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## Lecture QCD 1

will develop and work with EFT of QCD

connection to QCD and its symmetries important throughout the lectures

⇒ brief introduction to the theory of strong interactions

### Quantum Chromodynamics

theory of quarks and gluons  $\rightarrow A_\mu^a$  fields  
 $(s=1) \quad (s=1)$

$$\mathcal{L}_{\text{QCD}} = \overline{\Psi}_i \left( (i \gamma^\mu D_\mu)^a - m_i \delta_{ij} \right) \Psi_j - \frac{1}{4} F_{\mu\nu}^a F^{\mu\nu a}$$

$\downarrow$

$$\partial_\mu - ig A_\mu^a \quad \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc} A_\mu^b A_\nu^c$$

input: quark masses and  $g$

	charge	mass
u (up)	$2/3$	2-3 MeV
d (down)	$-1/3$	4-6 MeV

with color charge ( $a$ ) = red, green, blue

fccas

2 light quarks

$$N_f = 2+1$$

c (charm)  $2/3$   $\approx 1.3$  GeV

s (strange)  $-1/3$   $\approx 100$  MeV

t (top)  $2/3$   $\approx 170$  GeV

b (bottom)  $-1/3$   $\approx 4.5$  GeV

### Comparison with QED

#### QED

$e^+, e^-$   
electric charge  $e$

1 photon  $\rightarrow$  8 gluons (massless)

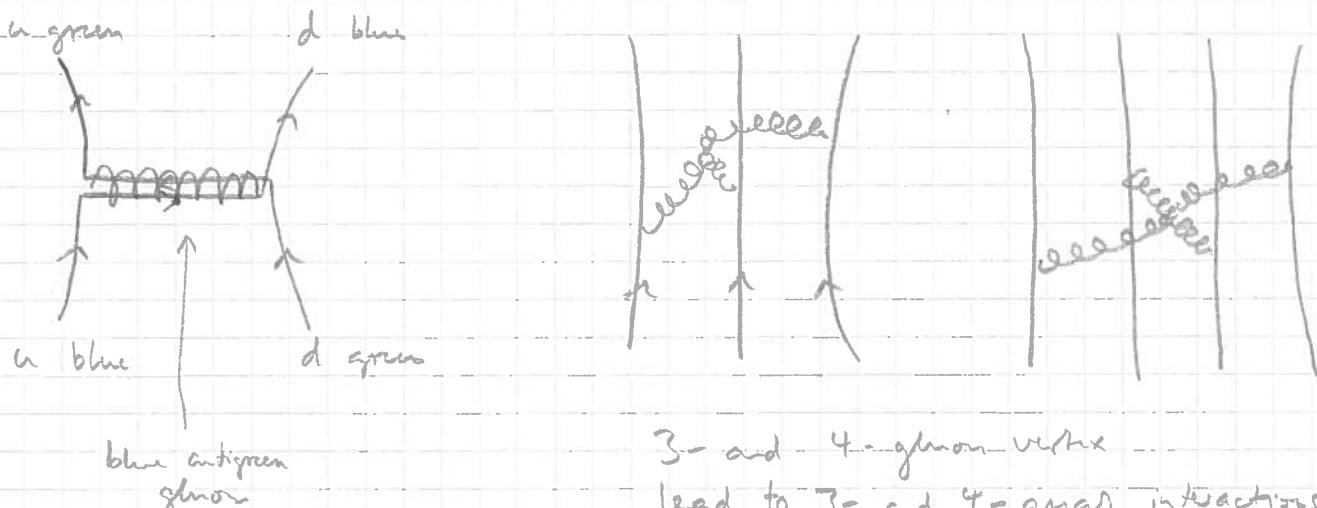
gauge group  $U(1)$   $\rightarrow$   $SU(3)$

#### QCD

quarks  
color charge  $g$

## Forces between quarks mediated by massless gluons

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3- and 4-gluon vertex  
lead to 3- and 4-quark interactions  
( $\rightarrow 3N$  and  $4N$  forces)

$$\sim \frac{g^2}{4\pi} = \alpha_s \text{ strong coupling}$$

only 8 gluons = 9 - color-blind eight

$$\frac{1}{13} (-1r\bar{r} + 1b\bar{b} + 1g\bar{g})$$

$$\text{compared to } \frac{e^2}{4\pi} = \frac{1}{137} \text{ in QED}$$

## Running coupling

QED: electric charge: screening increases effective charge at short distances  
= high momentum scale  $Q$



