

Update on the relativistic three-particle finite-volume formalism

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A good time for three particles in a box!

- At this workshop, three competing groups are presenting finite-volume three-particle formalism
- Solution Not just that!...

All three groups are presenting examples of numerical implementation

🥥 In a nutshell...

Raul, Steve and I

Relativistic, EFT-independent, follows the approach of Lüscher (ala Kim, Sachrajda and Sharpe) to the extent possible

Akaki, (H.W. Hammer, J.-Y. Pang)

Non-relativistic, EFT based, focuses on extracting LECs, simpler derivation and formulae

Maxim and Michael

Relativistic, built on unitary constraints + replacing integrals with sums over shells

- Aims for today:
 - Better understand, compare and contrast the methods
 - Understand when (if) each method is useful or even best
 - Discuss if ideas can be combined to reach an optimal approach

If I have seen further...

These ideas build on a great deal of earlier work Agadjanov, Beane, Bernard, Briceño, Christ, Davoudi, Detmold, Döring, Fu, Guo, Huang, Kim, Lellouch, Leskovec, Lüscher, Luu, Mai, Meißner, Meyer, Oset, Polejaeva, Prelovsek, Rios, Rusetsky, Savage, Sachrajda, Sharpe, Tan, Walker-Loud, Yamazaki, Yang

To go forward we will undoubtedly need input from non-finite-volume experts here and elsewhere

Most importantly, this would all be quite useless without the remarkable numerical progress in this field

Let's jump in!



Basic set-up



cubic, spatial volume (extent L)

periodic boundary conditions $\vec{p} \in (2\pi/L)\mathbb{Z}^3$

time direction **infinite**

L large enough to ignore e^{-mL}

Generic relativistic QFT

1. Include all interactions



2. no power-counting scheme

Not possible to directly calculate scattering observables to all orders

But it is possible to derive general, all-orders relations to finite-volume quantities

Assume lattice effects are small and accommodated elsewhere Work in continuum field theory throughout

Finite-volume correlators



Convenient to work with momentum space, finite-volume correlators

$$C_L(P) \equiv \int_L d^4x \ e^{-iPx} \langle 0|T\mathcal{O}(x)\mathcal{O}^{\dagger}(0)|0\rangle$$

Total 4-momentum / $P = (E, \vec{P}) = (E, 2\pi \vec{n}/L)$ / c.m. frame energy: $E^{*2} = E^2 - \vec{P}^2$

n-particle interpolator e.g. $\pi(\mathbf{p})\pi(-\mathbf{p})$ or $\bar{q}\Gamma q$

(only quantum numbers relevant)

Focus on a widow of energies to isolate particular on-shell states



Of poles and branch cuts

$$C_L(P) \equiv \int_L d^4x \ e^{-iPx} \langle 0|T\mathcal{O}(x)\mathcal{O}^{\dagger}(0)|0\rangle$$

At fixed $L, \vec{P},$ poles in C_L give the finite-volume spectrum



Want to relate $C_L \longleftrightarrow C_{\infty}$ ($\mathcal{M}_{n \to m}$ is just a specific choice of C_{∞}) The idea is to "reach in" and correct the singularity structure

Two-to-two review (Here with identical, scalar, Z₂ symmetry)



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Our aim is to extend the derivation for arbitrary relativistic two- and three-particle systems



Potential applications...

Studying three-particle resonances

$$\omega(782) \to \pi\pi\pi$$

$$N(1440) \to N\pi, N\pi\pi$$



Calculating weak decay amplitudes and form factors $K \to \pi \pi \pi$

Determining three-body interactions

NNN three-body forces needed as EFT input for studying larger nuclei and nuclear matter



We begin by considering identical scalar particles



For now we turn off two-to-three scattering using a symmetry

Three-to-three amplitude has kinematic singularities

fully connected correlator with

six external legs amputated and projected on shell

= Certain external momenta put this on-shell!

Three-to-three amplitude has more degrees of freedom

 I2 momentum components
 -10 Poincaré generators

 $i\mathcal{M}_{3\rightarrow3}\equiv$

2 degrees of freedom



- 18 momentum
 - components
- -10 Poincaré generators

8 degrees of freedom

How can we extract a singular, eight-coordinate function using finite-volume energies?

