# **Nuclear forces and their impact on structure, reactions and astrophysics**

Dick Furnstahl Ohio State University July, 2013

#### Lectures for Week 3

- **M.** Many-body problem and basis considerations (as); Many-body perturbation theory (rjf)
- **T.** Neutron matter and astrophysics (as); Operators (rjf)
- **W.** Nuclear matter (rjf); Student presentations
- <span id="page-0-0"></span>**Th.** Impact on (exotic) nuclei (as); Student presentations
	- **F.** Impact on fundamental symmetries (as); From forces to density functionals (rjf)

#### **Outline**

#### **[Many-body methods: Selected results](#page-1-0)**

**[Dilute, natural, Fermi system](#page-8-0)**

**[Bethe-Brueckner-Goldstone Power Counting](#page-24-0)**

<span id="page-1-0"></span>**[Teaser for MBPT applied in finite nuclei](#page-44-0)**

#### **Overlapping theory methods cover all nuclei**

<span id="page-2-0"></span>



#### **"Why does Carbon-14 live so long?"**

Carbon-14 dating relies on  $\sim$ 5.730 vear half-life. but other light nuclei undergo similar beta decay with half-lives less than a day!



**UNEDF SciDAC Collaboration** Universal Nuclear Energy Density Functional

- Members of UNEDF collaboration made microscopic huclear structure calculations to solve the puzzle
- Used systematic chiral Hamiltonian from low-energy effective field theory of QCD
- Key feature: consistent 3-nucleon interactions

<span id="page-3-0"></span>



#### **Coupled cluster method [from D. Dean, G. Hagen, T. Papenbrock] Asymmetry dependence and spectroscopic factors**

• Spectroscopic factors are not observables •They are extracted from a cross section based on a specific structure and reaction model

• Structure and reaction models needs to he consistent!

Theoretical cross section:





C. Barbieri, W.H.Dickhoff, Int. Jour, Mod. Phys. A24. 2060 (2009).

Self-consistent green's function method show rather weak asymmetry dependence for the spectroscopic  $factor$ 

#### **Coupled cluster method [from D. Dean, G. Hagen, T. Papenbrock] Quenching of spectroscopic factors for proton removal in neutron rich oxygen isotopes**



Spectroscopic factor is a useful tool to study. correlations towards the dripline.

SF for proton removal in neutron rich <sup>24</sup>O show strong "quenching" pointing to large deviations from a mean-field like picture.

G. Hagen et al Phys. Rev. Lett. 107, 032501  $(2011).$ 

<span id="page-5-0"></span>

#### **Oxygen chain with multi-reference IM-SRG** [H. Hergert]



- ref. state: number-projected Hartree-Fock-Bogoliubov vacuum
- results (mostly) insensitive to choice of generator for same *Hod*
- **• consistency between different many-body methods**

<span id="page-6-0"></span>Scott Bogner - Michigan State University - NUCLEI Collaboration Meeting, Indiana University Bloomington, 06/25/13

#### **Ground states of <sup>8</sup>Be and <sup>12</sup>C [E. Epelbaum] 12C**

**E.E., Krebs, Lee, Meißner, PRL 106 (11) 192501** 



#### Ground state energies (L=11.8 fm) of <sup>4</sup>He, <sup>8</sup>Be, <sup>12</sup>C & <sup>16</sup>O



#### **Simulations for 8Be and 12C, L=11.8 fm**

#### **Hoyle State** [E. Epelbaum] TABLE I: Lattice results and experimental values for the units of MeV. TABLE I: Lattice results and experimental values for the Hovie State E. Enelbaum1 units of MeV. Hovie State if Enelhauml  $\frac{1}{\sqrt{2}}$

*Nuclear Physics with Chiral Effective Field Theory* Evgeny Epelbaum **EE, Krebs, Lähde, Lee, Meißner, PRL 106 (2011) 192501; PRL 109 (2012) 252501**  $\frac{4}{100}$ 

# Lattice results for low-lying even-parity states of <sup>12</sup>C





#### **The III is at and and functional experimental in the CHS RMS** radii and quadrupole **the root-moments** for the radius and the  $\frac{1}{\sqrt{2}}$  -0.5 1  $\frac{1}{\sqrt{2}}$  -1.5 1  $\frac{1}{\sqrt{2}}$  -1.5 1  $\frac{1}{\sqrt{2}}$  -1.5 1  $\frac{1}{\sqrt{2}}$ nents<br>—————————