$\Rightarrow$  coupling strength changes with scale "running coupling" ( $\rightarrow$  key concept for nuclear forces)

QCD: color antiscreening leads to

$$\frac{1}{\alpha_s(Q)} = \frac{33 - 2N_f}{6\pi} \log \frac{Q}{\Lambda_{QCD}} \quad N_f = \# \text{ of flavors}$$

$\Rightarrow \alpha_s$  becomes weaker for high momenta  $Q$  for  $N_f \leq 16 \Rightarrow$  asymptotic freedom

Gross, Politzer, Wilczek, Nobel 2004

$\Lambda_{QCD}$  is the scale of QCD:  $\Lambda_{QCD} \sim 200-400 \text{ MeV}$   $\rightarrow \alpha_s(Q)$  figure

$\Rightarrow$  input to QCD:  $m_q$ ,  $\Lambda_{QCD}$  instead of  $g$

for chiral limit  $m_{light} \rightarrow 0$ ,  $m_{heavy} \rightarrow \infty$ ,  $\Lambda_{QCD}$  is only scale

$\Rightarrow$  QCD is perturbative at high energies, verified in exp., e.g. 3 vs 2 jet events  
 → jet figure (3)

QCD is nonperturbative at low energies  $\rightarrow$  EFT for nuclear forces

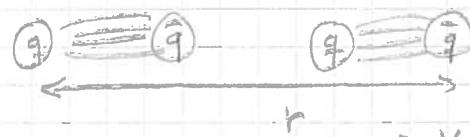
leads to 1) Confinement and 2) chiral symmetry breaking

quarks cannot be isolated, confined to color singlet (colorless) hadrons

energy to separate  $q\bar{q}$ :  $E = \sigma r$



string / gluon flux breaks as  $r$  increases, new  $q\bar{q}$  pair created



$\Rightarrow$  degrees of freedom at low energies are hadrons

masses of hadrons    bosons: mesons  $\pi, \rho, \dots$     focus on hadrons of u, d quarks  
 fermions: baryons  $N, \Delta, \dots$

$m_{\text{hadron}} \sim 1 \text{ GeV}$ , except for light  $\pi, K$

$\sim \Lambda_{\text{QCD}} \gg m_u, m_d \Rightarrow$  can think of  $\Lambda_{\text{QCD}}$  as standard QCD kilogram

QCD symmetries of quarks  $\rightarrow$  symmetries in hadron spectrum

$m_u \approx m_d \Rightarrow$  u, d quarks form isospin multiplets     $|u\rangle = | \text{isospin } \uparrow \rangle = |T=1/2, I_T=1/2\rangle$   
 $|d\rangle = | \text{isospin } \downarrow \rangle = |T=1/2, I_T=-1/2\rangle$

isospin operator  $\vec{T} = \frac{1}{2} \vec{\tau}_i$  with Pauli matrices  $\tau_i$

isospin symmetry (approximate symmetry because  $m_u \neq m_d$ ) (4)

clearly seen in hadron spectrum

baryons : nucleon  $N(\frac{1}{2}^+)$   $|n\rangle = |T=\frac{1}{2}, M_T=-\frac{1}{2}\rangle$   
 $940 \text{ MeV}$   $|p\rangle = |T=\frac{1}{2}, M_T=+\frac{1}{2}\rangle$   $\begin{matrix} \text{spin} \\ S=0 \end{matrix}$   
 $d\bar{u}d$   $u\bar{u}d$

isospin doublet is nonstrange part ( $S=0$ ) of baryon octet

Delta isobars  $\Delta(\frac{3}{2}^+)$   $|\Delta^-\rangle, |\Delta^0\rangle, |\Delta^+\rangle, |\Delta^{++}\rangle$   
 $1232 \text{ MeV}$   $ddd$   $udd$   $uud$   $uuu$   
 $|T=\frac{3}{2}, M_T=-\frac{3}{2}, -\frac{1}{2}, \frac{1}{2}, \frac{3}{2}\rangle$

