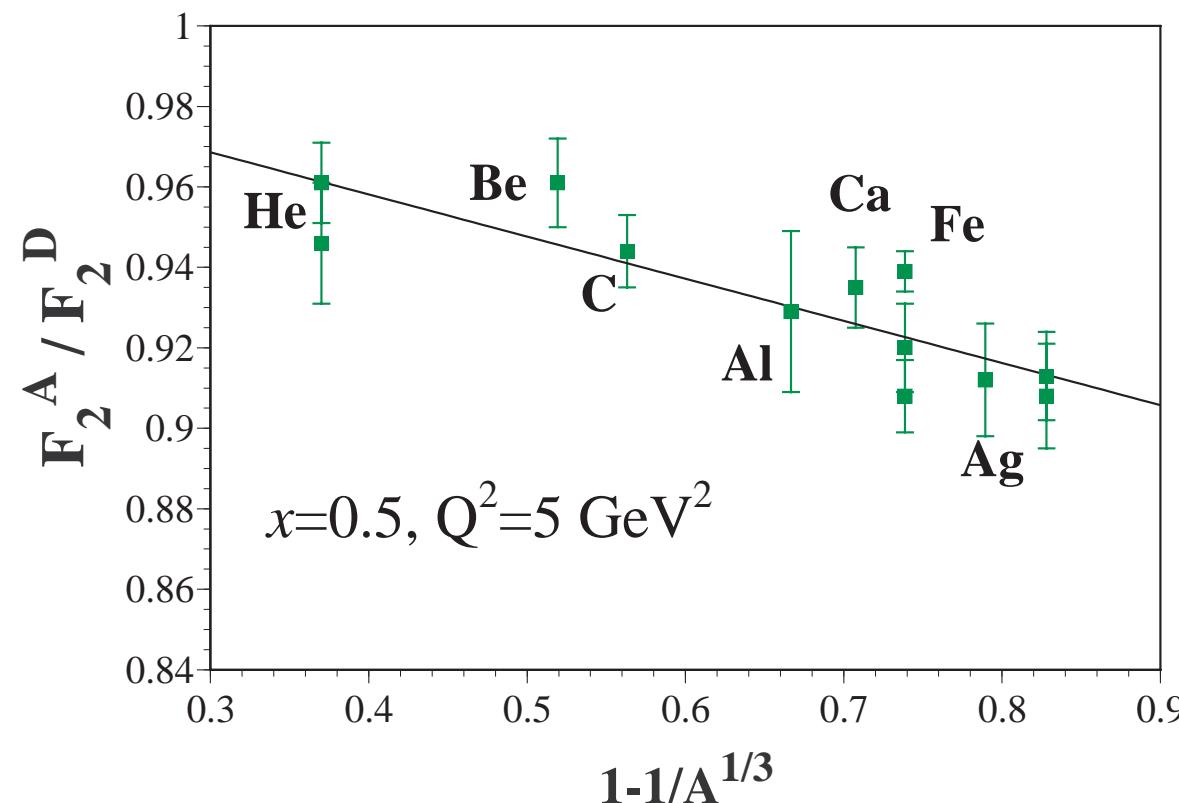
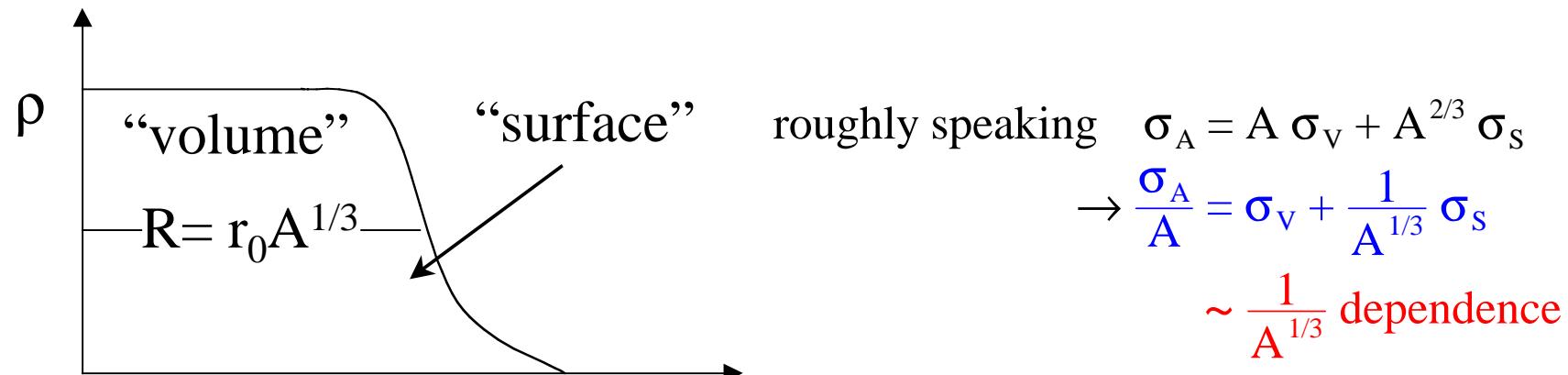
$$d_v^A(x) = w_{d_v}(x, A) \frac{Z \ d_v(x) + N \ u_v(x)}{A}$$

$$\bar{q}^A(x) = w_{\bar{q}}(x, A) \bar{q}(x)$$

$$g^A(x) = w_g(x, A) g(x)$$

A dependence

Ref. I. Sick and D. Day, Phys. Lett. B 274 (1992)



Functional form of $w_i(x, A)$

$$f_i^A(x) = w_i(x, A) f_i(x), \quad i = u_v, d_v, \bar{q}, g$$

first, assume the A dependence as $1/A^{1/3}$

then, use

$$w_i(x, A) = 1 + (1 - 1/A^{1/3}) \frac{a_i + b_i x + c_i x^2 + d_i x^3}{(1 - x)^{\beta_i}}$$

$a_i, b_i, c_i, d_i, \beta_i$: parameters to be determined by χ^2 analysis

Fermi motion: $\frac{1}{(1 - x)^{\beta_i}} \rightarrow \infty$ as $x \rightarrow 1$ if $\beta_i > 0$

Shadowing: $w_i(x \rightarrow 0, A) = 1 + (1 - 1/A^{1/3}) a_i < 1$

Fine tuning: b_i, c_i, d_i

Constraints

- Nuclear charge

$$\begin{aligned} Z &= A \int dx \left[\frac{2}{3}(u^A - \bar{u}^A) - \frac{1}{3}(d^A - \bar{d}^A) - \frac{1}{3}(s^A - \bar{s}^A) \right] \\ &= A \int dx \left(\frac{2}{3} u_v^A - \frac{1}{3} d_v^A \right) \end{aligned}$$

- Baryon number

$$A = A \int dx \frac{1}{3} (u_v^A + d_v^A)$$

- Momentum

$$A = A \int dx x (u_v^A + d_v^A + 6 \bar{q}^A + g^A)$$

Three parameters can be determined by these conditions.

