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T3a-1

## Lecture T3a: MBPT continued + Evolution of Operators

- ① Take-away points from <sup>natural</sup> perturbless EFT at finite density
- ② Traditional G-matrix and hole-line expansion MBPT
  - brief discussion of Goldstone vs. Feynman diagrams
  - power counting differences: hard vs. soft interaction
  - G-matrix vs. low-momentum V
  - ⇒ why MBPT can work with softened V and DFT feasible
- ③ Operators at different resolution
  - operator expectation values
  - constructing consistent operators
  - RG evolution and interpretation

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Take-away points about natural processes EFT at finite density

• Low resolution view - coarse-grained impressions

① Renormalization of UV in free space carries over to finite density  
 high-momentum physics low-momentum physics  
 ⇒ no new divergences ⇒ no new sensitivities to  $\Lambda_c$

② Energy (density) can be calculated from Feynman diagrams without external lines

reduce a diagram to an integral  
 ⇒ numerical in general  
 find inverse by going to eigenbasis

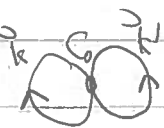
• Feynman rules → analogous to those from relativistic field theory  
 • integrals over both frequency  $k_0$  and 3-momentum  $\vec{k}$   
 • propagator from  $\gamma^t (i \frac{\partial}{\partial t} + \nabla^2 / 2m) \gamma$

←  $G^0 \propto \text{inverse of } (\ )$

• take  $\gamma \propto e^{-ikt} e^{i\vec{k}\cdot\vec{x}} \Rightarrow (i \frac{\partial}{\partial t} + \frac{\nabla^2}{2m}) \gamma \rightarrow k_0 - \frac{k^2}{2m} \equiv k_0 - \omega_k$

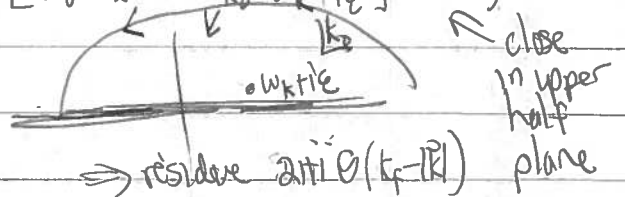
inverse ⇒ boundary conditions  $\left( \frac{\theta(|k| - k_F)}{k_0 - \omega_k + i\epsilon} + \frac{\theta(k_F - |k|)}{k_0 - \omega_k - i\epsilon} \right)$  "Feynman propagator"  
 particle: propagate forward in time    hole: propagate backward in time  
 (cf. positive vs. negative energy Dirac propagator)

• integration over frequency ⇒ pick up poles

LO:   $\frac{1}{2} C_0 (1 - \frac{1}{\nu}) \left( i \nu \int \frac{d^3k}{(2\pi)^3} \int_{-\infty}^{\infty} \frac{dk_0}{2\pi} \left[ \frac{\theta(|k| - k_F)}{k_0 - \omega_k + i\epsilon} + \frac{\theta(k_F - |k|)}{k_0 - \omega_k - i\epsilon} \right] e^{ik_0 t} \right)^2$

$= \frac{1}{2} C_0 (1 - \frac{1}{\nu}) C_0 g^2$

[since  $g = \nu \int \frac{d^3k}{(2\pi)^3} \theta(k_F - |k|)$ ]



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③ Power counting example  $\Rightarrow$  systematic finite  $\rho$  example

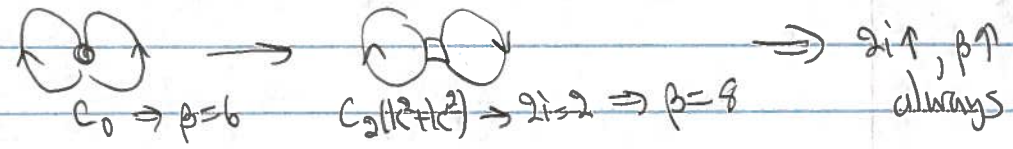
energy density  
 - diagrams scale as  $\left(\frac{k_F}{\Lambda_b}\right)^\beta$  with  $\beta = 5 + \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} (3n + 2l - 5) V_{2l}^n$

