Constraining the nuclear EoS by combining nuclear data and GW observations Michael McNeil Forbes Washington State University (Pullman) and University of Washington

A Minimal Nuclear Energy Density Functional

• Bulgac, Forbes, Jin, Perez, Schunk arXiv:1708.08771 (accepted PRC 2018)

- 6-7 parameters
 - Better accuracy than other NEDF (without beyond mean-field corrections)
 - fewer parameters (others have 13-30)
 - Not fully optimized
 - Separation of dominant from subdominant parameters
 - Masses, Radii, Separation energies, Neutron matter
 - Suitable for dynamics (reasonably fission properties without tuning!)

Inspired by liquid drop

- Inspired by liquid drop formula
- •4-5 parameters:
- Global mass fits (2375 nuclei) 2.6-2.8MeV compared to to 4-6 parameter Liquid Drop Formula (2.6-3.3MeV)
- Also get 883 charge radii 0.041fm

	SeaLL1	hydro	Comments
n_0	0.154	0.154	Adjusted (see Fig. 5)
a_0	0	same	Insignificant
b_0	-684.5(10)	-685.6(2)	
<i>c</i> ₀	827.26	828.76	$2c_0 n_0^{\frac{2}{3}} = -\frac{3\hbar^2}{10m} \left(\frac{3\pi^2}{2}\right)^{\frac{2}{3}} - \frac{3}{2}b_0 n_0^{\frac{1}{3}}$
a_1	64.3	50.9	$a_1 = n_0^{1/3} b_1$
b_1	119.9(61)	94.9(14)	
<i>c</i> ₁	-256(25)	-160.0	Fixed in orbital-free theory
a_2	-96.8	-83.5	$a_2 = a_n - a_0 - a_1$
b_2	449.2	475.2	$b_2 = b_n - b_0 - b_1$
c_2	-461.7	559.6	$c_2 = c_n - c_0 - c_1$
a_n	-32.6	same	from neutron matter $EoS(16)$
b_n	-115.4	same	from neutron matter $EoS(16)$
c_n	109.1	same	from neutron matter $EoS(16)$
η_s	3.93(15)	3.370(50)	
W_0	73.5(52)	0.0	Fixed in orbital-free theory
g_0	-200	N/A	<i>g</i> ⁰ fit in Ref. [145]
К	N/A	0.2	Semi-classical (see section III H)
$\frac{\hbar^2}{2m}$	20.7355	same	units (MeV = $fm = 1$)
e^2	1.43996	same	cgs units $(4\pi\epsilon_0 = 1)$
XE	1.74	3.04	606 even-even nuclei
		2.86	2375 nuclei
Χr	0.034	0.038	345 charge radii
		0.041	883 charge radii

Table II. Best fit parameters for the SeaLL1 functional (in bold) and the orbital-free approximation (next column in italic when different). The errors quoted for the fit parameters should be interpreted as estimating by how much this parameter can be independently changed while refitting the other and incurring a cost of at most $\delta \chi_E < 0.1$ MeV.

$$\begin{aligned} \mathcal{E}[\mathbf{n}_{n},\mathbf{n}_{p}] &= \underbrace{\frac{\hbar^{2}}{2m}(\tau_{n}+\tau_{p})}_{\text{homogeneous}} \\ &+ \underbrace{\sum_{j=0}^{2} \left(a_{j}n^{5/3}+b_{j}n^{2}+c_{j}n^{7/3}\right)\beta^{2j}}_{\text{gradient}} \\ &+ \underbrace{\eta_{s}\sum_{q=n,p}\frac{\hbar^{2}}{2m}\|\vec{\nabla}n_{q}\|^{2}}_{\text{pairing}} + \underbrace{\sum_{q=n,p}g_{\text{eff}}(\vec{\mathbf{r}})|\mathbf{v}_{q}(\vec{\mathbf{r}})|^{2}}_{\text{pairing}} \\ &+ \underbrace{\frac{e^{2}}{2}\int d^{3}\vec{\mathbf{r}}'\frac{n_{p}(\vec{\mathbf{r}})n_{p}(\vec{\mathbf{r}}')}{\|\vec{\mathbf{r}}-\vec{\mathbf{r}}'\|} - \frac{3e^{2}}{4}\left(\frac{n_{p}(\vec{\mathbf{r}})}{3\pi}\right)^{4/3}}_{\text{Coulomb}} \end{aligned}$$