Experimental data

(1) F_2^A / F_2^D

NMC: ${}^4\text{He}$, Li, C, Ca

SLAC: ${}^4\text{He}$, Be, C, Al, Ca,
Fe, Ag, Au

EMC: C, Ca, Cu, Sn

E665: C, Ca, Xe, Pb

BCDMS: N, Fe

HERMES: ${}^3\text{He}$, N, Kr

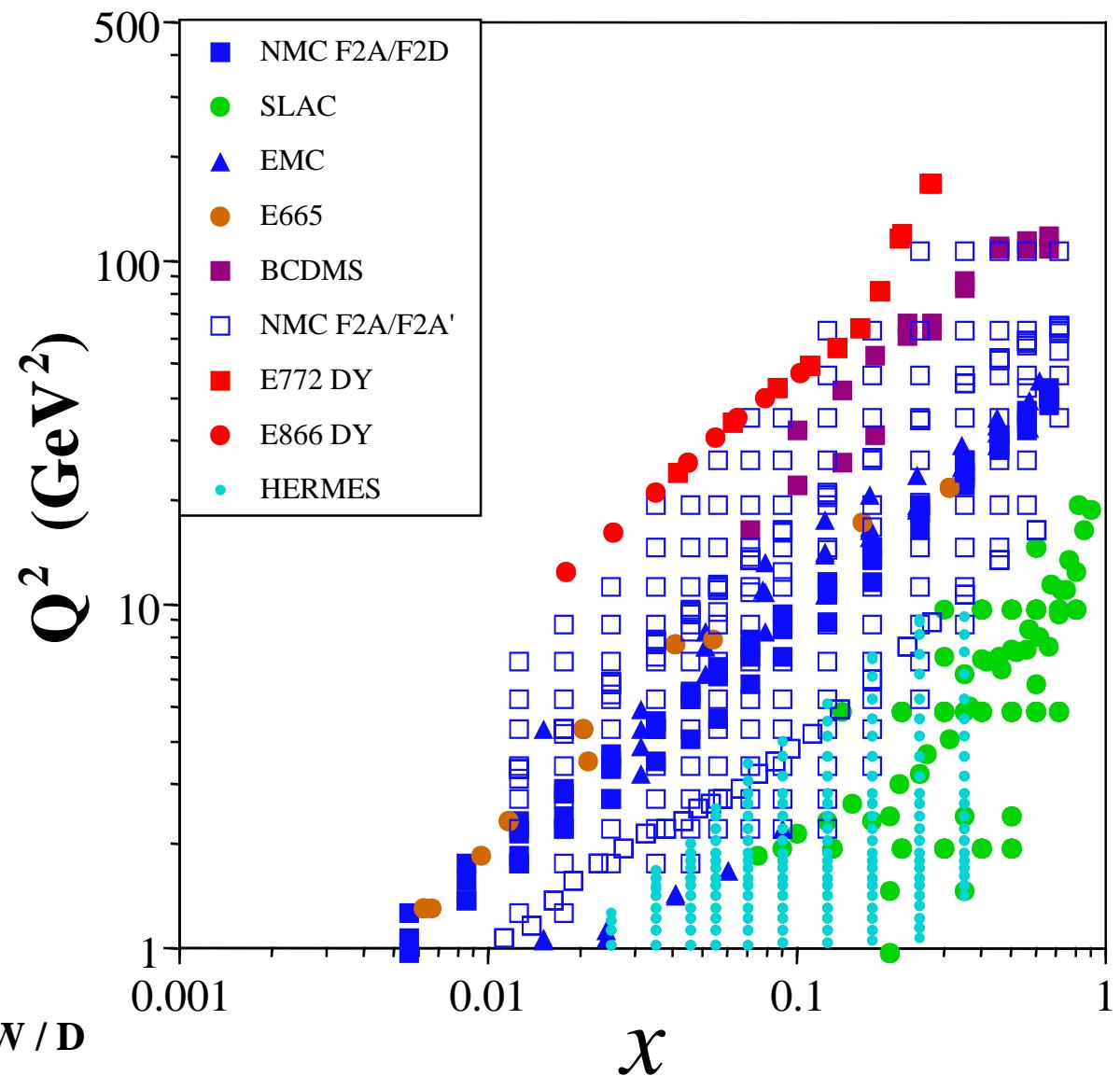
(2) $F_2^A / F_2^{A'}$

NMC: Be / C, Al / C, Ca / C,
Fe / C, Sn / C, Pb / C,
C / Li, Ca / Li

(3) $\sigma_{\text{DY}}^A / \sigma_{\text{DY}}^{A'}$

E772: C / D, Ca / D, Fe / D, W / D

E866: Fe / Be, W / Be



Analysis conditions

- parton distributions in the nucleon

MRST01 - LO ($\Lambda_{\text{QCD}}=220 \text{ MeV}$)

- Q^2 point at which the parametrized PDFs are defined: **$Q^2=1 \text{ GeV}^2$**
- used experimental data: **$Q^2 \geq 1 \text{ GeV}^2$**
- total number of data: **1106**

761 (F_2^A/F_2^D) + **293** ($F_2^A/F_2^{A'}$) + **52** (Drell-Yan)

