

Introduction to Effective Field Theories in QCD

bira

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Outline

- Effective Field Theories
 - ▶ Introduction
 - ▶ What is Effective
 - ▶ Example: NRQED
 - ▶ Summary
- QCD at Low Energies
- Towards Nuclear Structure

References:

U. van Kolck, L.J. Abu-Raddad, and D.M. Cardamone,
Introduction to effective field theories in QCD,
in New states of matter in hadronic interactions
(Proceedings of the Pan American Advanced Studies Institute, 2002),
nucl-th/0205058

D.B. Kaplan,
Effective field theories,
Lectures at 7th Summer School in Nuclear Physics Symmetries,
Seattle, WA, 18-30 Jun 1995,
nucl-th/9506035

What Holds the Nucleus Together?

In the past quarter century physicists have devoted a huge amount of experimentation and mental labor to this problem – probably more man-hours than have been given to any other scientific question in the history of mankind.

Hans A. Bethe 1953

“There are few problems in nuclear theoretical physics which have attracted more attention than that of trying to determine the fundamental interaction between two nucleons. It is also true that scarcely ever has the world of physics owed so little to so many ...
... It is hard to believe that many of the authors are talking about the same problem or, in fact, that they know what the problem is.”

M. L. Goldberger

*Midwestern Conference on Theoretical
Physics, Purdue University, 1960*

Nuclear Physics

The canons of tradition



Nuclei are essentially made out of non-relativistic nucleons (protons and neutrons), which interact via a potential



The potential is mostly two-nucleon, but there is evidence for smaller three-nucleon forces



Isospin is a good symmetry, except for a sizable breaking in two-nucleon scattering lengths and other, smaller effects



External probes (*e.g.* photons) interact mainly with each nucleon, but there is evidence for smaller two-nucleon currents

but...

WHY?

Quantum Chromodynamics

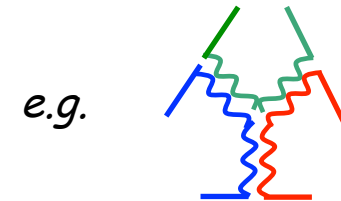
On the road to infrared slavery



Up, down quarks are relativistic, interacting via multi-gluon exchange



The interaction is a multi-quark process



Isospin symmetry is not obvious: $\varepsilon = \frac{m_d - m_u}{m_d + m_u} \sim \frac{1}{3}$



External probes can interact with collection of quarks

difficulty

quarks and gluons **not** the most convenient
degrees of freedom at low energies

How does nuclear structure emerge from QCD?

PDG, 2005

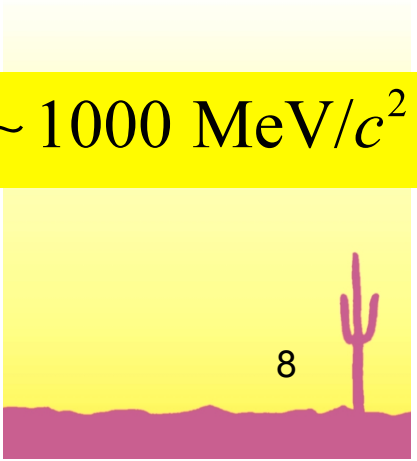
Table listing various hadronic scales and their properties. The table is organized into columns with headers for particle names and their quantum numbers (J^{PC}, S, C, B, etc.). The entries include particle names like ρ_{13} , ρ_{14} , ρ_{15} , etc., and their corresponding mass values in MeV/c².

Particle	J ^{PC}	S	C	B	M (MeV/c ²)
ρ_{13}	0 ⁻	1	0	0	770
ρ_{14}	1 ⁺	1	0	0	770
ρ_{15}	2 ⁺	1	0	0	770
ρ_{16}	3 ⁺	1	0	0	770
ρ_{17}	4 ⁺	1	0	0	770
ρ_{18}	5 ⁺	1	0	0	770
ρ_{19}	6 ⁺	1	0	0	770
ρ_{20}	7 ⁺	1	0	0	770
ρ_{21}	8 ⁺	1	0	0	770
ρ_{22}	9 ⁺	1	0	0	770
ρ_{23}	10 ⁺	1	0	0	770
ρ_{24}	11 ⁺	1	0	0	770
ρ_{25}	12 ⁺	1	0	0	770
ρ_{26}	13 ⁺	1	0	0	770
ρ_{27}	14 ⁺	1	0	0	770
ρ_{28}	15 ⁺	1	0	0	770
ρ_{29}	16 ⁺	1	0	0	770
ρ_{30}	17 ⁺	1	0	0	770
ρ_{31}	18 ⁺	1	0	0	770
ρ_{32}	19 ⁺	1	0	0	770
ρ_{33}	20 ⁺	1	0	0	770
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ρ_{36}	23 ⁺	1	0	0	770
ρ_{37}	24 ⁺	1	0	0	770
ρ_{38}	25 ⁺	1	0	0	770
ρ_{39}	26 ⁺	1	0	0	770
ρ_{40}	27 ⁺	1	0	0	770
ρ_{41}	28 ⁺	1	0	0	770
ρ_{42}	29 ⁺	1	0	0	770
ρ_{43}	30 ⁺	1	0	0	770
ρ_{44}	31 ⁺	1	0	0	770
ρ_{45}	32 ⁺	1	0	0	770
ρ_{46}	33 ⁺	1	0	0	770
ρ_{47}	34 ⁺	1	0	0	770
ρ_{48}	35 ⁺	1	0	0	770
ρ_{49}	36 ⁺	1	0	0	770
ρ_{50}	37 ⁺	1	0	0	770
ρ_{51}	38 ⁺	1	0	0	770
ρ_{52}	39 ⁺	1	0	0	770
ρ_{53}	40 ⁺	1	0	0	770
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ρ_{55}	42 ⁺	1	0	0	770
ρ_{56}	43 ⁺	1	0	0	770
ρ_{57}	44 ⁺	1	0	0	770
ρ_{58}	45 ⁺	1	0	0	770
ρ_{59}	46 ⁺	1	0	0	770
ρ_{60}	47 ⁺	1	0	0	770
ρ_{61}	48 ⁺	1	0	0	770
ρ_{62}	49 ⁺	1	0	0	770
ρ_{63}	50 ⁺	1	0	0	770
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ρ_{65}	52 ⁺	1	0	0	770
ρ_{66}	53 ⁺	1	0	0	770
ρ_{67}	54 ⁺	1	0	0	770
ρ_{68}	55 ⁺	1	0	0	770
ρ_{69}	56 ⁺	1	0	0	770
ρ_{70}	57 ⁺	1	0	0	770
ρ_{71}	58 ⁺	1	0	0	770
ρ_{72}	59 ⁺	1	0	0	770
ρ_{73}	60 ⁺	1	0	0	770
ρ_{74}	61 ⁺	1	0	0	770
ρ_{75}	62 ⁺	1	0	0	770
ρ_{76}	63 ⁺	1	0	0	770
ρ_{77}	64 ⁺	1	0	0	770
ρ_{78}	65 ⁺	1	0	0	770
ρ_{79}	66 ⁺	1	0	0	770
ρ_{80}	67 ⁺	1	0	0	770
ρ_{81}	68 ⁺	1	0	0	770
ρ_{82}	69 ⁺	1	0	0	770
ρ_{83}	70 ⁺	1	0	0	770
ρ_{84}	71 ⁺	1	0	0	770
ρ_{85}	72 ⁺	1	0	0	770
ρ_{86}	73 ⁺	1	0	0	770
ρ_{87}	74 ⁺	1	0	0	770
ρ_{88}	75 ⁺	1	0	0	770
ρ_{89}	76 ⁺	1	0	0	770
ρ_{90}	77 ⁺	1	0	0	770
ρ_{91}	78 ⁺	1	0	0	770
ρ_{92}	79 ⁺	1	0	0	770
ρ_{93}	80 ⁺	1	0	0	770
ρ_{94}	81 ⁺	1	0	0	770
ρ_{95}	82 ⁺	1	0	0	770
ρ_{96}	83 ⁺	1	0	0	770
ρ_{97}	84 ⁺	1	0	0	770
ρ_{98}	85 ⁺	1	0	0	770
ρ_{99}	86 ⁺	1	0	0	770
ρ_{100}	87 ⁺	1	0	0	770

**** Existence is certain, and properties are at least fairly well explored.
 *** Existence ranges from very likely to certain, but further confirmation is desirable and/or quantum numbers, branching fractions, etc. are not well determined.
 ** Evidence of existence is only fair.
 * Evidence of existence is poor.



$$M_{QCD} \sim 1000 \text{ MeV}/c^2$$



Nucleus (g.s.)	J^P	I	E (MeV)	$\langle r^2 \rangle_{ch}^{1/2}$ (fm)
${}^2\text{H}(d)$	1^+	0	-2.2246	$2.116(6)$
${}^3\text{H}(t)$	$\frac{1}{2}^+$	$\frac{1}{2}$	-8.482	$1.755(86)$
${}^3\text{He}$	$\frac{1}{2}^+$	$\frac{1}{2}$	-7.718	$1.959(34)$
${}^4\text{He}(\alpha)$	0^+	0	-28.296	$1.676(8)$
${}^5\text{He}$	$\frac{3}{2}^-$	$\frac{1}{2}$	$+0.9$	
...			$\frac{E}{A}$ (MeV)	$\frac{1}{k_F}$ (fm)
Nuclear Matter	0^+	0	~ 16	0.73

Friar, '93

Nuclear Scales

$$Q \sim M_{nuc} c \sim 100 \text{ MeV}/c$$



$$E \sim \frac{M_{nuc}^2}{M_{QCD}} c^2 \sim 10 \text{ MeV}$$

$$r \sim \frac{\hbar}{M_{nuc} c} \sim 2 \text{ fm}$$

Multi-scale problems

H
atom

$$H = \left(\frac{p^2}{2m_e} - \frac{\alpha \hbar c}{r} \right) \left[1 + \mathcal{O} \left(\frac{p^2}{m_e^2 c^2}; \frac{\hbar^2}{m_e^2 c^2 r^2} \right) \right] \quad \alpha \equiv \frac{e^2}{4\pi \hbar c} \cong \frac{1}{137} \ll 1$$

$$r \sim R \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} E(R) \sim \left(\frac{\hbar^2}{2m_e R^2} - \frac{e^2}{4\pi R} \right)$$

$$p \sim \frac{\hbar}{R}$$

$$\frac{dE(R)}{dR} = 0 \quad \Rightarrow \quad R = \frac{\hbar}{\alpha m_e c}$$

Three
scales

$$m_e c^2 = 0.5 \text{ MeV}$$

$$pc \sim \alpha m_e c^2 = 3.6 \text{ keV}$$

$$-E \sim \frac{p^2}{2m_e} \sim \frac{1}{2} \alpha^2 m_e c^2 = 13.6 \text{ eV}$$

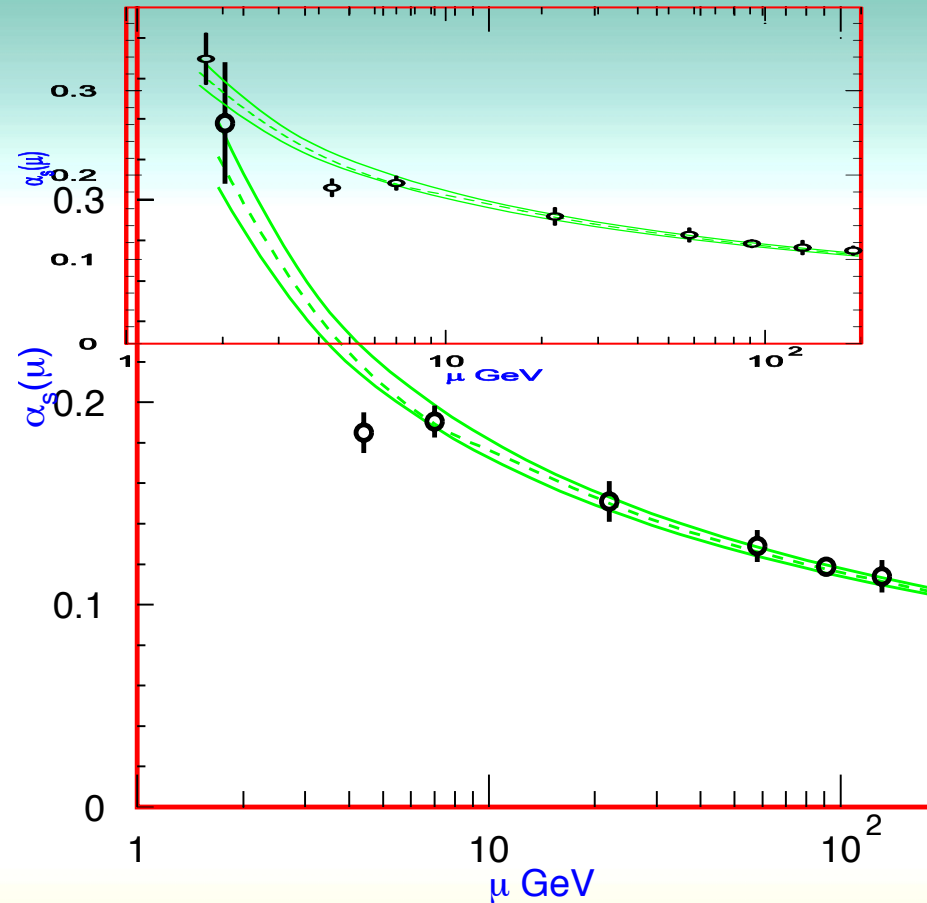
α

α

However...

no obvious small coupling
in nuclear forces.

QCD
"fine-structure"
constant



Needed: method that does not
rely on small couplings



EFFECTIVE FIELD THEORY

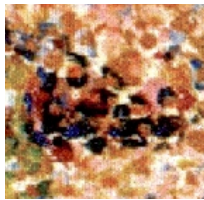
I do not believe that scientific progress is always best advanced by keeping an altogether open mind. It is often necessary to forget one's doubts and to follow the consequences of one's assumptions wherever they may lead ---the great thing is not to be free of theoretical prejudices, but to have the right theoretical prejudices. And always, the test of any theoretical preconception is in where it leads.

S. Weinberg, *The First Three Minutes*

1972

Ingredients

- Relevant degrees of freedom



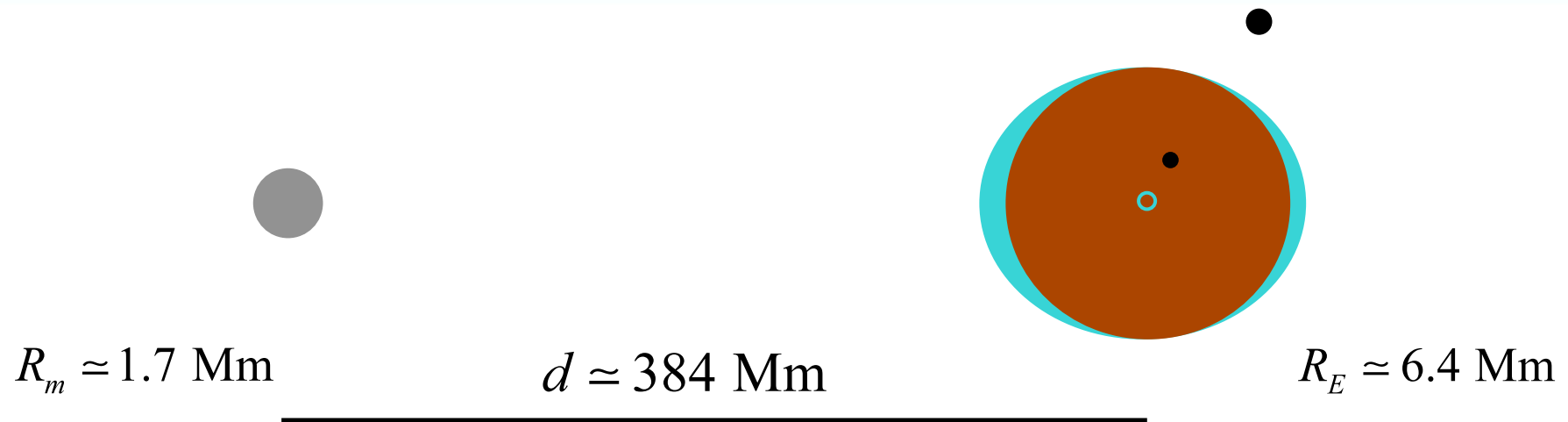
Ingredients

- Relevant degrees of freedom

choose the coordinates that fit the problem

- All possible interactions

Example: Earth-moon-satellite system



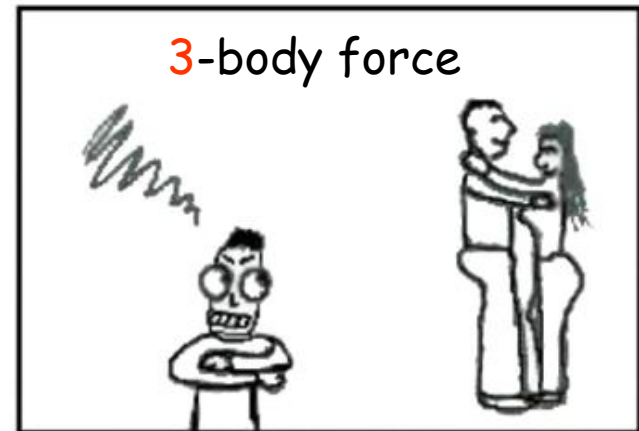
2-body forces \rightarrow 2+3-body forces

change in resolution

4/03/11

v. Kolck, Intro to EFTs

3-body force



Wikipedia

Ingredients

- Relevant degrees of freedom

choose the coordinates that fit the problem

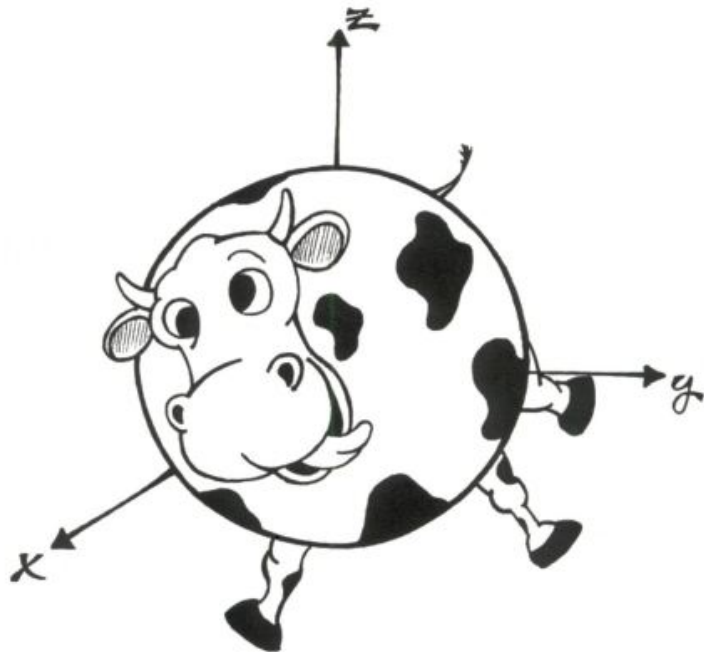
- All possible interactions

what is not forbidden is compulsory

- Symmetries

A farmer is having trouble with a cow whose milk has gone sour. He asks three scientists—a biologist, a chemist, and a physicist—to help him.

The biologist figures the cow must be sick or have some kind of infection, but none of the antibiotics he gives the cow work. Then, the chemist supposes that there must be a chemical imbalance affecting the production of milk, but none of the solutions he proposes do any good either. Finally, the physicist comes in and says, "First, we assume a spherical cow..."



$$\sum_{ij} \alpha_{ij} u_i v_j \rightarrow \vec{u} \cdot \vec{v} + \sum_{ij} \delta \alpha_{ij} u_i v_j$$

no, say, $u_1 v_2$

$$|\delta \alpha_{ij}| \ll 1$$

amenable to
perturbation theory

Ingredients

- Relevant degrees of freedom

choose the coordinates that fit the problem

- All possible interactions

what is not forbidden is compulsory

- Symmetries

not everything is allowed

- Naturalness

After scales have been identified,
the remaining, dimensionless parameters are

$$\mathcal{O}(1)$$

unless suppressed by a symmetry

cow
non-sphericity...

Occam's razor:
simplest assumption, to be revised if necessary

fine-tuning

➔ Expansion in powers of

$$\frac{E}{E_{und}}$$

energy of probe

energy scale of
underlying theory

A classical example: the flat Earth --
light object near surface of a large body

$$E \sim mgh \ll E_{und} \equiv mgR$$

d.o.f.: mass m

sym: $V_{eff}(h, x, y) = V_{eff}(h)$

$$V_{eff}(h) = m \sum_{i=0}^{\infty} g_i h^i = \text{const} + mg \{h + \eta h^2 + \dots\}$$

parameters

(neglecting
quantum
corrections...)

naturalness: $\frac{mg_{i+1}h^{i+1}}{mg_i h^i} = \frac{E}{E_{und}} \times \mathbf{O}(1) = \frac{h}{R} \times \mathbf{O}(1) \Rightarrow g_{i+1} = \mathbf{O}\left(\frac{g}{R^i}\right)$



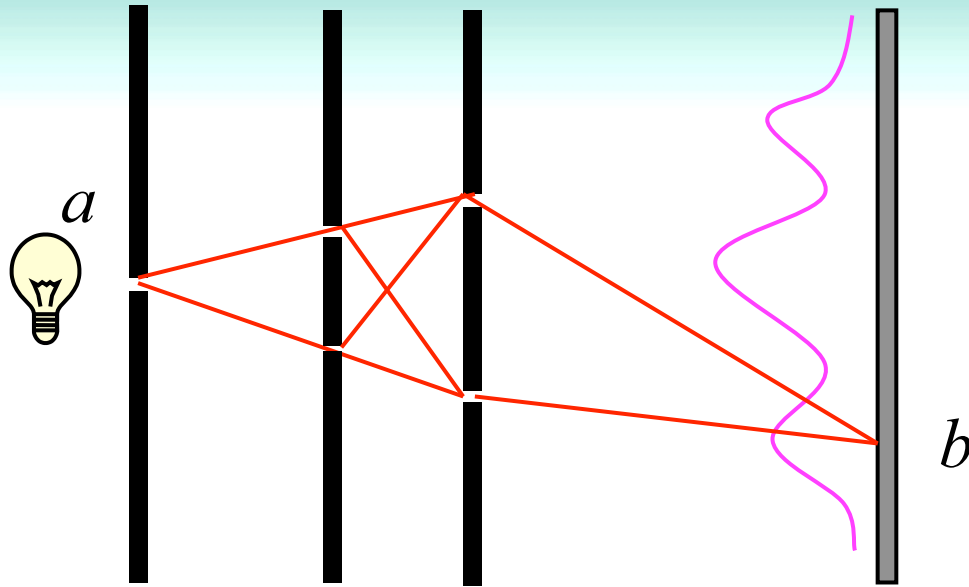
$$V_{eff}(h) = -GMm \frac{1}{R+h} = m \left(\frac{GM}{R^2}\right) \sum_{i=0}^{\infty} \left(\frac{-1}{R}\right)^{i-1} h^i \Rightarrow g_{i+1} = (-1)^i \frac{g}{R^i}$$

$h \ll R$
 $\equiv g$

itself the first term in a low-energy EFT of general relativity...

Going a bit deeper...

A short path to quantum mechanics



$$P = |A_1 + A_2 + A_3 + A_4|^2$$

sum over
all paths

RULE

$$A_i \propto \exp\left(i \int_a^b dt \mathbf{L}(q(t))\right)$$

each path contributes a phase
given by the classical action

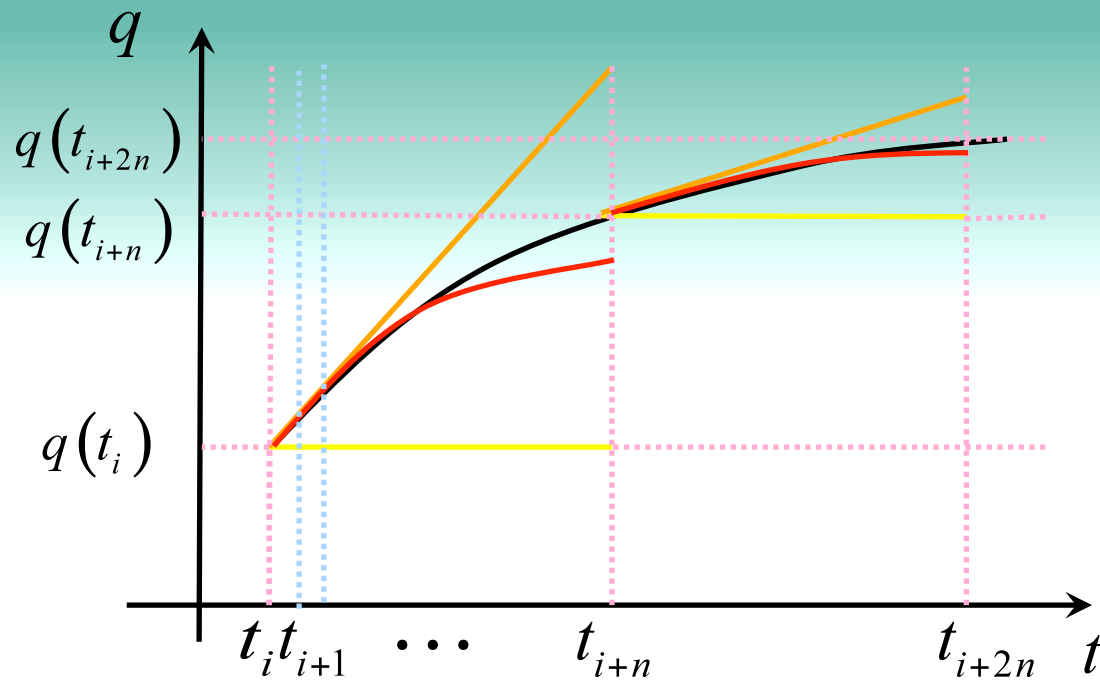
Path Integral

Feynman '48

$$A = \int Dq \exp\left(i \int dt \mathbf{L}(q(t))\right)$$

$$\prod_i \int dq(t_i)$$

$$\delta \left(\int dt \mathbf{L}(q(t)) \right) = 0$$



EFFECTIVE THEORY

← scale of fine-structure of dynamics

← scale of variation of long-range dynamics

← coarse-graining scale (cutoff)

$$1/M_{und}$$

$$1/m$$

$$t_j \quad t_{j+1} \quad t_{j+2}$$

$$1/\Lambda$$

$$\mathbf{L}(q(t_i)) \rightarrow \mathbf{L}\left(q(t_i) + \left.\frac{dq}{dt}\right|_{t_i} (t - t_i) + \frac{1}{2} \left.\frac{d^2q}{dt^2}\right|_{t_i} (t - t_i)^2 + \dots\right)$$

QM + special relativity: quantum field theory

$$q(t) \rightarrow \varphi(\vec{r}, t) \equiv \varphi(x)$$

$$dt \rightarrow dt d^3 r \equiv d^4 x$$

$$\frac{d}{dt} \rightarrow \frac{\partial}{\partial x^\mu}$$

EFFECTIVE FIELD THEORIES

Partition function

$$Z = \int D\varphi \exp\left(i \int d^4x \left\{ \mathbf{L}_{\text{free}}(\varphi) + \mathbf{L}_{\text{int}}(\varphi) \right\}\right)$$

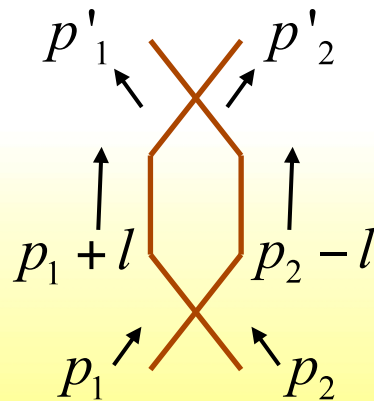
$$= \int D\varphi \left\{ + i \int d^4x \mathbf{L}_{\text{int}}(\varphi) + \left[i \int d^4x \mathbf{L}_{\text{int}}(\varphi) \right]^2 + \dots \right\} \exp\left(i \int d^4x \mathbf{L}_{\text{free}}(\varphi)\right)$$

momentum space

$$\mathbf{L}_{\text{int}} = \frac{\lambda}{4} \varphi^4 \quad \times \quad = i\lambda$$

(skip many steps...)

$$= \frac{i}{p^2 - m^2 + i\epsilon}$$



$$= \int \frac{d^4l}{(2\pi)^4} i\lambda \frac{i}{(p_1 + l)^2 - m^2 + i\epsilon} \frac{i}{(p_2 - l)^2 - m^2 + i\epsilon} i\lambda$$

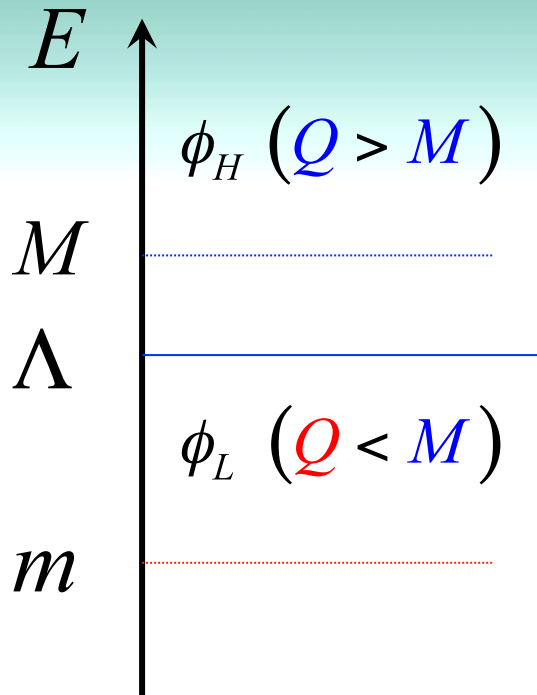
= ...

but divergent from high-momentum region...

needs a cutoff to separate high and low momenta

Euler + Heisenberg '36
 Weinberg '67 ... '79
 Wilson, early 70s
 ...

What is Effective?



$$Z = \int D\phi_H \int D\phi_L \exp\left(i \int d^4x \mathbf{L}_{und}(\phi_H, \phi_L)\right) \times \int D\varphi \delta(\varphi - f_\Lambda(\phi_L)) = \int D\varphi \exp\left(i \int d^4x \mathbf{L}_{EFT}(\varphi)\right)$$

$$\mathbf{L}_{EFT} = \sum_{d=0}^{\infty} \sum_{i(d,n)} c_i(M, \Lambda) O_i((\partial, m)^d \varphi^n)$$

renormalization-group invariance

$$\frac{\partial Z}{\partial \Lambda} = 0$$

details of the underlying dynamics

local

underlying symmetries

$$\phi_H : \Delta x \sim \frac{1}{Q} < \frac{1}{M}$$

$$\phi_L : \Delta x \sim \frac{1}{Q} > \frac{1}{M}$$

characteristic external momentum

$$T = T^{(\infty)}(Q) \sim N(M) \sum_{\nu=\nu_{\min}}^{\infty} \sum_i \tilde{c}_{\nu,i}(\Lambda) \left[\frac{Q}{M} \right]^{\nu} F_{\nu,i} \left(\frac{Q}{m}; \frac{\Lambda}{m} \right)$$

$\frac{\partial T}{\partial \Lambda} = 0$

normalization

non-analytic, from loops

$$\nu = \nu(d, n, \dots) \quad \text{"power counting"}$$

e.g. # loops L

For $Q \sim m$, truncate consistently with RG invariance so as to allow systematic improvement (perturbation theory):

$$T = T^{(\bar{\nu})} + \mathbf{O} \left(N \left(\frac{Q}{M}, \frac{Q}{\Lambda} \right)^{\bar{\nu}+1} \right) \quad \frac{\partial T^{(\bar{\nu})}}{\partial \ln \Lambda} = \mathbf{O} \left(T^{(\bar{\nu})} \frac{Q}{\Lambda} \right)$$

Why is this useful?

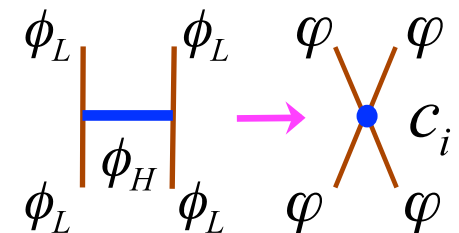
Because in general the appropriate degrees of freedom below M are not the same as above

φ

$$\phi = (\phi_H, \phi_L)$$

Examples:

- M is mass of physical particle -- virtual exchange in coefficients C_i (Appelquist-Carazzone decoupling theorem)
- M is scale associated with breaking of continuous symmetry -- appearance of massless Goldstone bosons or gauge-boson mass (Goldstone's theorem, Higgs mechanism)
- M is scale of confinement -- rearrangement of whole spectrum
- M is radius of Fermi surface -- BCS behavior



How can we do it? Two possibilities:

➤ know and can solve underlying theory --

get c_i 's in terms of parameters in $\mathcal{L}_{und}(\phi_H, \phi_L)$

➤ know but cannot solve, or do not know, underlying theory --

invoke Weinberg's "theorem":

Weinberg '79

"The quantum field theory generated by the most general Lagrangian with some assumed symmetries will produce the most general S matrix incorporating quantum mechanics, Lorentz invariance, unitarity, cluster decomposition and those symmetries, with no further physical content."

Note: proven only for scalar field with Z_2 symmetry in E_4 ,

but no known counterexamples

Ball + Thorne '94

Bira's EFT Recipe

1. identify degrees of freedom and symmetries
2. construct most general Lagrangian
3. run the methods of field theory

what is not forbidden
is mandatory!