Spectrum depends on a modified quantity with singularities removed

$$\mathcal{K}_{df,3} \not\supset \cdots$$

df stands for "divergence free" Same degrees of freedom as \mathcal{M}_3 \int Smooth, real function (easier to extract) Relation to \mathcal{M}_3 is known (depends only on on-shell \mathcal{M}_2)

Degrees of freedom encoded in an extended matrix space



New skeleton expansion

Recall for two particles we started with a "skeleton expansion"



So now we need the same for three... $C_L(E, \vec{P}) \stackrel{\checkmark}{=} \stackrel{\checkmark}{\longrightarrow} \stackrel{\backsim}{\longrightarrow} \stackrel{\backsim}{\longrightarrow} \stackrel{\backsim}{\longrightarrow} \stackrel{\checkmark}{\longrightarrow} \stackrel{\backsim}{\longrightarrow} \stackrel{\frown}{\longrightarrow} \stackrel$

No!... We must also accommodate diagrams like



New skeleton expansion





- All lines are fully dressed propagators
- Boxes represent sums over finitevolume momenta
- Sernels may contain fixed poles

Basic approach

1. Work out the three particle skeleton expansion



2. Break diagrams into finite- and infinite-volume parts

3. Organize and sum terms to identify infinite-volume observables

Result

$$C_L(E, \vec{P}) = C_{\infty}(E, \vec{P}) - A'F_3 \frac{1}{1 + \mathcal{K}_{df,3}F_3}A$$

Looks similar to the two-particle case

- Second Se
- \bigcirc F₃ depends on finite-volume and two-to-two scattering

Quantization condition

At fixed (L, \vec{P}) , finite-volume energies are solutions to $\det_{k,\ell,m} \left[\mathcal{K}_{\mathrm{df},3}^{-1} + F_3 \right] = 0$

 $F_3 \equiv$ matrix that depends on geometric functions and $\mathcal{M}_{2 \rightarrow 2}$. *MTH and Sharpe (2014)*

(1). Use two-particle q.c. to constrain \mathcal{M}_2 and determine $F_3(E, \vec{P}, L)$. $det[\mathcal{M}_2^{-1} + F_2] = 0 \longrightarrow \mathcal{M}_2 \longrightarrow F_3(E, \vec{P}, L)$

(2). Use decomposition + parametrization to express $\mathcal{K}_{df,3}(E^*)$ in terms of α_i . $\mathcal{K}_{df,3}(E^*, \Omega'_3, \Omega_3) \approx \mathcal{K}_{df,3}[\alpha_1, \cdots, \alpha_N]$ Recall, this is a real, smooth function

(3). Use three-particle q.c. with finite-volume energies to determine $\mathcal{K}_{df,3}(E^*)$. $det[\mathcal{K}_{df,3}^{-1} + F_3] = 0 \longrightarrow \mathcal{K}_{df,3}(E^*) \checkmark$

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Relating $\mathcal{K}_{df,3}$ to \mathcal{M}_3 First we modify $C_L(E, \vec{P})$ to define $i\mathcal{M}_{L,3}$



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Relating $\mathcal{K}_{df,3}$ to \mathcal{M}_3

First we modify $C_L(E, \vec{P})$ to define $i\mathcal{M}_{L,3}$

- 1. Amputate interpolating fields
- 2. Drop disconnected diagrams
- 3. Symmetrize

$$i\mathcal{M}_{L,3\to3} \equiv \mathcal{S}\left\{ \underbrace{1}_{\bullet} + \underbrace{1}_{\bullet} \underbrace{1}_{\bullet} + \underbrace{1}_{\bullet} \underbrace{1}_{\bullet} + \underbrace{1}_{\bullet} \underbrace{1}_{\bullet} + \underbrace{1}_{\bullet} \underbrace{1}_{\bullet} \underbrace{1}_{\bullet} + \underbrace{1}_{\bullet} \underbrace{1}$$

Relating $\mathcal{K}_{df,3}$ to \mathcal{M}_3

Combined with our earlier analysis this gives a matrix equation



$$\begin{split} \mathcal{M}_{L,3} &= \mathcal{S} \left[\mathcal{D}_L + \mathcal{L}_L \frac{1}{\mathcal{K}_{\mathrm{df},3}^{-1} + F_3} \mathcal{R}_L \right] \\ \mathcal{L}_L &= \mathcal{X}F_3, \quad \mathcal{R}_L = F_3 \mathcal{X}, \\ \mathcal{D}_L &= -\mathcal{X} \big[F_3 - F_3 \big|_{G \to 0} \big] \mathcal{X} \end{split}$$
with the "amputation matrix" $\mathcal{X} = \left(\frac{F}{2\omega L^3} \right)^{-1}$

With this analytic relation in hand we can... (a) Set $E \to E + i\epsilon$, (b) Send $L \to \infty$, (c) Send $\epsilon \to 0^+$.

