

Emergent Frontiers in Quantum
Materials:
High Temperature superconductivity and
Topological Phases

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The nature of the problem in Condensed Matter Physics



Consider a small piece (mm size) of metal.

- $\sim 10^{20}$ valence electrons and atoms
- They are all mutually interacting via electromagnetic forces and Pauli exclusion principles
- The goal of condensed matter physics is to understand and ultimately control the emergent collective behavior

Problem: How do we solve a Schrodinger Equation with $\sim 10^{20}$ degrees of freedom?

$$\mathcal{H} = - \sum_j^{N_e} \frac{\hbar^2}{2m} \nabla_j^2 - \sum_\alpha^{N_i} \frac{\hbar^2}{2M_\alpha} \nabla_\alpha^2 - \sum_j^{N_e} \sum_\alpha^{N_i} \frac{Z_\alpha e^2}{|\vec{r}_j - \vec{R}_\alpha|} + \sum_{j \ll k}^{N_e} \frac{e^2}{|\vec{r}_j - \vec{r}_k|} + \sum_{\alpha \ll \beta}^{N_j} \frac{Z_\alpha Z_\beta e^2}{|\vec{R}_\alpha - \vec{r}_\beta|}$$

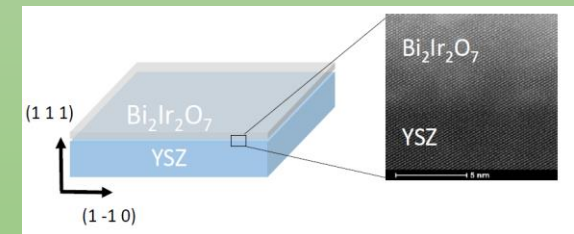
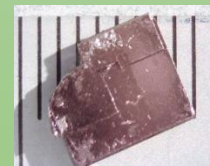
Diverse behavior emerging from a simple equation

Diverse **intriguing physical phenomena** arising from the collective behaviors of electrons and atoms in **REAL MATERIALS**.

Intriguing physical phenomena:

Superconductivity, Charge/Spin density wave, Ferromagnetism, Anti-ferromagnetism, Ferroelectricity, Antiferroelectricity, Band Insulator Mott Insulator, Anderson Insulator, Heavy Fermion, High temperature superconductor Frustrated magnet, Spin ice, Spin liquid, Integer/Fractional Quantum Hall Effect Quantum Spin Hall effect, Topological Insulator, Topological superconductor, Topological semimetal, Dirac Fermion, Weyl Fermion, Majorana Fermion,

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- The task seems obvious – we just need to solve the equation and make the prediction.
- Problem – there is almost no exact solution beyond two particles. Even with powerful computer it's hard to solve numerically.
- Just to give you an idea, you need to diagonalize a $10^{18} \times 10^{18}$ matrix if you just simply consider 32 electrons occupying 8×8 lattice sites with a single quantum state.

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Topological superconductor, Topological semimetal,
Dirac Fermion, Weyl Fermion, Majorana Fermion,

Physicist built powerful
phenomenological models to
describe the emergent behaviors
observed in experiment

Landau Fermi Liquid theory

BCS Theory of superconductivity

Landau theory of symmetry
breaking phase transition

.....

Quantum Materials: beyond the standard model

New materials and phenomenon challenge the old paradigm, they also bring in new concept, such as quantum critical point, topology,

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Landau Fermi Liquid theory

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Landau theory of symmetry breaking phase transition

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A quick comparison to the situations in high energy physics

- There are good “Standard Models”
 - Landau Fermi Liquid theory
 - BCS Theory of superconductivity
 - Landau theory for symmetry breaking
 - Wilson-Fisher theory of criticality
 - ...
- The Theory of Everything is known

$$\mathcal{H} = - \sum_j^{N_e} \frac{\hbar^2}{2m} \nabla_j^2 - \sum_\alpha^{N_i} \frac{\hbar^2}{2M_\alpha} \nabla_\alpha^2 - \sum_j^{N_e} \sum_\alpha^{N_i} \frac{Z_\alpha e^2}{|\vec{r}_j - \vec{R}_\alpha|} + \sum_{j \ll k}^{N_e} \frac{e^2}{|\vec{r}_j - \vec{r}_k|} + \sum_{\alpha \ll \beta}^{N_j} \frac{Z_\alpha Z_\beta e^2}{|\vec{R}_\alpha - \vec{r}_\beta|}$$

- Experiments for physics beyond standard model are abundant

Non-Fermi liquid behavior in high temperature superconductors

Topological phases that cannot be classified by broken symmetry

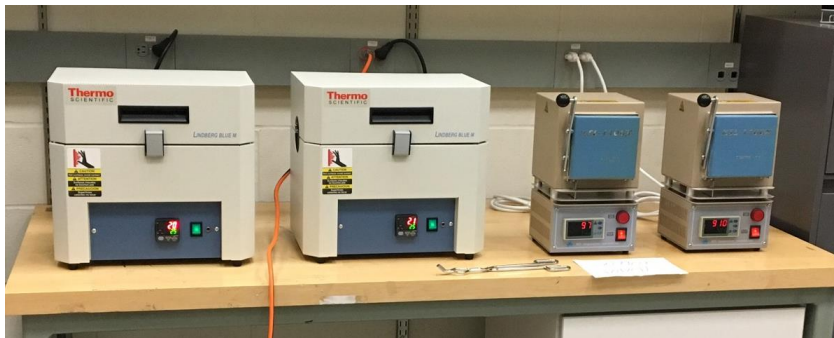
How do we make this happen?



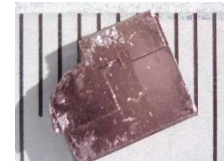
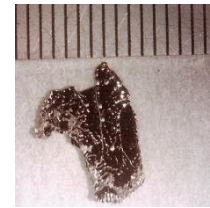
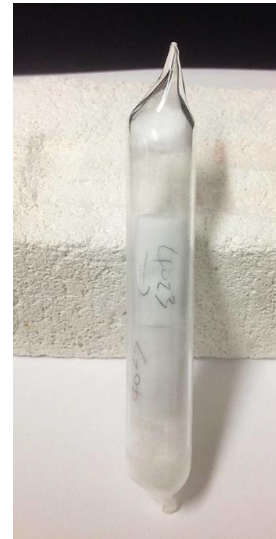
Hydrogen torch station $T \sim 3000\text{K}$

The art of crystal growth:

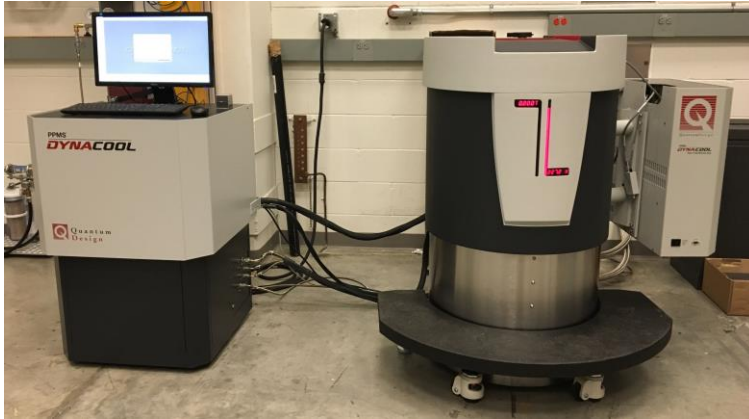
Learn to become an alchemist



Tube and box furnaces $T \sim 1500\text{K}$



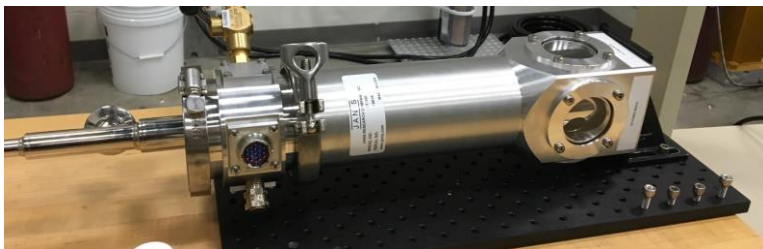
How do we make this happen?



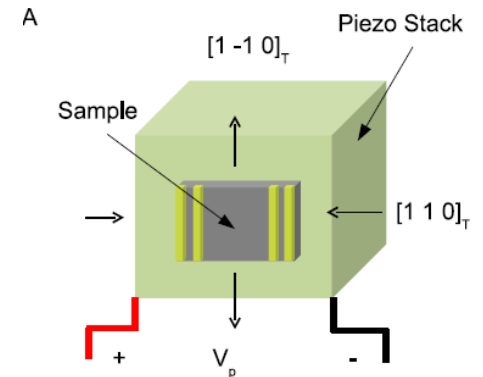
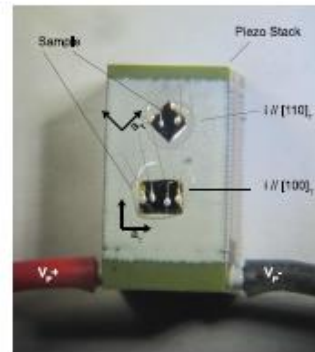
And then we take it down to low temperatures and high magnetic field

Applying elastic strain field

PPMS Dynacool $T \sim 2\text{K}$ $B \sim 14\text{T}$

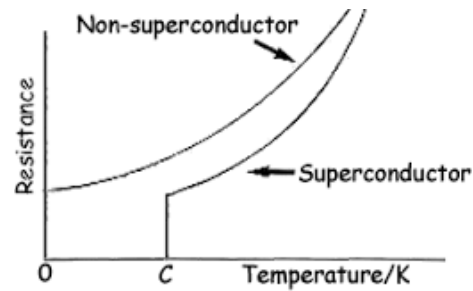


Janis flow cryo system $500\text{K} \sim 80\text{K}$ (LN_2) or 10K (LHe)

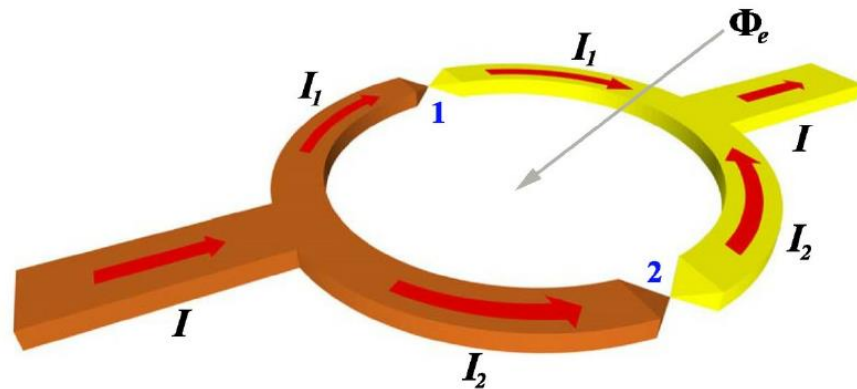


- High temperature superconductivity
- Topological phases

A short introduction of superconductivity



C = critical temperature

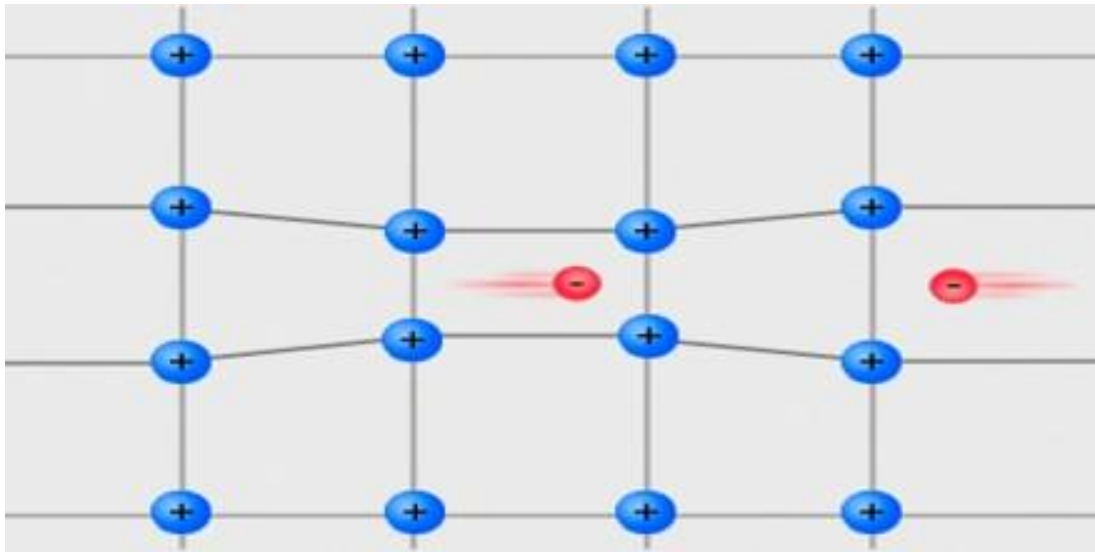


Bardeen-Cooper-Schrieffer theory of superconductivity

Electrons bind into Cooper pairs by phonon interactions.

