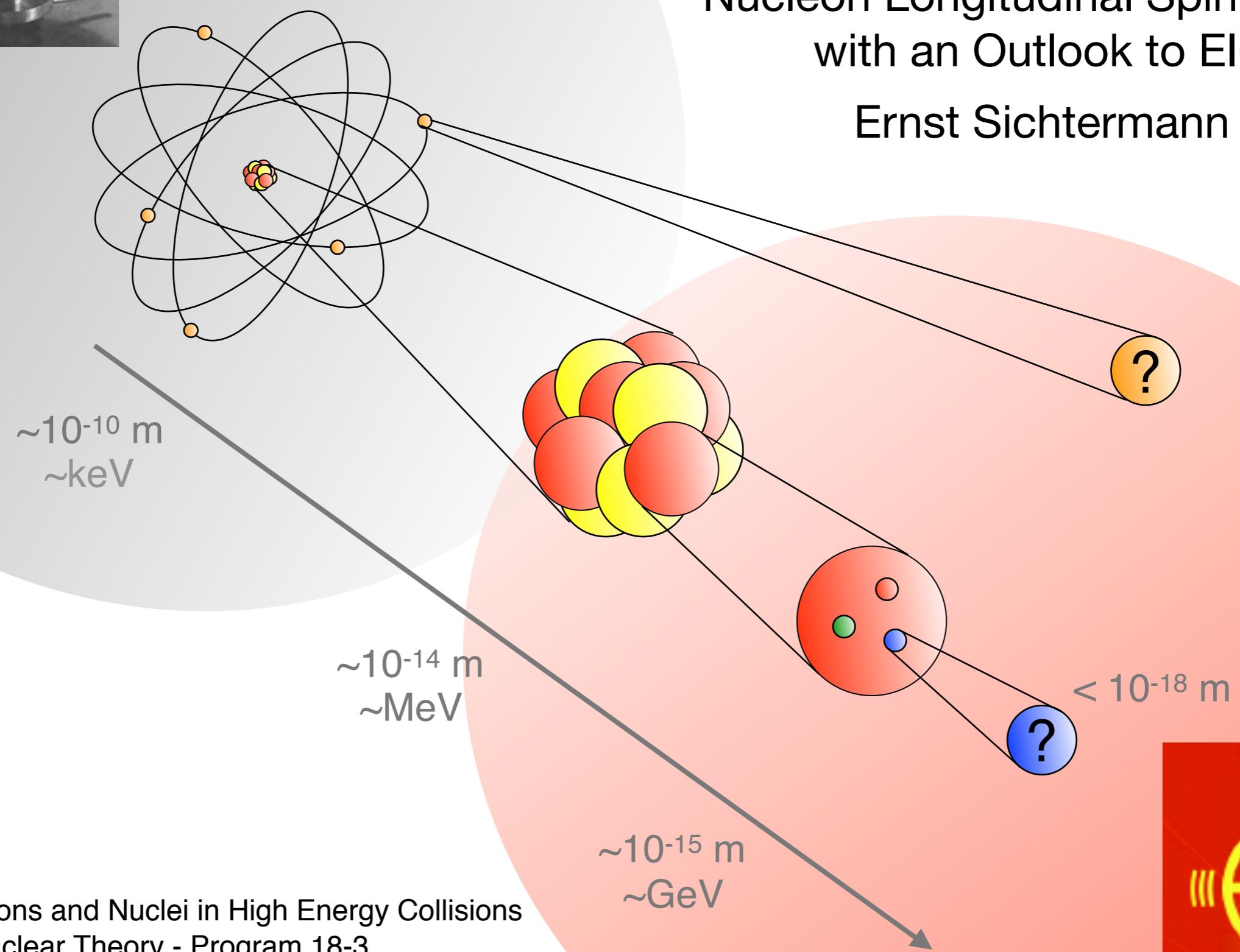


# Nucleon Longitudinal Spin Status with an Outlook to EIC

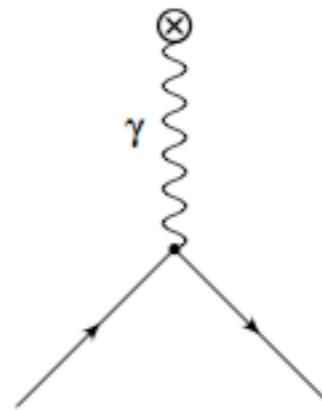
Ernst Sichtermann



# Proton Magnetic Moment - circa 1935

- The magnetic moment  $\vec{\mu}$  of a particle is related to its spin  $\vec{S}$  according to:

$$\vec{\mu} = g \frac{e}{2mc} \vec{S}$$



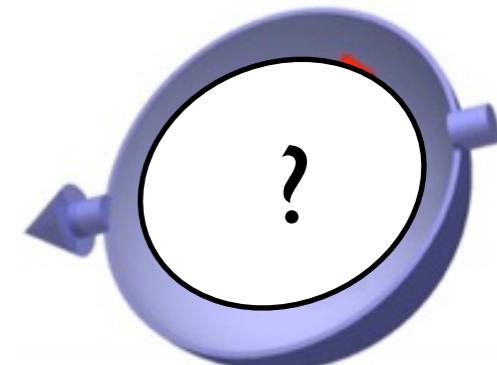
$$g = 2 + \mathcal{O}(\alpha_e)$$

for Dirac particles

- 1933 - Frisch and Stern:

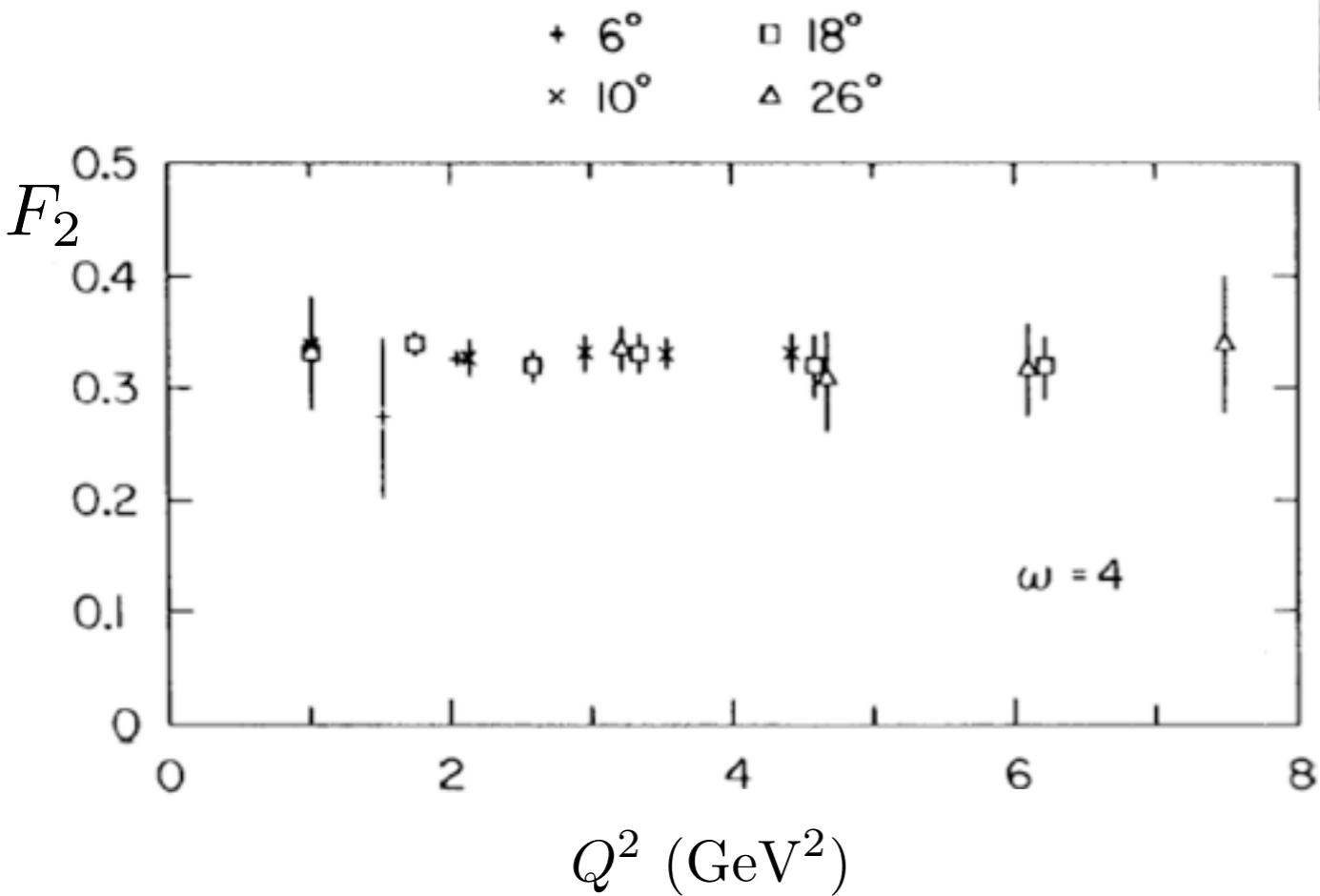
$$\vec{\mu}_p = 5.8 \frac{e}{2mc} \vec{S}_p \quad - \textit{Proton has (spin-)substructure}$$

