

# Non-empirical energy density functionals

S.K. Bogner (NSCL/MSU)







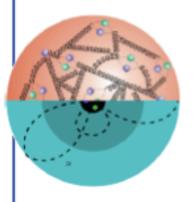


## Outline

- 1) Renormalization group methods
- 2) Microscopically-constrained Skyrme functionals
- 3) Other efforts towards non-empirical functionals

## $\Lambda$ / Resolution dependence of nuclear forces

## with high-energy probes: quarks+gluons



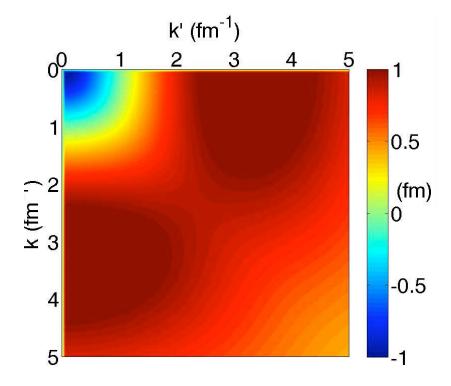
Effective theory for NN, 3N, many-N interactions and electroweak operators: resolution scale/\Lambda-dependent

$$H(\Lambda) = T + V_{NN}(\Lambda) + V_{3N}(\Lambda) + V_{4N}(\Lambda) + \dots$$

 $\Lambda >> m_{\pi}$ ,  $k_F$  in typical interactions

# of sp states for A-body  $\sim \Lambda^3 A$ 

Strong correlations, non-perturbative

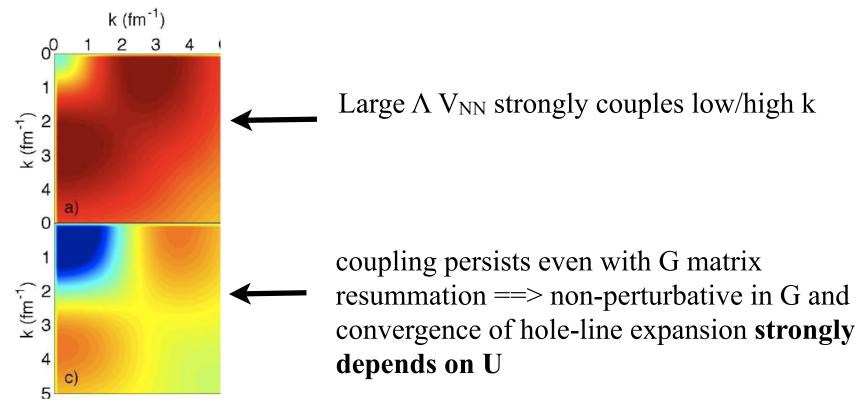


## Why large $\Lambda$ 's are complicated: ab initio DFT

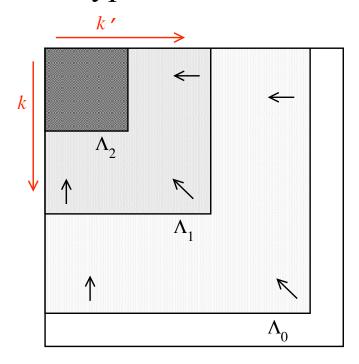
Ab initio DFT (OEP/effective action) corresponds to MBPT with

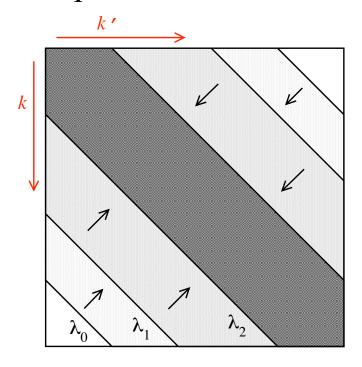
$$H = (T+U) + (V-U)$$
$$= H_{KS} + H_1$$

Want freedom to chose U such that corrections to density beyond H<sub>KS</sub> vanish



### 2 Types of Renormalization Group Transformations



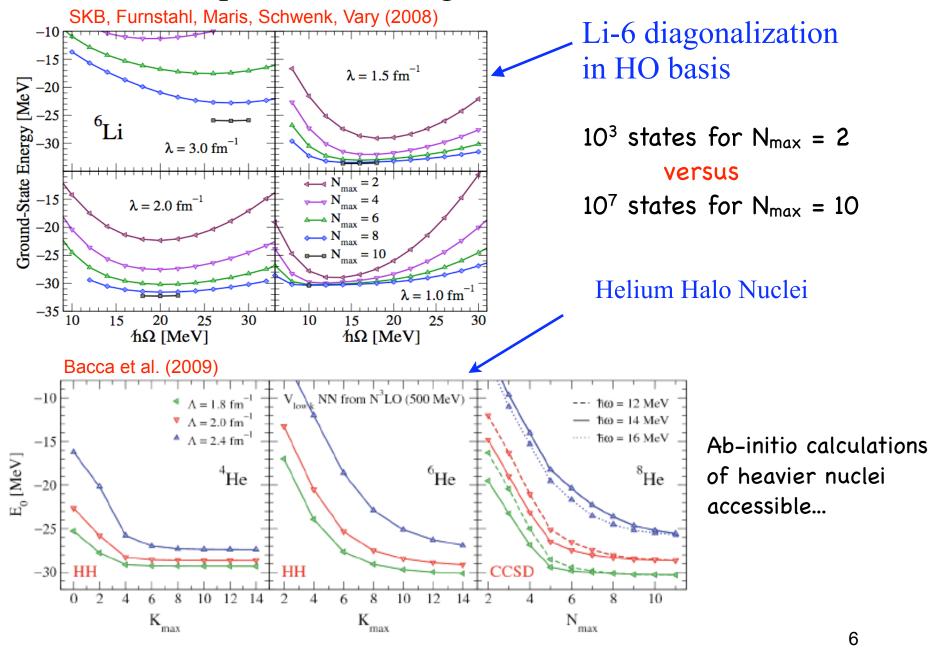


" $V_{low \, k}$ " integrate-out high k states preserves observables for  $k < \Lambda$ 

