

# Matching the Equation of State of Dense Neutron-Rich Matter Constrained by Terrestrial Experiments and Astrophysical Observations



Bao-An Li



## Collaborators:

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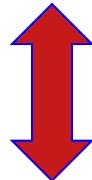
Plamen G. Krastev, Harvard University

William G. Newton, Texas A&M University-Commerce

Jun Xu, Chinese Academy of Sciences

Naibo Zhang, TAMU-Commerce & Shandong University

EOS parameter space restricted by terrestrial experiments & theories



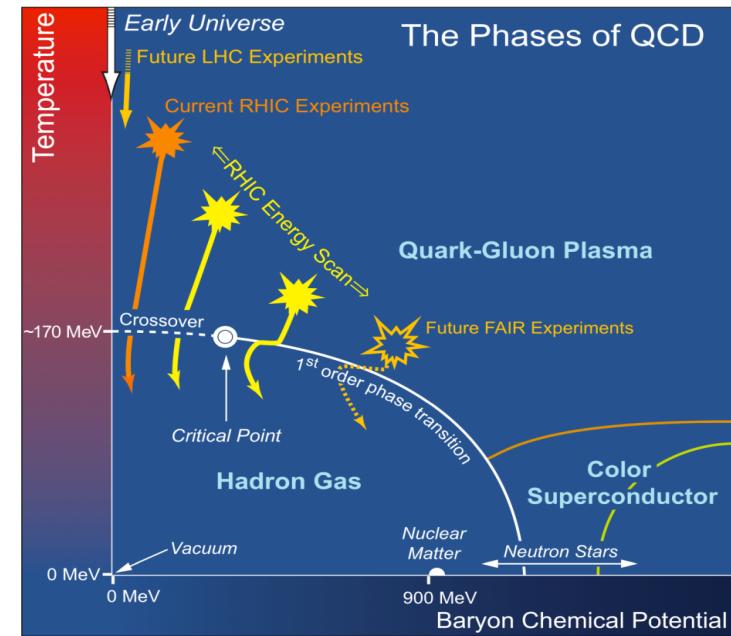
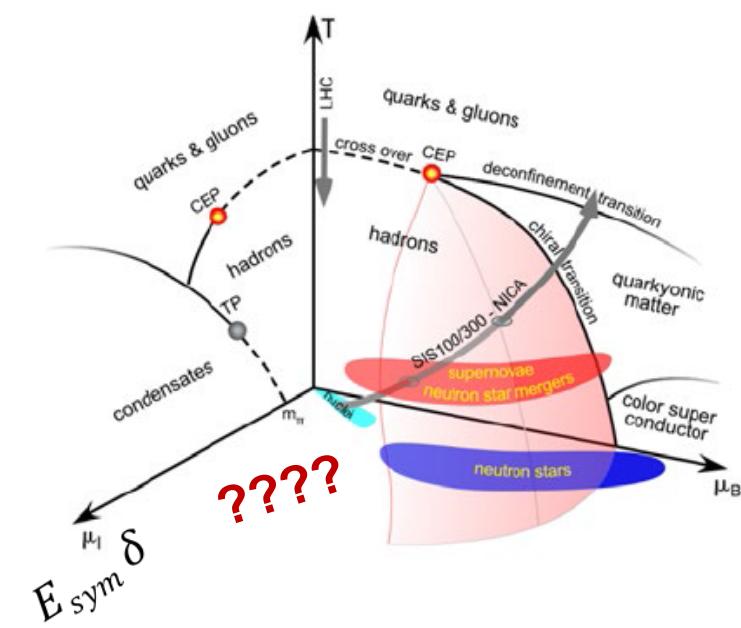
EOS constraints from  $M_{\max}$ ,  $R$  and tidal polarizability of neutron stars

# Empirical parabolic law of the EOS of cold, neutron-rich nucleonic matter

$$E(\rho_n, \rho_p) = E_0(\rho_n = \rho_p) + E_{sym}(\rho) \left( \frac{\rho_n - \rho_p}{\rho} \right)^2 + o(\delta^4)$$

↑  
Energy per nucleon in symmetric matter  
↑  
Energy in asymmetric nucleonic matter

symmetry energy      Isospin asymmetry  $\delta$



New opportunities  
Isospin asymmetry  
 $\delta = (\rho_n - \rho_p)/\rho$

???

## Parameterizing the EOS of symmetric matter and symmetry energy for the NS core

$$E_0(\rho) \approx E_0(\rho_0) + \frac{K_0}{2} \left( \frac{\rho - \rho_0}{3\rho_0} \right)^2 + \frac{J_0}{6} \left( \frac{\rho - \rho_0}{3\rho_0} \right)^3,$$

$$E_{\text{sym}}(\rho) \approx E_{\text{sym}}(\rho_0) + L \left( \frac{\rho - \rho_0}{3\rho_0} \right) + \frac{K_{\text{sym}}}{2} \left( \frac{\rho - \rho_0}{3\rho_0} \right)^2 + \frac{J_{\text{sym}}}{6} \left( \frac{\rho - \rho_0}{3\rho_0} \right)^3$$

Naturally approach asymptotically their Taylor expansions near the saturation density

### **Current status of the restricted EOS parameter space:**

Low density:  $K_0 = 240 \pm 20$ ,  $E_{\text{sym}}(\rho_0) = 31.7 \pm 3.2$  and  $L = 58.7 \pm 28.1$  MeV

High density:  $-400 \leq K_{\text{sym}} \leq 100$ ,  $-200 \leq J_{\text{sym}} \leq 800$ , and  $-800 \leq J_0 \leq 400$  MeV

### **Why do not you use piecewise polytropes at high densities (HD)?**

- (1) The ranges of HD parameters  $K_{\text{sym}}$ ,  $J_{\text{sym}}$  and  $J_0$  are so large that they cover .....
- (2) The polytropes: pressures at several fiducial densities have NO isospin dependence
- (3) Need a parameterization facilitating the extraction of high density symmetry energy

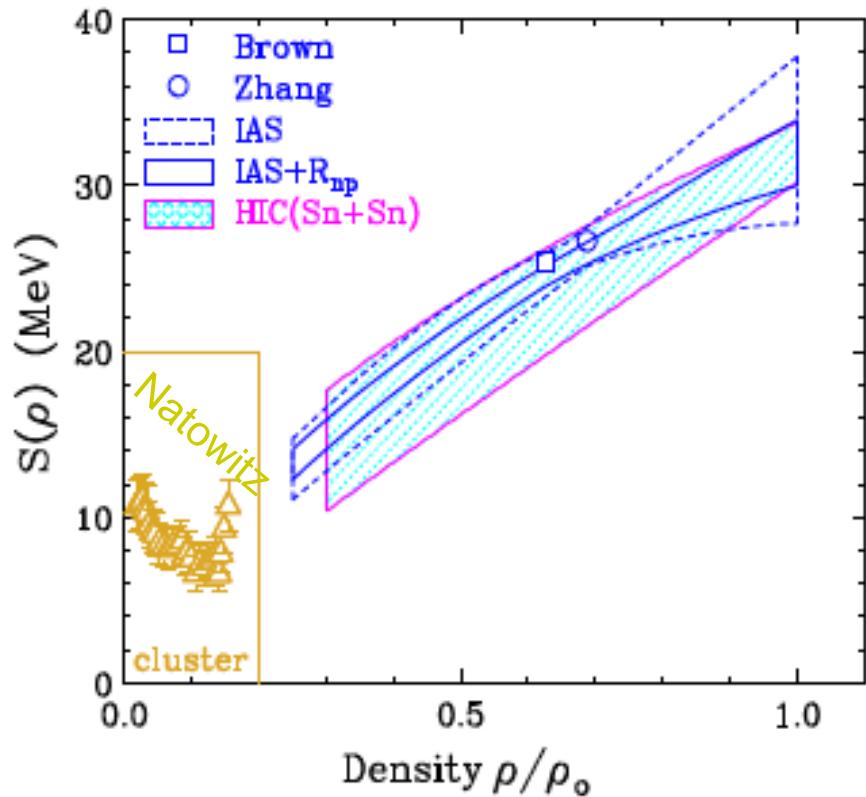
### **The minimum model of neutron stars: The pressure in the npeμ matter:**

$$P(\rho, \delta) = P_0(\rho) + P_{\text{asy}}(\rho, \delta) = \rho^2 \left( \frac{\partial E}{\partial \rho} \right)_\delta + \frac{1}{4} \rho_e \mu_e$$

$$= \rho^2 \left[ E'(\rho, \delta = 0) + E'_{\text{sym}}(\rho) \delta^2 \right] + \frac{1}{2} \delta(1 - \delta) \rho E_{\text{sym}}(\rho),$$

# What is the density dependence of symmetry energy?

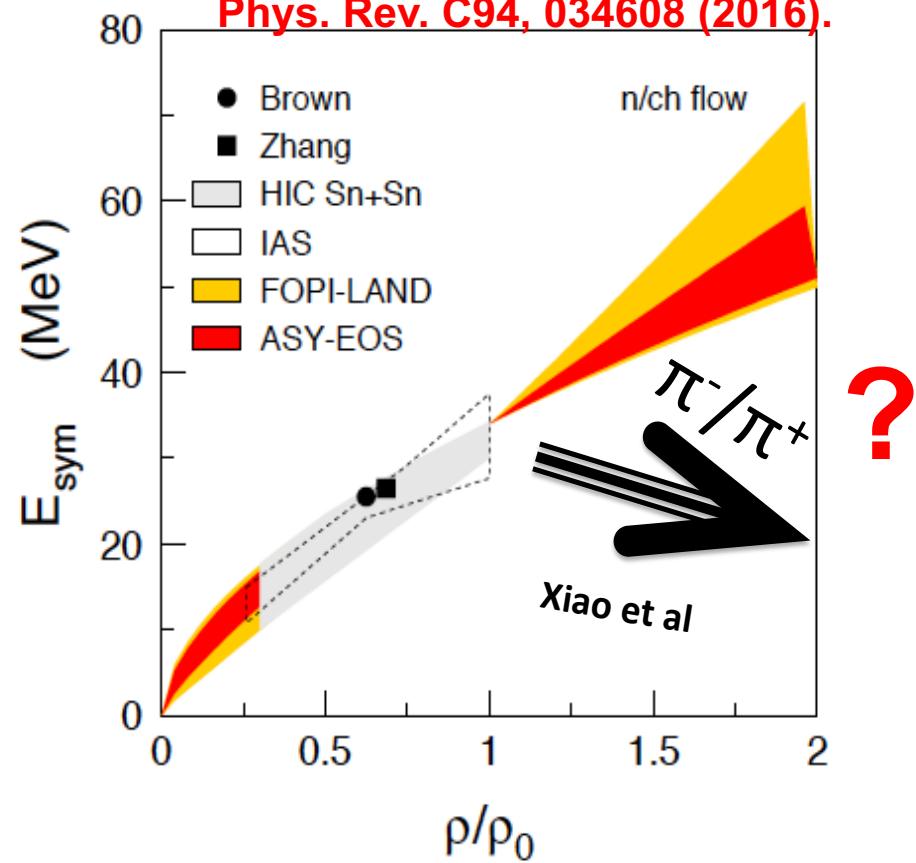
Indications of experiments (model dependent)



Betty Tsang et al., PRC 86, 105803 (2012).

Chuck Horowitz et al., JPG: 41 (2014) 093001

P. Russotto et al. (ASY-EOS Coll),  
Phys. Rev. C94, 034608 (2016).



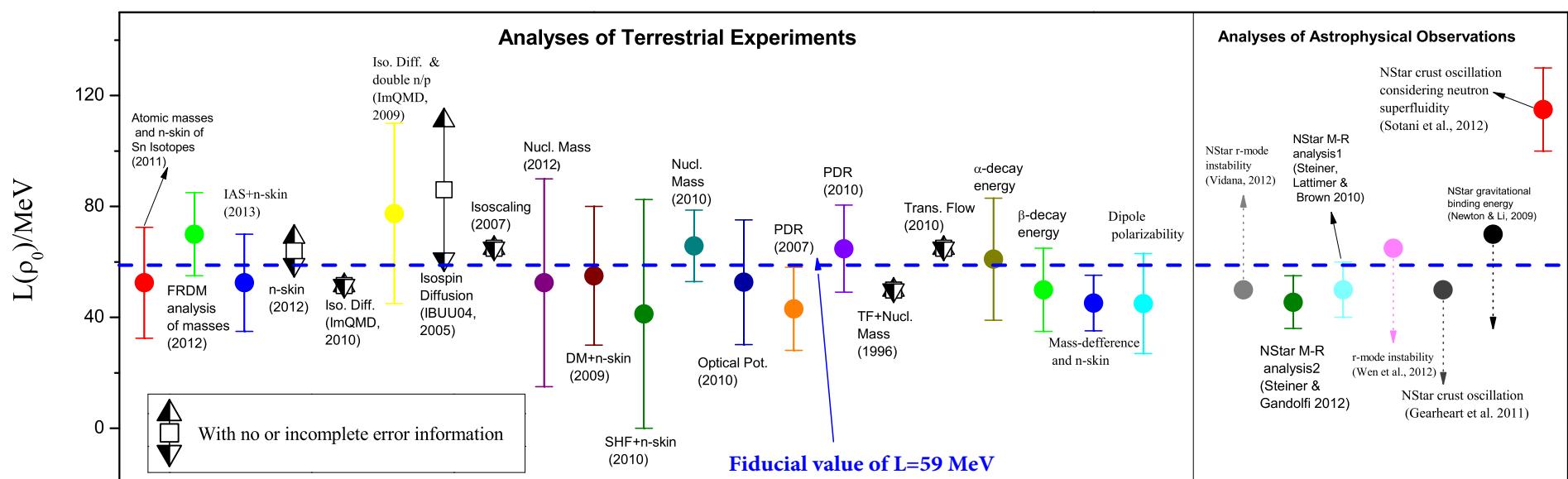
Z.G. Xiao et al, based on GSI/FOPI data  
Phys. Rev. Lett. 102, 062502 (2009).