Sealli

NEDF

$$\mathcal{E}[n_{n}, n_{p}] = \underbrace{\frac{\hbar^{2}}{2m}(\tau_{n} + \tau_{p}) +}_{\text{homogeneous}}$$

$$+ \sum_{j=0}^{2} \left(a_{j}n^{5/3} + b_{j}n^{2} + c_{j}n^{7/3}\right)\beta^{2j} +$$

$$+ \eta_{s}\sum_{q=n,p}\frac{\hbar^{2}}{2m}\|\vec{\nabla}n_{q}\|^{2} + \underbrace{W_{0}\vec{J}\cdot\vec{\nabla}n}_{spin-orbit} +$$

$$+ \sum_{\substack{q=n,p\\pairing}}g_{eff}(\vec{r})|\nu_{q}(\vec{r})|^{2}$$

$$+ \underbrace{\frac{e^{2}}{2}\int d^{3}\vec{r}'\frac{n_{p}(\vec{r})n_{p}(\vec{r}')}{\|\vec{r} - \vec{r}'\|} - \frac{3e^{2}}{4}\left(\frac{n_{p}(\vec{r})}{3\pi}\right)^{4/3}}_{Coulomb}$$

• No effective mass good level densities

Sealli

NEDF

 Orbital-free version from semi-classical expansion (2-fluid hydrodynamics)

$$\mathcal{E}[n_{n}, n_{p}] = \frac{\hbar^{2}}{2m}(\tau_{n} + \tau_{p}) + \frac{\hbar^{2}}{\hbar^{2}}(\sigma_{n} + \sigma_{p}) + \frac{\hbar^{2}}{\hbar^{2$$

Table III. Saturation, symmetry, and neutron skin properties for SeaLL1. All values in MeV unless otherwise specified.

Sealli NEDF

$$\begin{split} \beta &= (n_n - n_p) / (n_n + n_p) \\ \text{Inspired by UFG} \\ \text{PCA reduces to 3} \\ \text{parameters } (n_0, \, \varepsilon_0, \, S_2) \\ \text{K}_0 \pm 25 \text{MeV and } L_2 \text{ not} \\ \text{tightly constrained} \end{split}$$

(one more parameter)

 β^4 terms fixed by neutron matter

$$\begin{aligned} & \underset{kinetic}{\underset{kinetic}{\sum} \mathcal{E}[n_n, n_p] = \frac{\hbar^2}{2m} (\tau_n + \tau_p) + \\ & \underset{homogeneous}{\overset{homogeneous}{\sum}} \\ &+ \sum_{j=0}^{2} \left(a_j n^{5/3} + b_j n^2 + c_j n^{7/3} \right) \beta^{2j} + \\ & \overbrace{q=n,p}^{gradient} + \frac{\hbar^2}{2m} \|\vec{\nabla}n_q\|^2 + \underbrace{W_0 \vec{J} \cdot \vec{\nabla}n}_{spin-orbit} + \\ &+ \sum_{q=n,p} \underbrace{g_{eff}(\vec{r}) |\nu_q(\vec{r})|^2}_{pairing} \\ &+ \underbrace{\frac{e^2}{2} \int d^3 \vec{r}' \frac{n_p(\vec{r}) n_p(\vec{r}')}{\|\vec{r} - \vec{r}'\|} - \frac{3e^2}{4} \left(\frac{n_p(\vec{r})}{3\pi} \right)^4 \\ & \underset{Coulomb}{\overset{Kinetic}{\sum}} \end{aligned}$$

Sealli NEDF

Adjusts surface tension, diffuseness, pairing etc. Required and constrained by mass/radii fits

/3



Residuals

606 even-even nuclei: $\chi_E=1.74$ MeV (1.46MeV w/o bias) Fit to 196 spherical nuclei

345 charge-radii $\chi_r=0.034$ fm

No beyond mean-field corrections Good for self-consistent dynamics CoM correction for spherical nuclei: 1.54MeV → 0.96MeV

2n (2p) separation energies $\chi_E=0.69$ MeV (0.59 MeV) Lower than any other NEDF!