isospin quartet of baryon decuplet

Simple constituent quark mass model:  $m_{\text{constituent}} = \frac{m_\Delta^{++}}{3} \approx 400 \text{ MeV} \sim \Lambda_{\text{QCD}}$

$$m_N = 3 \cdot m_{\text{constituent}} - \text{diquark } u\bar{d} \text{ and } S=0 \text{ binding}$$

$$B_{\text{diquark}} \approx 300 \text{ MeV}$$

mesons : pions  $\pi(0^-)$   $|\pi^-\rangle, |\pi^0\rangle, |\pi^+\rangle$   
 $140 \text{ MeV}$   $|T=1, n_z=-1, 0, 1\rangle$

vector mesons  $\rho(1^-)$   $|\rho^-\rangle, |\rho^0\rangle, |\rho^+\rangle$   
 $770 \text{ MeV}$

meson masses  $m_\rho \approx 2 m_{\text{constituent}} = 800 \text{ MeV}$  O.K.

but  $m_\pi \approx 140 \text{ MeV} \ll 2 m_{\text{constituent}} - B_{\text{diquark}} = 550 \text{ MeV}$

## Lagrangian symmetries with massless quarks

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$$Z_g = \bar{u} i\cancel{D}_u + \bar{d} i\cancel{D}_d = \bar{u}_L i\cancel{D}_u_L + \bar{u}_R i\cancel{D}_u_R + \bar{d}_L i\cancel{D}_d_L + \bar{d}_R i\cancel{D}_d_R$$

spinors decomposed into left- and right-handed quarks

$\Rightarrow \mathcal{L}_{QCD}$  is symmetric under independent rotations in 4d space of L- and R-handed quarks

$$\text{Symmetry} \quad \text{SU}(2)_L \times \text{SU}(2)_R \times \text{U}(1)_L \times \text{U}(1)_R$$

$$= \underset{\substack{\parallel \\ \text{vector}}}{\text{SU(2)}_{L+R}} \times \underset{\substack{\parallel \\ \text{isospin}}}{\text{SU(2)}_{L-R}} \times \underset{\substack{\parallel \\ \text{axial}}}{{U(1)}_V} \times \underset{\substack{\parallel \\ \text{chiral}}}{{U(1)}_A}$$

$SU(2)_{\text{isospin}}$  is present in hadron spectrum

$SU(2)$  axial implies degenerate parity partners

e.g. for the nucleus  $N(\frac{1}{2}^+)$  and  $N(\frac{1}{2}^-)$

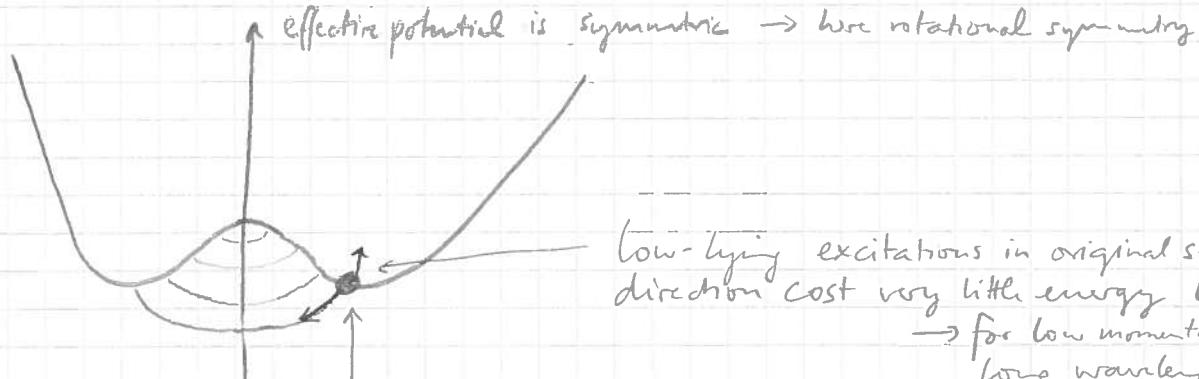
$$m_N^{\frac{1}{2}} = 940 \text{ MeV} \quad \Rightarrow \quad = 1535 \text{ MeV}$$

