Nuclear forces and their impact on structure, reactions and astrophysics

Dick Furnstahl Ohio State University July. 2013

Lectures for Week 3

- M. Many-body problem and basis considerations (as); Many-body perturbation theory (rjf)
- T. Neutron matter and astrophysics (as); Operators (rjf)
- W. Nuclear matter (rjf); Student presentations
- Th. Impact on (exotic) nuclei (as); Student presentations
 - F. Impact on fundamental symmetries (as); From forces to density functionals (rjf)

Outline

Many-body methods: Selected results

Dilute, natural, Fermi system

Bethe-Brueckner-Goldstone Power Counting

Teaser for MBPT applied in finite nuclei

Overlapping theory methods cover all nuclei



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"Why does Carbon-14 live so long?"

Carbon-14 dating relies on ~5,730 year half-life, but other light nuclei undergo similar beta decay with half-lives less than a day!



UNEDF SciDAC Collaboration Universal Nuclear Energy Density Functional

- Members of UNEDF collaboration made microscopic nuclear structure calculations to solve the puzzle
- Used systematic chiral Hamiltonian from low-energy effective field theory of QCD
- Key feature: consistent 3-nucleon interactions





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Coupled cluster method [from D. Dean, G. Hagen, T. Papenbrock] Asymmetry dependence and spectroscopic factors





C. Barbieri, W.H.Dickhoff, Int. Jour. Mod. Phys. A24, 2060 (2009).

Self-consistent green's function method show rather weak asymmetry dependence for the spectroscopic factor.

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Coupled cluster method [from D. Dean, G. Hagen, T. Papenbrock] Quenching of spectroscopic factors for proton removal in neutron rich oxygen isotopes



Spectroscopic factor is a useful tool to study correlations towards the dripline.

SF for proton removal in neutron rich ²⁴O show strong "quenching" pointing to large deviations from a mean-field like picture. G. Hagen et al Phys. Rev. Lett. 107, 032501 (2011).



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Oxygen chain with multi-reference IM-SRG [H. Hergert]



- ref. state: number-projected Hartree-Fock-Bogoliubov vacuum
- results (mostly) insensitive to choice of generator for same H^{od}
- consistency between different many-body methods

Scott Bogner - Michigan State University - NUCLEI Collaboration Meeting, Indiana University Bloomington, 06/25/13

Ground states of ⁸Be and ¹²C [E. Epelbaum]

E.E., Krebs, Lee, Meißner, PRL 106 (11) 192501

Simulations for 8Be and 12C, L=11.8 fm

-40 LO'-----ANLO-IS 15 ΔIB + ΔEM >···▲··· -70 -45 ANNLO 30 + ΔEM 10 ANNLO -80 -50 E(t) (MeV) 20 E(I) (MeV) ANNLO (2) -90 -55 10 ********* -100 -60 -5 -110 -65 -10 -120 0.02 0.04 0.06 0.08 0.1 0.12 0.02 0.04 0.06 0.08 0.1 0.12 0.02 0.04 0.06 0.08 0.1 0.12 0.02 0.04 0.06 0.08 0.1 0.12 0 ۵ 0 t (MeV1) t (MeV⁻¹) t (MeV⁻¹) t (MeV⁻¹)

Ground state energies (L=11.8 fm) of ⁴He, ⁸Be, ¹²C & ¹⁶O

	⁴ He	⁸ Be	^{12}C	¹⁶ O
LO $[Q^0]$, in MeV	-28.0(3)	-57(2)	-96(2)	-144(4)
NLO $[Q^2]$, in MeV	-24.9(5)	-47(2)	-77(3)	-116(6)
NNLO $[Q^3]$, in MeV	-28.3(6)	-55(2)	-92(3)	-135(6)
Experiment, in MeV	-28.30	-56.5	-92.2	-127.6

Hoyle State [E. Epelbaum]

EE, Krebs, Lähde, Lee, Meißner, PRL 106 (2011) 192501; PRL 109 (2012) 252501

Lattice results for low-lying even-parity states of ¹²C

	0_{1}^{+}	$2^+_1(E^+)$	0^+_2	$2^+_2(E^+)$
LO	-96(2)	-94(2)	-89(2)	-88(2)
NLO	-77(3)	-74(3)	-72(3)	-70(3)
NNLO	-92(3)	-89(3)	-85(3)	-83(3)
Exp	-92.16	-87.72	-84.51	-82(1)



