

Nuclear Effective Field Theories

U. van Kolck
University of Arizona

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In Memoriam Vijay Pandharipande

Outline

- Effective Field Theories[©]
- Pionful EFT
- Pionless EFT
- Halo EFT
- Speculations & Conclusion

Wanted
Dead ♦ or ♦ Alive

QCD EXPLANATION OF NUCLEAR PHYSICS

Reward

understanding of gross features:
Why is $B/A \sim 10 \text{ MeV} = M_{QCD} \sim 1 \text{ GeV}$?
How large are few-nucleon forces?
Why is isospin a good symmetry?

...

Beware

coupling constants not small

Why bother?

Nuclei
within the
Standard Model

- the **simplest** complex systems

non-relativistic
shallow
...

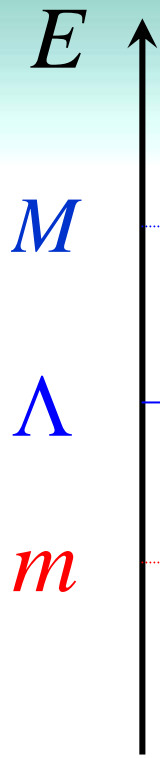
non-perturbative
many-body
...

- laboratories

{ neutron targets: nucleon properties

{ incubators for rare processes: beyond the SM

What is Effective?



$$\begin{aligned}
 Z &= \int \mathcal{D}\phi_H \int \mathcal{D}\phi_L \exp\left(i \int d^4x \mathcal{L}_{und}(\phi_H, \phi_L)\right) \\
 &\quad \times \int \mathcal{D}\varphi \delta(\varphi - f_\Lambda(\phi_L)) \\
 &= \int \mathcal{D}\varphi \exp\left(i \int d^4x \mathcal{L}_{EFT}(\varphi)\right)
 \end{aligned}$$

$$\mathcal{L}_{EFT} = \sum_{d=0}^{\infty} \sum_{i(d,n)} c_i(M, \Lambda) O_i \left((\partial, m)^d \varphi^n \right)$$

renormalization-group invariance

$$\frac{\partial Z}{\partial \Lambda} = 0$$

local underlying symmetries

$$\left\{ \begin{array}{l}
 T = T^{(\infty)}(Q) \sim N(M) \sum_{\nu=\nu_{\min}}^{\infty} \sum_i \mathcal{O}_{\nu,i}(\Lambda) \left[\frac{Q}{M} \right]^{\nu} F_{\nu,i} \left(\frac{Q}{m}; \frac{\Lambda}{m} \right) \\
 \frac{\partial T}{\partial \Lambda} = 0
 \end{array} \right.$$

normalization
non-analytic, from loops

$$\nu = \nu(d, n, K) \quad \text{"power counting"}$$

e.g. # loops L

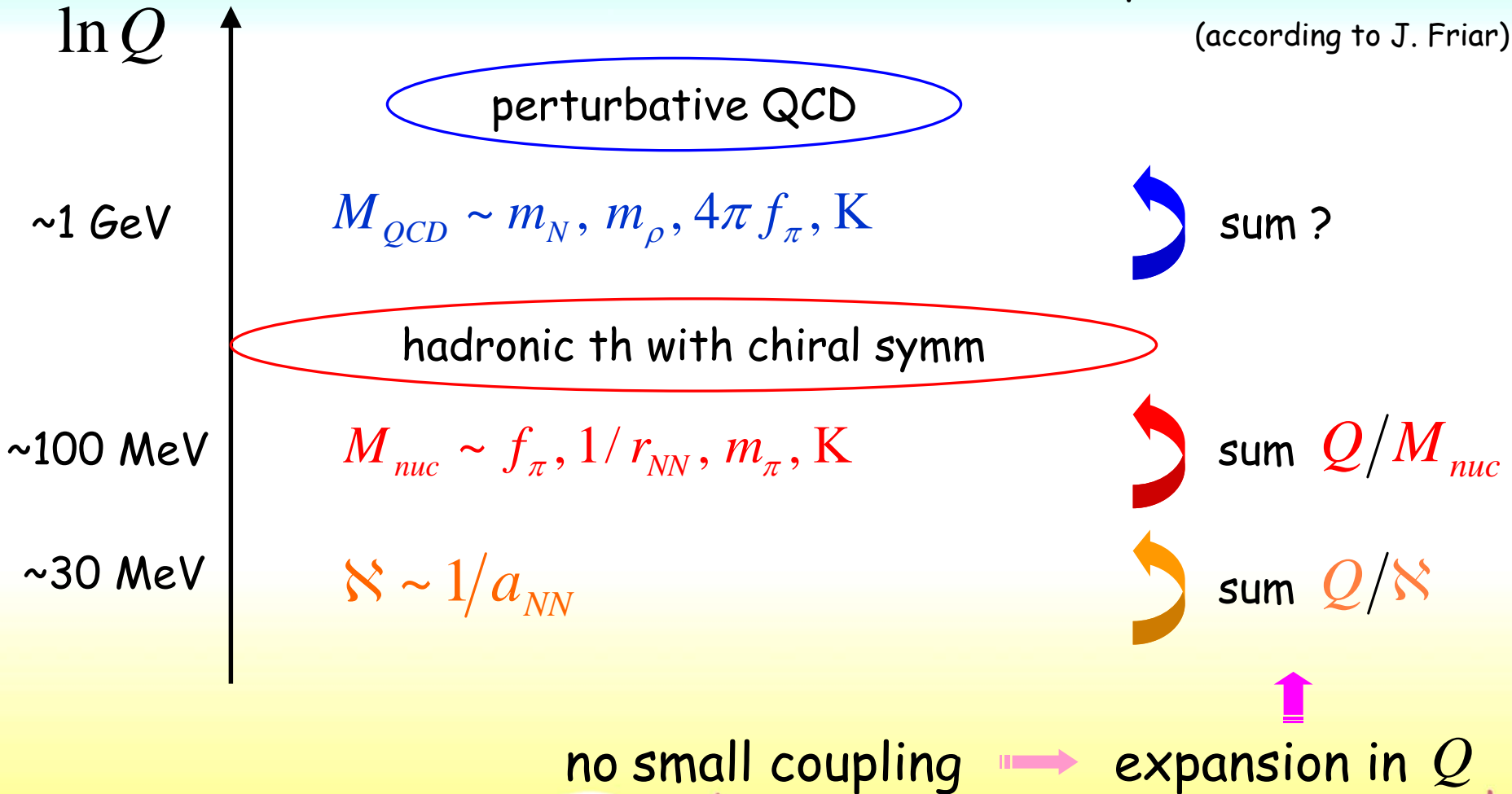
For $Q \sim m$, truncate consistently with RG invariance
 so as to allow systematic improvement (perturbation theory):

$$T = T^{(\nu_{\max})} + \mathcal{O} \left(\frac{Q}{M} \right)^{\nu_{\max} + 1} \qquad \Lambda \frac{\partial T^{(\nu_{\max})}}{\partial \Lambda} = \mathcal{O} \left(\frac{Q}{\Lambda} \right)^{\nu_{\max} + 1}$$

Nuclear physics scales

"His scales are His pride", Book of Job

(according to J. Friar)



Nuclear EFT

pionful EFT

$$Q : m_\pi = M_{QCD}$$

- degrees of freedom: nucleons, pions, deltas (+ roper?, ...)
 $m_\Delta - m_N \sim 2m_\pi$ ($m_{N'} - m_N \sim 3.5m_\pi$, K)
- symmetries: Lorentz, ~~P~~, ~~T~~, chiral

- expansion in: $\frac{Q}{M_{QCD}}$:

{	Q/m_N	non-relativistic
	$Q/m_\rho, K$	multipole
}	$Q/4\pi f_\pi$	pion loop

$$\mathcal{L}_{EFT} = \sum_{\{d,p,f\}} c_{\{d,p,f\}} \left(\frac{\partial, m_\pi, m_\Delta - m_N}{M_{QCD}} \right)^d \left(\frac{\pi}{f_\pi} \right)^p \left(\frac{N^+ N}{f_\pi^2 M_{QCD}} \right)^{f/2} f_\pi^2 M_{QCD}^2 = \sum_{\Delta=0}^{\infty} \mathcal{L}^{(\Delta)}$$

(NDA: naïve dimensional analysis)

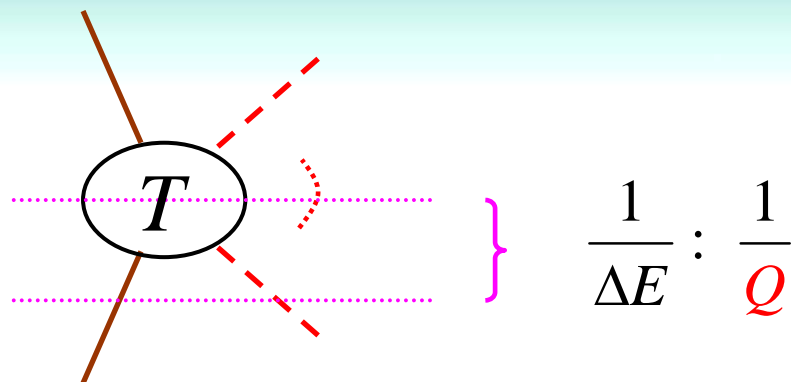
$= O(1)$

{ calculated from QCD: lattice, ...
fitted to data

$$\Delta \equiv d + \frac{f}{2} - 2 \geq 0$$

chiral symmetry ↻

A = 0, 1: chiral perturbation theory



$$: \sum_{\nu} c_{\nu} \left(\frac{Q}{M_{QCD}} \right)^{\nu} F_{\nu} \left(\frac{Q}{m_{\pi}} \right)$$

$$\nu = 2 - A + 2L + \sum_i V_i \Delta_i \geq \nu_{\min} = 2 - A$$

loops

vertices
of type i

nucleon

dense but
short-ranged

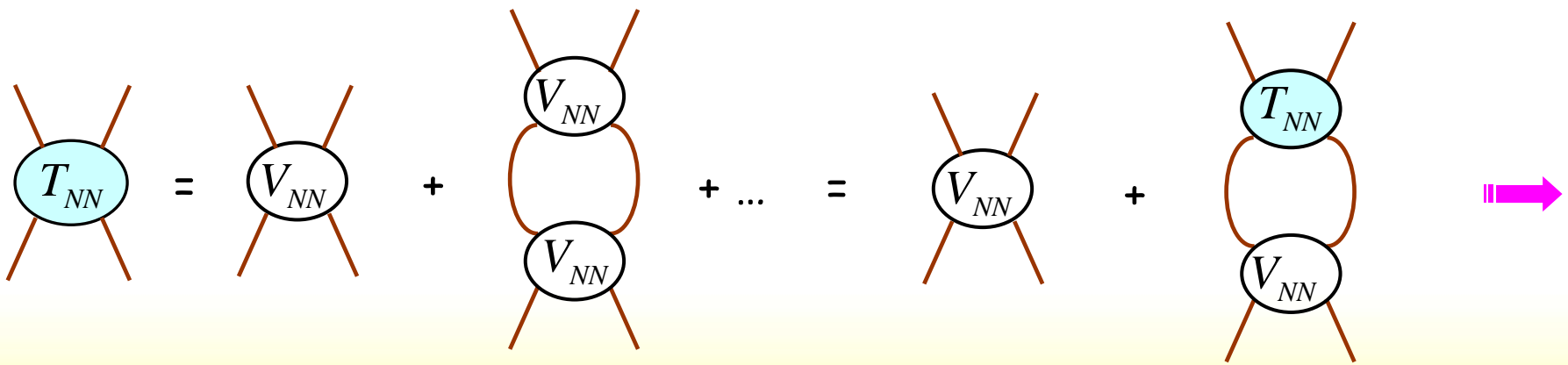
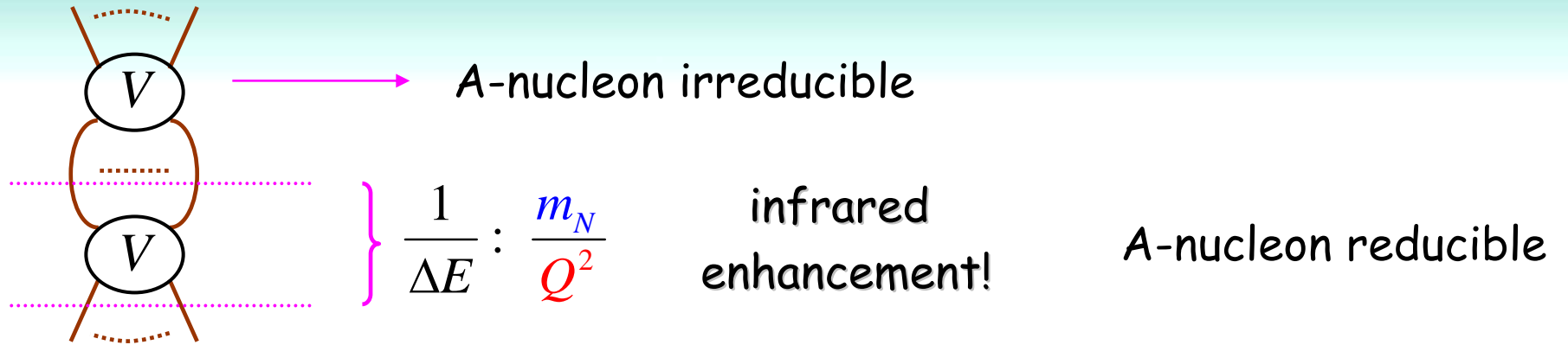
long-ranged
but sparse