- compute Feynman diagrams with all momenta $Q < \Lambda$
("regularization")
- relate $c_i(\Lambda), \Lambda$ to observables, which should be independent of Λ
("renormalization")

not a model form factor

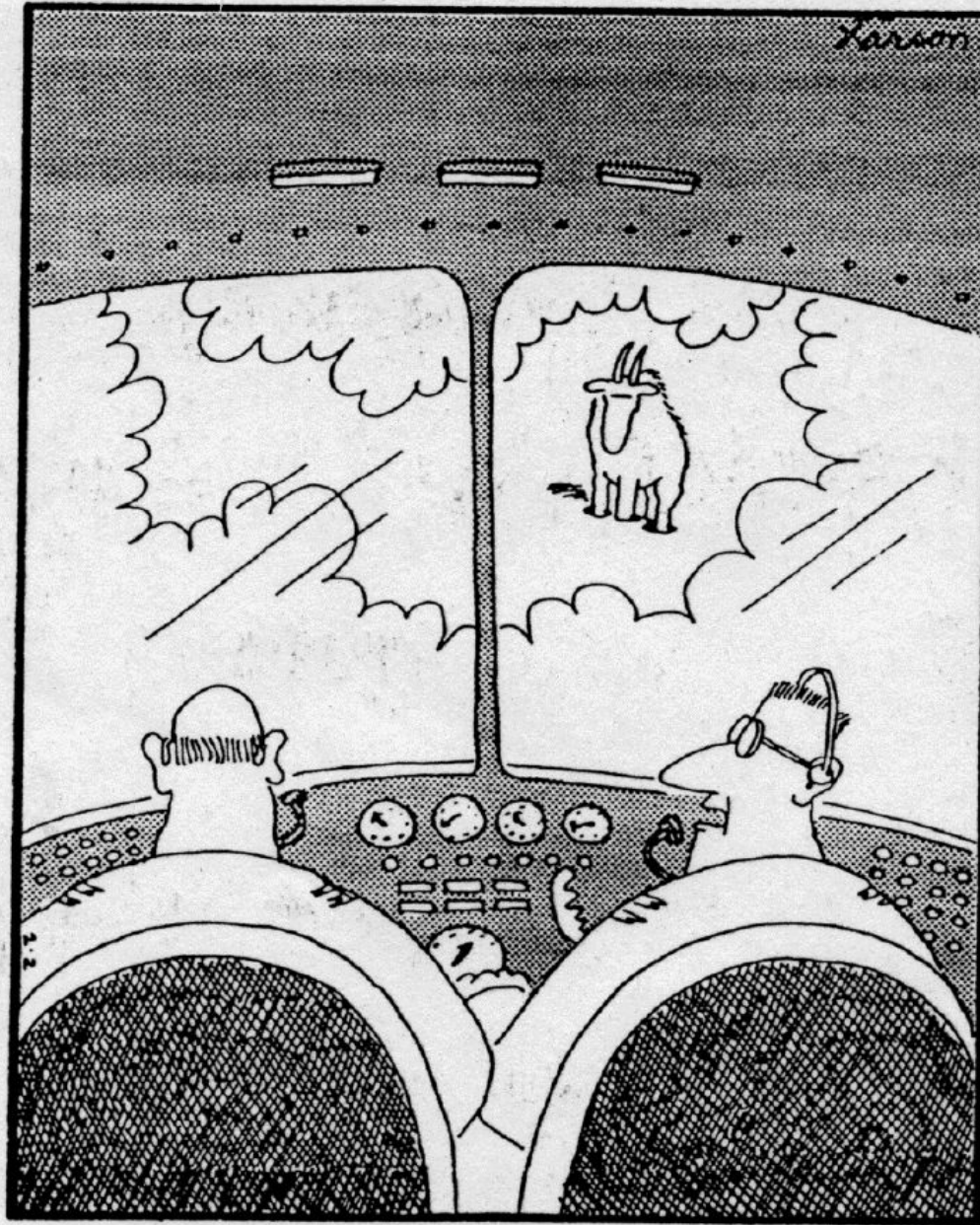
➡ controlled expansion in $\frac{Q}{M} \times \mathcal{O}(1)$

"naturalness": what else?
unless suppressed by symmetry...

contrast to models, which have fewer, but *ad hoc*, interactions;
useful in the identification of relevant degrees of freedom and symmetries,
but plagued with uncontrolled errors

A significant change in physicists' attitude towards what should be taken as a guiding principle in theory construction is taking place in recent years in the context of the development of EFT. For many years (...) renormalizability has been taken as a necessary requirement. Now, considering the fact that experiments can probe only a limited range of energies, it seems natural to take EFT as a general framework for analyzing experimental results.

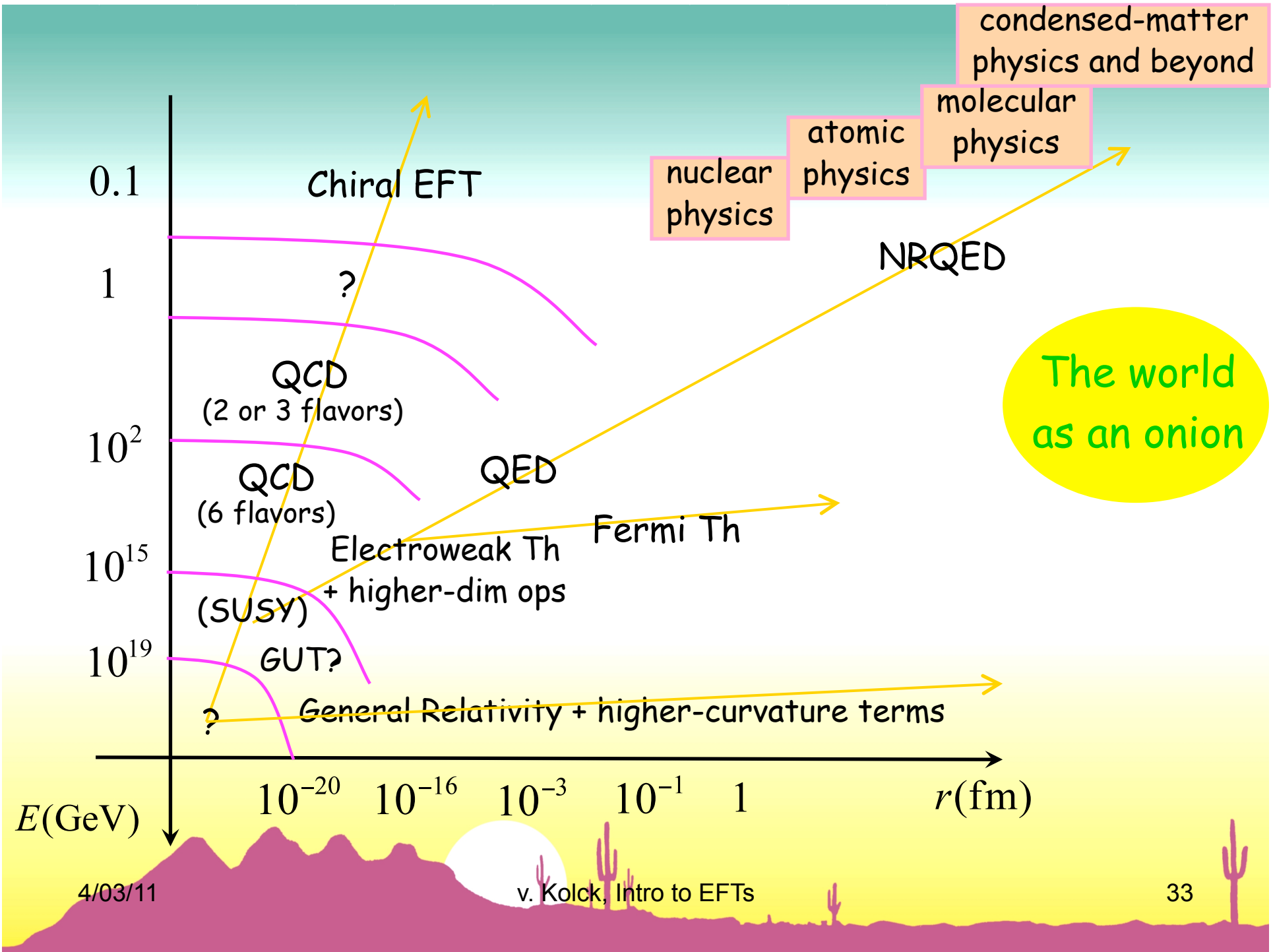
T.Y. Cao, in
Renormalization, From Lorentz to Landau (and Beyond), L.M. Brown (ed)
1993



"Say . . . What's a mountain goat doing way up here in a cloud bank?"

Time for a
paradigm
change,
perhaps?





A quantum example: non-relativistic QED (NRQED)

- single fermion ψ of mass M , massless spin-1 boson A_μ
- Lorentz, parity, time-reversal, and U(1) gauge invariance

$$\left\{ \begin{array}{l} D_\mu = \partial_\mu - ieA_\mu \\ F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \end{array} \right.$$

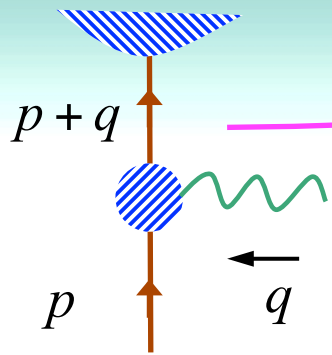
$$\mathcal{L}_{und} = \bar{\psi} (i\not{D} - M) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$p \left| = \frac{i}{\not{p} - M + i\epsilon} \quad p \begin{array}{c} \text{wavy} \\ \mu \end{array} \begin{array}{c} \nu \\ \end{array} = \frac{-i\eta_{\mu\nu}}{p^2 + i\epsilon}$$

$$\begin{array}{c} \mu \\ \text{wavy} \\ \bullet \end{array} = ie\gamma_\mu \quad \Rightarrow \quad \text{interactions} \propto e = \sqrt{4\pi\alpha} \sim \frac{1}{3} \quad \text{perturbation theory}$$

How do E&M bound states arise?

$$Q \ll M$$



$$\begin{aligned}
 &= \frac{i}{\not{p} + \not{q} - M + i\epsilon} = \frac{i(p^0 \gamma^0 - \vec{p} \cdot \vec{\gamma} + q + M)}{(p^0 + q^0)^2 - (\vec{p} + \vec{q})^2 - M^2 + i\epsilon} \\
 &= \frac{i(p^0 \gamma^0 + M - \vec{p} \cdot \vec{\gamma} + q)}{2p^0 q^0 + q^{02} - 2\vec{p} \cdot \vec{q} - \vec{q}^2 + i\epsilon} \\
 &= \frac{i(1 + \gamma^0)}{q^0 + i\epsilon} \frac{1}{2} + \dots
 \end{aligned}$$

$$|\vec{p}| \sim |\vec{q}| = \mathcal{O}(Q)$$

$$q^0 = |\vec{q}| = \mathcal{O}(Q)$$

$$p^0 = \sqrt{\vec{p}^2 + M^2} = M + \mathcal{O}\left(\frac{Q^2}{M}\right)$$

$$P_{\pm} \equiv \frac{1 \pm \gamma^0}{2} \quad P_{\pm} P_{\pm} = P_{\pm}, \quad P_{\pm} P_{\mp} = 0$$

projector onto \pm energy states

Georgi '90

"heavy-fermion formalism"

$$\Psi_{\pm} \equiv e^{iMt} P_{\pm} \psi \Leftrightarrow \psi = (P_{+} + P_{-}) \psi = e^{-iMt} (\Psi_{+} + \Psi_{-})$$

particles: annihilates creates
antiparticles: creates annihilates

$$\mathbf{L}_{und} = \bar{\Psi}_+ iD_0 \Psi_+ - \bar{\Psi}_- i\vec{\gamma} \cdot \vec{D} \Psi_+ + \bar{\Psi}_+ i\vec{\gamma} \cdot \vec{D} \Psi_- - \bar{\Psi}_- (iD_0 + 2M) \Psi_- - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

+ other, heavy d.o.f.s

$$Z = \int DA \int D\Psi_+ \int D\Psi_- \exp\left(i \int d^4x \mathbf{L}_{und}(\Psi_+, \Psi_-, A)\right) \times \int D\Psi \delta(\Psi - \Psi_+)$$

$$= \int DA \int D\Psi \exp\left(i \int d^4x \mathbf{L}_{EFT}(\Psi, A)\right) \quad \leftarrow \text{complete square, do Gaussian integral}$$

$$\mathbf{L}_{EFT} = \bar{\Psi} iD_0 \Psi + \frac{1}{2M} \bar{\Psi} \vec{D}^2 \Psi + \frac{e}{2M} \bar{\Psi} \sigma_i \Psi \varepsilon_{ijk} F^{jk} + \dots - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \dots$$

non-relativistic expansion Pauli term

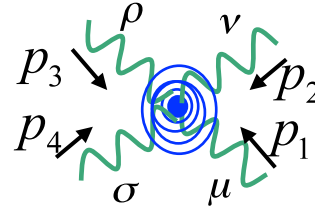
$$+ \frac{ek}{2M} \bar{\Psi} \sigma_i \Psi \varepsilon_{ijk} F^{jk} + \dots$$

anomalous magnetic moment
= O(1)

most general Lag with Ψ, A
invariant under U(1) gauge, parity, time-reversal,
and Lorentz transformations

$$\mathcal{L}_{EFT} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{a}{M^4} (F_{\mu\nu} F^{\mu\nu})^2 + \frac{b}{M^4} (F_{\mu\nu} \tilde{F}^{\mu\nu})^2 + \dots$$

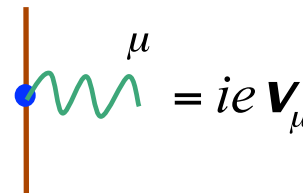
Euler + Heisenberg '36

$$p \begin{matrix} \uparrow v \\ \downarrow \mu \end{matrix} = \frac{-i\eta_{\mu\nu}}{p^2 + i\epsilon}$$


$$= \frac{i}{M^4} \left\{ a \left[\eta_{\mu\rho} \eta_{\nu\sigma} p_1 \cdot p_3 p_2 \cdot p_4 + \dots \right] + b \left[\dots \right] \right\}$$

$$+ \bar{\Psi} i \mathbf{v} \cdot \mathbf{D} \Psi + \frac{1}{2M} \bar{\Psi} \left((\mathbf{v} \cdot \mathbf{D})^2 - D^2 \right) \Psi + \frac{e}{M} (1 + \kappa) \bar{\Psi} \mathbf{v}_\alpha S_\beta \Psi \varepsilon^{\mu\nu\alpha\beta} F_{\mu\nu} + \dots$$

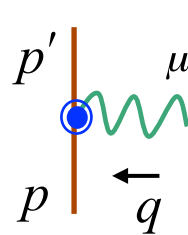
$$p \left| = \frac{i}{\mathbf{v} \cdot p + \frac{1}{2M} (p^2 - (\mathbf{v} \cdot p)^2) + \dots + i\epsilon}$$

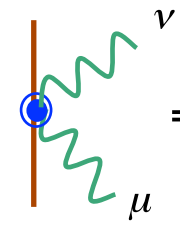


$$= ie \mathbf{v}_\mu$$

$$\mathbf{v} \equiv (1, \vec{0})$$


$$S \equiv \left(0, \frac{\vec{\sigma}}{2} \right)$$



$$= \frac{e}{2M} \left\{ i (p + p')_\mu + 2(1 + \kappa) \varepsilon_{\mu\nu\alpha\beta} \mathbf{v}^\nu S^\alpha q^\beta \right\}$$


$$= i \frac{e^2}{M} (\eta_{\mu\nu} - \mathbf{v}_\mu \mathbf{v}_\nu)$$

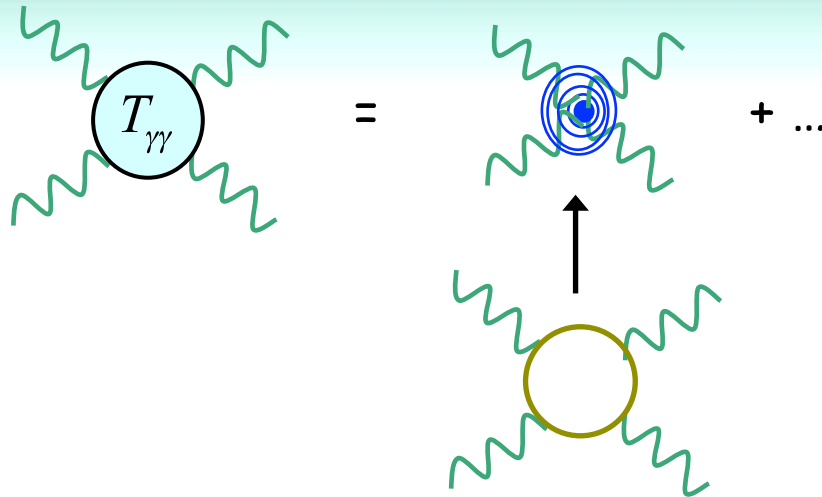
$$+ \frac{\gamma_0^{(0)}}{M^2} \bar{\Psi} \Psi \bar{\Psi} \Psi + \frac{\gamma_0^{(1)}}{M^2} \bar{\Psi} S \Psi \cdot \bar{\Psi} S \Psi + \dots$$



$$= \frac{i}{M^2} (\gamma_0^{(0)} + \gamma_0^{(1)} S_1 \cdot S_2)$$

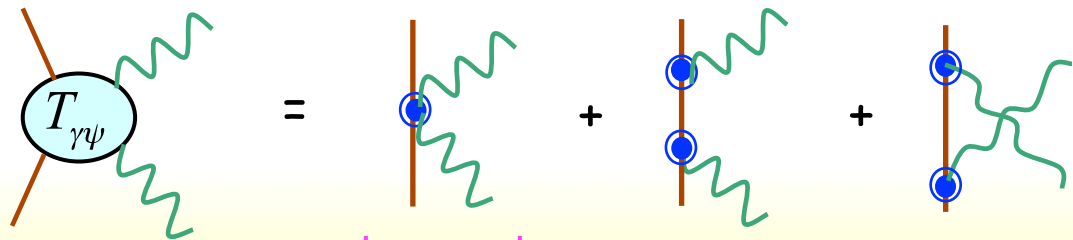
etc.

Various processes at low energies: e.g.



light-by-light scattering

no explicit fermion-antifermion pair creation!

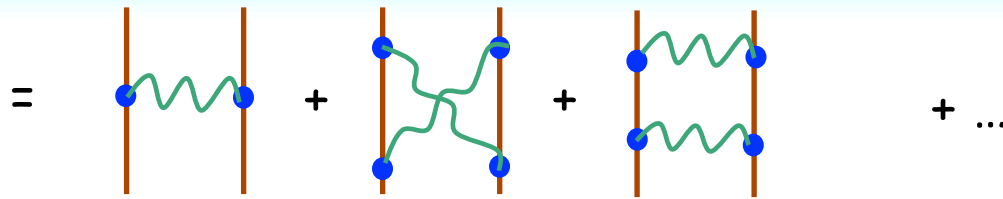
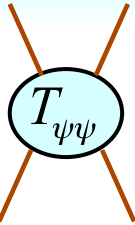


Compton scattering

no change in heavy-fermion number!

Back to atomic bound states: the NRQED perspective

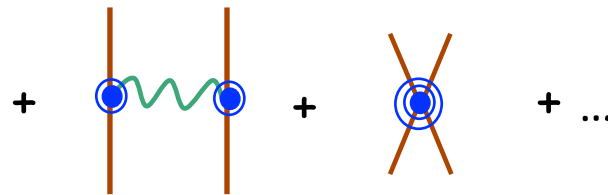
$$(p'^0, \vec{p}') \quad (p'^0, -\vec{p}')$$



$$(p^0, \vec{p}) \text{ CoM frame} \quad (p^0, -\vec{p})$$

$$|\vec{p}| \sim |\vec{p}'| = \mathcal{O}(Q)$$

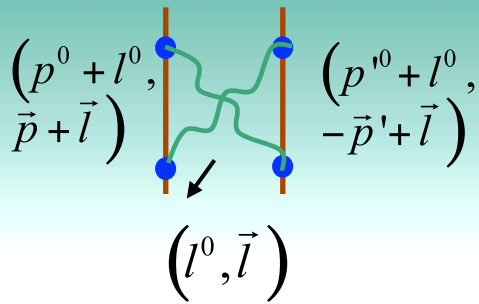
$$p^0 \sim p'^0 = \mathcal{O}\left(\frac{Q^2}{M}\right)$$



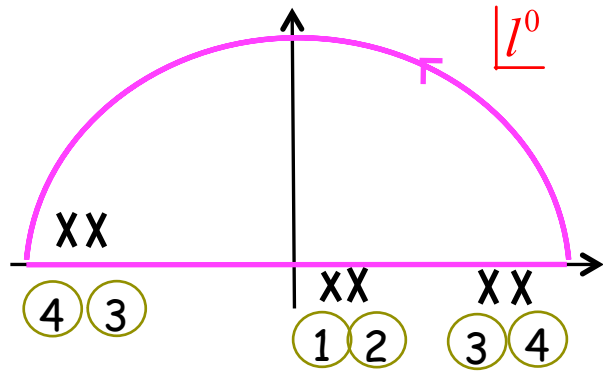
higher powers of $\frac{Q}{M}$

$$\text{photon exchange} = \frac{ie^2}{(p-p')^2 + i\epsilon} = \frac{-ie^2}{(p^0 - p'^0)^2 - (\vec{p} - \vec{p}')^2 + i\epsilon} \approx \frac{ie^2}{(\vec{p} - \vec{p}')^2 - i\epsilon} \sim \frac{4\pi\alpha}{Q^2}$$

$$\rightarrow V(r) = \frac{\alpha}{r}$$



$$= e^4 \int \frac{d^4 l}{(2\pi)^4} \frac{1}{l^0 + p^0 - \frac{(\vec{l} + \vec{p})^2}{2M} + i\epsilon} \frac{1}{l^0 + p'^0 - \frac{(\vec{l} - \vec{p}')^2}{2M} + i\epsilon} \frac{1}{(p^0 - p'^0 + l^0)^2 - (\vec{p} - \vec{p}' + \vec{l})^2 + i\epsilon} \frac{1}{l^{02} - \vec{l}^2 + i\epsilon}$$



$$= i e^4 \int \frac{d^3 l}{(2\pi)^3} \frac{1}{|\vec{l}| - p^0 + \frac{(\vec{l} + \vec{p})^2}{2M} - i\epsilon} \frac{1}{|\vec{l}| - p'^0 + \frac{(\vec{l} - \vec{p}')^2}{2M} - i\epsilon} \frac{1}{(p^0 - p'^0 - |\vec{l}|)^2 - (\vec{p} - \vec{p}' + \vec{l})^2 + i\epsilon} \frac{1}{2|\vec{l}| - i\epsilon}$$

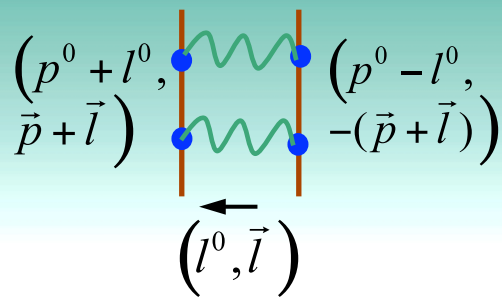


+ ... (3)

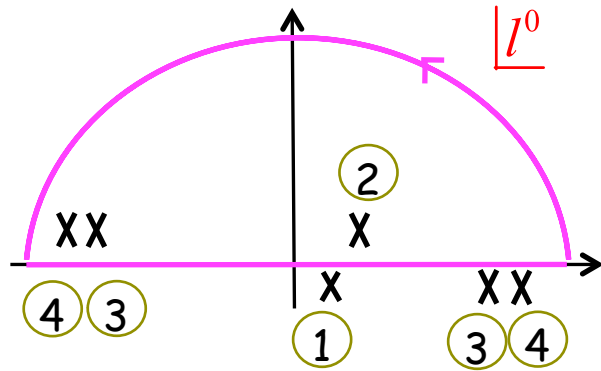
$$\sim e^4 \frac{Q^3}{(4\pi)^2} \frac{1}{Q} \frac{1}{Q} \frac{1}{Q^2} \frac{1}{Q} \sim \frac{\alpha}{4\pi} \frac{4\pi\alpha}{Q^2}$$

$\ll 1$

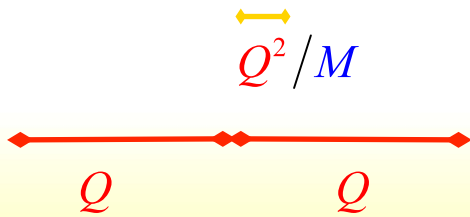
just as expected...



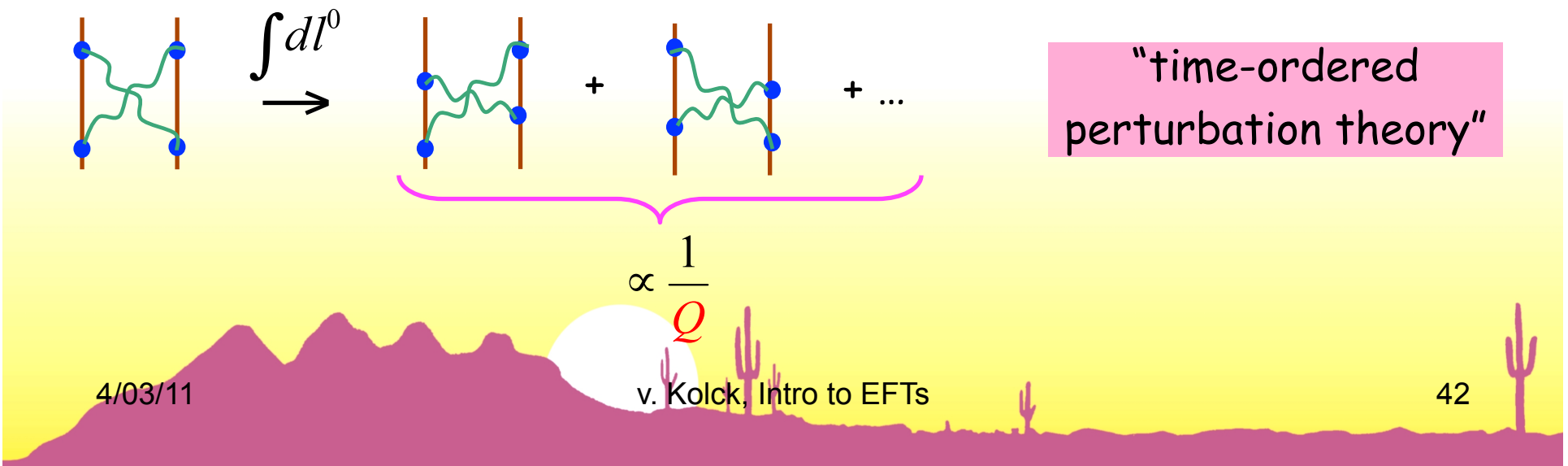
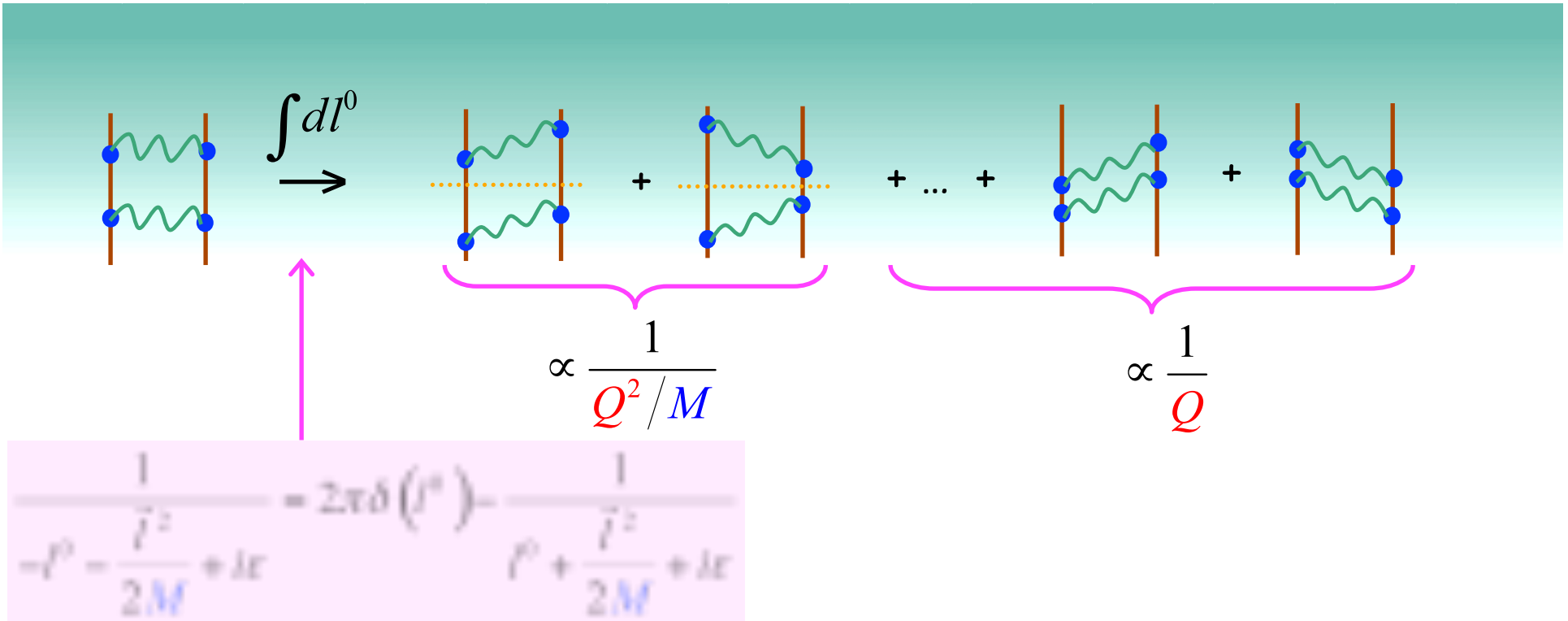
$$= e^4 \int \frac{d^4 l}{(2\pi)^4} \frac{1}{l^0 + p^0 - \frac{(\vec{l} + \vec{p})^2}{2M} + i\epsilon} \frac{1}{-l^0 + p^0 - \frac{(\vec{l} + \vec{p})^2}{2M} + i\epsilon} \frac{1}{\left(p^0 - p'^0 + l^0\right)^2 - \left(\vec{p} - \vec{p}' + \vec{l}\right)^2 + i\epsilon} \frac{1}{l^{02} - \vec{l}^2 + i\epsilon}$$

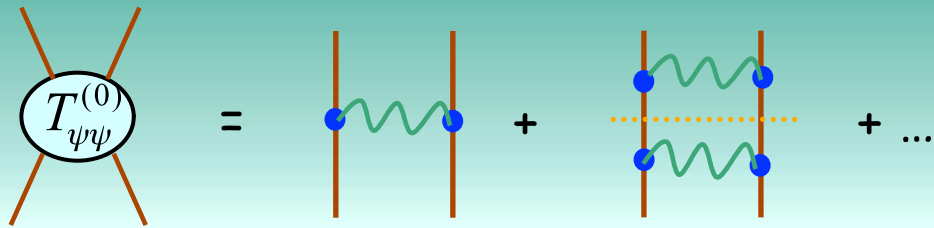


$$= i e^4 \int \frac{d^3 l}{(2\pi)^3} \frac{1}{-2p^0 + \frac{(\vec{l} + \vec{p})^2}{M} - i\epsilon} \frac{1}{\left(p^0 - \frac{(\vec{l} + \vec{p})^2}{2M}\right)^2 - \vec{l}^2 + i\epsilon} \frac{1}{\left(2p^0 - p'^0 - \frac{(\vec{l} + \vec{p})^2}{2M}\right)^2 - \left(\vec{p} - \vec{p}' + \vec{l}\right)^2 + i\epsilon}$$



$$+ \dots \sim (4\pi\alpha)^2 \frac{Q^3}{4\pi} \frac{M}{Q^2} \frac{1}{Q^2} \frac{1}{Q^2} + \frac{\alpha}{4\pi} \frac{4\pi\alpha}{Q^2} \sim \alpha \frac{4\pi\alpha}{Q^2} \left(\frac{M}{Q} + \frac{1}{4\pi} \right) \gg 1$$



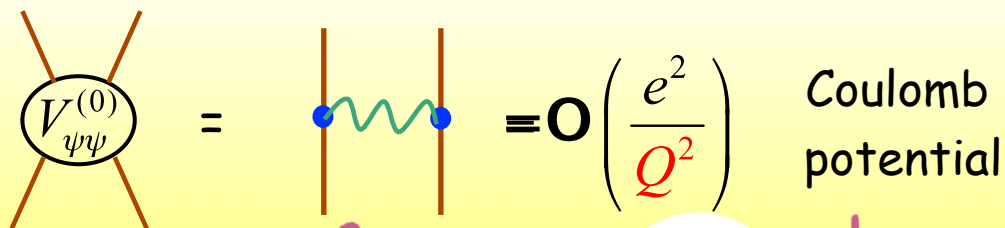
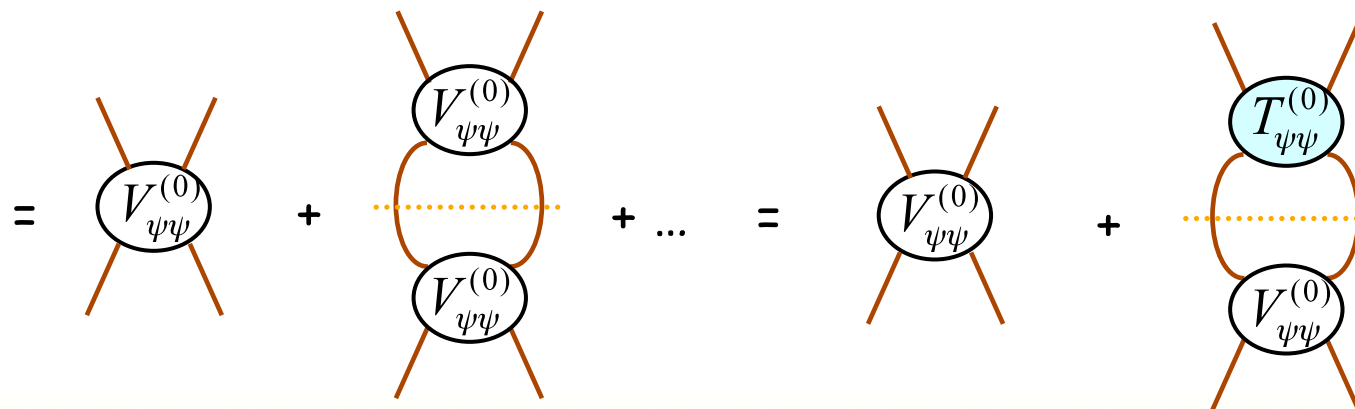


$$\sim \frac{e^2}{Q^2} \left\{ 1 + \mathcal{O}\left(\alpha \frac{M}{Q}\right) + \dots \right\} \sim \frac{e^2}{Q^2} \frac{1}{1 - \mathcal{O}\left(\alpha \frac{M}{Q}\right)}$$

bound state at

$$Q \sim \alpha M$$

$$-E \sim \frac{Q^2}{M} \sim \alpha^2 M$$



Coulomb potential

Lippmann-Schwinger eq.
= Schroedinger eq.

$$\left(\frac{\hat{p}^2}{2M} + V_{\psi\psi}^{(0)} \right) |\psi^{(0)}\rangle = E^{(0)} |\psi^{(0)}\rangle$$

But more:

$$V_{\psi\psi}^{(1)} = \text{[diagram 1]} + \text{[diagram 2]} + \dots + \text{[diagram 3]} + \dots \equiv \mathcal{O}\left(\frac{\alpha}{4\pi} \frac{4\pi\alpha}{Q^2}\right)$$

$$T_{\psi\psi}^{(1)} = V_{\psi\psi}^{(1)} + \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]}$$

$$\Rightarrow E^{(1)} = E^{(0)} + \langle \psi^{(0)} | V_{\psi\psi}^{(1)} | \psi^{(0)} \rangle \equiv \mathcal{O}\left(\frac{\alpha}{4\pi} E^{(0)}\right)$$

$$V_{\psi\psi}^{(2)} = \dots + \dots = \mathcal{O}\left(\frac{Q^2}{M^2} \frac{4\pi\alpha}{Q^2}\right)$$

$$T_{\psi\psi}^{(2)} = \dots$$

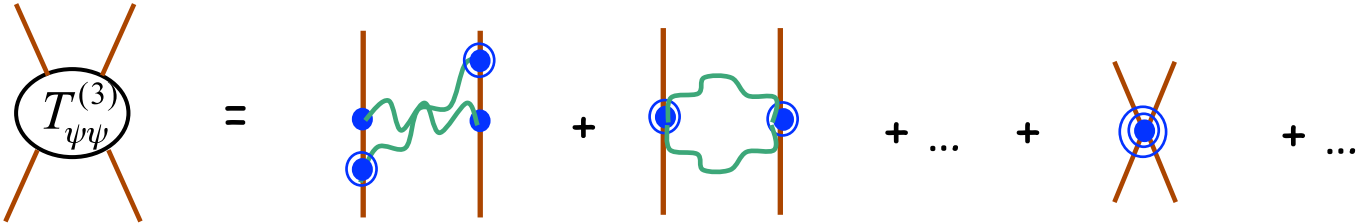
$$\Rightarrow E^{(2)} = E^{(1)} + \langle \psi^{(0)} | V_{\psi\psi}^{(2)} | \psi^{(0)} \rangle + \dots = \mathcal{O}\left(\frac{Q^2}{M^2} E^{(0)}\right)$$

piece $\propto \vec{\mu}_1 \cdot \vec{\mu}_2 \int d^3\vec{r} \psi^{(0)*}(\vec{r}) \delta^{(3)}(\vec{r}) \psi^{(0)}(\vec{r}) = \vec{\mu}_1 \cdot \vec{\mu}_2 |\psi^{(0)}(0)|^2$

magnetic interaction

NOTE

starting at $T_{\psi\psi}^{(3)}$, sufficiently many derivatives appear at vertices so that loops bring positive powers of Λ , which need to be compensated by $\gamma_0^{(i)}(\Lambda)$ and higher-order "counterterms"



$$= \mathcal{O}\left(\frac{\alpha}{4\pi} \frac{Q^2}{M^2} \frac{4\pi\alpha}{Q^2}\right)$$

$$\propto \frac{\alpha^2}{M^2} \ln \Lambda$$

$$\Leftrightarrow \gamma_0^{(i)} \propto \frac{\alpha^2}{M^2} (-\ln \Lambda + \text{constant})$$

renormalization

to be determined by "matching" to QED (and/or from data)

Etc.

