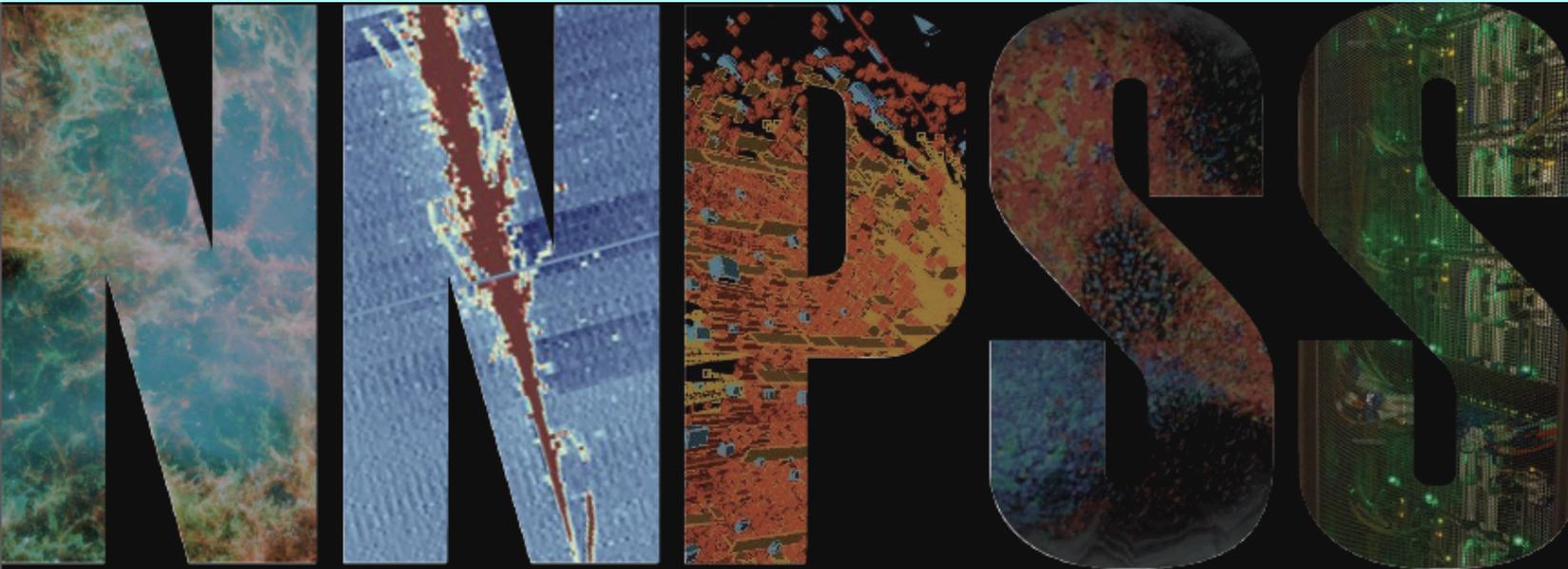


# Hadron Structure

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## Lecture Topics

*Hadronic Physics*  
*Nuclear Structure*  
*Nuclear Astrophysics*  
*Hot Dense Nuclear Matter*  
*Neutrinos & Dark Matter*  
*Fundamental Symmetries*  
*Accelerators and Detectors*  
*Spin Physics*  
*Electron-Ion Collider*

**2016 National Nuclear Physics Summer School**

Massachusetts Institute of Technology

July 18–29, 2016

Organizing Committee

W. Detmold, J. Formaggio, E. Luc,  
R. Milner, G. Roland, M. Williams

# The plan for my three lectures

## □ The Goal:

**To understand** the hadron structure in terms of QCD and its hadronic matrix elements of quark-gluon field operators, **to connect** these matrix elements to physical observables, and **to calculate** them from QCD (lattice QCD, inspired models, ...)

## □ The outline:

**Hadrons, partons (quarks and gluons),  
and probes of hadron structure**

**One lecture**

**Parton Distribution Functions (PDFs) and**

**Transverse Momentum Dependent PDFs (TMDs)**

**One lecture**

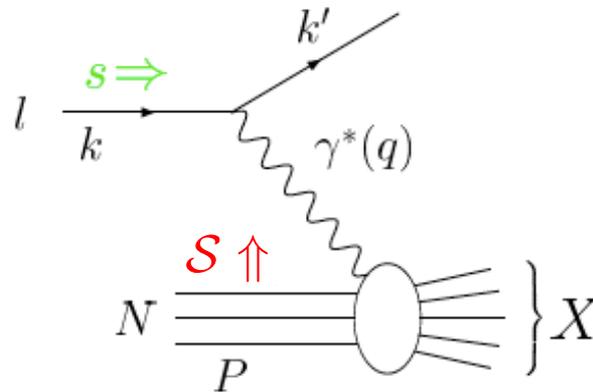
**Generalized PDFs (GPDs) and multi-parton correlation functions**

**One lecture**

*See also  
lectures by Shepard on  
“Hadron Spectroscopy”,  
and  
lectures by Deshpande on  
“Electron-Ion Collider”  
and  
lectures by Gandolfi on  
“Nuclear Structure”  
and  
lectures by Aschenauer on  
“Accelerators & detectors”*

# Transverse single-spin asymmetry (TSSA)

- 50 years ago, Profs. Christ and Lee proposed to use  $A_N$  of inclusive DIS to test the Time-Reversal invariance  
N. Christ and T.D. Lee, Phys. Rev. 143, 1310 (1966)



They predicted:

In the approximation of one-photon exchange,  $A_N$  of inclusive DIS **vanishes** if Time-Reversal is invariant for EM and Strong interactions

# $A_N$ for inclusive DIS

□ **DIS cross section:**  $\sigma(\vec{s}_\perp) \propto L^{\mu\nu} W_{\mu\nu}(\vec{s}_\perp)$

□ **Leptonic tensor is symmetric:**

$$L^{\mu\nu} = L^{\nu\mu}$$

□ **Hadronic tensor:**

$$W_{\mu\nu}(\vec{s}_\perp) \propto \langle P, \vec{s}_\perp | j_\mu^\dagger(0) j_\nu(y) | P, \vec{s}_\perp \rangle$$

□ **Polarized cross section:**

$$\Delta\sigma(\vec{s}_\perp) \propto L^{\mu\nu} [W_{\mu\nu}(\vec{s}_\perp) - W_{\mu\nu}(-\vec{s}_\perp)]$$

□ **Vanishing single spin asymmetry:**

$$A_N = 0 \iff \langle P, \vec{s}_\perp | j_\mu^\dagger(0) j_\nu(y) | P, \vec{s}_\perp \rangle \\ \neq \langle P, -\vec{s}_\perp | j_\nu^\dagger(0) j_\mu(y) | P, -\vec{s}_\perp \rangle$$

# $A_N$ for inclusive DIS

□ Define two quantum states:

$$\langle \beta | \equiv \langle P, \vec{s}_\perp | j_\mu^\dagger(0) j_\nu(y) \quad | \alpha \rangle \equiv | P, \vec{s}_\perp \rangle$$

□ Time-reversed states:

$$| \alpha_T \rangle = V_T | P, \vec{s}_\perp \rangle = | -P, -\vec{s}_\perp \rangle$$

$$\begin{aligned} | \beta_T \rangle &= V_T [j_\mu^\dagger(0) j_\nu(y)]^\dagger | P, \vec{s}_\perp \rangle \\ &= (V_T j_\nu^\dagger(y) V_T^{-1}) (V_T j_\mu(0) V_T^{-1}) | -P, -\vec{s}_\perp \rangle \end{aligned}$$

□ Time-reversal invariance:

$$\langle \alpha_T | \beta_T \rangle = \langle \alpha | V_T^\dagger V_T | \beta \rangle = \langle \alpha | \beta \rangle^* = \langle \beta | \alpha \rangle$$

$$\begin{aligned} \longrightarrow \langle -P, -\vec{s}_\perp | (V_T j_\nu^\dagger(y) V_T^{-1}) (V_T j_\mu(0) V_T^{-1}) | -P, -\vec{s}_\perp \rangle \\ = \langle P, \vec{s}_\perp | j_\mu^\dagger(0) j_\nu(y) | P, \vec{s}_\perp \rangle \end{aligned}$$

# $A_N$ for inclusive DIS

□ Parity invariance:

$$1 = U_P^{-1} U_P = U_P^\dagger U_P$$

$$\langle -P, -\vec{s}_\perp | (V_T j_\nu^\dagger(\mathbf{y}) V_T^{-1}) (V_T j_\mu(0) V_T^{-1}) | -P, -\vec{s}_\perp \rangle$$

$$\downarrow$$

$$\langle P, -\vec{s}_\perp | (U_P V_T j_\nu^\dagger(\mathbf{y}) V_T^{-1} U_P^{-1}) (U_P V_T j_\mu(0) V_T^{-1} U_P^{-1}) | P, -\vec{s}_\perp \rangle$$

$$\downarrow$$

$$\langle P, -\vec{s}_\perp | j_\nu^\dagger(-\mathbf{y}) j_\mu(0) | P, -\vec{s}_\perp \rangle$$

Translation invariance:

$$\langle P, -\vec{s}_\perp | j_\nu^\dagger(0) j_\mu(\mathbf{y}) | P, -\vec{s}_\perp \rangle$$

$$= \langle P, \vec{s}_\perp | j_\mu^\dagger(0) j_\nu(\mathbf{y}) | P, \vec{s}_\perp \rangle$$

□ Polarized cross section:

$$\Delta\sigma(\vec{s}_\perp) \propto L^{\mu\nu} [W_{\mu\nu}(\vec{s}_\perp) - W_{\mu\nu}(-\vec{s}_\perp)]$$

$$= L^{\mu\nu} [W_{\mu\nu}(\vec{s}_\perp) - W_{\nu\mu}(\vec{s}_\perp)] = 0$$

# $A_N$ in hadronic collisions

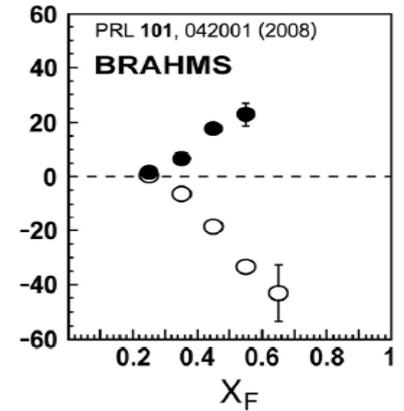
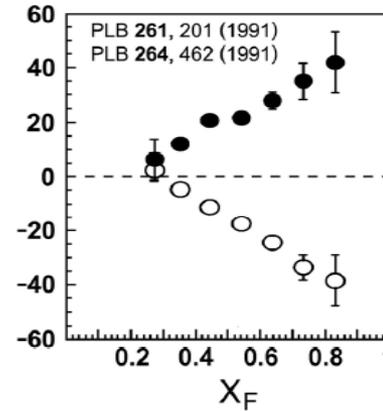
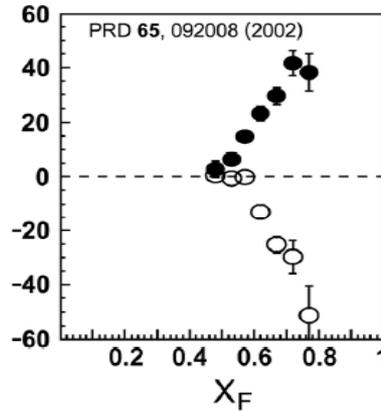
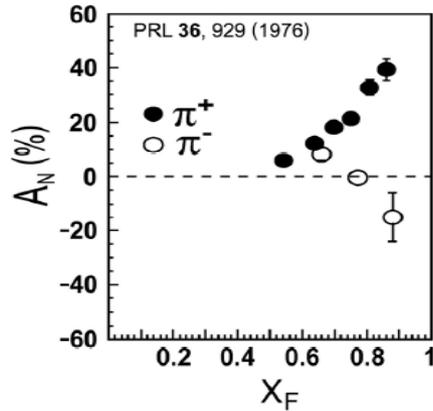
$A_N$  - consistently observed for over 35 years!

ANL - 4.9 GeV

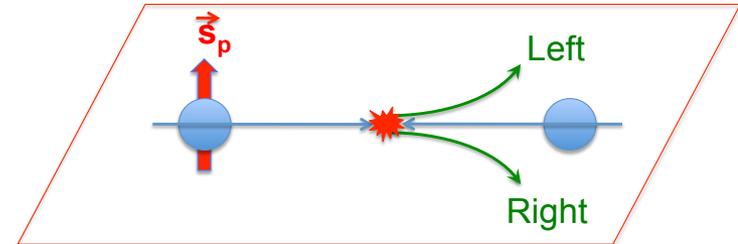
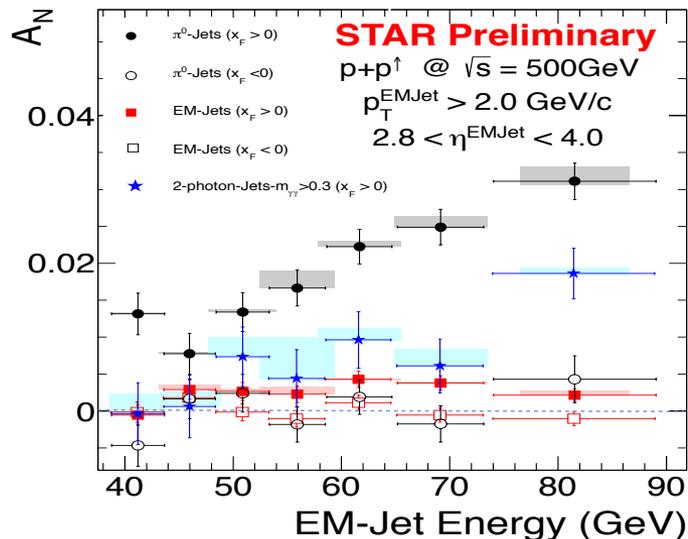
BNL - 6.6 GeV

FNAL - 20 GeV

BNL - 62.4 GeV



Survived the highest RHIC energy:



$$A_N \equiv \frac{\Delta\sigma(l, \vec{s})}{\sigma(l)} = \frac{\sigma(l, \vec{s}) - \sigma(l, -\vec{s})}{\sigma(l, \vec{s}) + \sigma(l, -\vec{s})}$$

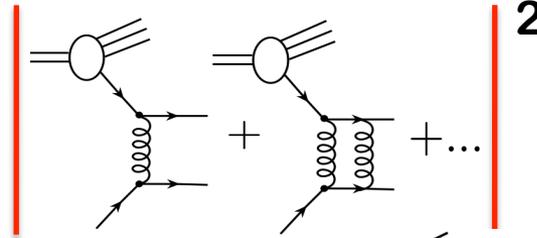
*Do we understand this?*

# Do we understand it?

Kane, Pumplin, Repko, PRL, 1978

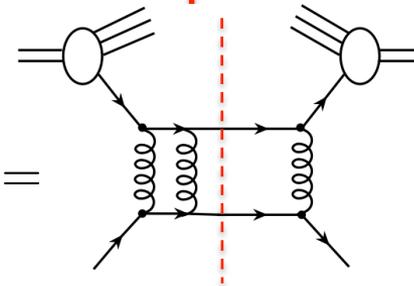
## □ Early attempt:

Cross section:  $\sigma_{AB}(p_T, \vec{s}) \propto$



Asymmetry:

$$\sigma_{AB}(p_T, \vec{s}) - \sigma_{AB}(p_T, -\vec{s}) =$$



$$\propto \alpha_s \frac{m_q}{p_T}$$

Too small to explain available data!

## □ What do we need?

$$A_N \propto i\vec{s}_p \cdot (\vec{p}_h \times \vec{p}_T) \Rightarrow i\epsilon^{\mu\nu\alpha\beta} p_{h\mu} s_\nu p_\alpha p'_{h\beta}$$

Need a phase, a spin flip, enough vectors

## □ Vanish without parton's transverse motion:



A direct probe for parton's transverse motion,

Spin-orbital correlation, QCD quantum interference

# How collinear factorization generates TSSA?

## □ Collinear factorization beyond leading power:

$$\sigma(Q, \vec{s}) \propto \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \\ \dots \end{array} \right|^2 \left( \frac{\langle k_{\perp} \rangle}{Q} \right)^n \text{ - Expansion}$$

$$\sigma(Q, s_T) = H_0 \otimes f_2 \otimes f_2 + (1/Q) H_1 \otimes f_2 \otimes f_3 + \mathcal{O}(1/Q^2)$$

Too large to compete!

Three-parton correlation

## □ Single transverse spin asymmetry:

Efremov, Teryaev, 82;  
Qiu, Sterman, 91, etc.

$$\Delta\sigma(s_T) \propto T^{(3)}(x, x) \otimes \hat{\sigma}_T \otimes D(z) + \delta q(x) \otimes \hat{\sigma}_D \otimes D^{(3)}(z, z) + \dots$$

$$T^{(3)}(x, x) \propto$$

Qiu, Sterman, 1991, ...

$$D^{(3)}(z, z) \propto$$

Kang, Yuan, Zhou, 2010

**Integrated** information on parton's transverse motion!

Needed **Phase**: Integration of "dx" using unpinched poles

# Twist-3 distributions relevant to $A_N$

## □ Twist-2 distributions:

▪ Unpolarized PDFs:

$$q(x) \propto \langle P | \bar{\psi}_q(0) \frac{\gamma^+}{2} \psi_q(y) | P \rangle$$

$$G(x) \propto \langle P | F^{+\mu}(0) F^{+\nu}(y) | P \rangle (-g_{\mu\nu})$$

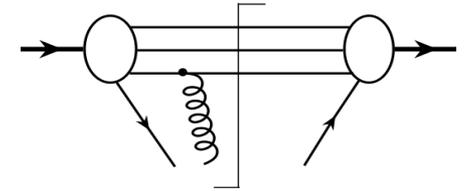
▪ Polarized PDFs:

$$\Delta q(x) \propto \langle P, S_{\parallel} | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y) | P, S_{\parallel} \rangle$$

$$\Delta G(x) \propto \langle P, S_{\parallel} | F^{+\mu}(0) F^{+\nu}(y) | P, S_{\parallel} \rangle (i\epsilon_{\perp\mu\nu})$$

## □ Two-sets Twist-3 correlation functions:

*No probability interpretation!*



$$\tilde{T}_{q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+}{2} [\epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

Kang, Qiu, 2009

$$\tilde{T}_{G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [\epsilon^{s_T \sigma n \bar{n}} F_{\sigma}^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (-g_{\rho\lambda})$$

$$\tilde{T}_{\Delta q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} [i s_T^{\sigma} F_{\sigma}^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

$$\tilde{T}_{\Delta G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [i s_T^{\sigma} F_{\sigma}^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (i\epsilon_{\perp\rho\lambda})$$

**Role of color magnetic force!**

## □ Twist-3 fragmentation functions:

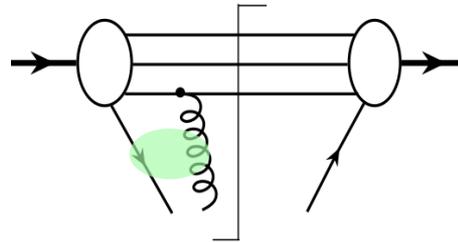
See Kang, Yuan, Zhou, 2010, Kang 2010

# “Interpretation” of twist-3 correlation functions

## □ Measurement of direct QCD quantum interference:

Qiu, Sterman, 1991, ...

$$T^{(3)}(x, x, S_{\perp}) \propto$$



Interference between a single active parton state and an active two-parton composite state

## □ “Expectation value” of QCD operators:

$$\langle P, s | \bar{\psi}(0) \gamma^+ \psi(y^-) | P, s \rangle \longrightarrow \langle P, s | \bar{\psi}(0) \gamma^+ \left[ \epsilon_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

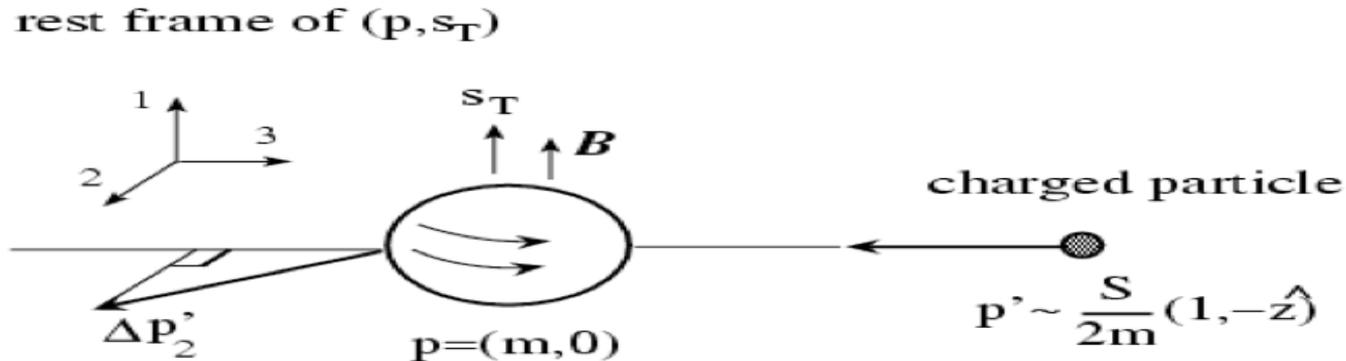
$$\langle P, s | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(y^-) | P, s \rangle \longrightarrow \langle P, s | \bar{\psi}(0) \gamma^+ \left[ i g_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

How to interpret the “expectation value” of the operators in **RED**?