$$1/M_{QCD} \approx 0.3 \text{ fm}$$

$$1/m_{\pi} \cong 1.4 \text{ fm}$$

$A \geq 2$: resummed chiral perturbation theory

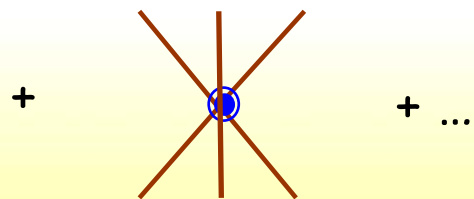
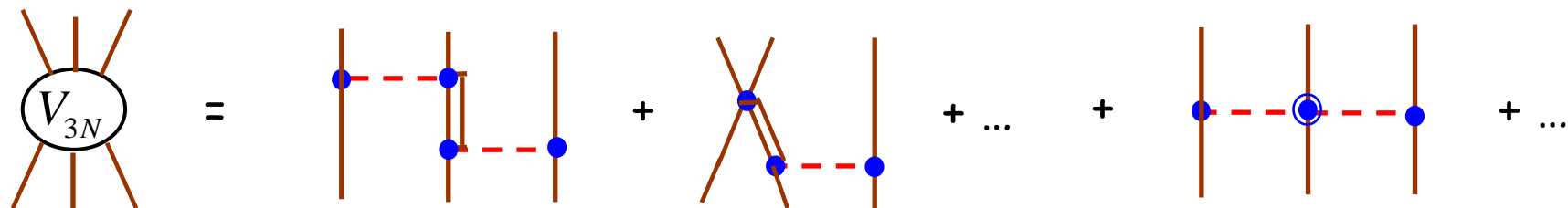
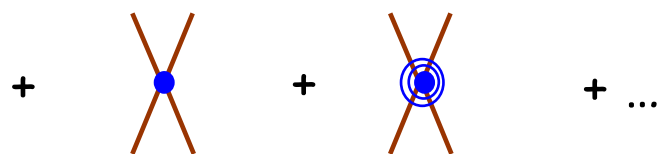
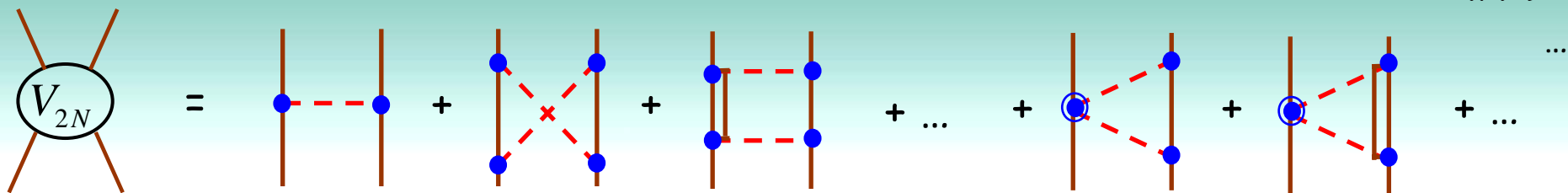
Weinberg '90, '91



$$T_{NN} : V_{NN} + V_{NN} \frac{im_N Q}{4\pi} V_{NN} + \text{K} : \frac{(4\pi/m_N)}{(4\pi/m_N V_{NN}) - iQ}$$

bound state at

$$Q : -i \frac{4\pi}{m_N V_{NN}} \equiv i\infty$$



Etc.

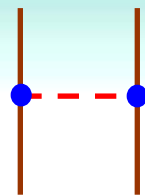


higher powers of Q

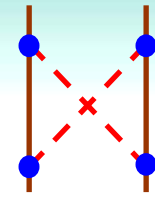


more nucleons

Issue: power counting (relative sizes)

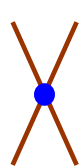


$$= O\left(\frac{1}{f_\pi^2}\right)$$



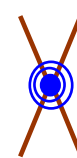
$$= O\left(\frac{1}{f_\pi^2} \frac{Q^2}{\underbrace{(4\pi f_\pi)^2}_{M_{QCD}}}\right)$$

$M_0 \longleftrightarrow ? \rightarrow f_\pi$



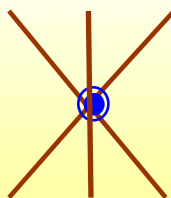
$$\equiv O\left(\frac{4\pi}{m_N M_0}\right)$$

$M_1 \longleftrightarrow ? \rightarrow M_{QCD}$



$$\equiv O\left(\frac{4\pi}{m_N M_0} \frac{Q^2}{M_1^2}\right)$$

$\bar{M} \longleftrightarrow ? \rightarrow M_{QCD}$



$$\equiv O\left(\frac{(4\pi)^2}{m_N^2 M_0^2 \bar{M}}\right)$$

etc.

Naïve
Dimensional
Analysis

$$M_0 : \frac{4\pi f_\pi}{m_N} f_\pi : f_\pi$$

$$M_1 : M_{QCD}$$

$$\bar{M} : M_{QCD}$$

LO: S-wave contacts + OPE (non-perturbative pions)

NLO: P-wave contacts + TPE + 3N forces via delta

...

$$B : \frac{f_\pi^2}{m_N} : \frac{f_\pi}{4\pi} \approx 10 \text{ MeV}$$

+ (PUNT) subLOs also iterated in Lippman-Schwinger eq.

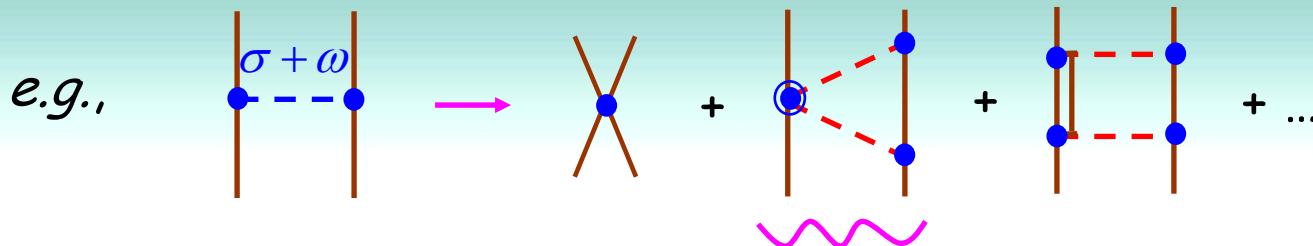
⇒ similar to phenomenological potential models: at N2LO,

$$[V_{2N}]_{\text{short range+OPE}} : [AV18]_{\text{short range+OPE}} + \text{non-local terms}$$

$$[V_{3N}]_{\text{long range}} : \text{TM} - c \text{ term} \equiv \text{TM}'$$

Note: **NOT** your usual potential!

Ordenez + v.K. '92
(cf. Stony Brook TPE)

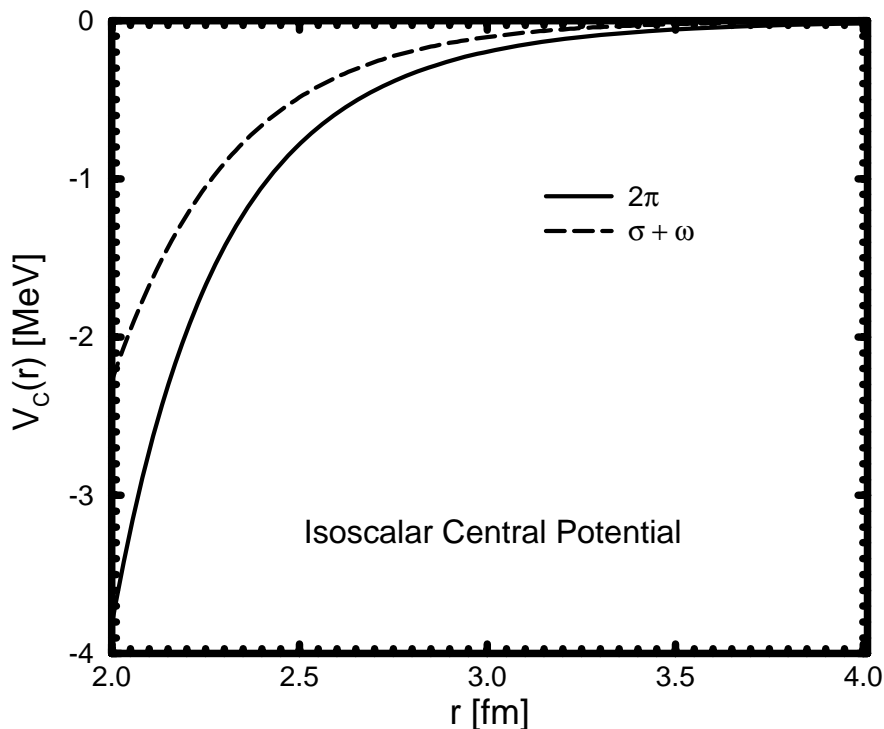


chiral v.d. Waals force : $\frac{1}{r^6}$ for $m_\pi^2 \rightarrow 0$

Rentmeester et al. '01, '03

Nijmegen PSA of 1951 *pp* data

Kaiser, Brockmann + Weise '97



long-range pot	#bc	χ^2_{\min}
OPE	31	2026.2
OPE + TPE (<i>lo</i>)	28	1984.7
OPE + TPE (<i>nlo</i>)	23	1934.5
Nijm78	19	1968.7