RMS radii and quadrupole moments

	LO	Experiment
$r(0_1^+)$ [fm]	2.2(2)	2.47(2) [26]
$r(2_1^+)$ [fm]	2.2(2)	-
$Q(2_1^+) [e \text{fm}^2]$	6(2)	6(3) [27]
$r(0_2^+)$ [fm]	2.4(2)	-
$r(2_2^+)$ [fm]	2.4(2)	-
$Q(2_2^+)$ [e fm ²]	-7(2)	-

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EFT for "Natural" Short-Range Interaction

• A simple, general interaction is a sum of delta functions and derivatives of delta functions. In momentum space,

$$\langle \mathbf{k} | V_{\mathrm{eft}} | \mathbf{k}'
angle = C_0 + \frac{1}{2} C_2 (\mathbf{k}^2 + {\mathbf{k}'}^2) + C'_2 \mathbf{k} \cdot \mathbf{k}' + \cdots$$

• Or, \mathcal{L}_{eft} has most general local (contact) interactions:

$$\mathcal{L}_{\text{eft}} = \psi^{\dagger} \Big[i \frac{\partial}{\partial t} + \frac{\overrightarrow{\nabla}^{2}}{2M} \Big] \psi - \frac{C_{0}}{2} (\psi^{\dagger} \psi)^{2} + \frac{C_{2}}{16} \Big[(\psi \psi)^{\dagger} (\psi \overleftrightarrow{\nabla}^{2} \psi) + \text{ h.c.} \Big] \\ + \frac{C_{2}'}{8} (\psi \overleftrightarrow{\nabla} \psi)^{\dagger} \cdot (\psi \overleftrightarrow{\nabla} \psi) - \frac{D_{0}}{6} (\psi^{\dagger} \psi)^{3} + \dots$$

• Dimensional analysis $\Longrightarrow C_{2i} \sim \frac{4\pi}{M} R^{2i+1}$, $D_{2i} \sim \frac{4\pi}{M} R^{2i+4}$

Effective Field Theory Ingredients

Ref: Hammer, rjf [nucl-th/0702040]

Use the most general *L* with low-energy dof's consistent with global and local symmetries of underlying theory

•
$$\mathcal{L}_{\text{eft}} = \psi^{\dagger} \left[i \frac{\partial}{\partial t} + \frac{\nabla^2}{2M} \right] \psi - \frac{C_0}{2} (\psi^{\dagger} \psi)^2 - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots$$

- 2 Declaration of regularization and renormalization scheme
 - natural $a_0 \Longrightarrow$ dimensional regularization and min. subtraction
- 3 Well-defined power counting \implies small expansion parameters
 - use the separation of scales $\Longrightarrow \frac{k_{\rm F}}{\Lambda}$ with $\Lambda \sim 1/R \Longrightarrow k_{\rm F}a_0$, etc.

$$\mathcal{O}(k_{\mathrm{F}}^6)$$
: $\mathcal{O}(k_{\mathrm{F}}^7)$: $()$ + $()$

$$\mathcal{E} = \rho \frac{k_{\rm F}^2}{2M} \left[\frac{3}{5} + \frac{2}{3\pi} (k_{\rm F} a_0) + \frac{4}{35\pi^2} (11 - 2\ln 2) (k_{\rm F} a_0)^2 + \cdots \right]$$

• cleanly recovers perturbative free-space ERE and in-medium energy density (including logs), plus error estimates

Feynman Rules for EFT Vertices

$$\mathcal{L}_{\text{eft}} = \psi^{\dagger} \Big[i \frac{\partial}{\partial t} + \frac{\overrightarrow{\nabla}^2}{2M} \Big] \psi - \frac{C_0}{2} (\psi^{\dagger} \psi)^2 + \frac{C_2}{16} \Big[(\psi \psi)^{\dagger} (\psi \overleftrightarrow{\nabla}^2 \psi) + \text{ h.c.} \Big] \\ + \frac{C'_2}{8} (\psi \overleftrightarrow{\nabla} \psi)^{\dagger} \cdot (\psi \overleftrightarrow{\nabla} \psi) - \frac{D_0}{6} (\psi^{\dagger} \psi)^3 + \dots$$



Renormalization

• Reproduce $f_0(k)$ in perturbation theory (Born series):

$$f_0(k) \propto a_0 - i a_0^2 k - (a_0^3 - a_0^2 r_0/2) k^2 + \mathcal{O}(k^3 a_0^4)$$

• Consider the leading potential $V_{\rm EFT}^{(0)}({f x})=C_0\delta({f x})$ or

$$\langle \mathbf{k} | V_{\mathrm{eft}}^{(0)} | \mathbf{k}'
angle \implies \mathcal{C}_0$$

• Choosing $C_0 \propto a_0$ gets the first term. Now $\langle \mathbf{k} | VG_0 V | \mathbf{k}' \rangle$:

$$\implies C_0 M \int \frac{d^3 q}{(2\pi)^3} \frac{1}{k^2 - q^2 + i\epsilon} C_0 \longrightarrow \infty!$$

 \implies Linear divergence!

