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Recaps and follow-ups from M1b Scattering Theory 1.

- Comments on working together
 - teaching each other is a win-win situation. cf. Weinberg's insights from teaching in history & fft essay.
 - be sure to talk to more than the same few people — but this can be done on a group level.
 - if anyone is feeling left out, please let us know!

Follow-ups from M1 exercise 5: "Exploring the Lippmann-Schwinger equation."

$$| \psi_E^+ \rangle = | \phi \rangle + \frac{1}{E - H_0 + i\epsilon} V | \psi_E^+ \rangle$$

is just a rewrite of the Schrödinger equation as an integral equation

$\frac{1}{E - H_0 + i\epsilon}$ is just the Green's function.

Check by operating with $E - H_0$ (to kill denominator)

$$\Rightarrow (E - H_0) | \psi_E^+ \rangle = (E - H_0) | \phi \rangle + V | \psi_E^+ \rangle \quad \checkmark$$

$$\text{since } (H_0 + V) | \psi_E^+ \rangle = E | \psi_E^+ \rangle$$

But now $V | \psi_E^+ \rangle = V | \phi \rangle + V \frac{1}{E - H_0 + i\epsilon} V | \psi_E^+ \rangle$ only on-shell

Hit with $\langle \phi' |$ to get LS equation we discussed.

Or, generalize to an operator $\hat{T}(z)$ with z any complex number

$$\hat{T}(z) = \hat{V} + \hat{V} \frac{1}{z - H_0} \hat{T}(z) = \hat{V} + \hat{V} \frac{1}{z - H_0} \hat{V} + \hat{V} \frac{1}{z - H_0} \hat{V} \frac{1}{z - H_0} \hat{V} + \dots$$

where the 2nd equality follows by iteration.

- Take $z = E_k + i\epsilon$, sandwich $\langle \phi' |, | \phi \rangle$, we get back the full LS, \Rightarrow generalized.

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Separable potential $\hat{V} = g|\eta\rangle\langle\eta|$

to be local it would have to be function of $(\vec{r}-\vec{r}')$, it's not!

$$\Rightarrow \langle \vec{R} | \hat{V} | \vec{R}' \rangle = g \langle \vec{R} | \eta \rangle \langle \eta | \vec{R}' \rangle$$

$$\langle \vec{r} | \hat{V} | \vec{r}' \rangle = g \langle \vec{r} | \eta \rangle \langle \eta | \vec{r}' \rangle$$

not local!
(unless $\eta(\vec{r}) \propto \delta^3(\vec{r})$)

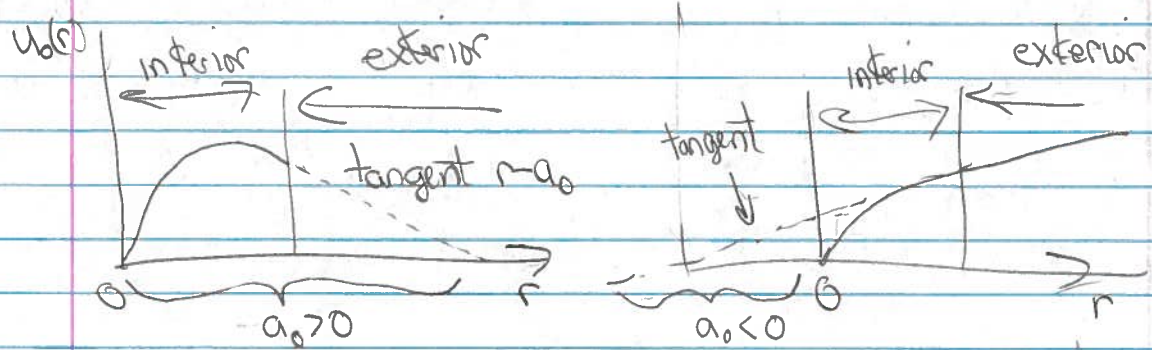
\Rightarrow can solve for $\hat{T}(z)$ algebraically! \Rightarrow exercise.

Effective range expansion

recall $f_l(k) = \frac{S_l(k) - 1}{2ik} = \frac{1}{k \cot \delta_l(k) - ik}$

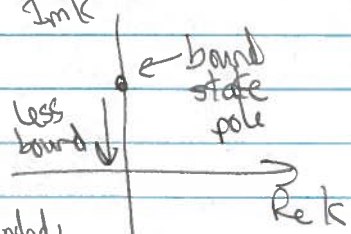
for $l=0$, $k \cot \delta_0(k)$ has Taylor series (radius of convergence?)

* \Rightarrow go to M1b-7 and do that page.



[Figure 5 in M1b notes has opposite sign convention for a_0 !]