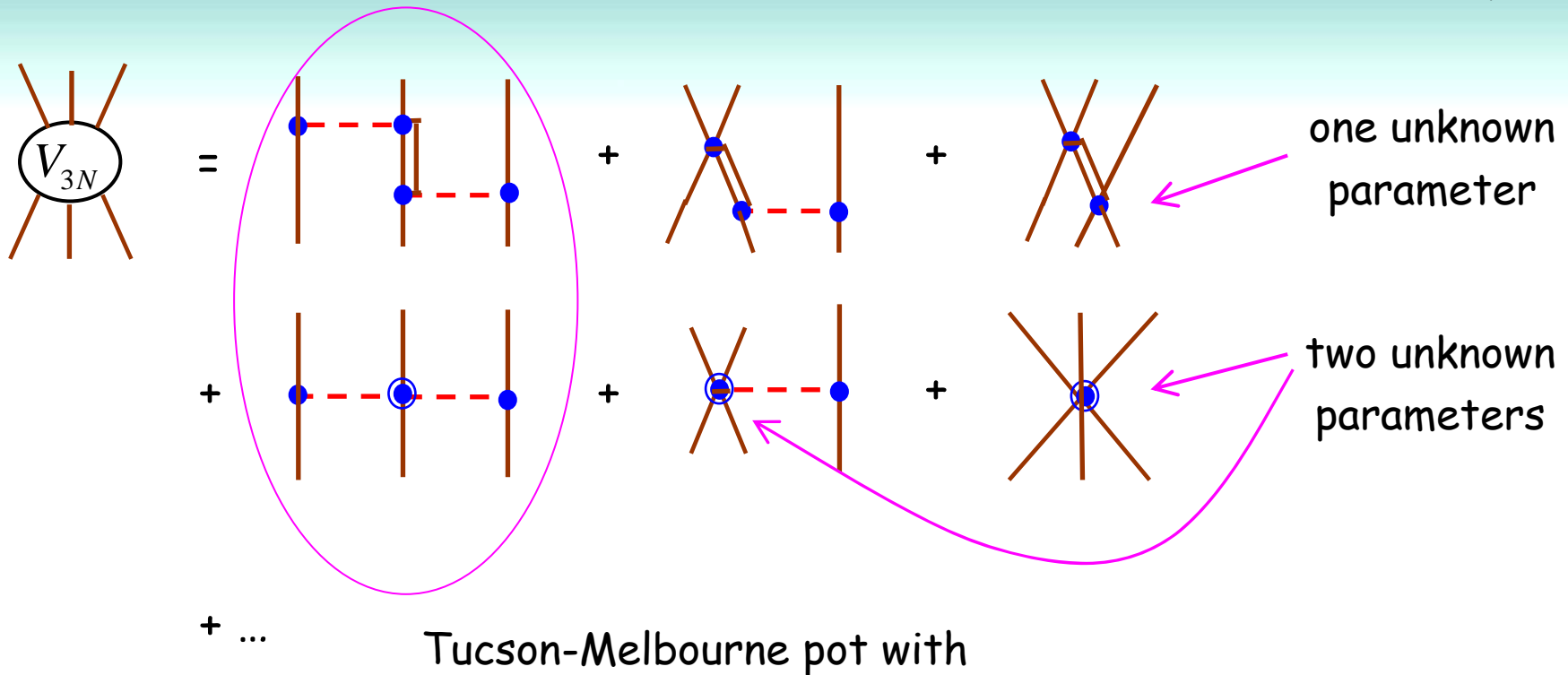
parameters found consistent with πN data!

at least as good!

near EFTs

models with σ, ω, \dots
might be misleading...

e.g.,



$$\begin{cases} a \rightarrow a' = a - 2m_\pi^2 c \\ c \rightarrow c' = 0 \end{cases}$$

$$\left(t_{\pi N} \left(\begin{matrix} \mathbf{r} \\ \mathbf{q}, \mathbf{q}' \end{matrix} \right) \right)_{\alpha\beta} = \delta_{\alpha\beta} \left[a + b \mathbf{q} \cdot \mathbf{q}' + c \left(\mathbf{q}^2 + \mathbf{q}'^2 \right) \right] - d \varepsilon_{\alpha\beta\gamma} \tau_{3\gamma} \boldsymbol{\sigma} \cdot \mathbf{q} \times \mathbf{q}' + \mathbf{K}$$

Many successes of Weinberg's counting, *e.g.*, in deltaless version

- ✓ At N3LO, fit to 2N phase shifts comparable to those of "realistic" phenomenological potentials
 - Entem + Machleidt '03...
 - Epelbaum, Gloeckle + Meissner '04
- ✓ With N3LO 2N and N2LO 3N potentials, good description of
 - 3N observables and 4N binding energy
 - Epelbaum et al. '02
 - levels of p-shell nuclei

Gueorguiev, Navratil,
Ormand + Vary '05

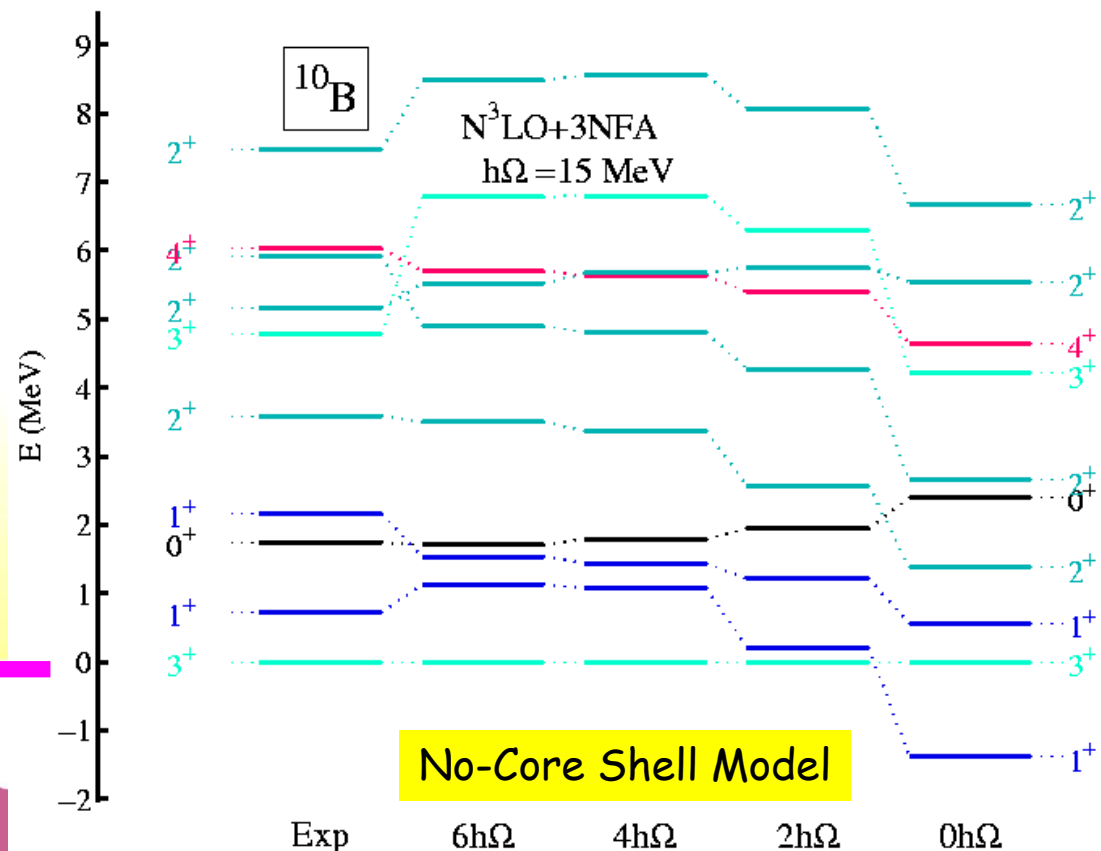
Binding Energy (MeV)

Exp: -64.7507(3)

Thy: -64.03*

*Convergence study not completed

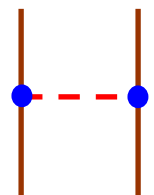
5/3/2006



BUT

Is Weinberg's power counting consistent?

No!


$$: \left(\frac{g_A}{2f_\pi} \right)^2 \frac{m_\pi^3}{4\pi} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \left\{ \frac{S_{12}(\hat{r})}{(m_\pi r)^3} + \mathbf{K} \right\} e^{-m_\pi r}$$

attractive in
some channels

singular
potential

not enough contact interactions for renormalization-group invariance even at LO

attractive-tensor channels in LO

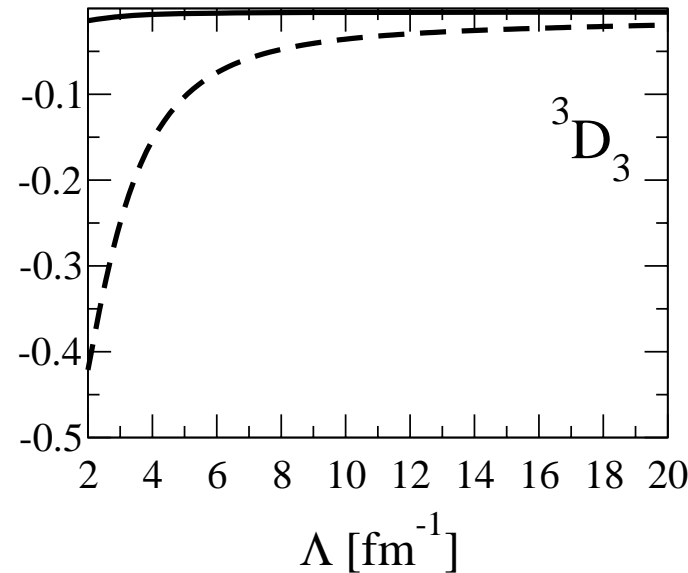
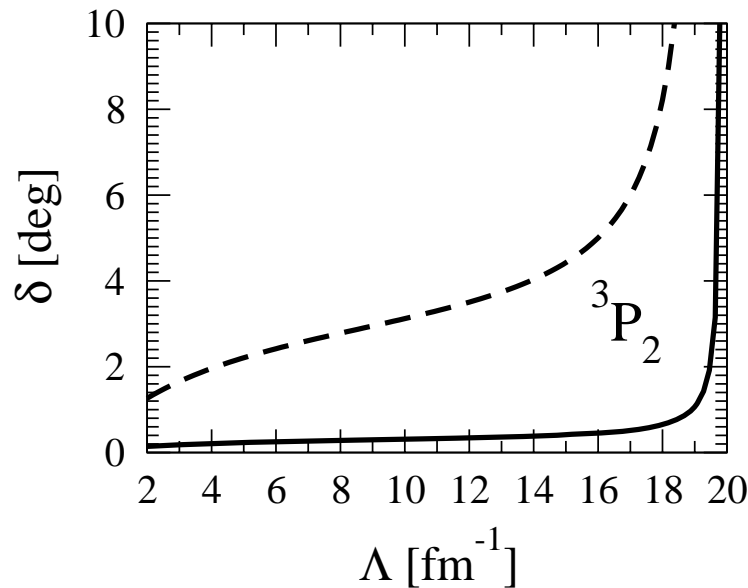
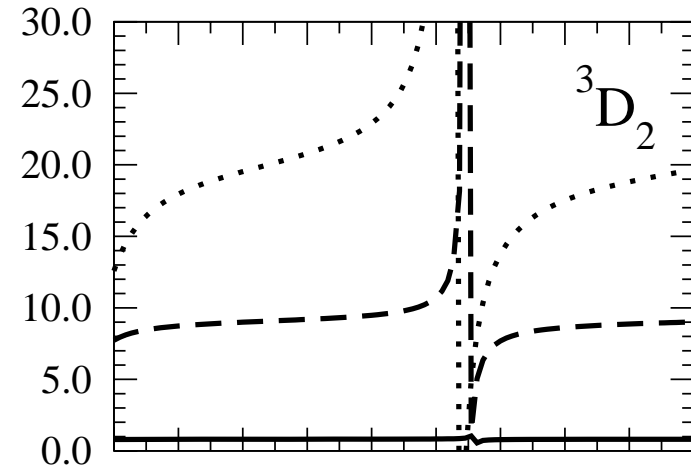
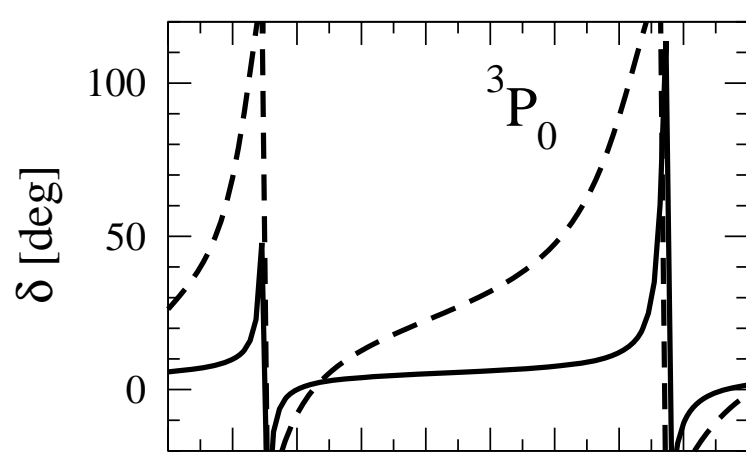
cutoff dependence