Example: g factor for electron bound in H-like atoms

$$g = 2(1 + \kappa)$$

electron

Larmor frequency known ion mass measured

$$\frac{\omega_L}{\omega_c} = \frac{g}{2} \frac{|e|}{q} \frac{m_{\text{ion}}}{m}$$

measured trapped-ion cyclotron frequency ion charge electron mass

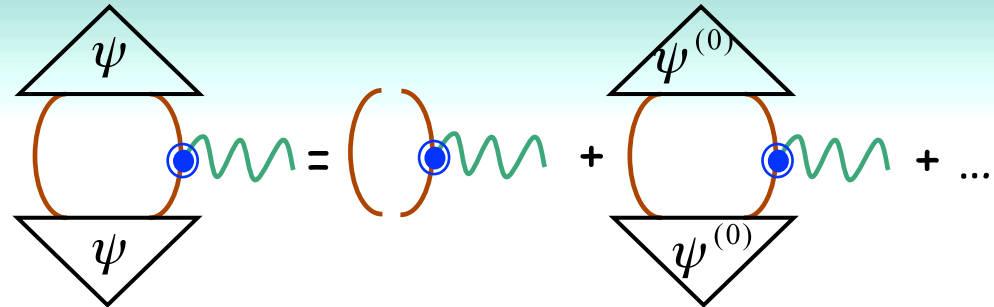


TABLE II Individual contributions to the 1s bound-electron g factor, $1/\alpha$ from [12] is 137.035 999 11(46).

	$^{12}\text{C}^{5+}$	$^{16}\text{O}^{7+}$
Dirac value (point)	1.998 721 354 39(1)	1.997 726 003 06(2)
Finite nuclear size	0.000 000 000 41	0.000 000 001 55
Free QED, $\sim(\alpha/\pi)$	0.002 322 819 47(1)	0.002 322 819 47(1)
Binding SE, $\sim(\alpha/\pi)$	0.000 000 852 97	0.000 001 622 67(1)
Binding VP, $\sim(\alpha/\pi)$	-0.000 000 008 51	-0.000 000 026 37(1)
Free QED, $\sim(\alpha/\pi)^2 \cdots (\alpha/\pi)^4$	-0.000 003 515 10	-0.000 003 515 10
Binding QED, $\sim(\alpha/\pi)^2 (Z\alpha)^2$	-0.000 000 001 13	-0.000 000 002 01
Binding QED, $\sim(\alpha/\pi)^2 (Z\alpha)^4$	0.000 000 000 41(11)	0.000 000 001 06(35)
Recoil	0.000 000 087 63	0.000 000 116 97
Total	2.001 041 590 52(11)	2.000 047 021 28(35)

Pachucki, Jentschura + Yerokhin '04

$$\left(u = \frac{m_{^{12}\text{C}(gs)}}{12} \right)$$

$$m(^{12}\text{C}^{5+}) = 0.000\,548\,579\,909\,41(29)(3) \text{ u,}$$

$$m(^{16}\text{O}^{7+}) = 0.000\,548\,579\,909\,87(41)(10) \text{ u,}$$

v. Kolck, Intro to EF

Most precise determination of electron mass (expt)(th)

4/03/11

Summary

- ◆ Nuclear systems involve multiple scales but **no** obvious small coupling constant
- ◆ EFT is a **general** framework to deal with a multi-scale problem using the small ratio of scales as an expansion parameter
- ◆ Applied to low-energy QED, EFT reproduces well-known facts and **also** provides a systematic expansion for the potential, and thus for the scattering amplitude --
NRQED is in fact the framework used in state-of-the-art QED bound-state calculations

Stay tuned:
next, how we can make nuclear physics as systematic as QED

Introduction to Effective Field Theories in QCD

U. van Kolck

University of Arizona

Supported in part by US DOE

Outline

- Effective Field Theories
- QCD at Low Energies
 - ▶ QCD and Chiral Symmetry
 - ▶ Chiral Nuclear EFT
 - ▶ Renormalization of Pion Exchange
 - ▶ Summary
- Towards Nuclear Structure

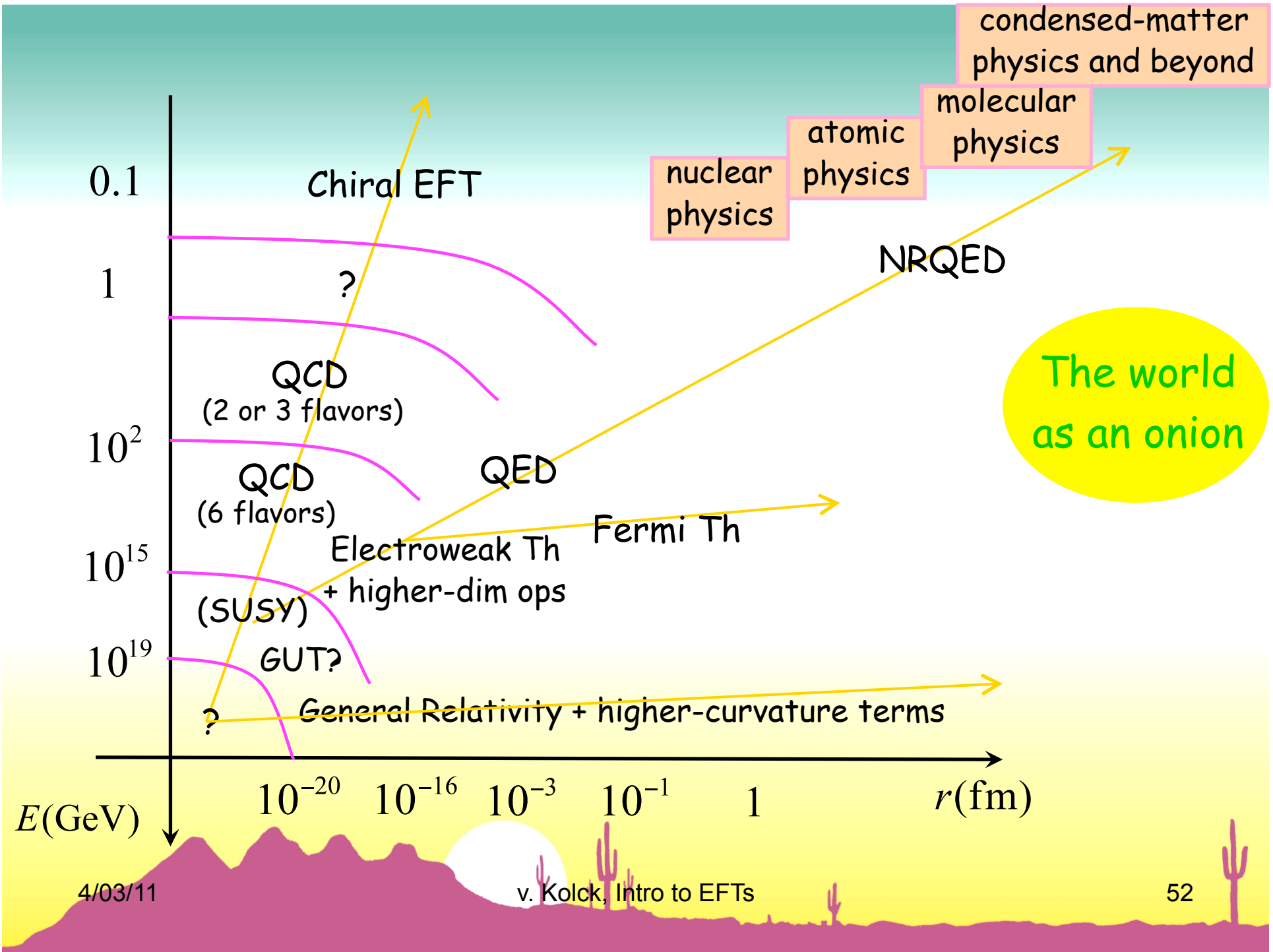
References:

S. Weinberg,
Phenomenological Lagrangians,
Physica A96:327,1979

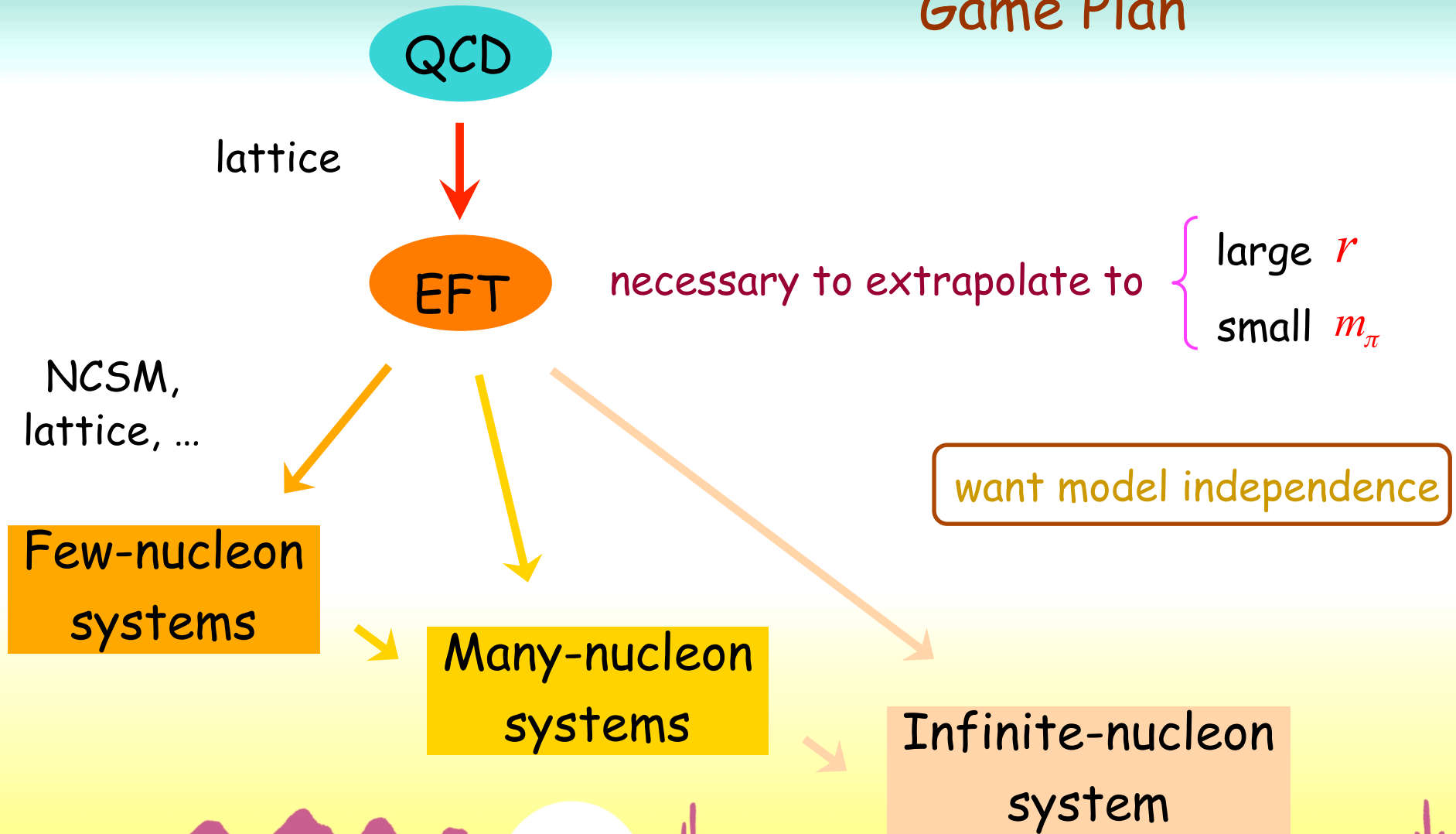
S. Weinberg,
**Effective chiral Lagrangians for nucleon-pion interactions
and nuclear forces,**
Nucl.Phys.B363:3-18,1991

S.R. Beane, P.F. Bedaque, L. Childress, A. Kryjevski,
J. McGuire, and U. van Kolck,
Singular potentials and limit cycles,
Phys.Rev.A64:042103,2001, [quant-ph/0010073](#)

A. Nogga, R.G.E. Timmermans, and U. van Kolck,
Renormalization of one-pion exchange and power counting,
Phys.Rev.C72:054006,2005, [nucl-th/0506005](#)



Game Plan



EFT at a few GeV= underlying theory for nuclear physics

d.o.f.s leptons: $l_f = \begin{pmatrix} l^+ \\ \nu \end{pmatrix}_f$ quarks: $q = \begin{pmatrix} u \\ d \end{pmatrix}$ photon: A_μ gluons: G_μ^a

symmetries: $SO(3,1)$ global, $U_{em}(1)$ gauge, $SU_c(3)$ gauge

$$\mathcal{L}_{und} = \sum_{f=1}^3 \bar{l}_f (i\not{\partial} + eQ_l A - m_f) l_f - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{q} (i\not{\partial} + eQ_q A + g_s \mathcal{G}) q - \frac{1}{2} \text{Tr} [G_{\mu\nu} G^{\mu\nu}] - \frac{1}{2} (m_u + m_d) \bar{q} q - \frac{1}{2} (m_u - m_d) \bar{q} \tau_3 q$$

$Q_l = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$
 $Q_q = \begin{pmatrix} 2/3 & 0 \\ 0 & -1/3 \end{pmatrix} = \frac{1+3\tau_3}{6}$

} QED + QCD

$+ \frac{m_u m_d}{m_u + m_d} \bar{\theta} \bar{q} i \gamma_5 q + \dots$

higher-dimension interactions: suppressed by larger masses

unnaturally small T violation (strong CP problem)

e.g. $G_F \propto 1/M_{W,Z}^2$

$\bar{\theta} \lesssim 10^{-9}$

Focus on strong-interacting sector: four parameters

1) $m_u = m_d = 0, e = 0, \bar{\theta} = 0$

"chiral limit"

single, dimensionless parameter

$$\int d^4x \mathbf{L}_{QCD} = \int d^4x \left\{ \bar{q} (i\partial/ + g_s G) q - \frac{1}{2} \text{Tr} G^{\mu\nu} G_{\mu\nu} \right\}$$

invariant under scale transformations

$$\left\{ \begin{array}{l} x \rightarrow \lambda^{-1} x \\ q \rightarrow \lambda^{3/2} q \\ G \rightarrow \lambda G \end{array} \right.$$

but in

$$Z = \int DG \int D\bar{q} \int Dq \exp\left(i \int d^4x \mathbf{L}_{QCD}\right)$$

scale invariance

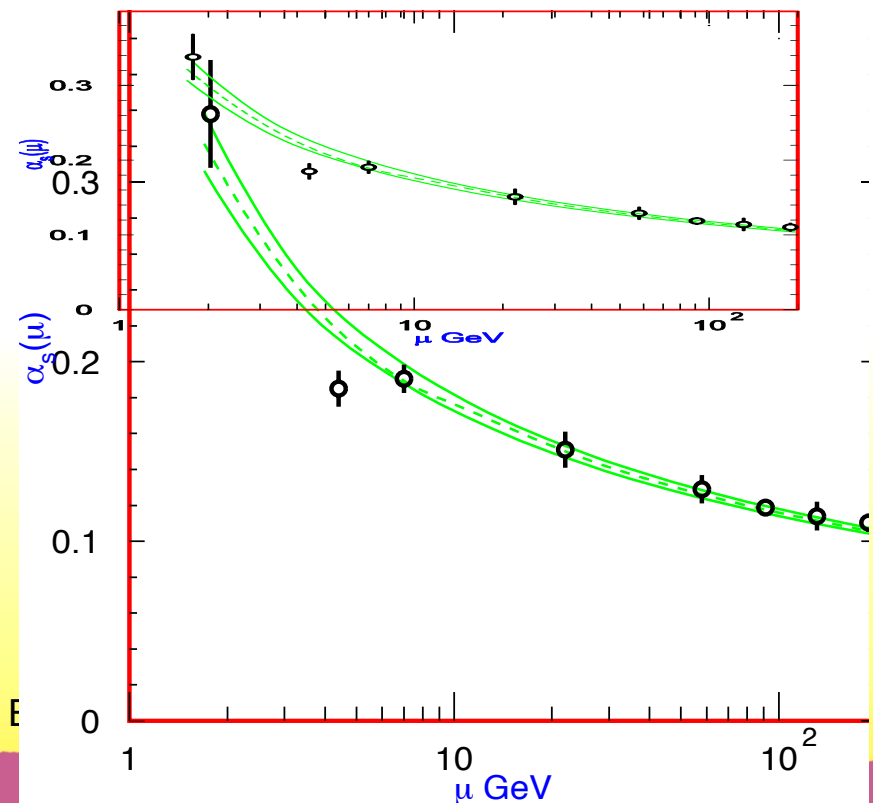
"anomalously broken"

by *dimensionful* regulator

→ coupling runs

$$\alpha_s(Q \sim 1 \text{ GeV}) \sim 1$$

("dimensional transmutation")



Non-perturbative physics at $Q \sim 1 \text{ GeV}$

Assumption 1: confinement

only colorless states ("hadrons") are asymptotic

Observation: (almost) all hadron masses $\gtrsim 1 \text{ GeV}$

Assumption 2: naturalness

masses are determined by characteristic scale

$$\Rightarrow M_{QCD} \sim 1 \text{ GeV}$$

Observation: pion mass $m_\pi \approx 140 \text{ MeV} \ll M_{QCD}$

breakdown of naturalness? NO!

"spontaneous **breaking**" of chiral symmetry

Why is the pion special?

$$\mathbf{L}_{QCD} = \bar{q}_L (i\not{\partial} + g_s \not{G}) q_L + \bar{q}_R (i\not{\partial} + g_s \not{G}) q_R - \frac{1}{2} \text{Tr} G^{\mu\nu} G_{\mu\nu}$$

$$q = \begin{pmatrix} u \\ d \end{pmatrix}$$

$$\leftarrow \frac{1-\gamma_5}{2} q$$

$$\leftarrow \frac{1+\gamma_5}{2} q$$

invariant under

chiral symmetry

$$q_{L(R)} \rightarrow \exp(i\boldsymbol{\alpha}_{L(R)} \cdot \boldsymbol{\tau}) q_{L(R)}$$

$$SU(2)_L \times SU(2)_R \sim SO(4)$$

$$\begin{aligned} m_\sigma &\gg m_\pi \\ m_{N_-} &\gg m_{N_+} \end{aligned}$$

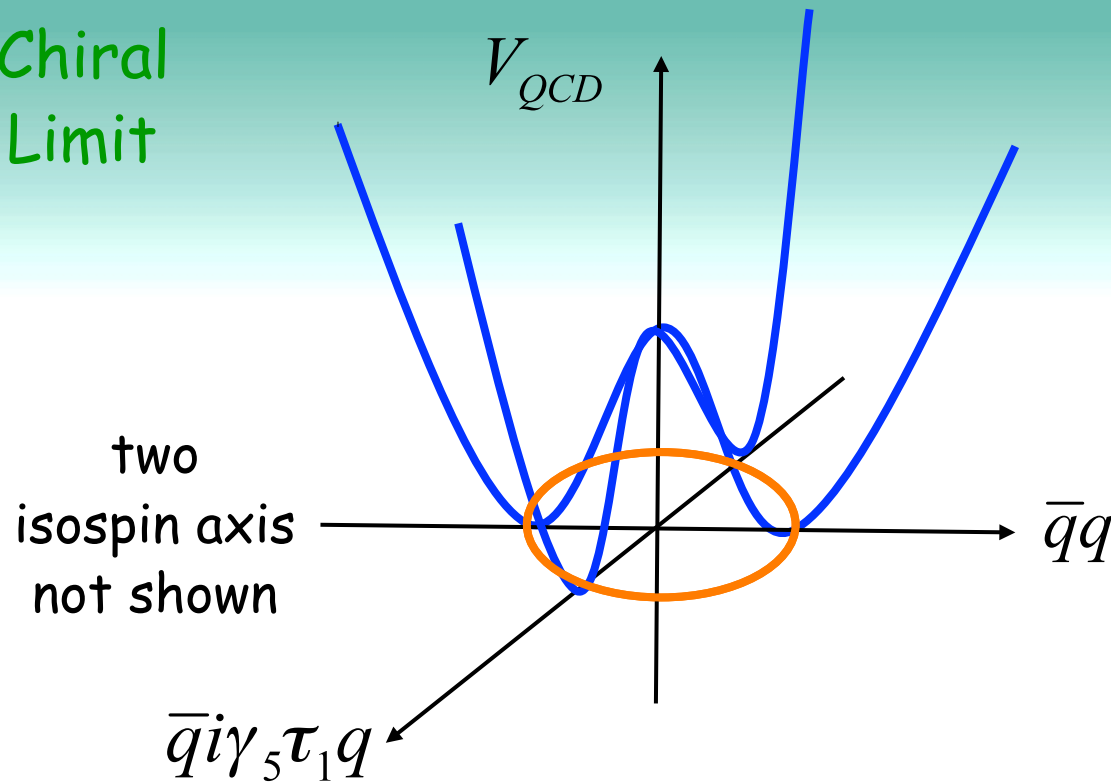
broken by vacuum down to

isospin

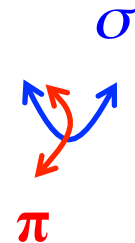
$$q \rightarrow \exp(i\boldsymbol{\alpha} \cdot \boldsymbol{\tau}) q$$

$$SU(2)_{L+R} \sim SO(3)$$

Chiral Limit



chiral circle



f_π

pion decay constant (in chiral limit)

\mathcal{L}_{EFT} = piece invariant under $\pi \rightarrow \pi + \varepsilon$ [function of $\partial_\mu \pi$ on chiral circle]

$$\left(1 - \frac{\pi^2}{4f_\pi^2} + \dots\right) \partial_\mu \pi$$

$$2) \quad m_u \neq 0 \neq m_d, \quad e = 0, \quad \bar{\theta} = 0$$

$$\mathbf{L}_{QCD} = \bar{q} (i\partial/ + g_s G) q - \frac{1}{2} \text{Tr} G^{\mu\nu} G_{\mu\nu}$$

$$+ \frac{1}{2} (m_u + m_d) \underbrace{\bar{q}q} + \frac{1}{2} (m_u - m_d) \underbrace{\bar{q}\tau_3 q} + \dots$$

v.K. '93

4th component of $SO(4)$ vector

$$S = (\bar{q}i\gamma_5 \boldsymbol{\tau}q, \bar{q}q)$$

3rd component of $SO(4)$ vector

$$P = (\bar{q}\boldsymbol{\tau}q, \bar{q}i\gamma_5 q)$$

break

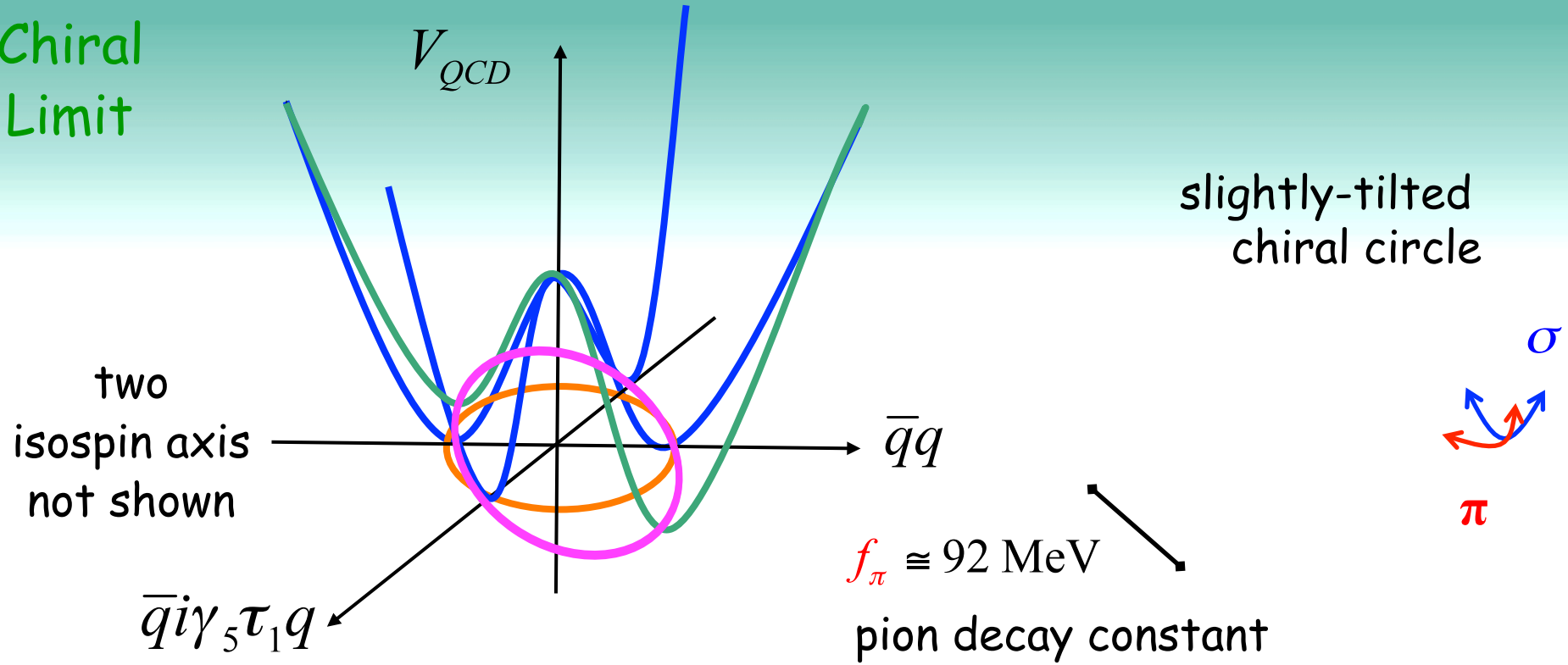
$$SO(4) \quad \rightarrow \quad SO(3)$$

(explicit chiral-symmetry breaking)

$$\rightarrow U(1)$$

(isospin violation)

Chiral Limit



- \mathcal{L}_{EFT} = piece invariant under $\pi \rightarrow \pi + \varepsilon$ [function of $\partial_\mu \pi$] $\propto Q$
- + piece in $\bar{q}q$ direction [function of π explicitly] $\propto (m_u + m_d)$
- + isospin breaking $\propto (m_u - m_d)$

CHIRAL SYMMETRY \Rightarrow WEAK PION INTERACTIONS

3) $e \neq 0, \bar{\theta} = 0$

Two types of interactions:

➤ "soft" photons - explicit d.o.f. in the EFT

$$D_\mu = \partial_\mu - ieQ_q A_\mu$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

➤ "hard" photons - "integrated out" of EFT

v.K. '93

$$\mathbf{L}_{und} = \dots - e^2 \bar{q} Q_q \gamma_\mu q \underbrace{D^{\mu\nu}}_{\text{wavy line}} (\partial^2) \bar{q} Q_q \gamma_\nu q + \dots$$

34 comp of
antisymmetric tensor

$$F_\mu = \begin{pmatrix} \epsilon_{ijk} \bar{q} i \gamma_\mu \gamma_5 \tau_k q & \bar{q} i \gamma_\mu \tau_j q \\ -\bar{q} i \gamma_\mu \tau_i q & 0 \end{pmatrix}$$

breaks $SO(4)$ (and $SO(3)$ in particular) $\rightarrow U(1)$



$\mathbf{L}_{EFT} =$ soft photons
+ further isospin breaking

$$\propto e$$

$$\propto \alpha / 4\pi$$

4) $\bar{\theta} \neq 0$

$$\mathbf{L}_{und} = \dots + \frac{m_u m_d}{m_u + m_d} \bar{\theta} \underbrace{\bar{q} i \gamma_5 q}_{\text{4th component of } SO(4) \text{ vector}} + \dots$$

4th component of $SO(4)$ vector $P = (\bar{q} \boldsymbol{\tau} q, \bar{q} i \gamma_5 q)$

T violation linked to isospin violation: in EFT, combination is

$$-\frac{1}{2} (m_u - m_d) P_3 + \frac{m_u m_d}{m_u + m_d} \bar{\theta} P_4$$

Hockings, Mereghetti + v.K., '10

5) continue with higher-order operators,
e.g. T-violating quark EDM and color-EDM
P-violating four-quark operators

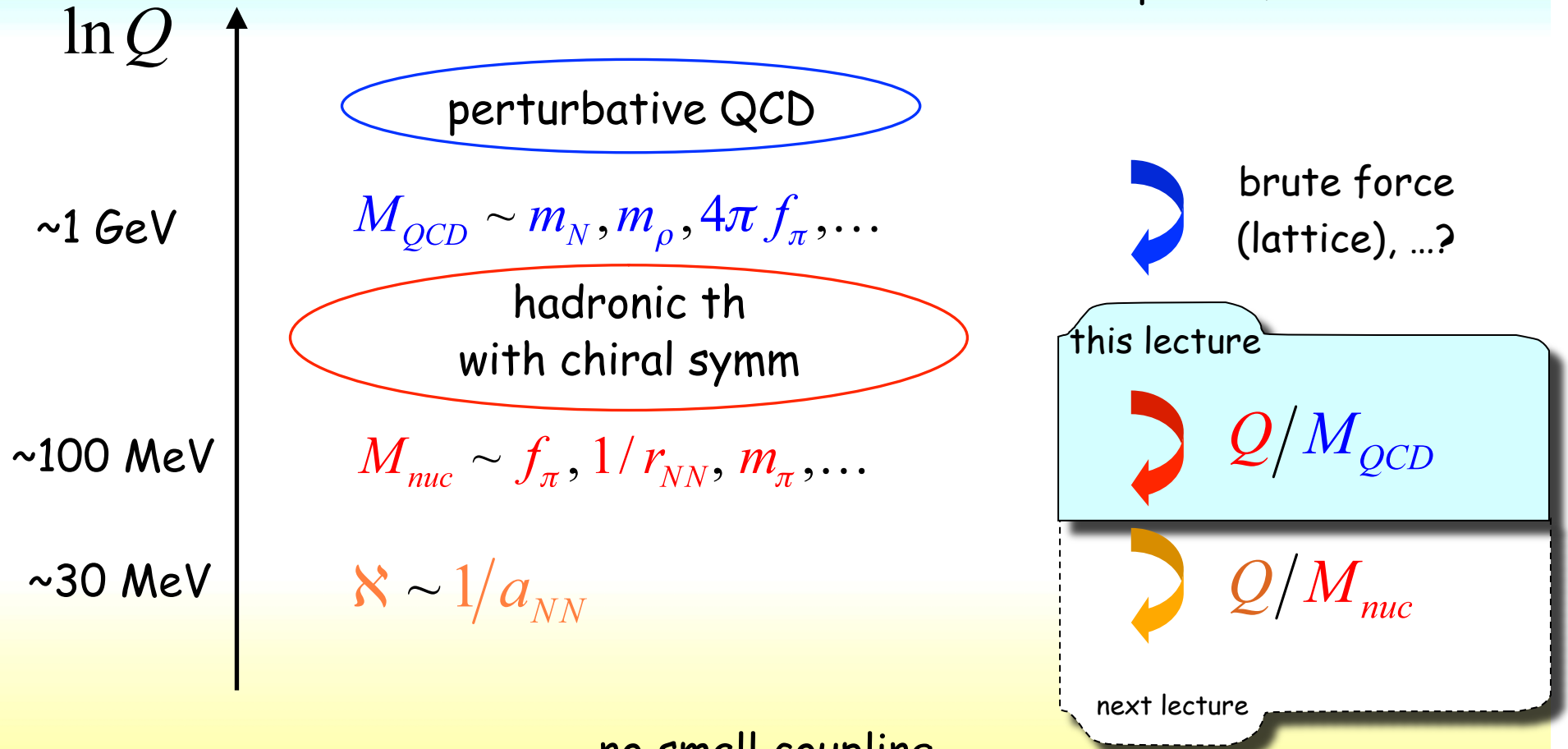
De Vries, Mereghetti,
Timmermans + v.K., '10