Neutron Drip Line



Density Profiles



Solid from De Vries et al. At. Data. Nucl. Data Tables 36, 495 - 536 (1987)

Fission (for Free)



Similar ²⁴⁰Pu fission behaviour as SkM* but no tuning to match fission barriers

Other NEDFs have similar errors in barrier heights

Subdominant and Free Parameters to Tune

• Entrainment terms

GDR, Thomas-Kuhn-Reiche sum rule, Gamow-Teller resonance

- Gradient terms/density-dependent kinetic terms Surface tension and diffuseness, Static dipole susceptibility Proton and neutron effective masses
- Isospin-breaking pairing/spin-orbit coupling Spin-orbit splittings, Pairing gaps
- Decoupled from primary mass fit parameters

$$\begin{aligned} \mathcal{E}[n_n, n_p] &= \frac{\hbar^2}{2m} (\tau_n + \tau_p) + \\ & \underbrace{\mathsf{homogeneous}}_{\substack{\mathsf{homogeneous}}} \\ &+ \sum_{j=0}^2 \left(a_j n^{5/3} + b_j n^2 + c_j n^{7/3} \right) \beta^{2j} + \\ &= \underbrace{\mathsf{n}_s \sum_{q=n,p} \frac{\hbar^2}{2m} \|\vec{\nabla} n_q\|^2}_{\text{gradient}} + \underbrace{\mathsf{N}_s \sum_{q=n,p} \frac{\hbar^2}{2m} \|\vec{\nabla} n_q\|^2}_{\text{pairing}} + \underbrace{\mathsf{N}_s \sum_{q=n,p} g_{\text{eff}}(\vec{\mathbf{r}}) |\mathbf{v}_q(\vec{\mathbf{r}})|^2}_{\text{pairing}} \\ &+ \underbrace{\frac{e^2}{2} \int d^3 \vec{\mathbf{r}}' \frac{n_p(\vec{\mathbf{r}}) n_p(\vec{\mathbf{r}}')}{\|\vec{\mathbf{r}} - \vec{\mathbf{r}}'\|} - \frac{3e^2}{4} \left(\frac{n_p(\vec{\mathbf{r}})}{3\pi} \right)^{4/3}}_{\text{Coulomb}} \end{aligned}$$

Sealli NEDF

 $\beta = (n_n - n_p)/(n_n + n_p)$ Inspired by UFG PCA reduces to 3 parameters (n_0 , ϵ_0 , S_2)

 $\begin{array}{l} K_0 \pm 20 \text{MeV and } L_2 \text{ not} \\ \text{tightly constrained} \\ \text{(one more parameter)} \end{array}$

 β^4 terms fixed by neutron matter

$$\frac{\varepsilon(n_n, n_p)}{n} = \varepsilon_0(n) + \varepsilon_2(n) \left(\frac{n_n - n_p}{n}\right)^2 + \varepsilon_4(n) \left(\frac{n_n - n_p}{n}\right)^4 + \cdots$$

- Isospin expansion about symmetric nuclear matter
- $\epsilon_0(n) = \epsilon_0 + \frac{1}{2}K_0\delta^2 + O(\delta^3)$ $\epsilon_2(n) = S_2 + L_2 + \frac{1}{2}K_0\delta^2 + O(\delta^3)$ $\epsilon_4(n) = S_4 + L_4 + \frac{1}{2}K_0\delta^2 + O(\delta^3)$ $\delta = \frac{n - n_0}{3n_0}, \quad n = n_n + n_p$

$$\frac{\mathcal{E}(n,0) - \mathcal{E}(n/2,n/2)}{n} = \underbrace{\left(S_2 + S_4 + \cdots\right)}_{S} + \underbrace{\left(L_2 + L_4 + \cdots\right)}_{L} \delta + \cdots$$

- Isospin expansion about symmetric nuclear matter
- L=L₂ Only if $(n_n n_p)^4$ terms are insignificant

See also Lattimer NPA 928 (2014) 276

Nuclear EoS: L₂≠L



• $(n_n - n_p)^2$ Fit nuclei (masses, radii, skins etc.)