<sup>2</sup> (E<sup>+</sup>)

<span id="page-8-0"></span>denote the slope of the inverse NN S-wave

TABLE II: Lattice results for the low-lying even-parity states

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**[Bethe-Brueckner-Goldstone Power Counting](#page-24-0)**

**[Teaser for MBPT applied in finite nuclei](#page-44-0)**

#### **EFT for "Natural" Short-Range Interaction**

A simple, general interaction is a sum of delta functions and derivatives of delta functions. In momentum space,

$$
\langle \mathbf{k} | V_{\rm eff} | \mathbf{k}' \rangle = C_0 + \frac{1}{2} C_2 (\mathbf{k}^2 + {\mathbf{k}'}^2) + C_2' \mathbf{k} \cdot \mathbf{k}' + \cdots
$$

 $\bullet$  Or,  $\mathcal{L}_{\text{eff}}$  has most general local (contact) interactions:

$$
\mathcal{L}_{\text{eff}} = \psi^{\dagger} \Big[ i \frac{\partial}{\partial t} + \frac{\overrightarrow{\nabla}^2}{2M} \Big] \psi - \frac{C_0}{2} (\psi^{\dagger} \psi)^2 + \frac{C_2}{16} \big[ (\psi \psi)^{\dagger} (\psi \overleftrightarrow{\nabla}^2 \psi) + \text{ h.c.} \big] + \frac{C_2'}{8} (\psi \overleftrightarrow{\nabla} \psi)^{\dagger} \cdot (\psi \overleftrightarrow{\nabla} \psi) - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots
$$

Dimensional analysis  $\Longrightarrow C_{2i}\sim \frac{4\pi}{M}$  $\frac{4\pi}{M}R^{2i+1}\,,\quad D_{2i}\sim \frac{4\pi}{M}$  $\frac{4\pi}{M}R^{2i+4}$ 

# **Effective Field Theory Ingredients**

Ref: Hammer, rjf [nucl-th/0702040]

**1** Use the most general  $\mathcal{L}$  with low-energy dof's consistent with global and local symmetries of underlying theory

• 
$$
\mathcal{L}_{\text{eff}} = \psi^{\dagger} \left[ i \frac{\partial}{\partial t} + \frac{\nabla^2}{2M} \right] \psi - \frac{C_0}{2} (\psi^{\dagger} \psi)^2 - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots
$$

- **2** Declaration of regularization and renormalization scheme
	- natural  $a_0 \Longrightarrow$  dimensional regularization and min. subtraction
- **3** Well-defined power counting ⇒ small expansion parameters
	- use the separation of scales  $\Longrightarrow \frac{k_{\rm F}}{\Lambda}$  with  $\Lambda \sim 1/R \Longrightarrow k_{\rm F}a_0,$  etc.

$$
\mathcal{O}(k_{\mathrm{F}}^6):\bigodot\bigodot\qquad\mathcal{O}(k_{\mathrm{F}}^7):\bigodot\bigodot\qquad \qquad +\bigodot\bigodot
$$

$$
\mathcal{E} = \rho \frac{k_F^2}{2M} \bigg[ \frac{3}{5} + \frac{2}{3\pi} \big(k_F a_0\big) + \frac{4}{35\pi^2} (11-2\ln 2) \big(k_F a_0\big)^2 + \cdots \bigg]
$$

**•** cleanly recovers perturbative free-space ERE and in-medium energy density (including logs), plus error estimates

#### **Feynman Rules for EFT Vertices**

$$
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$$



#### **Renormalization**

• Reproduce  $f_0(k)$  in perturbation theory (Born series):

$$
f_0(k) \propto a_0 - i a_0^2 k - (a_0^3 - a_0^2 r_0/2) k^2 + \mathcal{O}(k^3 a_0^4)
$$