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• Choosing $C_0 \propto a_0$ gets the first term. Now $\langle \mathbf{k} | VG_0 V | \mathbf{k}' \rangle$:

$$\implies \int^{\Lambda_c} \frac{d^3q}{(2\pi)^3} \frac{1}{k^2 - q^2 + i\epsilon} \longrightarrow \frac{\Lambda_c}{2\pi^2} - \frac{ik}{4\pi} + \mathcal{O}(\frac{k^2}{\Lambda_c})$$

 \implies If cutoff at Λ_c , then can absorb into C_0 , but all powers of k^2

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• Choosing $C_0 \propto a_0$ gets the first term. Now $\langle \mathbf{k} | VG_0 V | \mathbf{k}' \rangle$:

$$\implies \int \frac{d^D q}{(2\pi)^3} \frac{1}{k^2 - q^2 + i\epsilon} \stackrel{D \to 3}{\longrightarrow} -\frac{ik}{4\pi}$$

Dimensional regularization with minimal subtraction \implies only one power of k!

• Dim. reg. + minimal subtraction \implies simple power counting:



Matching in free space:

$$C_0 = \frac{4\pi}{M} a_0 = \frac{4\pi}{M} R$$
, $C_2 = \frac{4\pi}{M} \frac{a_0^2 r_0}{2} = \frac{4\pi}{M} \frac{R^3}{3}$, \cdots

- Recovers effective range expansion order-by-order with perturbative diagrammatic expansion
 - one power of k per diagram
 - estimate truncation error from dimensional analysis

Noninteracting Fermi Sea at T = 0

- Put system in a large box ($V = L^3$) with periodic bc's
 - spin-isospin degeneracy ν (e.g., for nuclei, $\nu = 4$)
 - fill momentum states up to Fermi momentum k_F

$$N =
u \sum_{\mathbf{k}}^{k_{\mathrm{F}}} \mathbf{1} \;, \qquad E =
u \sum_{\mathbf{k}}^{k_{\mathrm{F}}} rac{\hbar^2 k^2}{2M}$$

• Use: $\int F(k) dk \approx \sum_{i} F(k_i) \Delta k_i = \sum_{i} F(k_i) \frac{2\pi}{L} \Delta n_i = \frac{2\pi}{L} \sum_{i} F(k_i)$ • In 1-D:

$$N = \nu \frac{L}{2\pi} \int_{-k_{\rm F}}^{+k_{\rm F}} dk = \frac{\nu k_{\rm F}}{\pi} L \implies \rho = \frac{N}{L} = \frac{\nu k_{\rm F}}{\pi}; \quad \frac{E}{L} = \frac{1}{3} \frac{\hbar^2 k_{\rm F}^2}{2M} \rho$$

In 3-D:

$$N = \nu \frac{V}{(2\pi)^3} \int^{k_{\rm F}} d^3k = \frac{\nu k_{\rm F}^3}{6\pi^2} V \implies \rho = \frac{N}{V} = \frac{\nu k_{\rm F}^3}{6\pi^2}; \quad \frac{E}{V} = \frac{3}{5} \frac{\hbar^2 k_{\rm F}^2}{2M} \rho$$

• Volume/particle $V/N=1/
ho\sim 1/k_{\rm F}^3$, so spacing $\sim 1/k_{\rm F}$

Energy Density From Summing Over Fermi Sea

• Leading order
$$V_{\rm EFT}^{(0)}({f x})=C_0\delta({f x})\Longrightarrow V_{\rm EFT}^{(0)}({f k},{f k}')=C_0$$

0

• At the next order, we get a linear divergence again:

$$\longrightarrow \quad \mathcal{E}_{\rm NLO} \propto \int_{k_{\rm F}}^{\infty} \frac{d^3q}{(2\pi)^3} \frac{C_0^2}{k^2 - q^2}$$