E (MeV)

10 ———

50 - - - -

100 ·····

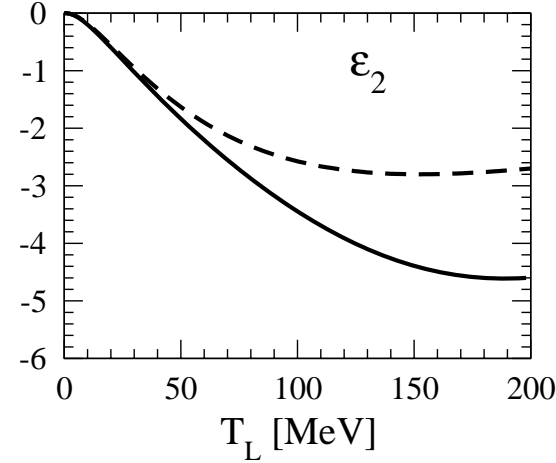
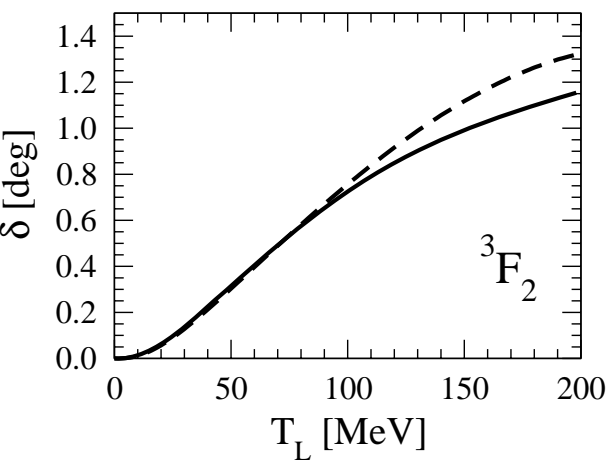
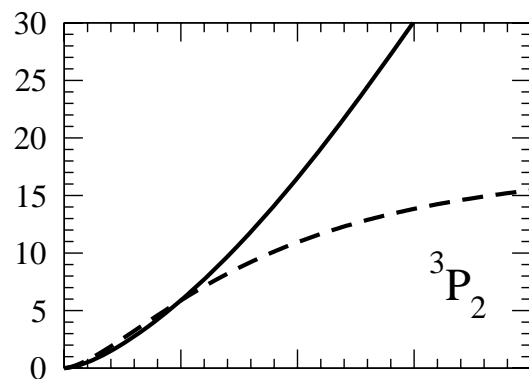
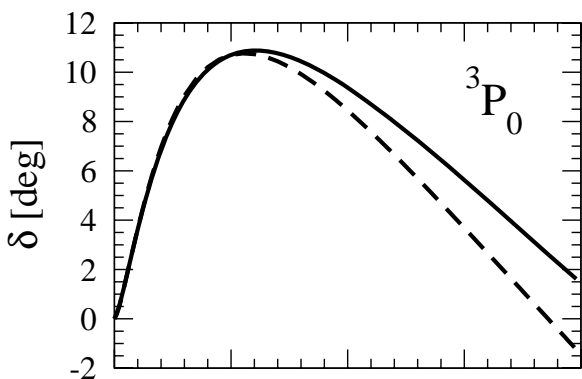
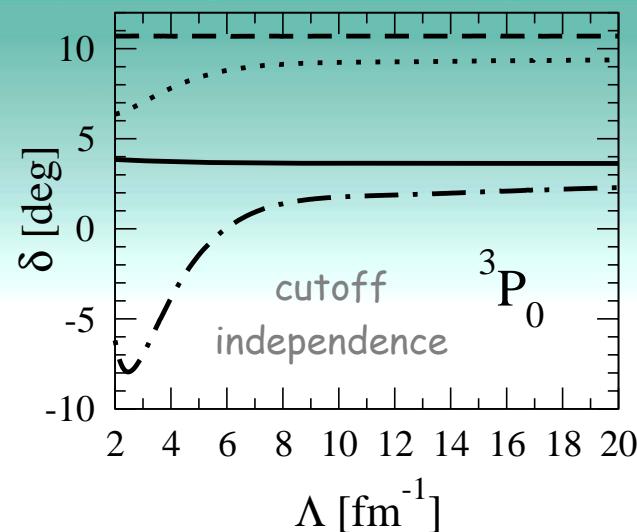
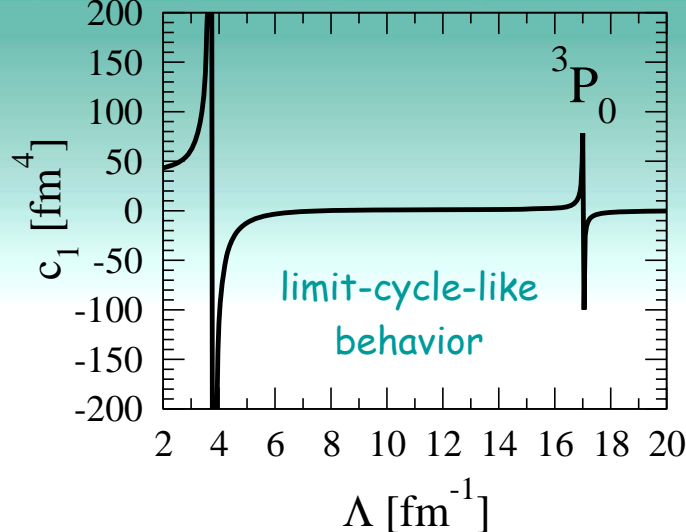


incorrect renormalization...

Promote counterterms

e.g.,

$$V_{l=1,j=0} = c_1 pp'$$



E (MeV)

10 —

50 - - -

100 ·····

190 - · - ·

LO EFT
($\Lambda = 20$ fm⁻¹)

Nijmegen PSA

short-range interactions stronger than in Weinberg's pc for attractive tensor channels where $l < \text{few}$ (${}^3P_0, {}^3P_2 - {}^3F_2, {}^3D_2$?!)

c.f. Birse '05

→ $M_l : (l+1) f_\pi$
 centrifugal barrier

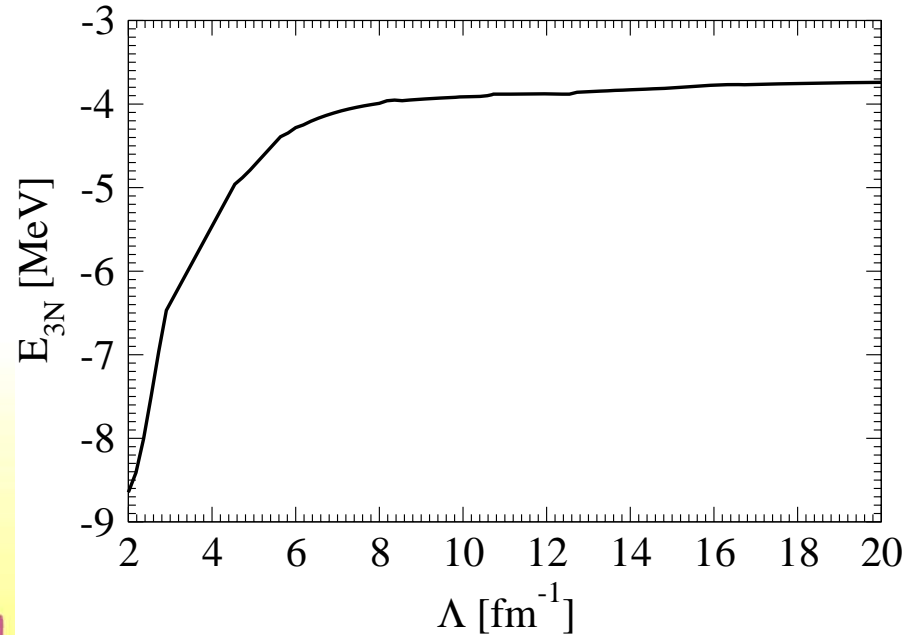
+ subLOs in perturbation theory

on the other hand:

triton

correct renormalization...

LO EFT ($j \leq 4$)



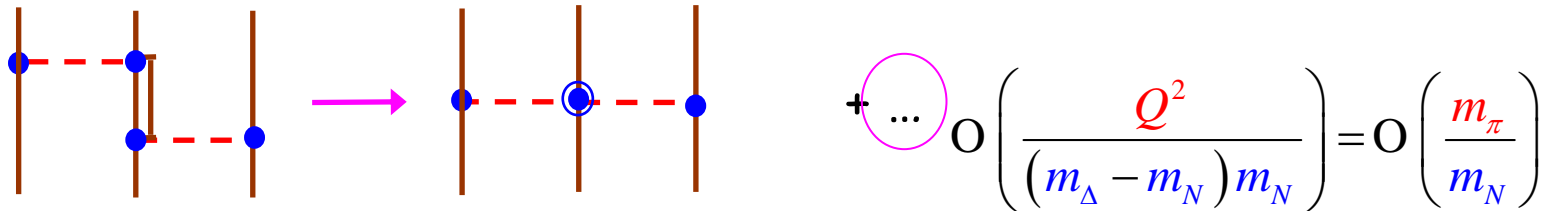
→ $M_3 : M_{QCD}$ indeed

Can one integrate out the delta with small error?

No!