# Constraints on $E_{\text{sym}}(\rho_0)$ and L based on 29 analyses of data

**Fiducial values  
as of Aug. 2013**

$$E_{\text{sym}}(\rho_0) \approx 31.6 \pm 2.66 \text{ MeV}$$

$$L \approx 2 E_{\text{sym}}(\rho_0) = 59 \pm 16 \text{ MeV}$$



Bao-An Li and Xiao Han, Phys. Lett. B727 (2013) 276-281

$$E_{\text{sym}}(\rho) = E_{\text{sym}}(\rho_0) + \frac{L}{3} \left( \frac{\rho}{\rho_0} - 1 \right) + \frac{K_{\text{sym}}}{18} \left( \frac{\rho}{\rho_0} - 1 \right)^2 + \frac{J_{\text{sym}}}{162} \left( \frac{\rho}{\rho_0} - 1 \right)^3$$

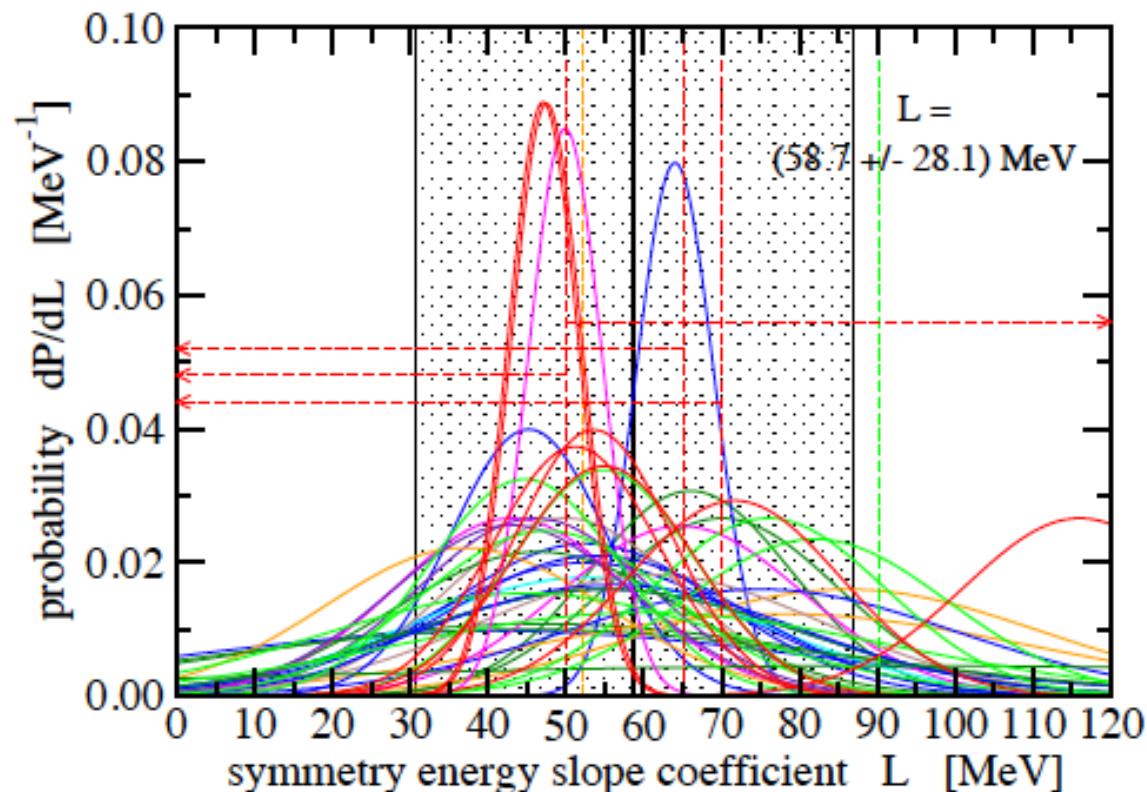
# Constraints on $E_{\text{sym}}(\rho_0)$ and L based on 53 analyses of data

Fiducial values  
as of Oct. 12, 2016

$$E_{\text{sym}}(\rho_0) \approx 31.7 \pm 3.2 \text{ MeV}$$
$$L = 58.7 \pm 28.1 \text{ MeV}$$

Assuming:

- (1) Gaussian distribution of L
- (2) Democratic principle  
(treat & trust everyone/publication equally)



M. Oertel, M. Hempel, T. Klähn, S. Typel

Review of Modern Physics 89 (2017) 015007

# Isoscalar Excitation Modes of Nuclear Resonance

$$K_0 = 240 \pm 20 \text{ MeV}$$

$$E_{ISGMR} \approx \sqrt{\frac{K_A}{m \langle r^2 \rangle}}$$

$$E_{ISGDR} \approx \sqrt{\frac{3}{7} \frac{K_A + (27/25)\epsilon_F}{m \langle r^2 \rangle}}$$



$$K_A = K_{vol} + K_{surf} A^{-1/3} + K_\tau \delta^2 + K_{Coul} \frac{Z^2}{A^{4/3}}$$

Isospin dependence of incompressibility

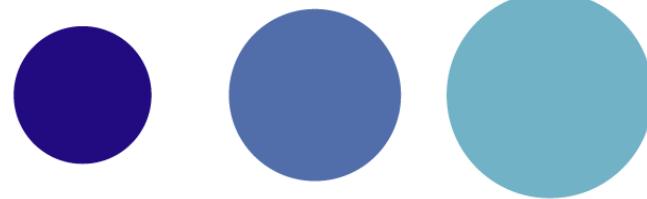
$$K_\tau = K_{sym} - 6L(\rho_0) - \frac{J_0 L(\rho_0)}{K_0}$$

$$K_\tau = -550 \pm 100 \text{ MeV}$$

Nothing conclusive about  $K_{sym}$

Isoscalar Giant Resonances:

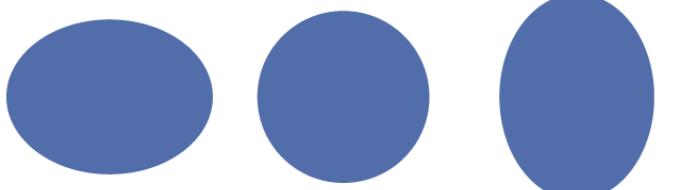
ISGMR (T=0, L=0)



ISGDR (T=0, L=1)



ISGQR (T=0, L=2)

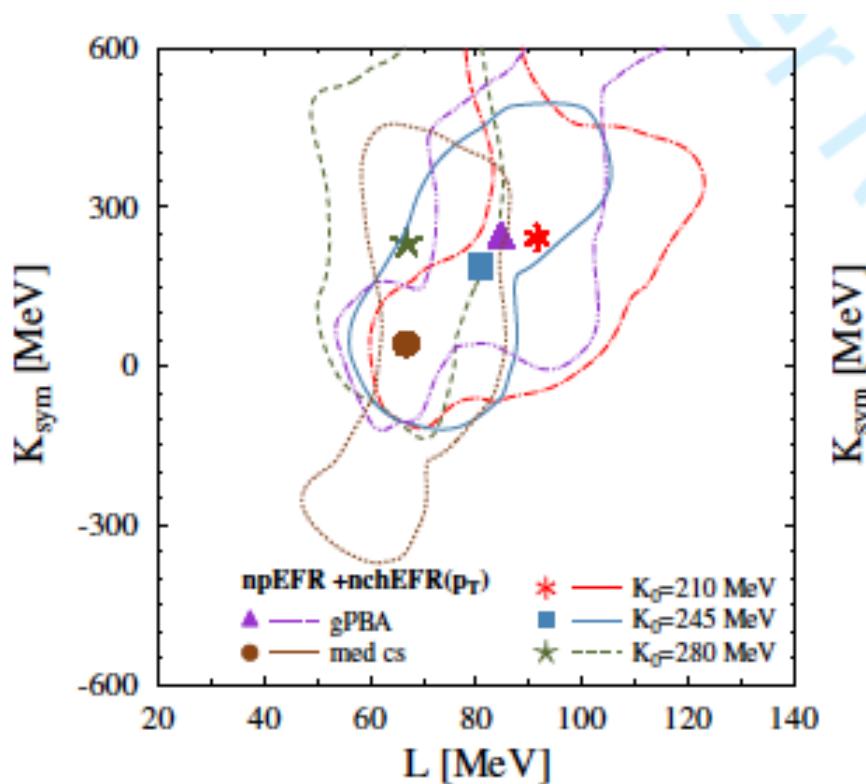


# QMD analysis of GSI data on neutron-proton relative elliptical flow

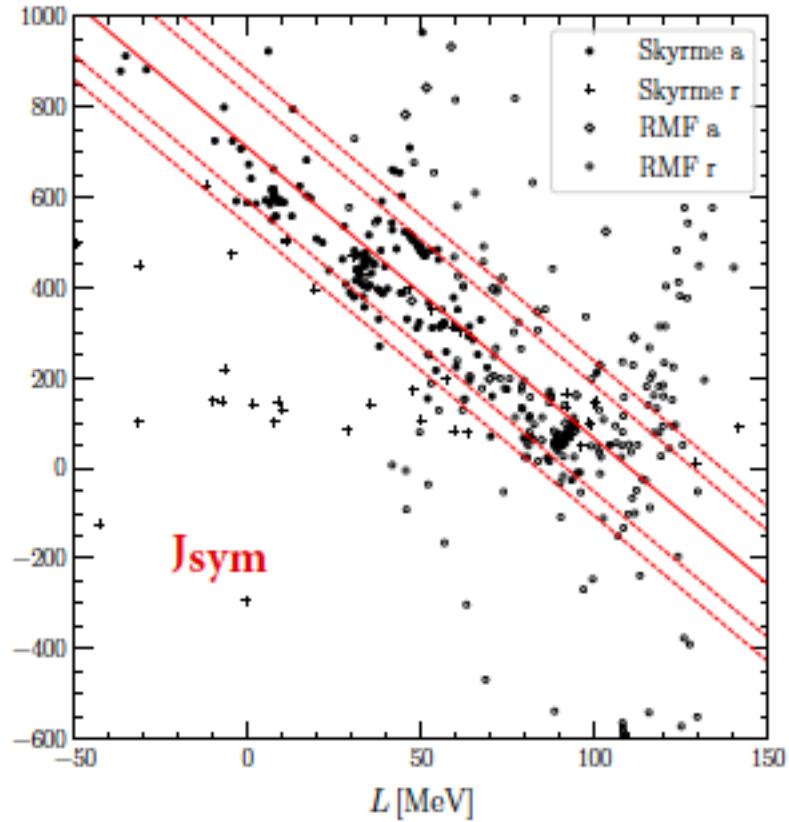
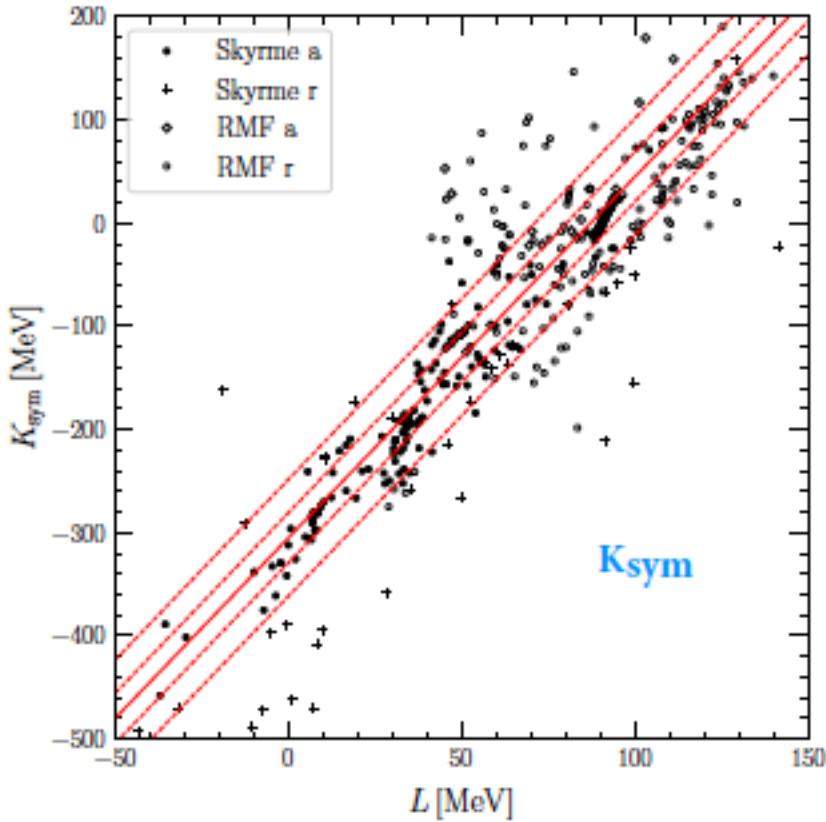
Dan Cozma, Euro Phys. J. A 54: 40 (2018).