- subroutine for the χ^2 analysis: **CERN - Minuit**

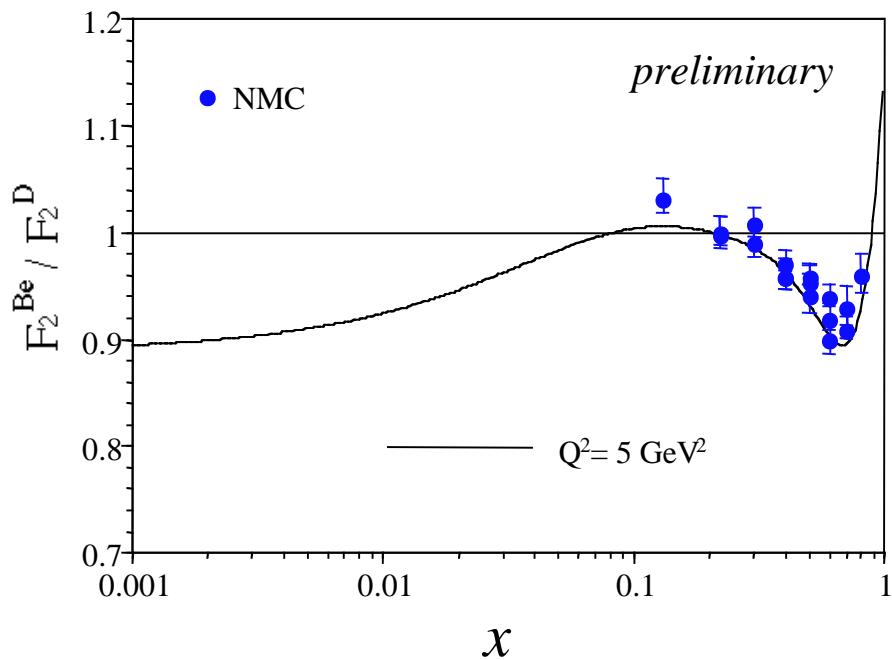
$$\chi^2 = \sum_i \frac{(R_i^{\text{data}} - R_i^{\text{calc}})^2}{(\sigma_i^{\text{data}})^2}$$

$$R = \frac{F_2^A}{F_2^D}, \frac{F_2^A}{F_2^{A'}}, \frac{\sigma_{\text{DY}}^{\text{pA}}}{\sigma_{\text{DY}}^{\text{pA}}}, \quad \sigma_i^{\text{data}} = \sqrt{(\sigma_i^{\text{sys}})^2 + (\sigma_i^{\text{stat}})^2}$$