*But, what is it?*



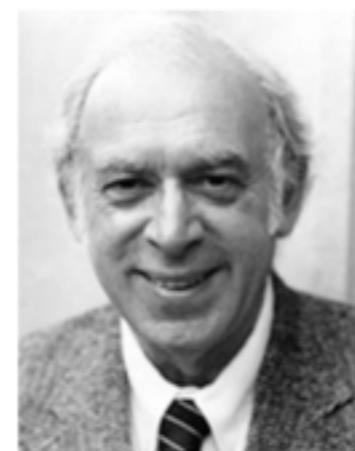
# Deep-Inelastic Electron Scattering

Bjorken scaling:



*Point particles cannot be further resolved; their measurement does not depend on wavelength, hence  $Q^2$ ,*

*Spin-1/2 quarks cannot absorb longitudinally polarized vector bosons and, conversely, spin-0 (scalar) quarks cannot absorb transversely polarized photons.*



J.T. Friedman

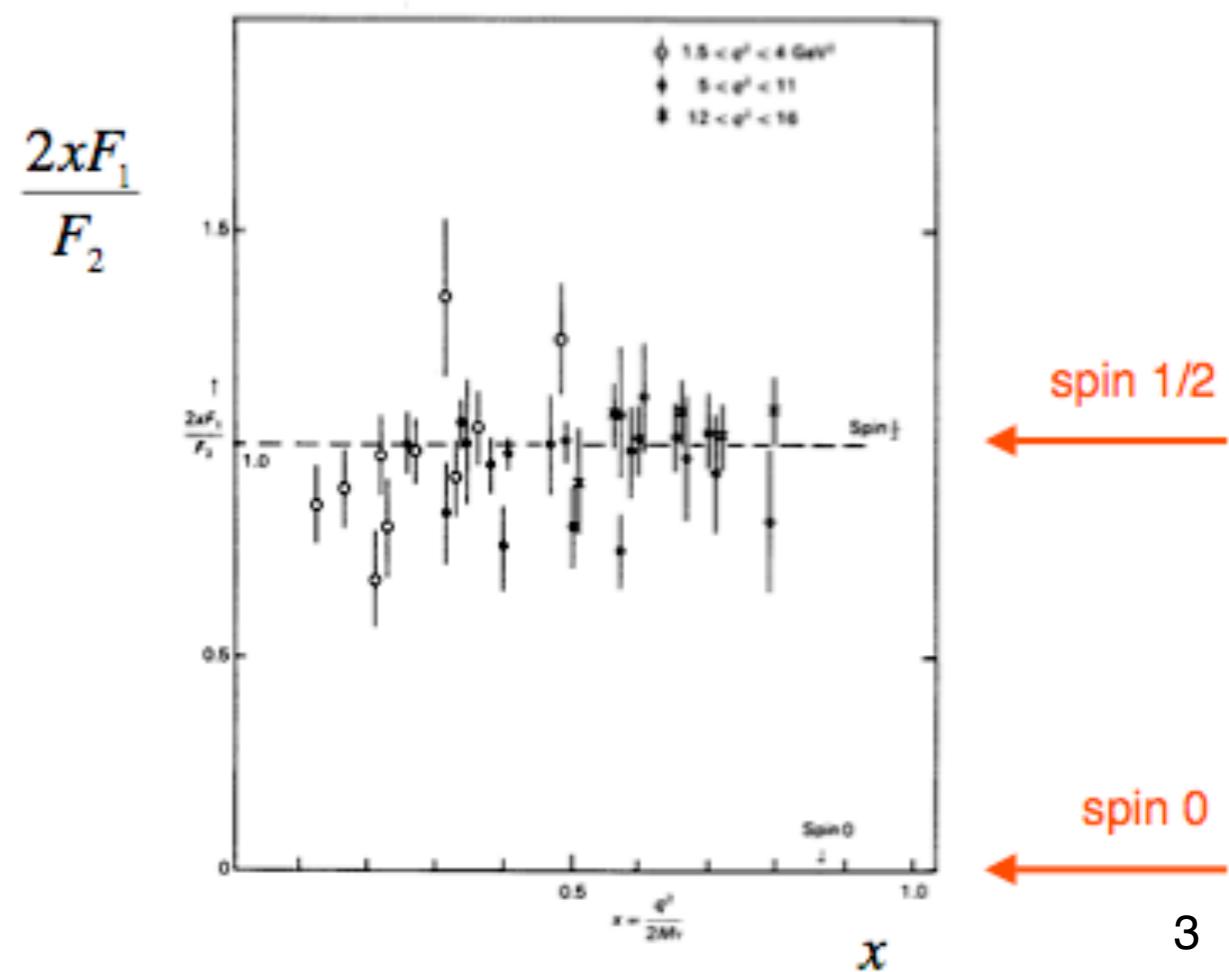