# A simple example

- The operator in Red – a classical Abelian case:

Qiu, Sterman, 1998



- Change of transverse momentum:

$$\frac{d}{dt} p'_2 = e(\vec{v}' \times \vec{B})_2 = -ev_3 B_1 = ev_3 F_{23}$$

- In the c.m. frame:

$$(m, \vec{0}) \rightarrow \bar{n} = (1, 0, 0_T), \quad (1, -\hat{z}) \rightarrow n = (0, 1, 0_T)$$

$$\implies \frac{d}{dt} p'_2 = e \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+$$

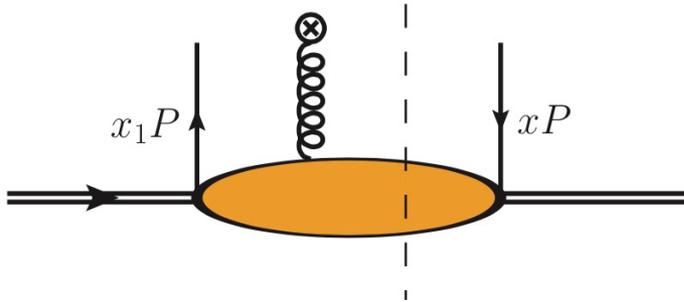
- The total change:

$$\Delta p'_2 = e \int dy^- \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y^-)$$

Net quark transverse momentum imbalance caused by color Lorentz force inside a transversely polarized proton

# Collinear twist-3 contribution to $A_N$

$$\begin{aligned}
 d\Delta\sigma(s_T) &\equiv d\sigma(s_T) - d\sigma(-s_T) \\
 &= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)} \\
 &+ H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)} \\
 &+ H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)}
 \end{aligned}$$



**SGP**

$$T_{FT}(x, x)$$



**Sivers-type function**

**Also tri-gluon correlators at SC**

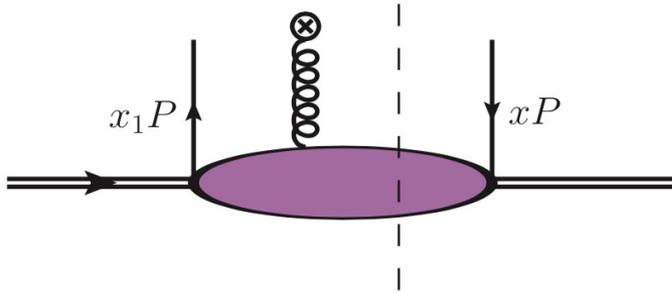
**SFP**

$$T_{FT}(0, x), \dots$$

$$G_{FT}(0, x), \dots$$

# Collinear twist-3 contribution to $A_N$

$$\begin{aligned}
 d\Delta\sigma(s_T) &\equiv d\sigma(s_T) - d\sigma(-s_T) \\
 &= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)} \\
 &+ H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)} \\
 &+ H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)}
 \end{aligned}$$



**SGP**

$$T_{FT}(x, x)$$

$$H_{FU}(x, x)$$



**Boer-Mulders-type function**

**SFP**

$$T_{FT}(0, x), \dots$$

$$H_{FU}(0, x), \dots$$

# Collinear twist-3 contribution to $A_N$

$$d\Delta\sigma(s_T) \equiv d\sigma(s_T) - d\sigma(-s_T)$$

$$\begin{aligned}
 &= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)} \\
 &+ H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)} \\
 &+ H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)}
 \end{aligned}$$

**SGP**

$$T_{FT}(x, x)$$

$$H_{FU}(x, x)$$

$$\hat{H}(z), H(z), \hat{H}_{FU}(z, z_1), \dots$$

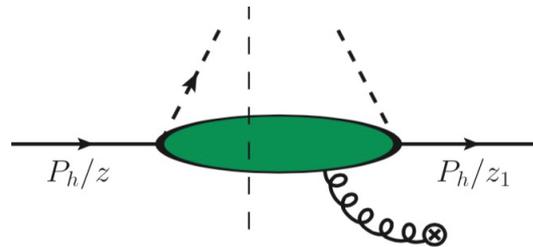
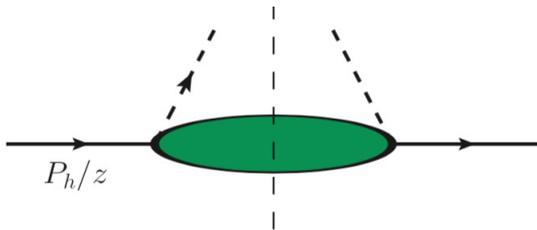
**SFP**

$$T_{FT}(0, x), \dots$$

$$H_{FU}(0, x), \dots$$



**Collins-type function**



# Collinear twist-3 contribution to $A_N$

$$\begin{aligned}
 d\Delta\sigma(s_T) &\equiv d\sigma(s_T) - d\sigma(-s_T) \\
 &= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)} && \text{SGP} && \text{SFP} \\
 &\quad + H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)} && T_{FT}(x, x) && T_{FT}(0, x), \dots \\
 &\quad + H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)} && H_{FU}(x, x) && H_{FU}(0, x), \dots \\
 & && \hat{H}(z), H(z), \hat{H}_{FU}(z, z_1), \dots
 \end{aligned}$$

## □ Early work (before 2013):

Assumed that SGP (Sivers-type) dominates the twist-3 contribution to TSSAs in:

$$p^\uparrow + p \rightarrow \pi(x_F, p_T) + X$$

Qiu, Sterman (1991, 98)

$$\begin{aligned}
 E_\ell \frac{d^3 \Delta\sigma(\vec{s}_T)}{d^3 \ell} &= \frac{\alpha_s^2}{S} \sum_{a,b,c} \int_{z_{\min}}^1 \frac{dz}{z^2} D_{c \rightarrow h}(z) \int_{x'_{\min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \phi_{b/B}(x') \\
 &\times \sqrt{4\pi\alpha_s} \left( \frac{\epsilon^{\ell s_T n \bar{n}}}{z \hat{u}} \right) \frac{1}{x} \left[ T_{a,F}(x, x) - x \left( \frac{d}{dx} T_{a,F}(x, x) \right) \right] H_{ab \rightarrow c}(\hat{s}, \hat{t}, \hat{u})
 \end{aligned}$$

✧ Growth in  $x_F$

✧ Slow fall off in  $p_T$

# Collinear twist-3 contribution to $A_N$

$$d\Delta\sigma(s_T) \equiv d\sigma(s_T) - d\sigma(-s_T)$$

$$= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)}$$

$$+ H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)}$$

$$+ H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)}$$



Negligible  
Kanazawa & Koike (2000)

# Collinear twist-3 contribution to $A_N$

$$d\Delta\sigma(s_T) \equiv d\sigma(s_T) - d\sigma(-s_T)$$

$$= H \otimes f_{a/A^\uparrow(3)} \otimes f_{b/B(2)} \otimes D_{c/C(2)}$$

$$+ H' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(3)} \otimes D_{c/C(2)}$$

$$+ H'' \otimes f_{a/A^\uparrow(2)} \otimes f_{b/B(2)} \otimes D_{c/C(3)}$$



**Negligible**  
Kanazawa & Koike (2000)



**Important**  
Metz & Pitonyak (2013)

□ **Twist-3 fragmentation contribution:**

$$\frac{P_h^0 d\sigma_{pol}}{d^3 \vec{P}_h} = -\frac{2\alpha_s^2 M_h}{S} \epsilon_{\perp\mu\nu} S_{\perp}^{\mu} P_{h\perp}^{\nu} \sum_i \sum_{a,b,c} \int_{z_{min}}^1 \frac{dz}{z^3} \int_{x'_{min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \frac{1}{-x\hat{u} - x'\hat{t}}$$

$$\times \frac{1}{x} h_1^a(x) f_1^b(x') \left\{ \left( \hat{H}^{C/c}(z) - z \frac{d\hat{H}^{C/c}(z)}{dz} \right) S_{\hat{H}}^i + \frac{1}{z} H^{C/c}(z) S_H^i \right.$$

$$\left. + 2z^2 \int \frac{dz_1}{z_1^2} PV \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{C/c,\mathfrak{S}}(z, z_1) \frac{1}{\xi} S_{\hat{H}_{FU}}^i \right\}$$

$$2z^3 \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{\mathfrak{S}}(z, z_1) = H(z) + 2z\hat{H}(z)$$

3-parton correlator

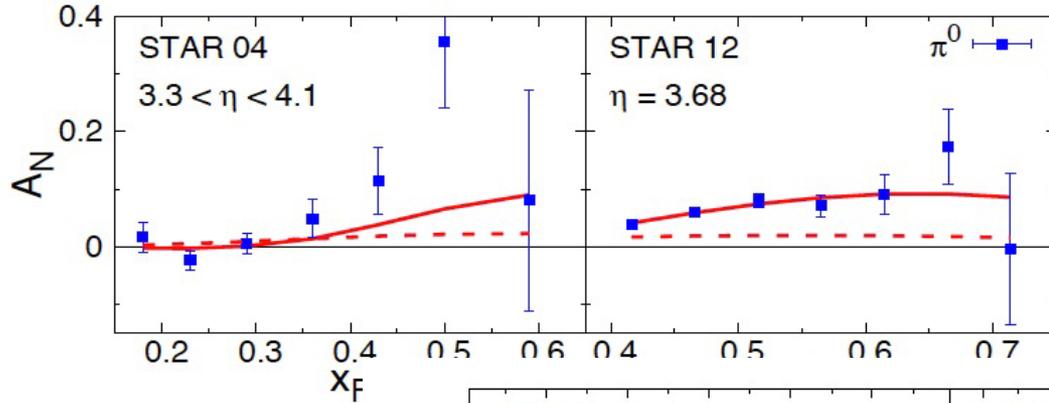
$$\hat{H}(z) = H_1^{\perp(1)}(z)$$

Collins-type function

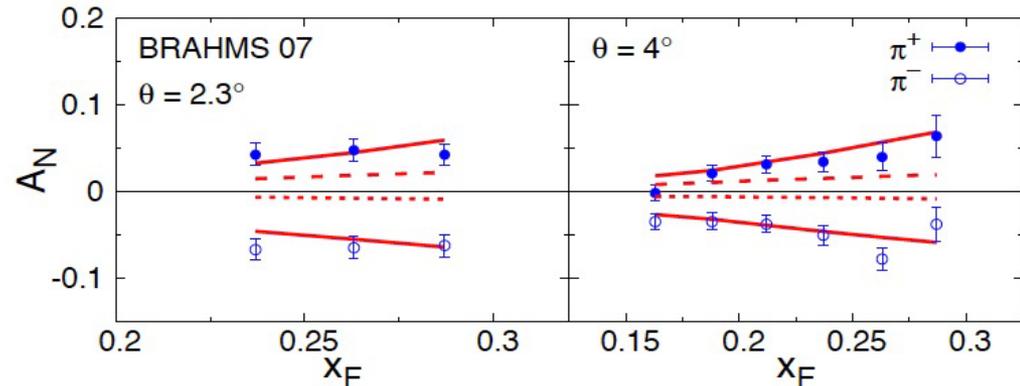
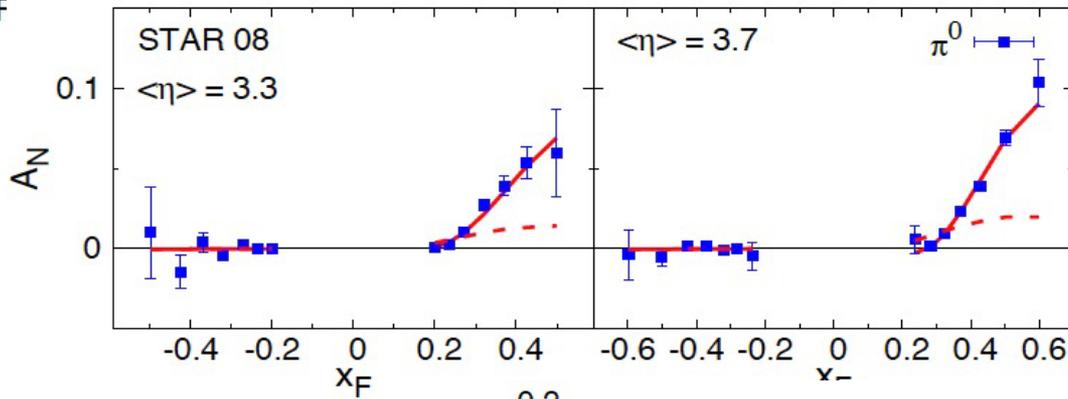
# Collinear twist-3 contribution to $A_N$

Fragmentation + QS (fix through Sivers function):

Kanazawa, Koike, Metz, Pitonyak  
PRD 89(RC) (2014)



$\chi^2/\text{d.o.f.} = 1.03$



— Total

- - - NO 3-parton FF

# Multi-gluon correlation functions

## □ Diagonal tri-gluon correlations:

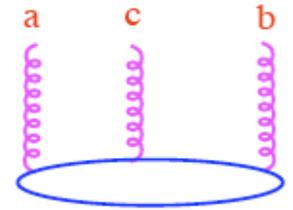
Ji, PLB289 (1992)

$$T_G(x, x) = \int \frac{dy_1^- dy_2^-}{2\pi} e^{ixP^+ y_1^-} \\ \times \frac{1}{xP^+} \langle P, s_\perp | F^+_\alpha(0) \left[ \epsilon^{s_\perp \sigma n \bar{n}} F_\sigma^+(y_2^-) \right] F^{\alpha+}(y_1^-) | P, s_\perp \rangle$$

## □ Two tri-gluon correlation functions – color contraction:

$$T_G^{(f)}(x, x) \propto i f^{ABC} F^A F^C F^B = F^A F^C (T^C)^{AB} F^B$$

$$T_G^{(d)}(x, x) \propto d^{ABC} F^A F^C F^B = F^A F^C (D^C)^{AB} F^B$$



**Quark-gluon correlation:**  $T_F(x, x) \propto \bar{\psi}_i F^C (T^C)_{ij} \psi_j$

## □ D-meson production at EIC:

✧ Clean probe for gluonic twist-3 correlation functions

✧  $T_G^{(f)}(x, x)$  could be connected to the gluonic Sivers function

# Test QCD at twist-3 level

Kang, Qiu, 2009

## Scaling violation – “DGLAP” evolution:

$$\underbrace{\mu_F^2 \frac{\partial}{\partial \mu_F^2}}_{(x, x + x_2, \mu, s_T)} \underbrace{\begin{pmatrix} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{pmatrix}}_{\left( \xi, \xi + \xi_2; x, x + x_2, \alpha_s \right)} = \underbrace{\begin{pmatrix} K_{qq} & K_{q\Delta q} & K_{qG}^{(f)} & K_{qG}^{(d)} & K_{q\Delta G}^{(f)} & K_{q\Delta G}^{(d)} \\ K_{\Delta qq} & K_{\Delta q\Delta q} & K_{\Delta qG}^{(f)} & K_{\Delta qG}^{(d)} & K_{\Delta q\Delta G}^{(f)} & K_{\Delta q\Delta G}^{(d)} \\ K_{Gq}^{(f)} & K_{G\Delta q}^{(f)} & K_{GG}^{(ff)} & K_{GG}^{(fd)} & K_{G\Delta G}^{(ff)} & K_{G\Delta G}^{(fd)} \\ K_{Gq}^{(d)} & K_{G\Delta q}^{(d)} & K_{GG}^{(df)} & K_{GG}^{(dd)} & K_{G\Delta G}^{(df)} & K_{G\Delta G}^{(dd)} \\ K_{\Delta Gq}^{(f)} & K_{\Delta G\Delta q}^{(f)} & K_{\Delta GG}^{(ff)} & K_{\Delta GG}^{(fd)} & K_{\Delta G\Delta G}^{(ff)} & K_{\Delta G\Delta G}^{(fd)} \\ K_{\Delta Gq}^{(d)} & K_{\Delta G\Delta q}^{(d)} & K_{\Delta GG}^{(df)} & K_{\Delta GG}^{(dd)} & K_{\Delta G\Delta G}^{(df)} & K_{\Delta G\Delta G}^{(dd)} \end{pmatrix}}_{\int d\xi \int d\xi_2} \otimes \underbrace{\begin{pmatrix} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{pmatrix}}_{\int d\xi \int d\xi_2}$$

## Evolution equation – consequence of factorization:

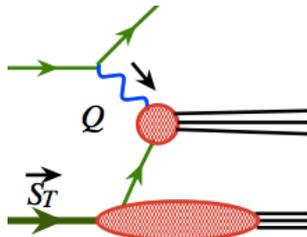
**Factorization:**  $\Delta\sigma(Q, s_T) = (1/Q)H_1(Q/\mu_F, \alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F)$