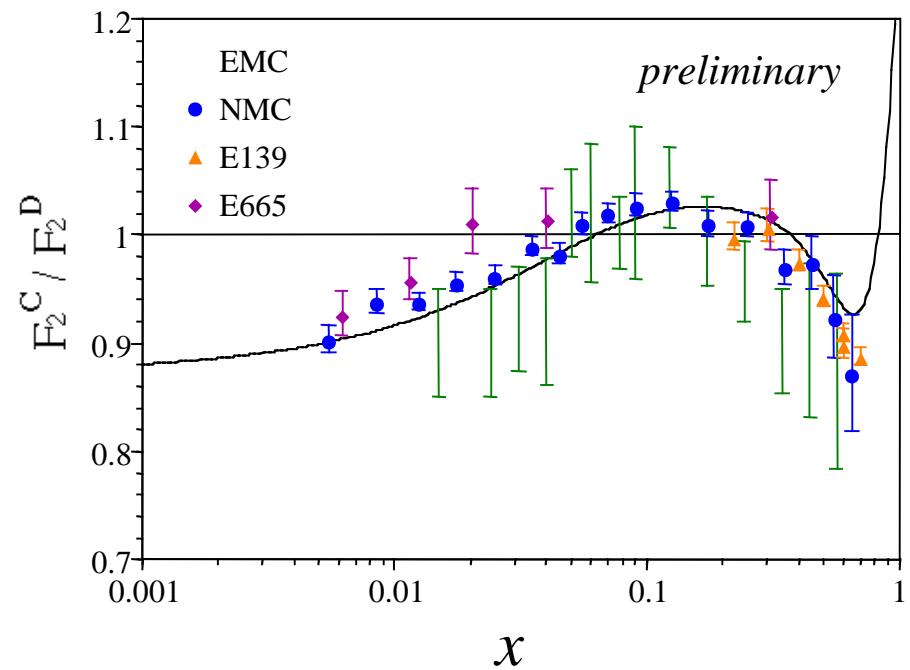
Analysis results

small nuclei

Be/D

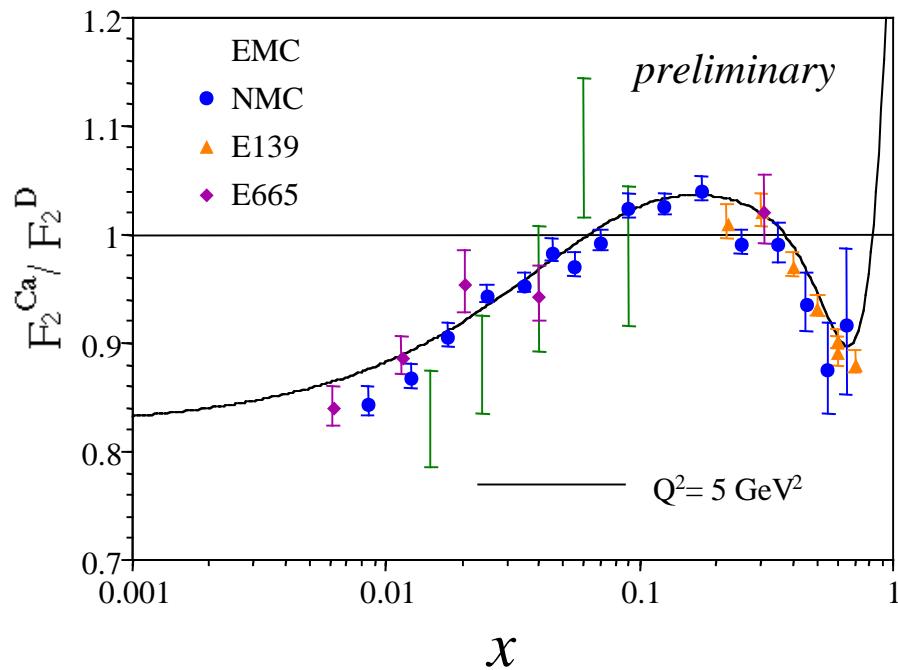


C/D

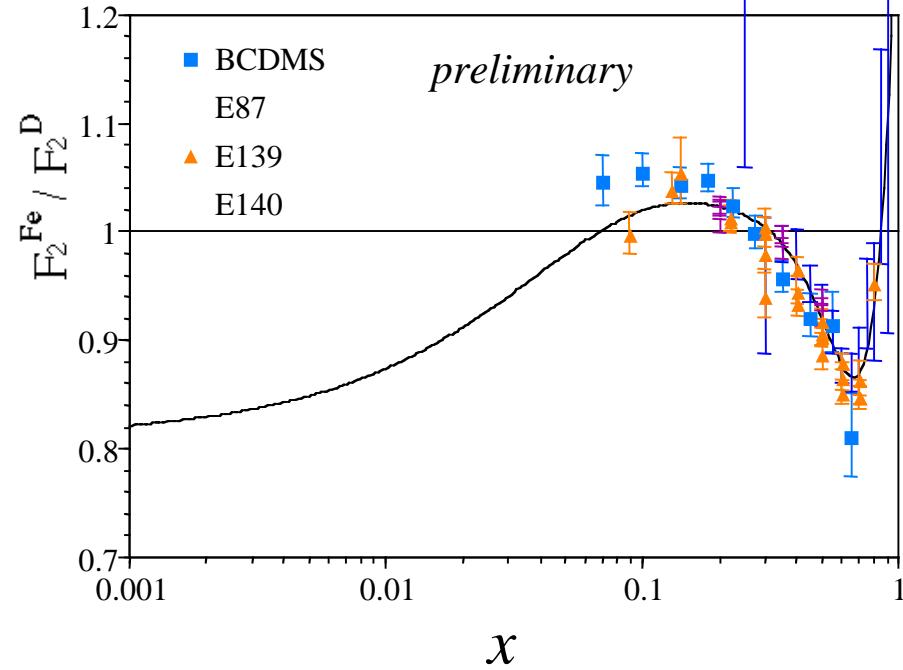


medium-size nuclei

Ca/D

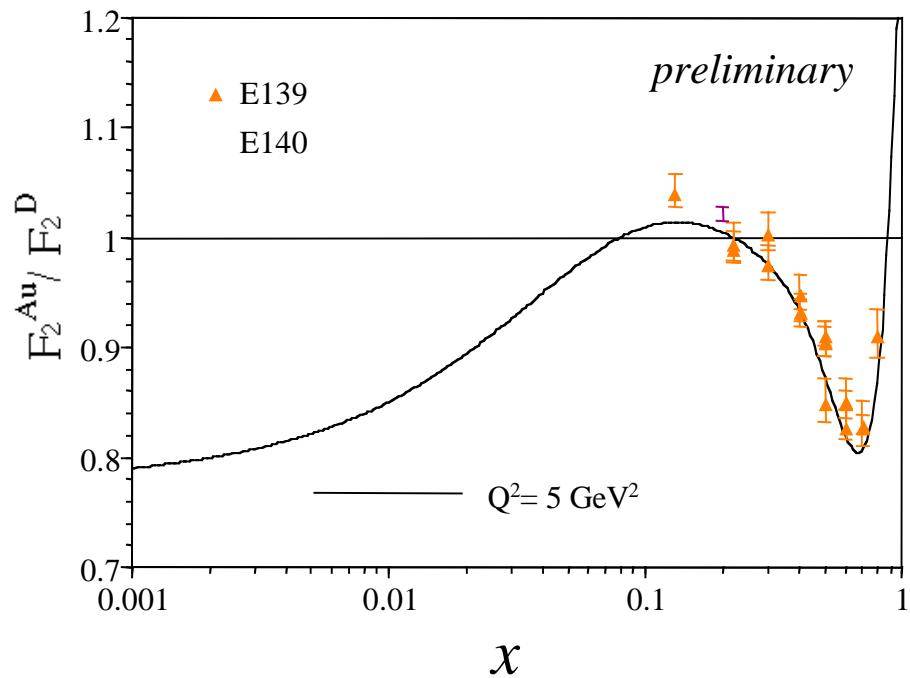