...
Kaplan + Savage '96

Zhu, Maekawa, Holstein, Musolf + v.K. '02

Nuclear physics scales

"His scales are His pride", Book of Job



no small coupling

expansion in

Nuclear EFT

$$Q \sim m_\pi \ll M_{QCD}$$



- d.o.f.s: nucleons, pions, deltas ($m_\Delta - m_N \sim 2m_\pi$)

$$N = \begin{pmatrix} p \\ n \end{pmatrix} \quad \boldsymbol{\pi} = \begin{pmatrix} \pi_1 \\ \pi_2 \\ \pi_3 \end{pmatrix} = \begin{pmatrix} (\pi^+ + \pi^-)/\sqrt{2} \\ -i(\pi^+ - \pi^-)/\sqrt{2} \\ \pi^0 \end{pmatrix} \quad \Delta = \begin{pmatrix} \Delta^{++} \\ \Delta^+ \\ \Delta^0 \\ \Delta^- \end{pmatrix}$$

- symmetries: Lorentz, ~~P~~, ~~T~~, chiral

Non-linear realization of chiral symmetry

Weinberg '68
Callan, Coleman, Wess + Zumino '69

chiral invariants

(chiral) covariant derivatives

$$\text{pion } \mathbf{D}_\mu \equiv \left(\frac{\partial_\mu \boldsymbol{\pi}}{f_\pi} \right) \left(1 - \frac{\boldsymbol{\pi}^2}{4f_\pi^2} + \dots \right)$$

$$\text{fermions } \mathbf{D}_\mu \equiv \left(\partial_\mu - \frac{i}{2} \boldsymbol{\tau} \cdot \mathbf{E}_\mu \right)$$

+ S_4 's, P_3 's, F_{34} 's

$$m_\pi^2 = \mathcal{O} \left((m_u + m_d) M_{QCD} \right)$$

$$\Rightarrow m_u + m_d = \mathcal{O} \left(\frac{m_\pi^2}{M_{QCD}} \right)$$

$$\mathbf{E}_\mu \equiv \frac{\boldsymbol{\pi}}{f_\pi} \times \mathbf{D}_\mu \text{ EFTs}$$

Schematically,

$$\mathcal{L}_{EFT} = \sum_{\{n,p,f\}} c_{\{n,p,f\}} \left(\frac{\mathbf{D}, \mathbf{D}, m_\Delta - m_N}{M_{QCD}} \right)^n \left(\frac{m_\pi^2}{M_{QCD}^2} \frac{\pi^2}{f_\pi^2} \right)^{p/2} \left(\frac{\psi^+ \psi}{f_\pi^2 M_{QCD}} \right)^{f/2} f_\pi^2 M_{QCD}^2$$

{ calculated from QCD: lattice, ...
fitted to data

$= \mathbf{O}(1)$ isospin conserving
 $= \mathbf{O}\left(\varepsilon, \frac{\alpha}{4\pi}\right)$ isospin breaking

(NDA: naive dimensional analysis)

$$= \sum_{\Delta=0}^{\infty} \mathcal{L}^{(\Delta)} \quad \Delta \equiv n + p + \frac{f}{2} - 2 \equiv d + \frac{f}{2} - 2 \geq 0$$

"chiral index"

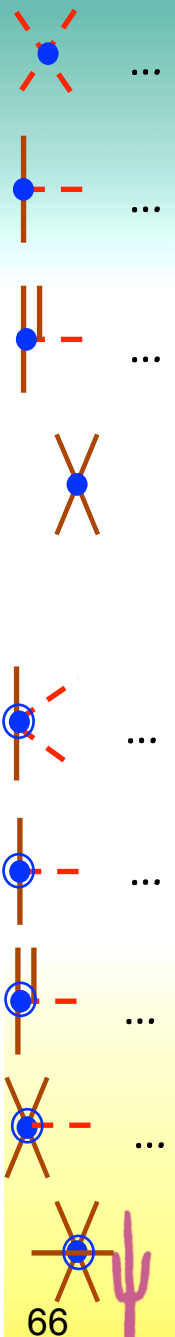
chiral symmetry

$$\begin{aligned}
\mathbf{L}^{(0)} = & \frac{1}{2} (\partial_\mu \boldsymbol{\pi})^2 \left(1 - \frac{\boldsymbol{\pi}^2}{2f_\pi^2} + \dots \right) - \frac{1}{2} m_\pi^2 \boldsymbol{\pi}^2 \left(1 - \frac{\boldsymbol{\pi}^2}{4f_\pi^2} + \dots \right) \\
& + N^+ \left[i\partial_0 - \frac{1}{4f_\pi^2} \boldsymbol{\tau} \cdot (\boldsymbol{\pi} \times \partial_0 \boldsymbol{\pi}) + \dots \right] N + \frac{g_A}{2f_\pi} N^+ \boldsymbol{\tau} \vec{\sigma} N \cdot (\vec{\nabla} \boldsymbol{\pi}) \left(1 - \frac{\boldsymbol{\pi}^2}{4f_\pi^2} + \dots \right) \\
& + \Delta^+ \left[i\partial_0 - (m_\Delta \text{c.} m_N) + \dots \right] \Delta + \dots + \frac{h_A}{2f_\pi} \left(N^+ \mathbf{T} \vec{\sigma} \Delta + \dots \right) \cdot \vec{\nabla} \left(\dots \right) \\
& - C_S (N^+ N)^2 - C_T (N^+ \vec{\sigma} N)^2
\end{aligned}$$

$$\begin{aligned}
\mathbf{L}^{(1)} = & N^+ \left[\frac{1}{2m_N} \left(\vec{\nabla} + \frac{1}{4f_\pi^2} \boldsymbol{\tau} \cdot (\boldsymbol{\pi} \times \vec{\nabla} \boldsymbol{\pi}) + \dots \right)^2 + \frac{1}{2} (m_p - m_n) \left(\tau_3 - \frac{1}{2f_\pi^2} \pi_3 \boldsymbol{\pi} \cdot \boldsymbol{\tau} + \dots \right) \right] N \\
& + \frac{1}{f_\pi^2} N^+ \left[b_2 (\partial_0 \boldsymbol{\pi})^2 - b_3 (\vec{\nabla} \boldsymbol{\pi})^2 - 2b_1 m_\pi^2 \boldsymbol{\pi}^2 + ib_4 \varepsilon_{ijk} \varepsilon_{abc} \sigma_k \tau_c (\partial_i \pi_b) (\partial_j \pi_c) \right] N + \dots \\
& - \frac{g_A}{4m_N f_\pi} \left[iN^+ \boldsymbol{\tau} \vec{\sigma} \cdot \vec{\nabla} N + \text{H.c.} \right] \cdot (\partial_0 \boldsymbol{\pi}) (1 + \dots) \\
& - \frac{h_A}{4m_N f_\pi} \left[iN^+ \mathbf{T} \vec{\sigma} \cdot \vec{\nabla} N + \text{H.c.} \right] \cdot (\partial_0 \boldsymbol{\pi}) (1 + \dots) \\
& + \frac{d}{f_\pi} N^+ N N^+ \boldsymbol{\tau} \vec{\sigma} N \cdot (\vec{\nabla} \boldsymbol{\pi}) (1 + \dots) \\
& - E (N^+ N)^3
\end{aligned}$$

$$\mathbf{L}^{(2)} = \dots$$

Form of pion interactions
determined by
chiral symmetry



A = 0, 1: chiral perturbation theory

Weinberg '79

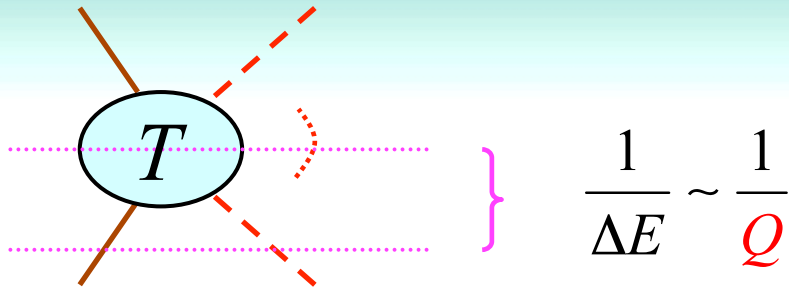
Gasser + Leutwyler '84

...

Gasser, Sainio + Svarc '87

Jenkins + Manohar '91

...



$$\sim \sum_{\nu} c_{\nu} \left(\frac{Q}{M_{QCD}} \right)^{\nu} F_{\nu} \left(\frac{Q}{m_{\pi}} \right)$$

$$\nu = 2 - A + 2L + \sum_i V_i \Delta_i \geq \nu_{\min} = 2 - A$$

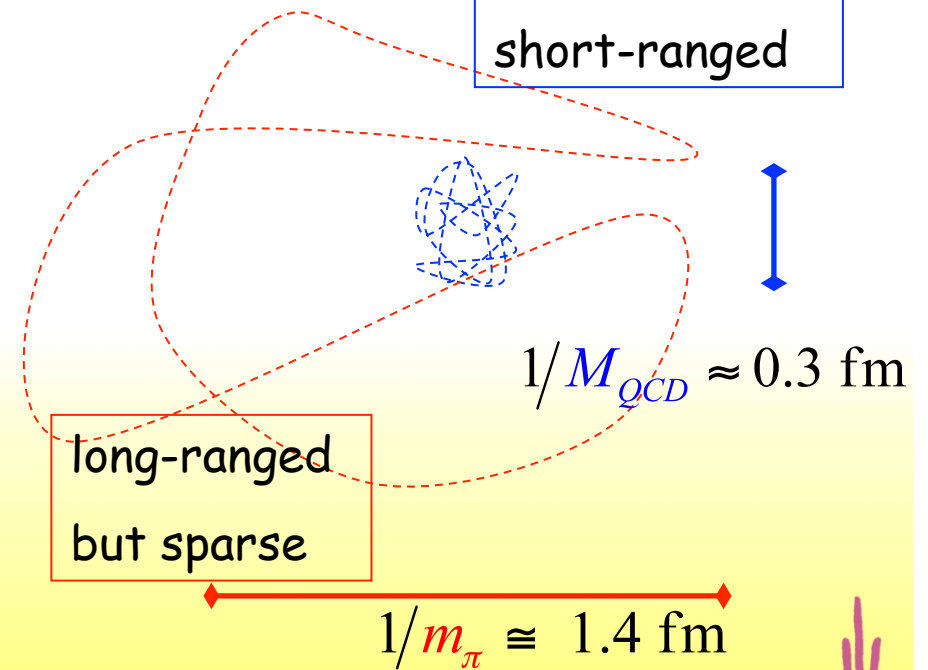
loops
vertices of type i

expansion in

$$\frac{Q}{M_{QCD}} \sim \begin{cases} Q/m_N & \text{non-relativistic} \\ Q/m_{\rho}, \dots & \text{multipole} \\ Q/4\pi f_{\pi} & \text{pion loop} \end{cases}$$

nucleon

dense but short-ranged



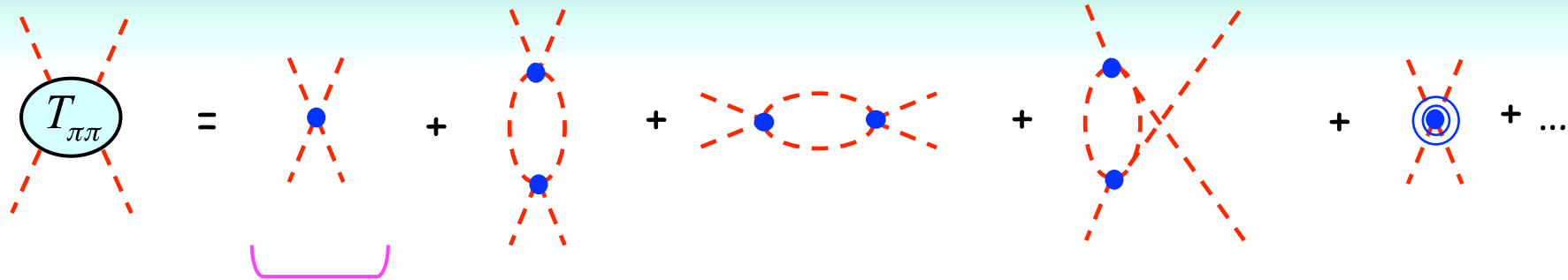
long-ranged but sparse

$$1/m_{\pi} \cong 1.4 \text{ fm}$$

to EFTs

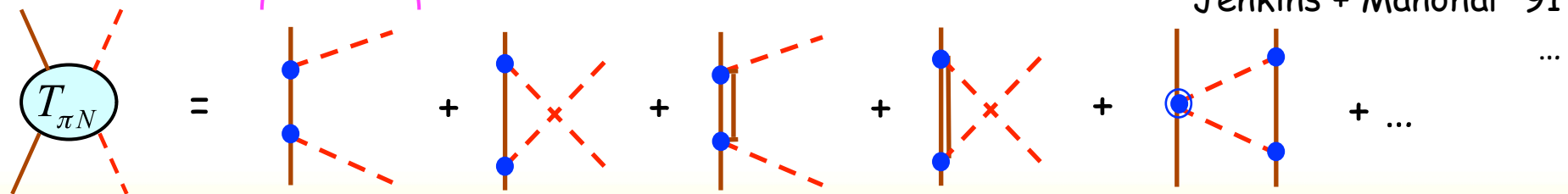
Analogous to NRQED...

Weinberg '79
Gasser + Leutwyler '84
...



current algebra Weinberg '66
...

Gasser, Sainio + Svarc '87
Bernard, Kaiser + Meissner '90
Jenkins + Manohar '91
...



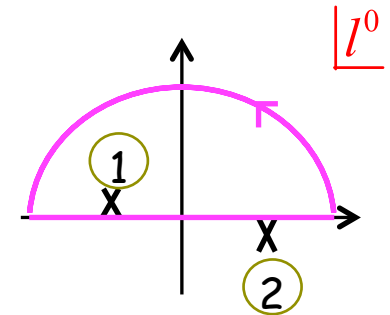
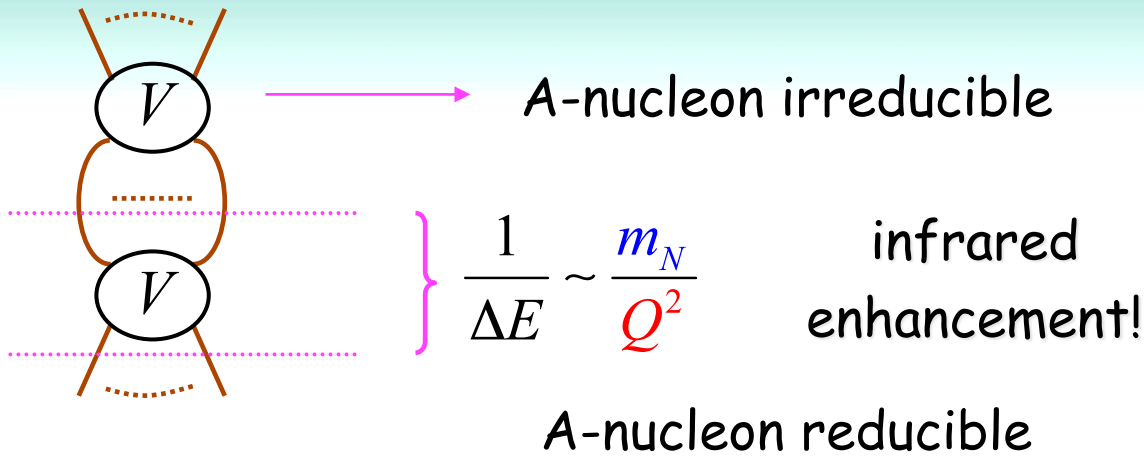
Etc.

N.B. For $|E - (m_\Delta - m_N)| \lesssim \mathcal{O}\left(\frac{Q^3}{M_{QCD}^2}\right)$ a resummation is necessary

Phillips + Pascalutsa '02
Long + v.K. , '08

A ≥ 2: resummed chiral perturbation theory

Weinberg '90, '91

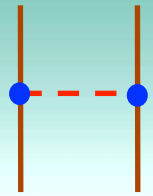


e.g.

$$\begin{aligned}
 & \approx i \int \frac{d^4 l}{(2\pi)^4} V \frac{1}{l^0 + k^2/m_N - l^2/m_N - i\epsilon} \frac{1}{-l^0 + k^2/m_N - l^2/m_N - i\epsilon} V \\
 & = \int \frac{d^3 l}{(2\pi)^3} V \frac{m_N}{l^2 - k^2} V + \dots \sim \mathcal{O}\left(\frac{m_N Q}{4\pi} V^2\right)
 \end{aligned}$$

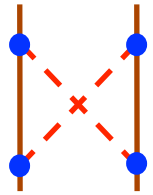
$$E = \frac{k^2}{m_N}$$

instead of $\frac{Q^2}{(4\pi)^2}$

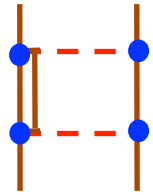


$$\sim i \left(\frac{g_A}{2f_\pi} \right)^2 \left(\frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} \right) \frac{(S_{12}(\hat{q}) + \vec{\sigma}_1 \cdot \vec{\sigma}_2)}{3} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \sim \frac{1}{f_\pi^2} \quad \text{tensor force}$$

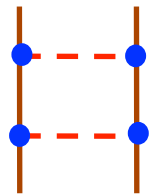
$$S_{12}(\hat{q}) = 3\vec{\sigma}_1 \cdot \hat{q} \vec{\sigma}_2 \cdot \hat{q} - \vec{\sigma}_1 \cdot \vec{\sigma}_2$$



$$\sim \frac{1}{f_\pi^4} \frac{Q^3}{(4\pi)^2} \frac{1}{Q} \frac{1}{Q} \frac{Q^2}{Q^2} \frac{Q^2}{Q} \sim \frac{1}{f_\pi^2} \frac{Q^2}{(4\pi f_\pi)^2} = \mathbf{O} \left(\frac{Q^2}{M_{QCD}^2} \right)$$

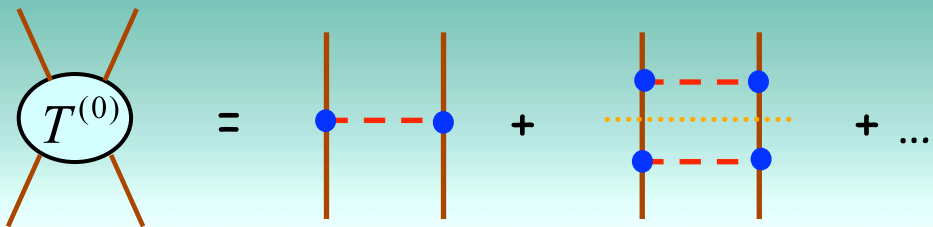


$$\sim \frac{1}{f_\pi^4} \frac{Q^3}{(4\pi)^2} \frac{1}{m_\Delta - m_N} \frac{1}{Q} \frac{Q^2}{Q^2} \frac{Q^2}{Q} \sim \frac{1}{f_\pi^2} \frac{Q^2}{(4\pi f_\pi)^2} \frac{Q}{m_\Delta - m_N} = \mathbf{O}(1)$$



$$\sim \frac{1}{f_\pi^4} \frac{Q^3}{4\pi} \frac{m_N}{Q^2} \frac{Q^2}{Q^2} \frac{Q^2}{Q^2} \sim \frac{1}{f_\pi^2} \frac{m_N}{4\pi f_\pi} \frac{Q}{f_\pi} \sim \frac{1}{f_\pi^2} \frac{Q}{M_{NN}} = \mathbf{O}(1) \text{ for } Q \sim M_{NN}$$

$$\equiv \frac{1}{M_{NN}}$$



bound state at

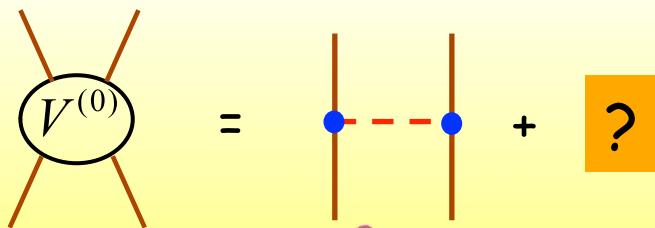
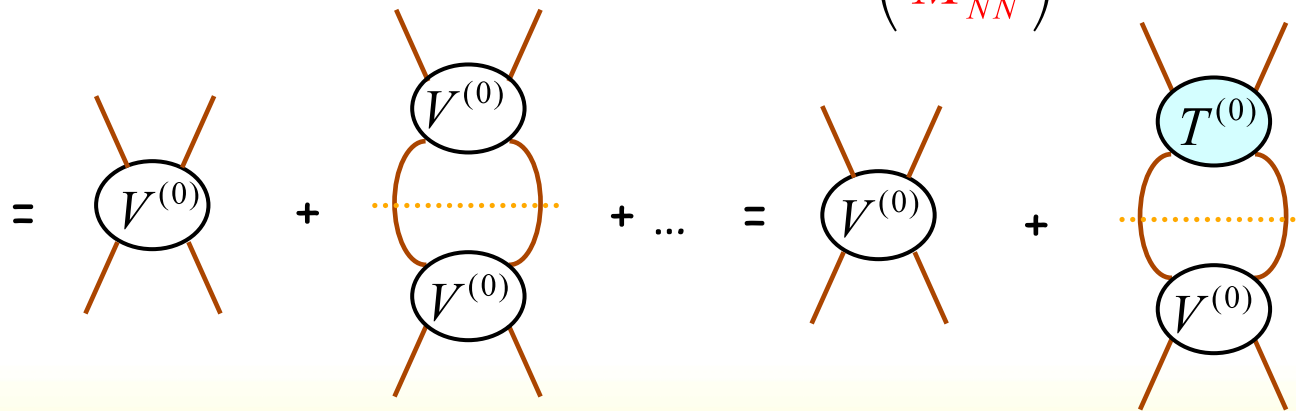
$$Q \sim M_{NN} \quad -E \sim \frac{Q^2}{m_N} \sim \frac{M_{NN}^2}{M_{QCD}}$$



$$\sim \frac{1}{f_\pi^2} \left\{ 1 + \mathcal{O}\left(\frac{Q}{M_{NN}}\right) + \dots \right\} \sim \frac{1}{f_\pi^2} \frac{1}{1 - \mathcal{O}\left(\frac{Q}{M_{NN}}\right)}$$

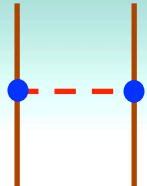
$$M_{muc} = M_{NN} \sim \frac{4\pi f_\pi}{m_N} f_\pi \approx f_\pi$$

Nuclear scale
arises naturally
from
chiral symmetry



Is 1PE all there is in leading order?
That is, are observables cutoff
independent with 1PE alone?

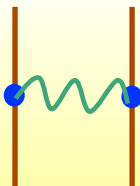
Issue: relative importance of pion exchange and short-range interactions



$$\sim i \left(\frac{g_A}{2f_\pi} \right)^2 \left(\frac{\vec{q}^2}{\vec{q}^2 + m_\pi^2} \right) \frac{(S_{12}(\hat{q}) + \vec{\sigma}_1 \cdot \vec{\sigma}_2)}{3} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \sim \frac{4\pi}{m_N M_{NN}}$$

$$\left\{ \begin{aligned} V(r) &= \left(\frac{g_A}{2f_\pi} \right)^2 \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left(-\delta^{(3)}(\vec{r}) + \frac{m_\pi^2}{4\pi r} e^{-m_\pi r} \right) & S=0 & & S=1 \\ V(r) &= \left(\frac{g_A}{2f_\pi} \right)^2 \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left\{ \frac{1}{3} \left(\delta^{(3)}(r) - \frac{m_\pi^2}{4\pi r} e^{-m_\pi r} \right) + \frac{m_\pi^2}{4\pi r} \left(\frac{1}{(m_\pi r)^2} + \frac{1}{m_\pi r} + \frac{1}{3} \right) e^{-m_\pi r} \langle S_{12}(\hat{r}) \rangle \right\} \end{aligned} \right.$$

much more singular --and complicated!-- than



$$\sim \frac{ie^2}{(\vec{p} - \vec{p}')^2 - i\epsilon} \sim \frac{4\pi\alpha}{Q^2} \rightarrow V(r) = \frac{\alpha}{r}$$

$\langle S_{12} \rangle$	$j-1$	j	$j+1$
$j-1$	$-2 \frac{j-1}{2j+1}$	0	$6 \frac{\sqrt{j(j+1)}}{2j+1}$
j	0	2	0
$j+1$	$6 \frac{\sqrt{j(j+1)}}{2j+1}$	0	$-2 \frac{j+2}{2j+1}$

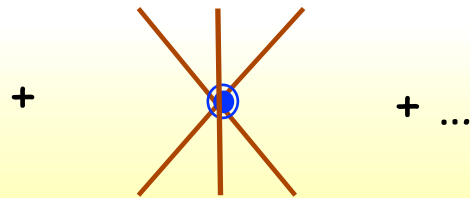
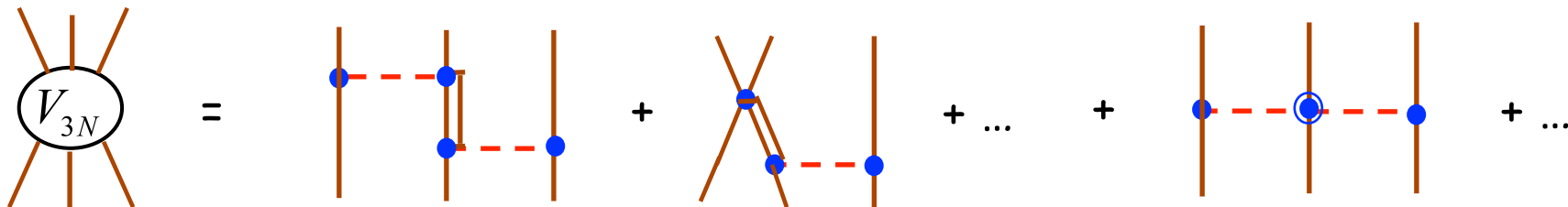
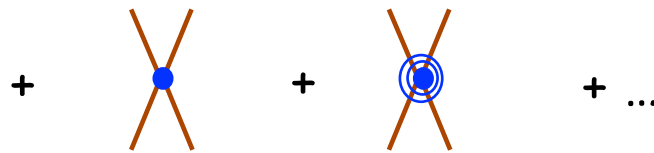
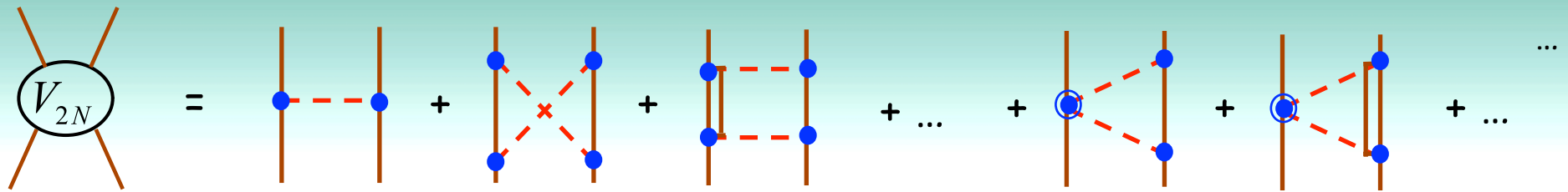
Weinberg '90, '91
 Ordonez + v.K. '92
 Ordonez, Ray + v.K. '96
 ...
 Entem + Machleidt '03...
 Epelbaum, Gloeckle + Meissner '04
 ...

Assume contact interactions are driven by short-range physics, and scale with M_{QCD} according to naive dimensional analysis (W power counting)

$$\begin{aligned}
 \text{X} &\sim \underbrace{C_0^{(0)}}_{\equiv \frac{4\pi}{m_N M^{(0)}}} \frac{(\vec{\sigma}_1 \cdot \vec{\sigma}_2 + 1)}{4} - \underbrace{C_0^{(1)}}_{\equiv \frac{4\pi}{m_N M^{(1)}}} \frac{(\vec{\sigma}_1 \cdot \vec{\sigma}_2 - 3)}{4} \\
 &\left\{ \begin{aligned} V(r) &= \frac{4\pi}{m_N M^{(0)}} \delta^{(3)}(\vec{r}) & S = 0 \\ V(r) &= \frac{4\pi}{m_N M^{(1)}} \delta^{(3)}(\vec{r}) & S = 1 \end{aligned} \right. \\
 M^{(i)} &\sim M_{NN} \Rightarrow C_0^{(i)} \text{ in LO}
 \end{aligned}$$

$$\text{X} \sim \frac{4\pi}{m_N M_{NN}} \frac{Q^2}{M_{QCD}^2} \Rightarrow \text{in NNLO} \quad (\text{NLO terms, linear in } Q/M_{QCD}, \text{ break } P, T)$$

etc.



→ higher powers of Q

↓ more nucleons

Etc.

2-body

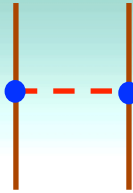
3-body

4-body

...

LO

$$\mathcal{O}\left(\frac{1}{f_\pi^2}\right)$$



in German

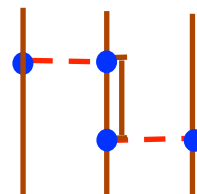
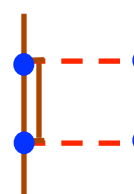
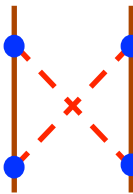
NLO

$$\mathcal{O}\left(\frac{1}{f_\pi^2} \frac{Q}{M_{QCD}}\right)$$

(parity violating)

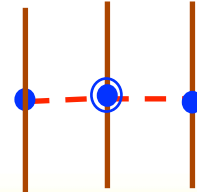
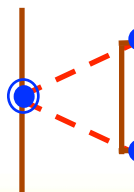
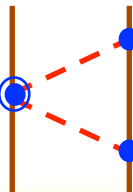
NNLO

$$\mathcal{O}\left(\frac{1}{f_\pi^2} \frac{Q^2}{M_{QCD}^2}\right)$$



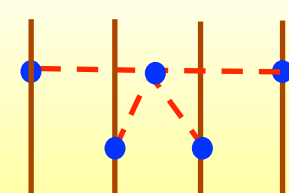
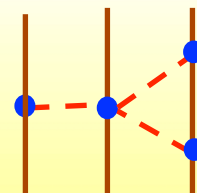
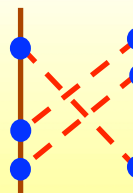
NNNLO

$$\mathcal{O}\left(\frac{1}{f_\pi^2} \frac{Q^3}{M_{QCD}^3}\right)$$



NNNNLO

$$\mathcal{O}\left(\frac{1}{f_\pi^2} \frac{Q^4}{M_{QCD}^4}\right)$$



ETC.

4/03/11

v. Kolck, Intro to EFTs

75

Hierarchies

many-body forces

$$V_{2N} \gg V_{3N} \gg V_{4N} \gg \dots$$

A canon emerges!