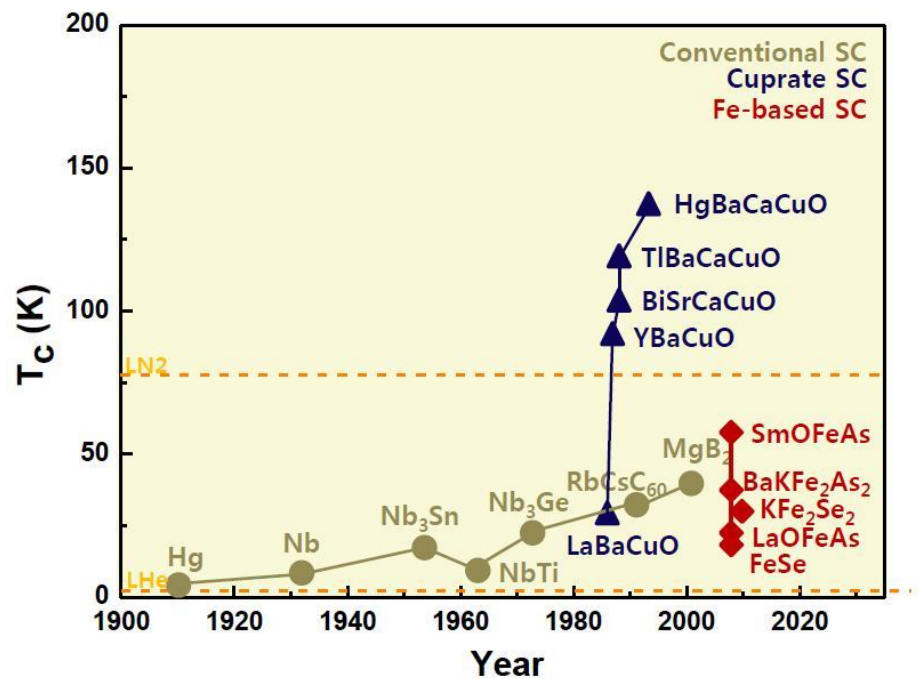
Cooper pairs condensate into macroscopic wave function.

The phonon set the energy scale of this phenomenon, therefore set the critical temperature.



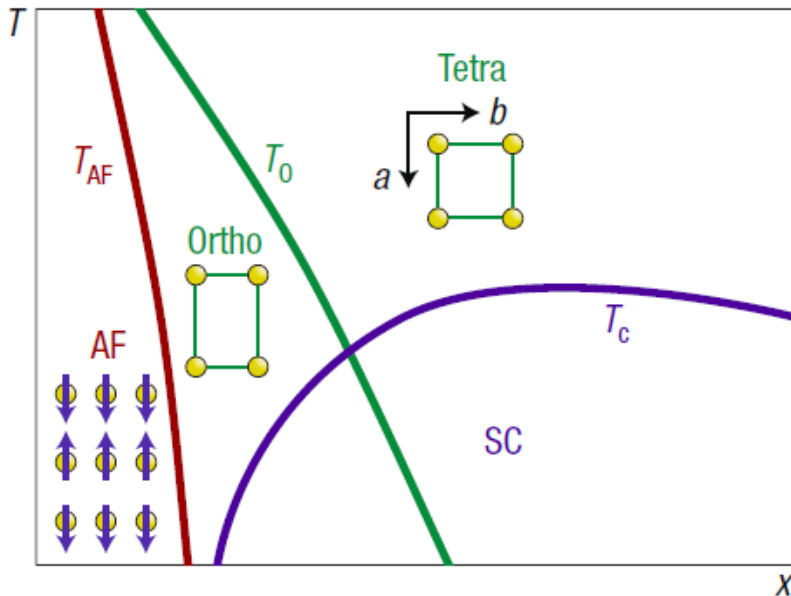
A short history of superconductivity

- 1911 Kamerlingh Onnes discovered the first superconductor
Hg ~ 4K
- 1957 Bardeen – Cooper – Schrieffer theory of superconductivity
- 1986 Cuprates ($T_c \sim 100\text{K}$)
- 2008 Iron based ($T_c \sim 50\text{K}$)

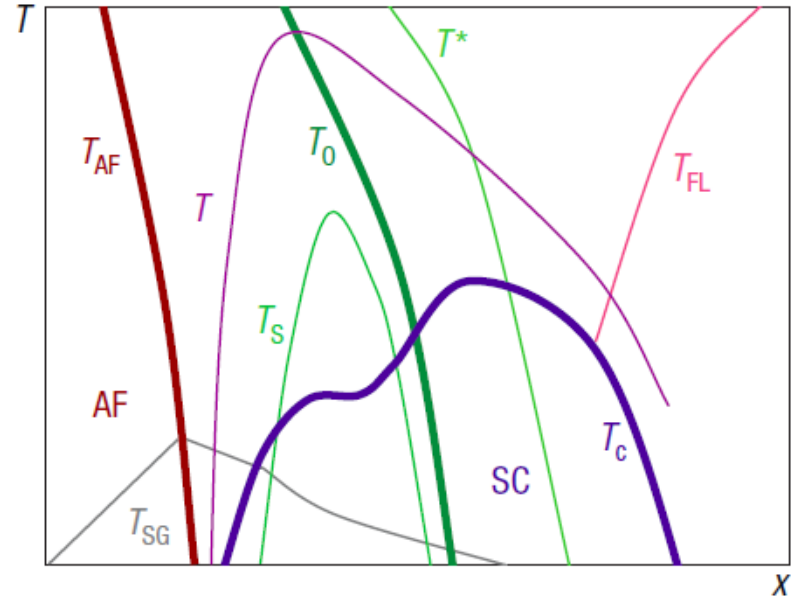


High temperature superconductors: the big question

Fe pnictides:



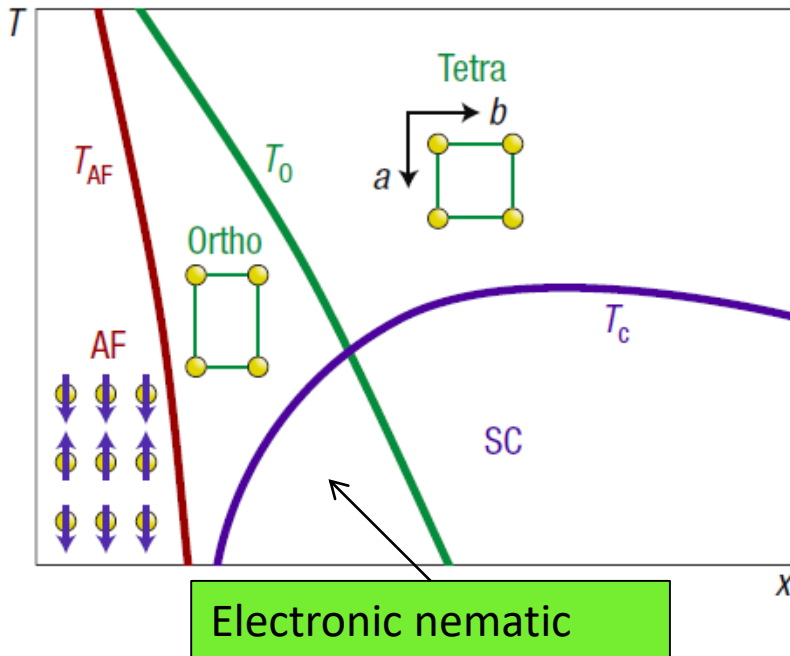
Cuprates:



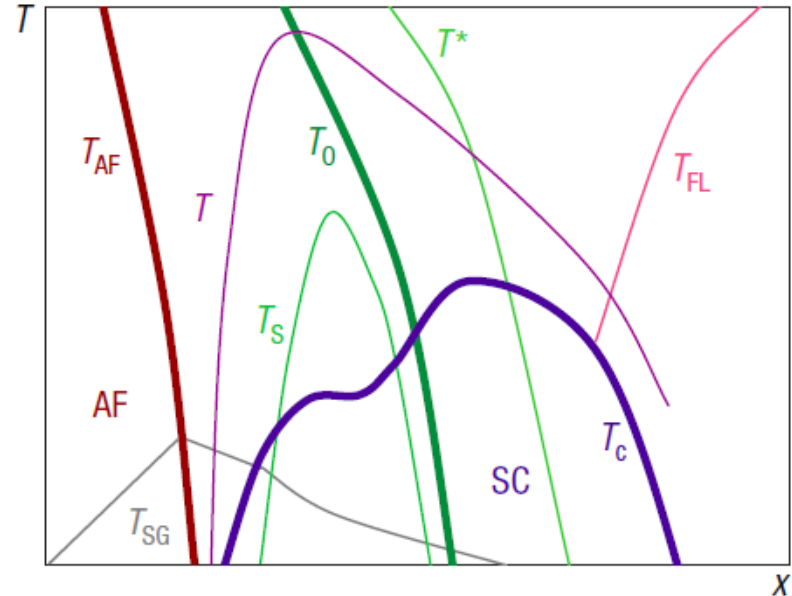
- The parent stoichiometric compound is almost always non-superconducting. Optimal superconductivity needs to be induced by chemical substitutions.
- In the T - x phase diagram, superconductivity is always interweaved by various lines: phase boundary, cross-over....

High temperature superconductors: the big question

Fe pnictides:



Cuprates:



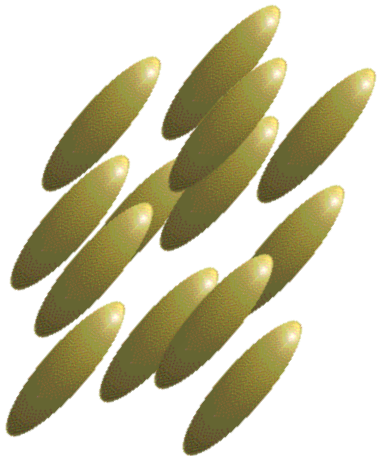
Kivelson & Yao,
Nature Materials **7**, 927 (2010).

- The big question in high T_c : what are these lines? Are they phase boundaries? Symmetry breaking phase transitions? What symmetry is broken? .
- Some of these phases are well studied but some others are poorly understood, eg. Electronic nematic phase

Broken rotational symmetry in solid crystals: Electronic nematic phase

Soft condensed matter

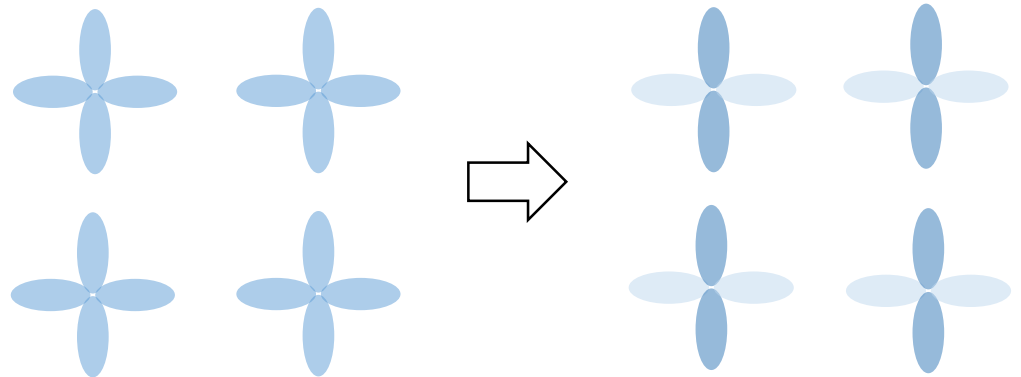
Nematic liquid crystal phase,
long molecules spontaneously
breaks full rotational symmetry.



Strongly correlated electronic system

Electronic nematic phase,
spontaneous electronic order breaks
discrete rotational symmetry

Electrical ferro-quadrupole order

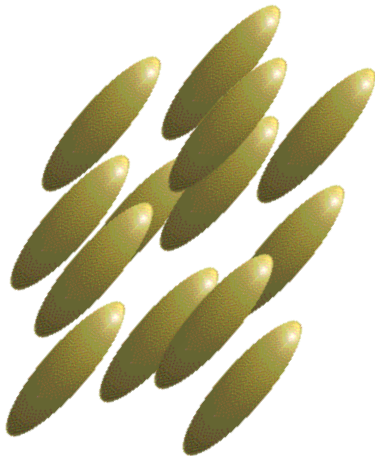


Example of electron nematic phase in real space

Broken rotational symmetry in solid crystals: Electronic nematic phase

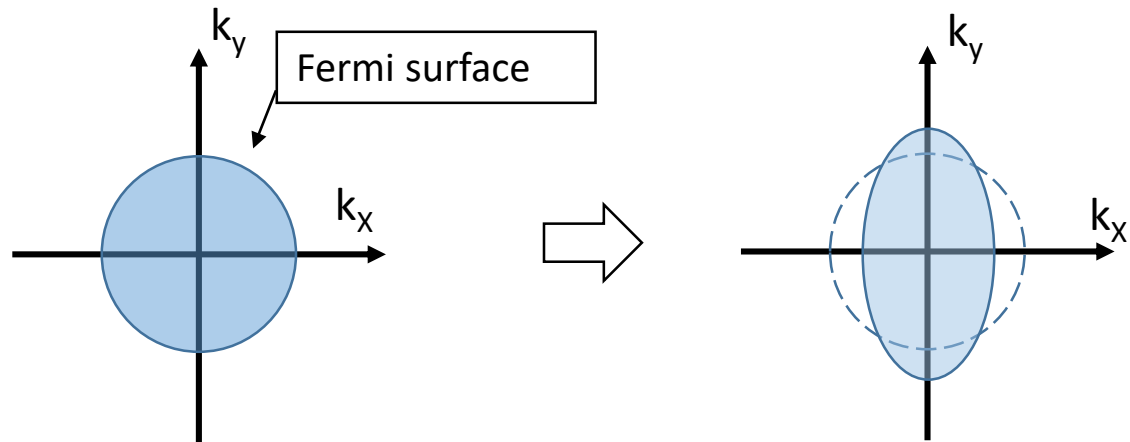
Soft condensed matter

Nematic liquid crystal phase,
long molecules spontaneously
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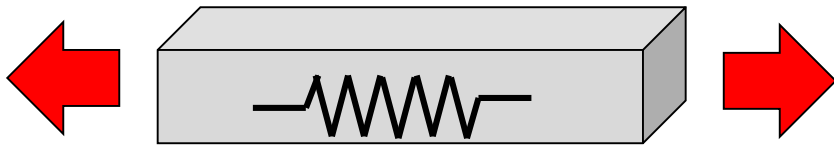
Strongly correlated electronic system