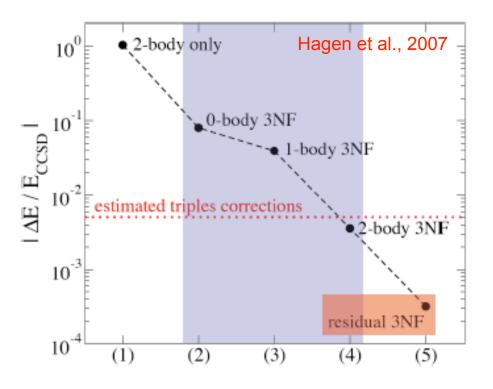
"Similarity RG" eliminate far off-diagonal coupling preserves "all" observables

Very similar consequences despite differences in appearance (low and high momentum decoupled)

## RG-Improved Convergence in ab-initio calculations

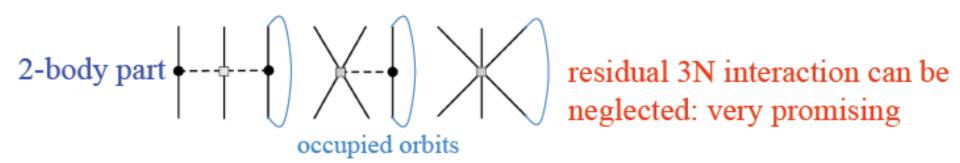


### Towards including 3N interactions in medium mass nuclei

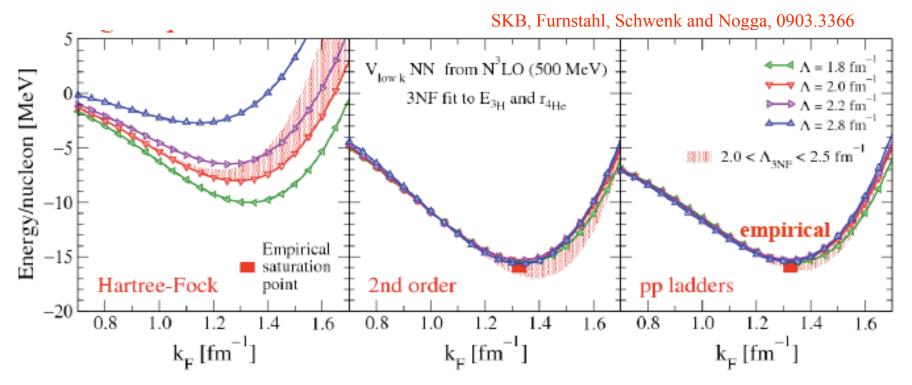


coupled-cluster calculations of closed-shell nuclei

normal-ordered 0-, 1- and 2-body parts of 3N interaction dominate



#### Perturbative Nuclear Matter with chiral EFT + RG?



HF bound and saturates, converged at  $\approx$  2nd order MBPT 3N drives saturation, theoretical error bands

Empirical saturation lies in theoretical error bands w/out fine-tuning Is a solution to a 50 year old problem in reach?

Promising for a microscopic nuclear Density Functional Theory (DFT)?

### The Similarity Renormalization Group

Wegner, Glazek and Wilson

### Unitary transformation on an initial H = T + V

$$H_{\lambda} = U(\lambda)HU^{\dagger}(\lambda) \equiv T + V_{\lambda}$$
  $\lambda = \text{continuous flow parameter}$ 

Differentiating with respect to  $\lambda$ :

$$\frac{dH_{\lambda}}{d\lambda} = [\eta(\lambda), H_{\lambda}] \quad \text{with} \quad \eta(\lambda) \equiv \frac{dU(\lambda)}{d\lambda} U^{\dagger}(\lambda)$$

Engineer  $\eta$  to do different things as  $\lambda => 0$ 

$$\eta(\lambda) = [\mathcal{G}_{\lambda}, H_{\lambda}]$$

 $\mathcal{G}_{\lambda} = T \implies H_{\lambda}$  driven towards diagonal in k – space

$$\mathcal{G}_{\lambda} = PH_{\lambda}P + QH_{\lambda}Q \Rightarrow H_{\lambda} \text{ driven to block-diagonal}$$

•

#### Normal Ordered Hamiltonians

$$H = \sum t_i a_i^{\dagger} a_i + \frac{1}{4} \sum V_{ijkl}^{(2)} a_i^{\dagger} a_j^{\dagger} a_l a_k + \frac{1}{36} \sum V_{ijklmn}^{(3)} a_i^{\dagger} a_j^{\dagger} a_k^{\dagger} a_n a_m a_l$$

#### Normal-order w.r.t. some reference state $\Phi$ (e.g., HF):

$$H = E_{vac} + \sum f_{i}N(a_{i}^{\dagger}a_{i}) + \frac{1}{4}\sum \Gamma_{ijkl}N(a_{i}^{\dagger}a_{j}^{\dagger}a_{l}a_{k}) + \frac{1}{36}\sum W_{ijklmn}N(a_{i}^{\dagger}a_{j}^{\dagger}a_{k}^{\dagger}a_{n}a_{m}a_{l})$$

$$E_{vac} = \langle \Phi|H|\Phi\rangle$$

$$f_{i} = t_{ii} + \sum_{h}\langle ih|V_{2}|ih\rangle n_{h} + \frac{1}{2}\sum_{hh'}\langle ihh'|V_{3}|ihh'\rangle n_{h}n_{h'}$$

$$\Gamma_{ijkl} = \langle ij|V_{2}|kl\rangle + \sum_{h}\langle ijh|V_{3}|klh\rangle n_{h}$$

$$W_{ijklmn} = \langle ijk|V_{3}|lmn\rangle \qquad \langle \Phi|N(\cdots)|\Phi\rangle = 0$$

0-, 1-, 2-body terms contain some 3NF effects thru density dependence => Efficient truncation scheme for evolution of 3N?