•  $(3n + 2l - 5) V_{2l}^n \geq 1 \Rightarrow \beta \geq 6$

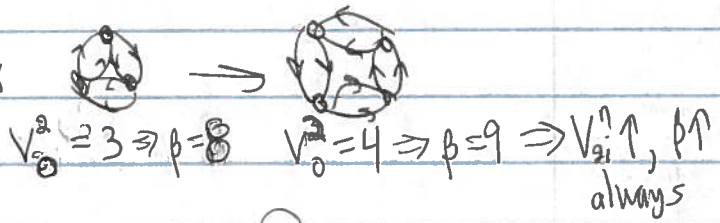
$\uparrow$   $\uparrow$   $\uparrow$   
 $n \geq 2$   $2l \geq 0$   $l \geq 1$

$\uparrow$   $\uparrow$   $\uparrow$   
 n-body vertex  $q_i$  derivatives # of  $n, 2l$  vertices

• Switch vertex for one with more derivatives



• Add a similar vertex



• 3-body?  $\bigcirc \bigcirc \propto k_F^6 \propto \rho^2$  vs.  $\bigcirc \bigcirc \bigcirc \propto \rho^3 = k_F^9$

$n=3, l=0, V_0^3=1 \Rightarrow \beta = 5 + 3 \cdot 3 + 2 \cdot 0 - 5 = 9 \checkmark$

$\Rightarrow$  a finite # of diagram contribute at each order.

• power series? No, because term with  $(2-2)(2-1) (k_F \rho_0)^4 \ln(k_F \rho_0)$   
 • 3-body needed!  
 $\uparrow$   
non-analytic

• An academic exercise?

• Is this like low-density neutron matter?

• no: if  $\rho_0 \gg \rho$ ,  $\Lambda_{cut}$  must sum all diagrams with  $C_0$   
 $\Rightarrow$  only numerically (at present)

\*slides

• Anything like higher density? Don't we resolve pions? See DFT tensor slides!



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• Reminder: softened potentials at finite density

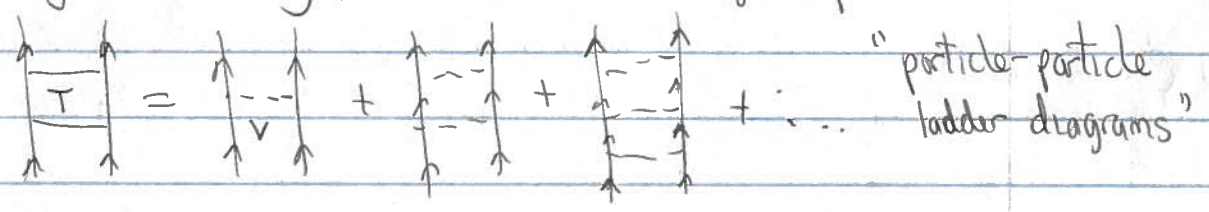
• Weinberg eigenvalue analysis applied to the T-matrix Lippmann-Schwinger equation

$$\hat{T}(\epsilon) = V + V \frac{1}{\epsilon - H_0} V + V \frac{1}{\epsilon - H_0} V \frac{1}{\epsilon - H_0} V + \dots$$

showed increased convergence (smaller eigenvalue) when SRC  $\lambda$  or  $V_{\text{lowk}} \Lambda$  is reduced.

• At finite density, the intermediate states are Pauli blocked  $\Rightarrow$  changes the convergence even more  $\Rightarrow$  perturbation theory in particle-particle ladders works.

• Diagrammatically, the Lippmann-Schwinger equation is



• That's all there is in free space. At finite density many more diagrams are possible. (Why?)

• The question of MBPT is how to power count these diagrams:

• What is an organizational principle that allows for systematic calculations?

• How does the power counting depend on the resolution of the interaction?

• go to finite density by closing lines

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### Traditional BBG power counting; G-matrix and hole-line expansion

Plan: Highlight the important features that depend on the interaction and how it changes with low-resolution interactions.

on the original BBG theory  
• For (much) more details, see the review by Day (RMP, 1978) and references therein and the many-body book by Negele and Orland.