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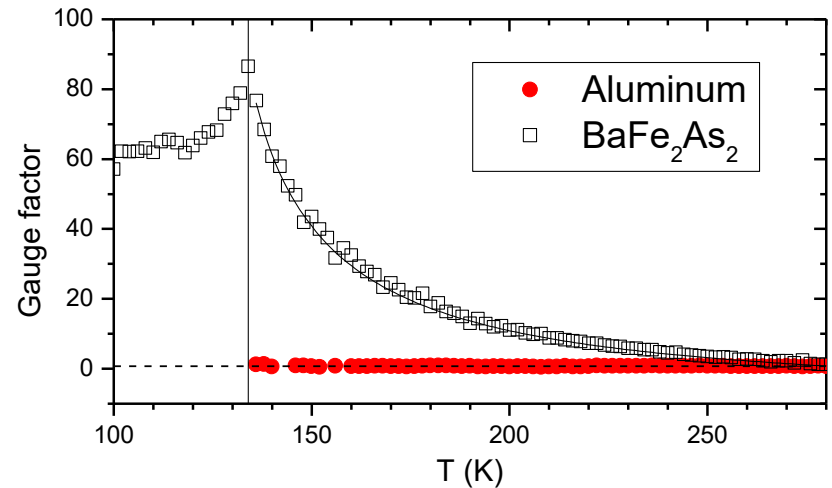


Example of electron nematic phase in momentum space

The signature of an electronic nematic phase: Divergent elastoresistance



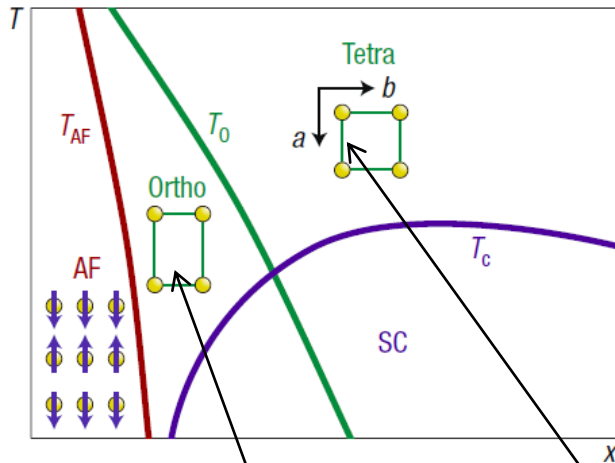
$$R = \frac{\rho L}{A} \Rightarrow \frac{\Delta R}{R} = \frac{\Delta \rho}{\rho} + \frac{\Delta L}{L} - \frac{\Delta A}{A}$$



However, not all materials behave the same way, and it's related to the idea of **Broken symmetries**

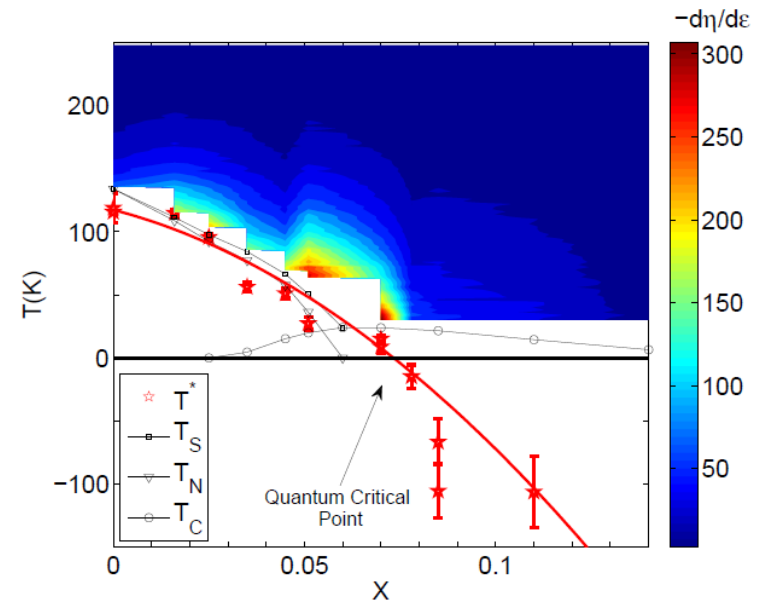
High temperature superconductors: big question

Fe pnictides:



Electronic nematic

Nematic fluctuations



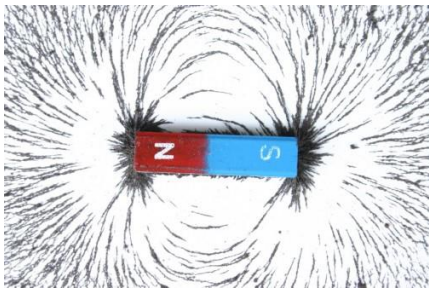
- If nematic fluctuations are responsible for superconducting pairing, can we design new superconductors by deliberately create a nematic quantum critical point?

- High temperature superconductivity
- Topological phases

What is a topological phase

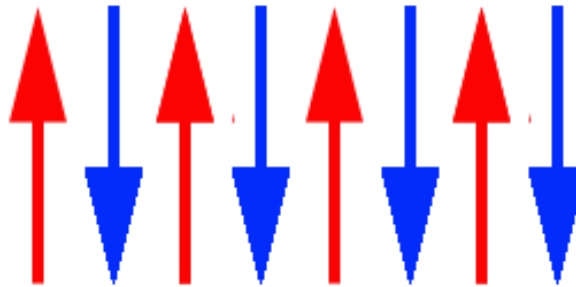
- A major goal of condensed matter physics is to discover and understand new state of matter.
- New states of matter often can be characterized by the symmetries they break.

Ferromagnet



Time reversal
Rotational

Antiferromagnet



Time reversal
Rotational
Translational

Superconductor



Gauge symmetry

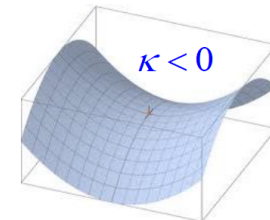
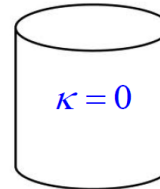
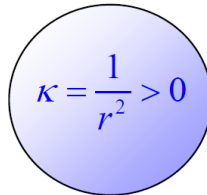
What is a topological phase

More precisely, topology studies properties that are preserved under continuous deformations, including stretching and bending, but not tearing or gluing. -- Wikipeda



g is an integer **topological invariant** that can be expressed in terms of the **gaussian curvature** κ that characterizes the local radii of curvature

$$K = \frac{1}{r_1 r_2}$$




Gauss Bonnet Theorem : $\int_S \kappa dA = 4\pi(1 - g)$

First Topological phase – Quantum Hall Effect

"For the greatest benefit to mankind"
Alfred Nobel

2016 NOBEL PRIZE IN PHYSICS
David J. Thouless
F. Duncan M. Haldane
J. Michael Kosterlitz



For theoretical discoveries of topological phase transitions and topological phases of matter

TKNN number = Chern number $\sigma_{xy} = n \frac{e^2}{h}$

$$n = \frac{1}{2\pi} \int_{BZ} d^2k \mathbf{F}(\mathbf{k}) = \frac{1}{2\pi} \oint_C \mathbf{A} \cdot d\mathbf{k}$$