#### In-medium SRG for Infinite NM and closed-shell nuclei

- Normal order H w.r.t. fermi sea
- Choose SRG generator to eliminate "energy off-diagonal" pieces

$$\frac{dH(s)}{ds} = [\eta(s), H(s)]$$

$$\eta = [\hat{f}, \hat{\Gamma}]$$

$$\lim_{s \to \infty} \Gamma_{od}(s) = 0$$

$$\lambda \equiv s^{-1/4}$$

$$\langle 12 | \Gamma_{od} | 34 \rangle = 0 \text{ if } f_{12} = f_{34}$$

- Truncate flow equations to 2-body normal-ordered operators
  - dominant parts of induced many-body forces included implicitly

$$H(\infty) = E_{vac}(\infty) + \sum f_i(\infty) N(a_i^{\dagger} a_i) + \frac{1}{4} \sum [\Gamma_d(\infty)]_{ijkl} N(a_i^{\dagger} a_j^{\dagger} a_l a_k)$$

$$E_{vac}(\infty) \rightarrow E_{gs}$$

$$f_k(\infty) \rightarrow \epsilon_k \text{ (fully dressed s.p.e.)}$$

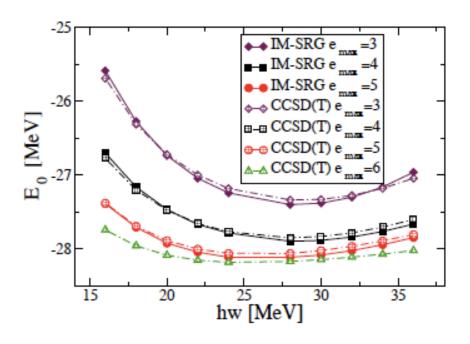
$$\Gamma_d(\infty) \rightarrow f(k', k) \text{ (Landau q.p. interaction)}$$

Microscopic realization of SM ideas: dominant MF + weak A-dependent NN<sub>eff</sub>

#### Some observations

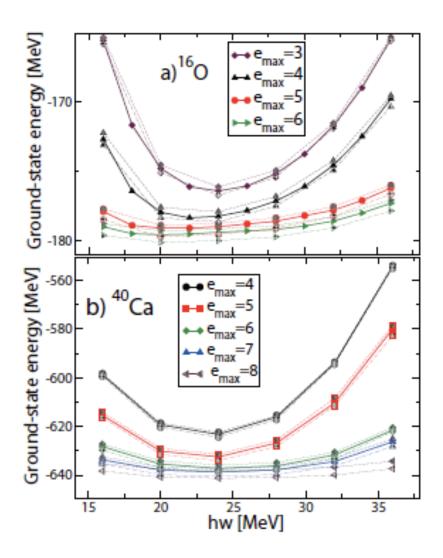
- 1)  $\frac{d}{ds}\langle H\rangle_0 \leq 0 \text{ for monotonic } \mathbf{f_k} \qquad \text{correlations weakened, HF picks} \\ \text{up more binding with increasing s.}$
- 2) pp channel + 2 ph channels treated on equal footing
- 3) Intrinsically non-perturbative
- 4) no unlinked diagrams (size extensive, etc.)
- 5) "3rd-order exact" a-la CCSD
- 6) Extension to effective operators/Shell model possible

### In-medium SRG for nuclei Tsukiyama, SKB, Schwenk, in prep

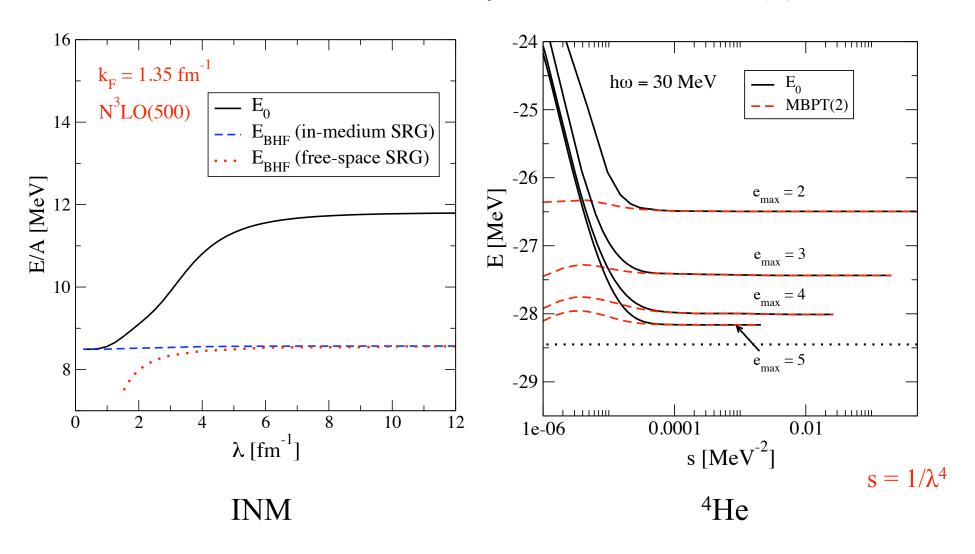


Comparable to CCSD(T) in closed shell nuclei

Promising method to calculate shell model valence H<sub>eff</sub>/O<sub>eff</sub>