Pandharipande, Phillips + v.K. '05

EFT folklore: in nuclei, $E : \frac{Q^2}{m_N} = m_\Delta - m_N \implies$ can integrate out delta with small error

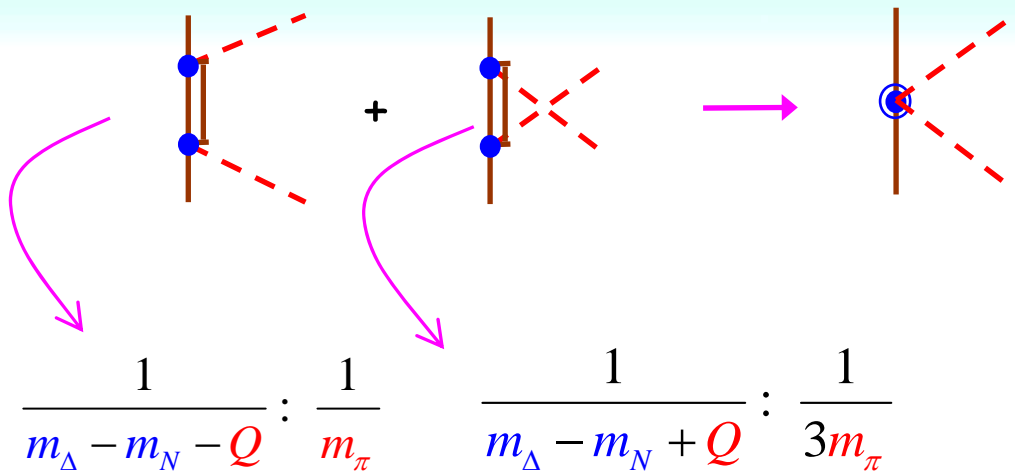


But

$$b = 4d = -\left(\frac{h_A}{2f_\pi}\right)^2 \frac{4}{9} \frac{2}{m_\Delta - m_N} : \frac{1}{m_\pi}$$

$$b = 4d = -\left(\frac{h_A}{2f_\pi}\right)^2 \frac{4}{9} \frac{2(m_\Delta - m_N)}{(m_\Delta - m_N)^2 - m_\pi^2} : \frac{4}{3m_\pi}$$

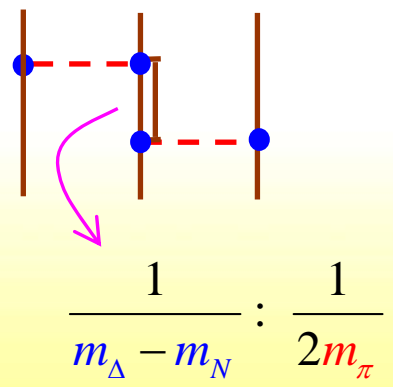
πN scattering: $E : Q : m_\Delta - m_N$



+ ... $O\left(\frac{Q}{(m_\Delta - m_N)}\right) = O\left(\frac{1}{2}\right)$

at threshold

while



relatively large error from the πN scattering fit leaks into 3N force

best strategy is *not* to integrate out delta

What needs to be done:

subLOs including deltas in perturbation theory

Nogga, Timmermans + v.K., in progress

- overkill at lower energies!

e.g. NN s_1 channel:

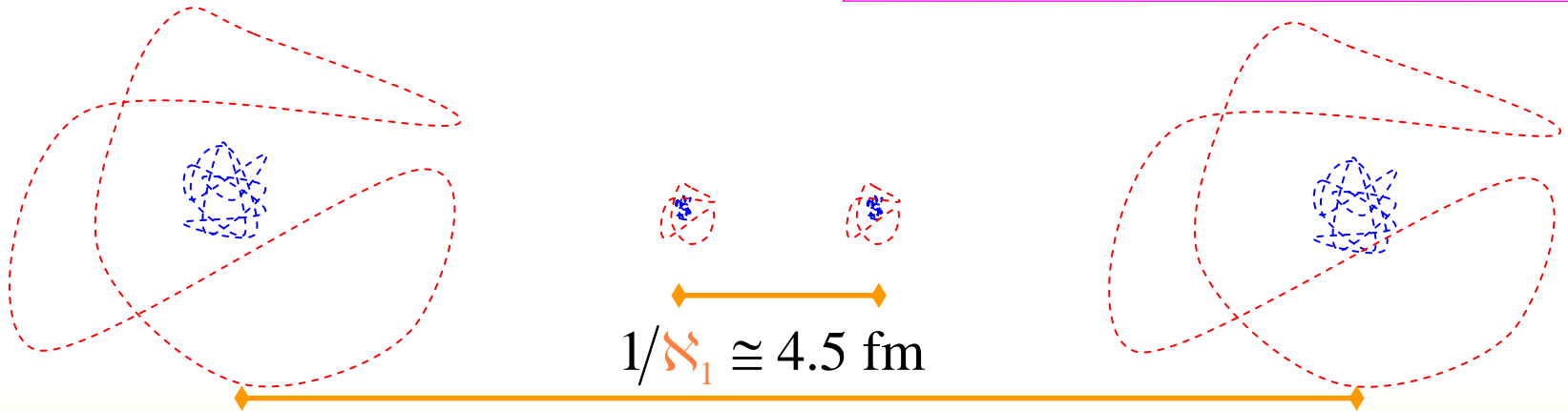
s_0 channel:

(real) bound state = deuteron

(virtual) bound state

$$\mathfrak{N}_1 \sim \sqrt{m_N B_d} \cong 45 \text{ MeV} < m_\pi$$

$$\mathfrak{N}_0 \sim \sqrt{m_N B_{d^*}} \cong 8 \text{ MeV} = m_\pi$$



→ multipole expansion of meson cloud:
contact interactions among local nucleon fields

$$Q \sim \mathcal{N} = M_{nuc}$$

pionless EFT

- degrees of freedom: nucleons

- symmetries: Lorentz, ~~P~~, ~~T~~

- expansion in: $\frac{Q}{M_{nuc}} = \begin{cases} Q/m_N \\ Q/m_\pi, \mathbf{L} \end{cases}$ non-relativistic
multipole

$$\begin{aligned} \mathcal{L}_{EFT} = & N^\dagger \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + C_0 N^\dagger N N^\dagger N \\ & + N^\dagger \frac{\nabla^4}{8m_N^3} N + C_2 N^\dagger N N^\dagger \nabla^2 N \\ & + C'_2 N^\dagger \nabla^{\mathbf{s}} N \cdot N^\dagger \nabla^{\mathbf{r}} N + \mathcal{K} \end{aligned}$$

omitting
spin, isospin

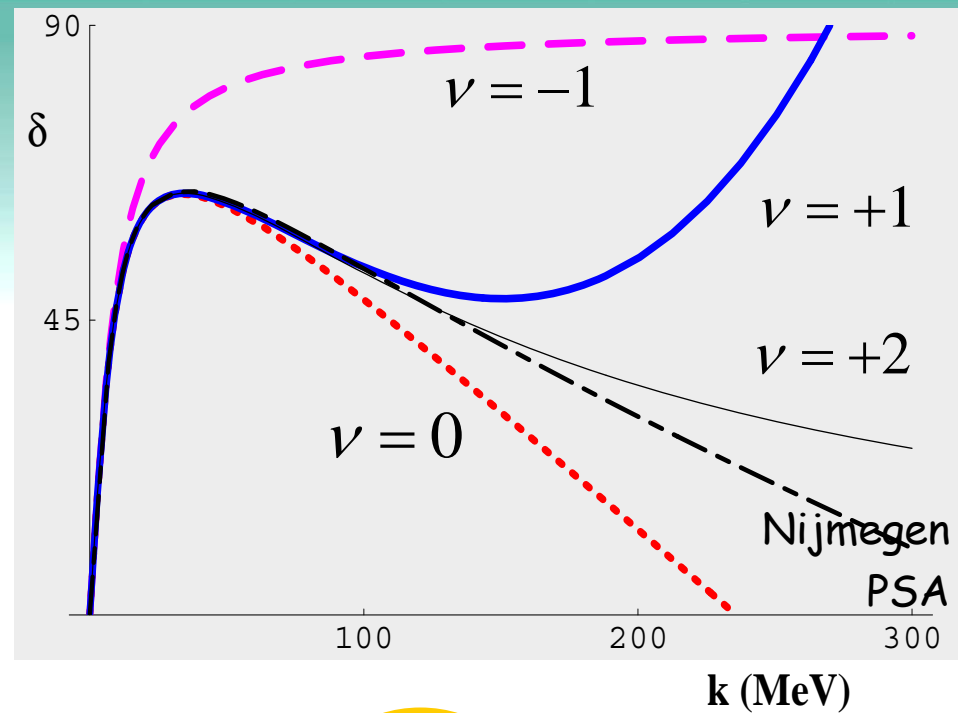
fitted $a_1 = 5.42$ fm (exp)

$r_1 = 1.75$ fm (exp)

predicted

$B_d = 1.91$ MeV ($\nu = 0$)

$B_d = 2.22$ MeV (exp)



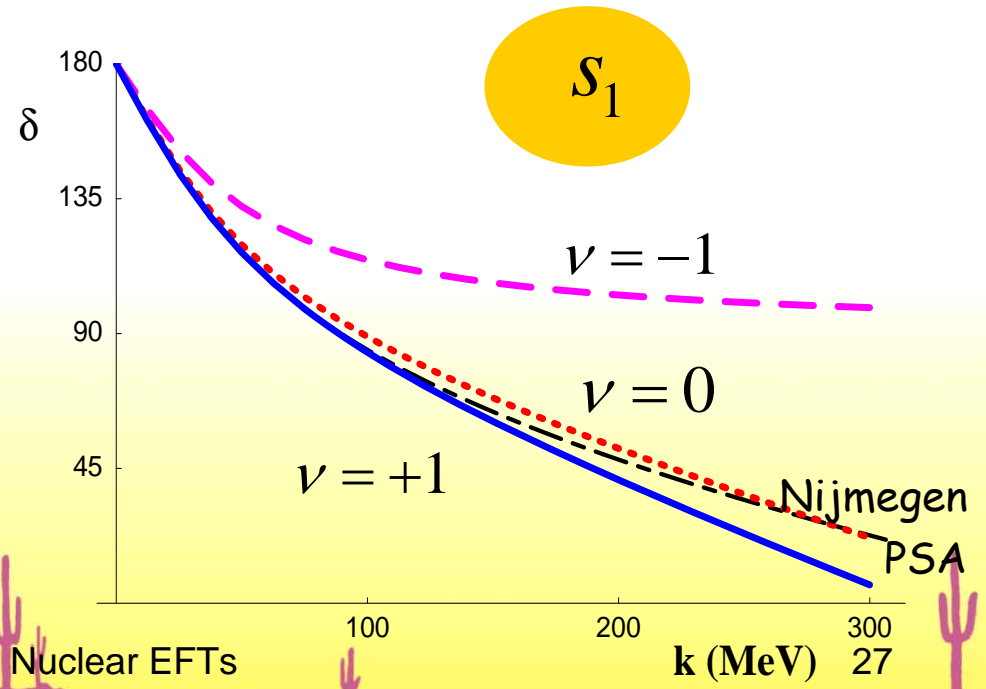
S_0

fitted $a_0 = -20.0$ fm (exp)

$r_0 = 2.78$ fm (exp)

predicted

$B_{d^*} = 0.09$ MeV ($\nu = 0$)



S_1

$$L_{EFT} = K + D_0 N^+ N N^+ N N^+ N + K$$

naïve dimensional analysis $D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{M_{nuc}^3} \quad (\nu = +1)$

Bedaque + v.K. '97

Bedaque, Hammer + v.K. '98

$S_{3/2}$ no three-body force up to $\nu = +3$

$$\lambda \leq \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

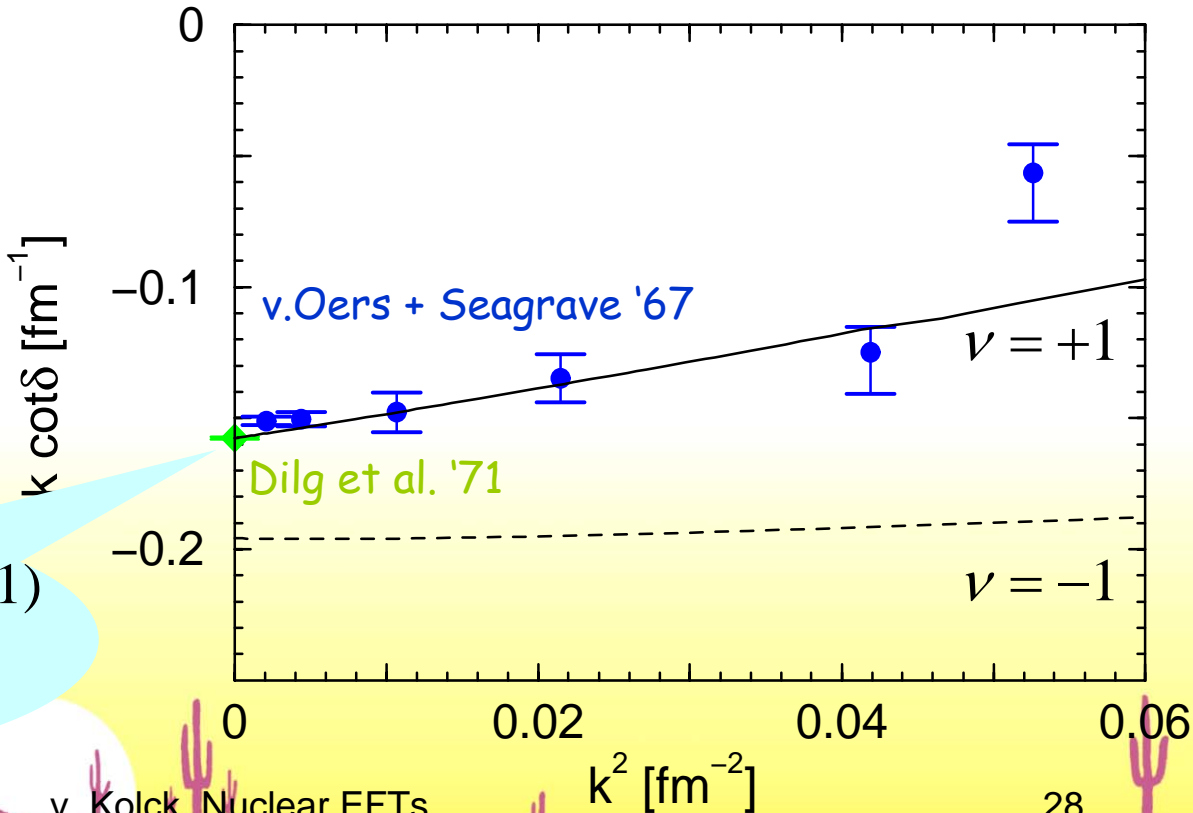
$$T_{Nd} \xrightarrow{p \sim \cancel{s}} \frac{1}{p^2}$$