$\Rightarrow$  chiral symmetry is spontaneously broken in the QCD ground state / vacuum

in addition  $SU(2)_A$  is explicitly broken by  $m_{u,d} \neq 0$  mixes  $L, R$

$$Z_{g,m} = -\bar{u}_R^m u_L^m - \bar{u}_L^m u_R^m - \bar{d}_R^m d_L^m - \bar{d}_L^m d_R^m$$

## Spontaneous symmetry breaking



low-lying excitations in original symmetry direction cost very little energy  $E \approx k$   
 $\rightarrow$  for low momenta  $k = \frac{p}{\hbar}$   
 long wavelengths  $\lambda$

$\Rightarrow$  Spontaneous sym. breaking leads to massless Goldstone bosons

Light pions are Goldstone bosons of chiral symmetry breaking

$$\text{Gell-Mann - Oakes - Renner relation} \quad m_\pi^2 \sim m_q$$

finite pion mass due to explicit chiral symmetry breaking

consequences for nuclear forces:  
 $\pi$ 's interact locally w/ Q and can self-interact

## Other examples of SSB and Goldstone bosons

phase	broken symmetry	Goldstone boson
crystal	translations	phonon = lattice vibrations
magnet	rotations	magnon $\uparrow\downarrow\uparrow\downarrow\uparrow\downarrow\uparrow\downarrow\uparrow\downarrow$

In addition to the light pions, chiral symmetry breaking is responsible for the dynamical mass generation of  $m_{\text{constituent}} \approx 300 \text{ MeV} \rightarrow m_{\text{n,d}}$

## QCD phase diagram

at high temperatures and densities = high momenta  $\rightarrow$  asymptotic freedom

transition to deconfinement and chiral symmetry restoration  
 $m_{\text{constituent}} \rightarrow m_{\text{n,d}}$   
 quarks and gluons become free of their confinement into hadrons

Lattice QCD at zero chemical potential for  $T \geq 170 \text{ MeV} \approx 10^{12} \text{ K}$

We will focus on the low  $T$ , low baryon density regime of the QCD phase diagrams

$\Rightarrow$  degrees of freedom: nucleons and pions (and  $\Delta$ 's)

## Units

We will work in units with  $\hbar = c = 1$

use  $\hbar c = 197.327 \text{ MeV fm}$  to convert  $\text{MeV} \leftrightarrow \text{fm}^{-1}$   
 $\text{fm} \leftrightarrow \text{fm}^{-1}$

e.g. pion mass  $m_\pi = 140 \text{ MeV} = \frac{140 \text{ MeV}}{\hbar c} = 0.7 \text{ fm}^{-1}$  (inverse de-Broglie wavelength)

also useful to remember  $\frac{\hbar^2}{m_N} = \frac{\hbar^2 e^2}{m_N c^2} = 41.4 \text{ MeV fm}^2$

## Naive dimensional analysis and naturalness

Example: Radius  $r$  and energy  $E$  of hydrogen-like atoms

$$\text{reduced mass } \mu = \frac{m_e m_{\text{Nucleus}}}{m_e + m_{\text{Nucleus}}} \approx m_e$$

What can  $r$  and  $E$  depend on?  $\rightarrow$  relevant quantities  
dimensions

reduced mass  $m_e$  depends on constants

$$\text{Coulomb potential } V(r) = -\frac{k z e^2}{r} \rightarrow [m] [L]^3 [T]^{-2}$$

quantization  $\hbar$

$$\Rightarrow r \sim \frac{\hbar^2}{k z e^2 \cdot m_e} \quad \text{and} \quad E \sim \frac{k z e^2}{r} = \frac{(k z e^2)^2 m_e}{\hbar^2}$$
  
 $= \frac{a_0}{z}$  Bohr radius

$$QM: r = \frac{a_0}{z} \quad QM: \text{constant } \frac{1}{2}$$

so constant  $\sim 1 = 1$

NDA often allows one to estimate the answer and scaling law up to an overall factor that is usually of  $O(1) \Rightarrow$  naturalness