Note that $f_0(k) = -\frac{1}{1/a_0 - ik}$ has a pole at $k = \frac{i}{a_0}$ (imaginary axis)



$$\Rightarrow E \approx -\frac{\hbar^2 k^2}{2\mu} = -\frac{\hbar^2}{2\mu a_0^2}$$

purely imaginary momentum

recommended:

[Problem 9 from M1 exercises shows square well pole \Rightarrow same eigenvalue condition]

• Taylor expansion for FRE: radius of convergence?

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T1a-3

• Show figures of nuclear force potential

• Comments:

• eight decade effort to accurately describe nuclear force, starting from Yukawa's meson theory in 1935

• pion was always a part, but chiral symmetry not understood until much later (Gerry Brown was key)

• but how should we relate to QCD?

• isn't left picture more accurate \Rightarrow better ???

• brief history (as in longer notes)

• Comments:

• model independence, theory error estimates \Rightarrow goal

• quark substructure is included even though point nucleons (cf. multipole expansion)

• future: find low-energy constants (LECs) from lattice QCD! (next week)

• if we just want to do low-energy physics, explicit quarks and gluons can be gross overkill.

• Look next at general constraints on NN interactions

• notes are more extensive but this is a standard exercise

\Rightarrow we're interested in the ideas more than the details here

• we talked a bit about non-uniqueness and non-local potentials \Rightarrow let's follow up,

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• One way to proceed is to start with a general basis in spin, isospin, spatial coordinates and identify symmetry constraints,

• So give all matrix elements $\langle \vec{r}'_1 s'_1 t'_1 \vec{r}'_2 s'_2 t'_2 | V | \vec{r}_1 s_1 t_1 \vec{r}_2 s_2 t_2 \rangle$ with $s_i = \pm 1/2$, $t_i = \pm 1/2$ are spin and isospin projections.
• complete basis.

• Suppress spin, isospin for a moment

$$\hat{V} | \vec{r}_1 \vec{r}_2 \rangle = \int V(\vec{r}'_1, \vec{r}'_2, \vec{r}_1, \vec{r}_2) | \vec{r}'_1 \vec{r}'_2 \rangle d^3 r'_1 d^3 r'_2$$

local means $V = V(\vec{r}_1, \vec{r}_2) \delta(\vec{r}_1 - \vec{r}'_1) \delta(\vec{r}_2 - \vec{r}'_2) \Rightarrow \hat{V} | \vec{r}_1 \vec{r}_2 \rangle = V(\vec{r}_1, \vec{r}_2) | \vec{r}_1 \vec{r}_2 \rangle$
• only depends on positions, not velocities of particles.

\Rightarrow suggests that non-locality is related to velocity (or momentum) dependence.

• Basic result from $| \vec{r}'_1 \vec{r}'_2 \rangle = | \vec{r}_1 \vec{r}_2 \rangle + [(\vec{r}'_1 - \vec{r}_1) \cdot \vec{\nabla}_1 + (\vec{r}'_2 - \vec{r}_2) \cdot \vec{\nabla}_2] | \vec{r}_1 \vec{r}_2 \rangle + \dots$

$$\Rightarrow \hat{V} | \vec{r}_1 \vec{r}_2 \rangle = \int V(\vec{r}'_1, \vec{r}'_2, \vec{r}_1, \vec{r}_2) (e^{i(\vec{r}'_1 - \vec{r}_1) \cdot \vec{p}_1} + e^{i(\vec{r}'_2 - \vec{r}_2) \cdot \vec{p}_2}) | \vec{r}_1 \vec{r}_2 \rangle d^3 r'_1 d^3 r'_2$$

do the \vec{r}'_1, \vec{r}'_2 integrals $= \hat{V}(\vec{r}_1, \vec{p}_1, \vec{r}_2, \vec{p}_2) | \vec{r}_1 \vec{r}_2 \rangle$ so have traded for momentum (operator) dependence

\Rightarrow expand to low order in \vec{p}_1, \vec{p}_2 (zeroth order is local)

• Much too general a form \Rightarrow symmetry constraints.

• In general, we associate a Hermitian generator \vec{G} with a symmetry and unitary

$$U = e^{-i\vec{\alpha} \cdot \vec{G}} \quad \leftarrow \vec{\alpha} \cdot \vec{G} \text{ is shorthand for any scalar - could be tensors}$$

with $| \eta' \rangle = U | \eta \rangle$ with the transformation.