$$\Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \cancel{s}} 0$$

predicted

$$a_{3/2} = 6.33 \pm 0.10 \text{ fm } (\nu = +1)$$

$$a_{3/2} = 6.35 \pm 0.02 \text{ fm (exp)}$$



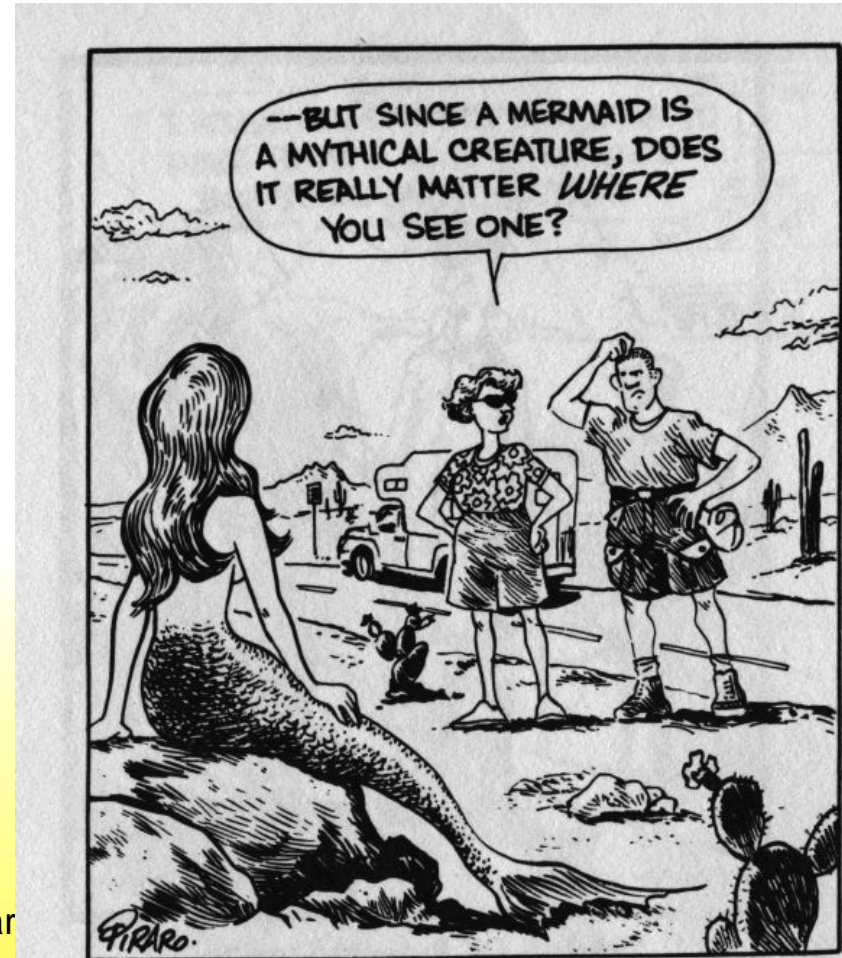
$S_{1/2}$

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

$$T_{Nd} \xrightarrow{p? \not\approx} A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \not\approx} \neq 0 \quad \text{unless}$$

$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\not\approx^2 M_{nuc}} \quad (\nu = -1)$$

limit cycle!

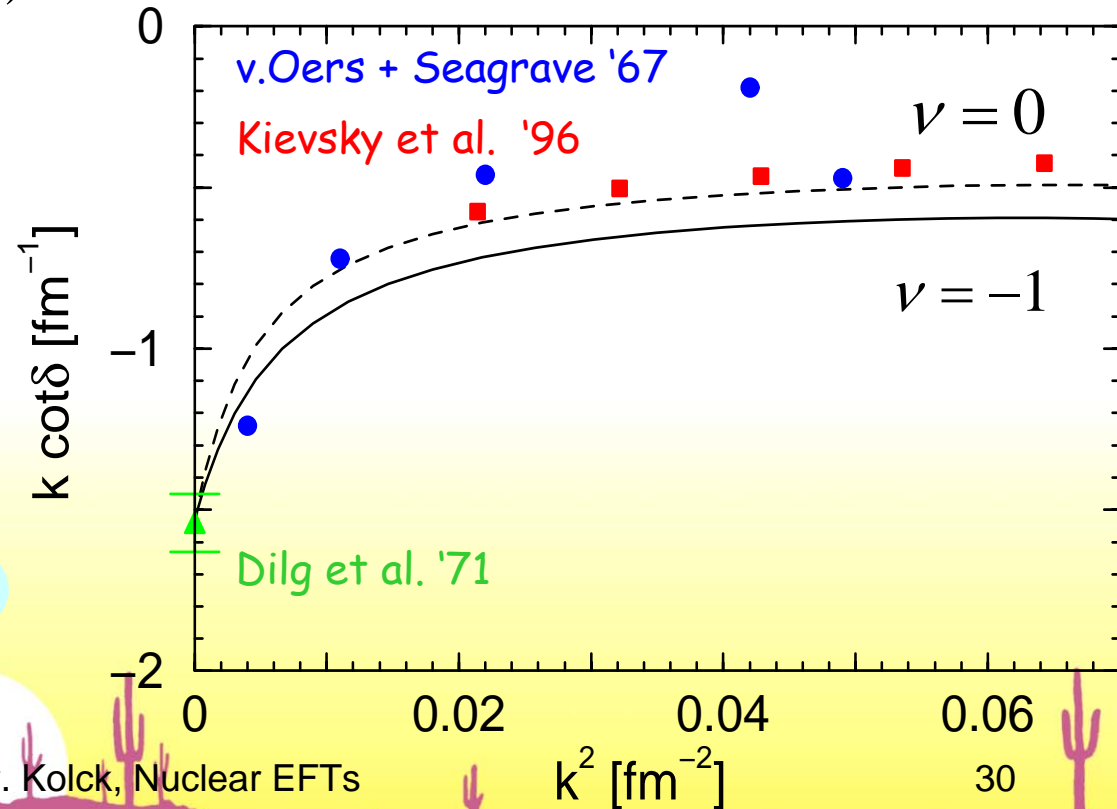


$S_{1/2}$

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

$$T_{Nd} \xrightarrow{p \sim \mathcal{N}} A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \mathcal{N}} \neq 0 \quad \text{unless}$$

$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\mathcal{N}^2 M_{nuc}} \quad (\nu = -1)$$



fitted

$$a_{1/2} = 0.65 \text{ fm (exp)}$$

predicted

$$B_t = 8.3 \text{ MeV } (\nu = 0)$$

$$B_t = 8.48 \text{ MeV (expt)}$$

+ four-body bound state can be addressed similarly

⇒ no four-body force at $\nu = -1$ Hammer, Meissner + Platter '04

~ larger nuclei?

No-Core Shell Model!

Barrett, Vary + Zhang '93

up to now:

...

give'm a (preferably, EFT) potential, and they will run the RG in a harmonic-oscillator basis of frequency $\hbar\Omega$ to a restricted space of $N = 2n + l \leq N_{\max}$

alternative:

Vary + v.K., in progress

Stetcu, Barrett +v.K., in progress

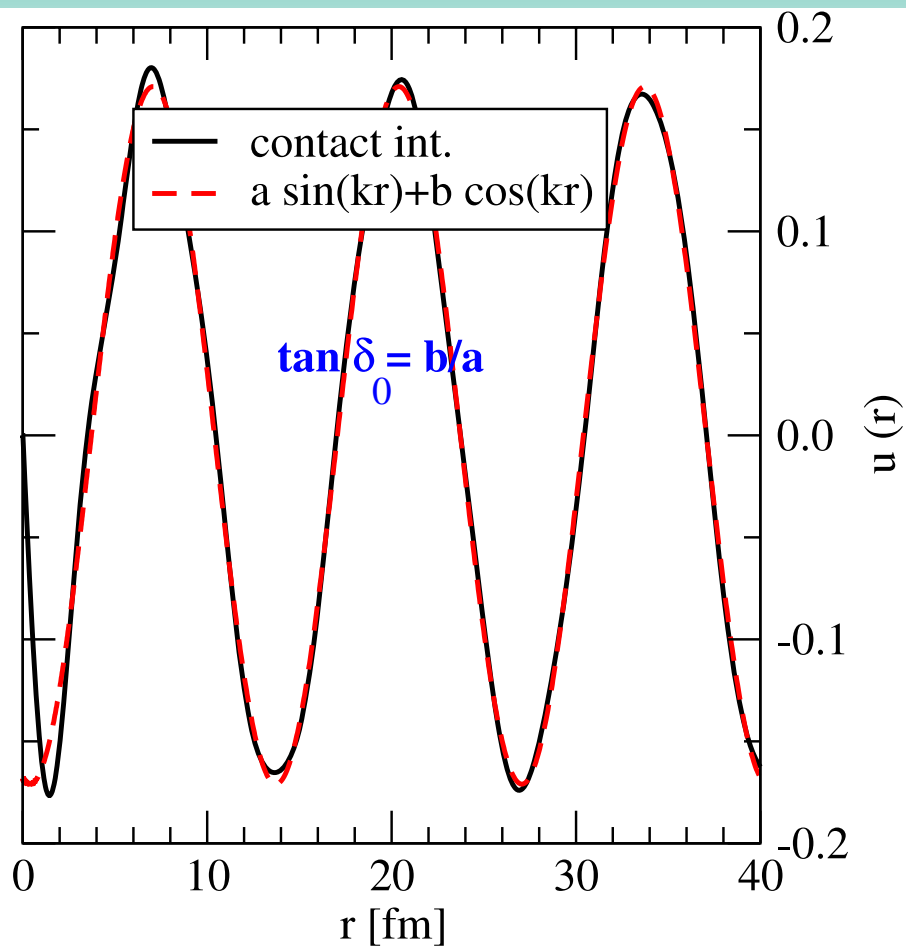
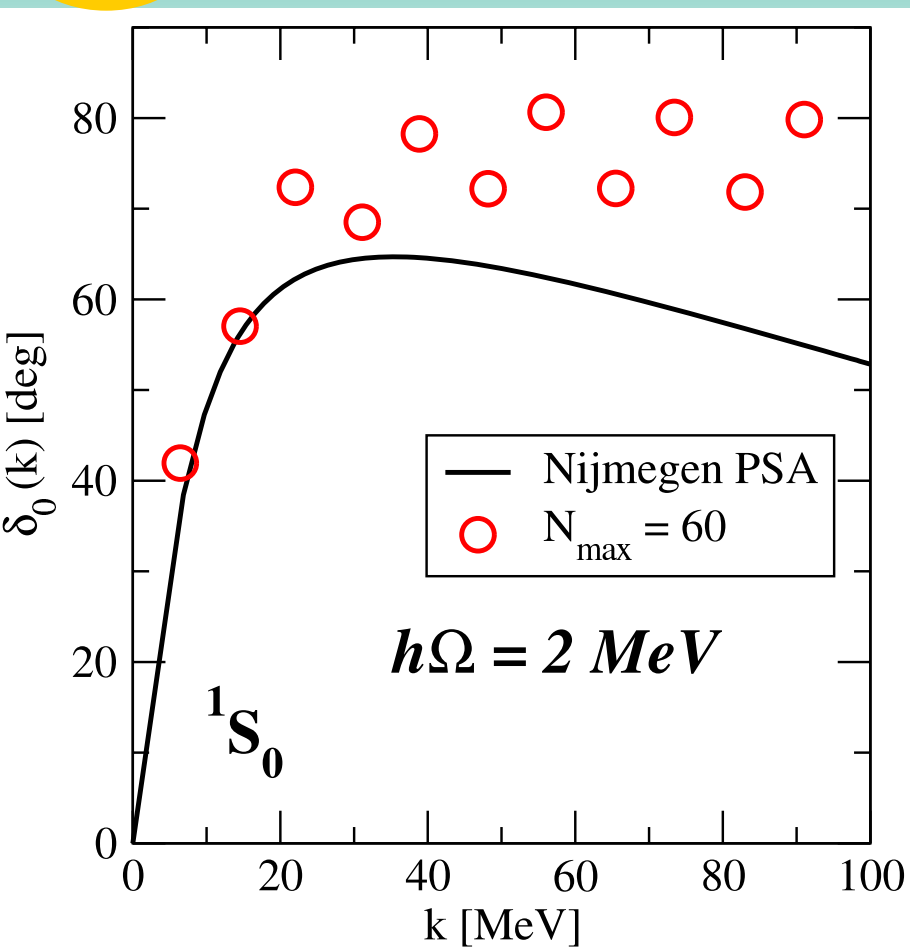
start with EFT in restricted space;