$$L = 85 \pm 22(\text{exp}) \pm 20(\text{th}) \pm 12(\text{sys}) \text{ MeV}$$

$$K_{\text{sym}} = 96 \pm 315(\text{exp}) \pm 170(\text{th}) \pm 166(\text{sys}) \text{ MeV}.$$



## Systematics from over 520 Skyrme+RMF energy density functionals

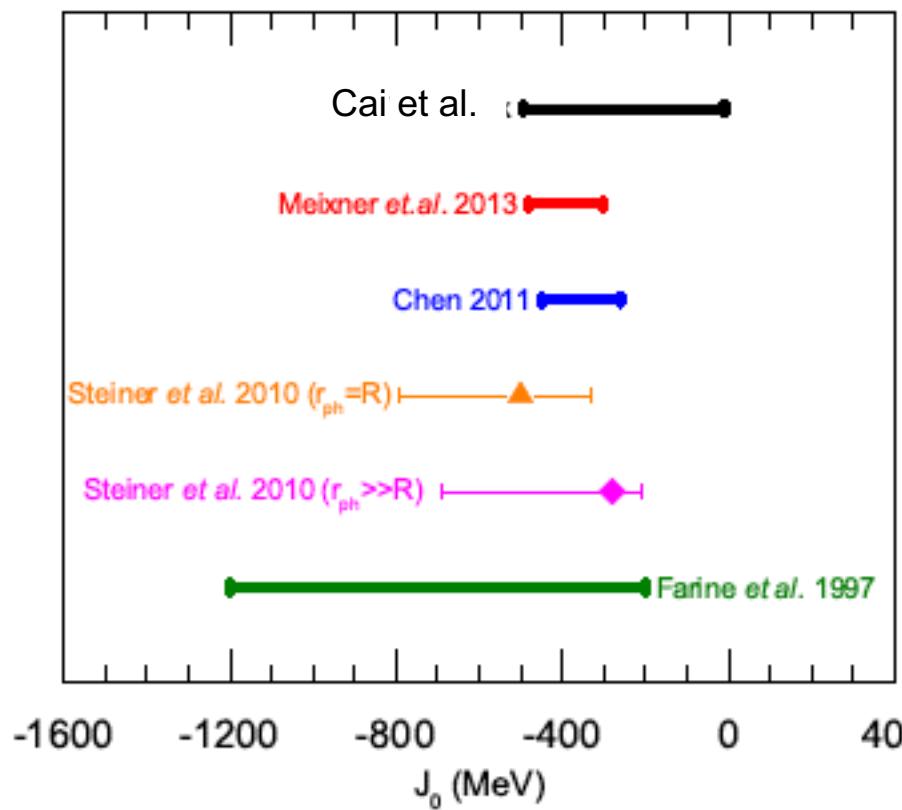


[Ingo Tews](#), [James M. Lattimer](#), [Akira Ohnishi](#), [Evgeni E. Kolomeitsev](#)  
Astrophysics J. 848, 105 (2017)

$$E_{\text{sym}}(\rho) = E_{\text{sym}}(\rho_0) + \frac{L}{3} \left( \frac{\rho}{\rho_0} - 1 \right) + \frac{K_{\text{sym}}}{18} \left( \frac{\rho}{\rho_0} - 1 \right)^2 + \frac{J_{\text{sym}}}{162} \left( \frac{\rho}{\rho_0} - 1 \right)^3$$

# Skewness $J_0$ of symmetric matter

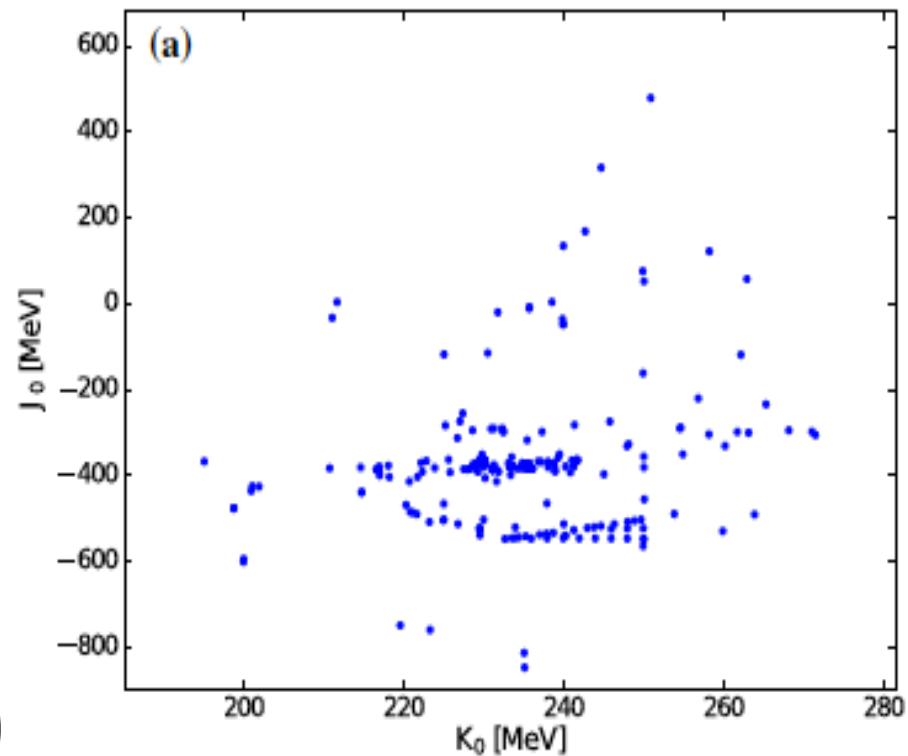
## Indications from model analyses of data



B.J. Cai & L.W. Chen, Nucl. Sci. Tech., 28, 185 (2017)

A. W. Steiner, J.M. Lattimer & E. F. Brown, APJ, 722, 33 (2010).

## 173 Skyrme+101 RMF predictions



N.B. Zhang et al., Nucl. Sci. Tech., 28, 181 (2017)

P. Danielewicz, R. Lacey, & W.G. Lynch,  
Science, 298, 1592 (2002)

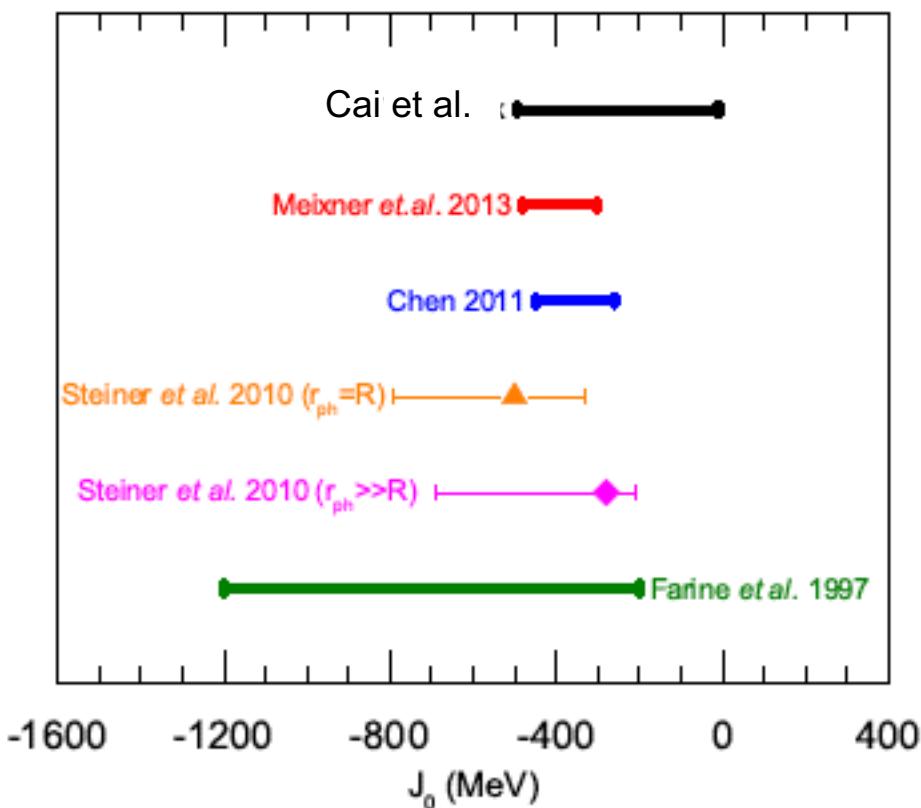
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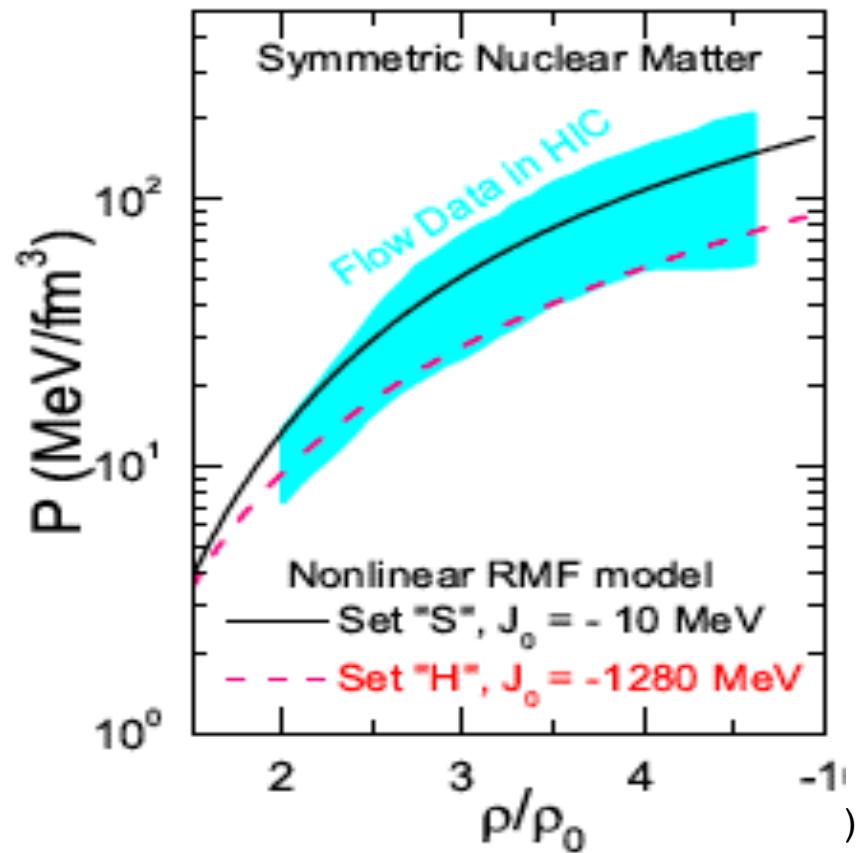
# Skewness $J_0$ of symmetric matter

## Indications of model analyses of data



B.J. Cai & L.W. Chen, Nucl. Sci. Tech., 28, 185 (2017)

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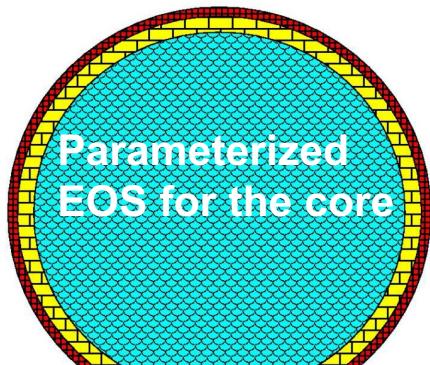
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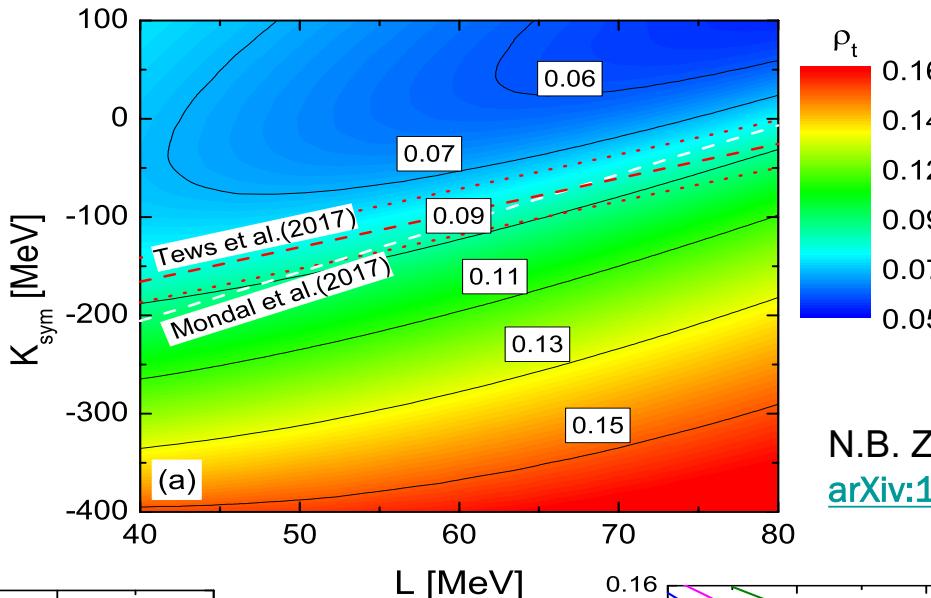
Low density:  $K_0 = 240 \pm 20$ ,  $E_{\text{sym}}(\rho_0) = 31.7 \pm 3.2$  and  $L = 58.7 \pm 28.1$  MeV