**DGLAP for  $f_2$ :**  $\frac{\partial}{\partial \ln(\mu_F)} f_2(\mu_F) = P_2 \otimes f_2(\mu_F)$

**Evolution for  $f_3$ :**  $\frac{\partial}{\partial \ln(\mu_F)} f_3 = \left( \frac{\partial}{\partial \ln(\mu_F)} H_1^{(1)} - P_2^{(1)} \right) \otimes f_3$

# Current understanding of TSSAs

- Symmetry plays important role:

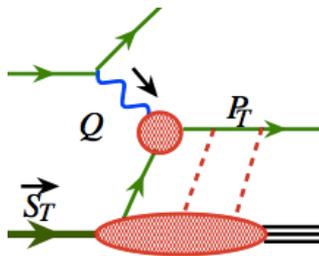


Inclusive DIS  
Single scale  
 $Q$

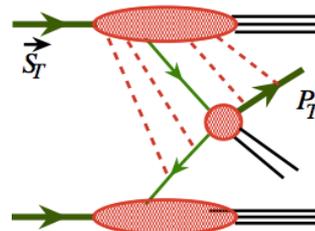
Parity  
Time-reversal

→  $A_N = 0$

- One scale observables –  $Q \gg \Lambda_{\text{QCD}}$ :



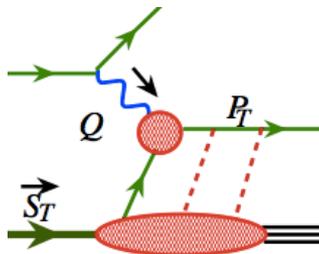
SIDIS:  $Q \sim P_T$



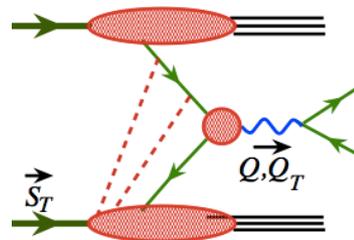
DY:  $Q \sim P_T$ ; Jet, Particle:  $P_T$

Collinear factorization  
Twist-3 distributions

- Two scales observables –  $Q_1 \gg Q_2 \sim \Lambda_{\text{QCD}}$ :



SIDIS:  $Q \gg P_T$



DY:  $Q \gg P_T$  or  $Q \ll P_T$

TMD factorization  
TMD distributions

Brodsky et al. explicit calculation with  $m_q \neq 0$

# Semi-inclusive DIS (SIDIS)

## □ Process:

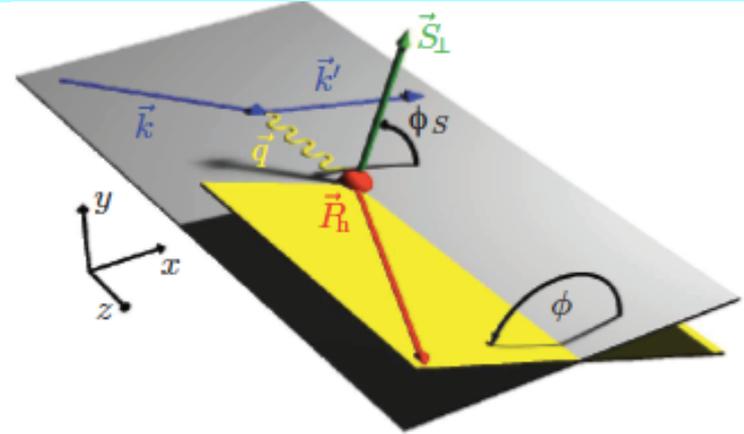
$$e(k) + N(p) \longrightarrow e'(k') + h(P_h) + X$$

## □ Natural event structure:

In the photon-hadron frame:  $P_{h_T} \approx 0$

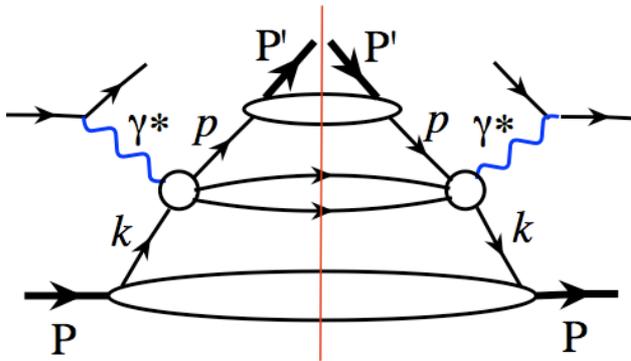
*Semi-Inclusive DIS is a natural observable with TWO very different scales*

$Q \gg P_{h_T} \gtrsim \Lambda_{\text{QCD}}$  Localized probe sensitive to parton's transverse motion



## □ Collinear QCD factorization holds if $P_{h_T}$ integrated:

*Single hard scale!*



$$d\sigma_{\gamma^* h \rightarrow h'} \propto \phi_{f/h} \otimes d\hat{\sigma}_{\gamma^* f \rightarrow f'} \otimes D_{f' \rightarrow h'}(z)$$

$$z = \frac{P_h \cdot p}{q \cdot p} \quad y = \frac{q \cdot p}{k \cdot p}$$

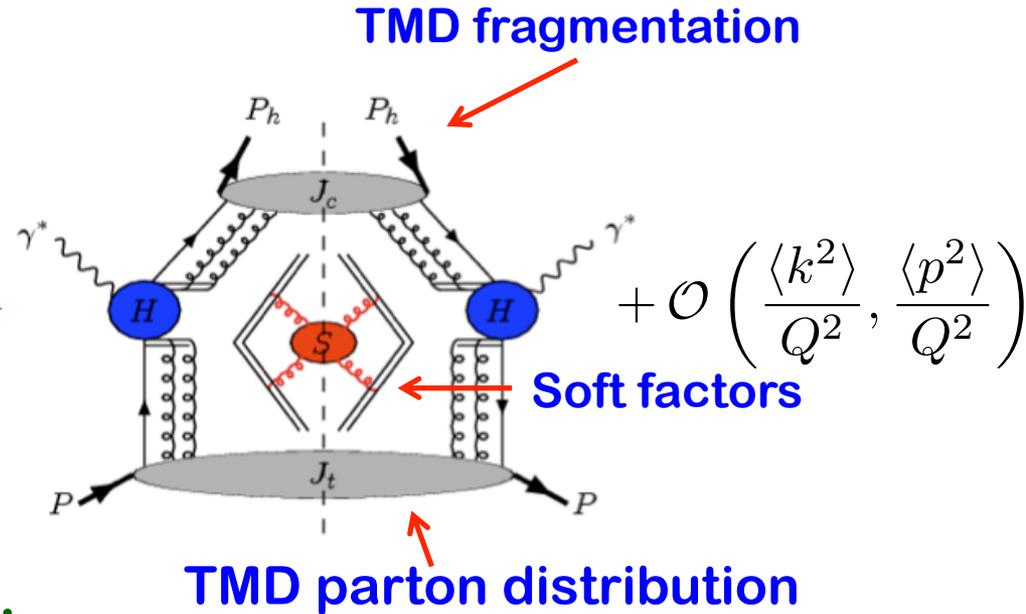
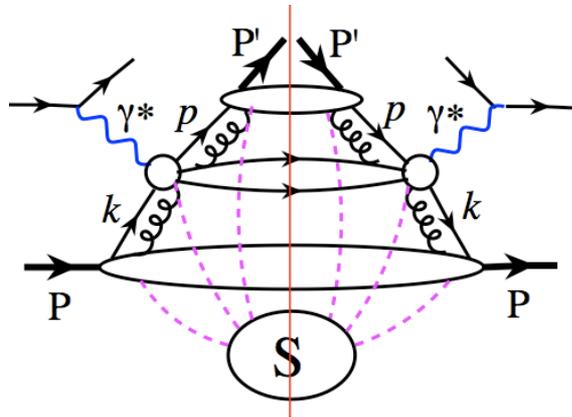
## □ “Total c.m. energy”:

$$s_{\gamma^* p} = (p + q)^2 \approx Q^2 \left[ \frac{1 - x_B}{x_B} \right] \approx \frac{Q^2}{x_B}$$

# Definitions of TMDs

## □ Perturbative definition – in terms of TMD factorization:

SIDIS as an example:



## □ Low $P_{hT}$ – TMD factorization:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q) \otimes \Phi_f(x, k_\perp) \otimes \mathcal{D}_{f \rightarrow h}(z, p_\perp) \otimes \mathcal{S}(k_{s\perp}) + \mathcal{O}\left[\frac{P_{h\perp}}{Q}\right]$$

## □ High $P_{hT}$ – Collinear factorization:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q, P_{h\perp}, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{P_{h\perp}}, \frac{1}{Q}\right)$$

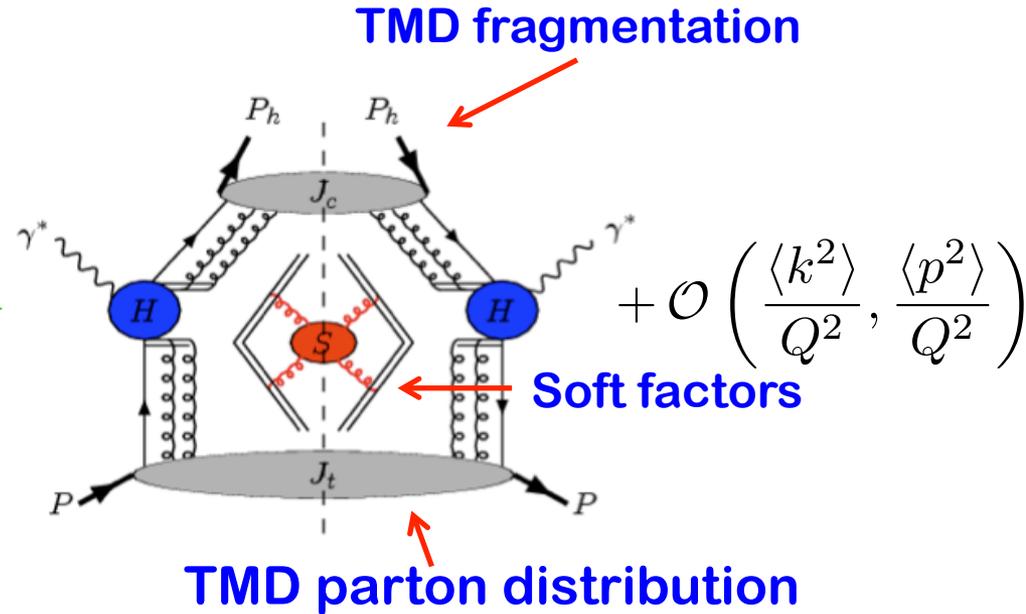
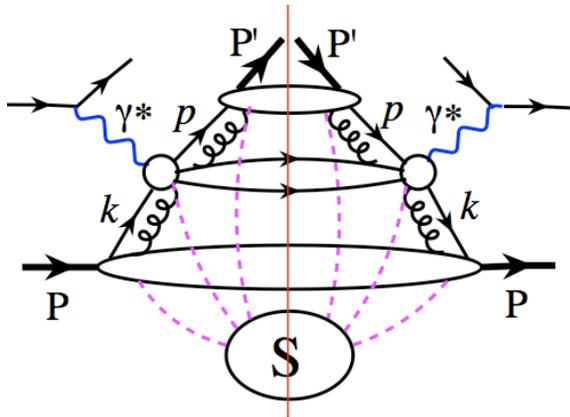
## □ $P_{hT}$ Integrated - Collinear factorization:

$$\sigma_{\text{SIDIS}}(Q, x_B, z_h) = \tilde{H}(Q, \alpha_s) \otimes \phi_f \otimes D_{f \rightarrow h} + \mathcal{O}\left(\frac{1}{Q}\right)$$

# Definitions of TMDs

## □ Perturbative definition – in terms of TMD factorization:

SIDIS as an example:



## □ Extraction of TMDs:

$$\sigma_{\text{SIDIS}}(Q, P_{h\perp}, x_B, z_h) = \hat{H}(Q) \otimes \Phi_f(x, k_\perp) \otimes \mathcal{D}_{f \rightarrow h}(z, p_\perp) \otimes \mathcal{S}(k_{s\perp}) + \mathcal{O} \left[ \frac{P_{h\perp}}{Q} \right]$$

TMDs are extracted by fitting DATA using the factorization formula

(approximation) and the perturbatively calculated  $\hat{H}(Q; \mu)$ .

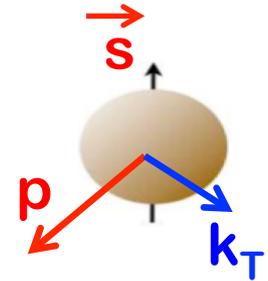
➡ Extracted TMDs are valid only when the  $\langle p^2 \rangle \ll Q^2$

# The Present: TMDs

□ Power of spin – many more correlations:

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1 = \text{○} \rightarrow$		$h_1^\perp = \text{○} \uparrow - \text{○} \downarrow$ Boer-Mulders
	L		$g_{1L} = \text{○} \rightarrow \rightarrow - \text{○} \rightarrow \rightarrow$ Helicity	$h_{1L}^\perp = \text{○} \rightarrow \uparrow - \text{○} \rightarrow \downarrow$
	T	$f_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$ Sivers	$g_{1T}^\perp = \text{○} \rightarrow \uparrow - \text{○} \rightarrow \downarrow$	$h_1 = \text{○} \uparrow - \text{○} \downarrow$ Transversity $h_{1T}^\perp = \text{○} \rightarrow \uparrow - \text{○} \rightarrow \downarrow$

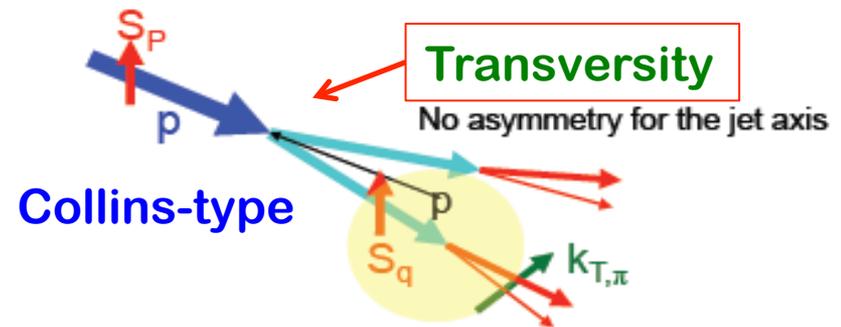
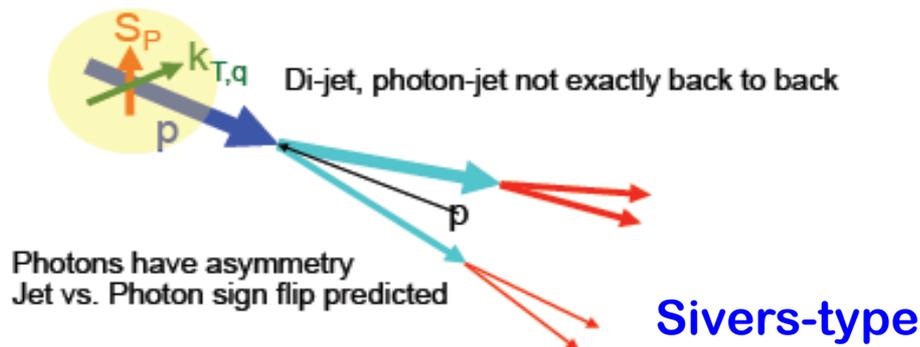
Nucleon Spin     
 Quark Spin     
 Similar for gluons



Require **two** Physical scales

More than one TMD contribute to the same observable!

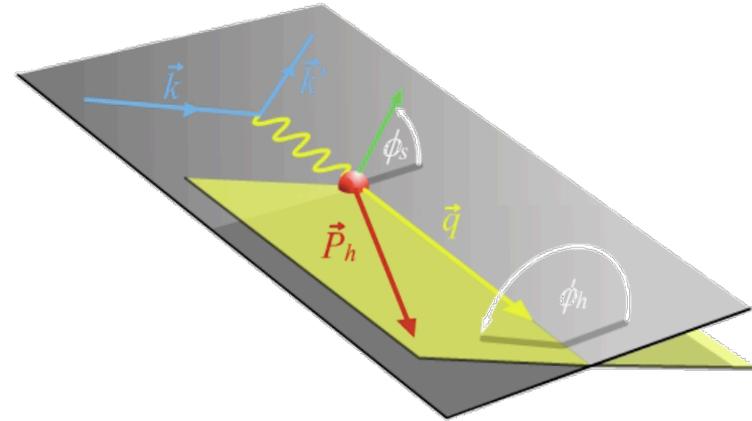
□  $A_N$  – single hadron production:



# SIDIS is the best for probing TMDs

□ Naturally, two scales & two planes:

$$\begin{aligned}
 A_{UT}(\varphi_h^l, \varphi_S^l) &= \frac{1}{P} \frac{N^\uparrow - N^\downarrow}{N^\uparrow + N^\downarrow} \\
 &= A_{UT}^{\text{Collins}} \sin(\phi_h + \phi_S) + A_{UT}^{\text{Sivers}} \sin(\phi_h - \phi_S) \\
 &+ A_{UT}^{\text{Pretzelosity}} \sin(3\phi_h - \phi_S)
 \end{aligned}$$



□ Separation of TMDs:

$$A_{UT}^{\text{Collins}} \propto \langle \sin(\phi_h + \phi_S) \rangle_{UT} \propto h_1 \otimes H_1^\perp$$

$$A_{UT}^{\text{Sivers}} \propto \langle \sin(\phi_h - \phi_S) \rangle_{UT} \propto f_{1T}^\perp \otimes D_1$$

$$A_{UT}^{\text{Pretzelosity}} \propto \langle \sin(3\phi_h - \phi_S) \rangle_{UT} \propto h_{1T}^\perp \otimes H_1^\perp$$

← Collins frag. Func.  
from e<sup>+</sup>e<sup>-</sup> collisions

**Hard, if not impossible, to separate TMDs in hadronic collisions**

Using a combination of different observables (not the same observable):  
jet, identified hadron, photon, ...