Weinberg '90, '91

isospin-breaking forces

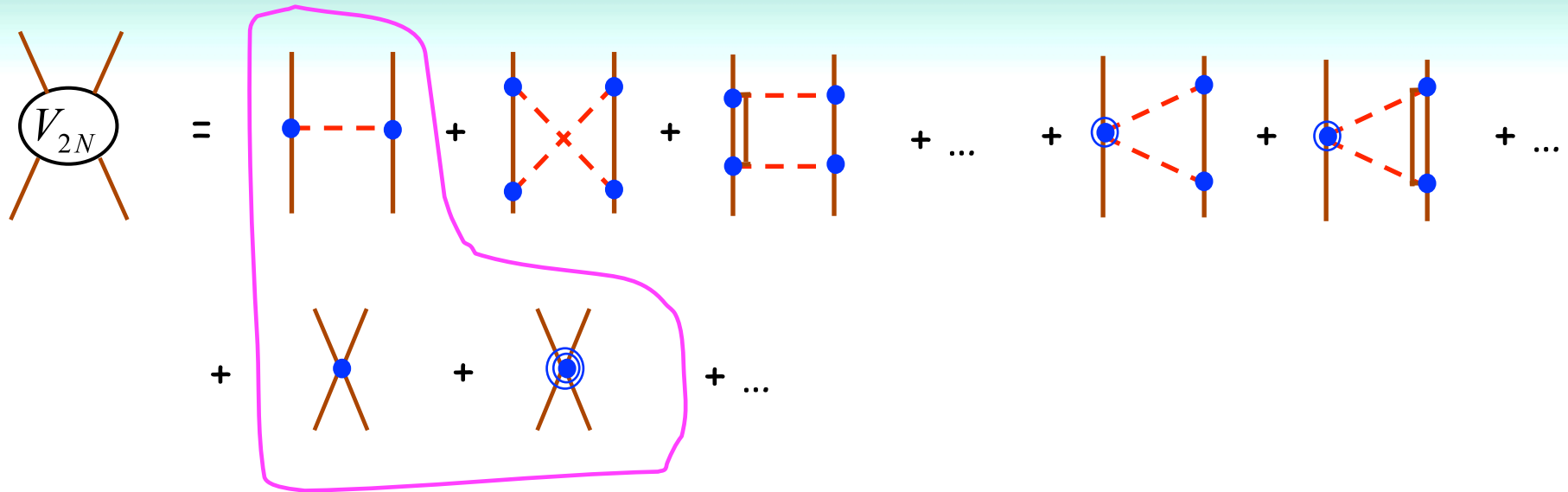
Similar explanation for

$$\left\{ \begin{array}{l} V_{IS} \gg V_{IV} \gg V_{CSB} \\ J_{1N} \gg J_{2N} \gg J_{3N} \gg \dots \end{array} \right.$$

v.K. '93

Rho '92

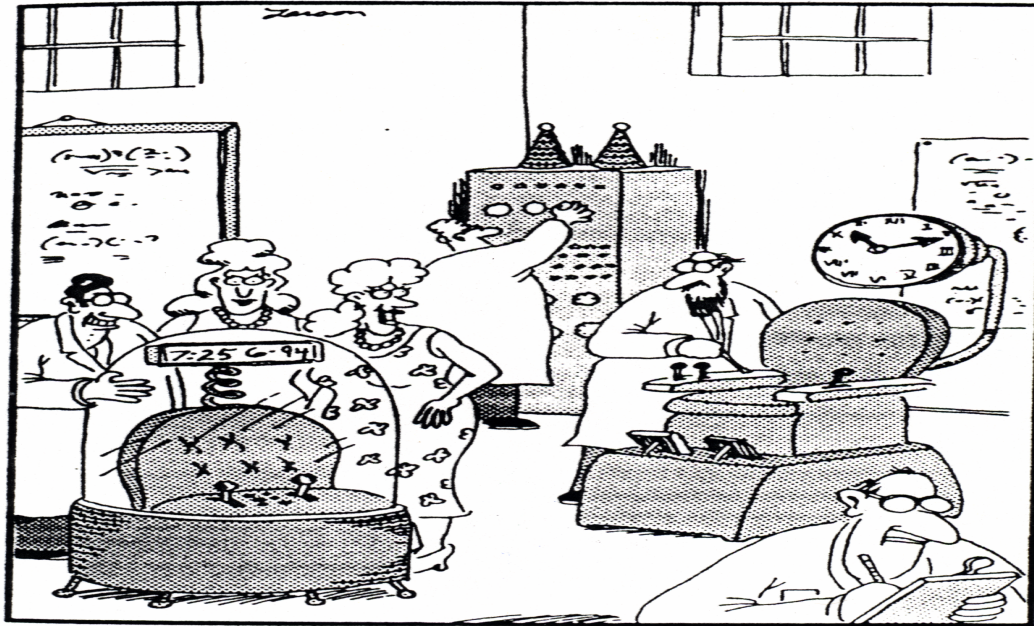
external currents



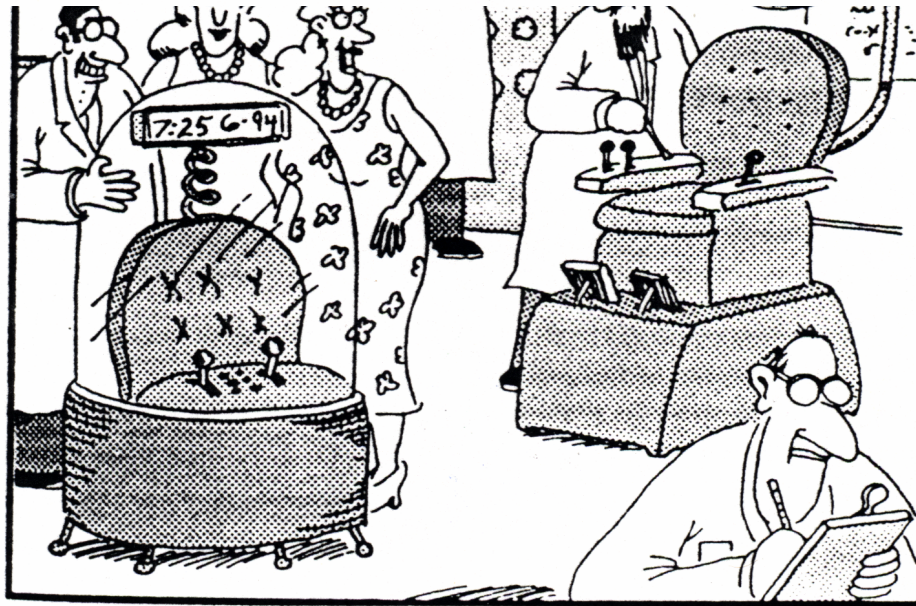
similar to phenomenological
potential models,

e.g. AV18 - (OPE)² + non-local terms

Stoks, Wiringa + Pieper '94



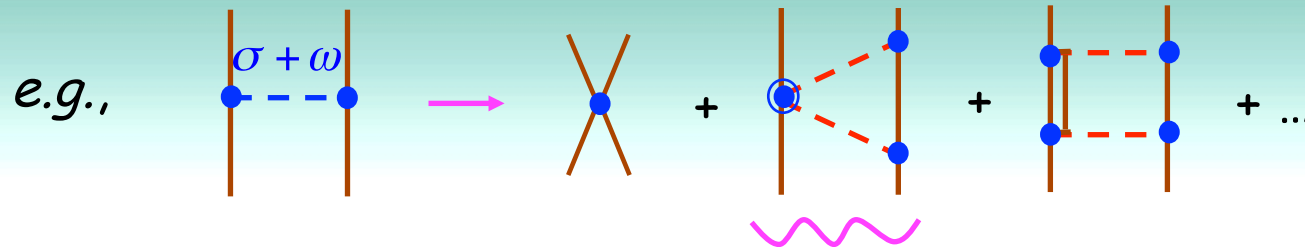
"Oh, Professor DeWitt! Have you seen Professor Weinberg's time machine? ... It's digital!"



"Oh, Professor DeWitt! Have you seen Professor Weinberg's time machine? ... It's digital!"

But: **NOT** your usual potential!

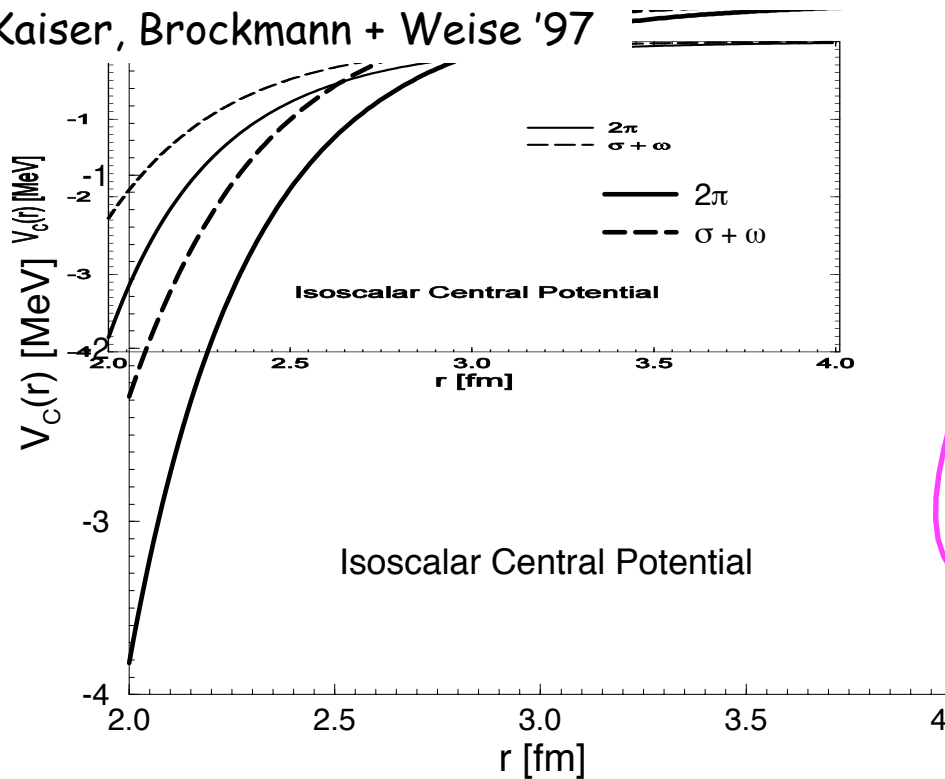
Ordenez + v.K. '92
(cf. Stony Brook TPE)



chiral v.d. Waals force $\sim \frac{1}{r^6}$ for $m_\pi^2 \rightarrow 0$

Rentmeester et al. '01, '03

Kaiser, Brockmann + Weise '97



Nijmegen PSA of 1951 *pp* data

long-range pot	#bc	χ_{\min}^2
OPE	31	2026.2
OPE + TPE (<i>lo</i>)	28	1984.7
OPE + TPE (<i>nlo</i>)	23	1934.5
Nijm78	19	1968.7

parameters found consistent with πN data!

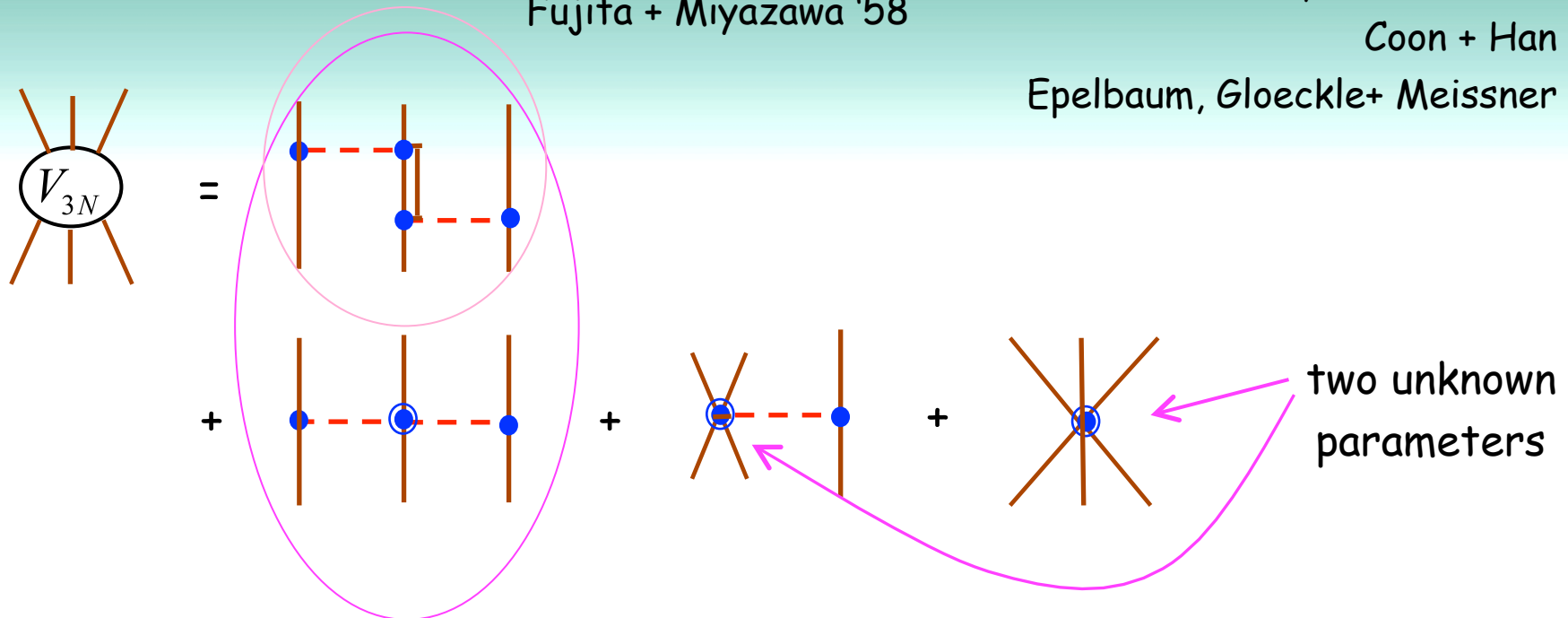
at least as good!

Similar results in other channels, e.g. spin-orbit force!

to EFTs

models with *s*, *w*, ... might be misleading...

Fujita + Miyazawa '58



+ ...

Tucson-Melbourne pot with

Coon *et al.* '78

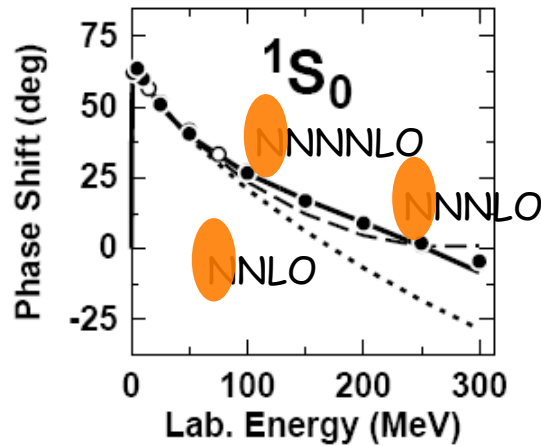
$$\begin{cases} a \rightarrow a - 2m_\pi^2 c \\ c \rightarrow 0 \end{cases}$$

TM' potential

$$\left(t_{\pi N}(\vec{q}, \vec{q}') \right)_{\alpha\beta} = \delta_{\alpha\beta} \left[a + b\vec{q} \cdot \vec{q}' + c(\vec{q}^2 + \vec{q}'^2) \right] - d\epsilon_{\alpha\beta\gamma} \tau_{3\gamma} \vec{\sigma} \cdot \vec{q} \times \vec{q}' + \dots$$

Many successes of Weinberg's counting, e.g.,

- ✓ To **NNNNLO** (w/o deltas), fit to NN phase shifts comparable to those of "realistic" phenomenological potentials



Entem + Machleidt '03

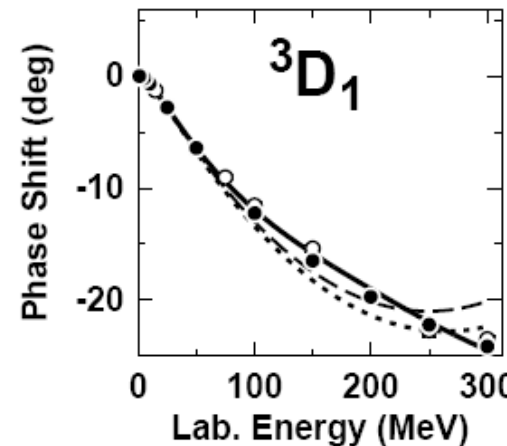
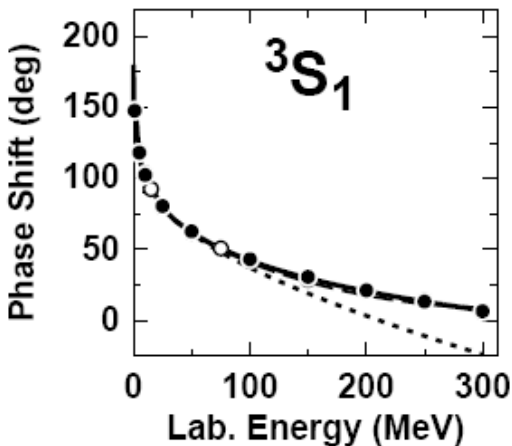
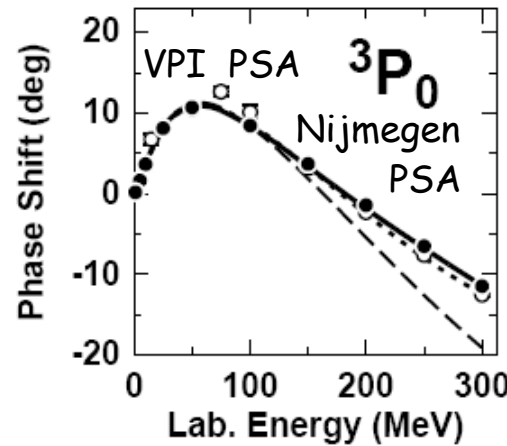


TABLE II. χ^2/datum for the reproduction of the 1999 np database [40] below 290 MeV by various np potentials.

Bin (MeV)	No. of data	N ³ LO ^a	NNLO ^b	NLO ^b	AV18 ^c
0–100	1058	1.06	1.71	5.20	0.95
100–190	501	1.08	12.9	49.3	1.10
190–290	843	1.15	19.2	68.3	1.11
0–290	2402	1.10	10.1	36.2	1.04

TABLE V. Two- and three-nucleon bound-state properties. (Deuteron binding energy B_d ; asymptotic S state A_S ; asymptotic D/S state η ; deuteron radius r_d ; quadrupole moment Q ; D -state probability P_D ; triton binding energy B_t .)

	N ³ LO ^a	CD-Bonn [10]	AV18 [22]	Empirical ^b
Deuteron				
$B_d(\text{MeV})$	2.224575	2.224575	2.224575	2.224575(9)
$A_S(\text{fm}^{-1/2})$	0.8843	0.8846	0.8850	0.8846(9)
η	0.0256	0.0256	0.0250	0.0256(4)
$r_d(\text{fm})$	1.978 ^c	1.970 ^c	1.971 ^c	1.97535(85)
$Q(\text{fm}^2)$	0.285 ^d	0.280 ^d	0.280 ^d	0.2859(3)
$P_D(\%)$	4.51	4.85	5.76	
Triton				
$B_t(\text{MeV})^e$	7.855	8.00	7.62	8.48

- ✓ With NN^3NLO 2N and NN^2NLO 3N potentials (w/o deltas), good description of
 - 3N observables and 4N binding energy
 - levels of p -shell nuclei

Epelbaum et al. '02

Gueorguiev, Navratil, Nogga, Ormand + Vary '07

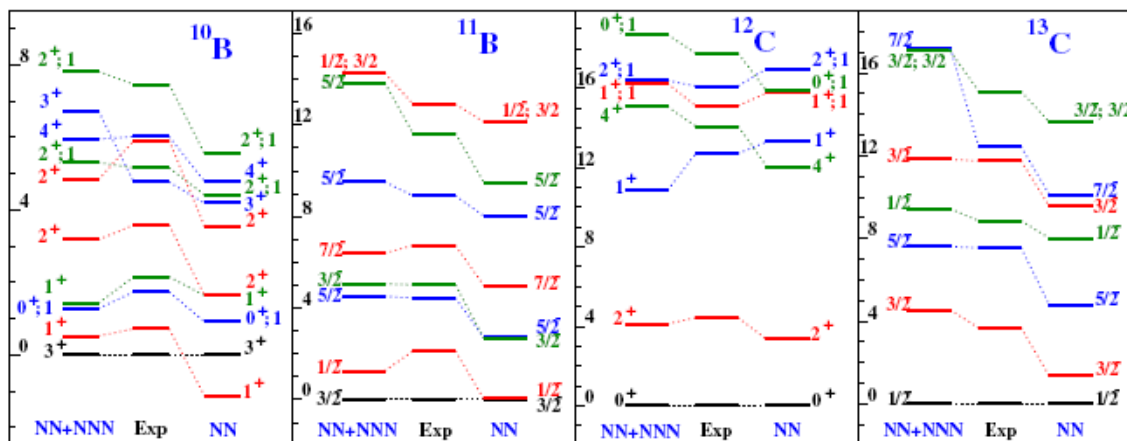


FIG. 4 (color online). States dominated by p -shell configurations for ^{10}B , ^{11}B , ^{12}C , and ^{13}C calculated at $N_{\text{max}} = 6$ using $\hbar\Omega = 15$ MeV (14 MeV for ^{10}B). Most of the eigenstates are isospin $T = 0$ or $1/2$, the isospin label is explicitly shown only for states with $T = 1$ or $3/2$. The excitation energy scales are in MeV.

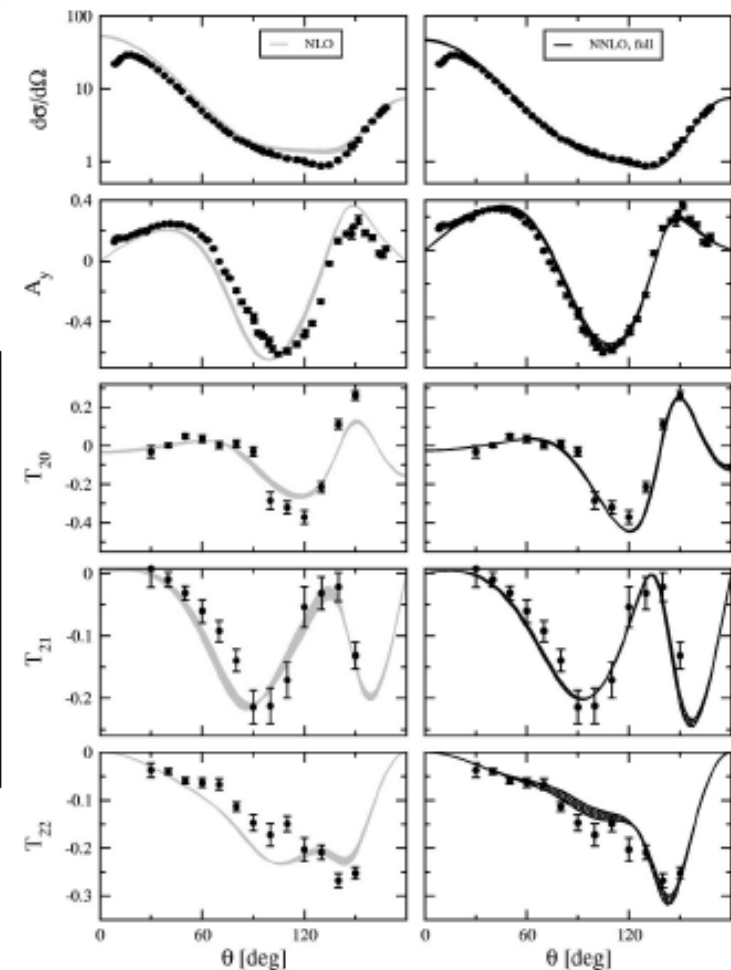


FIG. 6. nd elastic scattering observables at 65 MeV at NLO (left column) and NNLO (right column). The filled circles are pd data [63,69]. The bands correspond to the cutoff variation between 500 and 600 MeV. The unit of the cross section is mb/sr.

$$\gamma d \rightarrow d\gamma$$

measured: Illinois '94, SAL '00, Lund '03

extracted nucleon polarizabilities: Beane, Malheiro, McGovern,
Phillips + v.K. '04

$$\gamma d \rightarrow d\pi^0$$

threshold amplitude predicted: Beane, Bernard, Lee, Meissner
+ v.K. '97

confirmed: SAL '98, Mainz '01



$$pp \rightarrow p\pi^0$$

measured: IUCF '90-..., TRIUMF '91-..., Uppsala '95-...

$$pp \rightarrow p\pi^+$$

S waves sensitive to high orders: Miller, Riska + v.K. '96

$$pp \rightarrow d\pi^+$$

P waves converge, fix 3BF LEC: Hanhart, Miller + v.K. '00

$$pn \rightarrow d\pi^0$$

CSB asymmetry sign predicted: Miller, Niskanen + v.K. '00

confirmed: TRIUMF '03



$$dd \rightarrow \alpha\pi^0$$

measured: IUCF '03

mechanisms surveyed: Fonseca, Gardestig, Hanhart, Horowitz,
Miller, Niskanen, Nogga +v.K. '04 '06

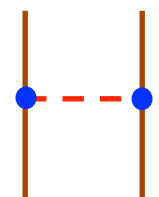
Many reactions:

+ PARITY, TIME-REVERSAL VIOLATION, *etc.*

BUT

Is Weinberg's power counting consistent?

No!


$$\sim \left(\frac{g_A}{2f_\pi} \right)^2 \frac{m_\pi^3}{4\pi} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left\{ \frac{S_{12}(\hat{r})}{(m_\pi r)^3} + \dots \right\} e^{-m_\pi r}$$

attractive in some channels

singular potential

not enough contact interactions for renormalization-group invariance even at LO

Problems!

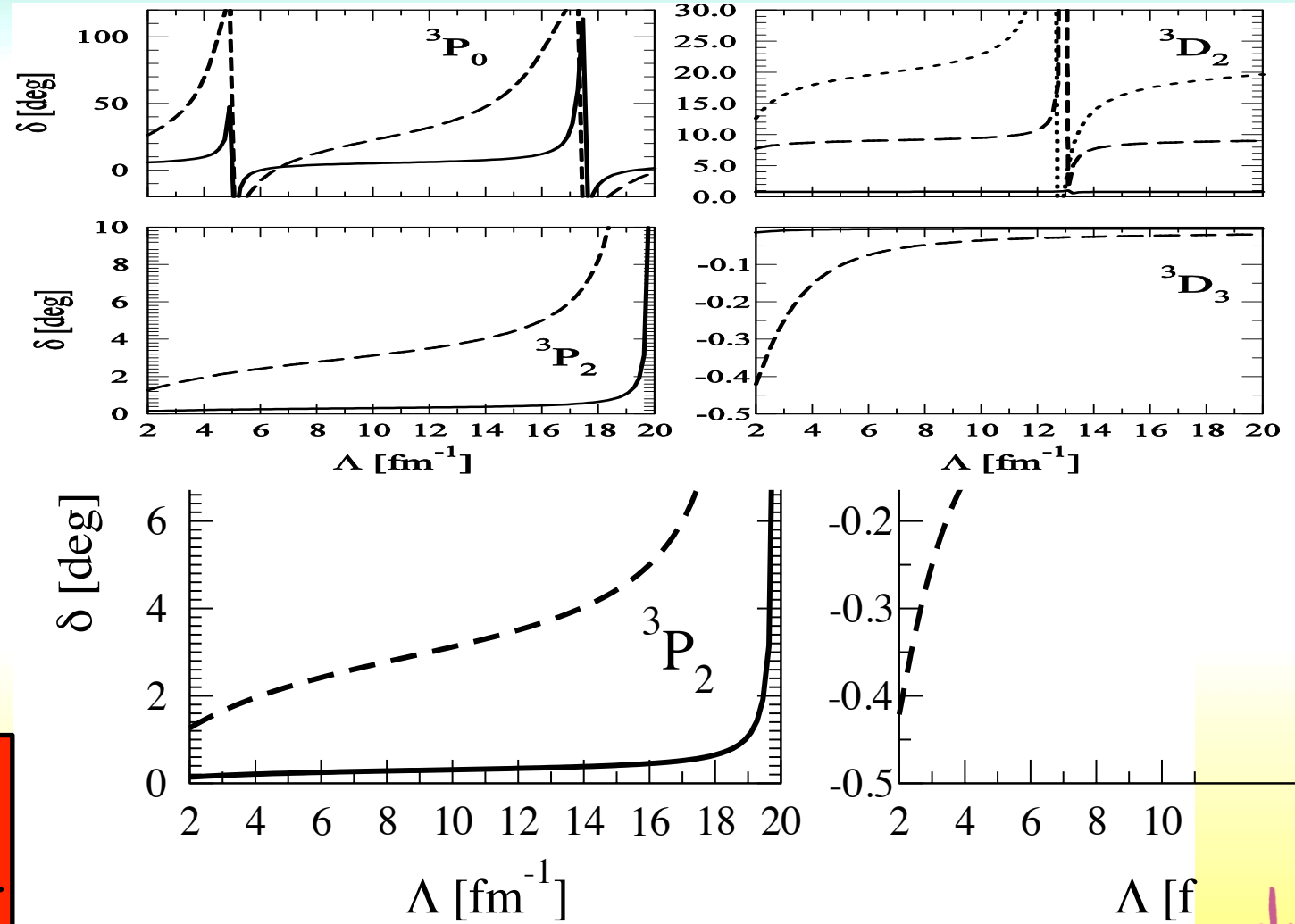
Attractive-tensor channels:

E (MeV)

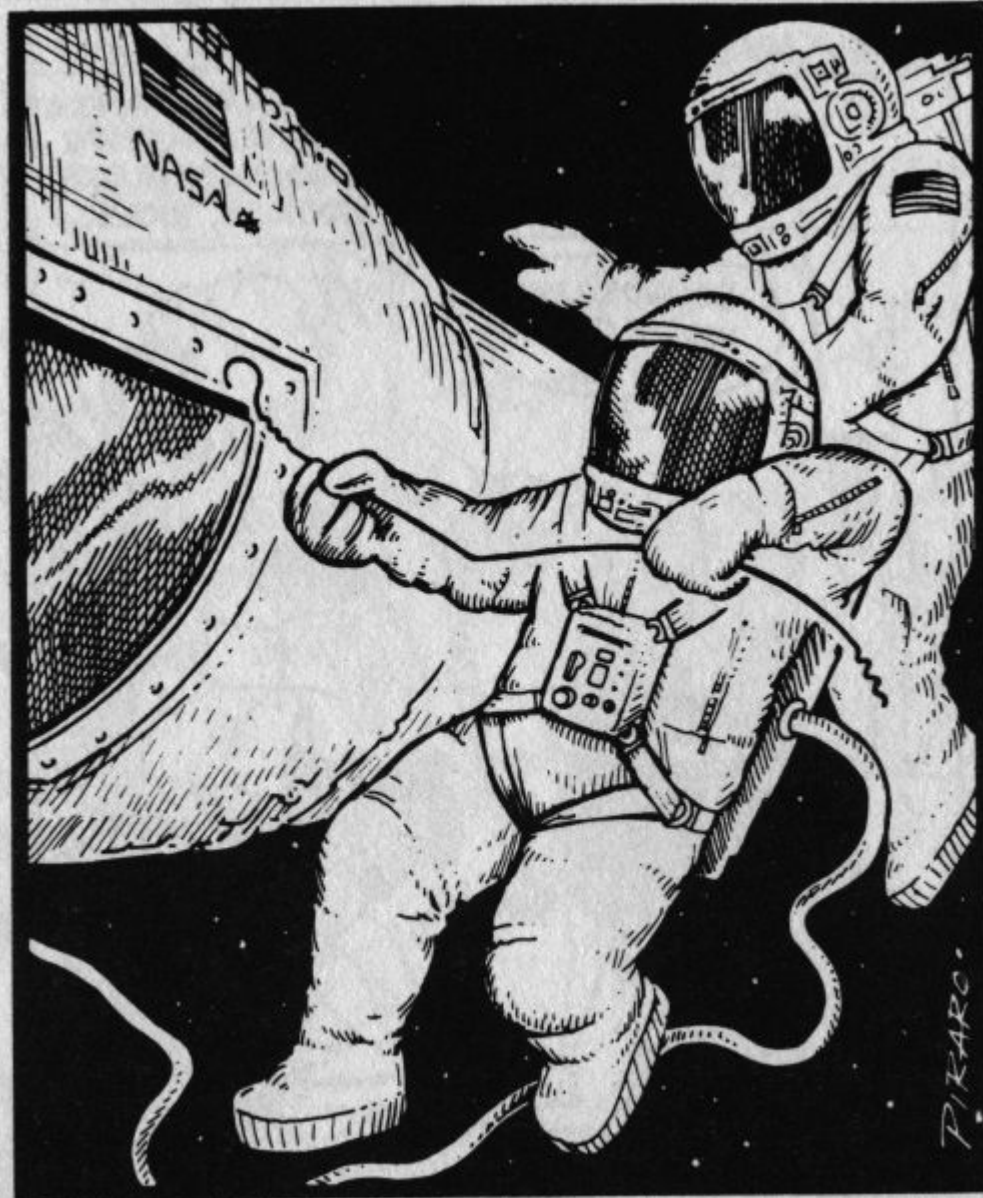
10 ———

50 - - -

100 (dotted)



incorrect renormalization...