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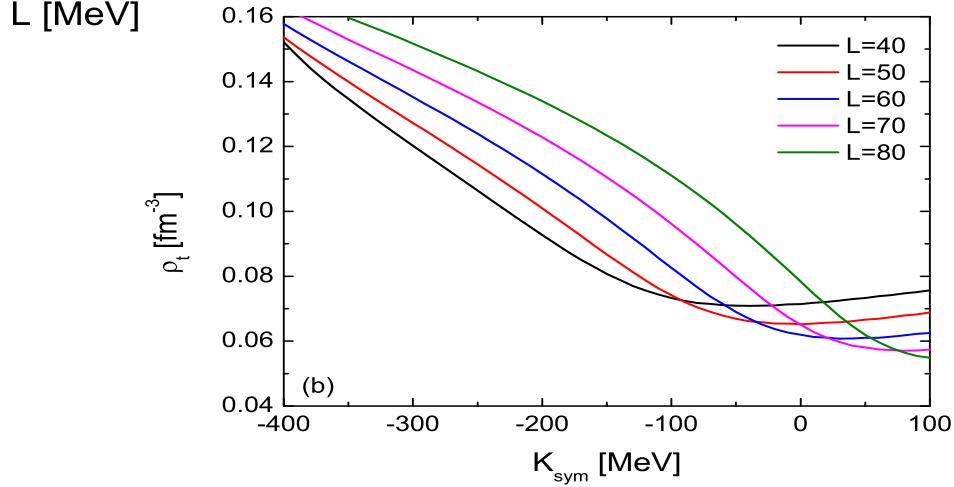
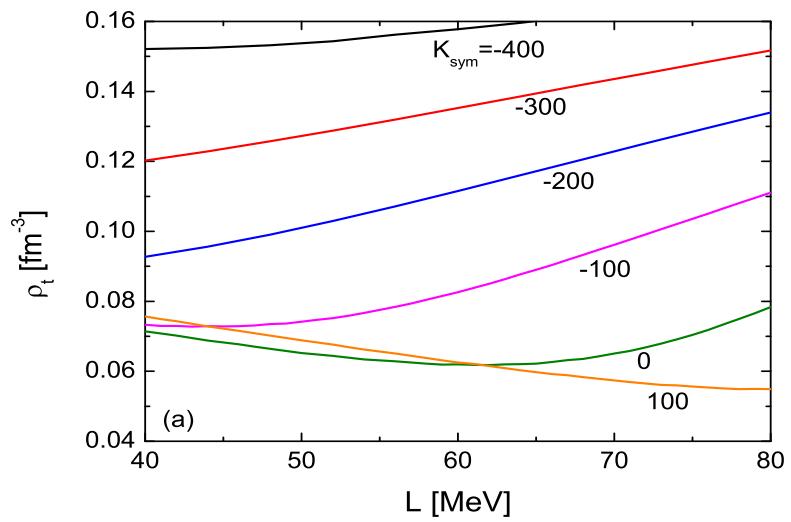
# Effects of symmetry energy on the crust-core transition density



NV+BPS EOS  
for the crust



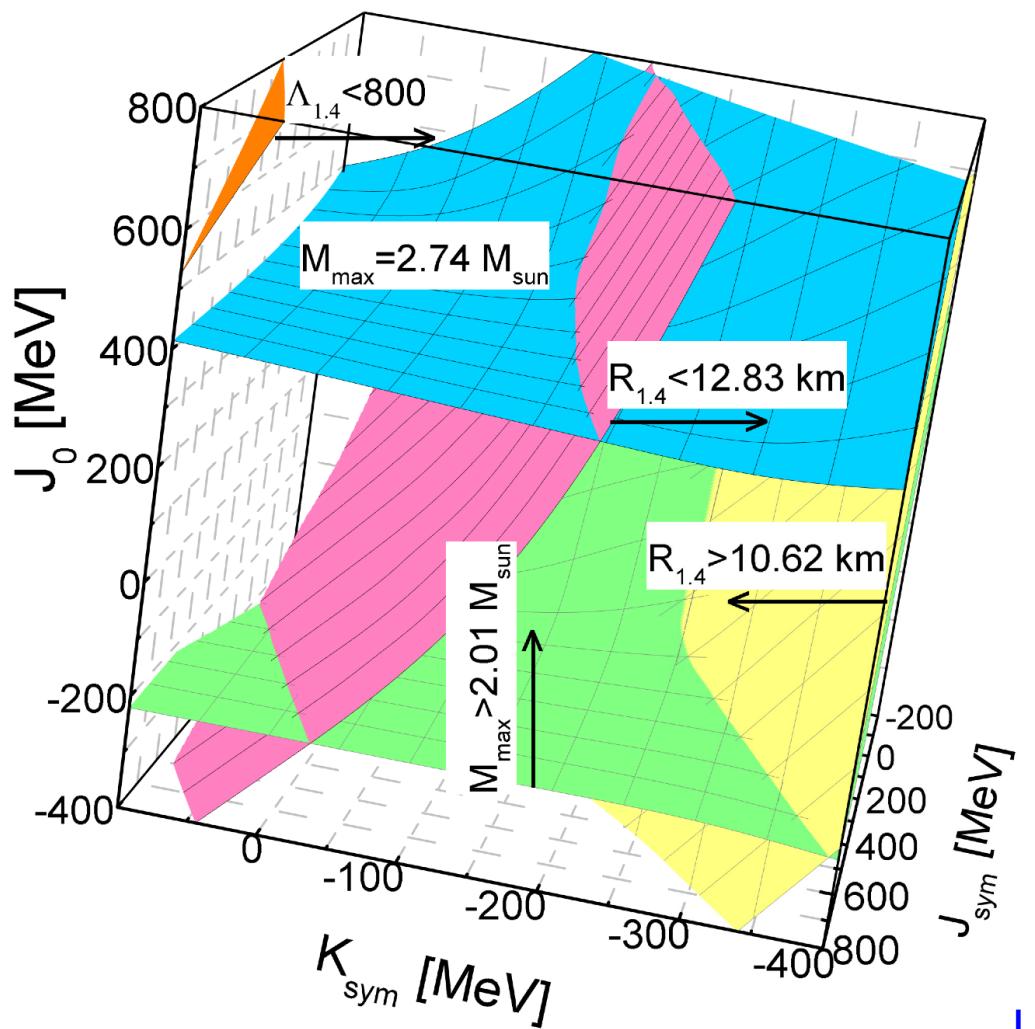
N.B. Zhang, B.A. Li and J. Xu,  
[arXiv:1801.06855](https://arxiv.org/abs/1801.06855)



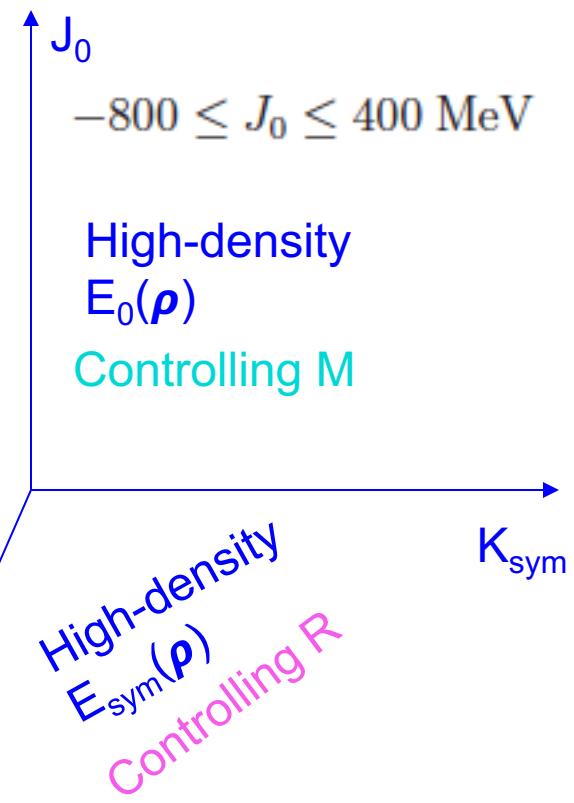
At the crust-core transition: Incompressibility in neutron stars at  $\beta$  equilibrium = 0

$$K_\mu = \rho^2 \frac{d^2 E_0}{d\rho^2} + 2\rho \frac{dE_0}{d\rho} + \delta^2 \left[ \rho^2 \frac{d^2 E_{sym}}{d\rho^2} + 2\rho \frac{dE_{sym}}{d\rho} - 2E_{sym}^{-1} (\rho \frac{dE_{sym}}{d\rho})^2 \right]$$

# Astrophysical constraints on the high-density EOS parameters in 3D



Low-density parameters are fixed at their most probable values

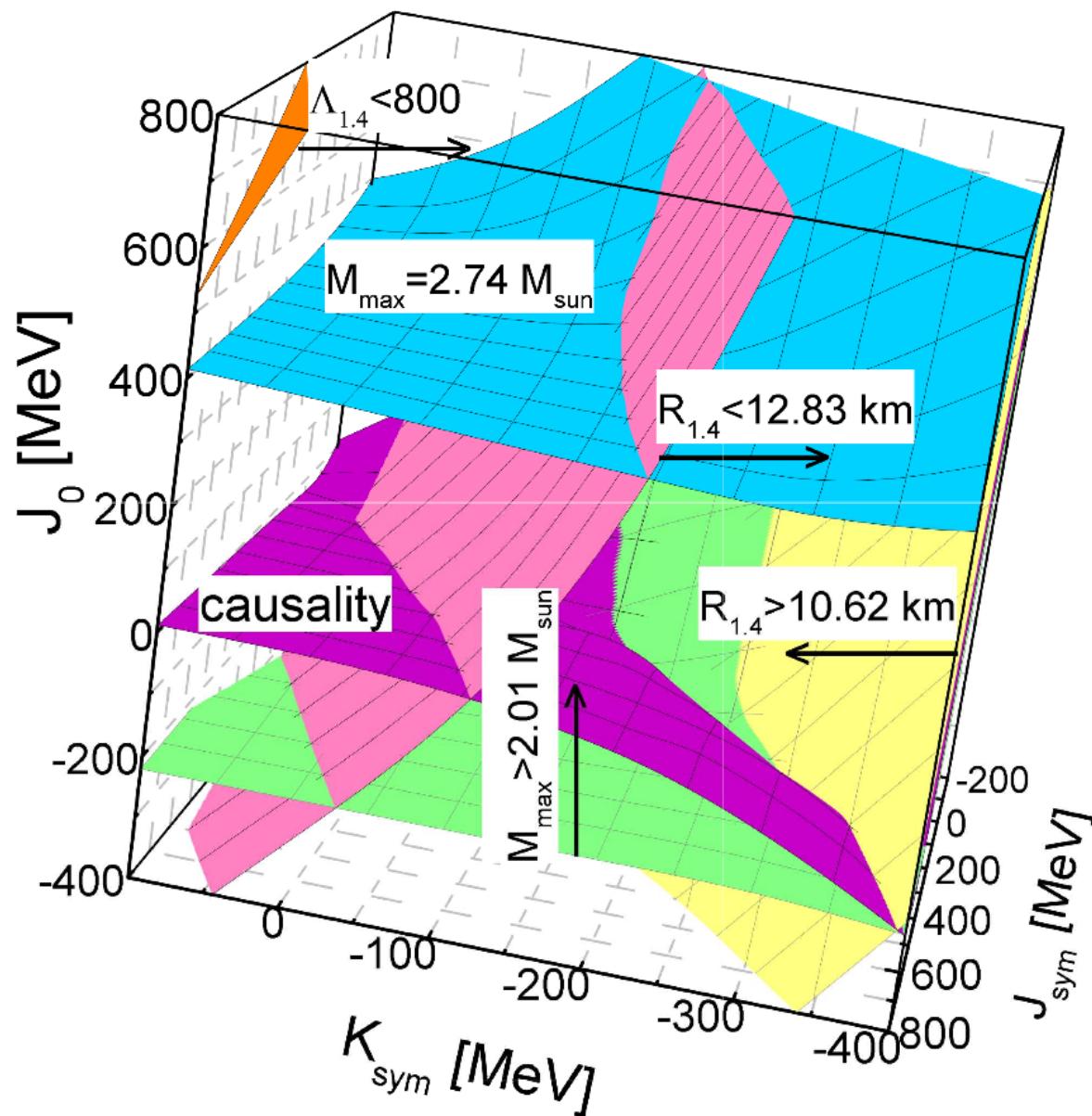


Degeneracy:

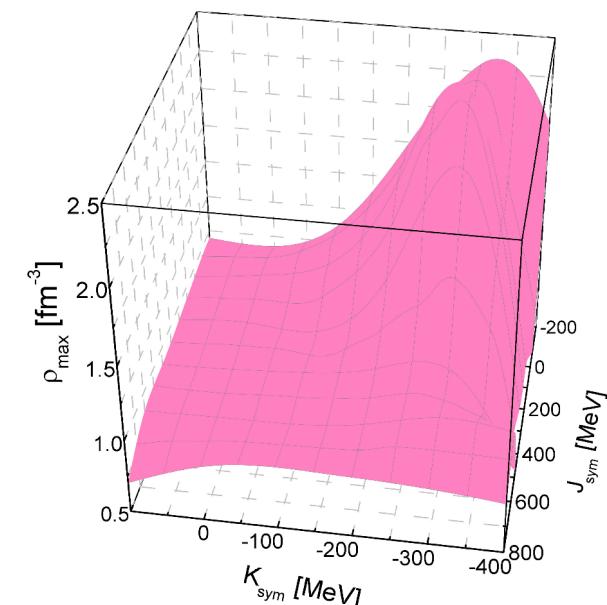
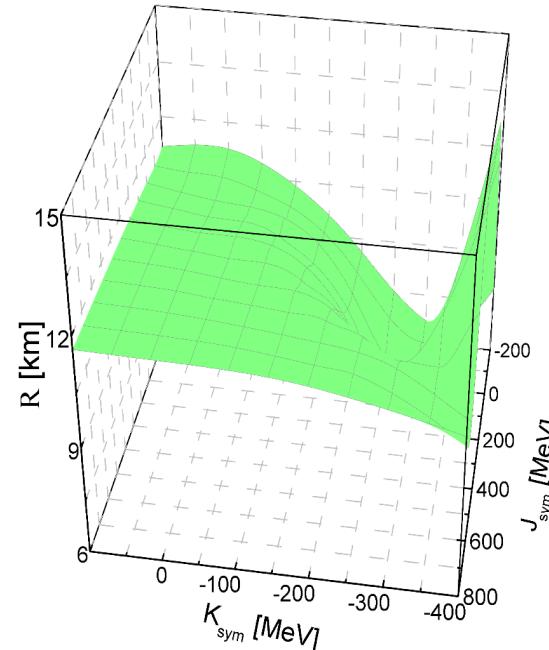
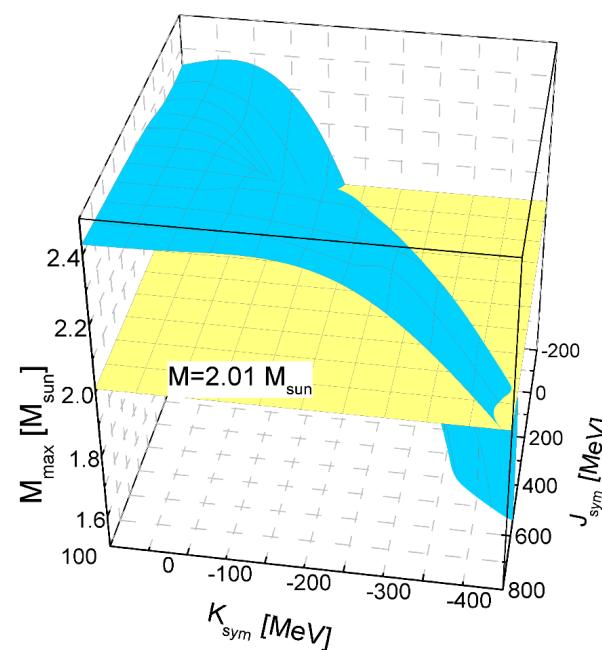
Intersections  $\rightarrow$  different EOS parameters giving identical  $M$ & $R$ , same  $R$  different  $M$  or same  $M$  different  $R$ , **have to fix  $E_{\text{sym}}$  at HD to break it**

Causality, not the blue sky, is the upper limit!

It is impossible to form a stable hyper-massive NS with  $M=2.74M_{\odot}$



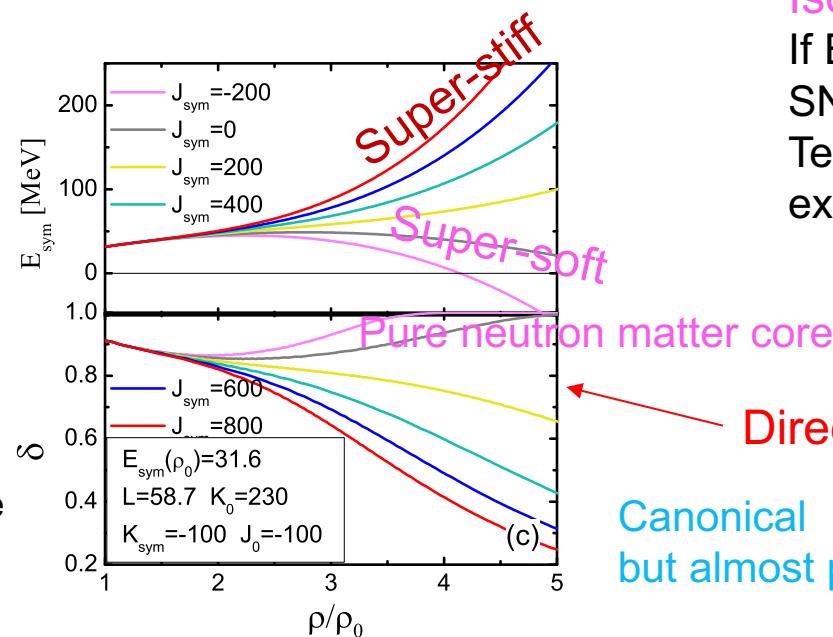
# The maximum mass, radius and density on the causality surface



Isospin separation instability:

If  $E_{\text{sym}} < 0$ , replace  $\delta = 0$  with  $1 + (-1)$   
 $\text{SNM} = \text{PNM} + \text{PPM}$  to lower energy  
 Tensor force in n-p due to  $\rho$  meson exchange can make this happen

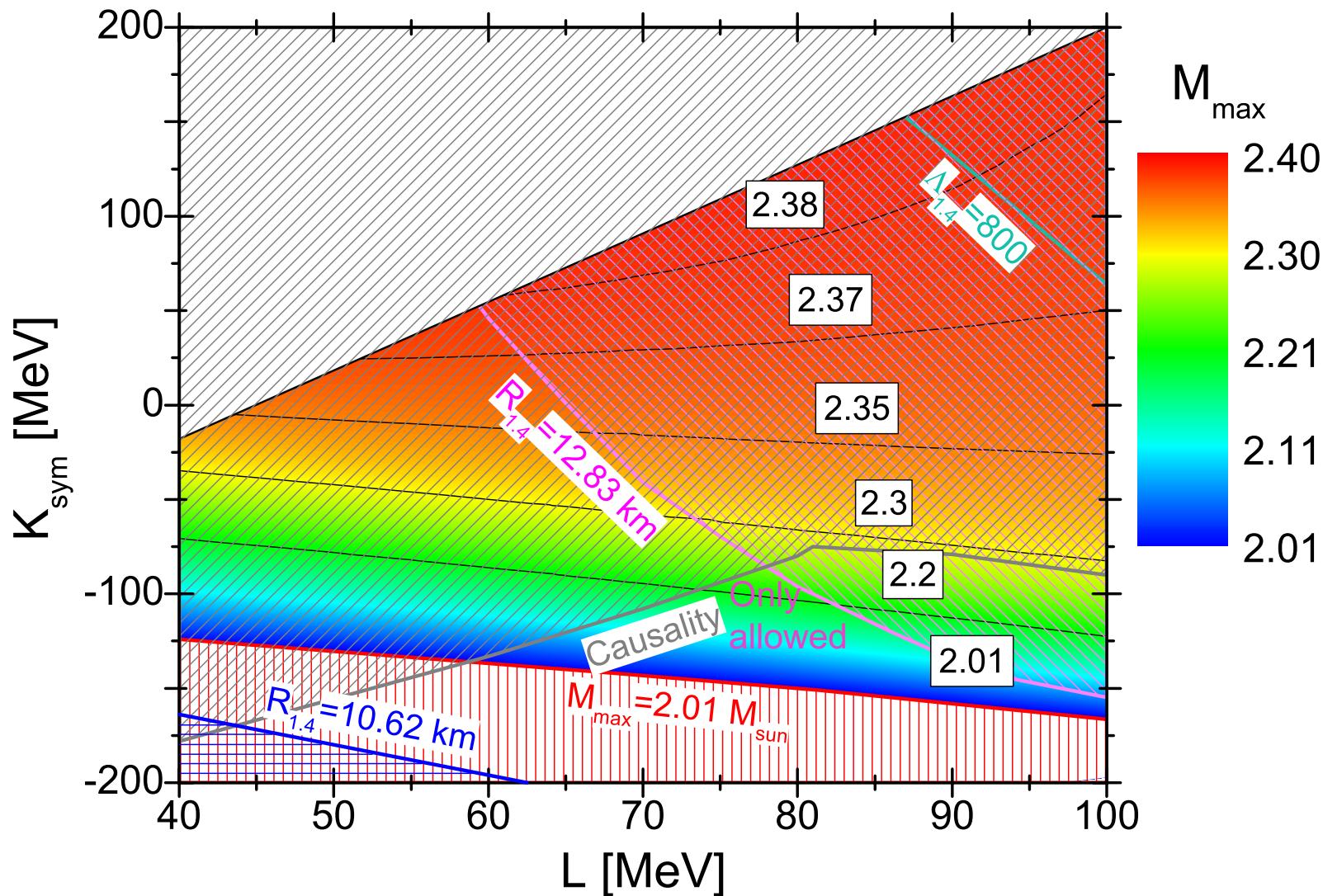
$$E(\rho, \delta) \approx E_0(\rho) + E_{\text{sym}}(\rho)\delta^2$$



Direct URCA limit

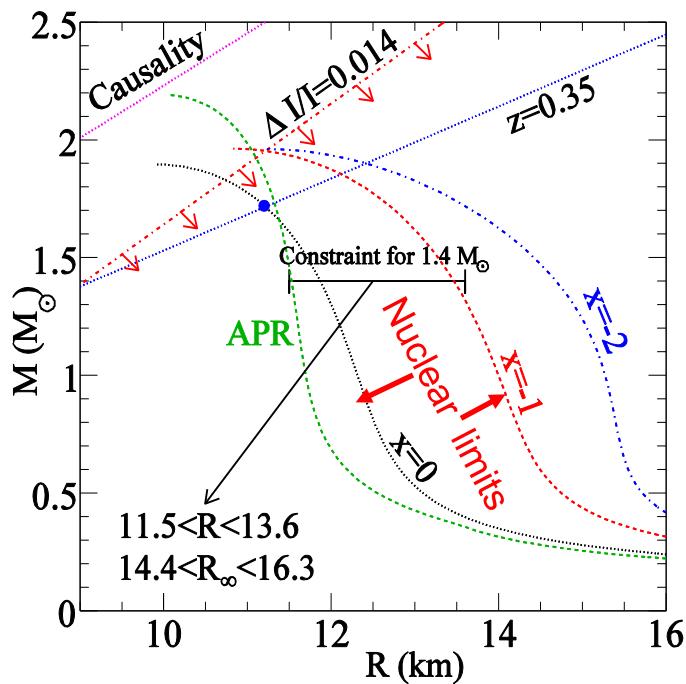
Canonical NSs have high core density, but almost pure neutrons  $\rightarrow$  slow cooling

# Setting $J_0=0$ , $J_{\text{sym}}=0$ , parameterizing the EOS quadratically in $\rho$ and $\delta$ in 2D

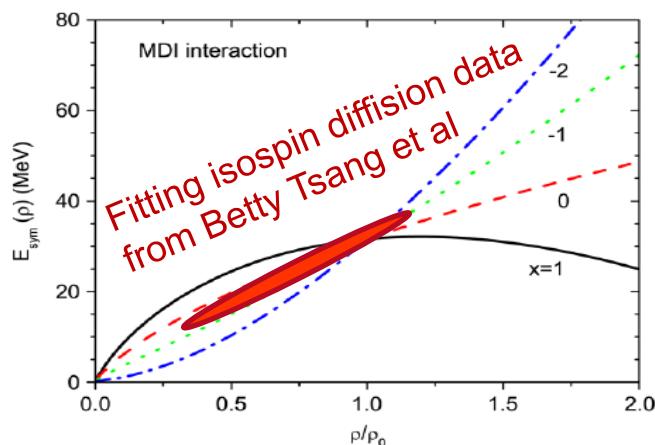


# Constraining the radii of neutron stars with terrestrial experiments

Bao-An Li and Andrew W. Steiner, Phys. Lett. B642, 436 (2006)



APR:  $K_0=269$  MeV.