# Evolution equations for TMDs

J.C. Collins, in his book on QCD

## □ TMDs in the b-space:

$$\tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu; \zeta_F) = \tilde{F}_{f/P\uparrow}^{\text{unsub}}(x, \mathbf{b}_T, S; \mu; y_P - (-\infty)) \sqrt{\frac{\tilde{S}_{(0)}(\mathbf{b}_T; +\infty, y_s)}{\tilde{S}_{(0)}(\mathbf{b}_T; +\infty, -\infty)\tilde{S}_{(0)}(\mathbf{b}_T; y_s, -\infty)}} Z_F Z_2$$

## □ Collins-Soper equation:

Renormalization of the soft-factor

$$\frac{\partial \tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu; \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu) \tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu; \zeta_F) \quad \zeta_F = M_P^2 x^2 e^{2(y_P - y_s)}$$

$$\tilde{K}(b_T; \mu) = \frac{1}{2} \frac{\partial}{\partial y_s} \ln \left( \frac{\tilde{S}(b_T; y_s, -\infty)}{\tilde{S}(b_T; +\infty, y_s)} \right)$$

Introduced to regulate the rapidity divergence of TMDs

## □ RG equations:

Wave function Renormalization

$$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu))$$

Evolution equations are only valid when  $b_T \ll 1/\Lambda_{\text{QCD}}$ !

$$\frac{d\tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu; \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F/\mu^2) \tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu; \zeta_F).$$

## □ Momentum space TMDs:

Need information at large  $b_T$  for all scale  $\mu$ !

$$F_{f/P\uparrow}(x, \mathbf{k}_T, S; \mu, \zeta_F) = \frac{1}{(2\pi)^2} \int d^2 \mathbf{b}_T e^{i\mathbf{k}_T \cdot \mathbf{b}_T} \tilde{F}_{f/P\uparrow}(x, \mathbf{b}_T, S; \mu, \zeta_F)$$

# Evolution equations for Sivers function

Aybat, Collins, Qiu, Rogers, 2011

## □ Sivers function:

$$F_{f/P\uparrow}(x, k_T, S; \mu, \zeta_F) = F_{f/P}(x, k_T; \mu, \zeta_F) - F_{1T}^{\perp f}(x, k_T; \mu, \zeta_F) \frac{\epsilon_{ij} k_T^i S^j}{M_p}$$

## □ Collins-Soper equation:

Its derivative obeys the CS equation

$$\frac{\partial \ln \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu)$$

$$\tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F) \equiv \frac{\partial \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)}{\partial b_T}$$

## □ RG equations:

$$\frac{d \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F / \mu^2) \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)$$

$$\frac{d \tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu)) \quad \longrightarrow \quad \frac{\partial \gamma_F(g(\mu); \zeta_F / \mu^2)}{\partial \ln \sqrt{\zeta_F}} = -\gamma_K(g(\mu)),$$

## □ Sivers function in momentum space:

$$F_{1T}^{\perp f}(x, k_T; \mu, \zeta_F) = \frac{-1}{2\pi k_T} \int_0^\infty db_T b_T J_1(k_T b_T) \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)$$

Ji, Ma, Yuan, 2004  
 Idilbi, et al, 2004,  
 Boer, 2001, 2009,  
 Kang, Xiao, Yuan, 2011  
 Aybat, Prokudin, Rogers, 2012  
 Idilbi, et al, 2012,  
 Sun, Yuan 2013, ...

# Extrapolation to large $b_T$

## □ CSS $b^*$ -prescription:

Aybat and Rogers, arXiv:1101.5057  
Collins and Rogers, arXiv:1412.3820

$$\begin{aligned}
 \tilde{F}_{f/P}(x, b_T; Q, Q^2) &= \overbrace{\sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \tilde{C}_{f/j}(x/\hat{x}, b_*; \mu_b^2, \mu_b, g(\mu_b)) f_{j/P}(\hat{x}, \mu_b)}^{\text{AA}} \\
 &\times \overbrace{\exp \left\{ \ln \frac{Q}{\mu_b} \tilde{K}(b_*; \mu_b) + \int_{\mu_b}^Q \frac{d\mu'}{\mu'} \left[ \gamma_F(g(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(g(\mu')) \right] \right\}}^{\text{BB}} \\
 &\times \underbrace{\exp \left\{ g_{f/P}(x, b_T) + g_K(b_T) \ln \frac{Q}{Q_0} \right\}}_{\text{CC}} \leftarrow \text{Nonperturbative "form factor"} \\
 b_* &= \frac{b_T}{\sqrt{1 + b_T^2/b_{\text{max}}^2}} \quad \text{with } b_{\text{max}} \sim 1/2 \text{ GeV}^{-1}
 \end{aligned}$$

## □ Nonperturbative fitting functions

Various fits correspond to different choices for  $g_{f/P}(x, b_T)$  and  $g_K(b_T)$   
e.g.

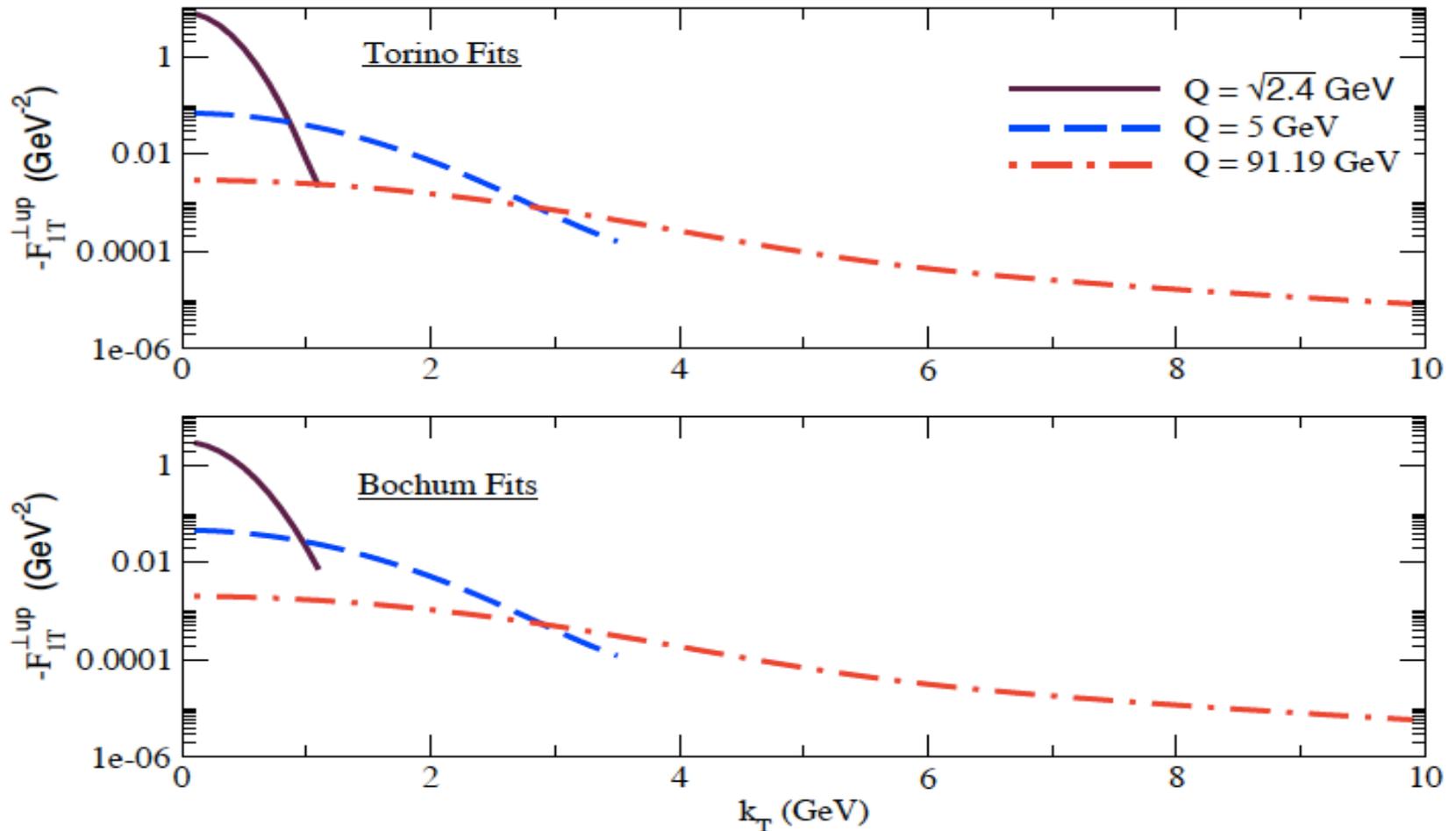
$$g_{f/P}(x, b_T) + g_K(b_T) \ln \frac{Q}{Q_0} \equiv - \left[ g_1 + g_2 \ln \frac{Q}{2Q_0} + g_1 g_3 \ln(10x) \right] b_T^2$$

*Different choice of  $g_2$  &  $b_*$  could lead to different over all  $Q$ -dependence!*

# Evolution of Sivers function

Aybat, Collins, Qiu, Rogers, 2011

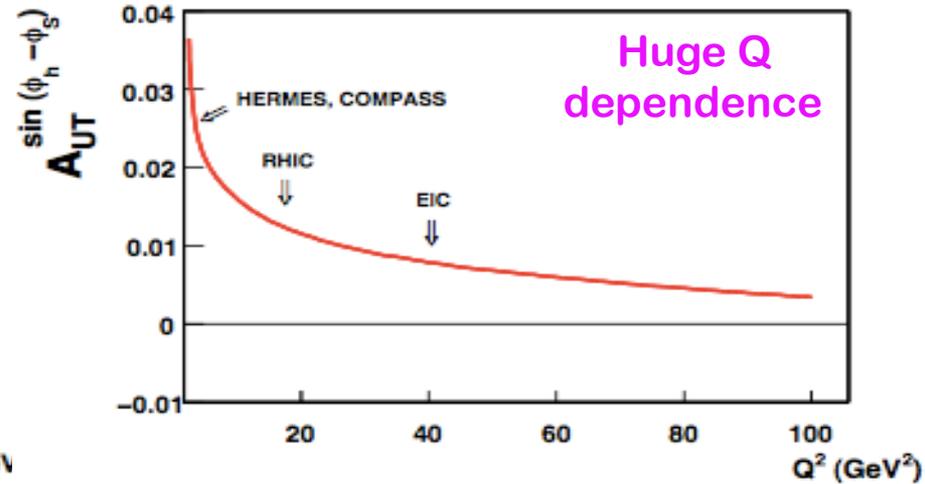
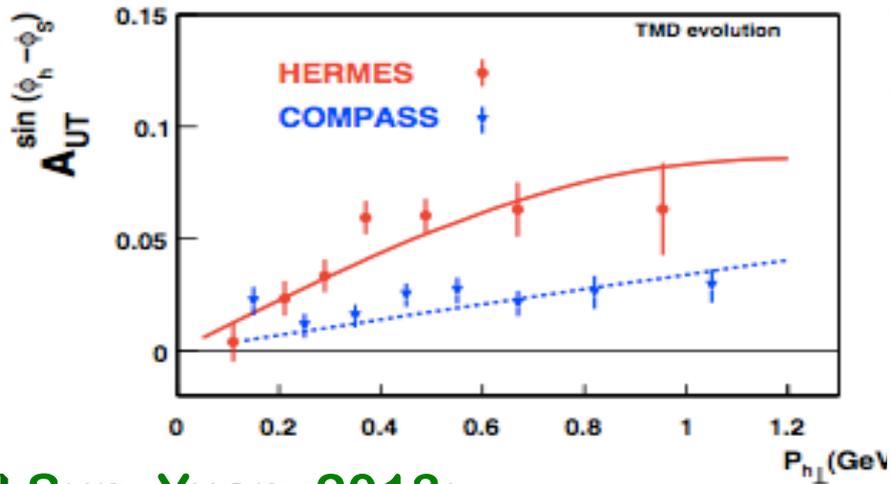
## □ Up quark Sivers function:



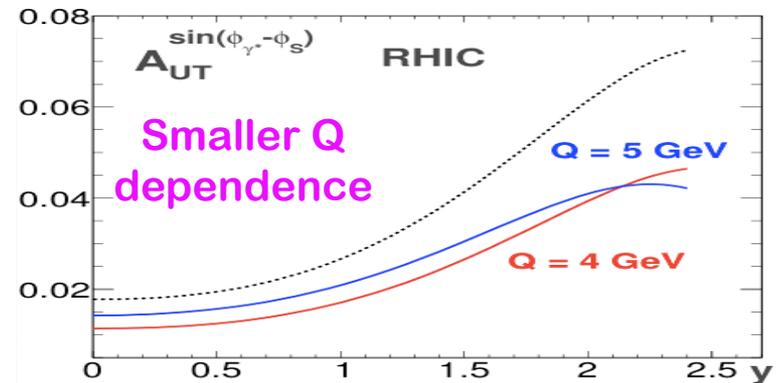
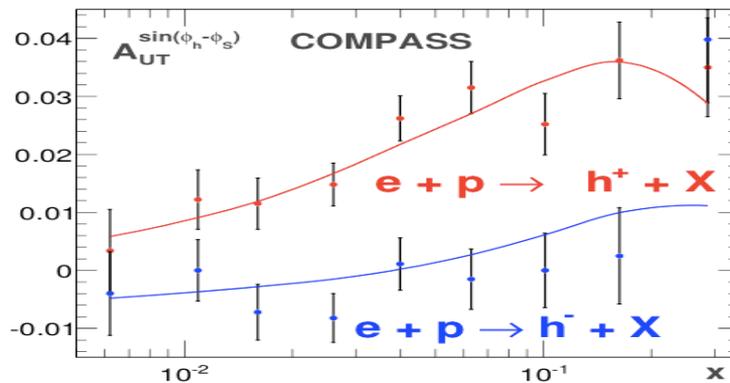
Very significant growth in the width of transverse momentum

# Different fits – different Q-dependence

□ Aybat, Prokudin, Rogers, 2012:



□ Sun, Yuan, 2013:



*No disagreement on evolution equations!*

Issues: Extrapolation to non-perturbative large b-region

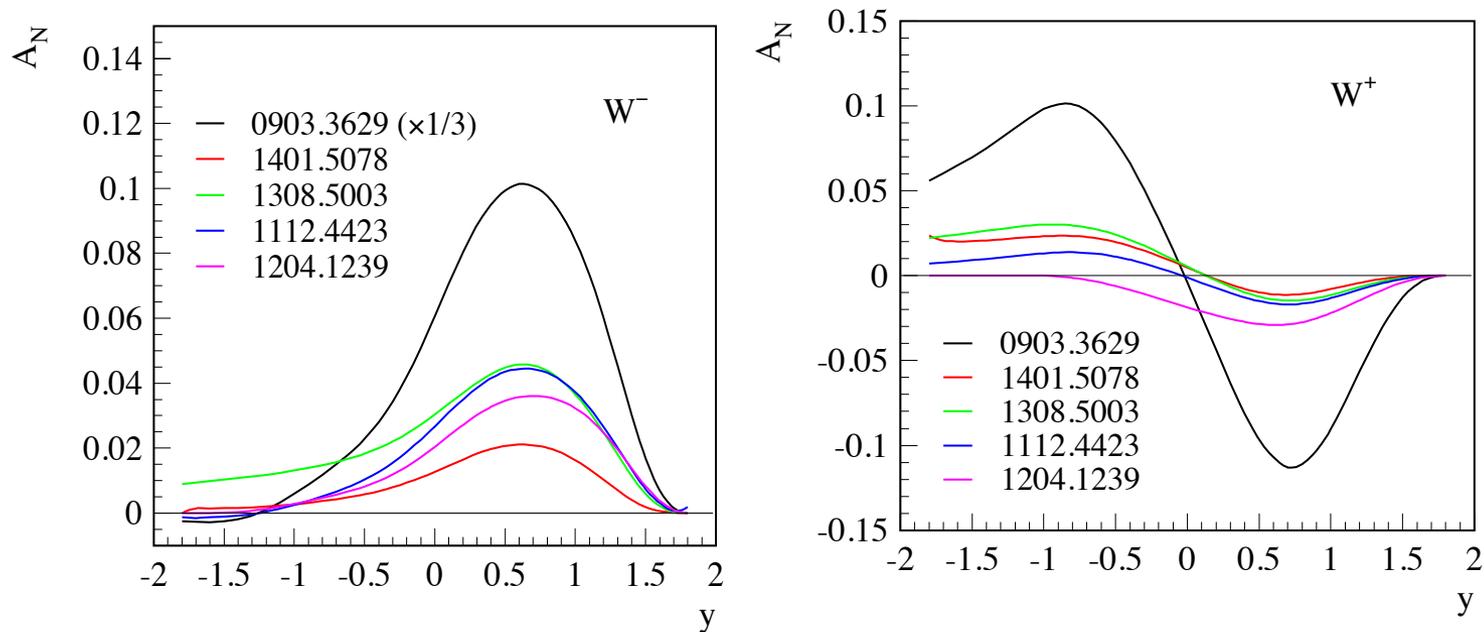
Choice of the Q-dependent “form factor”

# “Predictions” for $A_N$ of W-production at RHIC?

## □ **Sivers Effect:**

- ✧ Quantum correlation between the **spin direction** of colliding hadron and the preference of **motion direction** of its confined partons
- ✧ QCD Prediction: **Sign change** of Sivers function from SIDIS and DY

## □ **Current “prediction” and uncertainty of QCD evolution:**



**TMD collaboration proposal: Lattice, theory & Phenomenology**  
**RHIC is the excellent and unique facility to test this (W/Z – DY)!**

# What happened?

## □ Siverson function:

$$F_{1T}^{\perp f}(x, k_T; \mu, \zeta_F) = \frac{-1}{2\pi k_T} \int_0^\infty db_T b_T J_1(k_T b_T) \tilde{F}'_{1T}{}^{\perp f}(x, b_T; \mu, \zeta_F)$$

Differ from PDFs!