● Same renormalization fixes it! Particles → holes

$$\int_{k_{\rm F}}^{\infty} \frac{1}{k^2 - q^2} = \int_0^{\infty} \frac{1}{k^2 - q^2} - \int_0^{k_{\rm F}} \frac{1}{k^2 - q^2} \xrightarrow{D \to 3} - \int_0^{k_{\rm F}} \frac{1}{k^2 - q^2} \propto a_0^2 k_{\rm F}^7$$

Feynman Rules for Energy Density at T = 0

- T = 0 Energy density \mathcal{E} is sum of *Hugenholtz* diagrams
 - same vertices as free space (same renormalization!)
- Feynman rules:

1 Each line is assigned conserved $\tilde{k} \equiv (k_0, \mathbf{k})$ and $[\omega_{\mathbf{k}} \equiv k^2/2M]$

$$iG_0(\widetilde{k})_{lphaeta} = i\delta_{lphaeta}\left(rac{ heta(k-k_{
m F})}{k_0-\omega_{f k}+i\epsilon}+rac{ heta(k_{
m F}-k)}{k_0-\omega_{f k}-i\epsilon}
ight)$$

2 $\sum_{\alpha}^{\beta} \longrightarrow (\delta_{\alpha\gamma}\delta_{\beta\delta} + \delta_{\alpha\delta}\delta_{\beta\gamma})$ (if spin-independent)

- 3 After spin summations, $\delta_{\alpha\alpha} \rightarrow -\nu$ in every closed fermion loop.
- 4 Integrate $\int d^4 k / (2\pi)^4$ with $e^{ik_0 0^+}$ for tadpoles
- 5 Symmetry factor i/(S∏^l_{l=2}(l!)^k) counts vertex permutations and equivalent *l*-tuples of lines

Power Counting

- Power counting rules
 - 1 for every propagator (line): $M/k_{\rm F}^2$
 - 2 for every loop integration: $k_{\rm F}^5/M$
 - 3 for every *n*-body vertex with 2*i* derivatives: $k_{\rm F}^{2i}/M\Lambda^{2i+3n-5}$
- Diagram with V_{2i}^n *n*-body vertices scales as $(k_F)^{\beta}$ only:

$$\beta = 5 + \sum_{n=2}^{\infty} \sum_{i=0}^{\infty} (3n + 2i - 5) V_{2i}^{n}.$$

• e.g., $\mathcal{O}(k_{\rm F}^6)$: $\longrightarrow V_0^2 = 1$ $\implies \beta = 5 + (3 \cdot 2 + 2 \cdot 0 - 5) \cdot 1 = 6 \implies \mathcal{O}(k_{\rm F}^6)$

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T = 0 Energy Density from Hugenholtz Diagrams

Looks Like a Power Series in $k_{\rm F}$! Is it?

• New logarithmic divergences in 3–3 scattering



• Changes in Λ_c must be absorbed by 3-body coupling $D_0(\Lambda_c)$ $\implies D_0(\Lambda_c) \propto (C_0)^4 \ln(a_0 \Lambda_c) + \text{const.}$ [Braaten & Nieto]



• What does this imply for the energy density?

Summary: Dilute Fermi System with Natural *a*₀

- The many-body energy density is perturbative in $k_{\rm F}a_0$
 - efficiently reproduced by the EFT approach
- Power counting \implies error estimate from omitted diagrams
- Three-body forces are inevitable in a low-energy effective theory
 - and not unique \implies they depend on the two-body potential
- The case of a natural scattering length is under control for a uniform system
 - What about a finite # of fermions in a trap? (DFT!)
 - What if the scattering length is not natural?

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TALENT: Nuclear forces

Bethe-Brueckner-Goldstone Power Counting





DFT

Compare Potential and G Matrix: AV18



DFT

Compare Potential and G Matrix: AV18



TALENT: Nuclear forces

DFT

Compare Potential and G Matrix: N³LO (500 MeV)



TALENT: Nuclear forces

DFT

Compare Potential and G Matrix: N³LO (500 MeV)





Hole-Line Expansion Revisited (Bethe, Day, ...)

• Consider ratio of fourth-order diagrams to third-order:



- "Conventional" G matrix still couples low-k and high-k
 - no new hole line \implies ratio $\approx -\chi(\mathbf{r} = \mathbf{0}) \approx -\mathbf{1} \implies$ sum all orders
 - add a hole line \implies ratio $\approx \sum_{n < k_{\rm F}} \langle bn | (1/e)G | bn \rangle \approx \kappa \approx 0.15$
- Low-momentum potentials decouple low-k and high-k
 - add a hole line \implies still suppressed
 - no new hole line \implies also suppressed (limited phase space)
 - freedom to choose single-particle $U \Longrightarrow$ use for Kohn-Sham

 \implies Density functional theory (DFT) should work!