Fe/D

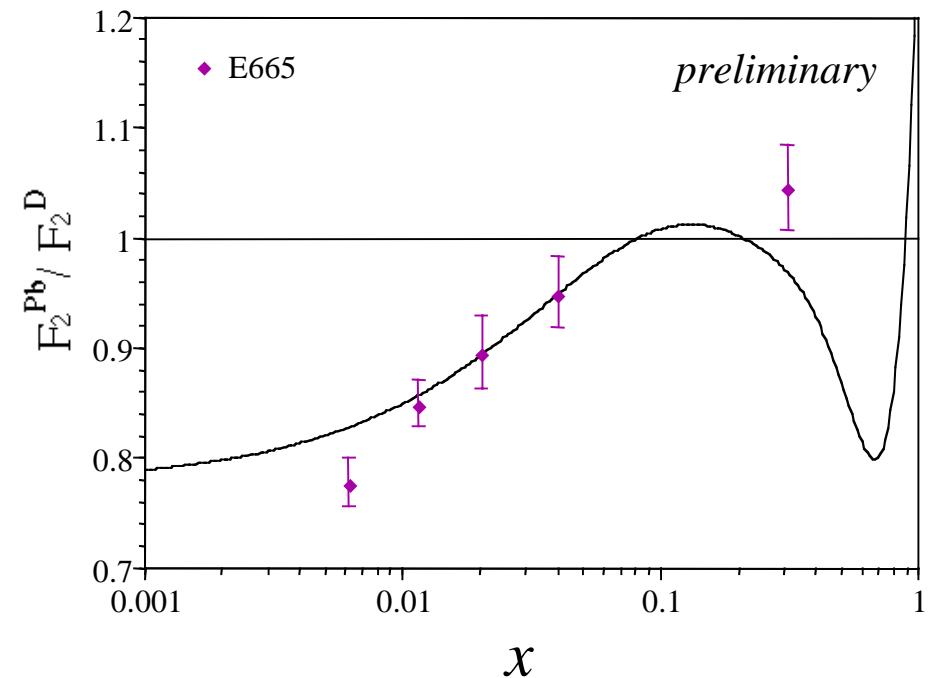


large nuclei

Au/D

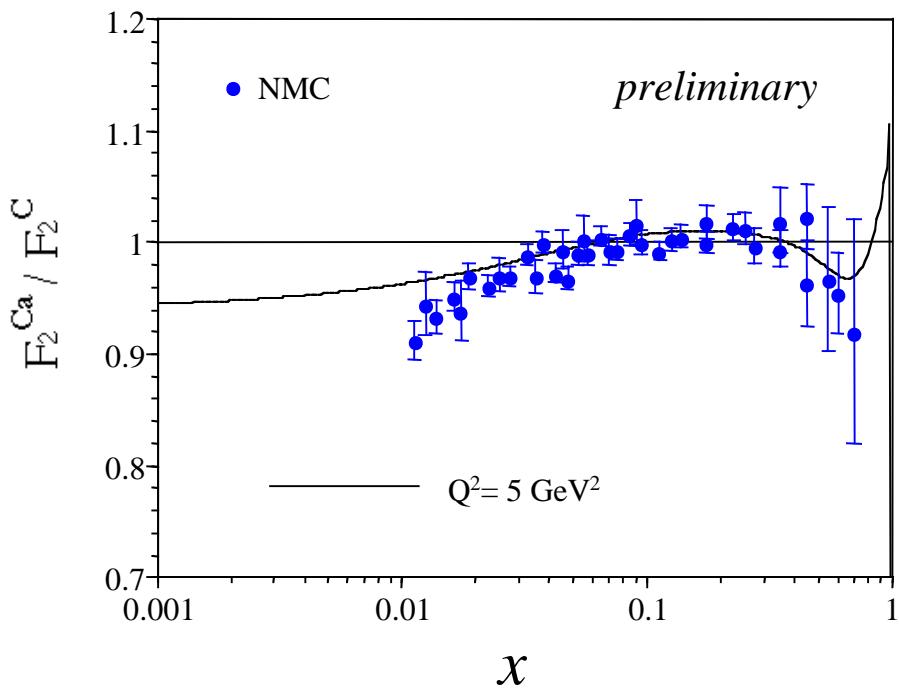


Pb/D

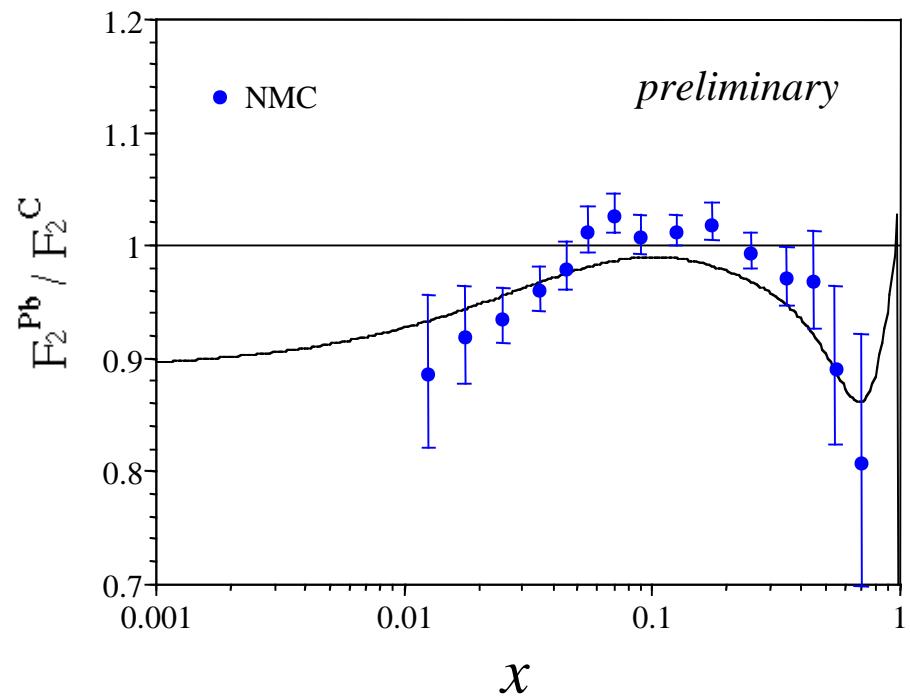


$$F_2^A/F_2^{A'}$$

Ca/C

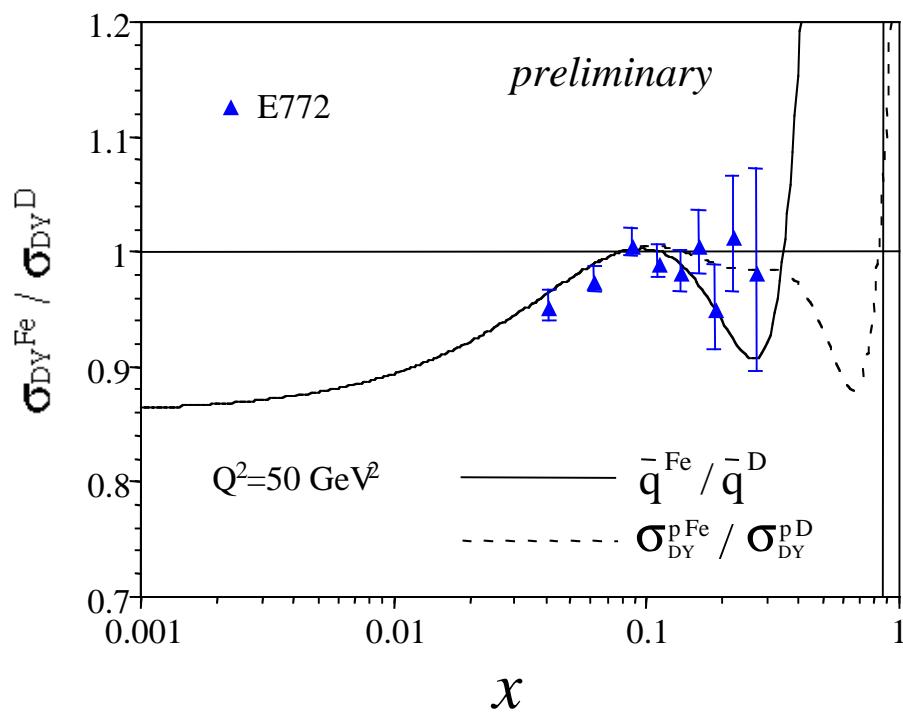


Pb/C