## Radii of neutron stars inferred from observations

- (a) thermal emissions from quiescent neutron star low-mass X-ray binaries (qLMXBs)
- (b) photospheric radius expansion (PRE) bursts with H and/or He atmosphere models

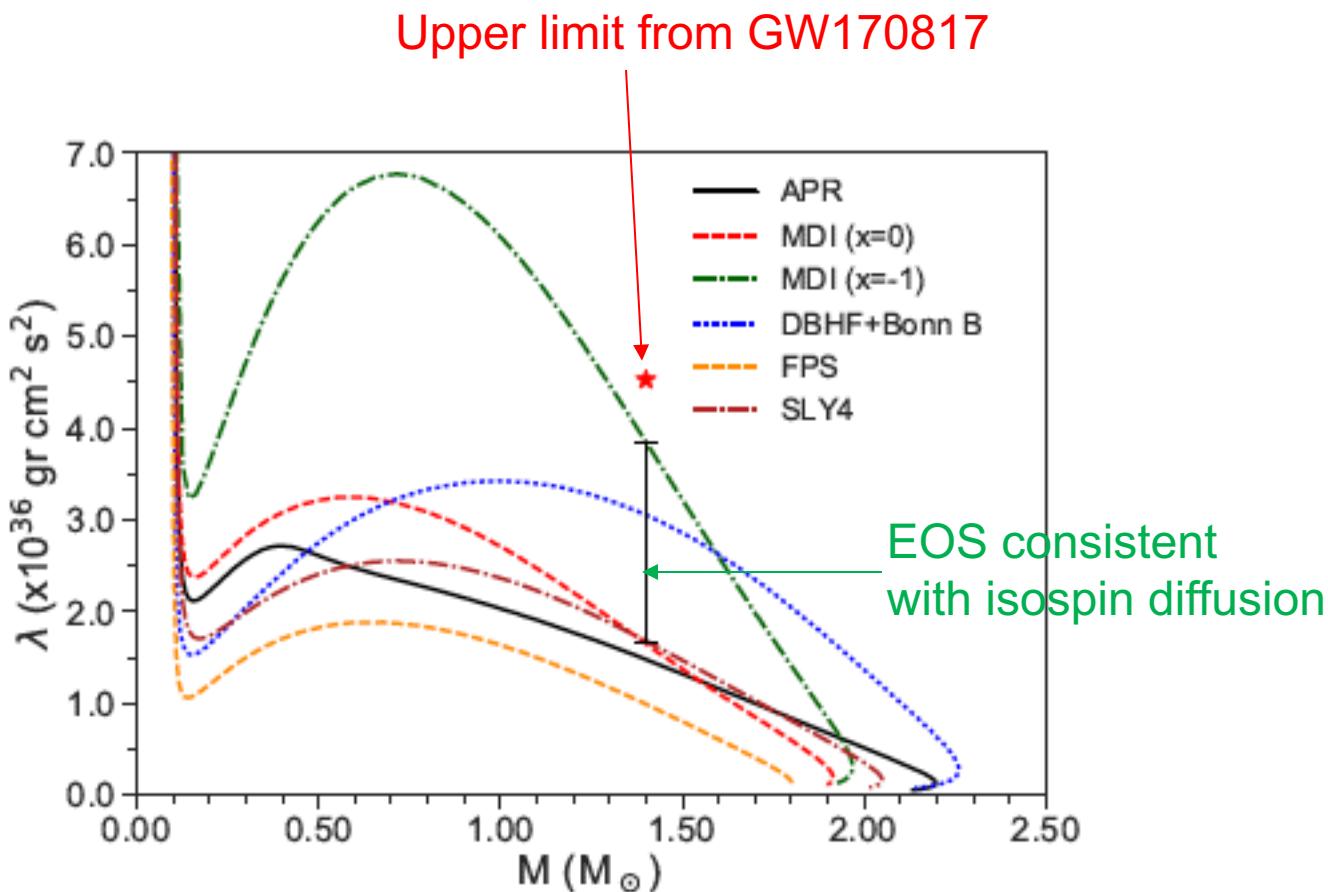
Model	$R_{1.4}$ 90% confidence range
Alt/H+He QLMXB; $z = 0$ PRE	11.13 – 12.33
$z = 0$ PRE only	11.56 – 12.64
Base, QLMXB only	11.01 – 11.94
Alt, QLMXB only	10.62 – 11.50
H+He, QLMXB only	11.29 – 12.83
Alt/H+He, QLMXB only	11.24 – 12.59

J.M. Lattimer and A.W. Steiner,  
European Physics Journal A50, 40 (2014)

**Review of techniques & controversies:**  
M.C. Miller & F.K. Lamb,  
European Physical Journal A 52, 63 (2016).

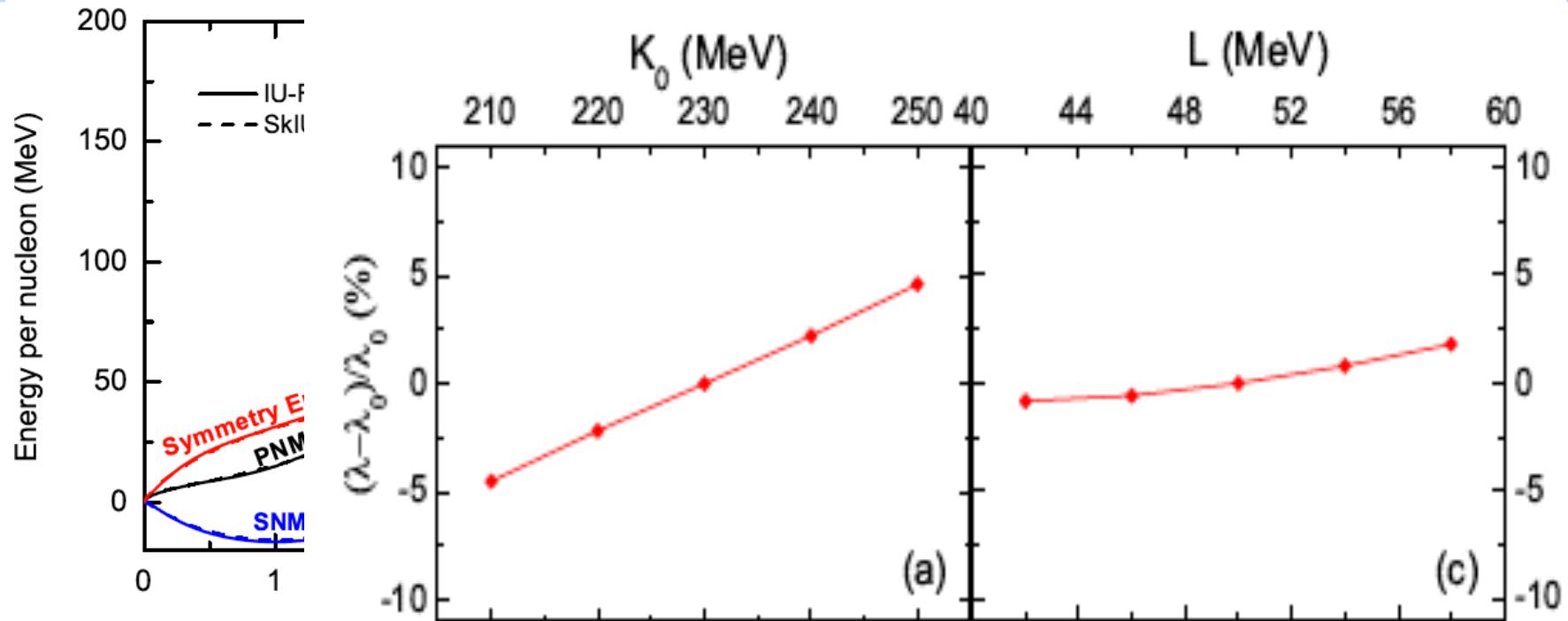
L.W. Chen, C.M. Ko and B.A. Li,  
Phys. Rev. Lett 94, 32701 (2005)

# Imprints of nuclear $E_{\text{sym}}$ on the tidal deformability of neutron stars



[Plamen G. Krastev, Bao-An Li](#) [arXiv:1801.04620](#)

# Signatures of S,L( $n > n_0$ ) in GW signals: Tidal deformability and mergers



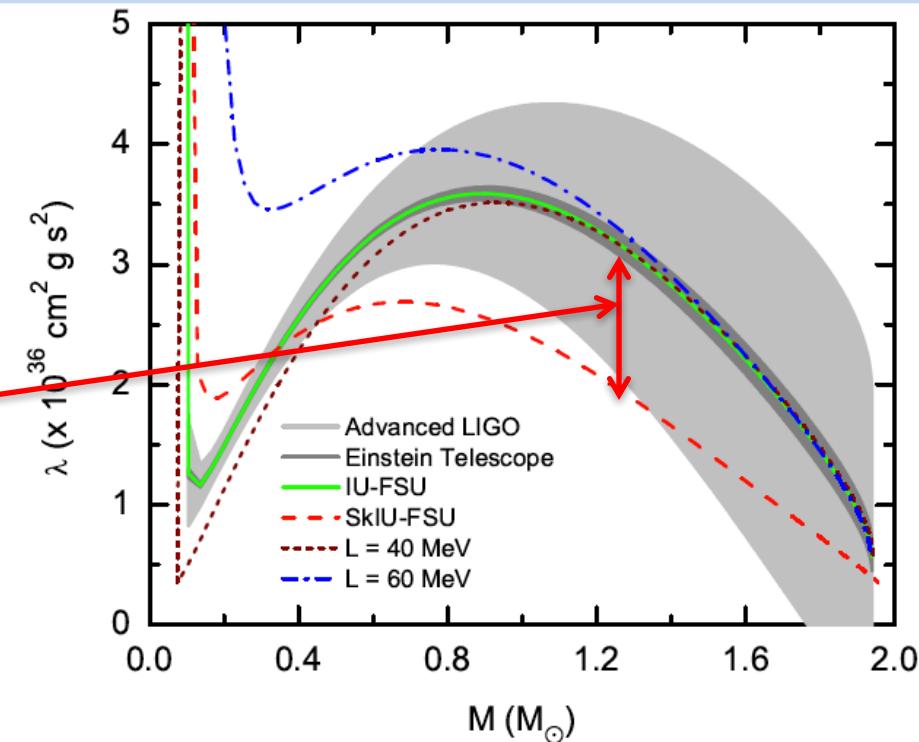
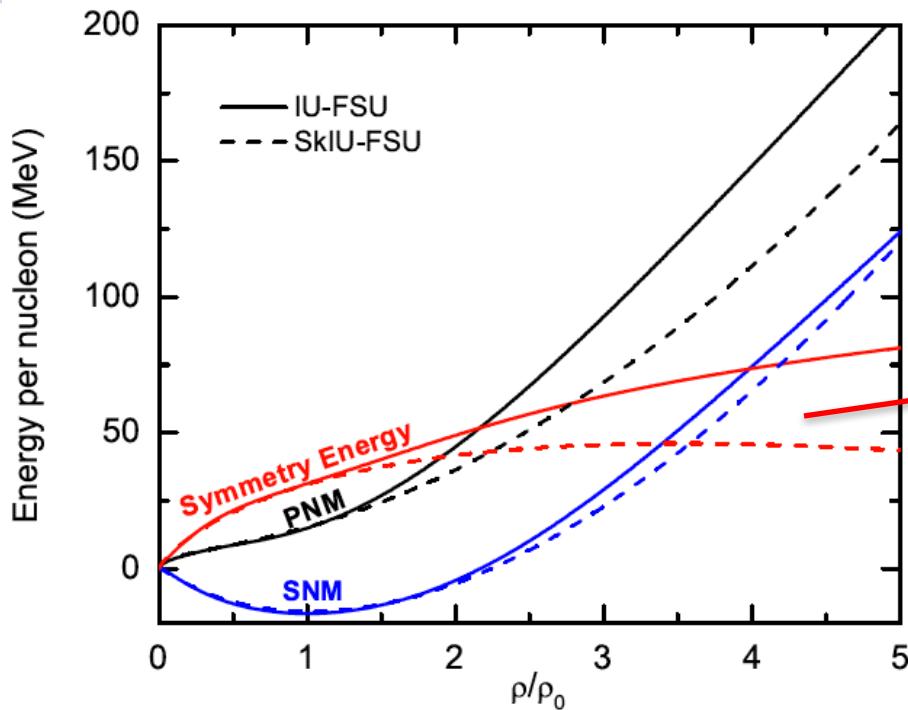
F. Fattoyev, J. Carvajal, W.G. Newton and B.A. Li, PRC87, 15806 (2013)