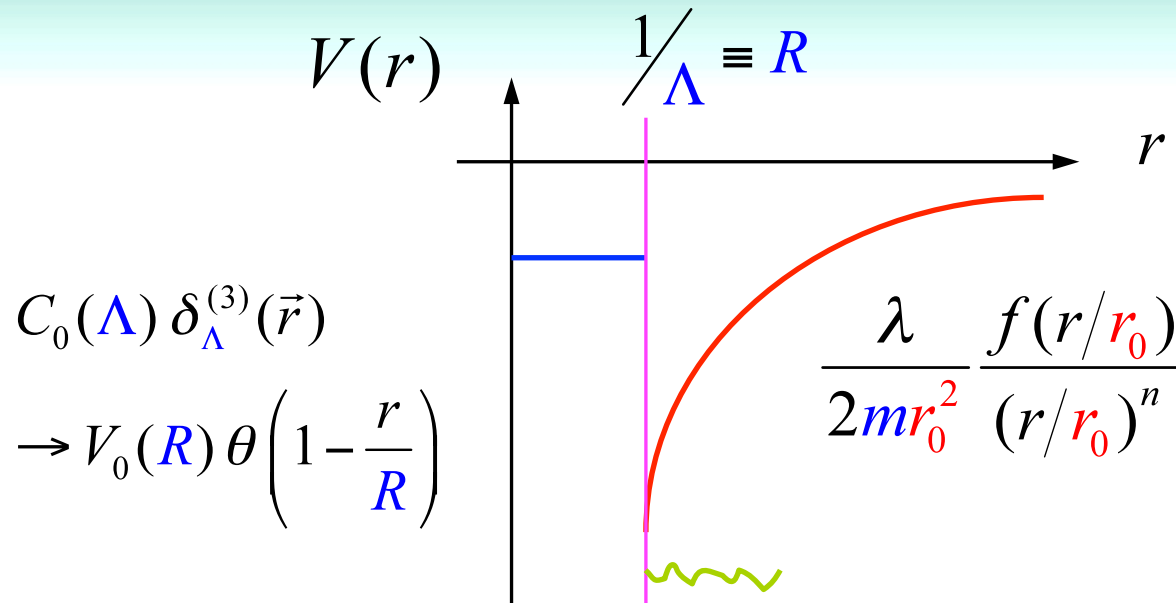


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Renormalization of the $1/r^n$ potential



$$C_0(\Lambda) \delta_{\Lambda}^{(3)}(\vec{r})$$

$$\rightarrow V_0(R) \theta\left(1 - \frac{r}{R}\right)$$

$$\frac{\lambda}{2m r_0^2} \frac{f(r/r_0)}{(r/r_0)^n}$$

OPE:

$$\left\{ \begin{array}{l} m = m_N/2 \\ r_0 = 1/m_{\pi} \\ \lambda = m_{\pi}/M_{NN} \\ f(r/r_0) = \exp(-r/r_0) \end{array} \right.$$

s wave

$$\psi_n(r \sim R \ll r_0) \equiv \frac{u_n(r)}{r}$$

matching

so that

$$\sqrt{-2m R^2 V_0} \cot \sqrt{-2m R^2 V_0} = F_n(\lambda, r_0, R)$$

$$\frac{\partial T_s}{\partial \ln R}(k \sim 1/r_0) = \mathbf{O}\left(T_s \frac{R}{r_0}\right)$$

$$n \geq 2$$

Beane, Bedaque, Childress, Kryjevski, McGuire + v.K. '02

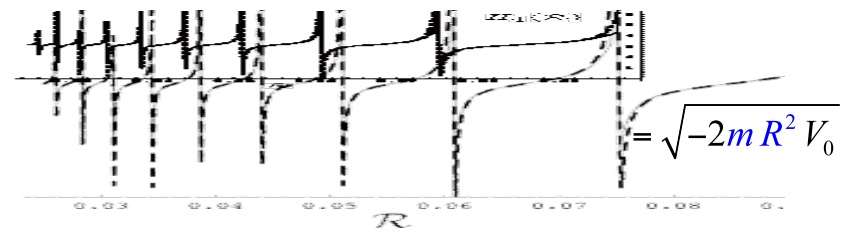
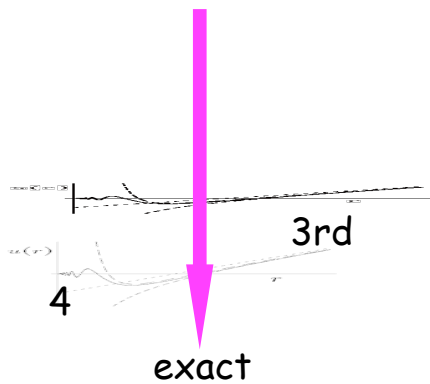
Two **regular** solutions that oscillate!

if no counterterm, will depend on cutoff R
 → model dependence

determined by low-energy data

$$u_n(r \ll r_0) = \left(\frac{\lambda}{(r/r_0)^n} \right)^{-\frac{1}{4}} \cos \left(\frac{\sqrt{\lambda}}{(n/2 - 1)(r/r_0)^{n/2-1}} + \delta_n \right) + \dots$$

$$F_n(\lambda, r_0, R) = \frac{n}{4} - \sqrt{\lambda} \left(\frac{R}{r_0} \right)^{1-n/2} \tan \left(\frac{\sqrt{\lambda}}{(n/2 - 1)(R/r_0)^{n/2-1}} + \delta_n \right) + \dots$$



1st

2nd

exact vs perturbation th

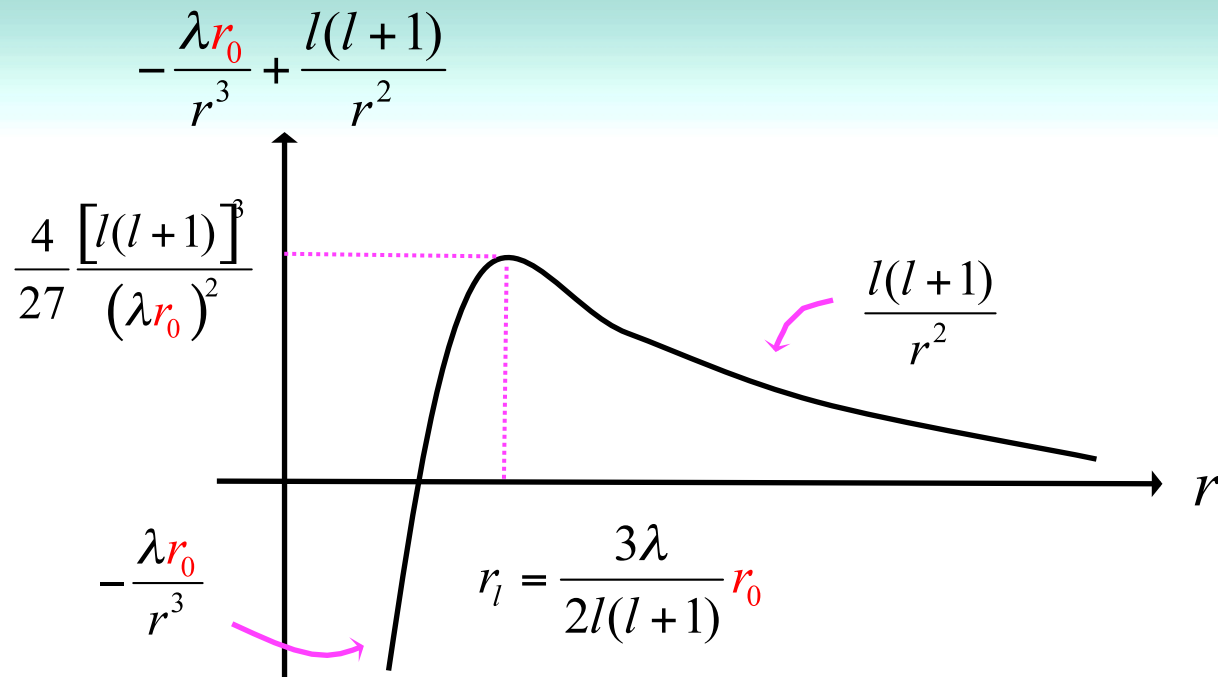
4/03/11

v. Kolck, Intro to EFTs

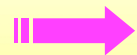
limit-cycle-like behavior

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Same is true in all channels where attractive singular potential is iterated



but $r_l \sim \frac{1}{M} \ll r_0$ for $l(l+1) \gg \lambda$



singular potential only needs to be iterated in a few waves, where counterterms are needed

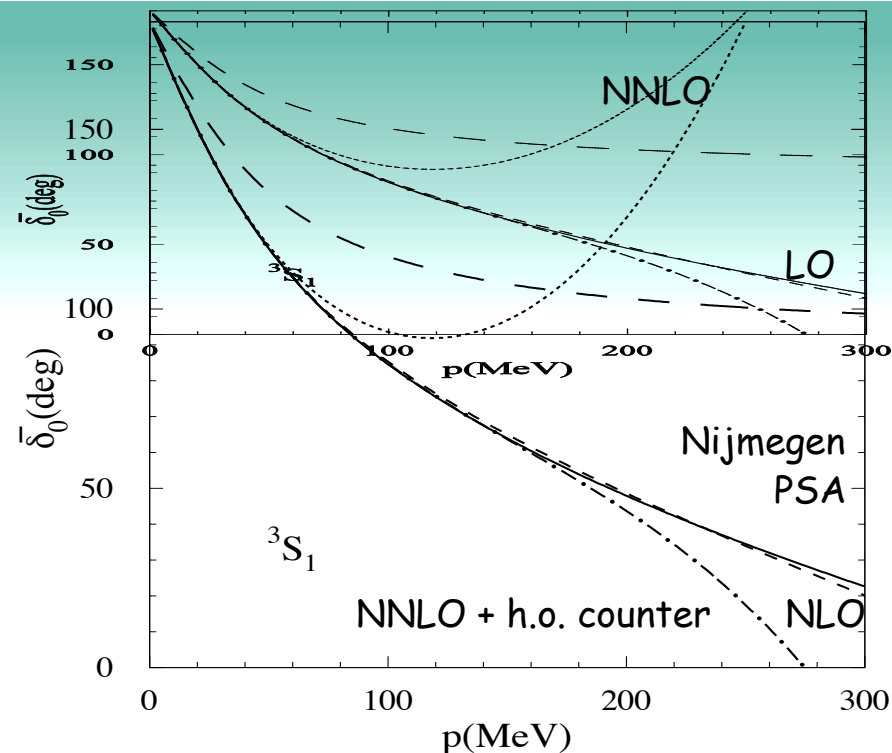
"Perturbative pions"

$$\lambda = \frac{m_\pi}{M_{NN}} \ll 1$$

Kaplan, Savage + Wise '98

Fleming, Mehen + Stewart '01

→ $M_{NN} \sim f_\pi$ indeed



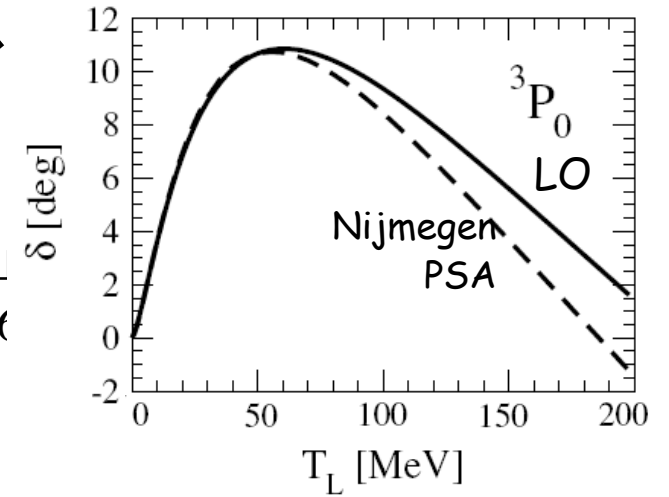
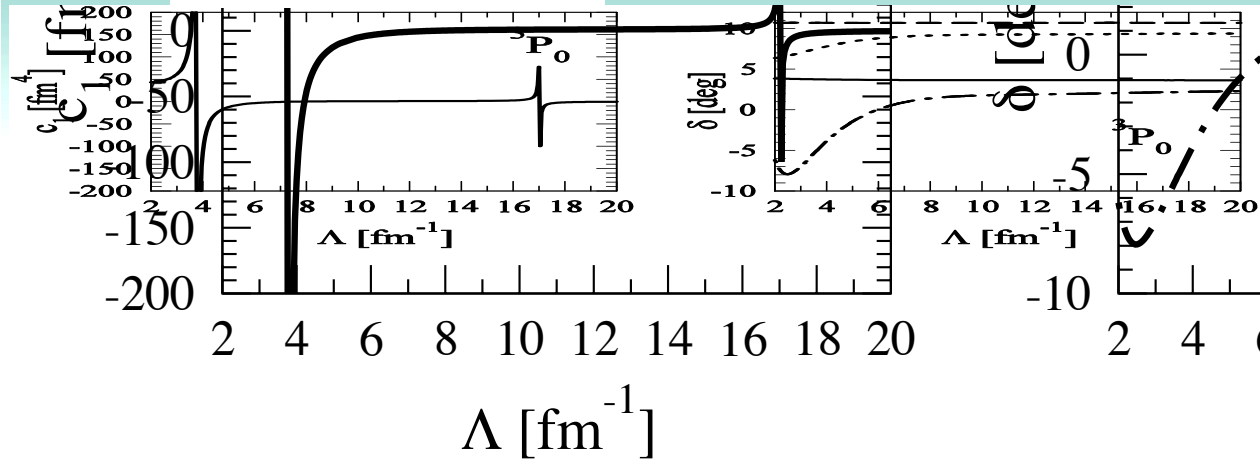
Non-perturbative pions

$$l(l+1) \lesssim \frac{3M_{QCD}}{2M_{NN}} \sim 5 \rightarrow l \lesssim 2$$

- Beane, Bedaque, Savage + v.K. '02
- Nogga, Timmermans + v.K. '05
- Pavon Valderrama + Ruiz-Arriola '06
- Birse, '06, '07
- Long + v.K. '07
- Pavon Valderrama '10

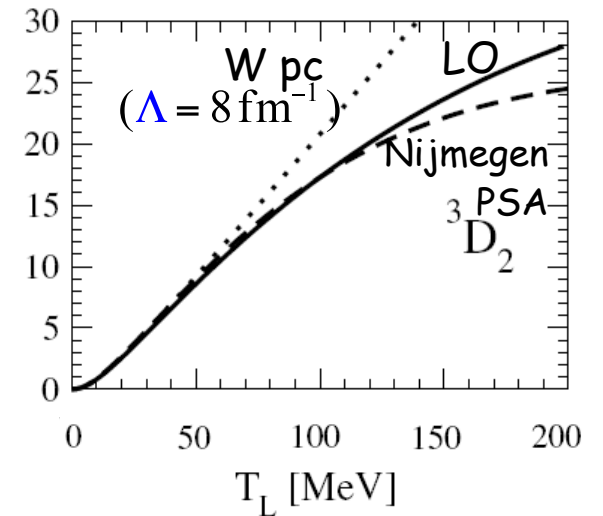
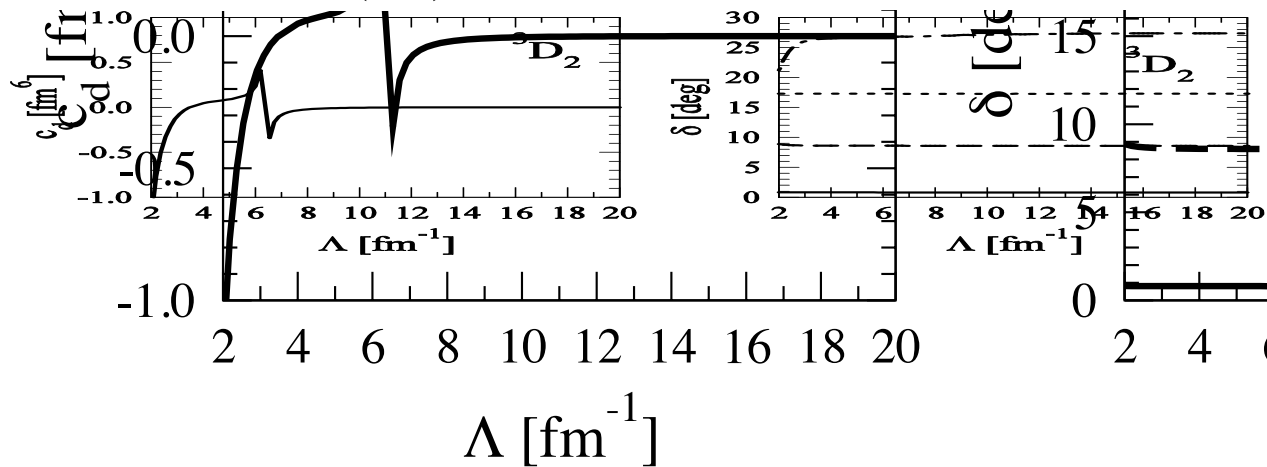
$$V_{l=1,j=0} = \frac{c_1}{(2\pi)^3} pp'$$

Add counterterms



$$V_{l=2,j=2} = \frac{c_d}{(2\pi)^3} p^2 p'^2$$

E (MeV) 10 —
 50 - - -
 100
 190 - · -



certain counterterms that in Weinberg's counting

were assumed suppressed by powers of $\frac{Q}{M_{QCD}}$

are in fact suppressed by powers of $\frac{Q}{f_\pi}$



short-range physics more important than assumed by Weinberg's;
most qualitative conclusions unchanged,
but quantitative results need improvement

ACTIVE RESEARCH AREA

Summary

- ◆ A low-energy EFT of QCD **has been** constructed and used to describe nuclear systems
- ◆ Chiral symmetry plays an important role, in particular setting the **scale** for nuclear bound states
- ◆ Nuclear physics canons **emerge** from chiral potential
- ◆ A **new** power counting has been formulated: more counterterms at each order relative to Weinberg's; expect even better description of observables

Stay tuned:
next, how to extend EFT to larger systems

Introduction to Effective Field Theories in QCD

U. van Kolck

University of Arizona

Supported in part by US DOE

Outline

- Effective Field Theories
- QCD at Low Energies
- Towards Nuclear Structure
 - ▶ Contact Nuclear EFT
 - ▶ Few-Body Systems
 - ▶ No-Core Shell Model
 - ▶ Halo/Cluster EFT
 - ▶ Conclusions and Outlook

References:

U. van Kolck,
Effective field theory of short-range forces,
Nucl.Phys.A645:273-302,1999, [nucl-th/9808007](#)

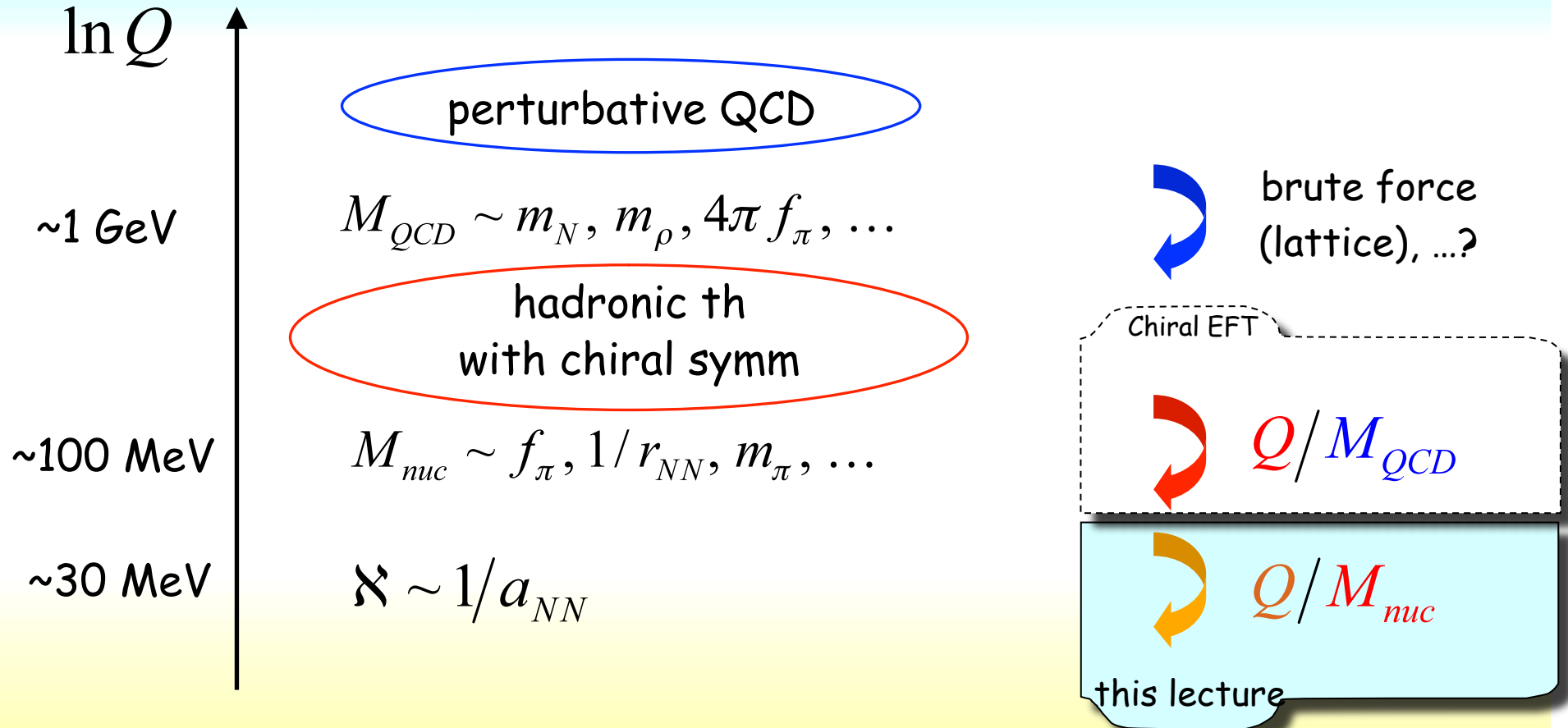
P.F. Bedaque, H.-W. Hammer, and U. van Kolck,
The three-boson system with short-range interactions,
Nucl.Phys.A646:444-466,1999, [nucl-th/9811046](#)

I. Stetcu, B.R. Barrett, and U. van Kolck,
No-core shell model in an effective-field-theory framework,
Phys.Lett.B653:358-362,2007, [nucl-th/0609023](#)

P.F. Bedaque, H.-W. Hammer, and U. van Kolck,
Narrow resonances in effective field theory,
Phys.Lett.B569:159-167,2003, [nucl-th/0304007](#)

Nuclear physics scales

"His scales are His pride", Book of Job



no small coupling

expansion in

Lots of interesting nuclear physics at $E \sim 1$ MeV
instead of $E \sim 10$ MeV

within a few MeV of thresholds:

- many energy levels and resonances (cluster structures)
 - most reactions of astrophysical interest

show **universal** features,

i.e. to a very good approximation are independent
of details of the short-range dynamics

bonus: same techniques can be used
for dilute atomic/molecular systems



- pionful EFT an overkill at lower energies!

cf. Bethe + Peierls '35

e.g. NN s_1 channel:

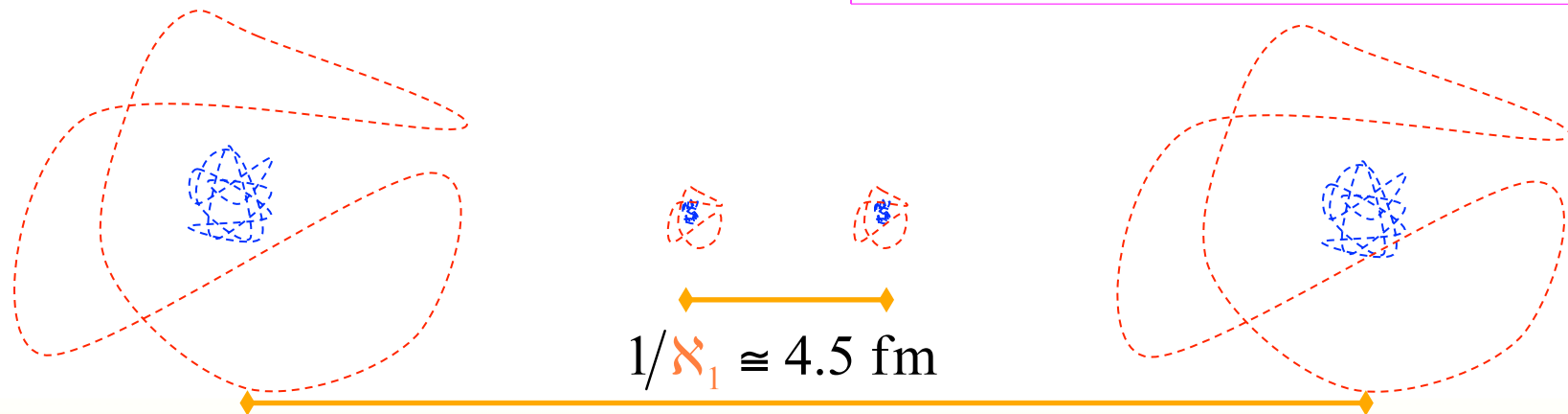
s_0 channel:

(real) bound state = deuteron

(virtual) bound state

$$\lambda_1 \sim \sqrt{m_N B_d} \cong 45 \text{ MeV} < m_\pi$$

$$\lambda_0 \sim \sqrt{m_N B_{d^*}} \cong 8 \text{ MeV} \ll m_\pi$$



multipole expansion of meson cloud:
contact interactions among local nucleon fields

$$Q \sim \lambda \ll M_{nuc}$$


pionless EFT

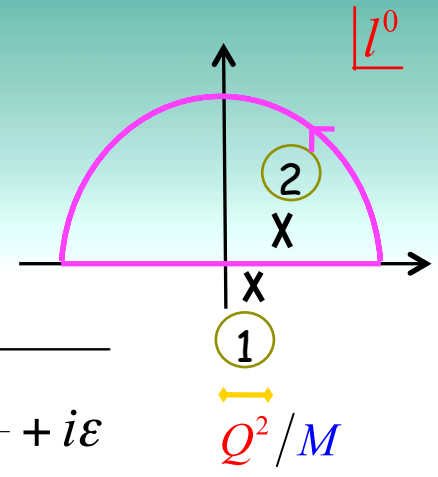
- d.o.f.: nucleons
- symmetries: Lorentz, ~~P~~, ~~T~~

$$\begin{aligned} \mathbf{L}_{EFT} = & N^+ \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + C_0 N^+ N N^+ N \\ & + N^+ \frac{\nabla^4}{8m_N^3} N + C_2 N^+ N N^+ \nabla^2 N \\ & + C'_2 N^+ \vec{\nabla} N \cdot N^+ \vec{\nabla} N + \dots \end{aligned}$$

omitting
spin, isospin

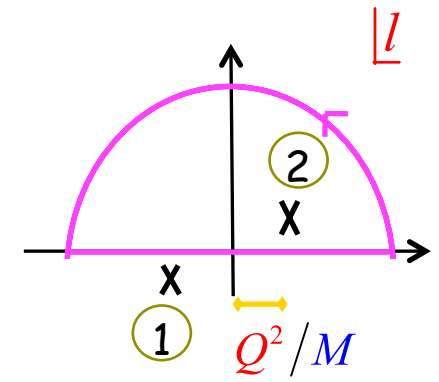
 $\sim iC_0(\Lambda)$

 $\sim C_0^2(\Lambda) \int \frac{d^4 l}{(2\pi)^4} \frac{1^{(1)}}{l^0 + p^0 - \frac{(\vec{l} + \vec{p})^2}{2m_N} + i\epsilon} \frac{1^{(2)}}{-l^0 + p^0 - \frac{(\vec{l} + \vec{p})^2}{2m_N} + i\epsilon}$

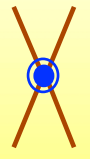


$p^0 \equiv \frac{k^2}{2m_N} = -i m_N C_0^2(\Lambda) \int \frac{d^3 l}{(2\pi)^3} \frac{1}{\vec{l}^2 - k^2 - i\epsilon}$

$= -i \frac{m_N}{2\pi^2} C_0^2(\Lambda) \left\{ \int_0^\Lambda dl + k^2 \int_0^\Lambda dl \frac{1^{(1)}}{l+k+i\epsilon} \frac{1^{(2)}}{l-k-i\epsilon} \right\}$



$= -i m_N C_0^2(\Lambda) \left\{ \frac{1}{2\pi^2} \Lambda + i \frac{k}{4\pi} + \mathcal{O}\left(\frac{k^2}{4\pi\Lambda}\right) \right\} \equiv -i C_0^2(\Lambda) I_0(\Lambda)$

 $\sim iC_2(\Lambda) k^2$

absorbed in $C_0(\Lambda)$ non-analytic in E absorbed in $C_2(\Lambda)$

$$\left\{ \begin{aligned}
 C_0(\Lambda) &\rightarrow C_0^{(R)} \equiv C_0(\Lambda) \left\{ 1 - \frac{m_N \Lambda}{2\pi^2} C_0(\Lambda) + \dots \right\} = \frac{C_0(\Lambda)}{1 + \frac{m_N \Lambda}{2\pi^2} C_0(\Lambda)} \\
 C_2(\Lambda) &\rightarrow C_2^{(R)} \equiv C_2(\Lambda) - \frac{m_N}{4\pi\Lambda} C_0^2(\Lambda) + \dots \\
 &\dots
 \end{aligned} \right.$$

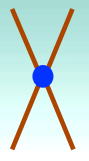
Naïve dimensional analysis

$$C_0^{(R)} \equiv \frac{4\pi}{m_N M_0} \qquad C_0^{(R)} \sim \frac{4\pi}{m_N M_{nuc}} \qquad \Rightarrow M_0 \sim M_{nuc}$$


$$C_2^{(R)} \equiv \frac{4\pi}{m_N M_{nuc} M_2^2} \qquad C_2^{(R)} \sim \frac{m_N}{4\pi M_{nuc}} C_0^{(R)2} \qquad \Rightarrow M_2 \sim M_0$$

etc.

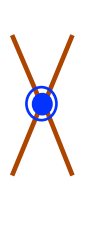
But in this case:



$$\rightarrow C_0^{(R)} \sim \frac{4\pi}{m_N \Lambda_0^2}$$



$$\rightarrow \frac{m_N Q}{4\pi} C_0^{(R)2} \sim \frac{4\pi Q}{m_N \Lambda_0^2 M_0} \ll 1 \text{ for } M_0 \sim M_{nuc}$$



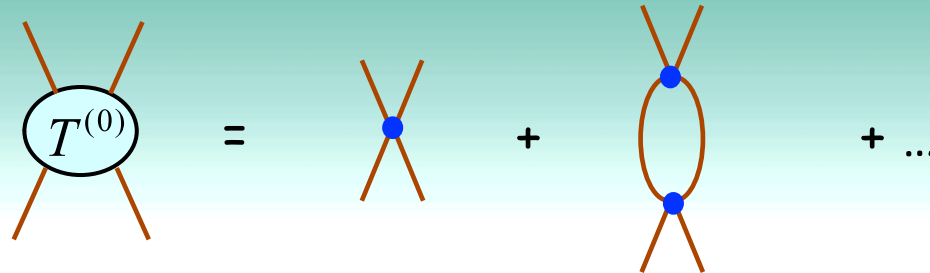
$$\rightarrow C_2^{(R)} Q^2 \sim \frac{4\pi Q^2}{m_N \Lambda_0^2 M_{nuc} M_0} \ll 1 \text{ if } M_0 \sim M_{nuc}$$

etc.

⇒ no b.s. at $Q \lesssim M_{nuc}$, no good: just perturbation theory

need one fine-tuning: $M_0 \equiv \Lambda_0 \ll M_{nuc}$

assume no other, e.g. still $M_2 \sim M_0$, etc.