*Need non-perturbative large  $b_T$  information for any value of  $Q$ !*  $Q = \mu$

## □ What is the “correct” Q-dependence of the large $b_T$ tail?

$$\begin{aligned} \tilde{F}_{f/P}(x, b_T; Q, Q^2) &= \overbrace{\sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \tilde{C}_{f/j}(x/\hat{x}, b_*; \mu_b^2, \mu_b, g(\mu_b)) f_{j/P}(\hat{x}, \mu_b)}^{\text{AA}} \\ &\times \overbrace{\exp \left\{ \ln \frac{Q}{\mu_b} \tilde{K}(b_*; \mu_b) + \int_{\mu_b}^Q \frac{d\mu'}{\mu'} \left[ \gamma_F(g(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(g(\mu')) \right] \right\}}^{\text{BB}} \\ &\times \underbrace{\exp \left\{ g_{f/P}(x, b_T) + g_K(b_T) \ln \frac{Q}{Q_0} \right\}}_{\text{CC}} \end{aligned}$$

Nonperturbative “form factor”

$$g_{f/P}(x, b_T) + g_K(b_T) \ln \frac{Q}{Q_0} \equiv - \left[ g_1 + g_2 \ln \frac{Q}{2Q_0} + g_1 g_3 \ln(10x) \right] b_T^2$$

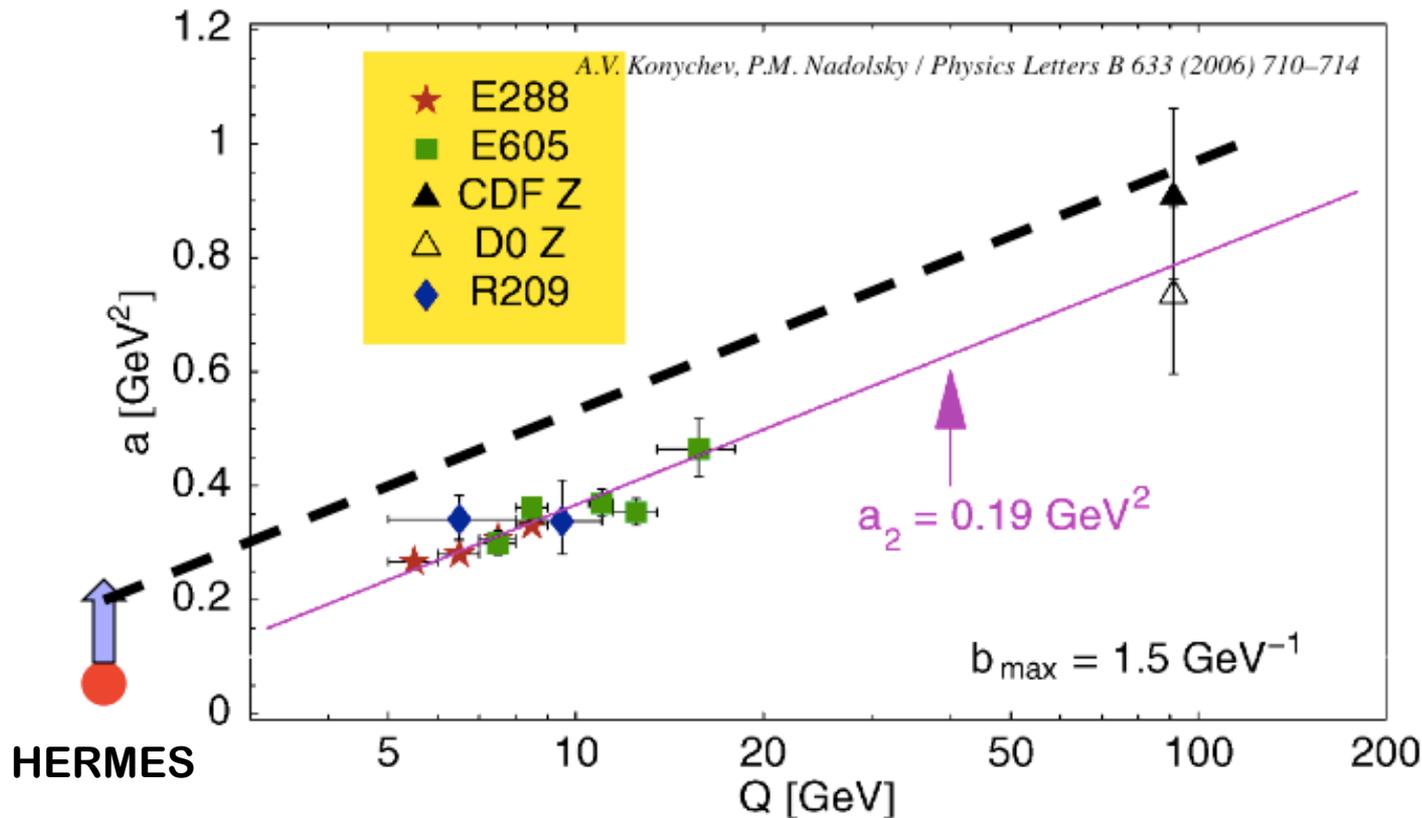
*Is the log(Q) dependence sufficient? Choice of  $g_2$  &  $b_*$  affects Q-dep.*

*The “form factor” and  $b_*$  change perturbative results at small  $b_T$ !*

# Q-dependence of the “form” factor

## Q-dependence of the “form factor” :

Konychev, Nadolsky, 2006



$$\mathcal{F}^{\text{NP}}(b, Q) = a(Q^2) b^2$$

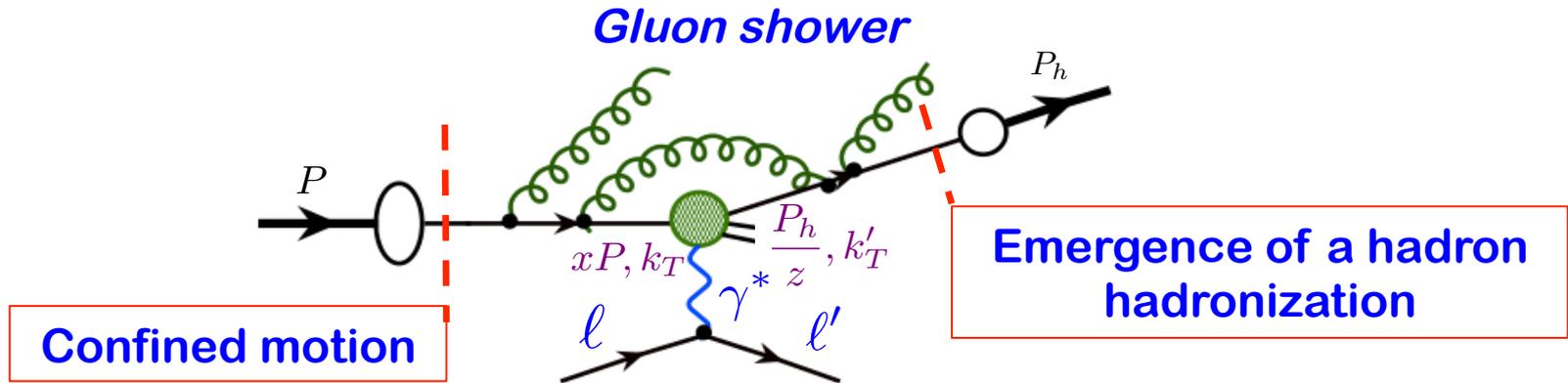
At  $Q \sim 1 \text{ GeV}$ ,  $\ln(Q/Q_0)$  term may not be the dominant one!

$$\mathcal{F}^{\text{NP}} \approx b^2(a_1 + a_2 \ln(Q/Q_0) + a_3 \ln(x_A x_B) + \dots) + \dots$$

**Power correction?  $(Q_0/Q)^n$ -term? Better fits for HERMES data?**

# Parton $k_T$ at the hard collision

- Sources of parton  $k_T$  at the hard collision:



- Large  $k_T$  generated by the shower (caused by the collision):

- ✧  $Q^2$ -dependence – linear evolution equation of TMDs in  $b$ -space

- ✧ The evolution kernels are perturbative at small  $b$ , but, not large  $b$

➡ The nonperturbative inputs at large  $b$  could impact TMDs at all  $Q^2$

- Challenge: to extract the “true” parton’s confined motion:

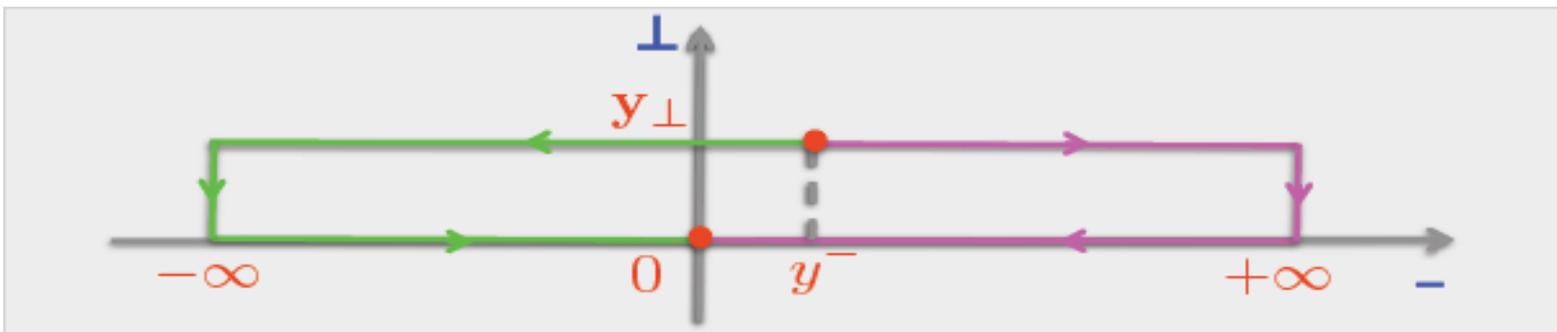
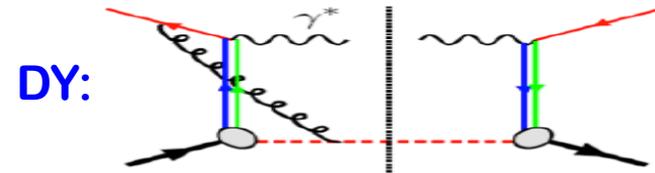
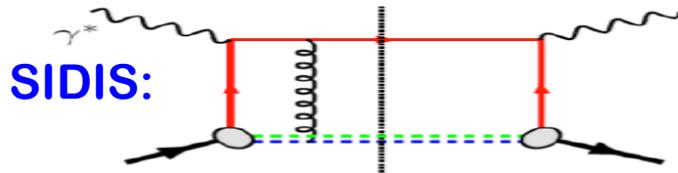
- ✧ Separation of perturbative shower contribution from nonperturbative hadron structure – not as simple as PDFs

# Broken universality for TMDs

## □ Definition:

$$f_{q/h^\uparrow}(x, \mathbf{k}_\perp, \vec{S}) = \int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{ixp^+ y^- - i\mathbf{k}_\perp \cdot \mathbf{y}_\perp} \langle p, \vec{S} | \bar{\psi}(0^-, \mathbf{0}_\perp) \boxed{\text{Gauge link}} \frac{\gamma^+}{2} \psi(y^-, \mathbf{y}_\perp) | p, \vec{S} \rangle$$

## □ Gauge links:



## □ Process dependence:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) \neq f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, \vec{S})$$

**Collinear factorized PDFs are process independent**

# Critical test of TMD factorization

## □ Parity – Time reversal invariance:

$$f_{q/h^\uparrow}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) = f_{q/h^\uparrow}^{\text{DY}}(x, \mathbf{k}_\perp, -\vec{S})$$

## □ Definition of Sivers function:

$$f_{q/h^\uparrow}(x, \mathbf{k}_\perp, \vec{S}) \equiv f_{q/h}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q/h^\uparrow}(x, k_\perp) \vec{S} \cdot \hat{p} \times \hat{\mathbf{k}}_\perp$$

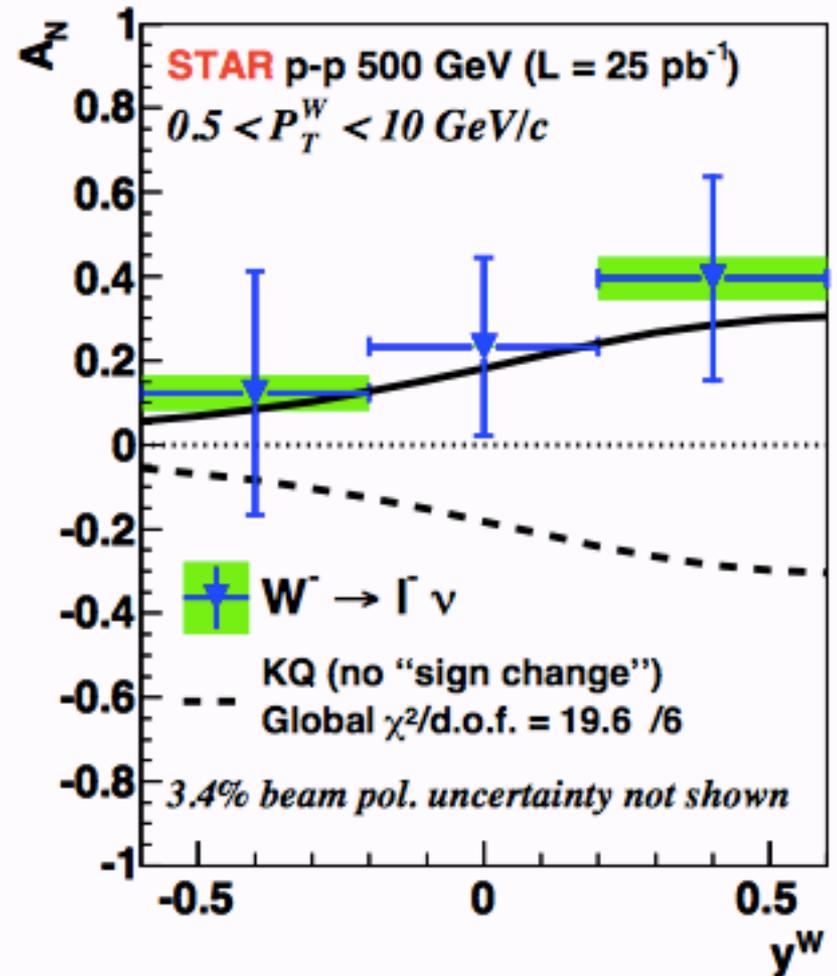
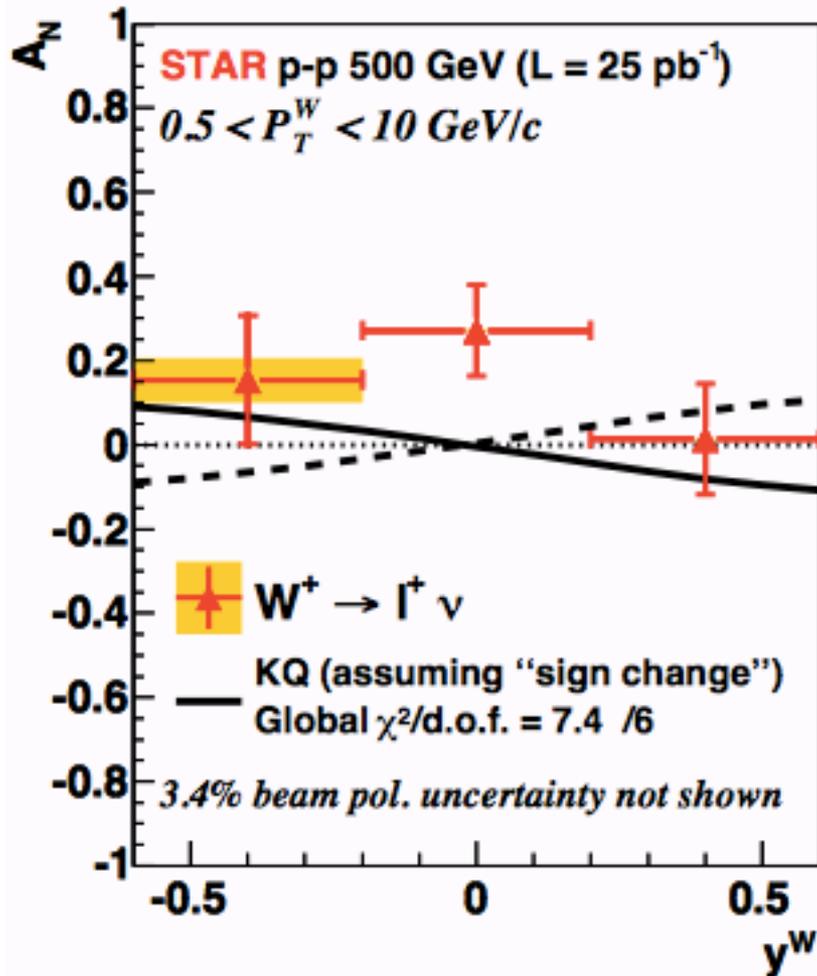
## □ Modified universality:

$$\Delta^N f_{q/h^\uparrow}^{\text{SIDIS}}(x, k_\perp) = -\Delta^N f_{q/h^\uparrow}^{\text{DY}}(x, k_\perp)$$

Same applies to TMD gluon distribution

Spin-averaged TMD is process independent

# $A_N$ for W production at RHIC



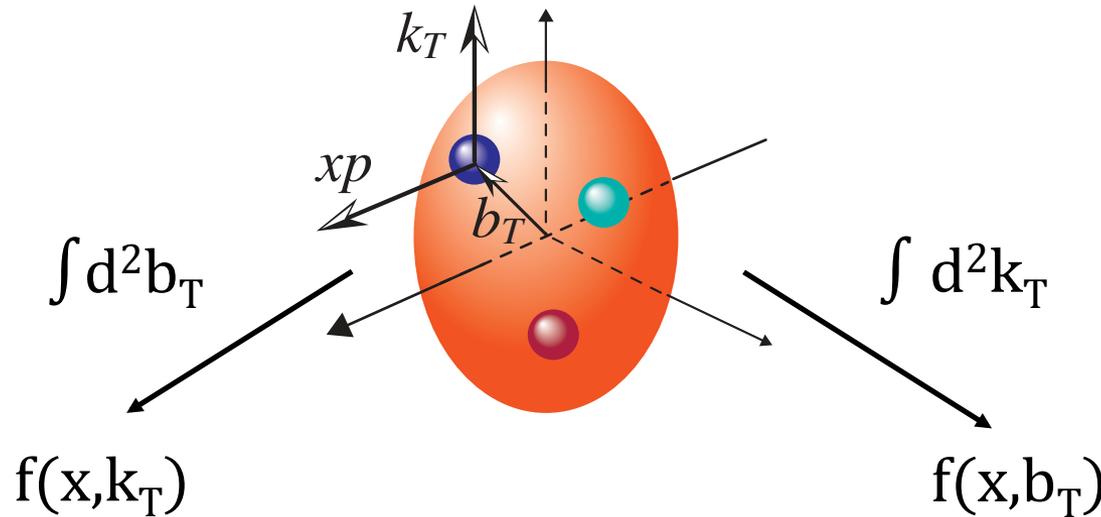
*Data from STAR collaboration on  $A_N$  for W-production are consistent with a sign change between SIDIS and DY*

# Boosted 3D nucleon structure

High energy probes “see” the boosted partonic structure:

Momentum Space

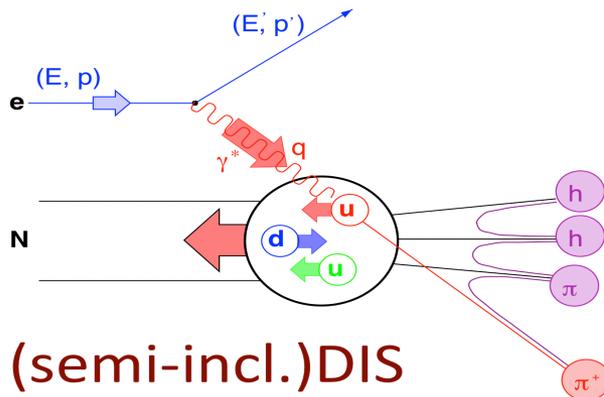
TMDs



Coordinate Space

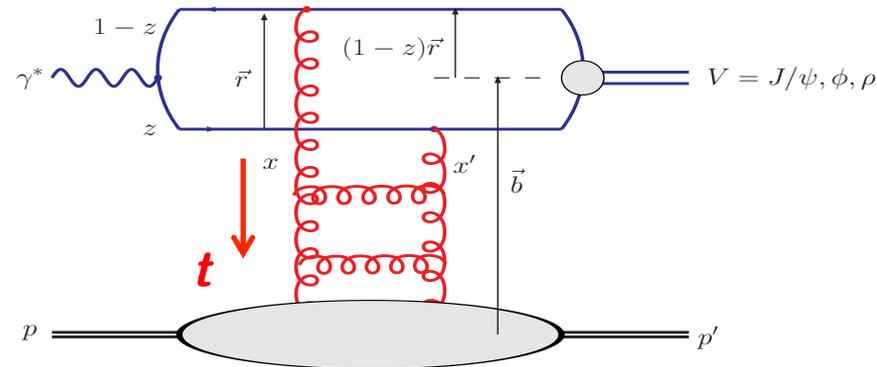
GPDs

3D momentum space images



(semi-incl.)DIS

2+1D coordinate space images

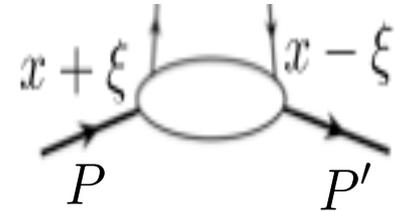


Major parts of JLab12's physics program – large x

# GPDs – its role in solving the spin puzzle

## □ Quark “form factor”:

$$\begin{aligned}
 F_q(x, \xi, t, \mu^2) &= \int \frac{d\lambda}{2\pi} e^{-ix\lambda} \langle P' | \bar{\psi}_q(\lambda/2) \frac{\gamma \cdot n}{2P \cdot n} \psi_q(-\lambda/2) | P \rangle \\
 &\equiv H_q(x, \xi, t, \mu^2) [\bar{U}(P') \gamma^\mu U(P)] \frac{n_\mu}{2P \cdot n} \\
 &+ E_q(x, \xi, t, \mu^2) \left[ \bar{U}(P') \frac{i\sigma^{\mu\nu} (P' - P)_\nu}{2M} U(P) \right] \frac{n_\mu}{2P \cdot n}
 \end{aligned}$$



with  $\xi = (P' - P) \cdot n/2$  and  $t = (P' - P)^2 \Rightarrow -\Delta_\perp^2$  if  $\xi \rightarrow 0$

$$\tilde{H}_q(x, \xi, t, Q), \quad \tilde{E}_q(x, \xi, t, Q)$$

Different quark spin projection

## □ Total quark’s orbital contribution to proton’s spin: Ji, PRL78, 1997

$$\begin{aligned}
 J_q &= \frac{1}{2} \lim_{t \rightarrow 0} \int dx x [H_q(x, \xi, t) + E_q(x, \xi, t)] \\
 &= \frac{1}{2} \Delta q + L_q
 \end{aligned}$$

## □ Connection to normal quark distribution:

$$H_q(x, 0, 0, \mu^2) = q(x, \mu^2)$$

The limit when  $\xi \rightarrow 0$

# Exclusive DIS: Hunting for GPDs

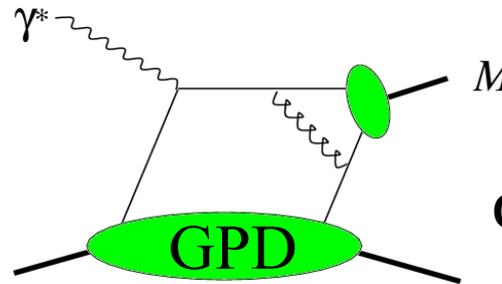
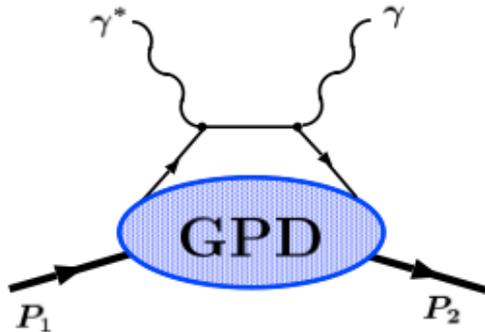
## □ Experimental access to GPDs:

Mueller et al., 94;  
Ji, 96;  
Radyushkin, 96

### ✧ Diffractive exclusive processes – high luminosity:

DVCS: Deeply virtual Compton Scattering

DVEM: Deeply virtual exclusive meson production



Require

$$Q^2 \gg (-t), \Lambda^2_{\text{QCD}}, M^2$$

### ✧ No factorization for hadronic diffractive processes – EIC is ideal

## □ Much more complicated – $(x, \xi, t)$ variables:

Challenge to derive GPDs from data

## □ Great experimental effort:

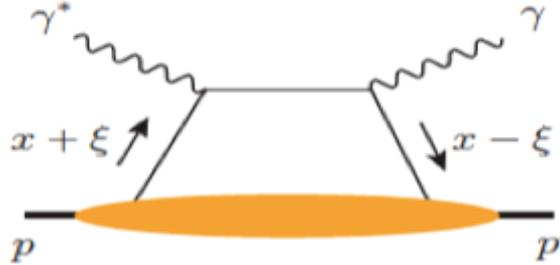
HERA, HERMES, COMPASS, JLab



JLab12, COMPASS-II, EIC

# Deep virtual Compton scattering

□ The LO diagram:



$$\xi = Q^2 / (2\bar{P} \cdot q)$$

$$P' = P + \Delta$$

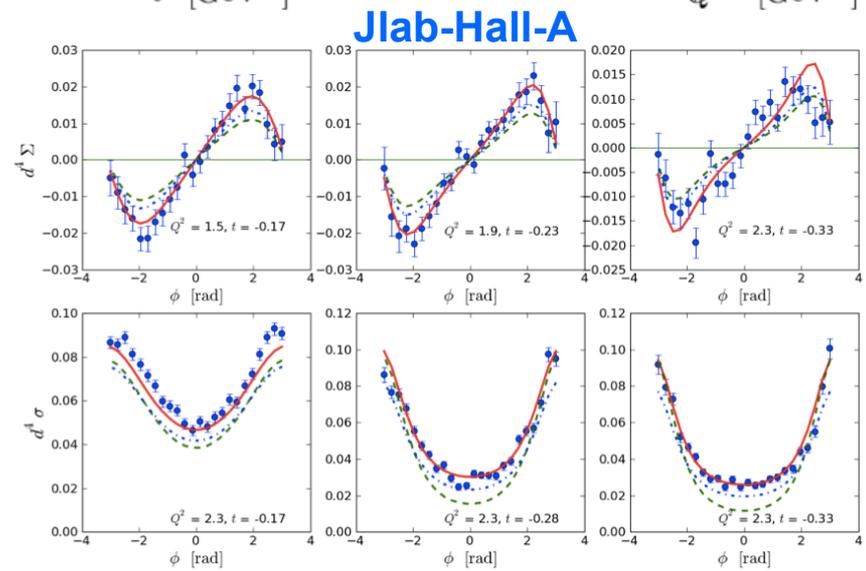
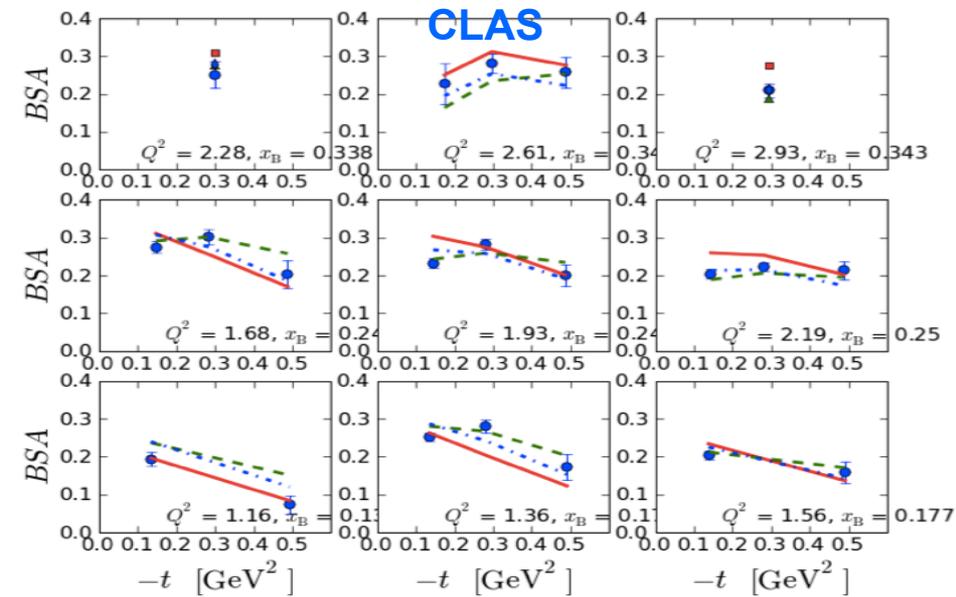
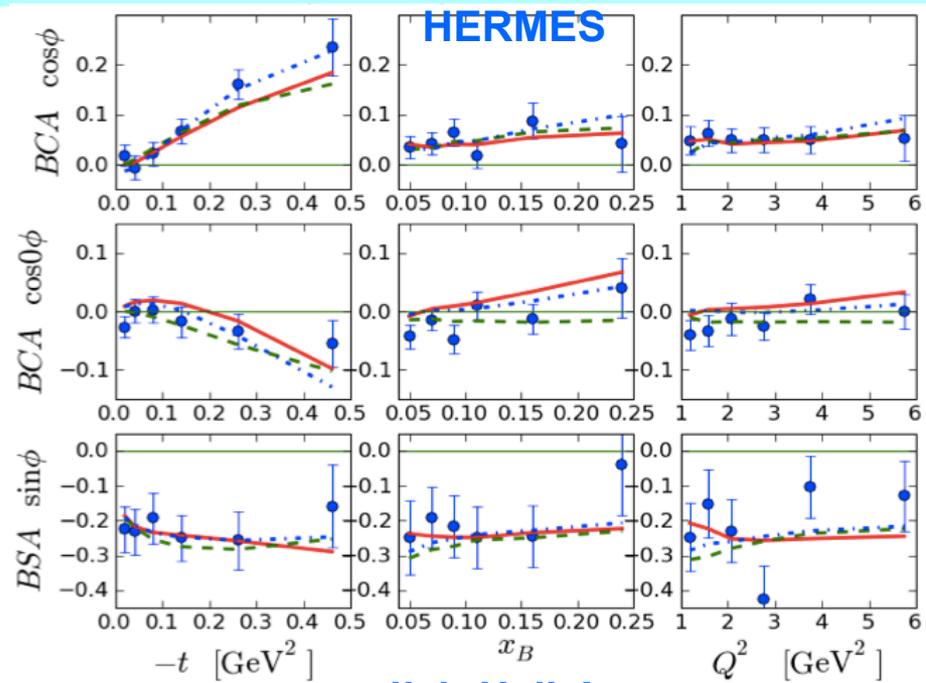
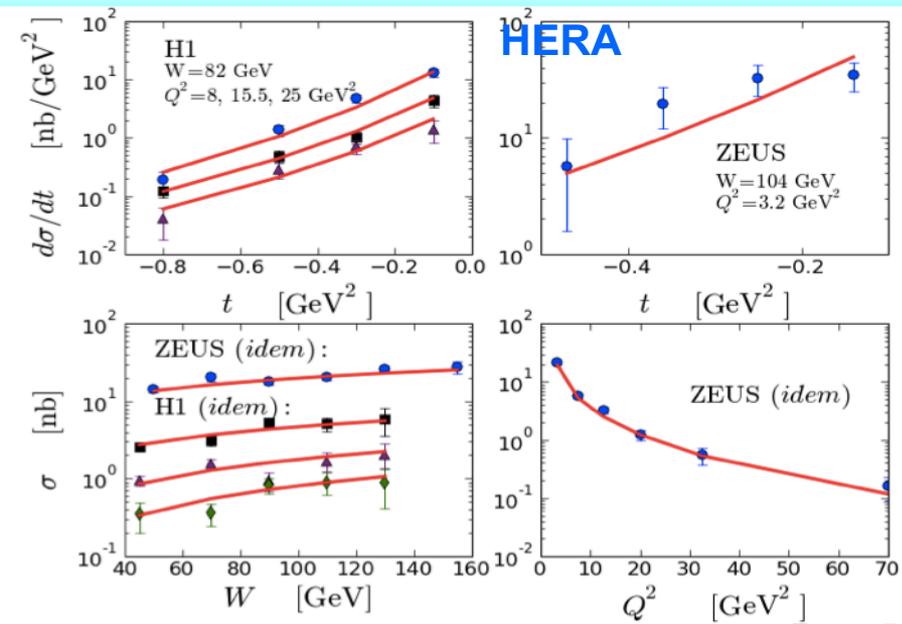
□ Scattering amplitude:

$$\begin{aligned} T^{\mu\nu}(P, q, \Delta) = & -\frac{1}{2}(p^\mu n^\nu + p^\nu n^\mu - g^{\mu\nu}) \int dx \left( \frac{1}{x - \xi/2 + i\epsilon} + \frac{1}{x + \xi/2 + i\epsilon} \right) \\ & \times \left[ H(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \not{n} U(P) + E(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \frac{i\sigma^{\alpha\beta} n_\alpha \Delta_\beta}{2M} U(P) \right] \\ & - \frac{i}{2} \epsilon^{\mu\nu\alpha\beta} p_\alpha n_\beta \int dx \left( \frac{1}{x - \xi/2 + i\epsilon} - \frac{1}{x + \xi/2 + i\epsilon} \right) \\ & \times \left[ \tilde{H}(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \not{n} \gamma_5 U(P) + \tilde{E}(x, \Delta^2, \Delta \cdot n) \frac{\Delta \cdot n}{2M} \bar{U}(P') \gamma_5 U(P) \right] \end{aligned}$$

□ GPDs:

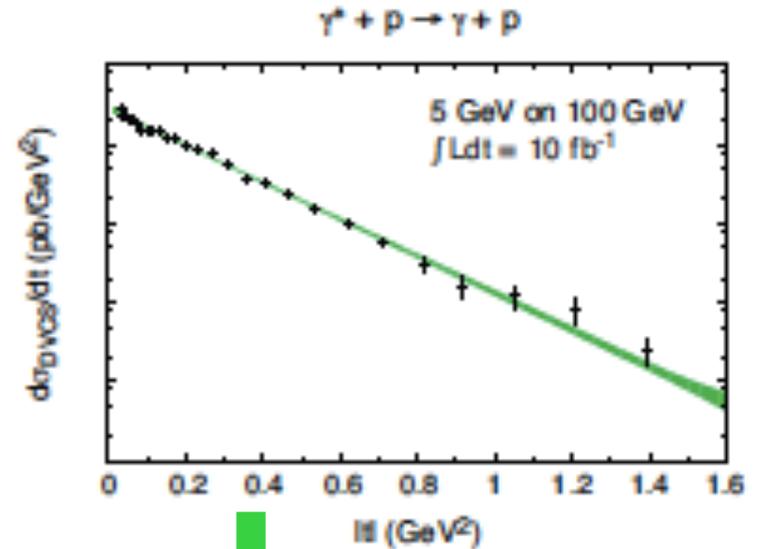
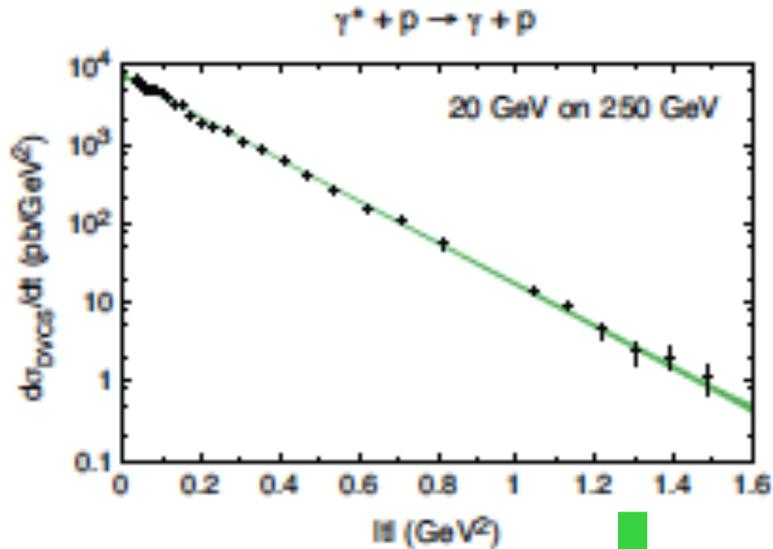
$$\begin{aligned} \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}(-\lambda n/2) \gamma^\mu \psi(\lambda n/2) | P \rangle &= H(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \gamma^\mu U(P) \\ &+ E(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots \\ \int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}(-\lambda n/2) \gamma^\mu \gamma_5 \psi(\lambda n/2) | P \rangle &= \tilde{H}(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \gamma^\mu \gamma_5 U(P) \\ &+ \tilde{E}(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \frac{\gamma_5 \Delta^\mu}{2M} U(P) + \dots \end{aligned}$$

# GPDs: just the beginning

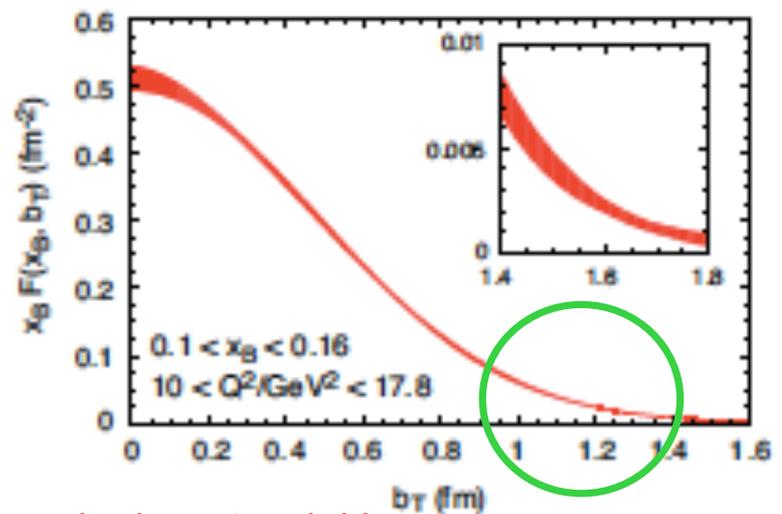
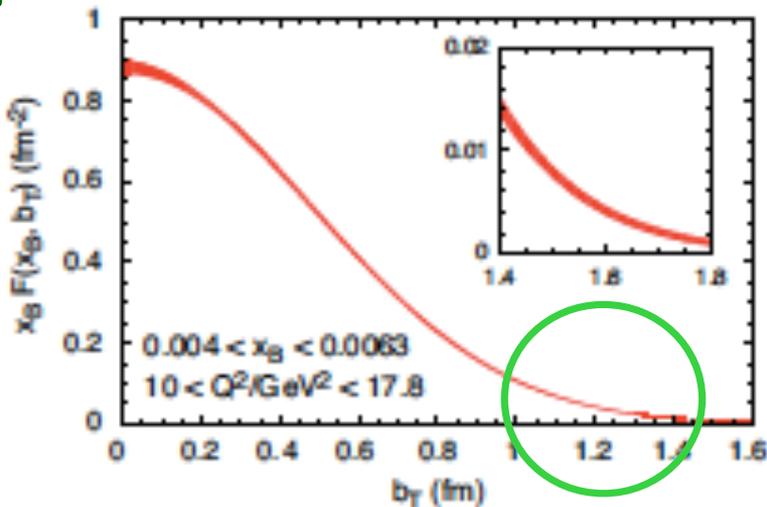