 $\mathsf{Consider}\ \mathsf{the}\ \mathsf{leading}\ \mathsf{potential}\ \mathsf{V}^{(0)}_{\mathrm{EFT}}(\mathbf{x}) = C_0\delta(\mathbf{x})\ \mathsf{or}$ 

$$
\langle k|V_{\text{eff}}^{(0)}|k'\rangle \implies \bigg\backslash\hspace{-0.2cm}\swarrow\hspace{0.2cm} \Longrightarrow \hspace{0.2cm} C_0
$$

Choosing  $C_0 \propto a_0$  gets the first term. Now  $\langle \mathbf{k} | V G_0 V | \mathbf{k}' \rangle$ :

$$
\left\langle \bigotimes \right\langle \longrightarrow \mathcal{C}_0 M \int \frac{d^3q}{(2\pi)^3} \frac{1}{k^2-q^2+i\epsilon} \mathcal{C}_0 \longrightarrow \infty!
$$

 $\implies$  Linear divergence!

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h**k**|*V* (0) eft |**k** 0 i =⇒ =⇒ *C*<sup>0</sup>

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$$
\sum_{\ell} \left( \frac{d^3 q}{(2\pi)^3} \frac{1}{k^2 - q^2 + i\epsilon} \longrightarrow \frac{\Lambda_c}{2\pi^2} - \frac{i k}{4\pi} + \mathcal{O}(\frac{k^2}{\Lambda_c}) \right)
$$

 $\Longrightarrow$  If cutoff at  $\Lambda_c$ , then can absorb into  $C_0$ , but all powers of  $k^2$ 

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$$
\sum_{n=1}^{\infty} \frac{d^p q}{(2\pi)^3} \frac{1}{k^2 - q^2 + i\epsilon} \xrightarrow{D \to 3} -\frac{i k}{4\pi}
$$

Dimensional regularization with minimal subtraction =⇒ only one power of *k*!

• Dim. reg. + minimal subtraction  $\implies$  simple power counting:



• Matching in free space:

<span id="page-16-0"></span>
$$
C_0 = \frac{4\pi}{M}a_0 = \frac{4\pi}{M}R\ ,\quad C_2 = \frac{4\pi}{M}\frac{a_0^2r_0}{2} = \frac{4\pi}{M}\frac{R^3}{3}\ ,\quad \cdots
$$

- Recovers effective range expansion order-by-order with perturbative diagrammatic expansion
	- one power of *k* per diagram
	- **e** estimate truncation error from dimensional analysis

# **Noninteracting Fermi Sea at**  $T = 0$

- Put system in a large box ( $V = L^3$ ) with periodic bc's
	- **•** spin-isospin degeneracy  $\nu$  (e.g., for nuclei,  $\nu = 4$ )
	- $\bullet$  fill momentum states up to Fermi momentum  $k_F$

$$
N = \nu \sum_{\mathbf{k}}^{k_{\mathrm{F}}} 1 , \qquad E = \nu \sum_{\mathbf{k}}^{k_{\mathrm{F}}} \frac{\hbar^2 k^2}{2M}
$$

Use:  $\int F(k) \, dk \approx \sum_i F(k_i) \Delta k_i = \sum_i F(k_i) \frac{2\pi}{L} \Delta n_i = \frac{2\pi}{L} \sum_i F(k_i)$  $\bullet$  In 1-D:

$$
N=\nu\frac{L}{2\pi}\int_{-k_{\rm F}}^{+k_{\rm F}}dk=\frac{\nu k_{\rm F}}{\pi}L\implies\rho=\frac{N}{L}=\frac{\nu k_{\rm F}}{\pi};\quad \frac{E}{L}=\frac{1}{3}\frac{\hbar^2 k_{\rm F}^2}{2M}\rho
$$

 $\bullet$  In 3-D:

$$
N=\nu\frac{V}{(2\pi)^3}\int^{k_F}d^3k=\frac{\nu k_{\rm F}^3}{6\pi^2}V\implies\rho=\frac{N}{V}=\frac{\nu k_{\rm F}^3}{6\pi^2};\quad \frac{E}{V}=\frac{3}{5}\frac{\hbar^2k_{\rm F}^2}{2M}\rho
$$

Volume/particle *V/N* = 1/ $\rho$   $\sim$  1/ $k_{\rm F}^3$ , so spacing  $\sim$  1/ $k_{\rm F}$ 