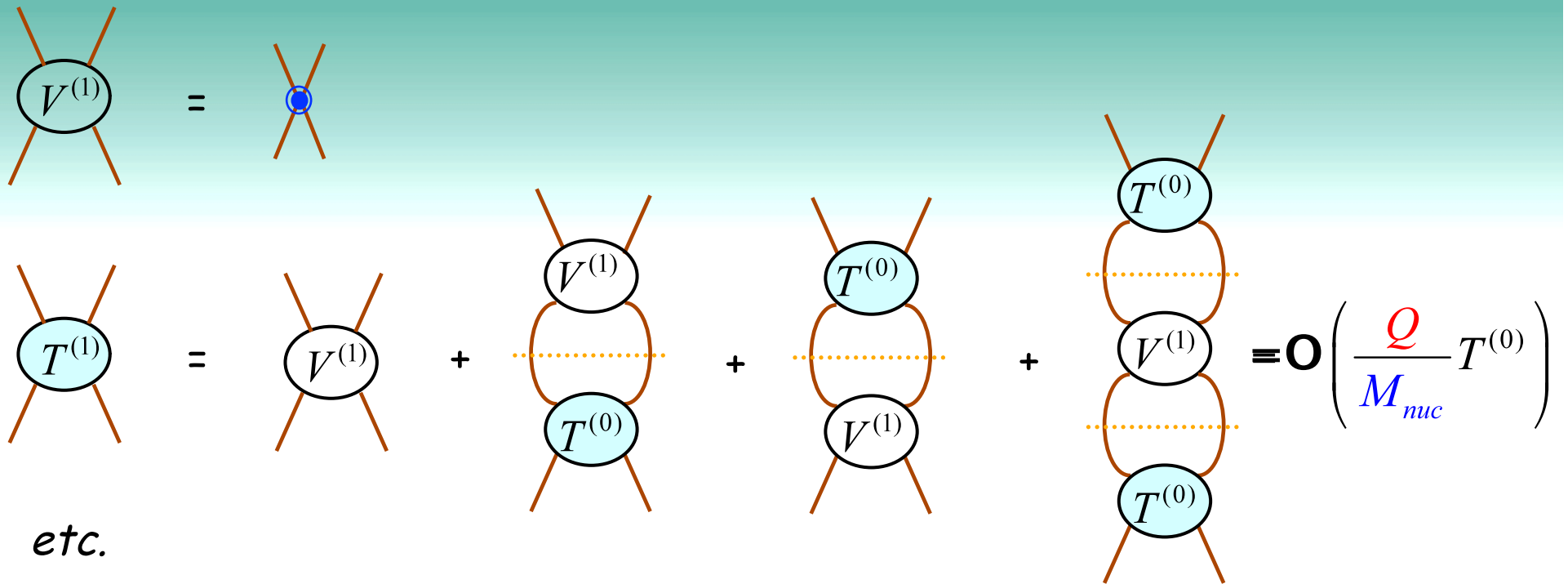
$$\begin{aligned}
 &= iC_0 \left\{ 1 - C_0 I_0 + (C_0 I_0)^2 + \dots \right\} = \frac{i}{\frac{1}{C_0} + I_0} = \frac{4\pi}{m_N} \frac{i}{\underbrace{\frac{4\pi}{m_N C_0(\Lambda)} + \frac{2\Lambda}{\pi}}_{\equiv \mathcal{X}} + ik + \mathcal{O}\left(\frac{k^2}{\Lambda}\right)} \\
 &= \frac{4\pi}{m_N} \frac{i}{\mathcal{X} + ik} \left[1 + \mathcal{O}\left(\frac{k}{\Lambda}, \frac{k^2}{\mathcal{X}\Lambda}\right) \right] = \frac{4\pi}{m_N C_0^{(R)}} \equiv \mathcal{X}
 \end{aligned}$$

$k \sim \mathcal{X}$

cf. effective range expansion
s wave

scattering length $a_0 = 1/\mathcal{X}$

bound state $k = i\mathcal{X}$ $-E = \frac{\mathcal{X}^2}{2m_N}$



$$\Rightarrow T_{NN} \sim \frac{4\pi}{m_N M_{nuc}} \left\{ \underbrace{\frac{M_{nuc}}{\kappa + iQ}}_{\nu = -1} + \underbrace{\left(\frac{Q}{\kappa + iQ} \right)^2}_{\nu = 0} + \dots \right\}$$

s wave } scattering length $a_0 \sim 1/\kappa$ effective range $r_0 \sim 1/M_{nuc}$ p, other waves

Example: square well $V(r) = -\frac{\alpha^2}{mR^2} \theta\left(1 - \frac{r}{R}\right)$

$$\Rightarrow T_{NN}(k) = -i \left[e^{-2ikR} \frac{\sqrt{\alpha^2 + (kR)^2} \cot \sqrt{\alpha^2 + (kR)^2} + ikr}{\sqrt{\alpha^2 + (kR)^2} \cot \sqrt{\alpha^2 + (kR)^2} - ikr} - 1 \right]$$

zero-energy poles when

$$\alpha_c \equiv (2n+1)\pi/2$$

generic

$$\alpha = \mathbf{O}(1)$$

$$a_0 \sim R$$

$$r_0 \sim R$$

fine-tuning

$$|1 - \alpha/\alpha_c| \ll 1$$

$$a_0 = -\frac{R}{\alpha_c^2} \left(1 - \frac{\alpha}{\alpha_c}\right)^{-1} \{1 + \dots\} \sim \frac{1}{\mathcal{X}}$$

$$r_0 = R \{1 + \dots\} \sim R$$

$$a_0 = R \left(1 - \frac{\tan \alpha}{\alpha}\right)$$

$$r_0 = R \left(1 - \frac{R}{a_0 \alpha^2} - \frac{R^2}{3a_0^2}\right)$$

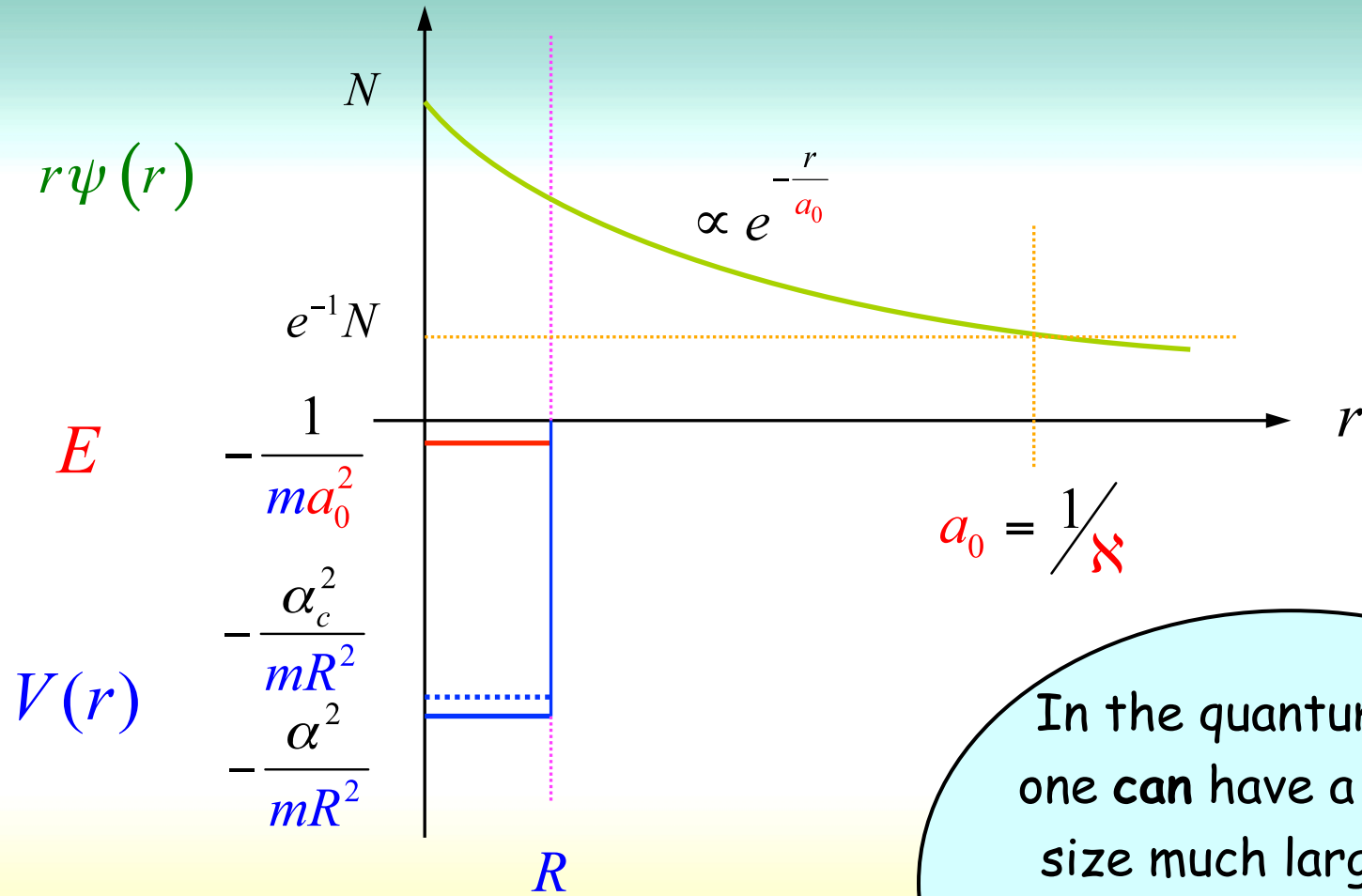
etc.

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$$\mathcal{X} \equiv \frac{|1 - \alpha/\alpha_c|}{R} \ll \frac{1}{R}$$

3

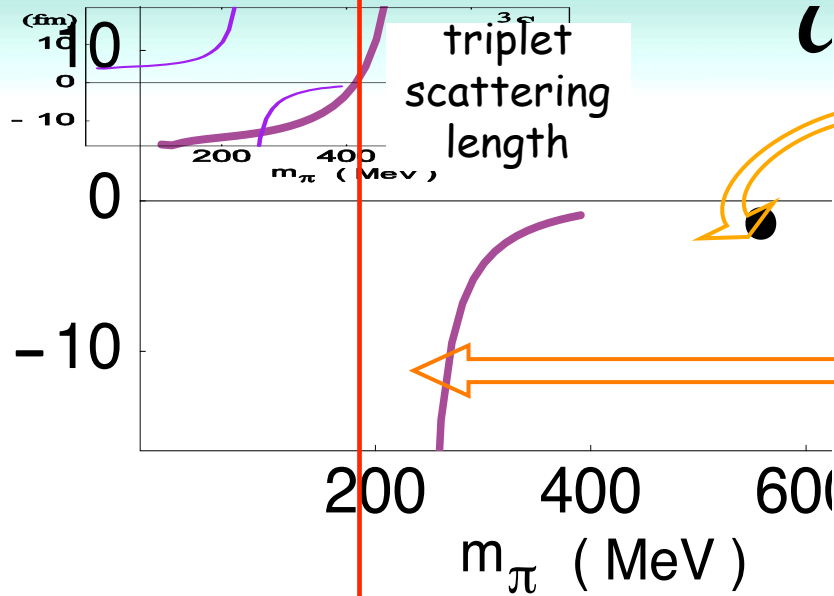


In the quantum world, one can have a b.s. with size much larger than the range of the force provided there is fine-tuning

Pion-mass dependence

unitarity limit

$$|a_2| \rightarrow \infty$$



$$m_\pi^* (M_{QCD})$$

Large deuteron size because

$$m_\pi \sim m_\pi^* (M_{QCD})$$

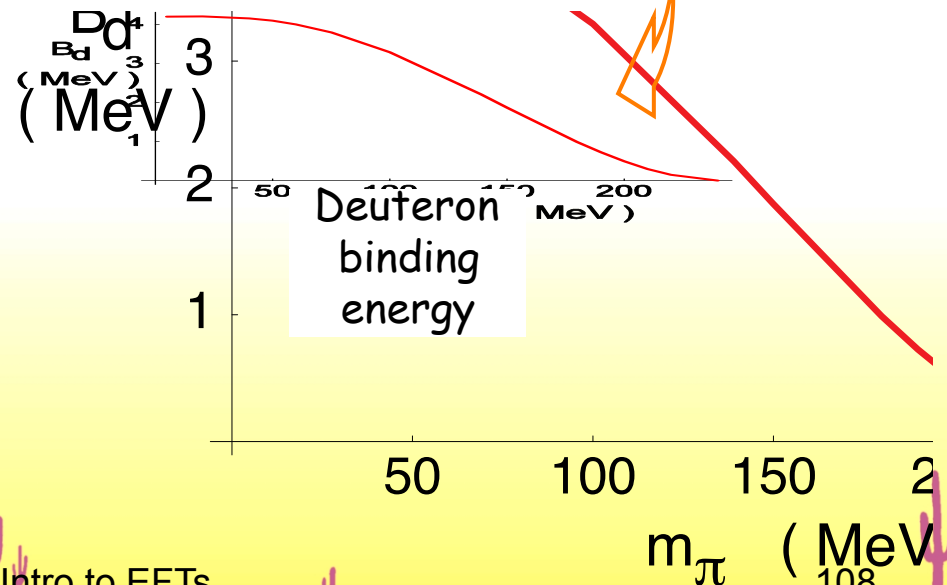
$$\mathcal{N} \sim \frac{m_\pi - m_\pi^*}{m_\pi^*} M_{nuc}$$

Lattice QCD: Fukugita et al. '95
quenched

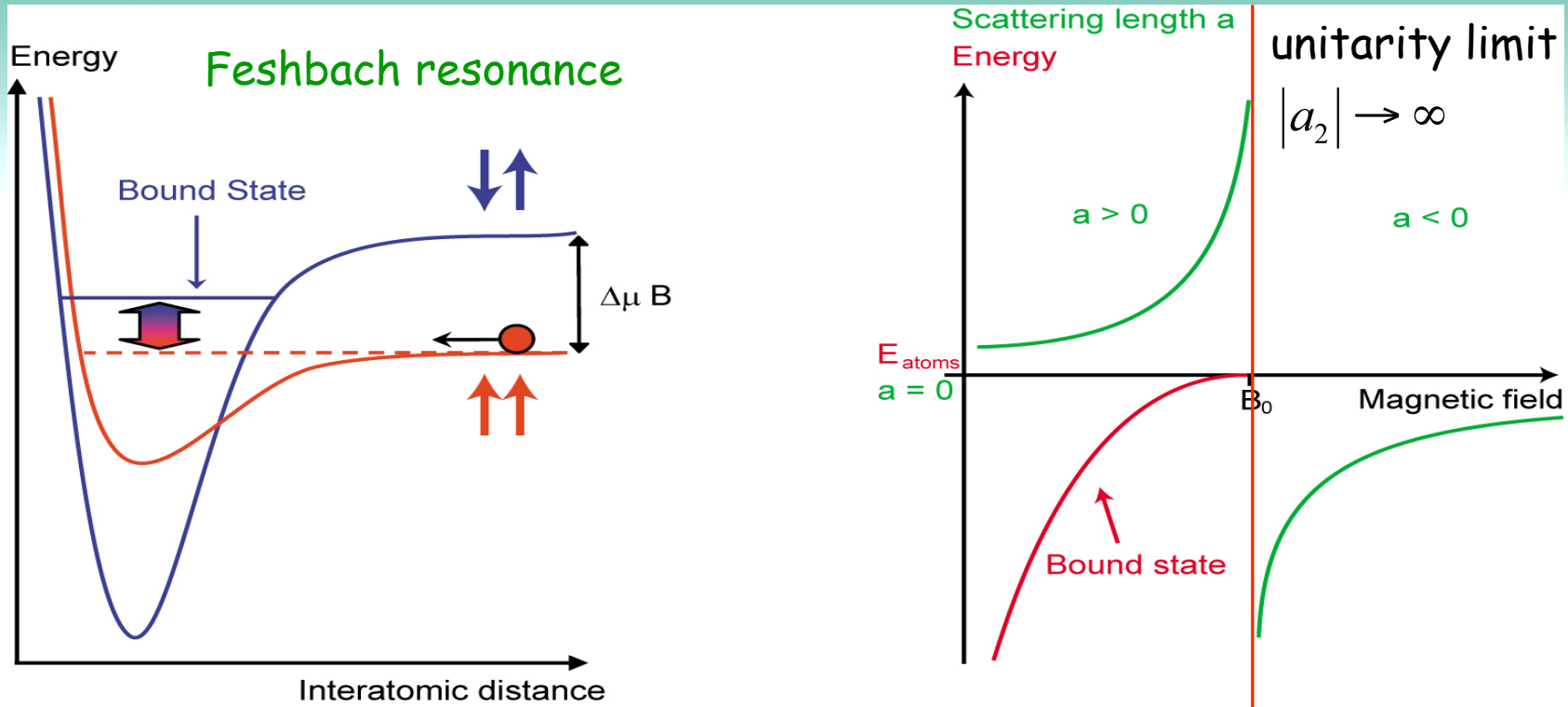
cf. Beane, Bedaque, Orginos + Savage '06

Beane, Bedaque, Savage + v.K. '02

EFT:
(incomplete) NLO



Cf. trapped fermions



MIT group webpage

quark masses analog to magnetic field:
 close to critical values

$$m_\pi^{*2} = \mathbf{O} \left((m_u^* + m_d^*) M_{QCD} \right) \approx (200 \text{ MeV})^2$$

contact EFT can, and has been, used for atomic systems with large scatt lengths:
 universality!

Alternative: auxiliary field

Kaplan '97
v.K. '99

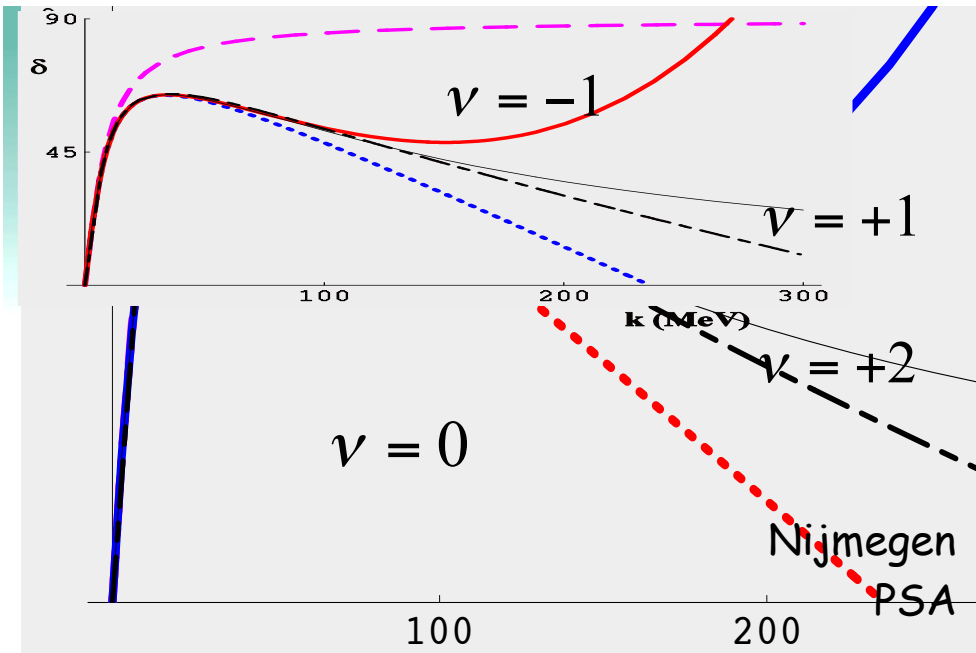
$$\mathbf{L}_{EFT} = N^+ \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + T^+ (-\Delta) T + \frac{g}{\sqrt{2}} [T^+ N N + N^+ N^+ T] \\ + N^+ \frac{\nabla^4}{8m_N^3} N + \sigma T^+ \left(i\partial_0 + \frac{\nabla^2}{4m_N} \right) T + \dots$$

sign

integrate out auxiliary field: same Lag as before with $C_0 = \frac{g^2}{\Delta}, \dots$

$\Delta \sim \kappa, \quad \frac{g^2}{4\pi} \sim \frac{1}{m_N}, \dots$





Chen, Rupak + Savage '99

fitted $a_1 = 5.42 \text{ fm (exp)}$

$r_1 = 1.75 \text{ fm (exp)}$

predicted

$B_d = 1.91 \text{ MeV } (\nu = 0)$

$B_d = 2.22 \text{ MeV (exp)}$

$k \text{ (MeV)}$

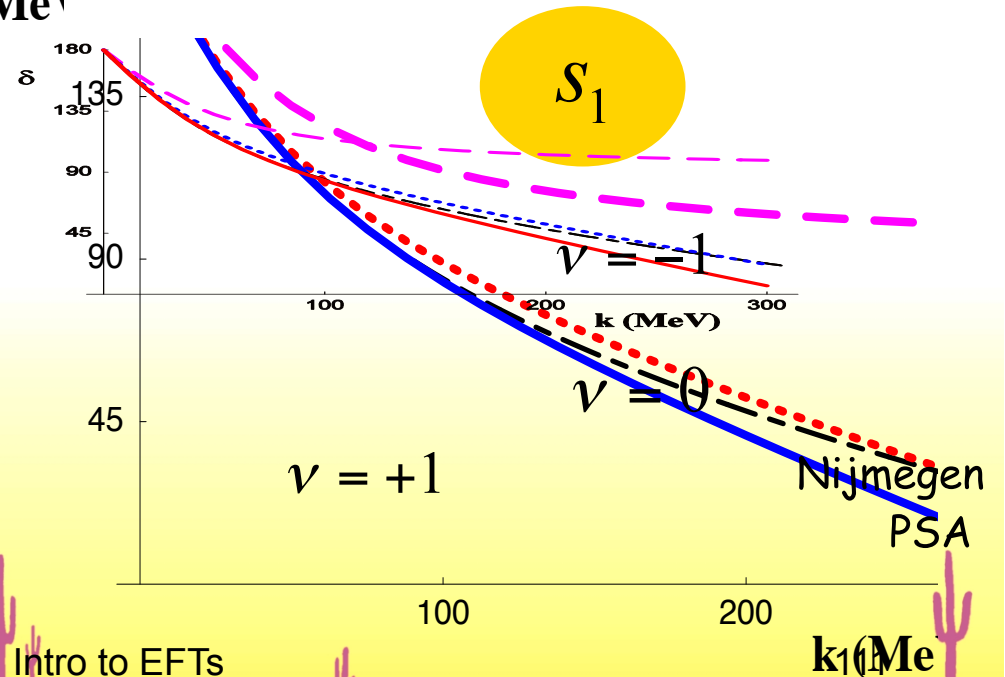
S_0

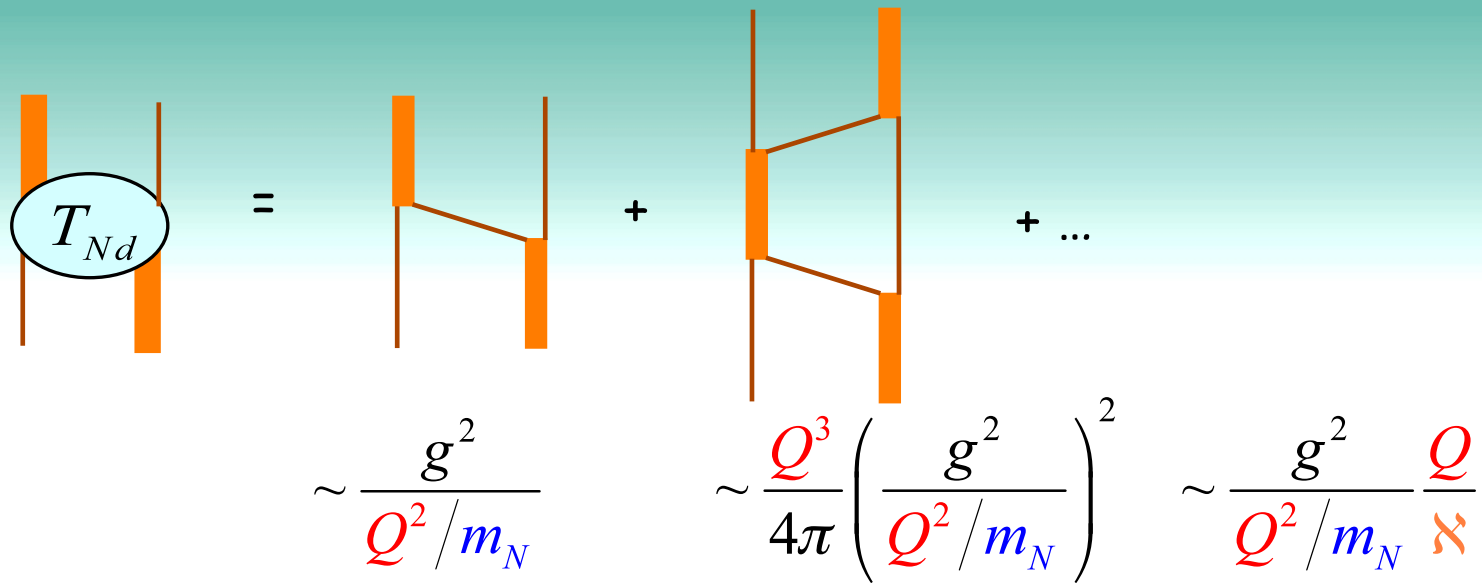
fitted $a_0 = -20.0 \text{ fm (exp)}$

$r_0 = 2.78 \text{ fm (exp)}$

predicted

$B_{d^*} = 0.09 \text{ MeV } (\nu = 0)$

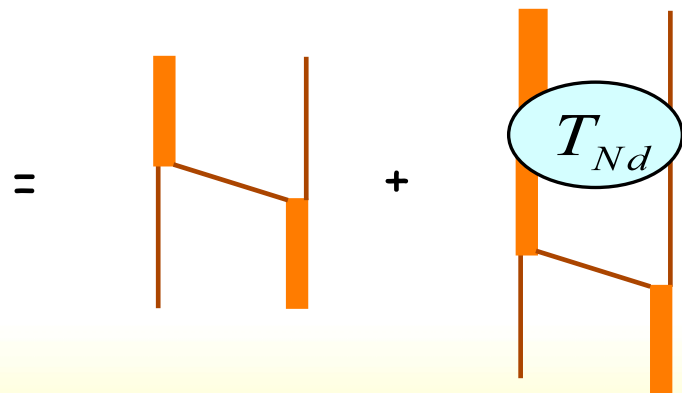




The top part of the slide shows a series of Feynman diagrams. On the left, a diagram with two vertical orange lines and a central oval labeled T_{Nd} is equated to a sum of diagrams. The first diagram in the sum is a tree-level exchange between the two orange lines. The second diagram is a loop diagram with a triangle of lines connecting the two orange lines. This is followed by an ellipsis. Below these diagrams are three mathematical expressions: the first is $\sim \frac{g^2}{Q^2/m_N}$, the second is $\sim \frac{Q^3}{4\pi} \left(\frac{g^2}{Q^2/m_N} \right)^2$, and the third is $\sim \frac{g^2}{Q^2/m_N} \frac{Q}{\lambda}$.

$$T_{Nd} = \text{tree} + \text{loop} + \dots$$

$$\sim \frac{g^2}{Q^2/m_N} \quad \sim \frac{Q^3}{4\pi} \left(\frac{g^2}{Q^2/m_N} \right)^2 \quad \sim \frac{g^2}{Q^2/m_N} \frac{Q}{\lambda}$$



The middle part of the slide shows a diagrammatic equation. On the left is a tree-level exchange diagram. This is equated to the sum of the same tree-level exchange diagram and a loop diagram where the central oval is labeled T_{Nd} .

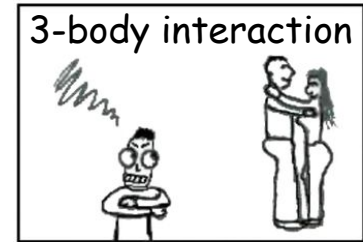
$$\text{tree} = \text{tree} + \text{loop}(T_{Nd})$$

$$T_{Nd} = K_{ONE} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} \frac{K_{ONE} T_{Nd}}{D}$$

$$\mathcal{L}_{EFT} = \dots + D_0 N^+ N N^+ N N^+ N + \dots$$

naïve dimensional analysis

$$D_0 \sim \left(\frac{4\pi}{m_N} \right)^2 \frac{1}{M_{muc}^3} \quad (\nu = +1)$$



Bedaque + v.K. '97

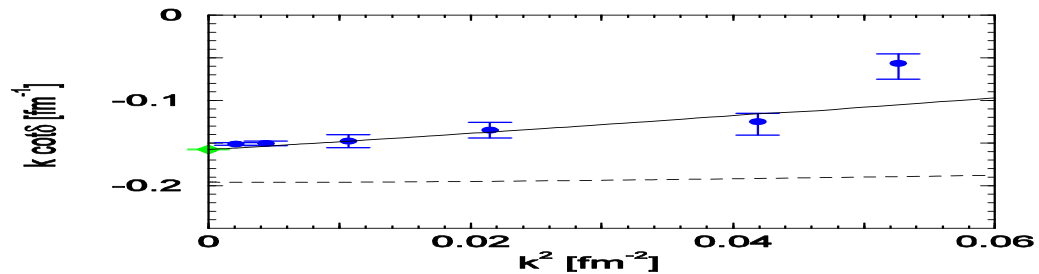
Bedaque, Hammer + v.K. '98

$S_{3/2}$ no three-body force up to $\nu = +3$

$$\lambda \leq \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

$$T_{Nd} \xrightarrow{p \gg \kappa} \frac{1}{p^2}$$

$$\Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} 0$$



v.Oers + Seagrave '67

$\nu = +1$

predicted

$$a_{3/2} = 6.33 \pm 0.10 \text{ fm } (\nu = +1)$$

$$a_{3/2} = 6.35 \pm 0.02 \text{ fm (exp)}$$

Dilg et al. '71

$\nu = -1$

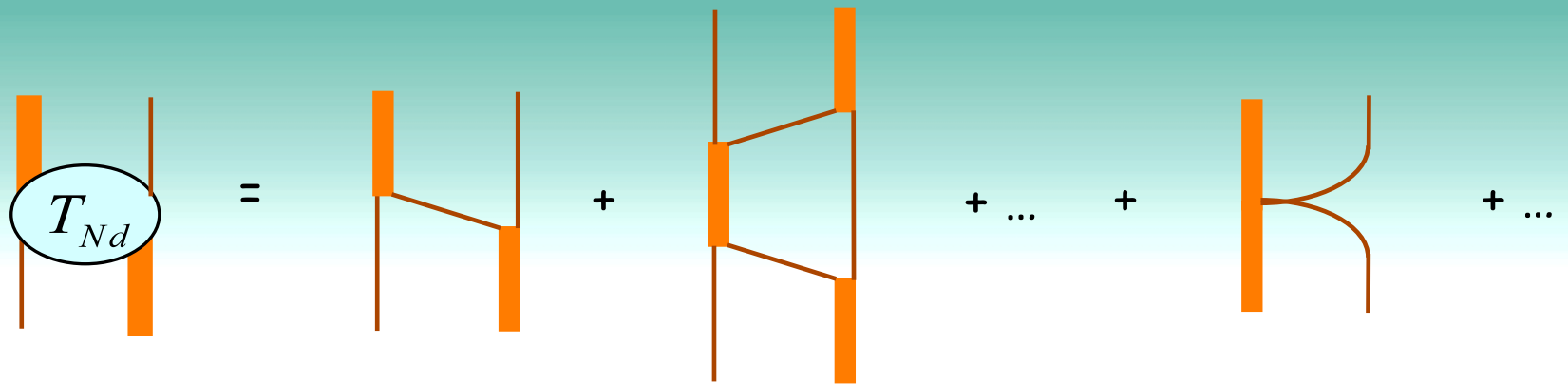
QED-like precision!

$S_{1/2}$

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

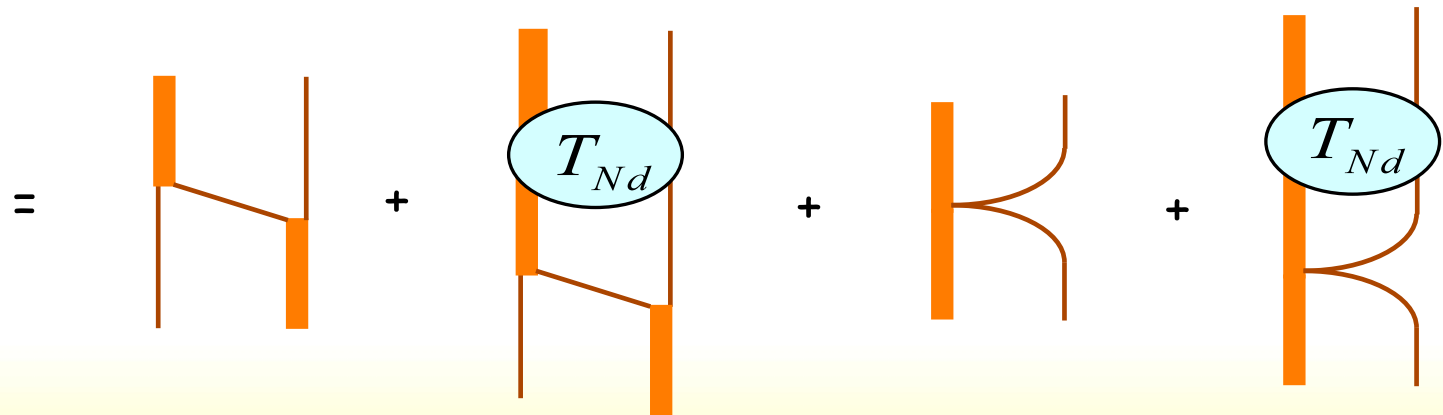
$$T_{Nd} \xrightarrow{p \gg \kappa} \mathcal{A} \cos\left(s_0 \ln \frac{p}{\Lambda} \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} 0 \quad \text{unless}$$

$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\kappa^2 M_{muc}} \quad (\nu = -1)$$



$$\sim \frac{g^2}{Q^2/m_N}$$

$$\sim \frac{4\pi}{\lambda^2}$$



$$T_{Nd} = K_{ONE} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} \frac{K_{ONE} T_{Nd}}{D} + K_{TBF} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} \frac{K_{TBF} T_{Nd}}{D}$$

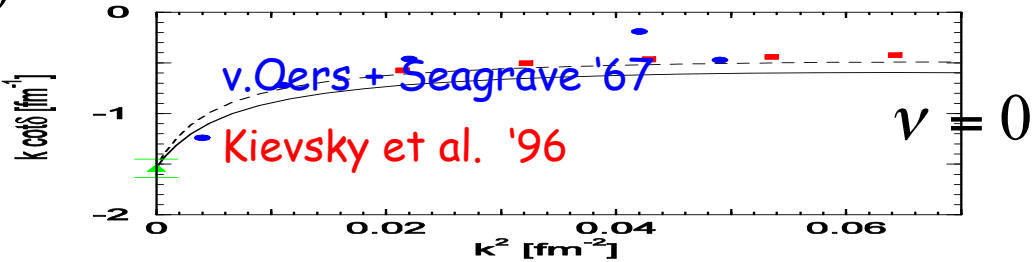
$S_{1/2}$

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

$$T_{Nd} \xrightarrow{p \gg \kappa} A \cos\left(s_0 \ln \frac{p}{\Lambda} \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} 0 \text{ unless}$$

$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\kappa^2 M_{muc}} \quad (\nu = -1)$$

(limit cycle!)



$\nu = -1$

fitted

$$a_{1/2} = 0.65 \text{ fm (exp)}$$

predicted

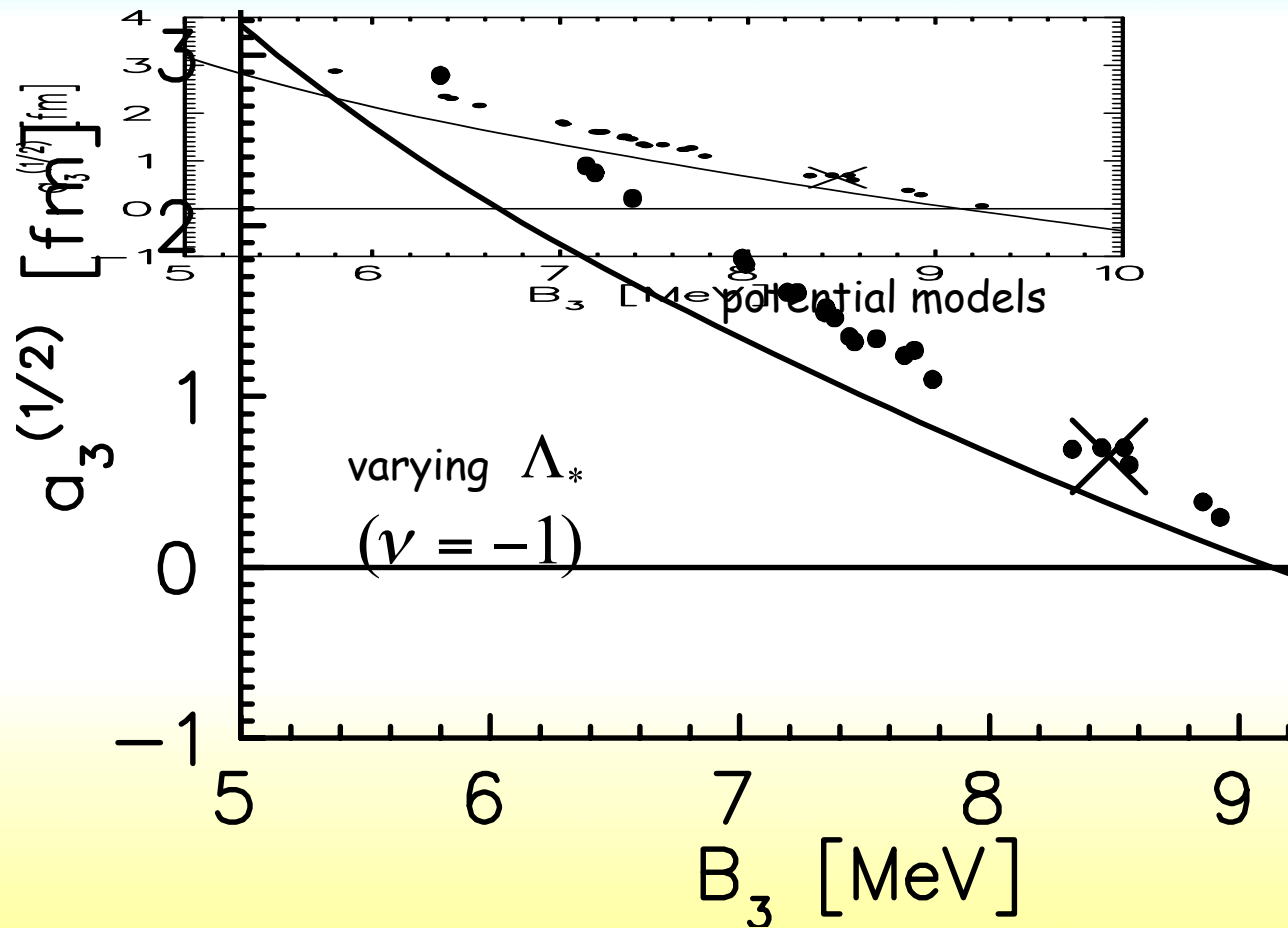
$$B_t = 8.3 \text{ MeV } (\nu = 0)$$

$$B_t = 8.48 \text{ MeV (expt)}$$

Dilg et al. '71

Phillips line

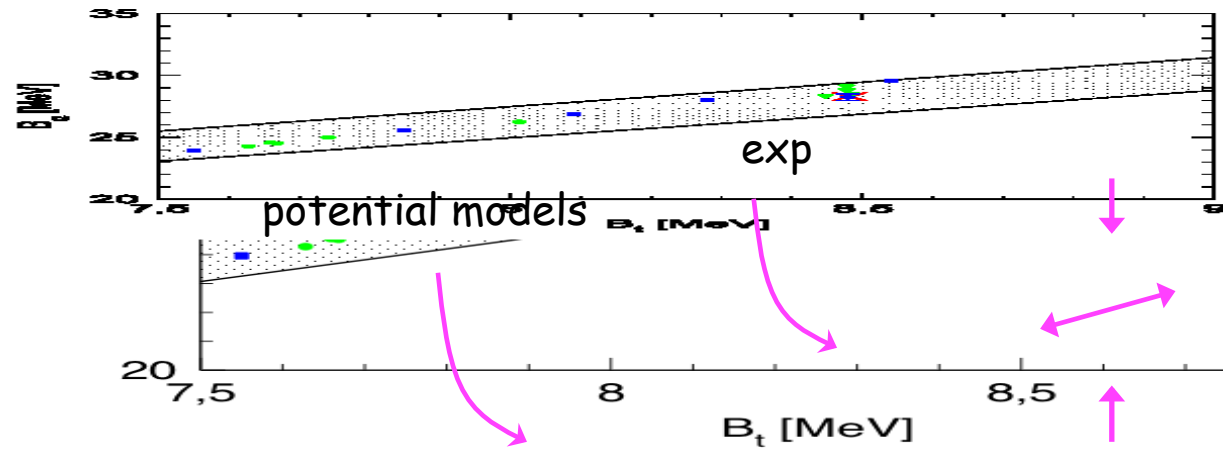
Bedaque, Hammer + v.K. '99 '00



+ four-body bound state can be addressed similarly
 ⇒ no four-body force at $\nu = -1$

Hammer, Meissner + Platter '04

Tjon line



varying Λ_*
 ($\nu = -1$)

Summary:

Expansion parameter $\frac{Q}{M_{nuc}} \sim \frac{\times}{M_{nuc}} \sim \frac{r_0}{a_0}$

- LO: two two-nucleon + one three-nucleon interactions

$$C_0^{(0)}, C_0^{(1)}, D_0$$

- NLO: two more two-nucleon interactions
- Etc.