• BBG  $\rightarrow$  Brueckner-Bethe-Goldstone  
• Developed to deal with potentials with strongly repulsive cores

Basics:

• Write the Hamiltonian as  $\hat{H} = \hat{H}_0 + \hat{H}_1$

where

$$\hat{H}_0 = \hat{T} + \hat{U} \quad \text{and} \quad \hat{H}_1 = \hat{V} - \hat{U}$$

with  $\hat{U}$  a single-particle potential to be specified

• Great freedom to choose  $\hat{U}$  (eg. could be UHF  $\rightarrow$  Hartree-Fock) (in a finite system where  $\rho(x)$ )

•  $\hat{H}_0 |\Phi_0\rangle = E_0 |\Phi_0\rangle$  is the reference state

Kohn-Sham reference system has same density as exact system

• In DFT, need freedom to make the density of  $|\Phi_0\rangle$  the same as the full, exact density, order-by-order in an expansion

• In conventional BBG, freedom is needed to enhance convergence, so not available for DFT.

• Restatement of time-independent perturbation theory for ground-state energy  $E$ :

$$E = E_0 + \langle \Phi_0 | \hat{H}_1 \sum_{n=0}^{\infty} \left( \frac{1}{E_0 - \hat{H}_0} \hat{H}_1 \right)^n | \Phi_0 \rangle_{\text{connected}}$$

$\nwarrow \langle \Phi_0 | \hat{H}_0 | \Phi_0 \rangle$

looks like perturbation theory!

cf. Feynman diagrams  
 $\Rightarrow$  Feynman perturbation theory has time (or frequency) integrals. Do these and we get time-ordered Goldstone diagrams

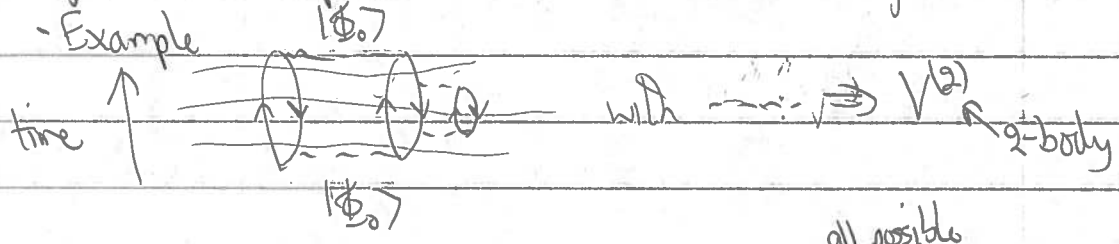
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Diagrammatic expansion called Goldstone diagrams

Example

$E_{max}$   
convergent  
single-particle



start with  $|\phi_0\rangle$  and apply  $\hat{H}_1$ , which creates particles and holes

For  $V^{(2)}$ , this is two particles and 2 holes

$\frac{1}{E_0 - \hat{H}_0}$  propagates the state  $\leftarrow$  particle lines up  $\uparrow \epsilon > \epsilon_F$   
 $\Rightarrow$  involves single-particle energies (sum of particle = sum of hole energies)  $\leftarrow$  hole lines down  $\downarrow \epsilon < \epsilon_F$

schematic!  
see refs for details

"Connected" means  $|\phi_0\rangle$  is not an intermediate state

of put in  $\hat{P} = 1 - |\phi_0\rangle\langle\phi_0|$  projector

$$\Rightarrow E - E_0 = \sum_{\text{connected diagrams}} \frac{(-1)^{n_p + n_h}}{2^{n_e}} \prod \frac{1}{\left(\sum_a \epsilon_a - \sum_A \epsilon_A\right)} \prod \left\{ \frac{1}{i} \frac{\hat{V}_{ij}}{V_{ij}} \right\}$$

anti-symmetric!

$n_e = \#$  energy denom.  
 $n_l = \#$  of closed loops  
 $n_h = \#$  of hole lines

single particle energies according to  $\hat{H}_0$

hole energies  $\uparrow$  particle energies  $\leftarrow$  sum of particle energies - hole energies

The details are not so important to us as the basic organization and the consequences for a diagrammatic expansion.

For potentials with repulsive cores

What happens if you try to apply this in an expansion in the number of times  $\hat{H}_1$  acts? Two infinite-order resummations needed:

- ① Successive particle-particle ladders within a series of diagrams are all the same size  $\Rightarrow$  sum into G matrices
- ② Expansion in # of G matrices is still not perturbative: only adding an independent hole line to a diagram makes it smaller  $\Rightarrow$  sum all diagrams with a given number of hole lines (infinite!)