#### **Energy Density From Summing Over Fermi Sea**

• Leading order 
$$
V_{\text{EFT}}^{(0)}(\mathbf{x}) = C_0 \delta(\mathbf{x}) \Longrightarrow V_{\text{EFT}}^{(0)}(\mathbf{k}, \mathbf{k}') = C_0
$$

$$
\mathcal{L} \implies \mathcal{L}_{LO} = \frac{C_0}{2} \nu (\nu - 1) \left(\sum_{\mathbf{k}}^{k_{\text{F}}} 1\right)^2 \propto a_0 k_{\text{F}}^6
$$

At the next order, we get a linear divergence again:

$$
\sum_{k=1}^{\infty} \sum_{i=1}^{\infty} \sum_{j=1}^{\infty} \sum_{k=1}^{\infty} \sum_{k=1}^{\infty} \frac{1}{(2\pi)^3} \frac{C_0^2}{k^2 - q^2}
$$

• Same renormalization fixes it! Particles → holes

$$
\int_{k_{\rm F}}^{\infty} \frac{1}{k^2 - q^2} = \int_{0}^{\infty} \frac{1}{k^2 - q^2} - \int_{0}^{k_{\rm F}} \frac{1}{k^2 - q^2} \xrightarrow{D \to 3} - \int_{0}^{k_{\rm F}} \frac{1}{k^2 - q^2} \propto a_0^2 k_{\rm F}^7
$$

# **Feynman Rules for Energy Density at**  $T = 0$

- $\bullet$  *T* = 0 Energy density *E* is sum of *Hugenholtz* diagrams
	- same vertices as free space (same renormalization!)
- **•** Feynman rules:

**1** Each line is assigned conserved  $k \equiv (k_0, \mathbf{k})$  and  $[\omega_{\mathbf{k}} \equiv k^2/2M]$ 

$$
iG_0(\widetilde{k})_{\alpha\beta} = i\delta_{\alpha\beta}\left(\frac{\theta(k - k_{\rm F})}{k_0 - \omega_{\bf k} + i\epsilon} + \frac{\theta(k_{\rm F} - k)}{k_0 - \omega_{\bf k} - i\epsilon}\right)
$$

**2** α β γ δ  $\longrightarrow (\delta_{\alpha\gamma}\delta_{\beta\delta} + \delta_{\alpha\delta}\delta_{\beta\gamma})$  (if spin-independent)

- **3** After spin summations,  $\delta_{\alpha\alpha} \rightarrow -\nu$  in every closed fermion loop.
- **4** Integrate  $\int d^4k/(2\pi)^4$  with  $e^{ik_00^+}$  for tadpoles
- **5** Symmetry factor  $i/(S\prod_{l=2}^{l_{\text{max}}}(l!)^k)$  counts vertex permutations and equivalent *l*–tuples of lines

#### **Power Counting**

ĸ

- Power counting rules
	- **1** for every propagator (line):  $M/k_F^2$
	- **2** for every loop integration: *k* 5 F /*M*
	- **3** for every *n*−body vertex with 2*i* derivatives:  $k$ <sup>2*i*</sup>/*M*Λ<sup>2*i*+3*n*−5</sup>
- Diagram with  $V_{2i}^n$  *n*–body vertices scales as  $(k_{\rm F})^{\beta}$  *only*:

$$
\beta = 5 + \sum_{n=2}^{\infty} \sum_{i=0}^{\infty} (3n + 2i - 5) V_{2i}^{n}.
$$

• e.g., 
$$
\mathcal{O}(k_{\text{F}}^6)
$$
:  $\bullet \mathcal{V}_0^2 = 1$   
\n $\Rightarrow \beta = 5 + (3 \cdot 2 + 2 \cdot 0 - 5) \cdot 1 = 6 \Rightarrow \mathcal{O}(k_{\text{F}}^6)$ 

#### **Power Counting**

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$$



# *T* = 0 **Energy Density from Hugenholtz Diagrams**

<span id="page-22-0"></span>

#### **Looks Like a Power Series in**  $k_{\text{F}}$ **! Is it?**

● New logarithmic divergences in 3–3 scattering



**e** Changes in Λ<sub>c</sub> must be absorbed by 3-body coupling  $D_0(\Lambda_c)$  $\implies$  *D*<sub>0</sub>( $\Lambda_c$ )  $\propto$  (*C*<sub>0</sub>)<sup>4</sup> In( $a_0 \Lambda_c$ ) + const. [Braaten & Nieto]