# DVCS @ EIC

## □ Cross Sections:



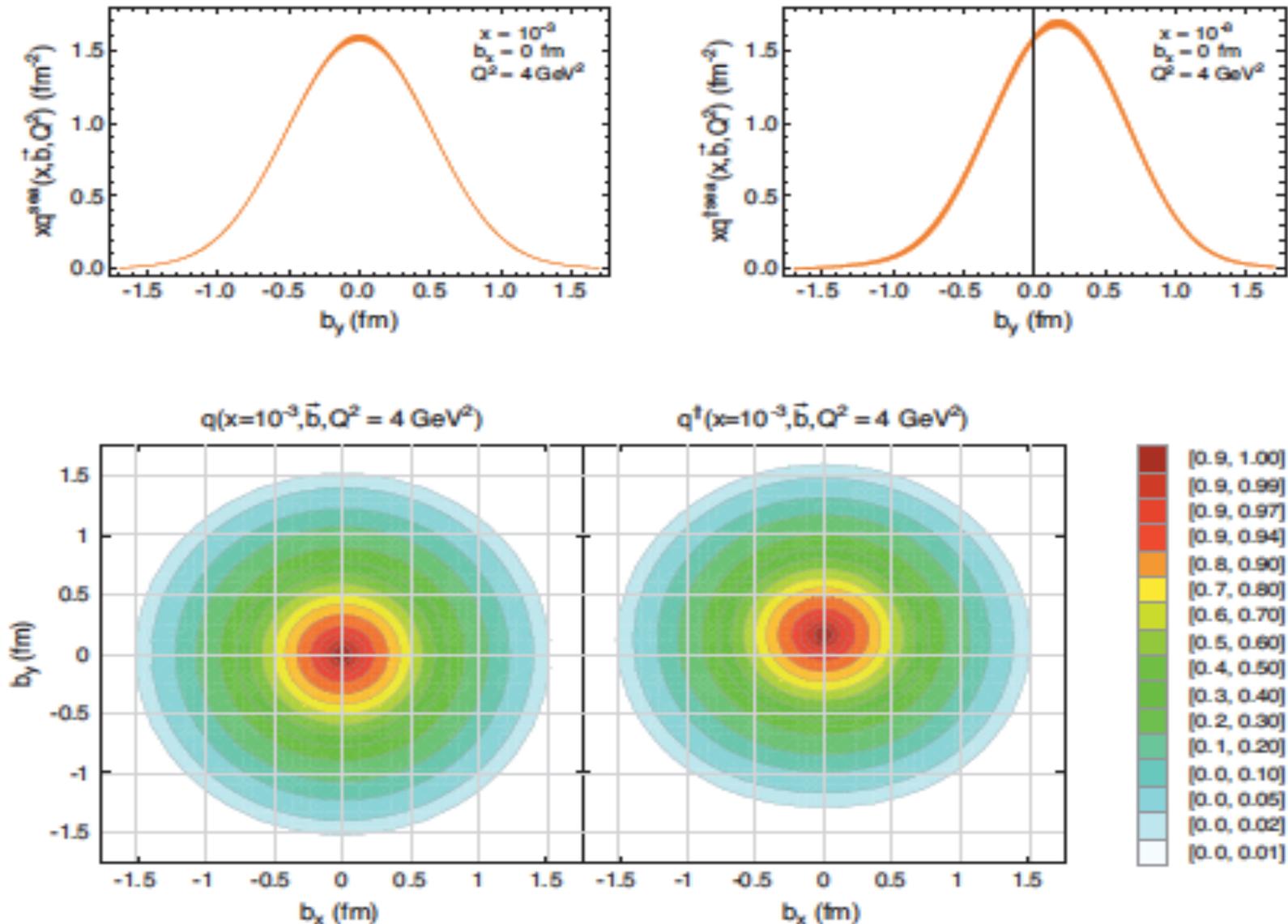
## □ Spatial distributions:



Radius of quark density (x)!

# Polarized DVCS @ EIC

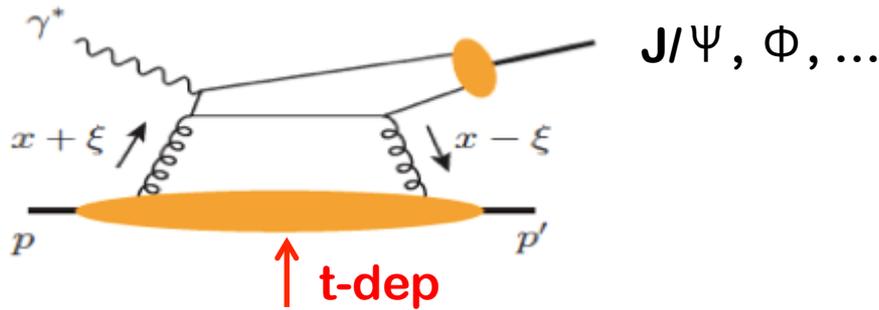
□ Spin-motion correlation:



# Spatial distribution of gluons

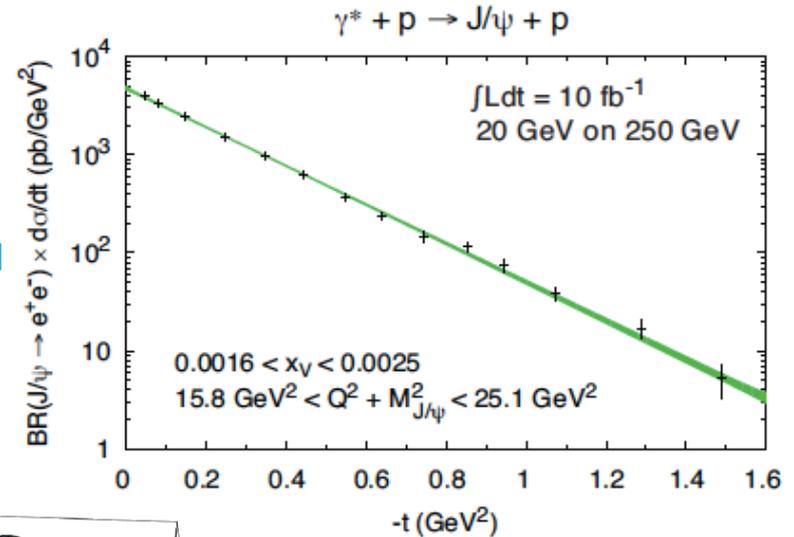
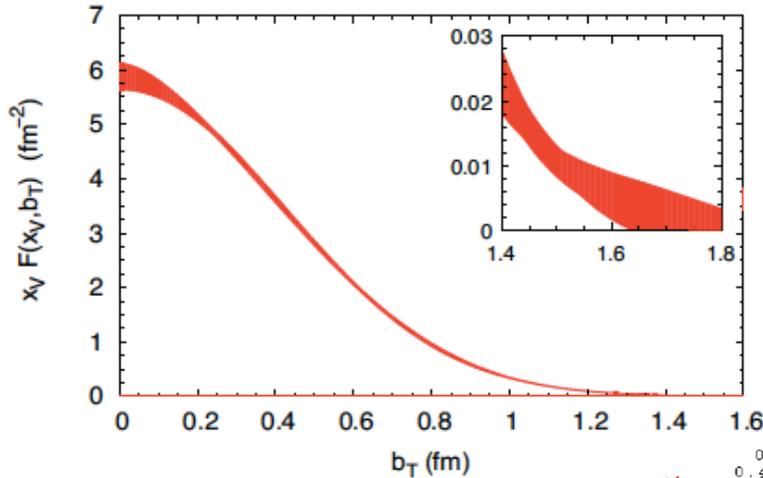
## Exclusive vector meson production:

$$\frac{d\sigma}{dx_B dQ^2 dt} \quad \text{EIC-WhitePaper}$$

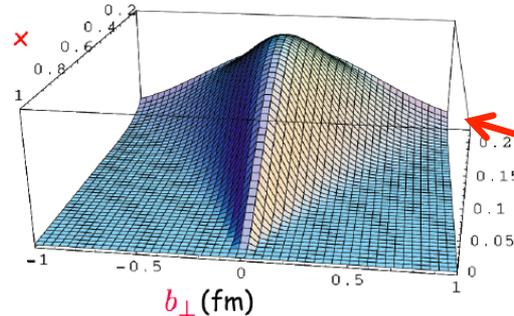


- ✧ Fourier transform of the t-dep
- ➔ Spatial imaging of glue density
- ✧ Resolution  $\sim 1/Q$  or  $1/M_Q$

## Gluon imaging from simulation:



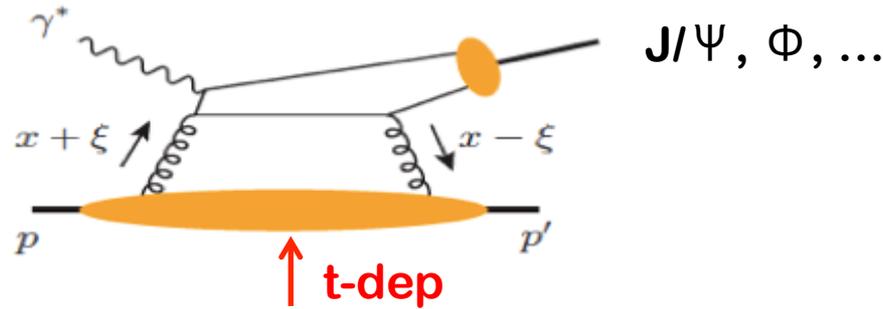
Only possible at the EIC  
Gluon radius?  
Gluon radius (x)!



How spread  
at small-x?  
Color confinement

# Spatial distribution of gluons

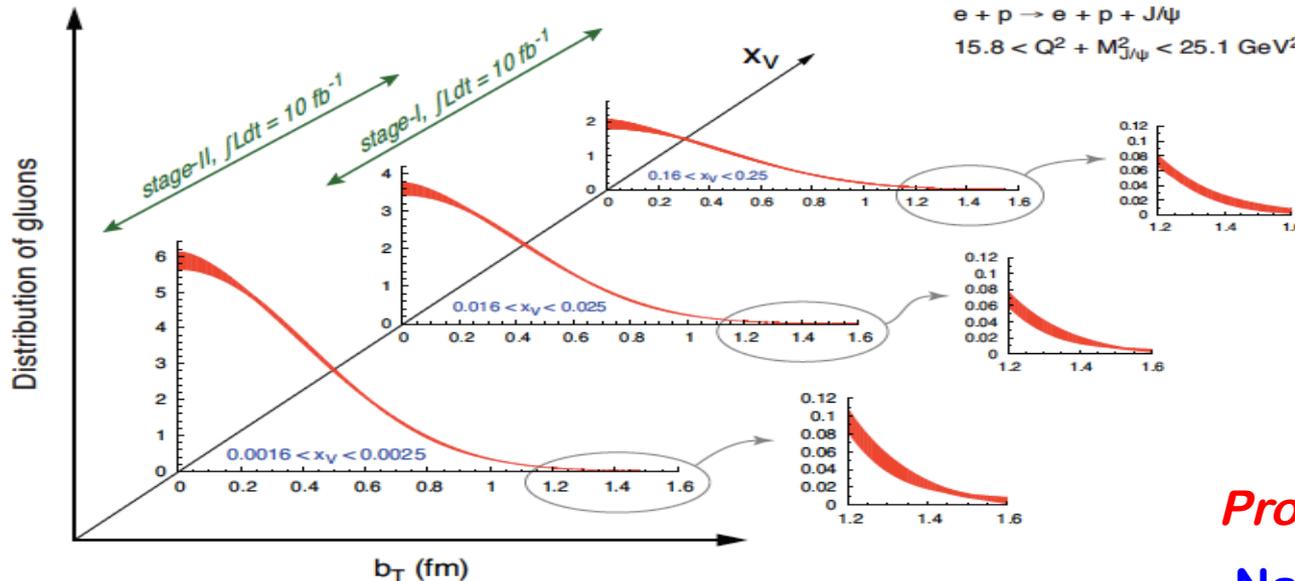
## Exclusive vector meson production:



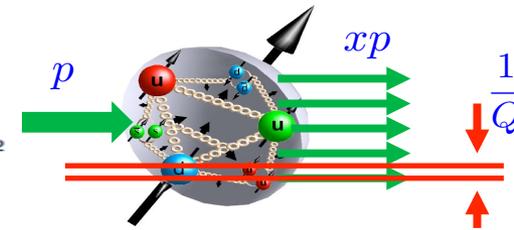
$$\frac{d\sigma}{dx_B dQ^2 dt} \quad \text{EIC-WhitePaper}$$

- ✧ Fourier transform of the t-dep
- ➡ Spatial imaging of glue density
- ✧ Resolution  $\sim 1/Q$  or  $1/M_Q$

## Gluon imaging from simulation:



$e + p \rightarrow e + p + J/\psi$   
 $15.8 < Q^2 + M_{J/\psi}^2 < 25.1 \text{ GeV}^2$



Images of gluons  
 from exclusive  
 $J/\psi$  production

*Proton's "gluon radius"*

Nature of pion cloud?

*Model dependence – parameterization?*

*EIC simulation*

# Unified view of nucleon structure

## Wigner distributions:

Momentum Space

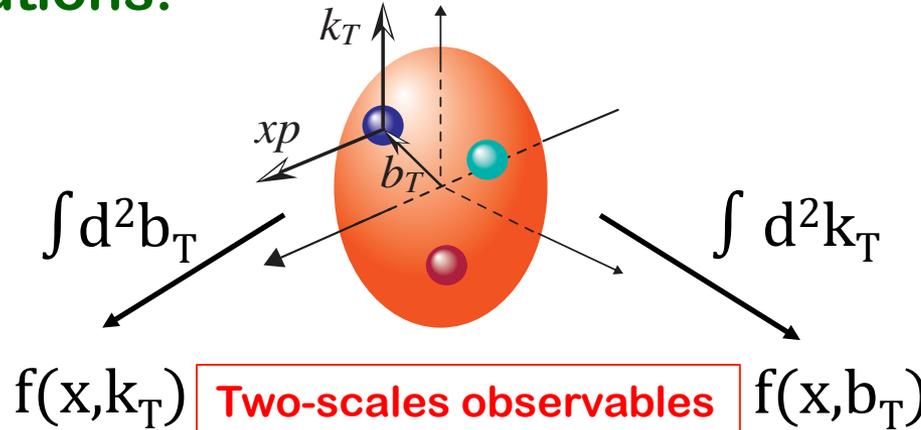
Coordinate Space

TMDs

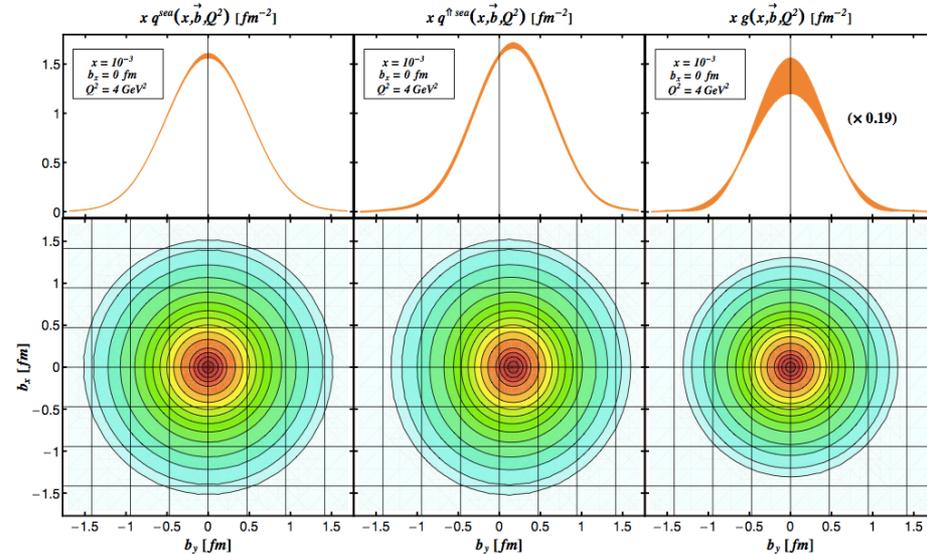
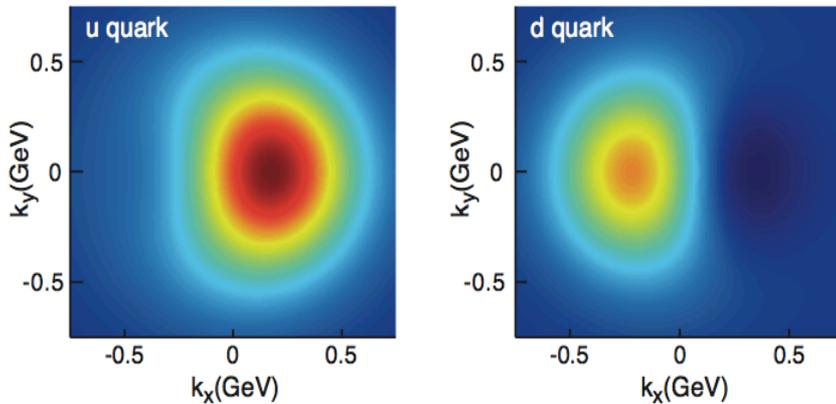
GPDs

Confined motion

Spatial distribution



Sivers Functions



Position  $\vec{r} \times$  Momentum  $\vec{p} \rightarrow$  Orbital Motion of Partons

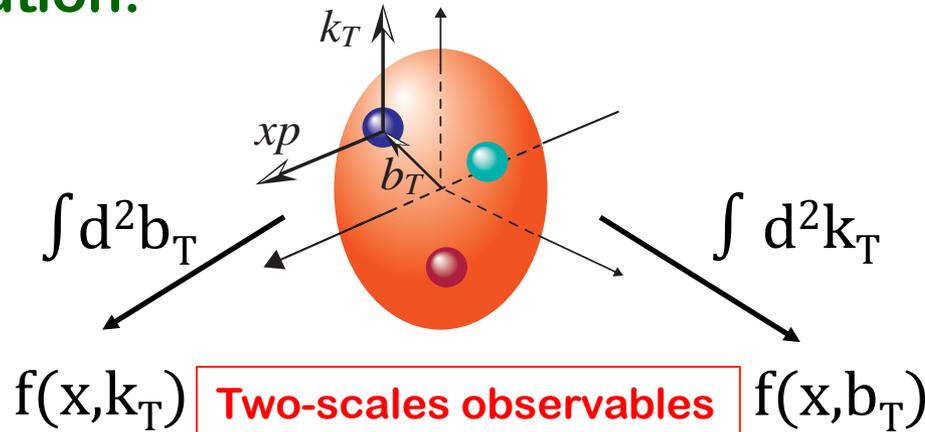
# Unified view of nucleon structure

## □ Wigner distribution:

*Momentum  
Space*

*TMDs*

*Confined  
motion*



*Coordinate  
Space*

*GPDs*

*Spatial  
distribution*

## □ Note:

- ✧ Partons' confined motion and their spatial distribution are **unique** – the consequence of QCD
- ✧ But, the TMDs and GPDs that represent them are **not unique!**
  - Depending on the definition of the Wigner distribution and QCD factorization to link them to physical observables

Position  $\mathbf{r} \times$  Momentum  $\mathbf{p} \rightarrow$  Orbital Motion of Partons

# Orbital angular momentum

**OAM: Correlation between parton's position and its motion**  
 – in an averaged (or probability) sense

□ **Jaffe-Manohar's quark OAM density:**

$$\mathcal{L}_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{\partial}) \right]^3 \psi_q$$

□ **Ji's quark OAM density:**

$$L_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{D}) \right]^3 \psi_q$$

□ **Difference between them:**

Hatta, Lorce, Pasquini, ...

