Computational X-Ray Spectroscopy Summer 2015 UW INT Physics REU

Jonathan Curtis

University of Rochester

August 19th, 2015

Table of Contents

1 Background

- X-Ray Spectroscopy
- X-Ray Theory

2 Project

- Sexithiophene
- C₆₀ Fullerene
- Transition Metals

3 Future Efforts

Section 1

Background

- Use X-Rays to investigate molecular physics
- Probes electronic structure
- Chemical properties
- Study collective excitations
- Important applications in nano-science, materials science, chemical physics, and condensed matter physics

What is X-Ray Photoelectron Spectroscopy

- Photoelectric effect
- Intensity of ejected electrons vs. kinetic energy
- Study quasi-particle excitations
- Experiments conducted in high-vacuum
- Often use high-energy synchrotron x-rays

Goal

To calculate a spectrum from first principles and have it agree with experiment.

Molecular Physics

- Typically ~ 10 atoms
- \blacksquare Typically \sim 10 electron per atom
- Many body problem
- Often ignore core electrons
- Often ignore nuclear motion

Fermi Rule

The XPS photocurrent is given by $J_k(\omega)^1$

$$J_{k}(\omega) = \sum_{f} |\langle f, N-1; \vec{k} | \hat{H}_{\text{dipole}} | 0, N \rangle|^{2} \delta(\omega - \omega_{s})$$
(1)

Looks simple to calculate! But it isn't...

- Need eigenstates
- Must sum over many states
- Photoelectron not seperable
- Core-hole also interacts

¹ J.J. Kas, F.D. Vila, J.J. Rehr, S.A. Chambers, Physical Review B 91, 12112(R) (2015): d.o.i. 10.1103/PhysRevb.91.121112

Electrons and Photons



Figure 1: A diagram demonstrating the XPS interaction

Excitations

- Appear as secondary "satellite" peaks
- Due to inelastic losses
- Collective excitations absorb energy
- Mostly plasmons

Plasmon

Quanta of valence electron oscillation. Analogous to phonons and lattice vibrations. Couples to both photoelectron and core-hole.

Spectral Function $A(\omega)$

- Roughly proportional to $J_k(\omega)$
- Represents distribution of energies
- Are normalized to one
- Calculated using density fluctuations

$A(\omega)$

$$A(\omega) = -\frac{1}{\pi} \operatorname{Im} \int d\omega e^{i\omega t} G_c(t)$$
(2)

where $G_c(t)$ is the Green's function for the core-hole ²

² Ibid

Project Background

- Used RT-SIESTA
- DFT/TD-DFT calculation of electron density
- Pseudo-potentials
- Basis set of LCAO
- Relax to ground state
- Add core-level Coulomb potential
- Calculate density fluctuations in real time
- \blacksquare Effective to \sim 300 atoms

${\sf Section}\ 2$

Project

Subsection 1

Sexithiophene

Sexithiophene



Figure 2: Sexithiophene

- Planar molecule
- Rotationally symmetric 180° about midpoint
- Formula is $C_{24}S_6H_{10} \Rightarrow 250e^-$
- Possible uses as organic semiconductor
- Label Sulphur from outermost (S1) to innermost (S3)

Experimental Data



Figure 3: The "On Res" curve is the XPS for sexithiophene on the Sulphur resonant energy.

Computed Results



Sexithiophene XPS on Sulphur Resonance

Figure 4: The XPS for each individual sulphur atom and their sum

Subsection 2

 C_{60} Fullerene

C_{60} Fullerene



Figure 5: C₆₀ Fullerene "Bucky-ball"

Image from https://upload.wikimedia.org/wikipedia/commons/4/41/C60a.png

C₆₀ Fullerene Crystal



Figure 6: C_{60} fcc crystal

Image from https://en.wikipedia.org/wiki/Buckminsterfullerene#/media/File:Fullerite_structure.jpg

- Most common of the fullerenes
- $\blacksquare \ {\rm Molecule} \ {\rm has} \ {\rm diameter} \sim 1 \, {\rm nm}$
- Forms face-centered cubic (fcc) crystals in solid phase
- Natural semi-conductor
- Can be doped to become super-conducting
- Nano-engineering

Measured XPS³



³ J.A. Leiro, M.H. Heinonen, T. Laiho, I.G. Batirev, Journal of Electron Spectroscopy and Related Phenomena 128 (2003)

Comparison



C60 XPS Data vs. Experiment

Figure 8: Comparison of calculated and measured. Centered and rescaled to same sizes

Subsection 3

Transition Metals

- Metals like Ni, Co, and Fe
- Many-body effects are very important
- Possible applications to highly-correlated systems
- "High Tc" super conductors
- Crystalline structure requires band-theory in addition to molecular theory

Cobalt XPS



Cobalt XPS

Figure 9: Calculated Cobalt XPS

Section 3

Future Efforts

Sexithiophene

- Include ghost atoms
- Examine different core charges
- Density fluctuations at frequency
- Ongoing invstigation

- Examine crystal effects
- Compute XAS with many body effects
- Include extrinsic interactions
- Compare with graphene

Transition Metals

- Check for supercell convergence
- Analyze other metals
- Compare to experiment
- Check accuracy of quasi-peak weights
- Apply to correlated materials

I would like to acknowledge the Condensed Matter Theory group for all the help they have given me over the summer. In particular, I would like to thank John Rehr, Joshua Kas, and Fernando Vila for all of their help and guidance. I'd also like to thank Deep Gupta, Alejandro Garcia, Gray Rybka, Shih-Chieh Hsu, Linda Vilett, Farha Habib, and the INT program for organizing this REU. Finally, I would like to acknowledge Ron Musgrave for his guidance through the machine shop program.

Section 4

Backup Slides

Calculation

Energy Spectrum⁴

SIESTA outputs potential energy of density fluctuations $\Delta(t)$. First compute the energy spectrum $\beta(\omega)$

$$\beta(\omega)/\omega = \operatorname{Re} \int dt e^{-i\omega t} \Delta(t)$$
 (3)

and then the cumulant C(t)

$$C(t) = \int \beta(\omega) \left(\frac{e^{i\omega t} - i\omega t - 1}{\omega^2}\right) d\omega$$
(4)

Finally, the spectral function

$$A(\omega) = -\frac{1}{\pi} \operatorname{Re} \int e^{i\omega t} e^{C(t)} dt$$
(5)

33 / 35

4 J.J. Kas, F.D. Vila, J.J. Rehr, S.A. Chambers, Physical Review B 91, 12112(R) (2015): d.o.i. 10.1103/PhysRevb.91.121112

Calculated XPS



Figure 10: Calculated XPS of C60. Quasi-peak weight of Z = 58.4%

34 / 35

Peak Weights

| Material | Ζ | 1 - Z |
|-----------------|------|-------|
| <i>S</i> 1 | .768 | .232 |
| <i>S</i> 2 | .666 | .334 |
| <i>S</i> 3 | .667 | .333 |
| C ₆₀ | .584 | .416 |
| Fe | .316 | .684 |
| Со | .283 | .717 |
| Ni | .147 | .853 |

Table 1: Quasi-particle peak weights, in increasing order of satellite weight. Z for metals may be inaccurate.

S1, S2, S3 refer to the various Sulphurs in sexithiophene