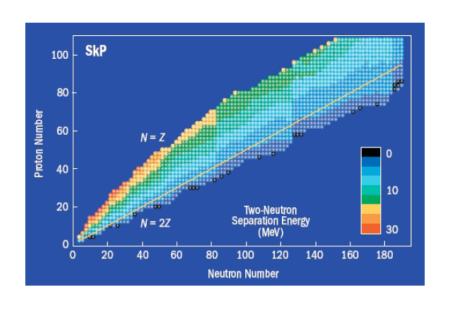


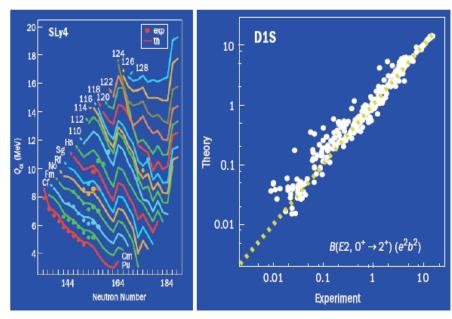
## Correlations "adiabatically" summed into $H(\lambda)$

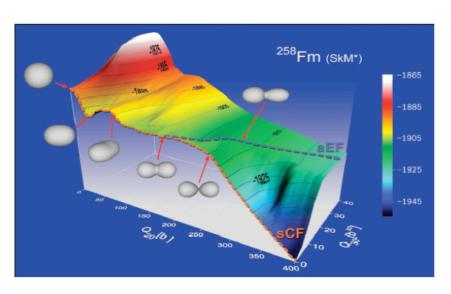


Useful for ab initio DFT? Shell model?

### Accomplishments of Phenomenological Energy Functionals



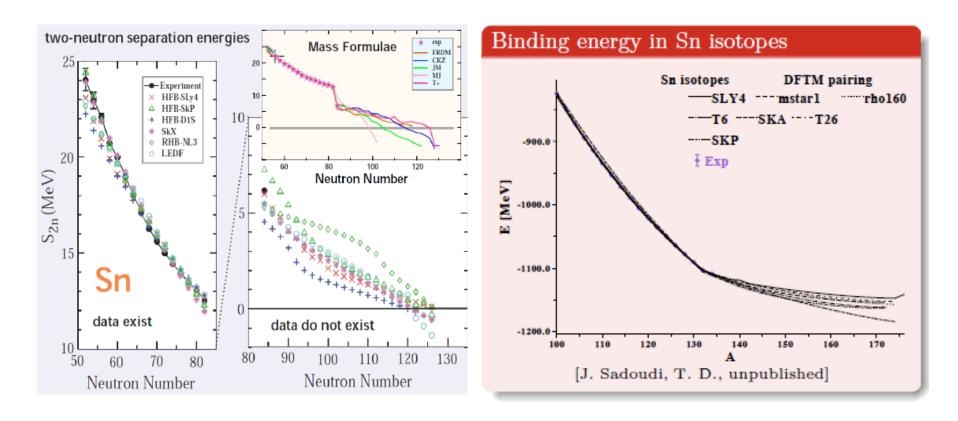




2N separation energies, Quadrupole and BE2 values, Fission energy surfaces, mass tables in a day, plus many other impressive feats



### Limitations of Existing Energy Functionals (Predictability)



- Uncontrolled extrapolations away from known data
- Theoretical error-bars?

## What's missing in phenomenological EDFs?

- Density dependencies too simplistic
- Isovector components not well constrained
- No way to estimate theoretical uncertainties
- What's the connection to many-body forces?

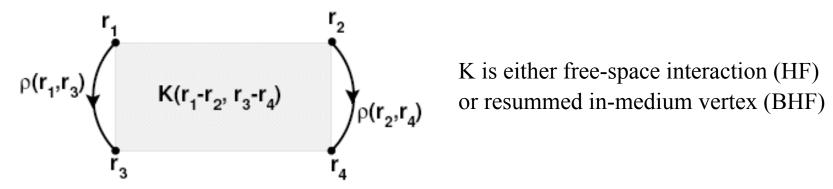
Turn to microscopic many body theory for guidance, aided by the simplifications enabled by RG-evolved interactions



### Local Skyrme-like Functionals from RG-evolved Interactions

#### Dominant MBPT contributions to bulk properties take the form

$$\langle V \rangle \sim \text{Tr}_1 \text{Tr}_2 \int d\mathbf{R} d\mathbf{r}_{12} d\mathbf{r}_{34} \, \rho(\mathbf{r_1}, \mathbf{r_3}) \, K(\mathbf{r}_{12}, \mathbf{r}_{34}) \, \rho(\mathbf{r_2}, \mathbf{r_4}) + \text{NNN} \cdots$$



### Written in terms on non-local quantities

density matrices and s.p. propagators finite range interaction vertex K

Connection to  $E = E[\rho]$  is not obvious!

### Density Matrix Expansion Revisited (Negele and Vautherin)

Expand of DM in local operators w/factorized non-locality

$$\langle \Phi | \psi^{\dagger} (\mathbf{R} - \frac{1}{2} \mathbf{r}) \psi (\mathbf{R} + \frac{1}{2} \mathbf{r} | \Phi \rangle = \sum_{n} \Pi_{n} (k_{F} r) \langle \mathcal{O}_{n} (\mathbf{R}) \rangle$$
$$\langle \mathcal{O}_{n} (\mathbf{R}) \rangle = [\rho(\mathbf{R}), \nabla^{2} \rho(\mathbf{R}), \tau(\mathbf{R}), \mathbf{J}(\mathbf{R}), \dots]$$