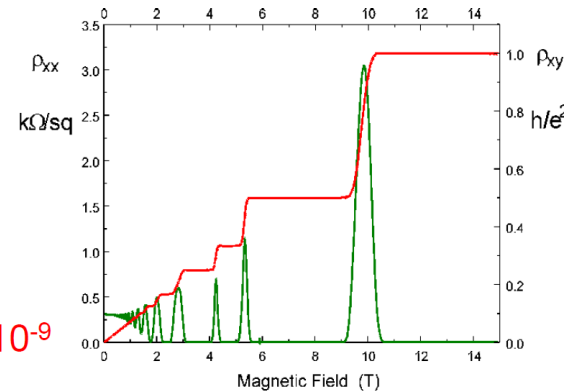
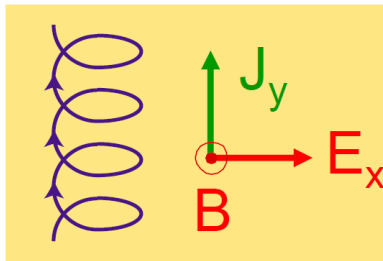
Thouless, Kohmoto, Nightingale and den Nijs 82

Quantized Hall conductivity :

$$J_y = \sigma_{xy} E_x$$

$$\sigma_{xy} = n \frac{e^2}{h}$$

Integer accurate to 10^{-9}



Quantum Hall effect without time reversal symmetry breaking: Quantum Spin Hall Effect

Energy gaps in graphene:

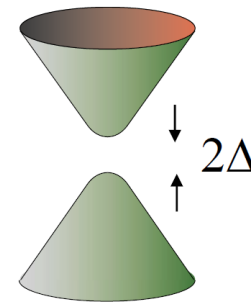
$\sigma_z \sim$ sublattice

$\tau_z \sim$ valley

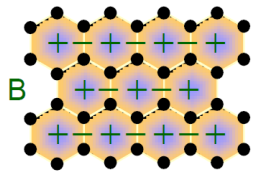
$s_z \sim$ spin

$$H = v_F \boldsymbol{\sigma} \cdot \mathbf{p} + V$$

$$E(p) = \pm \sqrt{v_F^2 p^2 + \Delta^2}$$



! Periodic Magnetic Field with no net flux (Haldane PRL '88)



$$V = \Delta_{\text{Haldane}} \sigma^z \tau^z$$

Broken Time Reversal Symmetry

Quantized Hall Effect $\sigma_{xy} = \text{sgn} \Delta \frac{e^2}{h}$

Intrinsic Spin Orbit Potential

$$V = \Delta_{SO} \sigma^z \tau^z s^z$$

Respects ALL symmetries

Quantum Spin-Hall Effect

What is the Topological invariant

Inversion (P) Symmetry : determined by Parity of occupied
2D Bloch states

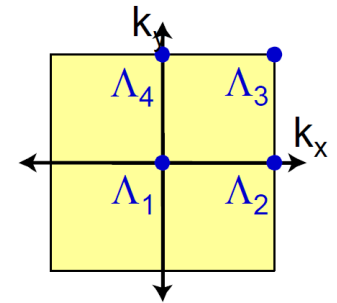
$$P|\psi_n(\Lambda_a)\rangle = \xi_n(\Lambda_a)|\psi_n(\Lambda_a)\rangle$$

$$\xi_n(\Lambda_a) = \pm 1$$

In a special gauge: $\delta(\Lambda_a) = \prod_n \xi_n(\Lambda_a)$

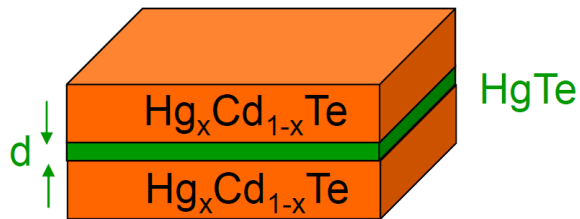
$$(-1)^\nu = \prod_{a=1}^4 \prod_n \xi_{2n}(\Lambda_a)$$

T - invariant momenta



Bulk 2D Brillouin Zone

2D topological insulator with larger gap



Theory: Bernevig, Hughes and Zhang, Science '06

Expt: Konig, Wiedmann, Brune, Roth, Buhmann, Molenkamp, Qi, Zhang Science 2007

WTe2 :David Cobden et. al.

3D Topological Insulator

Each of the time reversal invariant planes in the 3D Brillouin zone is characterized by a 2D invariant.

Weak Topological Invariants (vector):

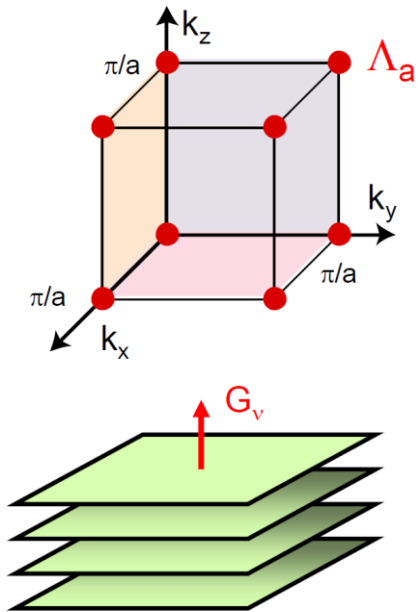
$$(-1)^{v_i} = \prod_{a=1}^4 \delta(\Lambda_a) \Big|_{k_i=0 \text{ plane}} \quad \mathbf{G}_v = \frac{2\pi}{a} (v_1, v_2, v_3)$$

“mod 2” reciprocal lattice vector indexes lattice planes for layered 2D QSHI

Strong Topological Invariant (scalar)