• What does this imply for the energy density?

$$
\mathcal{O}(k_{\rm F}^9 \ln(k_{\rm F})) : \bigotimes \left\{ \begin{array}{ccc} + & \displaystyle \bigoplus \limits_{\nu \in \mathcal{N}} \prod \limits_{i=1}^{\nu} & + & ... & \displaystyle \infty \; (\nu-2)(\nu-1) \left( k_{\rm F} a_0 \right)^4 \ln(k_{\rm F} a_0) \end{array} \right.
$$

#### **Summary: Dilute Fermi System with Natural**  $a<sub>0</sub>$

- **•** The many-body energy density is perturbative in  $k_{\text{F}}a_0$ **•** efficiently reproduced by the EFT approach
- Power counting  $\implies$  error estimate from omitted diagrams
- Three-body forces are inevitable in a low-energy effective theory
	- and not unique  $\implies$  they depend on the two-body potential
- <span id="page-24-0"></span>• The case of a natural scattering length is under control for a uniform system
	- What about a finite # of fermions in a trap? (DFT!)
	- What if the scattering length is not natural?

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# **Bethe-Brueckner-Goldstone Power Counting**





 $S_0$  V(k,k).  ${}^3S_1$  V(k,k)

# **Bethe-Brueckner-Goldstone Power Counting**







#### **Compare Potential and G Matrix: AV18**





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**Dick Furnstahl [TALENT: Nuclear forces](#page-0-0)**

# **Compare Potential and G Matrix: N**<sup>3</sup>**LO (500 MeV)**



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# **Compare Potential and G Matrix: N**<sup>3</sup>**LO (500 MeV)**



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#### **Hole-Line Expansion Revisited (Bethe, Day, . . . )**

Consider ratio of fourth-order diagrams to third-order:



"Conventional" *G* matrix still couples low-*k* and high-*k*

- no new hole line  $\implies$  ratio  $\approx -\chi(r=0) \approx -1 \implies$  sum all orders
	- add a hole line  $\Longrightarrow$  ratio  $\approx\sum_{n\leq k_{\text{F}}}\langle bn|(1/e)G|bn\rangle\approx\kappa\approx0.15$
- Low-momentum potentials decouple low-*k* and high-*k*
	- add a hole line  $\implies$  still suppressed
	- no new hole line  $\implies$  also suppressed (limited phase space)
	- freedom to choose single-particle  $U \implies$  use for Kohn-Sham

 $\implies$  Density functional theory (DFT) should work!

- $\bullet$  Defect wf  $\chi(r)$  for particular kinematics ( $k = 0$ ,  $P_{cm} = 0$ )
- AV18: "Wound integral" provides expansion parameter



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- Extreme case here, but same *pattern* in general
- Tensor  $(^3S_1) \Longrightarrow$  larger defect



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<span id="page-38-0"></span>

## **Overlapping theory methods cover all nuclei**



# **"The limits of the nuclear landscape"**

J. Erler et al., Nature **486**, 509 (2012)



- **•** Proton and neutron driplines predicted by Skyrme EDFs
- $\bullet\,$  Total: 6900  $\pm$  500 nuclei with  $Z$   $\leq$  120 ( $\approx$  3000 known)
- **.** Estimate systematic errors by comparing models

# "The limits of the nuclear landscape"



- **•** Two-neutron separation energies of even-even erbium isotopes even–even erbium isotopes. Calculations performed in this work using SLy4, bars on the SV-min results indicate statistical errors due to uncertainty in the statistical errors on the statistical errors due to uncertainty in the statistical errors on the statistical errors due to uncertainty in th
- Compare different functionals, with uncertainties of fits
- **·** Dependence on neutron excess poorly determined (cf. driplines)

#### **Impact of forces: Use** *ab initio* **pseudo-data**



- **Put neutrons in a harmonic oscillator trap with**  $\hbar\omega$  **(cf. cold atoms!)**
- Calculate exact result with AFDMC [S. Gandolfi, J. Carlson, and S.C. Pieper, Phys. Rev. Lett. 106, 012501 (2011)] (or with other methods)
- UNEDF0 and UNEDF1 functionals improve over Skyrme SLy4!