Dependence on local densities/currents now manifest

$$\langle V_2 \rangle \sim \sum_{n,m} \int d\mathbf{R} \, \mathcal{O}_n(\mathbf{R}) \mathcal{O}_m(\mathbf{R}) \, \int d\mathbf{r} \, \Pi_n(k_F r) \Pi_m(k_F r) V_2(r)$$

$$\sim \sum_t \int d\mathbf{R} \left\{ C_t^{\rho\rho} \rho_t^2 + C_t^{\rho\tau} \rho_t \tau_t + C_t^{\rho\Delta\rho} \rho_t \Delta \rho_t + C_t^{JJ} \mathbf{J}_t^2 + C_t^{J\nabla\rho} \mathbf{J}_t \nabla \rho_t \cdots \right\}$$

Skyrme-like EDF with **density-dependent** couplings dominated by long-range pion-physics

## Prescriptions for $\Pi_n$ -functions

Phase space averaging (PSA-DME) (Gebremariam et al. arXiv:0910.4979)

$$\rho(\vec{r}_1, \vec{r}_2) = e^{i\vec{r}\cdot\vec{k}} e^{\frac{\vec{r}}{2}\cdot(\nabla_1 - \nabla_2) - i\vec{r}\cdot\vec{k}} \rho(\vec{r}_1, \vec{r}_2) \Big|_{\vec{r}_1 = \vec{r}_2 = \vec{R}}$$

Average the non-locality operator over local momentum distribution  $g(\mathbf{R},\mathbf{k})$  and expand exponentiated gradients

$$\rho(\vec{r}_1, \vec{r}_2) \approx \int d^3\vec{k} \ g(\vec{R}, \vec{k}) \, e^{i\vec{k} \cdot \vec{r}} \sum_{n=0}^{2} \frac{1}{n!} \left\{ \vec{r} \cdot \left( \frac{\nabla_1 - \nabla_2}{2} - i\vec{k} \right) \right\}^n \rho(\vec{r}_1, \vec{r}_2) \bigg|_{\vec{r}_1 = \vec{r}_2 = \vec{R}}$$

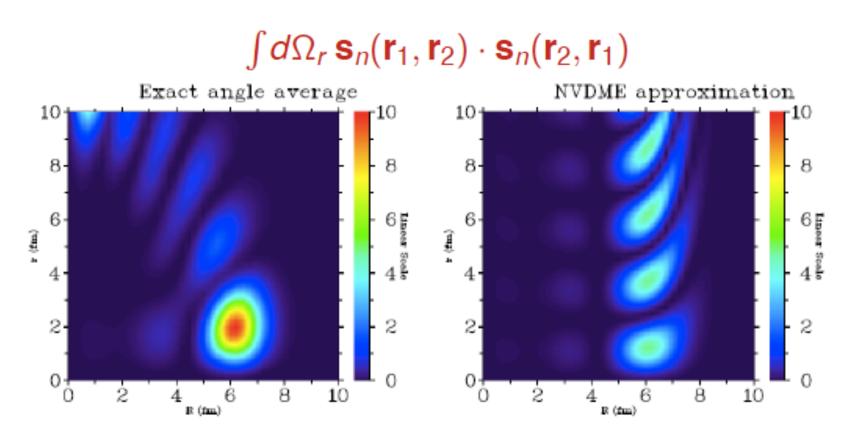
Easy to build in physics associated with surface effects in finite fermi systems

Crucial to accurately describe spin-vector part of OBDM

## Prescriptions for $\Pi_n$ -functions

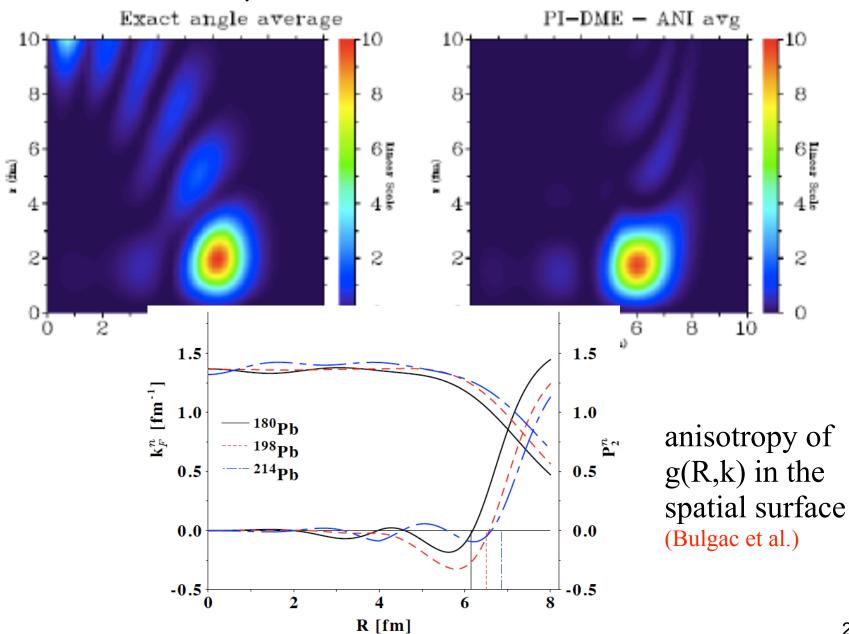
#### Negele and Vautherin (NV-DME)

Truncated Bessel expansion of non-locality operator **Sufficient for spin-unsaturated nuclei only** 