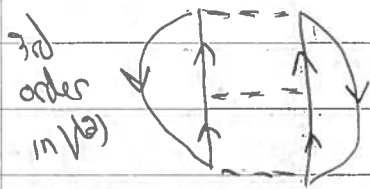
choosing  $\hat{U}$  to cancel diagrams. Eg. if  $\hat{U} = U_{HF}$ , the Hartree-Fock potential, then

some exact middle:  $\sum_{n \in E_F} \langle a | U | b \rangle - \langle a | U_{HF} | b \rangle = 0$

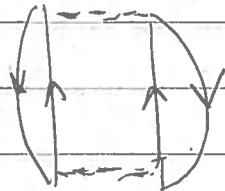
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Let's start with numerical study of the ratio of



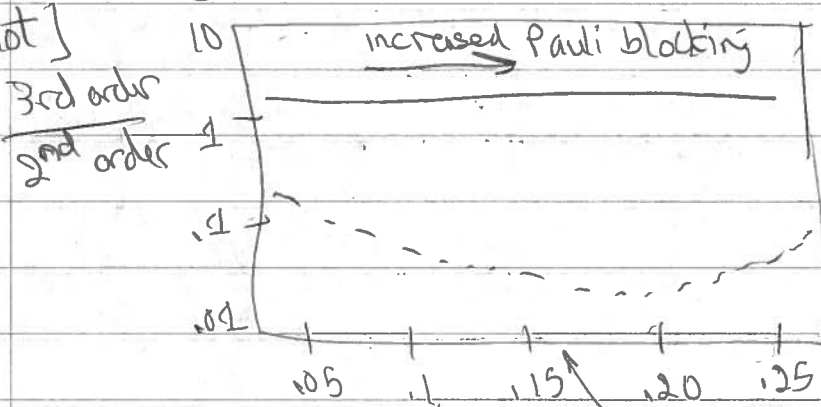
to 2nd order in  $V_0$



Is it bigger or smaller?  
(And 4th to 3rd, 5th to 4th, etc.)

(see slides later)

[log plot]



← AV18 (ratio 1/7 independent of density)

← evolved by  $V_{lowk}$  RG to  $\Lambda = 2.1 \text{ fm}^{-1}$

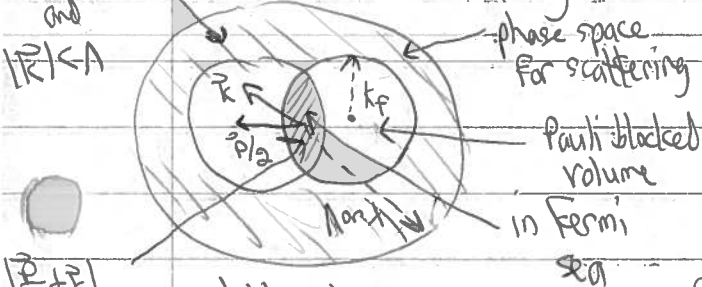
saturation density

- Uses Hartree-Fock (HF) continuous single-particle spectrum
- contributions from higher-orders for AV18 have similar ratio

•  $N^3\text{LO}$  picture is much more favorable (less than unity) but still a dramatic reduction when evolved to  $V_{lowk}$   $\Lambda = 2.1 \text{ fm}^{-1}$  or SRG  $\Lambda = 2 \text{ fm}^{-1}$

com and relative momenta of pair

$|\frac{\vec{P}}{2} \pm \vec{k}| > k_F$   
and  
 $|\vec{K}| < \Lambda$



$|\frac{\vec{P}}{2} \pm \vec{k}| < k_F$

available phase space for in-medium NN scattering

- Why does decoupling high and low momentum lead to smaller contributions?
- Pauli blocking and weaker interaction in relevant phase space for S-wave
  - For large  $\Lambda$  and strong repulsive  $V^{(2)}$ , contribution is from large region and part excluded by Pauli blocking is small  $\Rightarrow$  density independent.
  - For low  $\Lambda$ , there is a smaller and smaller region as density increases, and matrix elements are weaker there



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- So SRG or  $V_{lowk}$  at  $\lambda, \Lambda \lesssim 2fm^{-1}$  is perturbative, each ladder rung added makes the diagram smaller
- exactly consistent with Weinberg eigenvalues.