# **Self-consistent Skyrme EDF and beyond**





- Kohn-Sham DFT =⇒ iterate to self-consistency
- **Looks like dilute.** natural functional!
- Low-energy QCD: NDA power counting?
- Use DME to put in pion exchange from  $\chi$ EFT

Schematic equations to solve self-consistently:

$$
V_{\rm KS}(\mathbf{r}) = \frac{\delta E_{\rm int}[\rho]}{\delta \rho(\mathbf{r})} \quad \Longleftrightarrow \quad [-\frac{\nabla^2}{2m} + V_{\rm KS}(\mathbf{x})] \psi_\alpha = \varepsilon_\alpha \psi_\alpha \implies \rho(\mathbf{x}) = \sum_\alpha n_\alpha |\psi_\alpha(\mathbf{x})|^2
$$

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#### **High-order Rayleigh-Schrödinger MBPT in finite nuclei**

- $\bullet$  R. Roth et al.
- Excitation energies in <sup>7</sup>Li  $\bullet$
- Degenerate R-S MBPT  $\bullet$
- SRG with two resolutions from  $N^3$ I O 2NF
- **•** Fixed HO model space



Order  $p = 2, 3, 4$ , and 8 compared to experiment, exact NCSM calculations, and the Padé resummed result  $\implies$  note the good agreement of the last two!

#### **The shell model revisited**

#### Configuration interaction techniques

- light and heavy nuclei
- detailed spectroscopy
- quantum correlations (lab-system description)



#### **Confronting theory and experiment to both driplines**

- **•** Precision mass measurements test I Fecision mass fried<br>impact of chiral 3NF
- **•** Proton rich [Holt et al., arXiv:1207.1509]
- Neutron rich [Gallant et al., arXiv:1204.1987]  $\bullet$  Noutron ri
- $\bullet$  Many new tests possible!





- Shell model description using chiral potential evolved to  $V_{\text{low }k}$  plus 3NF fit to  $A = 3, 4$
- **•** Excitations outside valence space included in 3rd order MBPT

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- Shell model description using chiral potential evolved to  $V_{\text{low }k}$  plus 3NF fit to  $A = 3, 4$
- **•** Excitations outside valence space included in 3rd order MBPT

## **Non-empirical shell model [from J. Holt]** Solving the Nuclear Many-Body Problem

Interaction and energies of valence space orbitals from original  $V_{\text{low }k}$ **This alone does not reproduce experimental data**  Nuclei understood as many-body system starting from closed shell, add nucleons



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**Effective two-body matrix elements** 

**Single-particle energies (SPEs)** 

Hiorth-Jensen, Kuo, Osnes (1995)



### **Chiral 3NFs meet the shell model [from J. Holt]** Drip Lines and Magic Numbers: The Evolving Nuclear Landscape

**Important in light nuclei, nuclear matter…** 

**What are the limits of nuclear existence?** 

**How do magic numbers form and evolve?** 

**2 8 2**

**neutrons** 



**Dick Furnstahl [TALENT: Nuclear forces](#page-0-0)**

**3N forces essential for medium mass nuclei** 

**[Methods](#page-1-0) [Dilute](#page-8-0) [BBG](#page-24-0) [Teasers](#page-44-0)**  $rac{1}{2}$ 

# **Chiral 3NFs meet the shell model** [from J. Holt] 3N Forces for Valence-Shell Theories Single-Particle Energy (MeV) Single-Particle Energy (MeV) NN + 3N (∆) NN + 3N (∆) NN + 3N

Normal-ordered 3N: contribution to valence neutron interactions



d5/2

**<sup>s</sup>** 1/2 d5/2

Combine with microscopic NN: eliminate empirical adjustments

#### **Chiral 3NFs meet the shell model [from J. Holt] Drip Lines and Magic Numbers:** 3N Forces in Medium-Mass Nuclei

1 **Important in light nuclei, nuclear matter…** 

**What are the limits of nuclear existence?** 



**How do magic numbers form and evolve? N=28 magic number in calcium** 