✧ compensated by difference between gluon OAM density

✧ represented by different choice of gauge link for OAM Wagner distribution

$$\mathcal{L}_q^3 \{ L_q^3 \} = \int dx d^2b d^2k_T \left[ \vec{b} \times \vec{k}_T \right]^3 \mathcal{W}_q(x, \vec{b}, \vec{k}_T) \left\{ W_q(x, \vec{b}, \vec{k}_T) \right\}$$

with

$$\mathcal{W}_q \{ W_q \} (x, \vec{b}, \vec{k}_T) = \int \frac{d^2\Delta_T}{(2\pi)^2} e^{i\vec{\Delta}_T \cdot \vec{b}} \int \frac{dy^- d^2y_T}{(2\pi)^3} e^{i(xP^+ y^- - \vec{k}_T \cdot \vec{y}_T)}$$

**JM: “staple” gauge link**

**Ji: straight gauge link**

$$\times \langle P' | \bar{\psi}_q(0) \frac{\gamma^+}{2} \underbrace{\Phi^{\text{JM}\{\text{Ji}\}}(0, y)}_{\text{Gauge link}} \psi(y) | P \rangle_{y^+=0}$$

between 0 and  $y=(y^+=0, y^-, y_T)$

**Gauge link**

# Orbital angular momentum

**OAM: Correlation between parton's position and its motion**  
– in an averaged (or probability) sense

□ **Jaffe-Manohar's quark OAM density:**

$$\mathcal{L}_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{\partial}) \right]^3 \psi_q$$

□ **Ji's quark OAM density:**

$$L_q^3 = \psi_q^\dagger \left[ \vec{x} \times (-i\vec{D}) \right]^3 \psi_q$$

□ **Difference between them:**

✧ generated by a “torque” of color Lorentz force

Hatta, Yoshida, Burkardt,  
Meissner, Metz, Schlegel,  
...

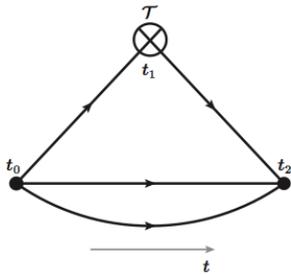
$$\begin{aligned} \mathcal{L}_q^3 - L_q^3 \propto & \int \frac{dy^- d^2 y_T}{(2\pi)^3} \langle P' | \bar{\psi}_q(0) \frac{\gamma^+}{2} \int_{y^-}^{\infty} dz^- \Phi(0, z^-) \\ & \times \underbrace{\sum_{i,j=1,2} [\epsilon^{3ij} y_T^i F^{+j}(z^-)]}_{\text{“Chromodynamic torque”}} \Phi(z^-, y) \psi(y) | P \rangle_{y^+=0} \end{aligned}$$

Similar color Lorentz force generates the single transverse-spin asymmetry (Qiu-Sterman function), and is also responsible for the twist-3 part of  $g_2$

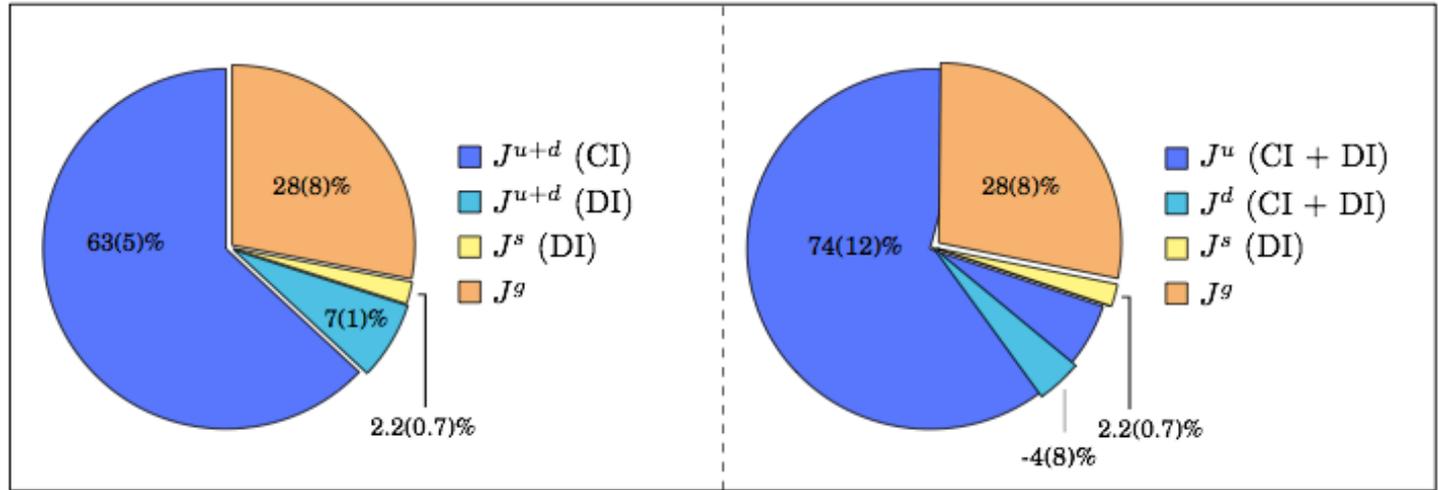
# Nucleon spin and OAM from lattice QCD

□  $\chi$ QCD Collaboration:

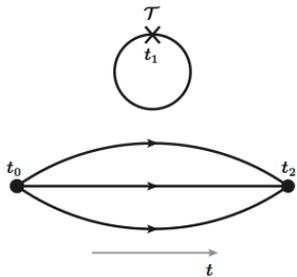
[Deka *et al.* arXiv:1312.4816]



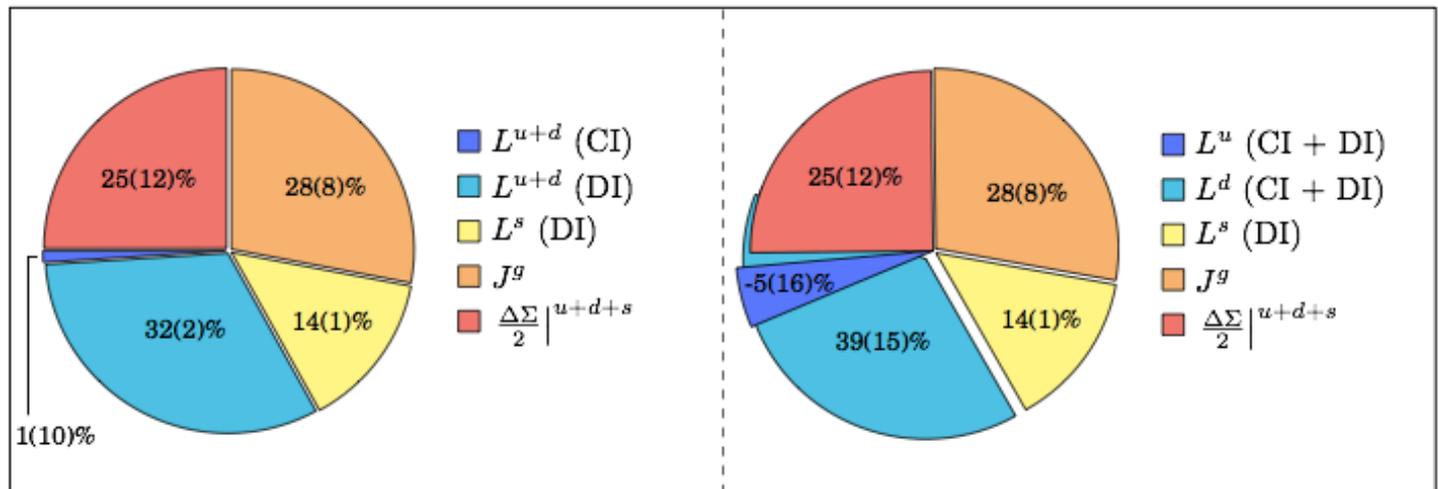
Connected Interaction (CI)



(b)



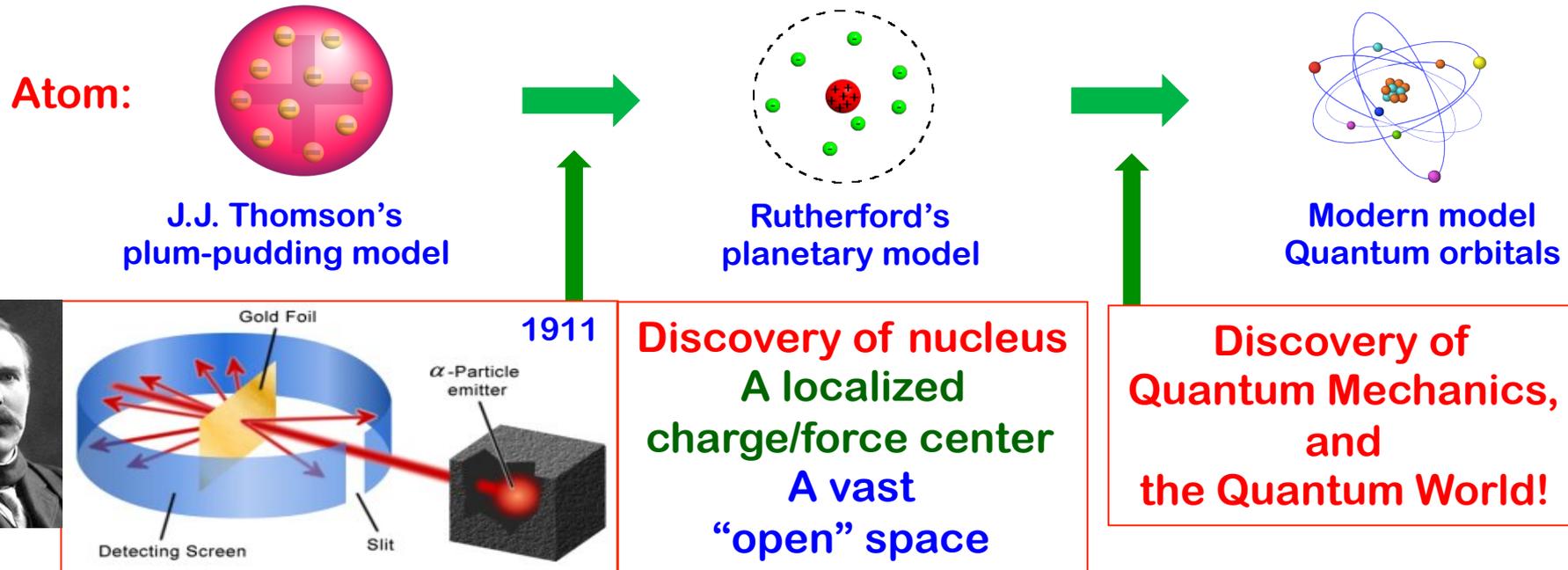
Disconnected Interaction (DI)



(c)

# Why 3D hadron structure?

□ Rutherford's experiment – atomic structure (100 years ago):



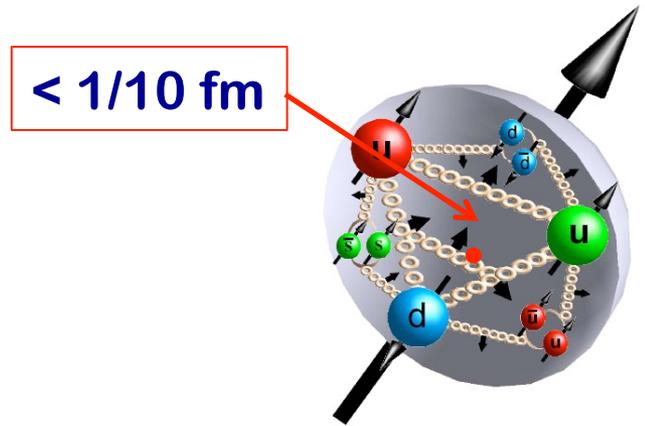
□ Completely changed our "view" of the visible world:

- ✧ Mass by "tiny" nuclei – *less than 1 trillionth in volume of an atom*
- ✧ Motion by quantum probability – *the quantum world!*
- ✧ Provided infinite opportunities to improve things around us, ...

*What would we learn from the hadron structure in QCD, ...?*

# Summary

- ❑ QCD has been extremely successful in interpreting and predicting high energy experimental data!
- ❑ But, we still do not know much about hadron structure – work just started!
- ❑ Cross sections with large momentum transfer(s) and identified hadron(s) are the source of structure information
- ❑ QCD factorization is necessary for any controllable “probe” for hadron’s quark-gluon structure!
- ❑ But, EIC is a ultimate QCD machine, and will provide answers to many of our questions on hadron structure, in particular, the confined transverse motions (TMDs), spatial distributions (GPDs), and multi-parton correlations, ...



**Thank you!**

**Backup slides**

# Parity and Time-reversal invariance

□ In quantum field theory, **physical observables** are given by **matrix elements** of quantum field operators

□ Consider two quantum states:  $|\alpha\rangle$   $|\beta\rangle$

□ Parity transformation:

$$|\alpha_P\rangle \equiv U_P |\alpha\rangle \quad |\beta_P\rangle \equiv U_P |\beta\rangle$$

$$\langle\alpha_P|\beta_P\rangle = \langle\alpha|U_P^\dagger U_P|\beta\rangle = \langle\alpha|\beta\rangle$$

□ Time-reversal transformation:

$$|\alpha_T\rangle \equiv V_T |\alpha\rangle \quad |\beta_T\rangle \equiv V_T |\beta\rangle$$

$$\langle\alpha_T|\beta_T\rangle = \langle\alpha|V_T^\dagger V_T|\beta\rangle = \langle\alpha|\beta\rangle^* = \langle\beta|\alpha\rangle$$

# Parity and Time-reversal invariance

## □ Parton fields under P and T transformation:

$$U_P \psi(y_0, \vec{y}) U_P^{-1} = \gamma^0 \psi(y_0, -\vec{y})$$

$$V_T \psi(y_0, \vec{y}) V_T^{-1} = (i\gamma^1 \gamma^3) \psi(-y_0, \vec{y}) \quad \mathcal{J} = i\gamma^1 \gamma^3$$



$$\begin{aligned} & \langle P, \vec{s}_\perp | \bar{\psi}(0) \Gamma_i \psi(y^-) | P, \vec{s}_\perp \rangle \\ &= \langle P, -\vec{s}_\perp | \bar{\psi}(0) \left[ \mathcal{J} \left( \Gamma_i^\dagger \right)^* \mathcal{J}^\dagger \right] \psi(y^-) | P, -\vec{s}_\perp \rangle \end{aligned}$$

## □ Quark correlations contribute to polarized X-sections:

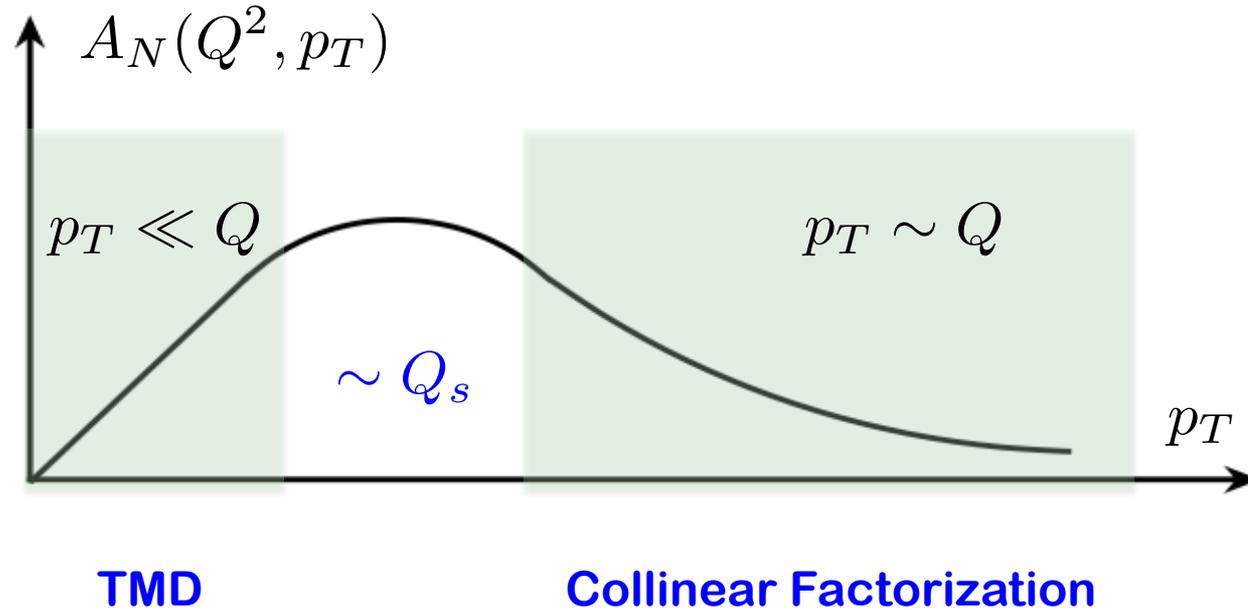
$$T_i(x; \vec{s}_\perp) = -T_i(x; -\vec{s}_\perp) \quad \longrightarrow \quad \mathcal{J} \left( \Gamma_i^\dagger \right)^* \mathcal{J}^\dagger = -\Gamma_i$$

$$\Gamma_i = \gamma^\mu \gamma_5, \quad \sigma^{\mu\nu} \quad (\text{or} \quad \sigma^{\mu\nu} (i\gamma_5))$$

$$\Gamma_i = I, \quad i\gamma_5, \quad \gamma^\mu \quad \text{contribute to spin-avg X-sections:}$$

# Transition from low $p_T$ to high $p_T$

□ Two-scale becomes one-scale:



□ TMD factorization to collinear factorization:

Ji, Qiu, Vogelsang, Yuan,  
Koike, Vogelsang, Yuan

Two factorization are consistent in the overlap region:  $\Lambda_{\text{QCD}} \ll p_T \ll Q$

$A_N$  finite – requires correlation of multiple collinear partons

No probability interpretation! New opportunities!