• For hard potentials, must add up the rungs, as we did in free space to form the T-matrix

$$\frac{1}{E-H_0} \text{ (free space)} \rightarrow \frac{G_{\mathbb{P}}}{E'-H_0} \text{ (in-medium)}$$

← Pauli-blocking operator

- It has been said in the literature that  $V_{lowk}$  interaction is just like a G-matrix.
  - But only true at low momentum (under certain assumptions for the treatment of the single-particle energies)
  - \* • but there is still a lot of off-diagonal strength in the G-matrix and this makes the energy still non-perturbative in the G-matrix while perturbative in the  $V_{lowk}$  or  $V_{SRG}$  low-momentum potential

(see pictures)

- Hole-line expansion: power counting for the G-matrix
  - an analysis shows that the size of a diagram with conventional potentials doesn't relate to how many particle lines there are, but how many (independent hole lines)
  - see slides for examples of 4th order to third-order diagram, where one 4th order has another particle line while the other adds a hole line.  $\sim \text{O} \leftarrow$  extra hole line



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The estimate for the ratio of 4<sup>th</sup> to 3<sup>rd</sup> order when a particle line is added goes like the defect wave function at the origin. (in coordinate space) [see B. Day, RMP (1967)]

- This is the two-particle relative wf compared to non-interacting relative plane waves.

- This defect is almost complete for highly repulsive cores but largely gone for low-momentum  $\psi_0$  and greatly reduced for  $\psi_1$ ,

see graphs

⇒

⇒ adding an interaction doesn't reduce the diagram in the hard case (so resum all) but does in the soft case.

- When a hole line is added, the relevant expansion parameter is the excluded volume at short-range to the average volume occupied by a particle (proportional to  $1/\rho$ ).

- This is the so-called "wound-integral"  $\chi$

- It is less than one ⇒ expansion for even hard potentials.

- Still better for soft potentials (and no resummation)

- Bottom line: For soft potentials, adding another potential line reduces the size of the diagrams (caveat: in all cases considered so far)

⇒ real perturbation theory may work. ← tested for neutron matter so far with QMC comparison ✓

- \* Regular hole-line expansion also needed to choose  $\hat{U}$  to cancel diagrams to enhance convergence,

- With low-momentum potentials we are free to choose it to make Kohn-Sham DFT work.

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MBPT in finite nuclei (teaser)

- Here we'll just briefly mention two applications of MBPT in finite nuclei with some slides,
  - Achim will have more to say later this week on the shell model applications.
- High-order Rayleigh-Schrödinger MBPT in nuclei (Roth et al.)
  - SRG-evolved two-body interactions based on an initial  $N^3LO$  interaction
  - Perturbation series diverges even for very soft potentials
  - A simple resummation with Padé approximants results in stable energies in agreement with exact NCSM calculations in the same harmonic oscillator model space.

X ⇒ see slide

- Direct use of perturbative methods in microscopic valence-shell calculations
  - a small number of nucleons outside a closed-shell core interact via an appropriate effective interaction treated in MBPT
    - includes nonperturbative transformation to remove the energy dependence of the MBPT effective Hamiltonian
  - one application: impact of  $\Delta N F$  on location of neutron drip line
  - mass prediction for calcium isotopes contradicted existing masses but validated by new, high precision measurements



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Operator expectation values (Exercise)

• Suppose we would like to know the contribution to the energy of a nucleus from the three-body force only?

• eg. if  $\hat{H} = \hat{T} + \hat{H}^{(2)} + g_3 \hat{H}^{(3)}$  and we want to know the expectation value of  $g_3 \hat{H}^{(3)}$  in the state  $|\Psi\rangle$ .

• We could compare energies with and without  $g_3 \hat{H}^{(3)}$  included, but that would only be quantitatively correct if we knew  $g_3 \hat{H}^{(3)}$  was a small perturbation.

• Better: use the Hellmann-Feynman (or Feynman-Hellmann) theorem:

$$\frac{dE(\lambda)}{d\lambda} = \langle \Psi(\lambda) | \frac{\partial \hat{H}}{\partial \lambda} | \Psi(\lambda) \rangle \quad \text{where } \hat{H} |\Psi(\lambda)\rangle = E(\lambda) |\Psi(\lambda)\rangle$$

• what would you choose for  $\lambda$  to find  $\langle \Psi | g_3 \hat{H}^{(3)} | \Psi \rangle$ ?

• How could you find  $\langle \Psi | \hat{O} | \Psi \rangle$  for any operator  $\hat{O}$  if you add it to the Hamiltonian  $\hat{H} \rightarrow \hat{H} + \lambda \hat{O}$ ?

$\Rightarrow$  prove the theorem and work out the details as an exercise.