• $(n_n - n_p)^4$ Seems needed for neutron matter Setting these to match neutron matter does not affect quality of fits

Bulgac, Forbes, Jin, Perez, Schunk: 1708.08771 (PRC 2018)

Nuclear EoS: $L_2 \neq L$



• Older functionals fit QMC without 3N

- Hard to fit new QMC with $(n_n n_p)^2$
- $(n_n n_p)^4$ fits neutron matter without affecting nuclear fits

QMC from Wlazłowski, Holt, Moroz, Bulgac, Roche, PRL 113 (2014) 182503 [1403.3753]









Skyrme and Relativistic Mean Field Theory

Still misses neutron matter

Possible that neutron matter is essentially independent of nuclei?

P.-G. Reinhard at ECT*, Trento, Italy, 26-30 January, 2015, https://sites.google.com/site/ectworkshopns2015/talks

Wednesday, March 14, 18





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Connect Nuclear EoS with Neutron Stars

• Expand from neutron matter

Constrain with symmetric matter

• Unified EoS

Compressible Liquid Drop Model (CLDM) matching Similar to Fortin et al. PRC 94 (2016) 035804

• Parametrized Core

Characterize the speed of sound

Connect Nuclear EoS with Neutron Stars

•Outer Crust

Tabulated Data

• Compressible Liquid Drop Model (CLDM)

Match outer crust to homogeneous matter

• Homogeneous Matter

EoS extrapolated from neutron matter

•Core

Parameterize speed of sound

with Sanjay Reddy (UW), Dake Zhou (UW), Sukanta Bose (WSU)

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

• Outer Crust

i.e. Negele-Vautherin Augment if needed to ensure convexity

• No parameters

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Core

- Unified EoS Solve for Wigner-Seitz cell given homogeneous EoS
- Two parameters
 - C_C Coulomb suppression
 - sigma_delta Isospin dependence Other surface parameter fixed to smoothly match to tabulated outer crust

Lattimer et al. NPA 432 (1985) 646 Steiner PRC 85 (012) 055804 Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

- Pure neutron matter: 4 a, α, b, β
- Proton polaron: 3 $\mu_p(\tilde{n}_0), u_p, m^*$
- Symmetric nuclear matter: 6 n₀, ε₀, K₀ S₂, L₂, K₂

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Start from pure Neutron matter

$$\mathsf{E}_{\mathsf{n}}(\mathsf{n}_{\mathsf{n}}) = \frac{\mathcal{E}_{\mathsf{n}}(\mathsf{n}_{\mathsf{n}})}{\mathsf{n}_{\mathsf{n}}} = \mathsf{m}_{\mathsf{n}}\mathsf{c}^{2} + \mathfrak{a}\left(\frac{\mathsf{n}_{\mathsf{n}}}{\bar{\mathsf{n}}_{\mathsf{0}}}\right)^{\alpha} + \mathfrak{b}\left(\frac{\mathsf{n}_{\mathsf{n}}}{\bar{\mathsf{n}}_{\mathsf{0}}}\right)^{\beta}$$

Generally fits QMC data and trends



Gandolfi et al. PRC 85 (2012) 032801(R)

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Expand in powers of proton fraction

$$x_p = \frac{n_p}{n_n + n_p}$$

$$E_{np}(n_n, n_p) = (1 - x_p)E_n(n_n) + x_p(m_pc^2 + \Sigma^p(n_B)) + \frac{(2\pi^2)^{2/3}}{2m^*}x_p^{5/3}n_B^{2/3} + x_p^2f_2(n_B) + x_p^3f_3(n_B) + \cdots$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Bulk neutron matter

$$E_{np}(n_n, n_p) = \frac{(1 - x_p)E_n(n_n)}{+ x_p \left(m_p c^2 + \Sigma^p(n_B)\right)} + \frac{(2\pi^2)^{2/3}}{2m^*} x_p^{5/3} n_B^{2/3} + x_p^2 f_2(n_B) + x_p^3 f_3(n_B) + \cdots$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Proton polaron Dilute proton gas in neutron background