Leads to an integral equation for the scattering amplitude $\mathcal{M}_3(E^*) = \mathcal{I}[\mathcal{K}_{df,3}(E^*), \mathcal{M}_2]$

Fixed total energy, manifestly convergent, on-shell only, no reference to EFT, takes care of unitarity and singularities, useful independent of finite-volume physics?

MTH and Sharpe (2015)

Current status

Model- & EFT-independent relation between

finite-volume energies and relativistic two-and-three particle scattering



(a),(b) *MTH and Sharpe (2015),(2016)*(c) *Briceño, MTH, Sharpe (2017)*

Smooth cutoff function

 $\mathcal{K}_{\mathrm{df},3}$ and F_3 depend on a smooth cutoff function

To see why, consider one of the contributions to C_L ...



Important limitation

Current formalism requires no poles in \mathcal{K}_2 ... Derivation assumes



Given that we are seeking an EFT-independent mapping... Is it intuitive that \mathcal{K}_2 poles need special treatment?



The most technical detail of all...

Far below threshold there is no ambiguity about which two-to-

two scattering quantity appears in C_L

$$C_L(E,\vec{P}) \supset \underbrace{\bigcirc}_{\vec{k}} \underbrace{\downarrow}_{\vec{k}} \underbrace{i}_{\vec{k}} \underbrace{$$

Reason:
$$\frac{1}{L^3} \sum_{\vec{k}} \frac{1}{(2\omega_k)^2 (E_{\text{sub}} - 2\omega_k)} = \int_{\vec{k}} \frac{1}{(2\omega_k)^2 (E_{\text{sub}} - 2\omega_k)} = \text{Analytic Continuation} \left[\int_{\vec{k}} \frac{1}{(2\omega_k)^2 (E - 2\omega_k + i\epsilon)} \right]$$

Upshot is that our subthreshold \mathcal{K}_2 is non-standard $\mathcal{K}_2^{-1} \propto p^* \cot \delta(p^*) + [1 - H(\vec{k})]\kappa(p^*)$

K matrix above threshold, smooth at threshold, interpolates to the amplitude below threshold



It is important because our formalism breaks down when there are poles in this definition of \mathcal{K}_2 .

MTH and Sharpe (2016,2017)

Testing the formalism

Weak interactions: Expand the threshold energy in powers of inverse box length

$$E = 3m + \frac{12\pi a}{mL^3} \left(1 + c_4 \frac{a}{L} + \cdots \right) - \frac{\mathcal{M}_{\text{thr}}}{48m^3L^6} + \cdots$$

We reproduce known results through $1/L^5$ and derive a relation at $1/L^6$

Huang and Yang (1957); Beane, Detmold, Savage, (2007); Tan(2007); Sharpe 2017

Note: Relativistic effects enter at $1/L^6$, same order as three-to-three

MTH and Sharpe (2016,2017)

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Strong interactions (unitary limit, P=0, s-wave only): The infinite-volume energy, $E_B \equiv 3m - \frac{\kappa^2}{m}$, is shifted by $\Delta E(L) = c|A|^2 \frac{\kappa^2}{m} \frac{1}{(\kappa L)^{3/2}} e^{-2\kappa L/\sqrt{3}} + \cdots \qquad \begin{array}{c} m \\ c = -96.351 \cdots \\ \text{geometric constant} \end{array}$ "normalization correction factor" Meißner, Rìos and Rusetsky, (2015) Our formalism gives a relation between scattering and energies. So we substitute... $\mathcal{M}_3 \sim -\frac{\Gamma \overline{\Gamma}}{E^2 - E_D^2}$ $\mathcal{M}_2 = -\frac{16\pi E_2^*}{in^*}$ and study the lowest level We reproduce the exponent, leading power and overall constant using our

relativistic formalism

Usability?