$\Lambda$  of light NS is sensitive to  $L$

$\Lambda$  of canonical and more massive NS is sensitive to high-density  $E_{\text{sym}}$  but not  $L$

- Detector sensitivities assuming optimally oriented, equal mass binary at  $D=100$  Mpc
- *Damour, Nagar, PRD81, 084016 (2010)*
- *Damour, Nagar, Villain, PRD85, 123007 (2012)*
- *Hinderer et al, PRD 81, 123016 (2010)*

# Signatures of S,L( $n > n_0$ ) in GW signals: Tidal deformability and mergers



F. Fattoyev, J. Carvajal, W.G. Newton and B.A. Li, PRC87, 15806 (2013)

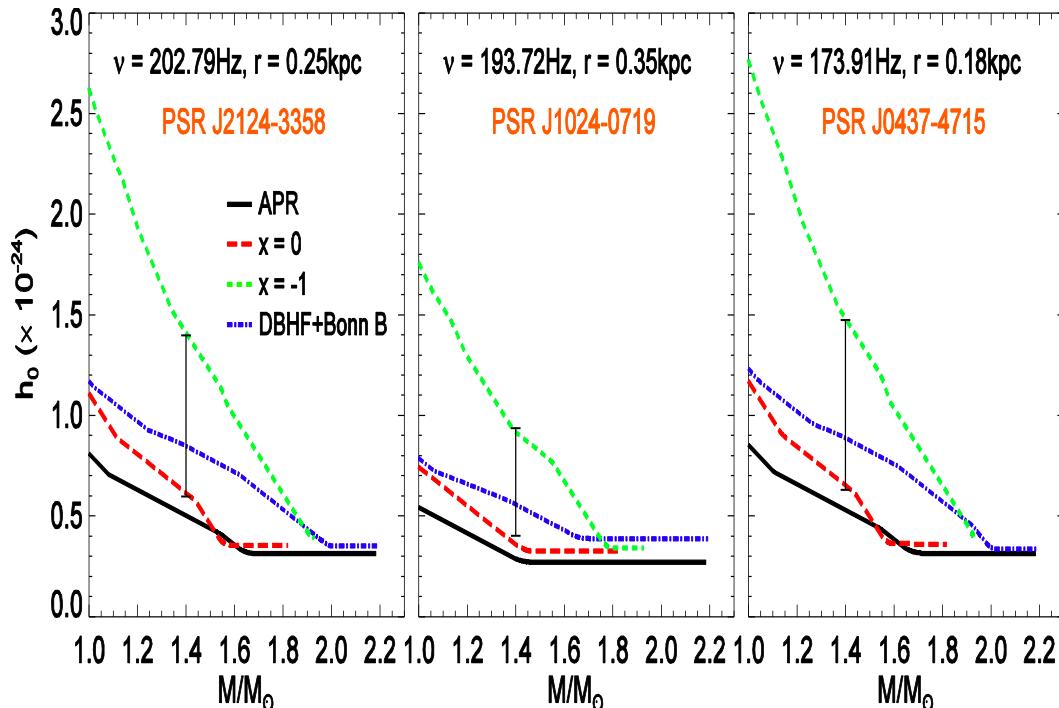
$\lambda$  of light NS is sensitive to L

$\lambda$  of canonical and more massive NS is sensitive to high-density  $E_{\text{sym}}$  but not L

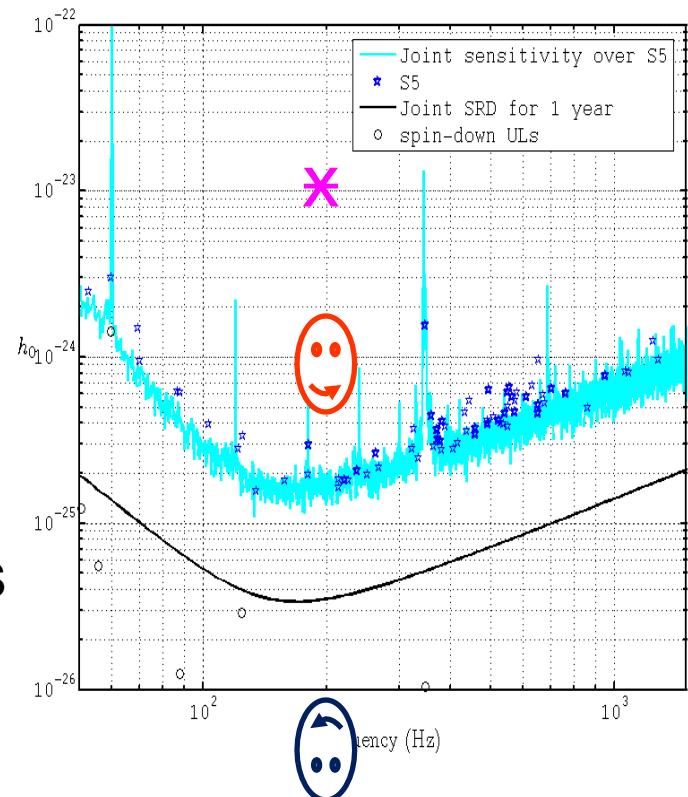
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- *Damour, Nagar, Villain, PRD85, 123007 (2012)*
- *Hinderer et al, PRD 81, 123016 (2010)*

# Nuclear constraints on the strength of gravitational waves

Plamen Krastev, Bao-An Li and Aaron Worley, Phys. Lett. B668, 1 (2008).



Compare with the latest upper limits  
from LIGO+GEO observations



$\sigma$  is the breaking strain of the neutron star crust

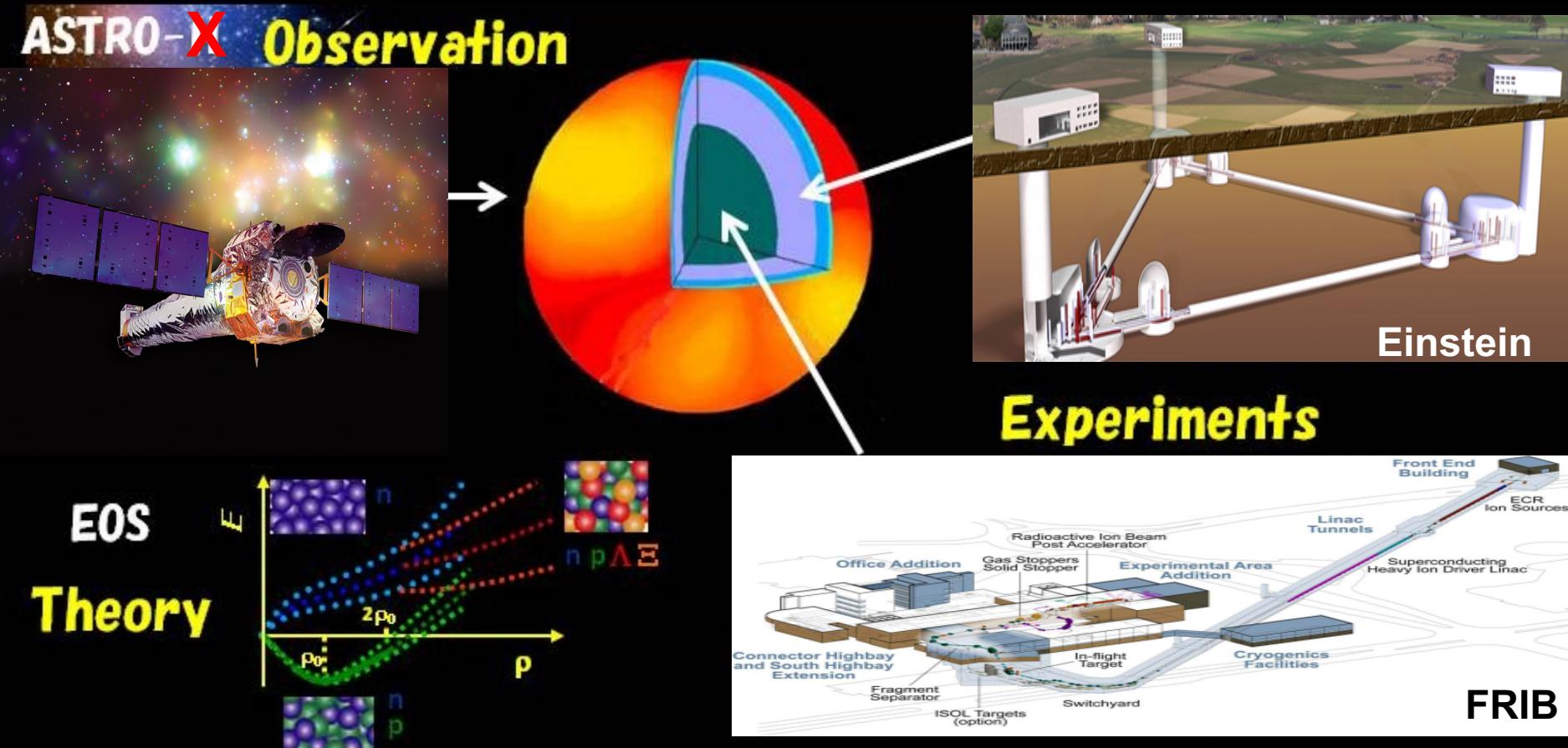
$$\sigma = [10^{-5} - 10^{-2}] \quad \text{or } 0.1$$

Depends on the symmetry energy and structure of NS

$$\Phi_{22,\max} = 2.4 \times 10^{38} g \text{ cm}^2 \left( \frac{\sigma}{10^{-2}} \right) \left( \frac{R}{10 \text{ km}} \right)^{6.26} \left( \frac{1.4 M_\odot}{M} \right)^{1.2}$$

# Summary

- (1) Truly multi-messenger approach to probe the EOS of dense neutron-rich matter  
= astrophysical observations + terrestrial experiments + theories + ...
- (2) The upper limit  $\Lambda(1.4M_{\odot}) < 800$  from GW170817 is consistent but less restrictive than the existing constraints on the EOS from the  $M_{\max}$  and  $R_{1.4}$



# **EOS of dense neutron-rich matter: a major scientific thrust of**

- (0) 2015 US and 2017 Europe Long Range Plan for Nuclear Physics
- (1) High-energy rare isotope beam facilities around the world
- (2) Neutron Star Interior Composition Explorer (NICER of NASA, lunched on June 3<sup>rd</sup>, to take science data on July 13<sup>th</sup>, 2017) and various x-ray satellite (Chandra, LOFT, XMM-Newton, etc)
- (3) Various gravitational wave detectors

**Among the promising observables of high-density symmetry energy:**

- $\pi^-/\pi^+$ , neutron-proton differential flow in heavy-ion collisions
- Radii of neutron stars
- Neutrino flux of supernova explosions
- Strain amplitude and frequency of gravitational waves from spiraling neutron star binaries and/or oscillations/rotations of deformed pulsars



**Euro Phys. Jour. A, Vol. 50, No. 2 (2014)**

# Can the symmetry energy become negative at high densities?

Yes, it happens when the tensor force due to  $\rho$  exchange in the T=0 channel dominates

At high densities, the energy of pure neutron matter can be lower than symmetric matter leading to negative symmetry energy

Pandharipande V R and Garde V K 1972 *Phys. Lett. B* **39** 608

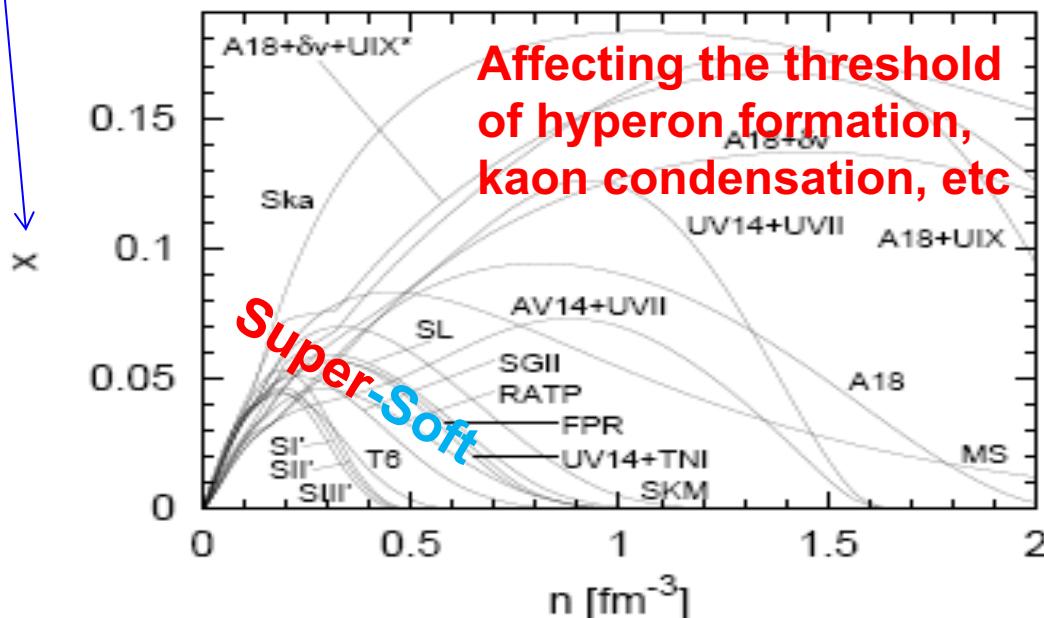
Wiringa R B, Fiks V and Fabrocini A 1988 *Phys. Rev. C* **38** 1010