R. Taylor  
Nobel Prize 1990



H.W. Kendall

Callan-Gross relation:



# Proton Structure

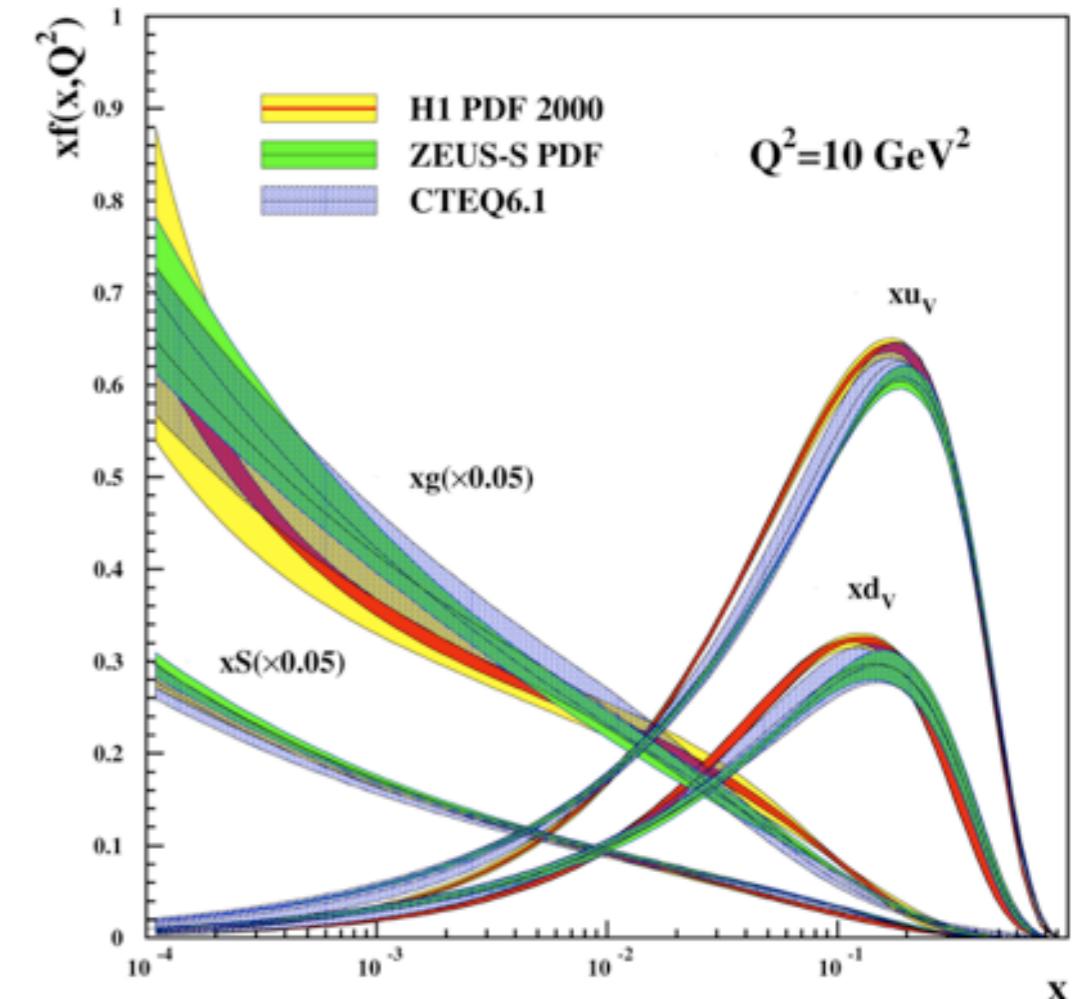
- Development of the (initial) quark-parton model,

$$F_1(x) = \frac{1}{2} \sum_q e_q^2 q(x)$$

$$F_2(x) = x \sum_q e_q^2 q(x) + \mathcal{O}(\alpha_s)$$

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 \Delta q(x)$$

$$h_1(x) = \frac{1}{2} \sum_q e_q^2 \delta q(x)$$



improved by perturbative QCD radiation.