QMC for chemical potential Roggero et al. PRL 112 (2014) 221103

$$E_{np}(n_n, n_p) = (1 - x_p)E_n(n_n) + \frac{x_p(m_pc^2 + \Sigma^p(n_B))}{+} + \frac{(2\pi^2)^{2/3}}{2m^*}x_p^{5/3}n_B^{2/3} + x_p^2f_2(n_B) + x_p^3f_3(n_B) + \cdots$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter



Polaron Parameters

• $\mu_p(\mathbf{\tilde{n}}_0)$ Chemical potential at saturation

• u_p Location of minimum

I Parameter

Proton gas Fermi energy Proton effective mass

$$E_{np}(n_n, n_p) = (1 - x_p)E_n(n_n) + x_p(m_pc^2 + \Sigma^p(n_B)) + \frac{(2\pi^2)^{2/3}}{2m^*}x_p^{5/3}n_B^{2/3} + \frac{x_p^2f_2(n_B) + x_p^3f_3(n_B) + \cdots$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Expand and match symmetric nuclear matter

$$\frac{\mathcal{E}(n_n, n_p)}{n} = \varepsilon_0(n) + \varepsilon_2(n) \left(\frac{n_n - n_p}{n}\right)^2$$

Fix up to $x_p^5 f_5(n_B)$

$$E_{np}(n_n, n_p) = (1 - x_p)E_n(n_n) + x_p(m_pc^2 + \Sigma^p(n_B)) + \frac{(2\pi^2)^{2/3}}{2m^*}x_p^{5/3}n_B^{2/3} + \frac{(2\pi^2)^{2/3}}{2m^*}x_p^{5/3}n_B^{2/3}$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Expand and match symmetric nuclear matter

$$\frac{\mathcal{E}(n_n, n_p)}{n} = \varepsilon_0(n) + \varepsilon_2(n) \left(\frac{n_n - n_p}{n}\right)^2$$

Fix up to $x_p^5 f_5(n_B)$

$$\begin{split} \varepsilon_{0}(n) &= \varepsilon_{0} + \frac{1}{2}K_{0}\delta^{2} + O(\delta^{3}) \\ \varepsilon_{2}(n) &= S_{2} + L_{2} + \frac{1}{2}K_{2}\delta^{2} + O(\delta^{3}) \\ \delta &= \frac{n - n_{0}}{3n_{0}}, \quad n = n_{n} + n_{p} \end{split}$$

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Parametrize speed of sound $C = c_s^2/c^2$



Tews, Carlson, Gandolfi, Reddy [arXiv:1801.01923]

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Parametrize speed of sound $C(\mathcal{E}) = c_s^2/c^2$ Quadratic polynomial as function of energy-density

(Easy to invert to get EoS)

• \mathcal{E}_{c}

Transition from homogeneous matter

• C_{max}

Maximum of polynomial

• \mathcal{E}_{max}

Location of maximum

Outer Crust None

Compressible Liquid Drop Model (CLDM) Match outer crust to homogeneous matter

Homogeneous Matter EoS extrapolated from neutron matter

Live Demos on Binder (or CoCalc, etc.)

- Reproducible science
- LIGO analysis
- https://github.com/losc-tutorial/LOSC_Event_tutorial
- Parameter Exploration
- •https://github.com/mforbes/binder_tov_explorer

Explore Parameters

Initialization Cell

2 Mass/Radius Relationships

In [21]:

%pylab inline --no-import-all import tools data = tools.Data(KEY) data.explore_parameters();

Populating the interactive namespace from numpy and matplotlib



https://github.com/mforbes/binder_tov_explorer

Principal Components

1 principal component(s) better than 50%. (Next component constrained at 117%)



https://github.com/mforbes/binder_tov_explorer

Principal Components







https://github.com/mforbes/binder_tov_explorer

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Missing? Lots!

- Phase transitions
- Clustering
- Pasta
- Finite T
- •Out of equilibrium
- •Core?!?

Conclusions

• New Minimal Nuclear Functional SeaLL1

- Good static and dynamic properties
- Suggests $L_2 \neq L$
- Parametrize Neutron Star EoS from Neutron Matter
- Explore parameter sensitivity
- Share results and code