Kutschera M 1994 *Phys. Lett. B* **340** 1

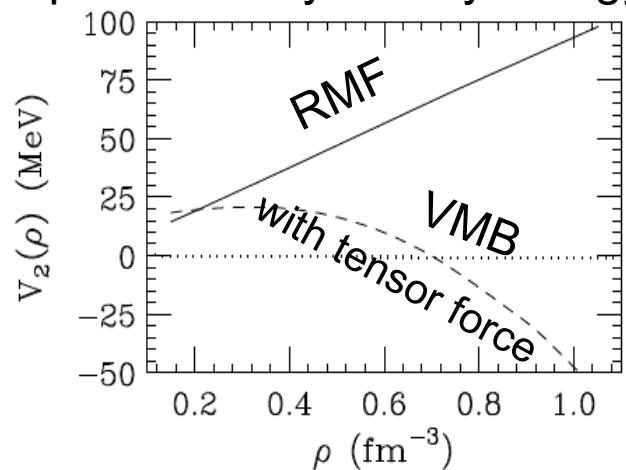
Example: proton fractions with interactions/models leading to negative symmetry energy

M. Kutschera et al., Acta Physica Polonica B37 (2006)

$$x = 0.048 [E_{sym}(\rho) / E_{sym}(\rho_0)]^3 (\rho / \rho_0) (1 - 2x)^3$$



Potential part of the symmetry energy

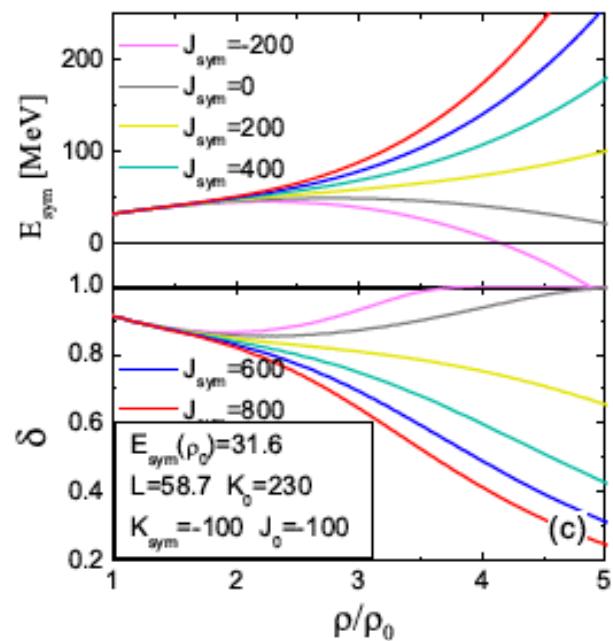
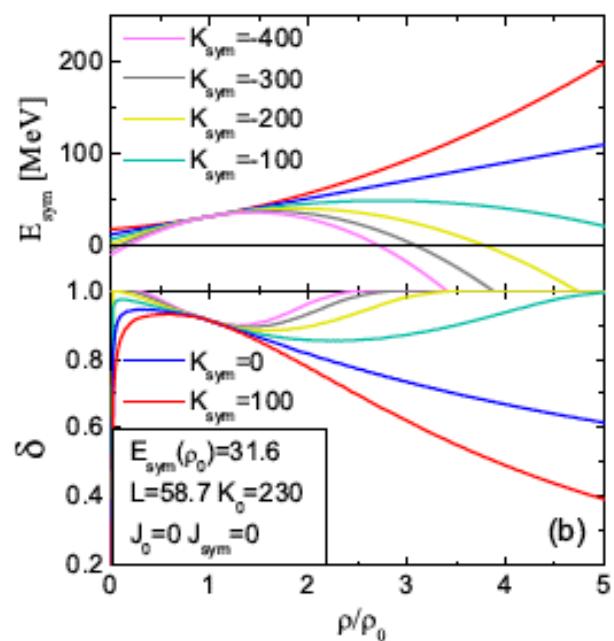
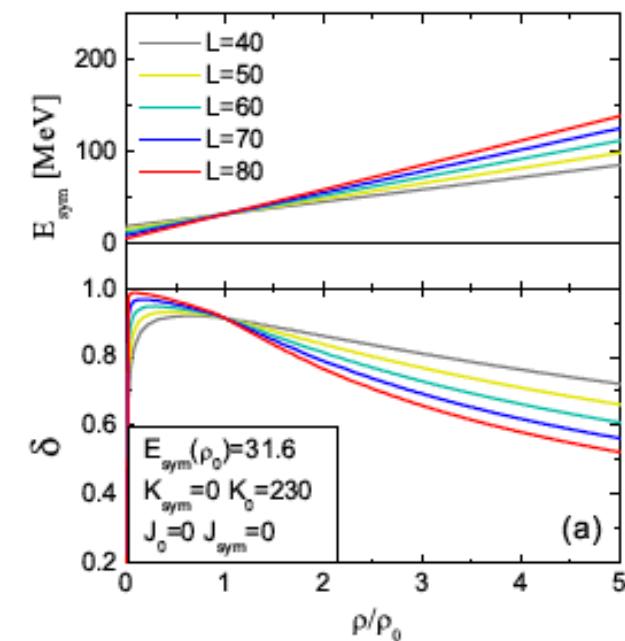


Tensor force and/or 3-body force can make  $E_{sym}$  negative at high densities

3-body force effects in Gogny or Skyrme HF

$$V_d = t_0(1 + x_0 P_\sigma) \rho^\alpha \delta(r),$$

$$E_{sym}^{TBF} = -(1 + 2x_0) \frac{t_0}{8} \rho^{\alpha+1}$$

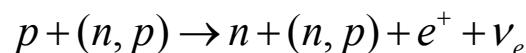
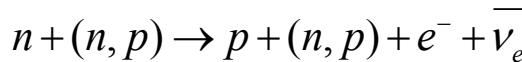


The proton fraction  $x$  at  $\beta$ -equilibrium in proto-neutron stars is determined by

$$x = 0.048 [E_{sym}(\rho) / E_{sym}(\rho_0)]^3 (\rho / \rho_0) (1 - 2x)^3$$

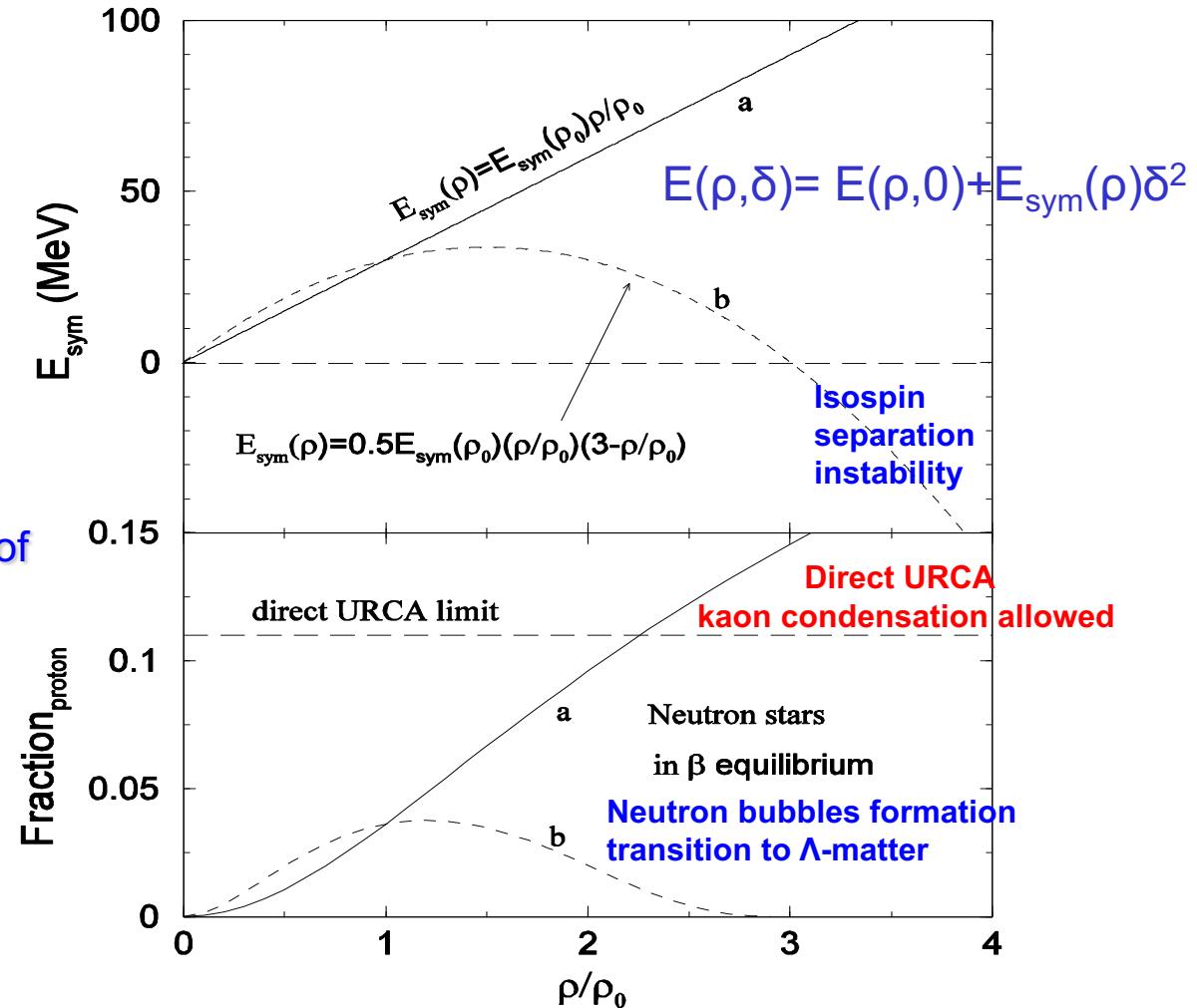
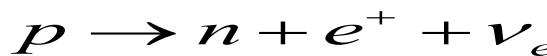
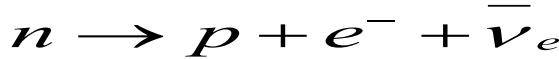
The critical proton fraction for direct URCA process to happen is  $X_p = 0.14$  for npe $\mu$  matter obtained from energy-momentum conservation on the proton Fermi surface

**Slow cooling: modified URCA:**



**Consequence: long surface thermal emission up to a few million years**

**Faster cooling by 4 to 5 orders of magnitude: direct URCA**



# News Release on Jan. 12, 2006: Astronomers discover the fastest-spinning neutron-star

**Scienceexpress**

Report

## A Radio Pulsar Spinning at 716 Hz

**Science 311, 1901 (2006).**

Jason W. T. Hessels,<sup>1,\*</sup> Scott M. Ransom,<sup>2</sup> Ingrid H. Stairs,<sup>3</sup> Paulo C. C. Freire,<sup>4</sup> Victoria M. Kaspi,<sup>1</sup> Fernando Camilo<sup>5</sup>

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V6T 1Z1, Canada. <sup>4</sup>NAIC, Arecibo Observatory, HC03 Box 53995, PR 00612, USA. <sup>5</sup>Columbia Astrophysics Laboratory, Columbia University, 550 West 120th Street, New York, NY 10027, USA.

We have discovered a 716-Hz eclipsing binary radio pulsar in the globular cluster Terzan 5 using the Green Bank Telescope. It is the fastest-spinning neutron star ever found, breaking the 23-year-old record held by the 642-Hz pulsar B1937+21. The difficulty in detecting this pulsar, due to its very low flux density and high eclipse fraction (~40% of the orbit), suggests that even faster-spinning neutron stars exist. If the pulsar has a mass less than  $2 M_{\odot}$ , then its radius is constrained by the spin rate to be  $< 16$  km. The short period of this pulsar also constrains models that suggest gravitational radiation, through an r-mode instability, limits the maximum spin frequency of neutron stars.

spinning pulsars could have larger radii. Recently, Li and Steiner (18) have derived a radius range of 11.5–13.6 km for a  $1.4 M_{\odot}$  neutron star, based on terrestrial laboratory measurements of nuclear matter. For a  $1.4 M_{\odot}$  neutron star, we find an upper limit of 14.4 km, which is in agreement with their result. These radius constraints are more robust than those obtained through observations of neutron star thermal emission, which is faint, difficult to measure, and whose characterization depends on uncertain atmosphere models (19). Although in principle a radius measurement could constrain the unknown equation of state of dense matter, PSR J1748–2446ad does not rule out any particular existing models, since the pulsar mass is unknown. It is unlikely that a



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**CUSTIPEN-Xiamen Workshop on the EOS of Dense Neutron-Rich Matter  
in the Era of Gravitational Wave Astronomy, Jan. 3-7, 2019, Xiamen, China**

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