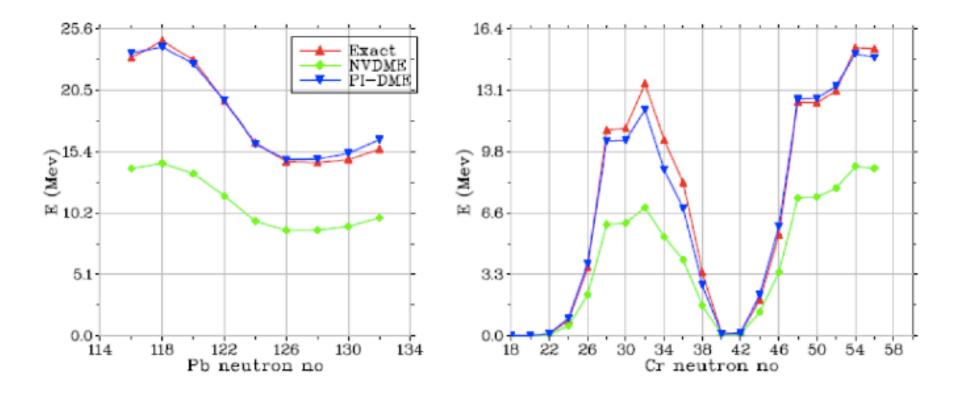


Why it fails: no phase space averaging done for spin-vector part

## Improved Vector PSA-DME



## Look at $\int d\mathbf{r} d\mathbf{R} V_{1\pi}(r) \mathbf{s}_n(\mathbf{r}_1, \mathbf{r}_2) \cdot \mathbf{s}_n(\mathbf{r}_2, \mathbf{r}_1)$ :



- Inclusion of finite fermi phase space effects crucial for quantitative agreement
- completely parameter-free

Can now apply modified DME with confidence to spin-unsaturated systems

### Including Long Range Chiral EFT in Skyrme-like EDFs

$$V_{EFT} = V_{ct}(\Lambda) + V_{1\pi} + V_{2\pi} + \cdots$$

Each EDF coupling function splits into 2 terms

- 1)  $\Lambda$ -dependent Skyrme-like coupling constants (short-distance)
- 2)  $\Lambda$ -independent coupling functions from "universal" pion physics

$$C_t^{\rho\tau} \Rightarrow C_t^{\rho\tau}(\Lambda; V_{ct}) + C_t^{\rho\tau}[k_F(\mathbf{R}); V_{\pi}]$$
 Etc...

From contact terms in EFT/RG V's

From pion exchanges

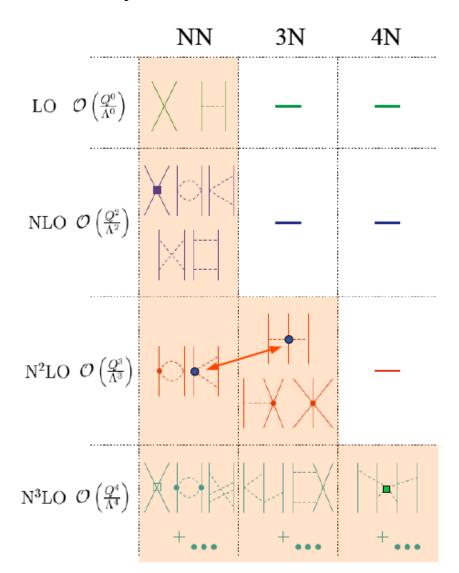
Suggests a microscopically-improved Skyrme phenomenology

Add pion-exchange couplings to existing Skyrmes and refit constants using guidance from EFT (naturalness, etc.)

### Gameplan - Include pion physics in Skyrme EDFs and refit

- Include DME coupling functions from finite-range NN and NNN chiral EFT thru N2LO
- Refit the Skyrme coupling constants (EFT constraints => naturalness)
- Look for improved observables and for sensitivities
- Can we "see" the pion as in NN phase shift analyses

Expect interesting spin-orbit consequences (NN vs NNN)



in progress w/ORNL group (Stoitsov et al.)

### New development: DME for chiral NNN force (N2LO)

Expect interesting spin-orbit/tensor couplings from TPE

$$V_c(\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3) \sim \frac{\sigma_1 \cdot \mathbf{q}_1 \sigma_2 \cdot \mathbf{q}_2}{(q_1^2 + m_\pi^2)(q_2^2 + m_\pi^2)} F_{123}^{\alpha\beta} \tau_1^{\alpha} \tau_2^{\beta} + perms$$

$$\mathbf{c}_1, \mathbf{c}_3, \mathbf{c}_4 \text{ terms}$$

$$F_{123}^{\alpha\beta} \equiv \delta_{\alpha\beta} \left[ -4 \frac{c_1 m_\pi^2}{f_\pi^2} + 2 \frac{c_3}{f_\pi^2} \mathbf{q}_1 \cdot \mathbf{q}_2 \right] + \frac{c_4}{f_\pi^2} \epsilon^{\alpha\beta\gamma} \tau_3^{\gamma} \sigma_3 \cdot \left( \mathbf{q}_1 \times \mathbf{q}_2 \right)$$

Empirical EDFs (Skyrme, Gogny,...) spin-orbit coupling is density independent => appropriate for NN spin-orbit forces (short range)

This is a mismatch since microscopic NNN interactions are long-range (DME ==> strong density dependent  $J \cdot \nabla \rho$  couplings)

Complexity explodes ==> Automated symbolic tools developed (Gebremariam et al) will be available at www.unedf.org