~ larger nuclei?

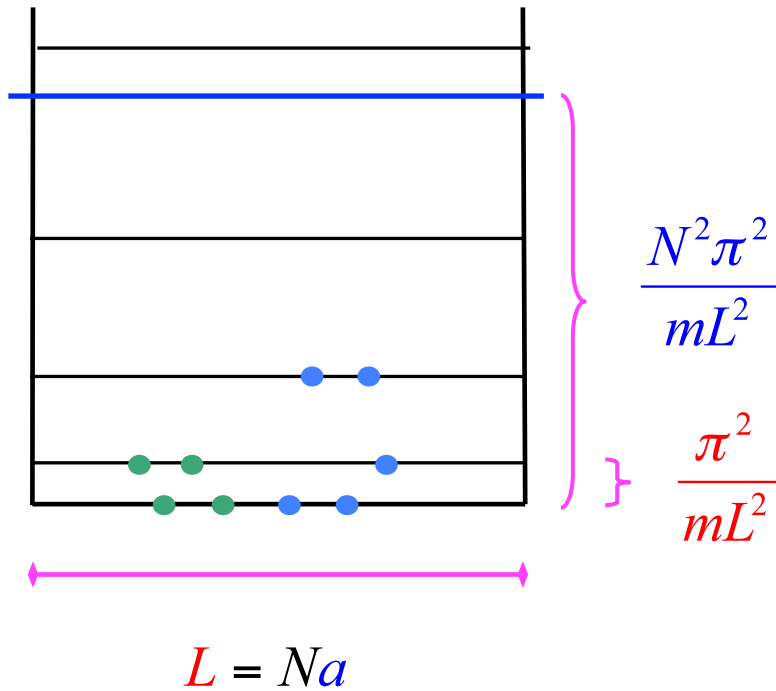
As A grows,
given computational power limits
number of accessible one-nucleon states



IR cutoff $\lambda \ll Q$
in addition to
UV cutoff $\Lambda \gg Q$

Finite Volume

Lattice Box



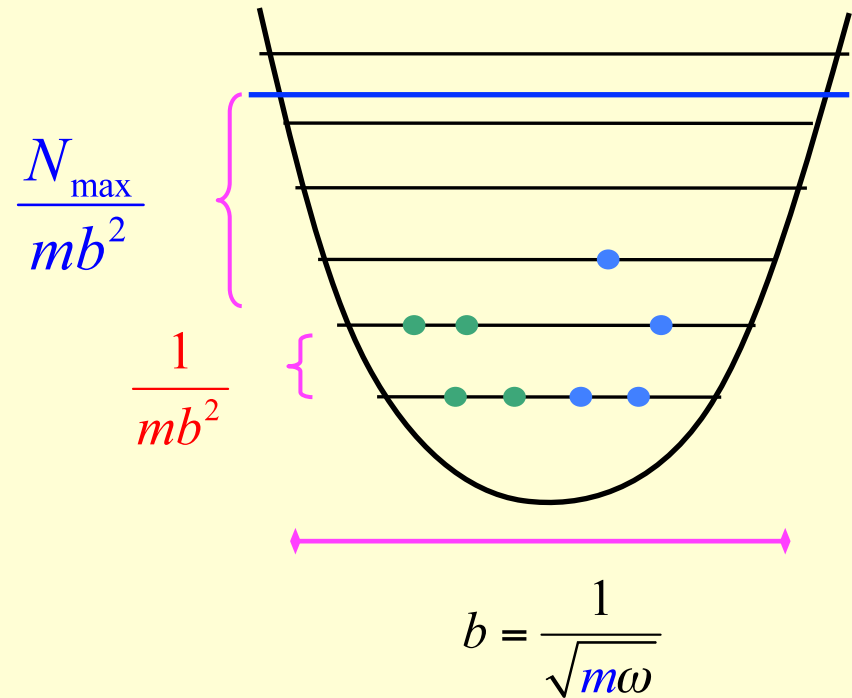
nuclear matter Mueller, Seki, Koonin + v.K. '99

few nucleons

Lee et al '05

...

Harmonic Oscillator



finite nuclei

few atoms

Stetcu, Barrett + v.K. '07
Rotureau, Stetcu, Barrett
+ v.K., in preparation

Stetcu, Barrett + v.K. '09
Rotureau, Stetcu, Barrett + v.K. '10

Two possible approaches

Lattice EFT

- Use input EFT infinite-volume potential $(0, \Lambda_0)$;
minimize regulator mismatch with $\Lambda \ll \Lambda_0$

Lee et al '05

...

Harmonic EFT

Barrett, Vary + Zhang '93

...

"No-Core Shell Model"

- Define EFT directly within finite volume (λ, Λ) ;
fit parameters to binding energies or to E given by

$$\sqrt{mE} \cot \delta(E) = \frac{1}{\pi L} \left[\sum_{|\mathbf{n}| < N} \frac{1}{\mathbf{n}^2 - \frac{mEL^2}{4\pi^2}} - 4\pi N \right]$$

cf. Fukuda + Newton '54

Luescher '91

$$\sqrt{mE} \cot \delta(E) = -\frac{2}{b} \frac{\Gamma\left(\frac{3}{4} - \frac{Emb^2}{2}\right)}{\Gamma\left(\frac{1}{4} - \frac{Emb^2}{2}\right)}$$

Busch et al. '98

A-body
problem:
shell model

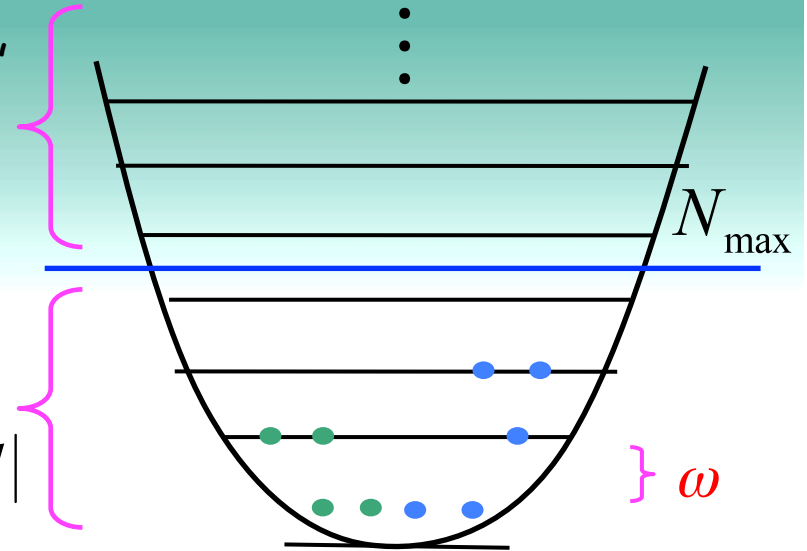
What are the
"effective interactions"
in the model space?

"excluded space"

$$Q = 1 - P$$

"model space"

$$P = \sum_{n,l}^{2n+l \leq N_{\max}} |nl\rangle\langle nl|$$



traditional NCSM approach: Barrett, Vary + Zhang '93

start with god-given (can be non-local!) potential,
and run the RG in a harmonic-oscillator basis

e.g., chiral pot
from last lecture

$$O_a \rightarrow P O_a^{\text{eff}} P = P O_a P + P H Q \frac{1}{E - Q H_2 Q} Q O_a P + \dots$$

Feshbach projection

$$= O'_a + O'_{a+1} + \dots + O'_{A'} + \dots + O'_A$$

convergence:

arbitrary truncation ("cluster approximation")

$A' \rightarrow A$ for fixed P
 $P \rightarrow 1$ for fixed A'

issues: systematic truncation error, consistent currents, etc.

EFT + NCSM

Stetcu, Barrett + v.K., '07
Stetcu, Barrett, Vary + v.K., '08
Rotureau, Stetcu, Barrett + v.K.,
in preparation

start with EFT in restricted space;
fit parameters in few-nucleon systems

for various ω and $N_{\max} \omega$;

and
predict larger nuclei

cutoffs

IR

UV

$$\lambda = \sqrt{m_N \omega} \quad \Lambda = \sqrt{m_N (N_{\max} + 3/2) \omega}$$

strategies:

determine parameters from

light-nuclei binding energies
scattering phase shifts

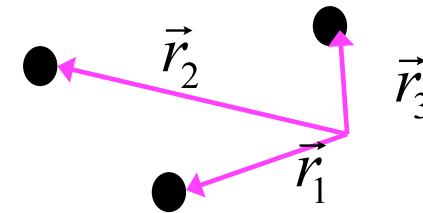
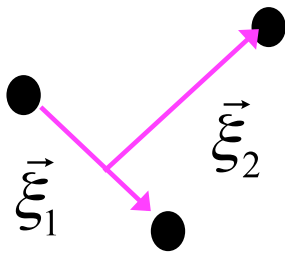
Basis

single
particle

$$\phi_{nl(s)j} = N_{nl} r^l L_n^{l+1/2} \left(\frac{m_N \omega r^2}{2} \right) \exp \left(-m_N \omega r^2 / 2 \right) [Y_l(\hat{r}) \otimes \chi_s]_j$$

$A \leq 4$: relative coordinates

$A \geq 3$: Slater-determinant basis



$$\psi(\vec{\xi}_1, \vec{\xi}_2) = \mathbf{A} \left[\phi_{nlj}(\vec{\xi}_1) \phi_{n'l'j'}(\vec{\xi}_2) \right]_{JI}$$

code `a la

Navratil, Kamuntavicius + Barrett '00

$$\psi(\vec{r}_1, \vec{r}_2, \vec{r}_3) = \begin{vmatrix} \phi_{n_1 l_1 j_1}(\vec{r}_1) & \phi_{n_2 l_2 j_2}(\vec{r}_1) & \phi_{n_3 l_3 j_3}(\vec{r}_1) \\ \phi_{n_1 l_1 j_1}(\vec{r}_2) & \phi_{n_2 l_2 j_2}(\vec{r}_2) & \phi_{n_3 l_3 j_3}(\vec{r}_2) \\ \phi_{n_1 l_1 j_1}(\vec{r}_3) & \phi_{n_2 l_2 j_2}(\vec{r}_3) & \phi_{n_3 l_3 j_3}(\vec{r}_3) \end{vmatrix}$$

code: REDSTICK

Navratil + Ormand '03

(reduced dimensions, but
difficult antisymmetrization)

LO Pionless EFT: ingredients

- matrix elements of 2-, 3-body delta-functions, *e.g.*

$$\langle n_1, l = 0 | \delta(r) | n_2, l = 0 \rangle \sim \left(\frac{n_1! n_2!}{\Gamma(n_1 + 3/2) \Gamma(n_2 + 3/2)} \right)^{\frac{1}{2}} L_{n_1}^{1/2}(0) L_{n_2}^{1/2}(0)$$

EFT PC effectively justifies
(modified) cluster approximation

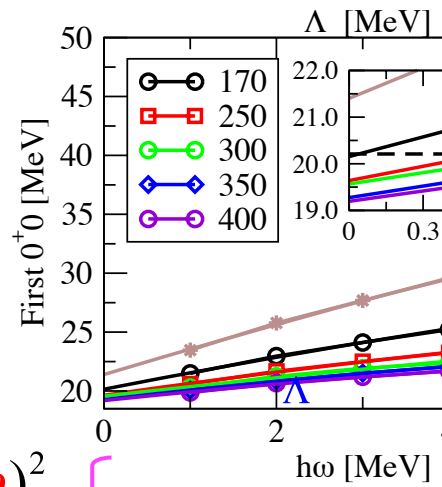
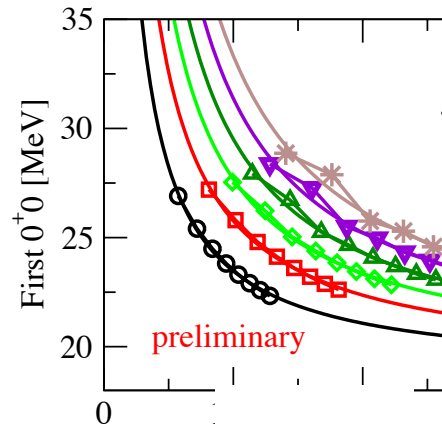
- parameters

$$C_0^{(0)}(\Lambda), C_0^{(1)}(\Lambda), D_0(\Lambda)$$

fitted to d, t, a ground-state binding energies

LO ($\nu = -1$)

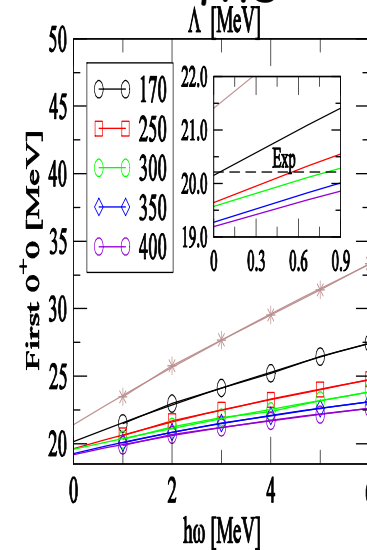
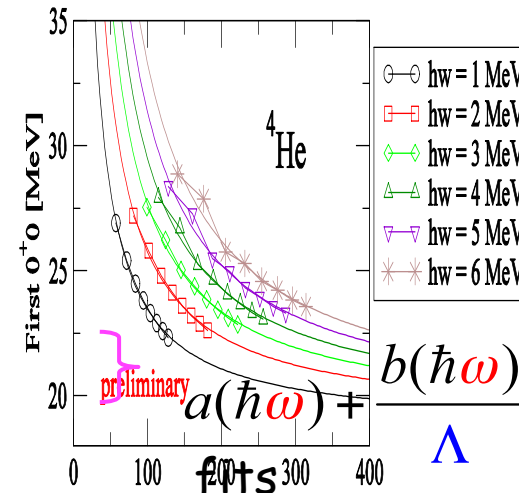
$$N_{\max} \leq 16$$



$$\alpha + \beta \hbar\omega + \gamma (\hbar\omega)^2$$

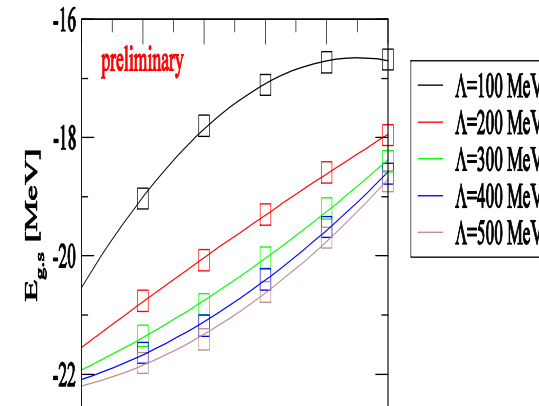
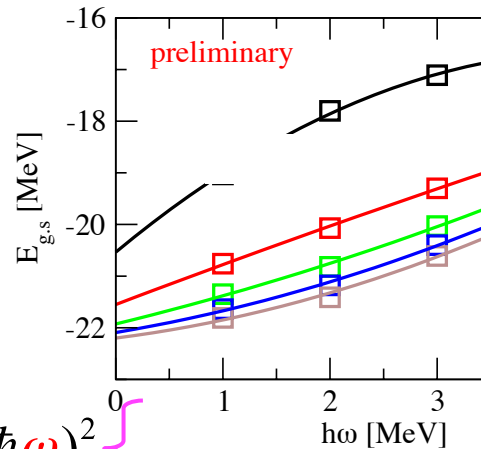
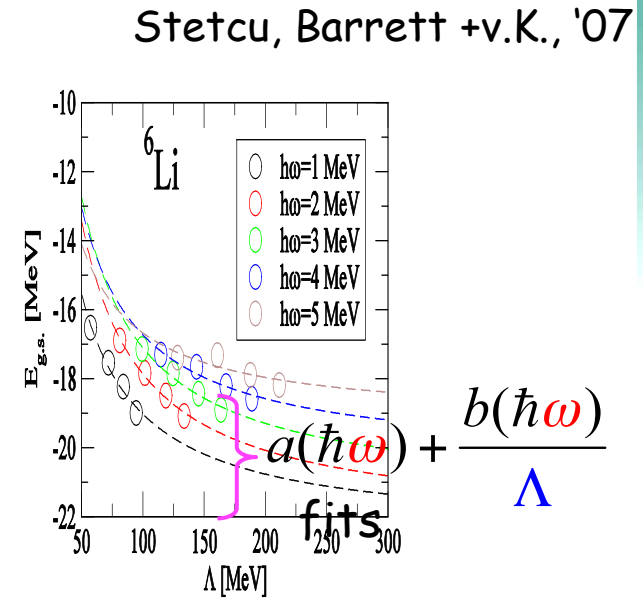
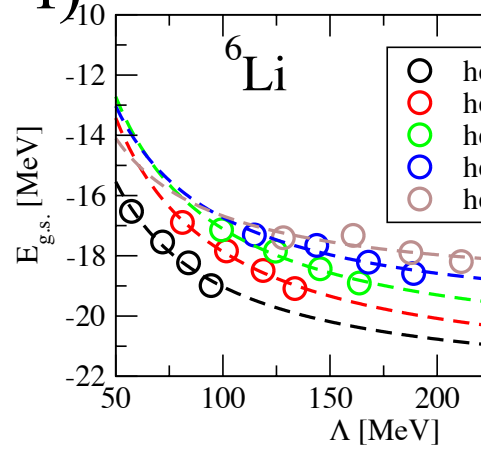
fits

Stetcu, Barrett +v.K., '07



LO ($\nu = -1$)

$N_{\max} \cong 8$



$\alpha + \beta \hbar\omega + \gamma (\hbar\omega)^2$

fits

$B_{gs} \cong 31.994 \text{ MeV (exp)}$

works within ~30%

NLO fitted to trap energies in progress

- many-body systems get complicated rapidly
 - + (continuing) focus on simpler halo/cluster nuclei
- one or more loosely-bound nucleons around one or more cores

$$\chi \equiv \sqrt{m_N E_p} \ll \sqrt{m_N E_c} \equiv M_c \quad (\text{esp. near driplines})$$

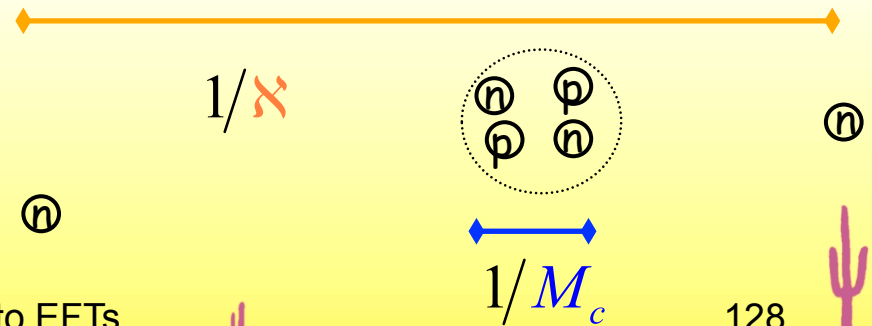
particle separation energy
core excitation energy

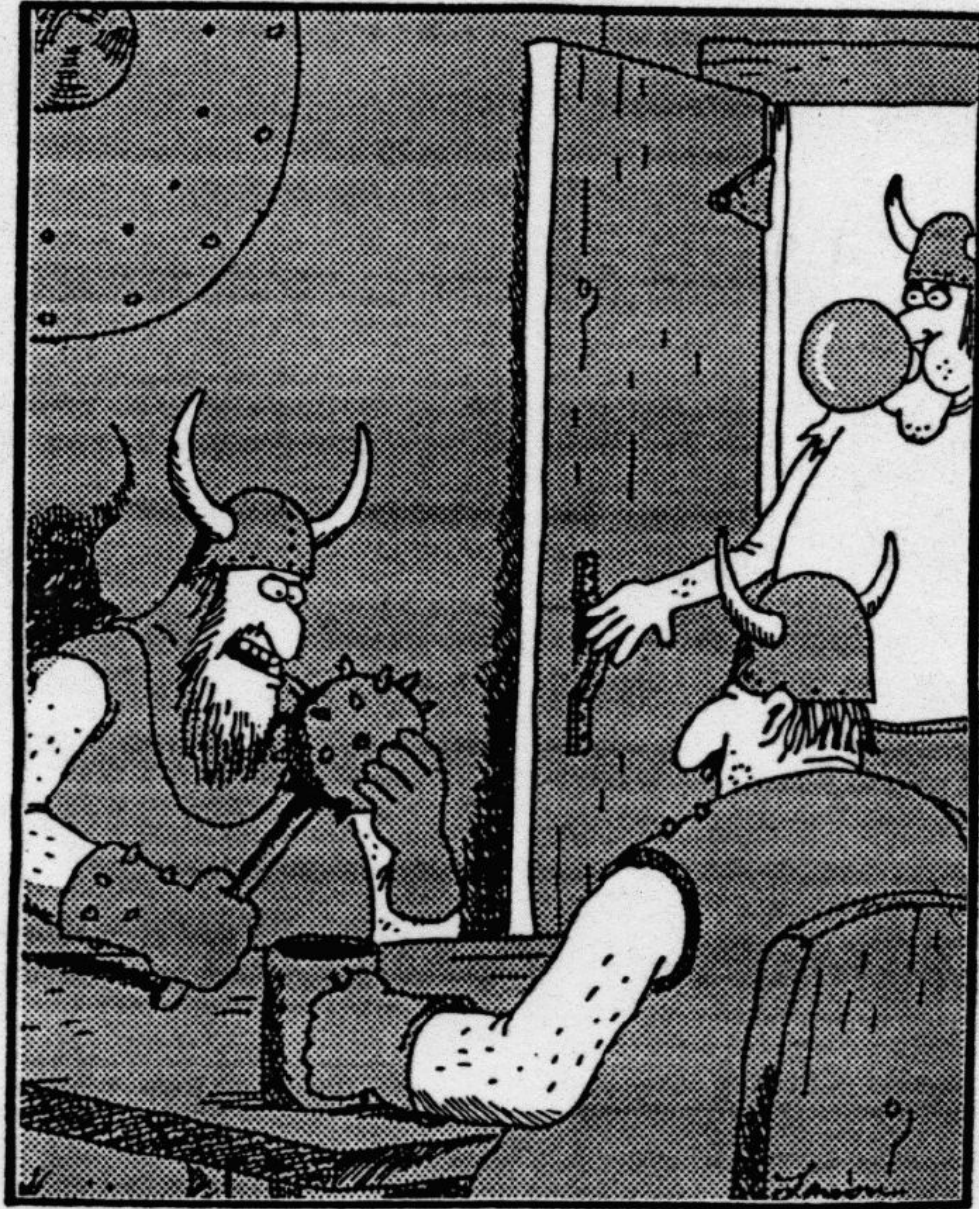
e.g.

$${}^4\text{He} \quad \left. \begin{array}{l} B_{\alpha^*} \cong 8 \text{ MeV} \\ B_{\alpha} \cong 28 \text{ MeV} \end{array} \right\} E_{\alpha} = B_{\alpha} - B_{\alpha^*} \cong 20 \text{ MeV}$$

" ${}^5\text{He}$ " $p_{3/2}$ resonance at $E_n \sim 1 \text{ MeV}$

${}^6\text{He}$ s_0 bound state at $E_{2n} \sim 1 \text{ MeV}$





"You know, Bjorg, there's something about holding a good, solid mace in your hand—you just look for an excuse to smash something."

$$Q \sim \hbar \ll M_c$$



- degrees of freedom: nucleons, cores

- symmetries: Lorentz, ~~P~~, ~~T~~

- expansion in: $\frac{Q}{M_c} = \begin{cases} Q/m_N, Q/m_c & \text{non-relativistic} \\ Q/m_\pi, \dots & \text{multipole} \end{cases}$

simplest formulation: auxiliary fields for core + nucleon states

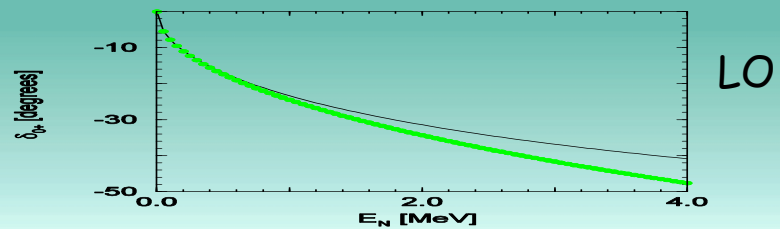
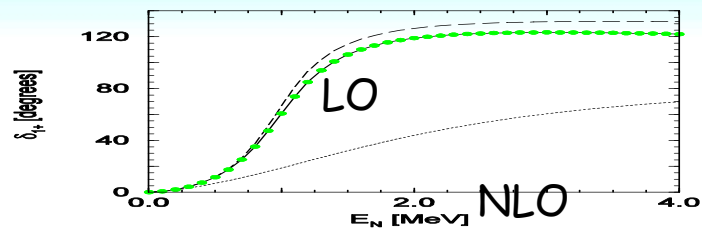
e.g. ${}^4\text{He} \mapsto$ scalar field φ

$${}^4\text{He} + \text{N} \begin{cases} s_{1/2} \equiv 0+ \mapsto \text{spin - 0 field } s \\ p_{1/2} \equiv 1- \mapsto \text{spin - 1/2 field } T_1 \\ p_{3/2} \equiv 1+ \mapsto \text{spin - 3/2 field } T_3 \\ \vdots \end{cases}$$

$N\alpha$

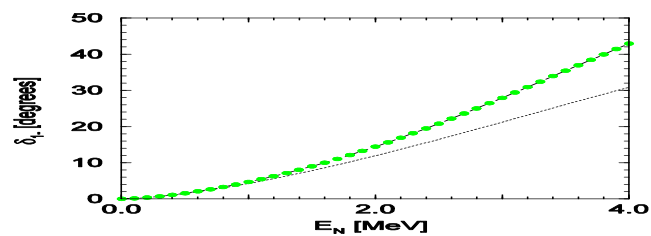
Bertulani, Hammer + v.K. '02

- PSA, Arndt et al. '73



NLO

scatt length only

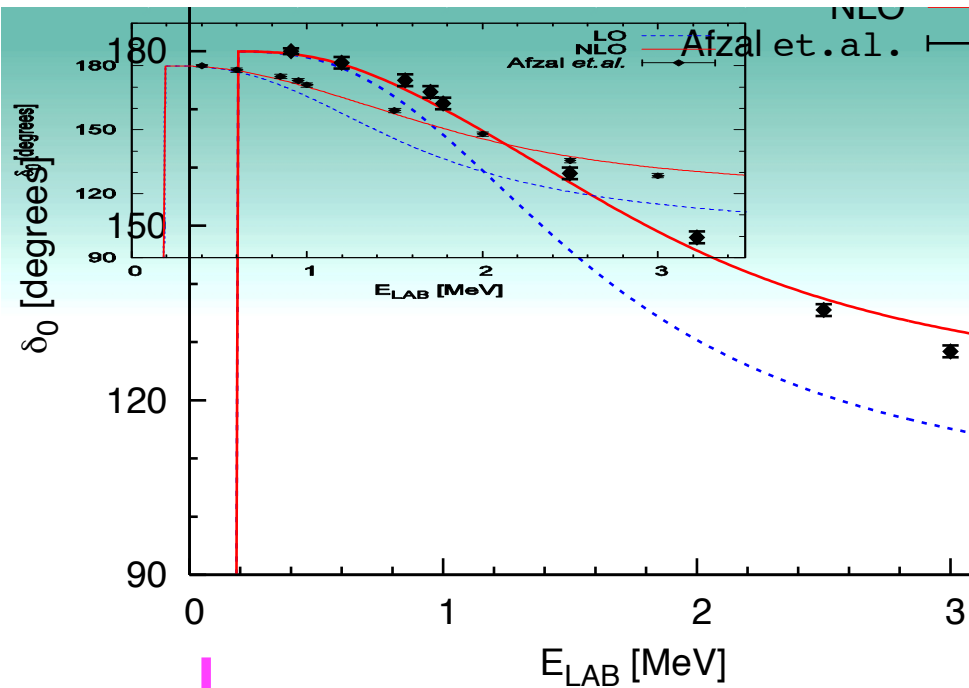


$$E_R \cong 0.80 \text{ MeV}$$

$$\Gamma(E_R) \cong 0.55 \text{ MeV}$$

NNLO

LO, NLO, NNLO



$\alpha\alpha$

Extra fitting parameters

$$\tilde{P}_0 = P_0 + \frac{1}{15k_C^3}$$

none

Bohr radius

$$\frac{1}{k_C} \equiv \frac{1}{Z_\alpha^2 \alpha_{em} \mu} \approx 3.6 \text{ fm}$$

$E_R = 92.07 \pm 0.03 \text{ keV}$
 $\Gamma(E_R) = 5.57 \pm 0.25 \text{ eV}$

fitted with a_0 and $\tilde{r}_0 = r_0 - \frac{1}{3k_C}$

More fine-tuning!!!

	a_0 (10^3 fm)	r_0 (fm)	\mathcal{P}_0 (fm^3)
LO	-1.80	1.083	—
NLO	-1.92 ± 0.09	1.098 ± 0.005	-1.46 ± 0.08

fine-tuning of 1 in 1000!

$$\left\{ \begin{array}{l} |a_0| \sim M_c^2 / \Lambda^3 \\ |a_0^{E\&M}| = \mathbf{O}(1/2k_C) \approx 1.8 \text{ fm} \\ r_0 \sim 1/M_c \\ \tilde{r}_0 = -0.13 \text{ fm} \end{array} \right\}$$

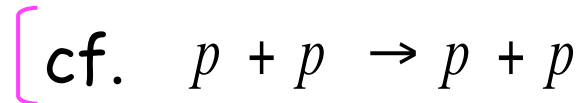
fine-tuning of 1 in 10

What next

- Coulomb interaction in higher waves:

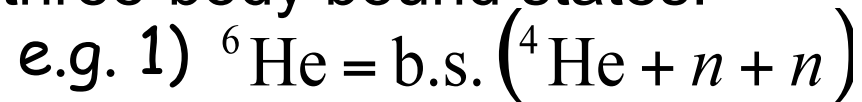


Bertulani, Higa + v.K., in progress

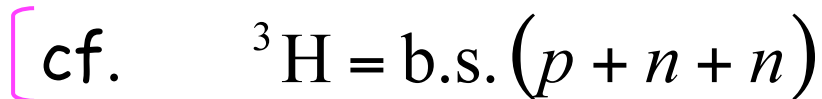


Kong + Ravndal '99]

- three-body bound states:

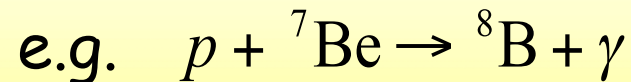


Rotureau + v.K., in progress

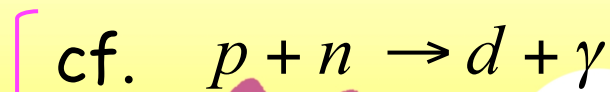


Bedaque, Hammer + v.K. '99]

- reactions:



Higa + Rupak, in progress



Chen et al. '00]

Conclusion

EFT the framework to describe nuclei within the SM

- ✓ is consistent with symmetries
- ✓ incorporates hadronic physics
- ✓ has controlled expansion

many successes so far, but still much to do


grow to larger nuclei!

➤ new, systematic approach to physics near $d_{r_i p}$ lines?