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## Constructing consistent operators

How do we consistently match a Hamiltonian and operators for an observable? (eg, for electromagnetic form factors)  
 $\Rightarrow$  use EFT perspective and tools

We build <sup>chiral</sup> symmetric Hamiltonians (Lagrangians) by identifying building blocks and then constructing all operators.

Example: electromagnetic current in deuteron (D.R. Phillips nucl-th/0503014)

For electromagnetism, use gauged derivative

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + (\text{spin part from before}) - \frac{ie}{2} \mathbb{1} \tau_3 A_\mu$$

$\nwarrow$   
heavy baryon formalism

and  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$

$\Rightarrow$  include all terms in Lagrangian

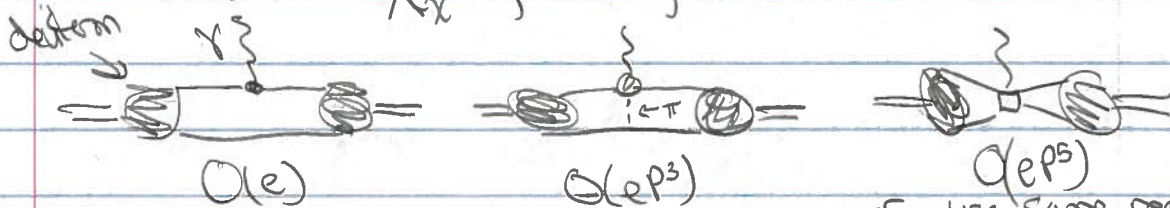
organize (power count) by

$$J_\mu = e \sum_{i=1}^{\infty} c_i \frac{1}{\Lambda^{i-1}} \mathcal{O}_\mu^{(i)}$$

$\nwarrow$   
order(i) coefficient

operator with  $i-1$  powers of  $p$  (momentum of nucleus in deuteron),  $m_\pi$ , or  $Q$  (four-momentum transfer)

with  $P \equiv \frac{p, Q, m_\pi}{\Lambda}$ , leading terms are



$\nwarrow$  use same regulators, other vertices

model independent because complete (up to some order)

tells you when new info is needed (i.e., a new LEC required)

Use RG as a tool to consistently evolve operators



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## RG evolution of operators

Recall SRG evolution of Hamiltonian:

$$\hat{H}_s = U(s) \hat{H}_{s=0} U^\dagger(s) \Rightarrow \frac{d\hat{H}_s}{ds} = [\eta_s, \hat{H}_s] = [[G_s, H_0], H_s]$$

From an exercise, operators evolve by expect many-body operators.  
exercise: does one-body part  
(a) change? No!

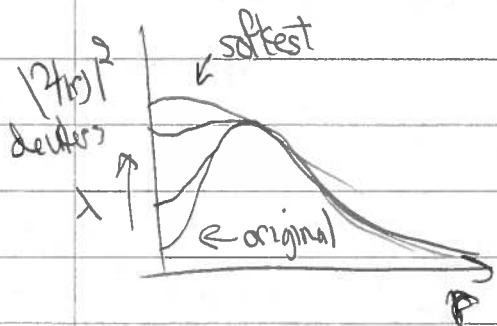
$$\hat{O}_s = U(s) \hat{O} U^\dagger(s) \Rightarrow \frac{d\hat{O}_s}{ds} = [[G_s, H_s], \hat{O}_s]$$

or construct  $U(s) = \sum_i |\psi_i(s)\rangle \langle \psi_i(0)|$  or  $\eta_s = \frac{dU(s)}{ds} U^\dagger(s) \Rightarrow \frac{dU(s)}{ds} = \eta_s U(s)$

If we evolve the operator, matrix elements are trivially unchanged  $\langle \psi(s) | \hat{O}_s | \psi(s) \rangle = \langle \psi(0) | U^\dagger(s) U(s) \hat{O} U^\dagger(s) U(s) | \psi(0) \rangle = \langle \psi(0) | \hat{O} | \psi(0) \rangle$

What if we don't evolve the operator?

Recall that the wave function gets modified at short distances



(rms radius)<sup>2</sup> =  $\int |\psi(r)|^2 d^3r \cdot r^2$  changes!  
or  $\hat{Q} = \langle 3z^2 - r^2 \rangle$  quadrupole moment

changes because  $\psi(\vec{r})$  does

less change

which answer is "correct"?

⇒ look at slides