long  $(2\pi)$ 

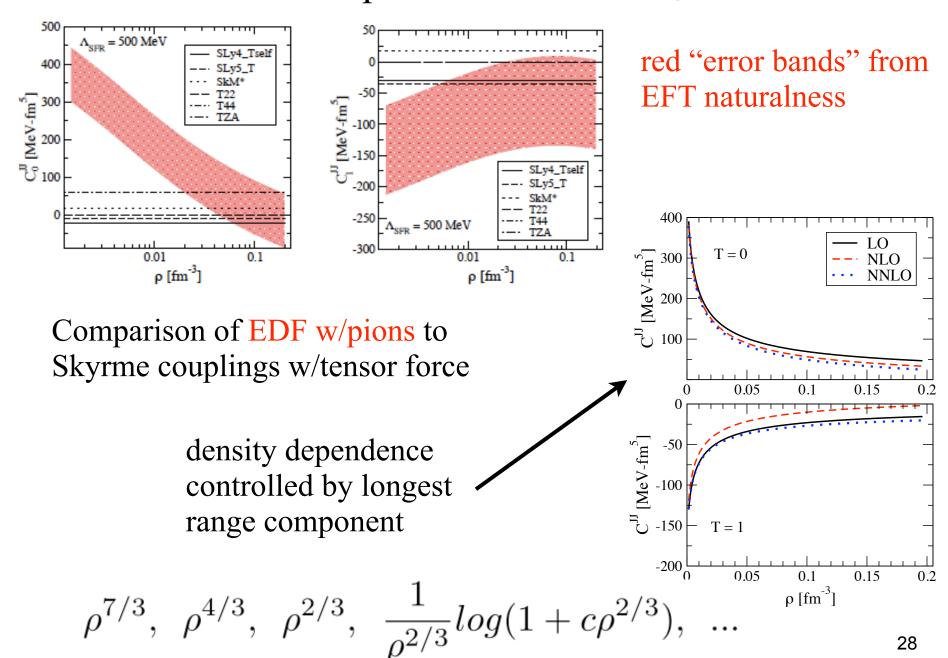
$$\begin{split} \mathcal{E}^{CR4,2x} &= \int d\vec{r} \left\{ \mathcal{C}_{7}^{\rho_{0}^{3}} \rho_{0}^{3}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}\rho_{1}^{2}} \rho_{0}(\vec{r}) \, \rho_{1}^{2}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}\rho_{1}\varsigma_{1}^{1}} \, \rho_{0}(\vec{r}) \, \rho_{1}(\vec{r}) \, \varsigma_{1}^{1}(\vec{r}) \right. \\ &+ \mathcal{C}_{7}^{\rho_{0}^{3}\Delta\rho_{0}} \, \rho_{0}^{2}(\vec{r}) \, \Delta\rho_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}\rho_{1}\Delta\rho_{1}} \, \rho_{0}(\vec{r}) \, \rho_{1}(\vec{r}) \, \Delta\rho_{1}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}^{3}\varsigma_{0}^{2}} \, \rho_{0}^{2}(\vec{r}) \, \varsigma_{0}^{2}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{1}^{2}\varsigma_{0}^{2}} \, \rho_{1}^{2}(\vec{r}) \, \varsigma_{0}^{2}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}\rho_{1}\varsigma_{1}^{2}} \, \rho_{0}(\vec{r}) \, \rho_{1}(\vec{r}) \, \varsigma_{1}^{2}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}^{2}\varsigma_{0}^{2}} \, \rho_{0}^{2}(\vec{r}) \, \varsigma_{0}^{2}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{0}^{2}J_{0}^{2}} \, \rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{1}^{2}J_{0}^{2}} \, \rho_{1}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{0}^{2}J_{0}^{2}} \, \rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{1}^{2}J_{0}^{2}} \, \rho_{1}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{1}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{0}J_{0}^{2}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{\nabla} \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{1}^{2}J_{0}^{2}} \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{\nabla} \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{2}^{2}J_{0}^{2}} \, \varsigma_{0}^{2}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{\nabla} \cdot \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \cdot \vec{J}_{0}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{1}J_{0}J_{0}} \, \vec{J}_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) + \mathcal{C}_{7}^{\rho_{0}J_{0}J_{0}} \, \lambda\rho_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \, \vec{J}_{0}(\vec{r}) \\ &+ \mathcal{C}_{7}^{\rho_{1}J_{0}J_{0}} \, \vec{J}_{0}(\vec{r}) \, \vec{J}_{0$$

#### + 4 other classes of similar terms

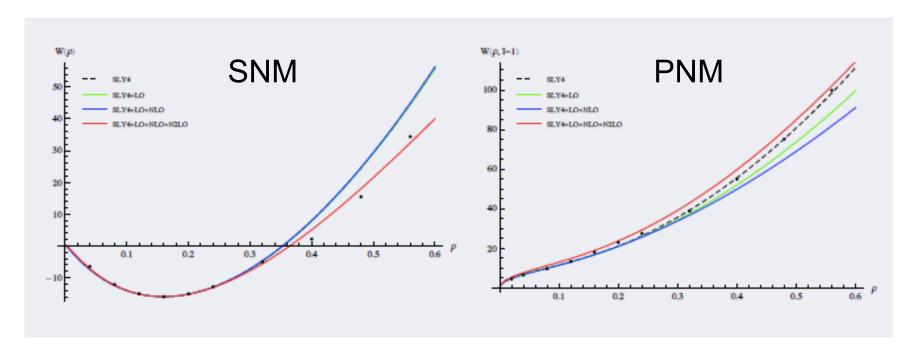
Looks ugly (or beautiful, depending on your view), but a regular structure emerges:

$$C^{ijk}[u]\xi_i\xi_j\xi_k$$
,  $u\equiv rac{k_F(R)}{m_\pi}$  (note: u is NOT small) 
$$C^{ijk}[u]=C^{ijk}_1[u]+C^{ijk}_2[u]\,\ln(1+4u^2)+C^{ijk}_3[u]rctan(2u),$$
  $C^{ijk}_{\alpha}[u]=\mathrm{rational\ polynomial}$ 

## Some examples (Gebremariam, SKB, Duguet 2010)



Moral: Simple many-body theory + current understanding of underlying NN + NNN interactions tells us Skyrme is way too simple.