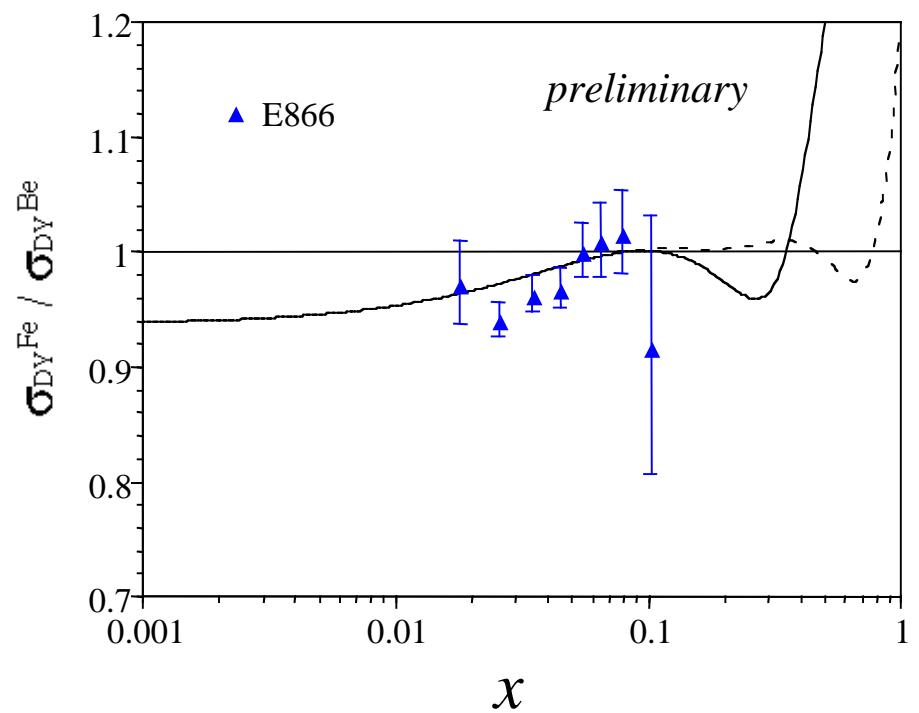


Drell-Yan

Fe/D

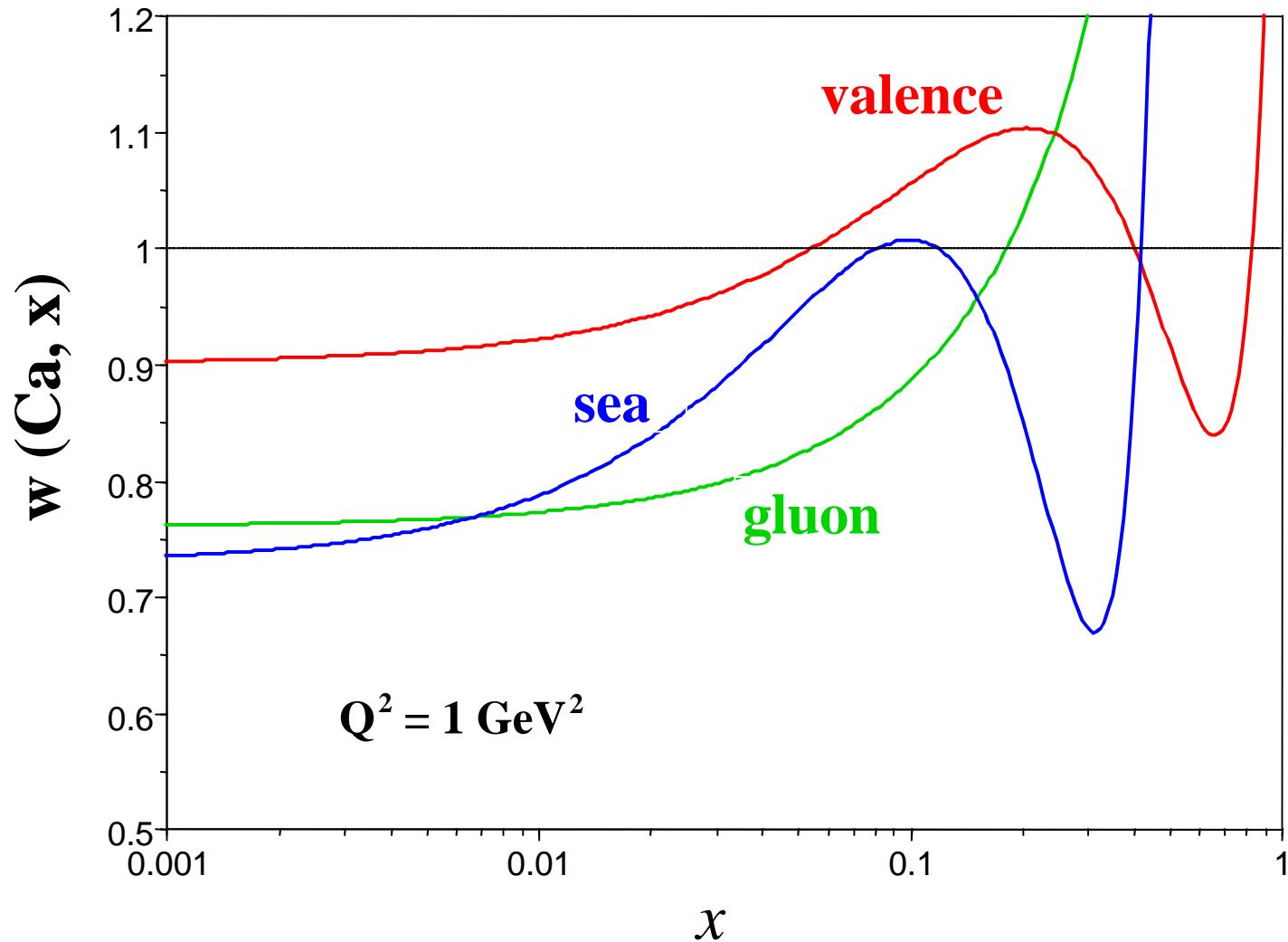


Fe/Be



Nuclear corrections for Ca

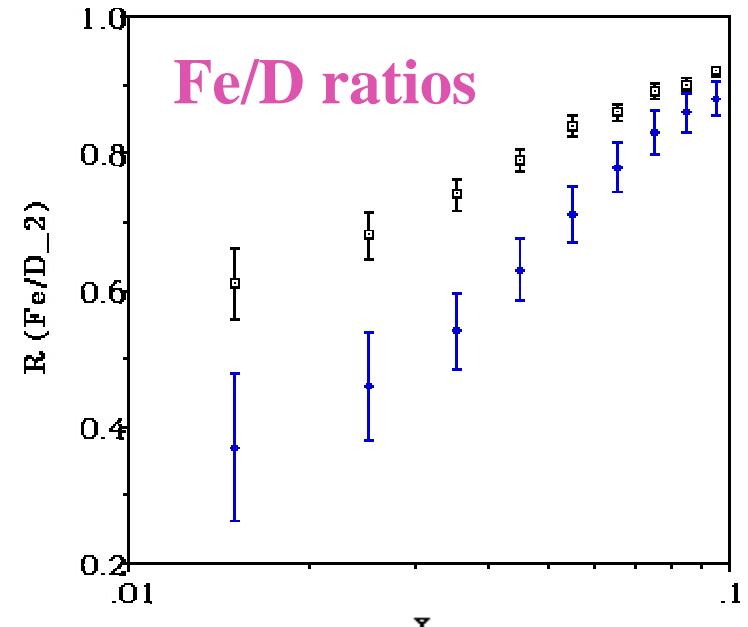
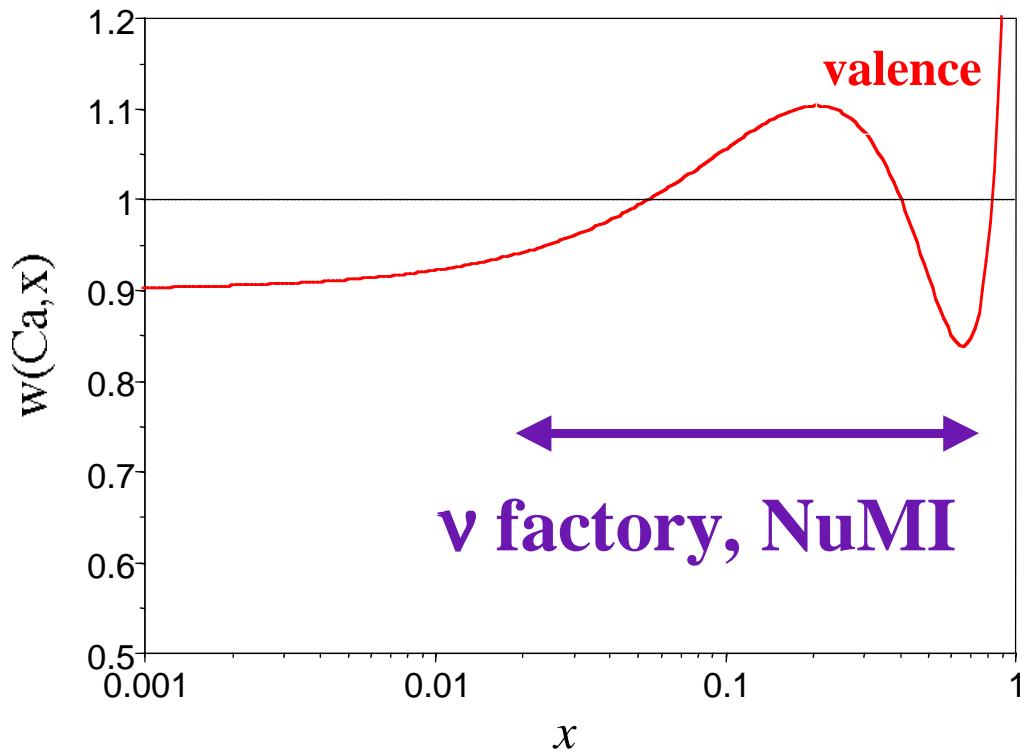
Ca / Nucleon