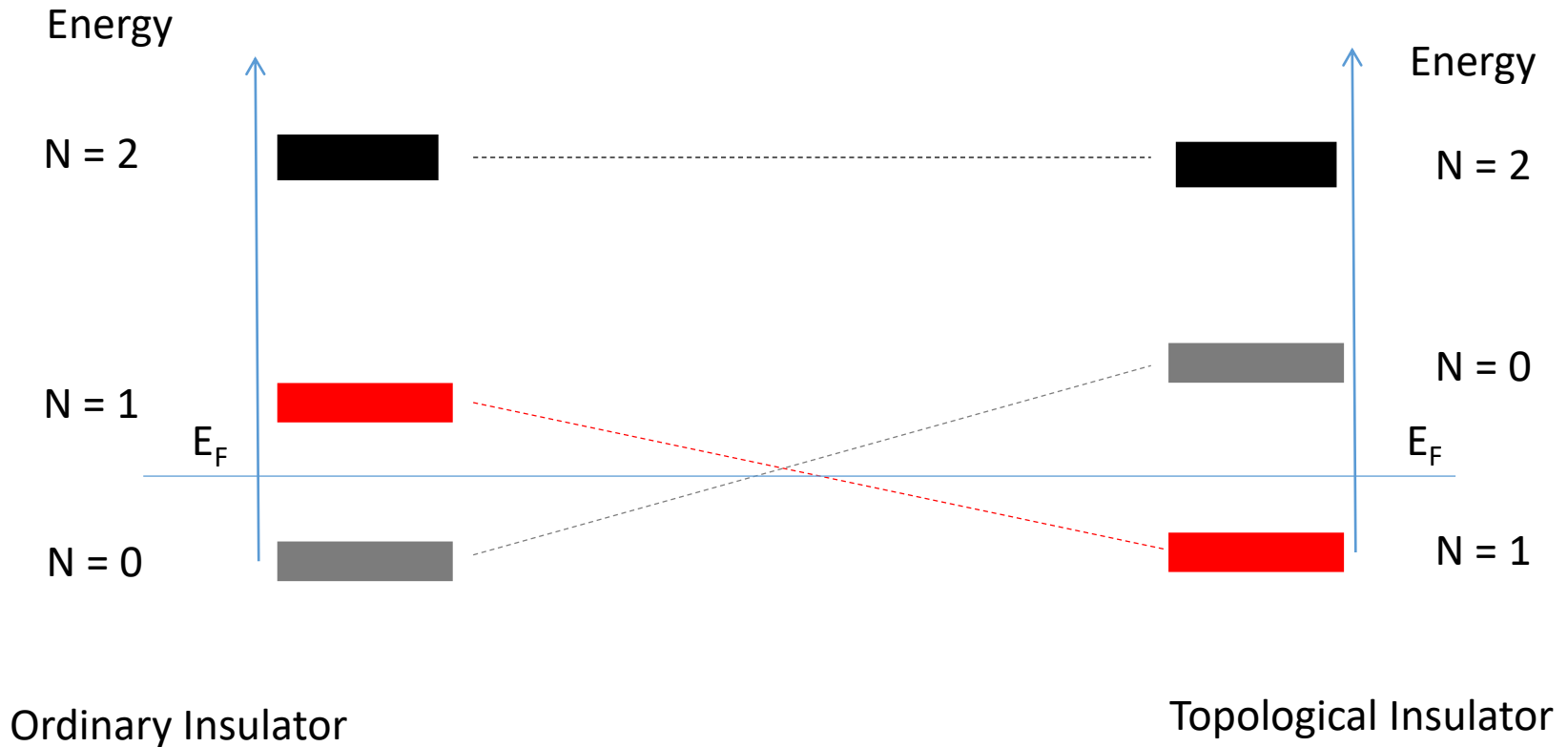
$$(-1)^{v_o} = \prod_{a=1}^8 \delta(\Lambda_a)$$

Example: $\text{Bi}_{1-x}\text{Sb}_x$, Bi_2Se_3



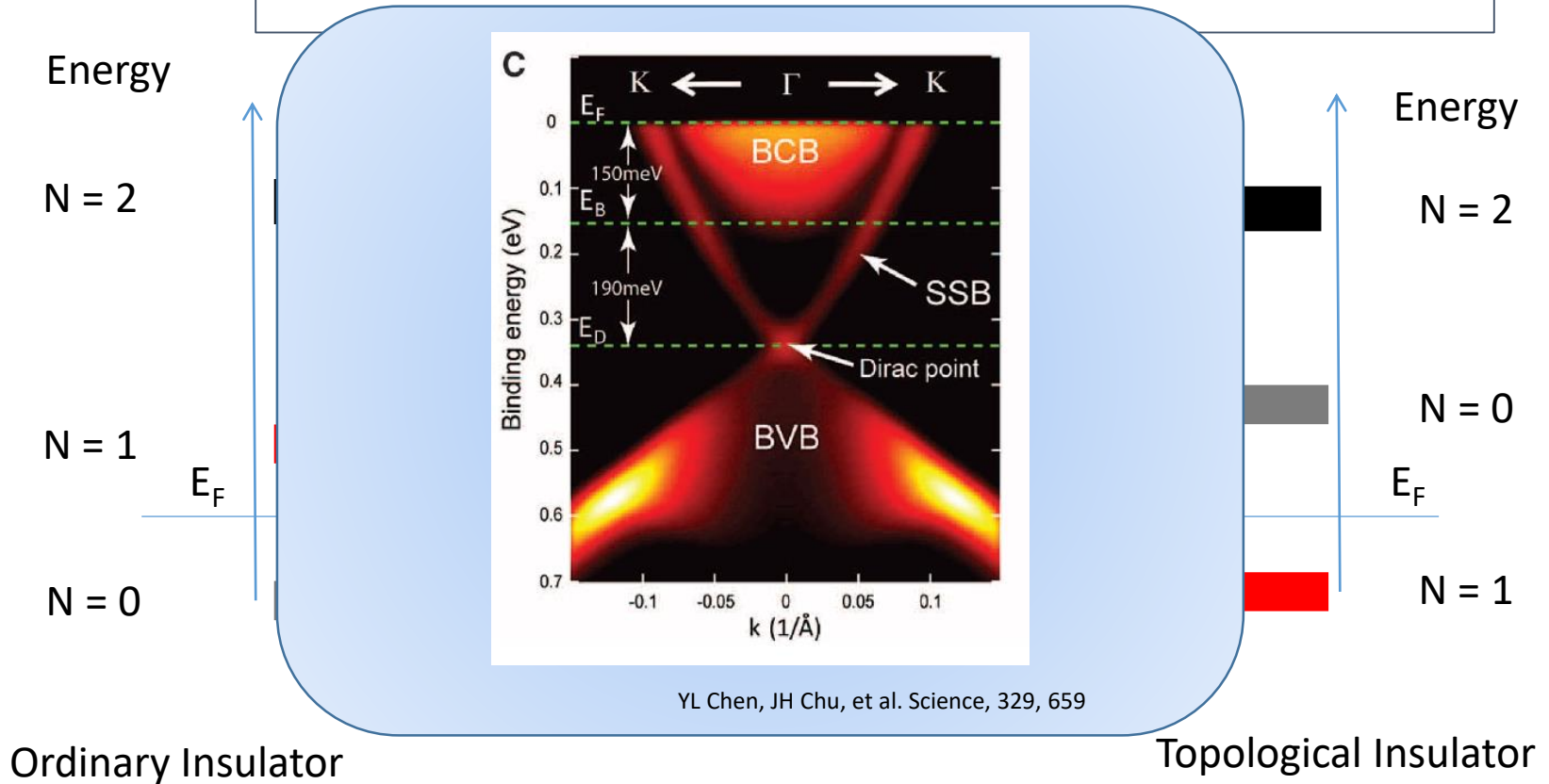
Surface states of TI

In the interface between a topological insulator and an ordinary insulator(or vacuum), there are energy states connecting between the conduction/valence bands of the two insulators



Surface states of TI

In the interface between a topological insulator and an ordinary insulator(or vacuum), there are energy states connecting between the conduction/valence bands of the two insulators



Surface states of Hong Kong



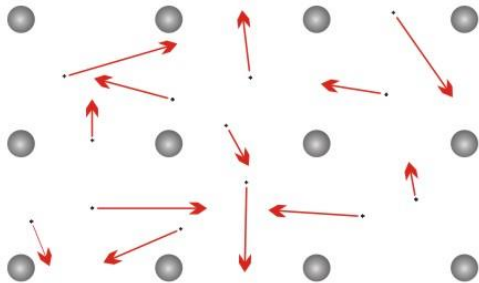
Design by nl architects (www.nlarchitects.nl).