First exploratory calculations in progress w/M.Stoitsov et al. using the extended EDF (implemented in HFBRAD and HFBTHO)

Mathematica nb's with 2N/3N DME couplings available at www.unedf.org

## Other efforts developing non-empirical EDFs

Non-empirical pairing functional (Duguet, Lesinski, Hebeler, Schwenk)

Build first  $\Sigma^q$  and  $\Delta^q$  at lowest-order in  $V_{\rm NN}$  and  $V_{\rm NNN}$  (RG-evolved)

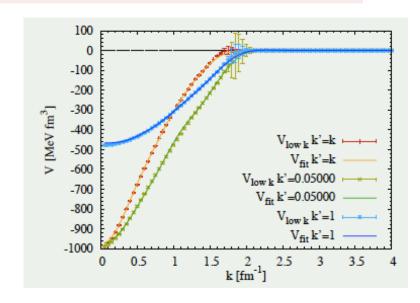
$$\sum = V_{NN} + V_{3N} + V_{3N}$$

- $v^{pp}$ : microscopically built from  $V_{NN}$  and  $V_{NNN}$
- $v^{ph}$ : semi-empirical from constrained Skyrme EDF  $(m^* \approx 0.7 m)$

$$V_{qq}^{^{1}S_{0}}(k,k') = \sum_{\alpha,\beta=1}^{n} g_{\alpha}(k) \lambda_{\alpha\beta} g_{\beta}(k')$$

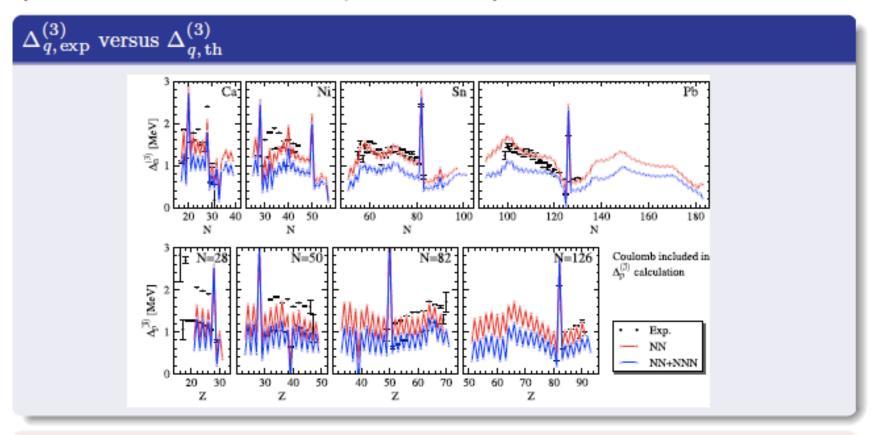
Low-rank separable expansion good at low  $\Lambda$ 

Almost as cheap as local pairing EDF calculations



### With $V_{low k} + V_{coulomb} + approximate NNN$

[T. Lesinski, T. D., K. Bennaceur, J. Meyer, in preparation]



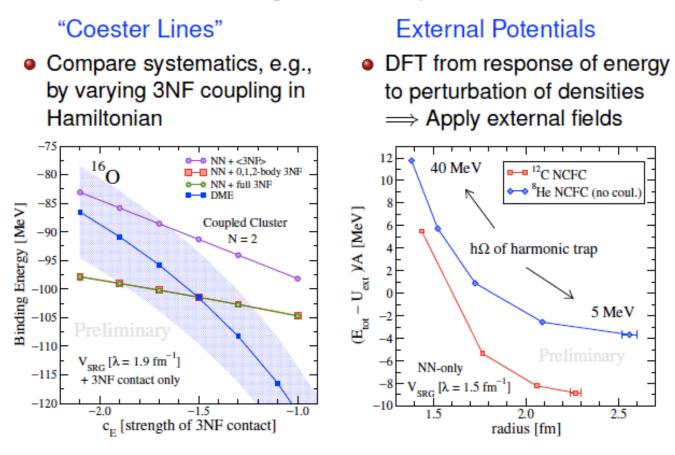
- Leave  $\sim 20-30\%$  for coupling to (collective) fluctuations

Next: Beyond 1st order (Gorkov 2nd-order, In-medium SRG), local approx's

## Other efforts developing non-empirical EDFs

### DME functional vs. ab initio (SKB, Furnstahl, Platter)

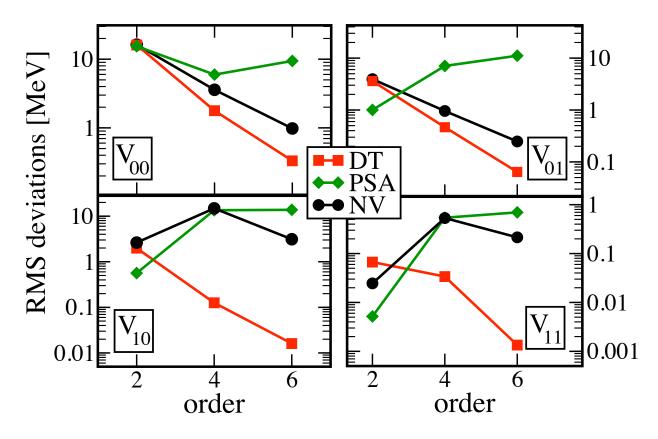
Start from the **same** H and compare with no adjustments



This was pre- PSADME improvements and implementation of exact Hartree. Worth revisiting!

### Other efforts developing non-empirical EDFs

DME beyond  $\nabla^2$  (Carlsson, Dobaczewski, arXiv:1003.2543)



New "Damped Taylor" DME gives dramatic improvements with higher order gradients

Solves the problem of exploding # of parameters with higher  $\nabla$ 

## Summary

- RG methods simplify nuclear many-body calculations
  - faster convergence, more perturbative, low k "universality"
  - empirical NM saturation within theoretical errors
- In-medium SRG
  - Normal-ordering => simple way to evolve many-body operators
  - analogous to CC; diagonalize many-body problems
  - non-perturbative path for shell model and possible ab-initio DFT
- Microscopic connections to DFT now possible
  - explicit inclusion of long-distance chiral EFT physics via the density matrix expansion (microscopic guidance for density dependence, isovector and spin-orbit properties, etc.)