"Despite this success, the quantization condition in these papers is not yet given in a form suitable for the analysis of the real lattice data"

Hammer, Pang and Rusetsky (2017)



Numerics (keeping only s-wave and $\mathcal{K}_{df,3}(E^*, \Omega'_3, \Omega_3) \approx \mathcal{K}^{iso}_{df,3}(E^*)$)

 $1/\mathcal{K}_{\mathrm{df},3}^{\mathrm{iso}}(E^*) = -F_3^{\mathrm{iso}}[E,\vec{P},L,\mathcal{M}_2^s] \qquad \mathcal{M}_3(E^*,\Omega_3',\Omega_3) = \mathcal{S}\left[\mathcal{D} + \mathcal{L}\frac{1}{1/\mathcal{K}_{\mathrm{df},3}^{\mathrm{iso}} + F_{3,\infty}^{\mathrm{iso}}}\mathcal{R}\right]$

For the numerical approach we restrict attention to... $p^* \cot \delta_0(p^*) = -\frac{1}{a}$, $\vec{P} = 0$



Briceño, Hansen and Sharpe (to appear)

Provides a useful benchmark: Deviations measure three-particle physics

$$i\mathcal{M}_3 = \mathcal{S}\left[\underbrace{i\mathcal{M}_2}_{i\mathcal{M}_2} + \underbrace{i\mathcal{M}_2}_{i\mathcal{M}_2} + \cdots\right]$$

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Meaning for three-to-three scattering is clear





 $E_n^{\text{non-int}}(L) = \omega_1 + \omega_2 + \omega_3$ $\omega_i = \sqrt{m^2 + 4\pi^2 \mathbf{n}_i^2/L^2}$

Why are these states clustered? Accidental NR degeneracy!

$$E_n^{\rm NR}(L) = 3m + \frac{2\pi^2}{L^2}(\mathbf{n}_1^2 + \mathbf{n}_2^2 + \mathbf{n}_3^2)$$

Provides a useful benchmark: Deviations measure three-particle physics



$\mathcal{K}_{df,3}^{iso}(E) = 0$ solutions

4.5

3.0

4

 $\frac{u}{(1)^{u}}$

Provides a useful benchmark: Deviations measure three-particle physics

Meaning for three-to-three scattering is clear

 $(\mathbf{n}_1^2,\mathbf{n}_2^2,\mathbf{n}_3^2)=(0,0,0)$

6

5

For mL = 4, first excited

state is already relativistic

 $\frac{p^2}{m^2} = \left(\frac{2\pi}{mL}\right)^2 \approx 2.46$



(2,2,0)

(2,1,1)

7

Why are these states clustered? **Accidental NR degeneracy!**

$$E_n^{\rm NR}(L) = 3m + \frac{2\pi^2}{L^2}(\mathbf{n}_1^2 + \mathbf{n}_2^2 + \mathbf{n}_3^2)$$

In fact we have already seen these clusters



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Straightforward to vary a and to study large volumes



But, to avoid poles in \mathcal{K}_2 , we must require $\ a < 1/m$

Non-zero $\mathcal{K}_{df,3}^{iso}(E)$: Toy resonance

Here we consider a fun example for non-zero $\mathcal{K}_{df,3}^{iso}$ a = -10 $\mathcal{K}_{df,3}^{iso}(E) = -\frac{c \times 10^3}{E^2 - M_R^2}$

For small c we expect a narrow avoided level crossing, as c increases the gap grows



Further investigation is needed to see if this gives a physical resonance description

Non-zero $\mathcal{K}_{df,3}(E)$: Unitary bound state

The parameters $a=-10^4$, $\mathcal{K}^{\mathrm{iso}}_{\mathrm{df},3}(E)=2500\,$ lead to a shallow bound state

$$\kappa \approx 0.1m$$
 where $E_B = 3m - \kappa^2/m$

Finite-volume behavior of this state has a known asymptotic form Meißner, Rios, Rusetsky (2015)

$$E_B(L) = 3m - \frac{\kappa^2}{m} - (98.35\cdots)|A|^2 \frac{\kappa^2}{m} \frac{e^{-2\kappa L/\sqrt{3}}}{(\kappa L)^{3/2}} \left[1 + \mathcal{O}\left(\frac{1}{\kappa L}, \frac{\kappa^2}{m^2}, e^{-\alpha\kappa L}\right)\right]$$



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Converting to scattering amplitudes



This only works below threshold... Relation above threshold crucially needed (Gernot?)

Converting to scattering amplitudes



Back to the bound state



Back to the bound state



Back to the bound state



Questions for the "competitors"

Are the quantization conditions equivalent?

Solutions formalism limited by 5 particle threshold?

What are the prospects for two-to-three? multiple-channels?

ls it clear that all relativistic effects are captured by relativistic kinematics?

What are the general work flows for each method?

The Big Picture

Two-to-two scattering from LQCD is reaching maturity





Wilson et. al., Phys. Rev. D 91, 054008 (2015)

Stay tuned for three-particle observables from LQCD



Thanks for listening!