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Given an operator (like the Hamiltonian), symmetry means

$$\langle \psi | \hat{O} | \psi \rangle = \langle \psi | U^\dagger \hat{O} U | \psi \rangle = \langle \psi | \hat{O} | \psi \rangle$$

$$\Rightarrow U^\dagger \hat{O} U = \hat{O} \Rightarrow [\hat{O}, U] = 0.$$

Claim (shown in exercises): we only need to look at $[\hat{O}, \vec{G}] = 0$

Example: we claim that if isospin is a good symmetry, then potential takes form

$$V = \alpha_I + \beta_I \vec{T}_1 \cdot \vec{T}_2 \quad \text{"class I" in Henley-Miller scheme}$$

↑ ↑
all other spin-space dependence

Here $\vec{G} \rightarrow \vec{T} = \frac{1}{2}(\vec{T}_1 + \vec{T}_2)$, the total isospin (cf. angular momentum is the generator of rotations).

$$[\vec{T}_1, \vec{T}_2, \vec{T}]_j = \frac{1}{2} [T_{1i}, T_{2i}, T_{1j} + T_{2j}] = \frac{1}{2} \underbrace{[T_{1i}, T_{1j}]}_{2i\epsilon_{ijk}T_{1k}} + \frac{1}{2} \underbrace{[T_{2i}, T_{2j}]}_{2i\epsilon_{ijk}T_{2k}} = 0 \text{ by antisymmetry of } \epsilon_{ijk}$$

two minutes
is $\vec{T}_1 \cdot \vec{T}_2 \neq \vec{T}_2 \cdot \vec{T}_1$?
(exercise)

- One can consider other combinations of \vec{T}_1 and \vec{T}_2 , but none works for full isospin dependence. How about $(\vec{T}_1 \cdot \vec{T}_2)^2$? (Exercise)
- Later we'll come back to having not full isospin symmetry, but only charge symmetry $[a_{pp} = a_{nn} \neq a_{np} \text{ when Coulomb removed}]$

$$P_{CS} = e^{i\vec{T} \cdot \vec{y}} \quad \text{with } \vec{T} = \frac{1}{2}(\vec{T}_1 + \vec{T}_2) \text{ again } \Rightarrow \text{only rotate about y axis in isospin}$$
$$\Rightarrow P_{CS} |u\rangle = -|d\rangle, P_{CS} |d\rangle = |u\rangle$$

Then $V_{II} = \alpha_{II} T_{1z} T_{2z}$ works (recall $T_x = T_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$)
Called charge independence breaking or CIB.

$$[e.g. pp \neq nn \neq np \text{ with } V_{II}]$$

Henley and Miller considered all possible classifications (more later)

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Apply symmetry constraints

1. \hat{V} hermitian
2. $V(1,2) = V(2,1)$ identical particles
3. translational invariance $U = e^{-i\alpha \cdot \vec{P}} \Rightarrow [\vec{P}, \hat{V}] = 0$
 \Rightarrow not separately \vec{r}_1, \vec{r}_2 but $\vec{r}_2 - \vec{r}_1 \rightarrow \vec{r}$

4. Galilean invariance
 $e^{-iM\vec{u} \cdot \vec{R}} \quad \vec{r}'_i = \vec{r}_i - \vec{u}t$
 $\vec{p}'_i = \vec{p}_i - m_i \vec{u}$
 $M = \sum_i m_i \quad \vec{R} = \frac{1}{M} \sum_i m_i \vec{r}_i$
 $\Rightarrow \vec{p}_1, \vec{p}_2 \rightarrow \vec{p} = \frac{1}{2}(\vec{p}_1 - \vec{p}_2)$ only

5. rotational invariance: $\vec{r}_1, \vec{p}_1, \vec{r}_2, \vec{p}_2, \vec{r}_1 \vec{p}_1 + \vec{r}_2 \vec{p}_2 \rightarrow L^2, L \cdot \vec{S}$
6. parity $\vec{r}'_i = -\vec{r}_i, \vec{k}'_i = -\vec{k}_i, \sigma'_i = \sigma_i, \tau'_i = \tau_i$
7. time-reversal $\vec{r}'_i = \vec{r}_i, \vec{k}'_i = -\vec{k}_i, \sigma'_i = -\sigma_i, \tau'_i = \tau_i$
8. Baryon and number conservation
9. Isospin charge symmetry

In end $V_{NN} = V_1(\vec{r}, \vec{p}, \vec{\sigma}_1, \vec{\sigma}_2) + V_2(\vec{r}, \vec{p}, \vec{\sigma}_1, \vec{\sigma}_2) \vec{\tau}_1 \cdot \vec{\tau}_2$
 with V_1 and V_2 classified as

- central: $V_c(\vec{r}, \vec{p}) + V_0(\vec{r}, \vec{p}) \vec{\sigma}_1 \cdot \vec{\sigma}_2$
- vector: $V_1(\vec{r}, \vec{p}) L \cdot \vec{S}$
- tensor: $V_T(\vec{r}, \vec{p}) S_{12}(\hat{r}) \quad S_{12}(\hat{r}) \equiv (\hat{r} \cdot \vec{\sigma}_1 \hat{r} \cdot \vec{\sigma}_2 - \frac{1}{3} \vec{\sigma}_1 \cdot \vec{\sigma}_2)$