Ordinary Insulator

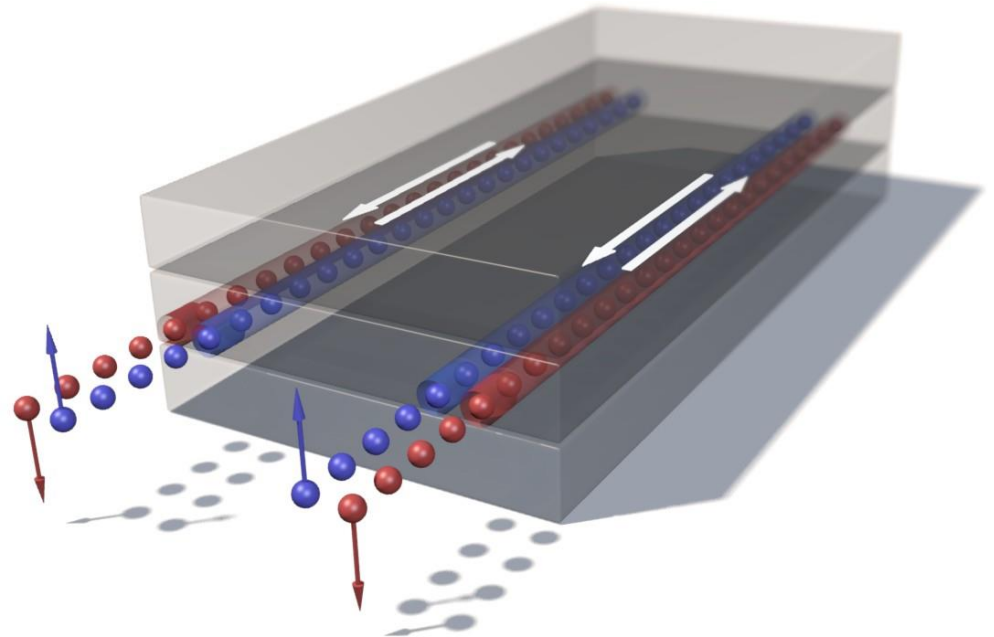
Topological Insulator

2D topological insulator: Quantum spin Hall effect

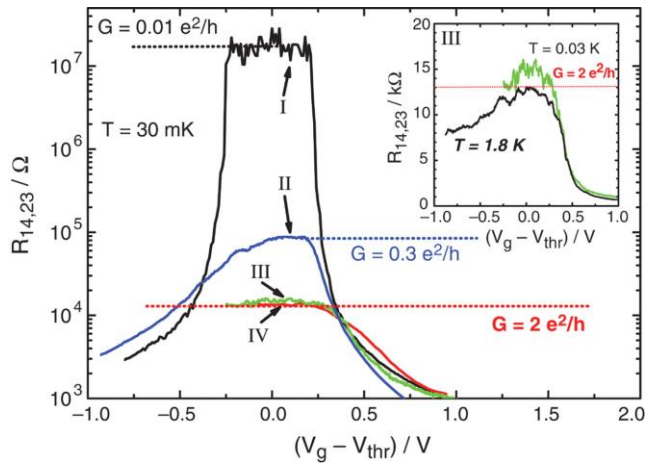
Electrons in conventional materials



Electron transport in 2D topological insulator

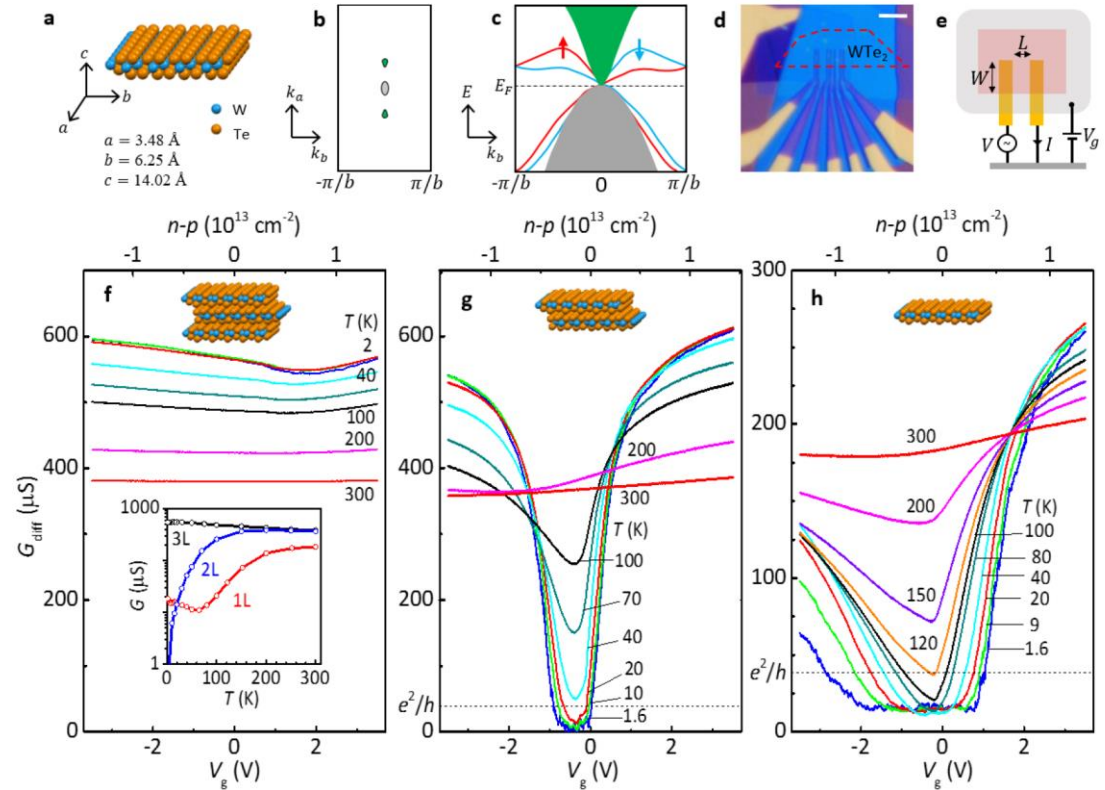


2D topological insulator: Quantum spin Hall effect



HgTe quantum well
 theoretically proposed by
 Bernevig, Hughes and Zhang
 Realized by Molenkamp

Science 02 Nov 2007:
 Vol. 318, Issue 5851, pp. 766-770



Monolayer WTe_2 discovered by David Cobden@UW

Nature Physics volume13, pages677–682 (2017)

Controlling Topological Materials Using Elastic Strain

Q: How do we control topological phase of matter?

Topological properties by definition is robust against deformation?

A: By proper choice of material, one can control the **electronic topology** by small **mechanical deformation** of the crystal lattice.