**Comments on
Future Experimental Studies
of Nuclear PDFs**

Valence quark

$$\frac{1}{2} [F_3^{vN} + F_3^{\bar{v}N}]_{CC} \equiv u_v + d_v$$



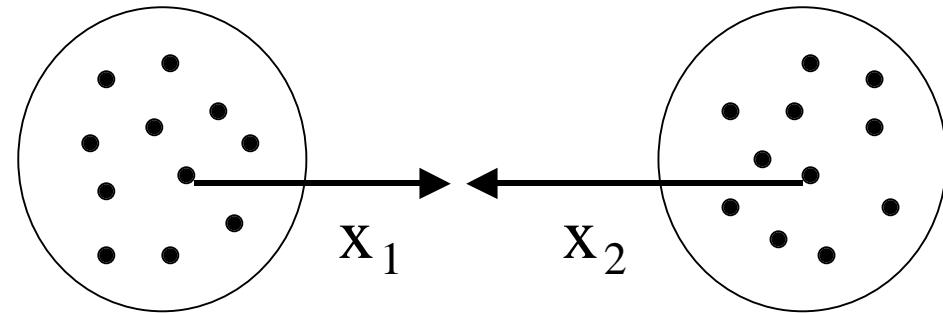
NuMI proposal

- test of shadowing models
 F_3 (valence) vs. F_2 (sea) shadowing
- accurate determination of nuclear PDFs

Studies at hadron facilities

e.g. Drell-Yan: $x_1 x_2 = \frac{m_{\mu\mu}^2}{s}$

$$\longrightarrow x \approx \frac{\sqrt{m_{\mu\mu}^2}}{\sqrt{s}}$$

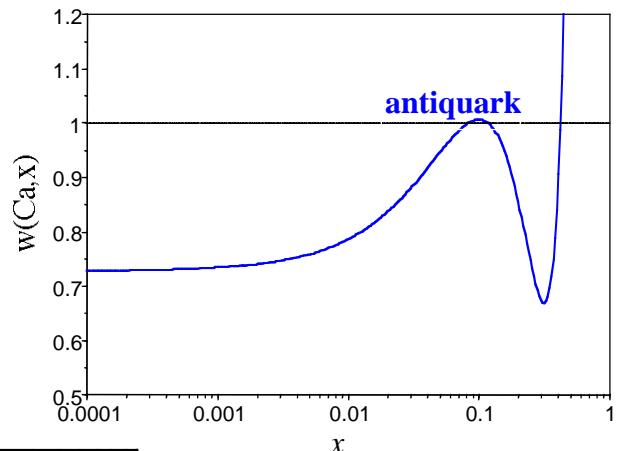
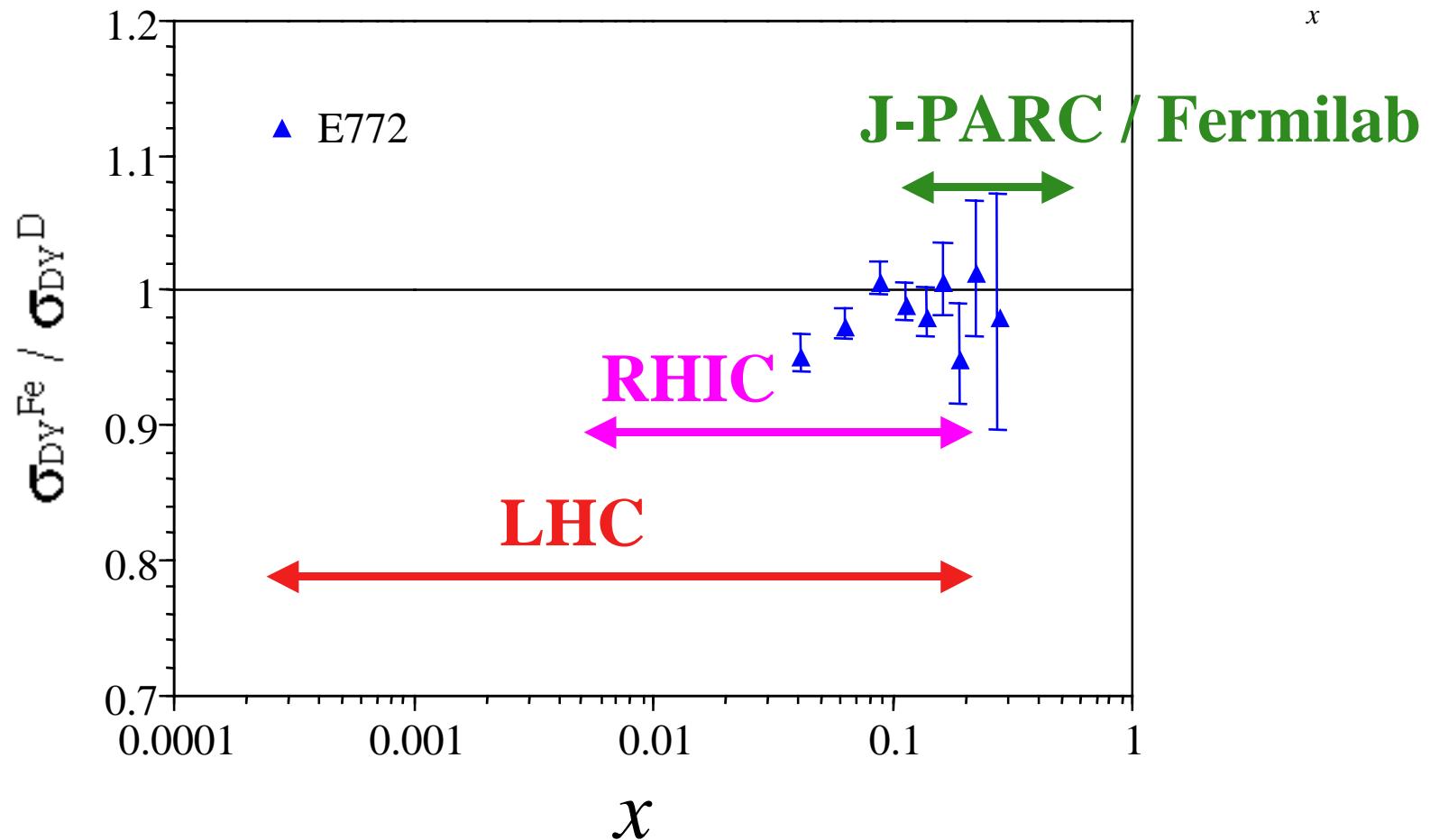