- Defect wf χ(r) for particular kinematics (k = 0, P_{cm} = 0)
- AV18: "Wound integral" provides expansion parameter



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"The limits of the nuclear landscape"

J. Erler et al., Nature 486, 509 (2012)



- Proton and neutron driplines predicted by Skyrme EDFs
 - Total: 6900 \pm 500 nuclei with Z \leq 120 (\approx 3000 known)
 - Estimate systematic errors by comparing models

DFT

"The limits of the nuclear landscape"



- Two-neutron separation energies of even-even erbium isotopes
 - Compare different functionals, with uncertainties of fits ٠
 - Dependence on neutron excess poorly determined (cf. driplines) •

Impact of forces: Use ab initio pseudo-data



- Put neutrons in a harmonic oscillator trap with $\hbar\omega$ (cf. cold atoms!)
- Calculate exact result with AFDMC [S. Gandolfi, J. Carlson, and S.C. Pieper, Phys. Rev. Lett. 106, 012501 (2011)] (or with other methods)
- UNEDF0 and UNEDF1 functionals improve over Skyrme SLy4!

Self-consistent Skyrme EDF and beyond



Schematic equations to solve self-consistently:

$$V_{\rm KS}(\mathbf{r}) = \frac{\delta E_{\rm int}[\rho]}{\delta \rho(\mathbf{r})} \iff [-\frac{\boldsymbol{\nabla}^2}{2m} + V_{\rm KS}(\mathbf{x})]\psi_{\alpha} = \varepsilon_{\alpha}\psi_{\alpha} \implies \rho(\mathbf{x}) = \sum_{\alpha} n_{\alpha}|\psi_{\alpha}(\mathbf{x})|^2$$

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High-order Rayleigh-Schrödinger MBPT in finite nuclei

- R. Roth et al.
- Excitation energies in ⁷Li
- Degenerate R-S MBPT
- SRG with two resolutions from N³LO 2NF
- Fixed HO model space

⁷Li 14 12 10 E* [MeV] $\alpha = 0.04 \, \text{fm}^4$ $\alpha = 0.16 \, \text{fm}^4$ $\Lambda \approx 2.24 \, \text{fm}^{-1}$ $\Lambda \approx 1.58 \, \text{fm}^{-1}$ p = 8VCSM v = 2p = 30 = 4NCSM Exp. Exp. Padé Padé 0

Order p = 2, 3, 4, and 8 compared to experiment, exact NCSM calculations, and the Padé resummed result \implies note the good agreement of the last two!

The shell model revisited

Configuration interaction techniques

- · light and heavy nuclei
- detailed spectroscopy
- quantum correlations (lab-system description)



Confronting theory and experiment to both driplines

- Precision mass measurements test impact of chiral 3NF
- Proton rich [Holt et al., arXiv:1207.1509]
- Neutron rich [Gallant et al., arXiv:1204.1987]
- Many new tests possible!





- Shell model description using chiral potential evolved to V_{low k} plus 3NF fit to A = 3, 4
- Excitations outside valence space included in 3rd order MBPT

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Non-empirical shell model [from J. Holt]

Solving the Nuclear Many-Body Problem

Nuclei understood as many-body system starting from closed shell, add nucleons Interaction and energies of valence space orbitals from original $V_{\text{low }k}$ **This alone does not reproduce experimental data**



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Non-empirical shell model [from J. Holt] Solving the Nuclear Many-Body Problem

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Effective two-body matrix elements Single-particle energies (SPEs)

Hjorth-Jensen, Kuo, Osnes (1995)



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Chiral 3NFs meet the shell model [from J. Holt] Drip Lines and Magic Numbers: The Evolving Nuclear Landscape



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Chiral 3NFs meet the shell model [from J. Holt] 3N Forces for Valence-Shell Theories

Normal-ordered 3N: contribution to valence neutron interactions



Combine with microscopic NN: eliminate empirical adjustments

Chiral 3NFs meet the shell model [from J. Holt] Drip Lines and Magic Numbers: 3N Forces in Medium-Mass Nuclei

Important in light nuclei, nuclear matter...

What are the limits of nuclear existence?

How do magic numbers form and evolve?



N=28 magic number in calcium



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