In coordinate space

$$\{1_{spin}, \vec{\sigma}_1 \cdot \vec{\sigma}_2, S_{12}(\hat{r}), S_{12}(\hat{p}), L \cdot \vec{S}, (L \cdot \vec{S})^2\} \times \{1_{isospin}, \vec{\tau}_1 \cdot \vec{\tau}_2\}$$

x scalar functions of r^2, p^2, L^2

In momentum space

$$\{1_{spin}, \vec{\sigma}_1 \cdot \vec{\sigma}_2, S_{12}(\hat{q}), S_{12}(\hat{k}), i\vec{S} \cdot (\vec{q} \times \vec{k}), \sigma_1 \cdot (\vec{q} \times \vec{k}), \sigma_2 \cdot (\vec{q} \times \vec{k})\}$$

where $\vec{q} \equiv \vec{p}' - \vec{p}, \vec{k} \equiv (\vec{p}' + \vec{p})/2$ times scalar functions of $p^2, p'^2, \vec{p} \cdot \vec{p}'$

7/2/2013 NN

Laundry list of interactions available

- i) high precision phenomenological models: $\chi^2/\text{dof} \approx 1$
 - boson exchange
 - AV18
 - inverse scattering

ii) chiral EFT \rightarrow later this week and next week

iii) "Toy" NN potentials

- Minnesota model: central sum of 3 Gaussians
- Malfliet-Tjon potential: central sum of Yukawas

Boson exchange: start with covariant form

$$V(\vec{r}) = \left(\frac{-g^2}{4\pi} \right) \frac{e^{-m_\sigma r}}{r} + \gamma_1 \gamma_2 \left(\frac{g^2}{4\pi} \right) \frac{e^{-m_\omega r}}{r} + \gamma_1 \gamma_2 \vec{\tau}_1 \cdot \vec{\tau}_2 \left(\frac{g^2}{4\pi} \right) \frac{e^{-m_\rho r}}{r} + \dots$$

evaluated between 4 component spinors

$$U(\vec{p}) \propto \begin{pmatrix} \chi \\ \vec{\sigma} \cdot \vec{p} \chi \\ E_{\text{p}} \chi \end{pmatrix} \quad \chi = \text{Pauli spinor}$$

\Rightarrow reduction to two component form has rich structure, model dependent but successful phenomenologically

AV18: 18 refers to 18 operators

$$\{ 1, \vec{\sigma}_1 \cdot \vec{\sigma}_2, S_{12}, L^2, \vec{L} \cdot \vec{S}, L^2, L^2 \vec{\sigma}_1 \cdot \vec{\sigma}_2, [L^2, S^2] \} \otimes \{ 1, \vec{\tau}_1 \cdot \vec{\tau}_2 \} \quad 14$$

+ CD and CSB terms (4 of them)

- $V_{\text{EM}} + V_{\pi} + V_{\text{short-range}}$

• V_{π} is one pion exchange $\propto f^2 \left(\vec{\tau}_1 \cdot \vec{\tau}_2 \right) \left[3 \vec{\sigma}_1 \cdot \vec{r} \vec{\sigma}_2 \cdot \vec{r} - \vec{\sigma}_1 \cdot \vec{\sigma}_2 \right] \left(\frac{1}{1 + \frac{3}{m_\pi r}} + \frac{3}{(m_\pi r)^2} \right) + \vec{\sigma}_1 \cdot \vec{\sigma}_2$

• multiplied by $1 - e^{-cr}$ and $(1 - e^{-cr})^2$ for tensor part $\times \frac{e^{-m_\pi r}}{r}$

• short range $\times W(r) = [1 + e^{-(r-r_0)/a}]^{-1}$

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Problems with phenomenological potentials

- usually have very strong repulsive short-range part that is a problem for (some) types of many-body calculations
- difficult to estimate theoretical error in a calculation and the range of applicability (where should it fail?)
- Three-nucleon forces are not systematically included. How to define consistent 3NFs and operators?
- * • largely unconnected to QCD (e.g. only partial chiral symmetry)
 - don't connect NN and NNN
 - can't connect to lattice QCD

⇒ effective (field) theory

- * • Look at quotes from Georgi → examples that take a parameter to zero or ∞ in long notes.

Principles of low-energy effective theories

- If a system is probed at low-energies, fine details are not resolved
- Use low-energy variables for low-energy processes (easier, more efficient, ...)
- Replace the unresolved short-distance structure by something simpler (and wrong at short distances)
 - ⇒ without distorting low-energy observables, Renormalization!
- EFT does this systematically (i.e. in an expansion)
- \mathcal{L} , multipole expansion