- $s = (p_1 + p_2)^2$ **RHIC:** $\sqrt{s} = 0.2$ TeV
LHC: $\sqrt{s} = 5.5$ TeV

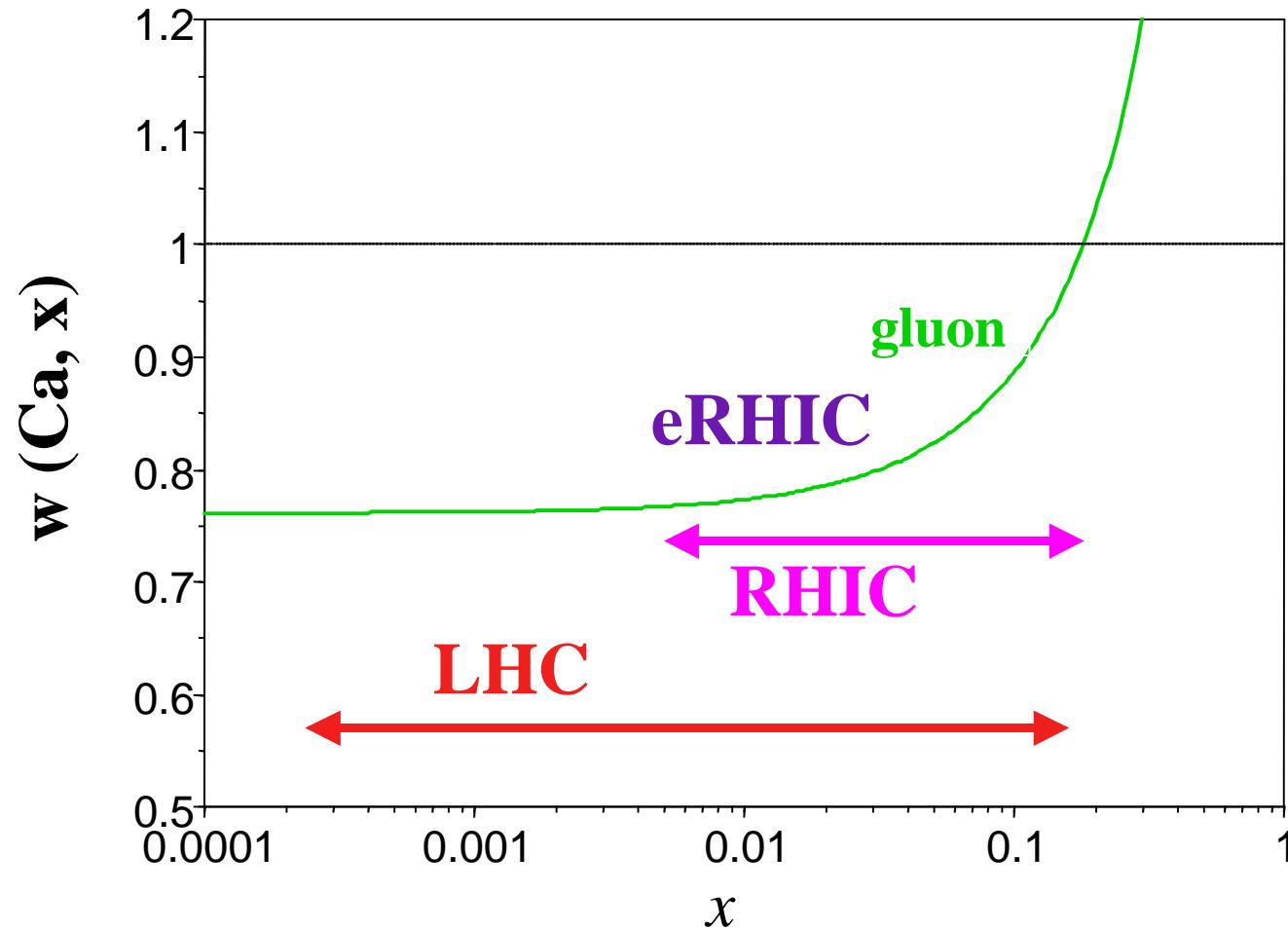
- pQCD: $Q^2 \geq$ a few GeV^2

$$x \approx \frac{\sqrt{m_{\mu\mu}^2}}{\sqrt{s}} \geq \frac{1}{200} = 0.005 \text{ RHIC}$$
$$\geq \frac{1}{5500} = 0.0002 \text{ LHC}$$

Antiquark distributions



Gluon distributions



Summary

(1) χ^2 analysis for the nuclear PDFs

Computer codes could be obtained from

<http://hs.phys.saga-u.ac.jp/nuclp.html>.

(2) Nuclear PDF studies are still premature.

→ analysis refinements

→ experimental efforts:

RHIC, LHC, eRHIC, JPARC, ν factory, ...