fit parameters for various $\hbar\Omega$ and N_{\max} in few-nucleon systems; and predict larger nuclei

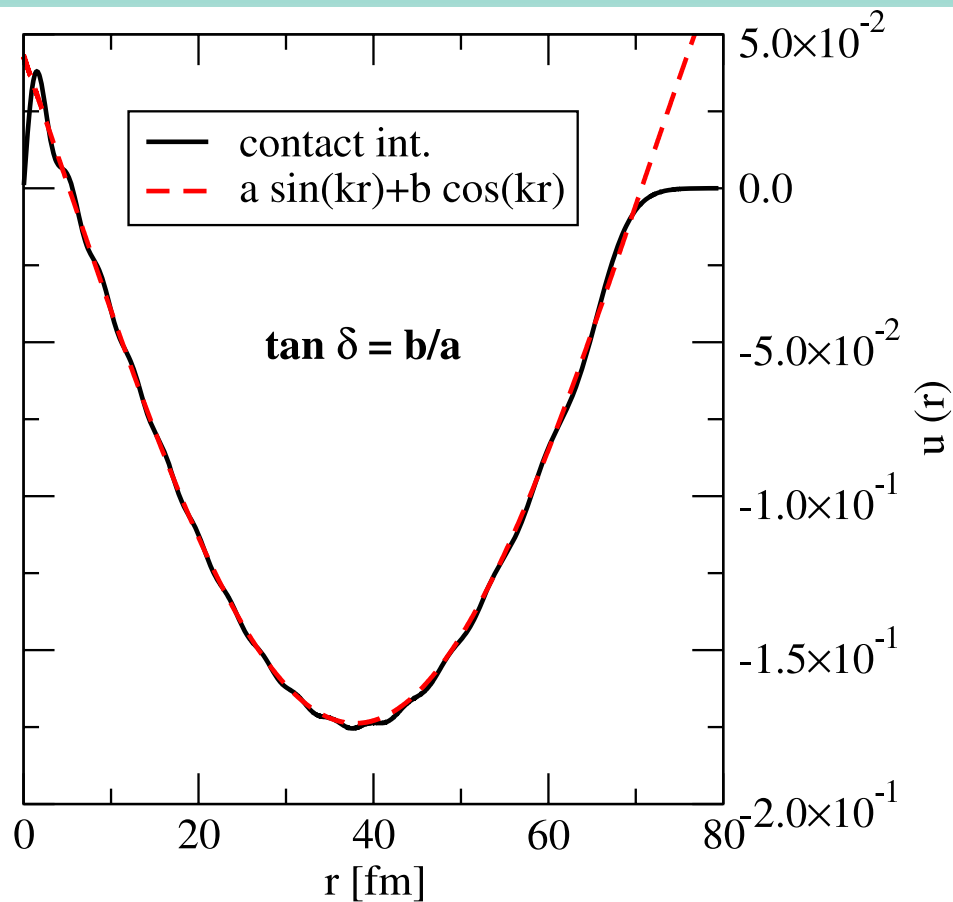
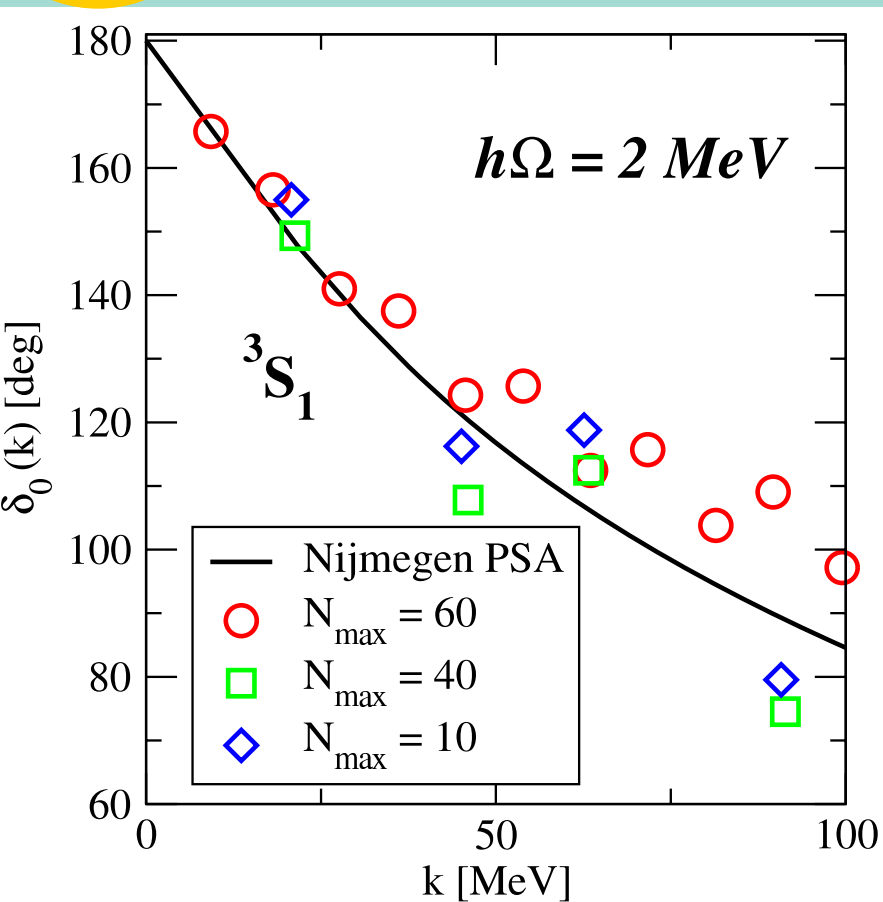
IR

UV

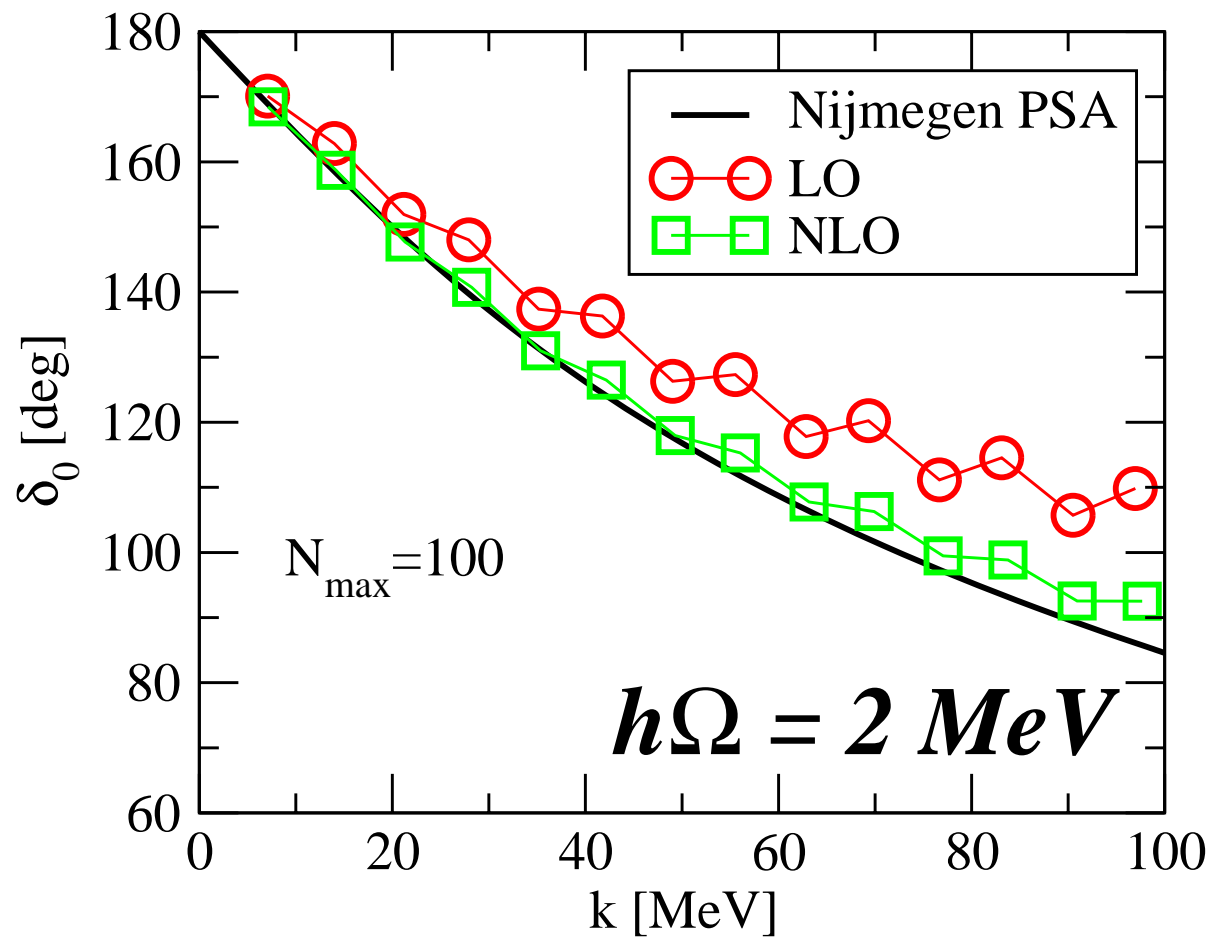
cutoffs



$C_0^{(0)}(N_{\max}, h\Omega)$ fitted to scattering length

S_1 

$C_0^{(1)}(N_{\text{max}} h\Omega)$ fitted to scattering length



fitted to
scattering length
and binding energy

What needs to be done:

- 0') Other methods to extract phase shifts,
which work at smaller N_{\max} and larger $h\Omega$
- 1) Introduce 3N force
- 2) Solve three-, four- (...-) nucleon systems
and determine LO (NLO, ...) parameters
- 3) Predict larger systems
- 4) Introduce pions and go back to 1)

Stetcu, Barrett +v.K., in progress

- many-body systems get complicated rapidly

+ (continuing) focus on simpler halo nuclei

one or more loosely-bound nucleons (near driplines)

$$\mathcal{R} \equiv \sqrt{m_N E_N} = \sqrt{m_N E_c} \equiv M_c$$

nucleon separation energy 

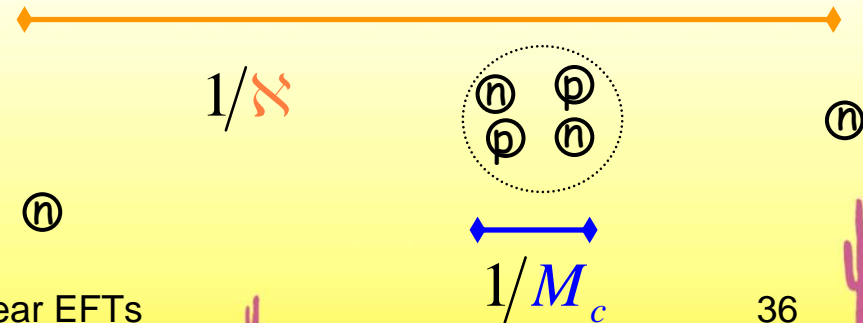
 core excitation energy

e.g.

$${}^4\text{He} \left. \begin{array}{l} B_{\alpha^*} \cong 8 \text{ MeV} \\ B_{\alpha} \cong 28 \text{ MeV} \end{array} \right\} E_{\alpha} = B_{\alpha} - B_{\alpha^*} \cong 20 \text{ MeV}$$

" ${}^5\text{He}$ " $p_{3/2}$ resonance at $E_n \sim 1 \text{ MeV}$

${}^6\text{He}$ $E_{2n} \sim 1 \text{ MeV}$



$$Q \sim \mathcal{N} = M_c$$

halo EFT

- degrees of freedom: nucleons, cores
- symmetries: Lorentz, ~~P~~, ~~T~~
- expansion in:


$$\frac{Q}{M_c} = \begin{cases} Q/m_N, Q/m_c & \text{non-relativistic} \\ Q/m_\pi, \mathbf{L} & \text{multipole} \end{cases}$$

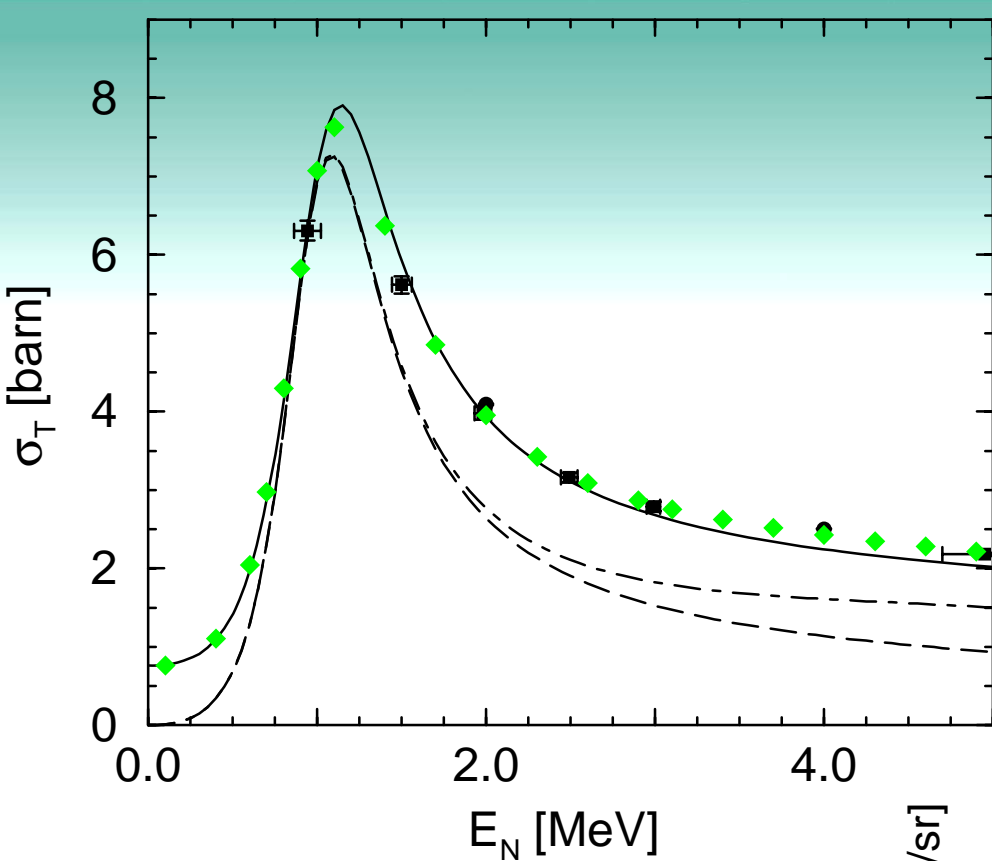
simplest formulation: auxiliary fields for core + nucleon states

e.g. ${}^4\text{He} \propto$ scalar field φ

$${}^4\text{He} + \text{N} \left\{ \begin{array}{l} s_{1/2} \equiv 0 + \alpha \text{ spin - 0 field } s \\ p_{1/2} \equiv 1 - \alpha \text{ spin - 1/2 field } T_1 \\ p_{3/2} \equiv 1 + \alpha \text{ spin - 3/2 field } T_3 \\ \mathbf{M} \end{array} \right.$$

$$\begin{aligned}
\mathbf{L}_{EFT} = & N^+ \left(i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + \varphi^+ \left(i\partial_0 + \frac{\nabla^2}{2m_\alpha} \right) \varphi \\
& + T_3^+ \left[\sigma_3 \left(i\partial_0 + \frac{\nabla^2}{2(m_\alpha + m_N)} \right) - \Delta_3 \right] T_3 \\
& + \frac{g_3}{\sqrt{2}} \left[T_3^+ \vec{S}^+ \cdot (N \vec{\nabla} \varphi - \varphi \vec{\nabla} N) + \text{H.c.} \right] \\
& + s^+ (-\Delta_0) s + \frac{g_0}{\sqrt{2}} \left[s^+ N \varphi + \text{H.c.} \right] \\
& + \mathbf{K} \\
& + T_1^+ (-\Delta_1) T_1 + \frac{g_1}{\sqrt{2}} \left[T_1^+ \vec{\sigma} \cdot (N \vec{\nabla} \varphi - \varphi \vec{\nabla} N) + \text{H.c.} \right] \\
& + \mathbf{K}
\end{aligned}$$

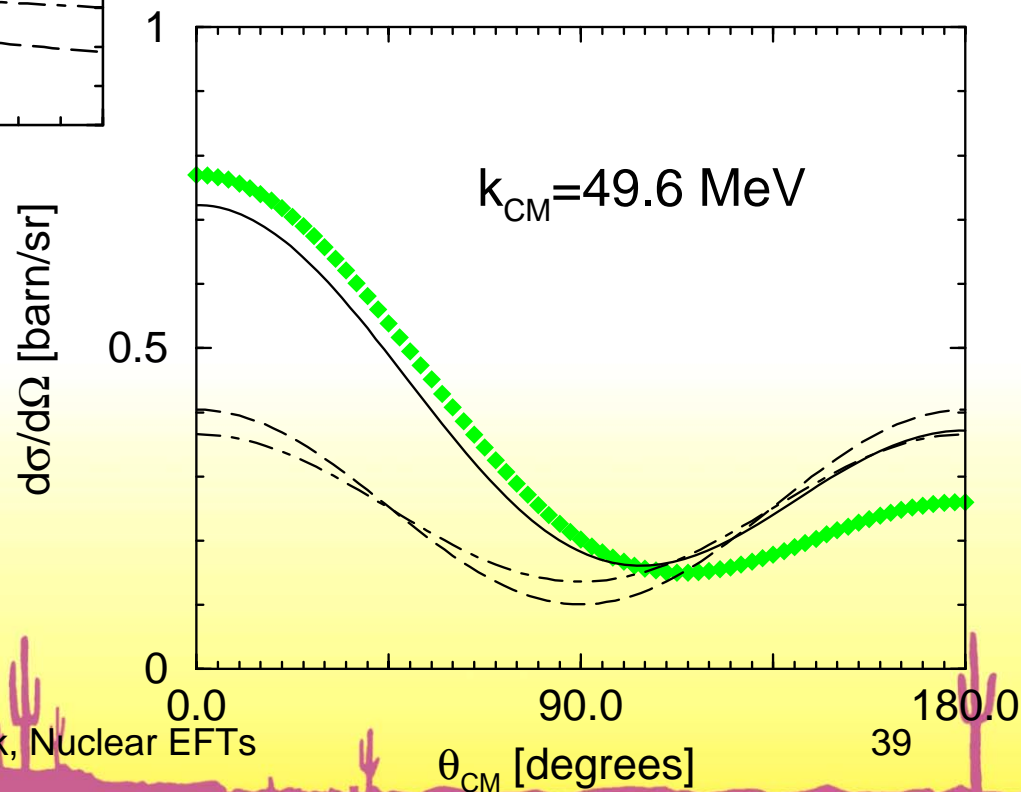

 spin transition operator



◆ NNDC, BNL
 ■ Haesner et al. '83

Bertulani, Hammer + v.K. '02

--- $\nu = -1$
 — $\nu = 0$
 - · - $\nu = -1 \text{ mod}$



What needs to be done:

- three-body bound states:

e.g. ${}^6\text{He} = \text{b.s.} ({}^4\text{He} + n + n)$

Hammer + v.K., in progress

[c.f. ${}^3\text{H} = \text{b.s.} (p + n + n)$

Bedaque, Hammer + v.K. '99]

- Coulomb interaction:

e.g. $p + {}^4\text{He} \rightarrow {}^4\text{He} + p$

Bertulani, Higa + v.K., in progress

[c.f. $p + p \rightarrow p + p$

Kong + Ravndal '99]

- reactions:

e.g. $p + {}^7\text{Be} \rightarrow {}^8\text{B} + \gamma$

[c.f. $p + n \rightarrow d + \gamma$ Chen et al. '00]

Speculations

QCD

- emergence of new d.o.f.s
- same symmetries, but some accidental (isospin)
- calculated parameters

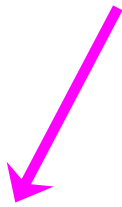
lattice simulations



EFT

- emergence of new *fine-tuning* scale (halos)
- emergence of new phenomena (limit cycles, shells, ...)
- incorporated by fitting parameters

NCSM,...



Few-nucleon
systems



Many-nucleon
systems

- Shell Model as EFT?
- Walecka Model as EFT?

Role of RIA-like machines?

Early to say...

Possibilities:

life on the edge:

key word: fine-tuning

Pionful EFT

- precise form of 3N (and 4N?) forces (cf. IL 269...)
- (related) role of delta?

Pionless EFT

- constrain fine-tuned parameters

Halo EFT

- constrain nucleon-core parameters
- size of few-body forces

Shell (?) EFT

- test it

+ applications { symmetry tests (*e.g.*, Ramsey-Musolf's talk)
astrophysical reactions

Conclusion

EFT the framework to describe nuclei within the SM

- ✓ is consistent with symmetries
- ✓ incorporates hadronic physics
- ✓ has controlled expansion

many successes so far, but still much to do



grow to larger nuclei!

WHY ARE WE BREAKING OUR NECKS TO DIG
THIS STUFF UP WHEN YOU CAN BUY BAGS
OF IT AT THE SUPERMARKET ANY
TIME YOU WANT?!