- Gluons *dominate*; responsible for ~98% of observed mass, carry ~50% of the proton momentum, *role(s) in nucleon spin?*

# Proton Structure

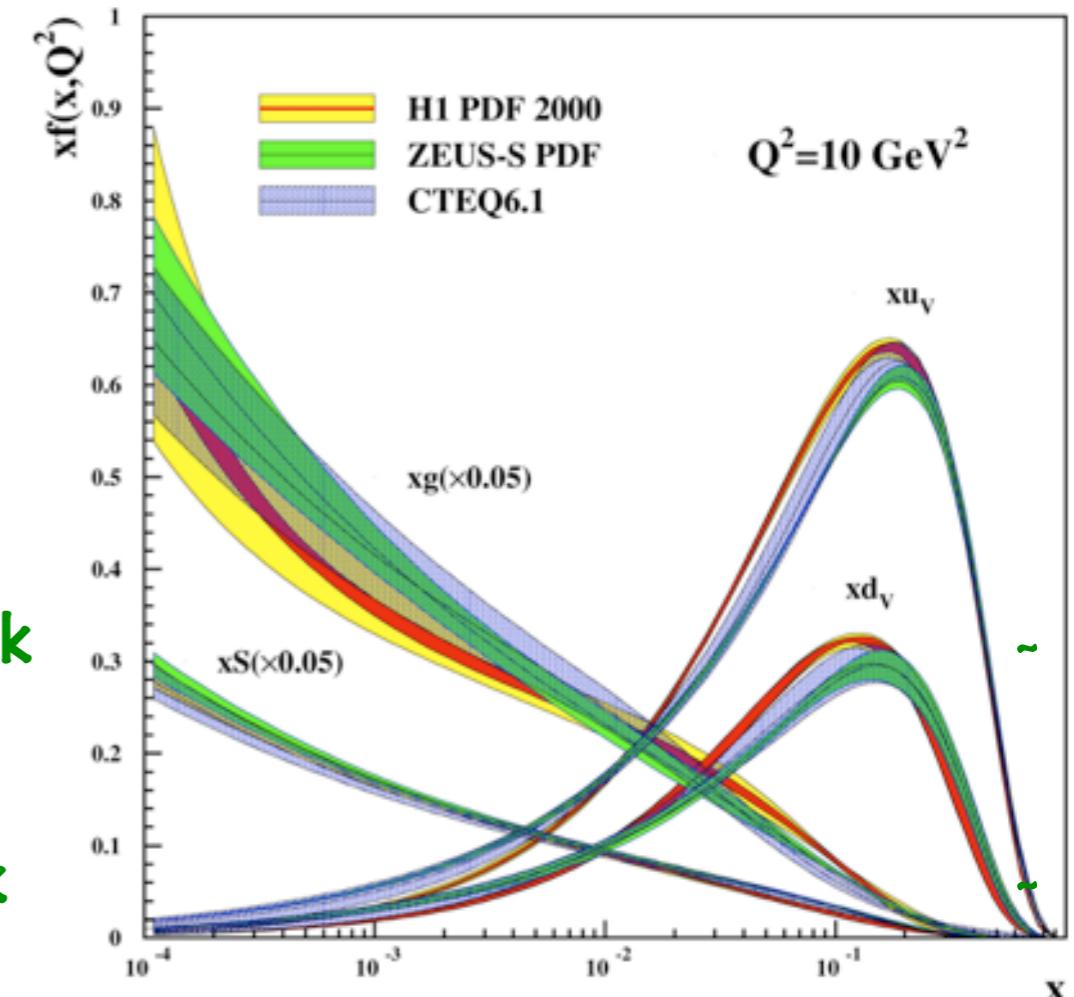
- Development of the (initial) quark-parton model,

$$F_1(x) = \frac{1}{2} \sum_q e_q^2 q(x)$$

$$F_2(x) = x \sum_q e_q^2 q(x) + \mathcal{O}(\alpha_s)$$

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 \Delta q(x) \quad \text{much of this talk}$$

$$h_1(x) = \frac{1}{2} \sum_q e_q^2 \delta q(x) \quad \text{c.f. Marco's talk}$$



improved by perturbative QCD radiation.

- Gluons *dominate*; responsible for ~98% of observed mass, carry ~50% of the proton momentum, *role(s) in nucleon spin?*

# Proton Structure

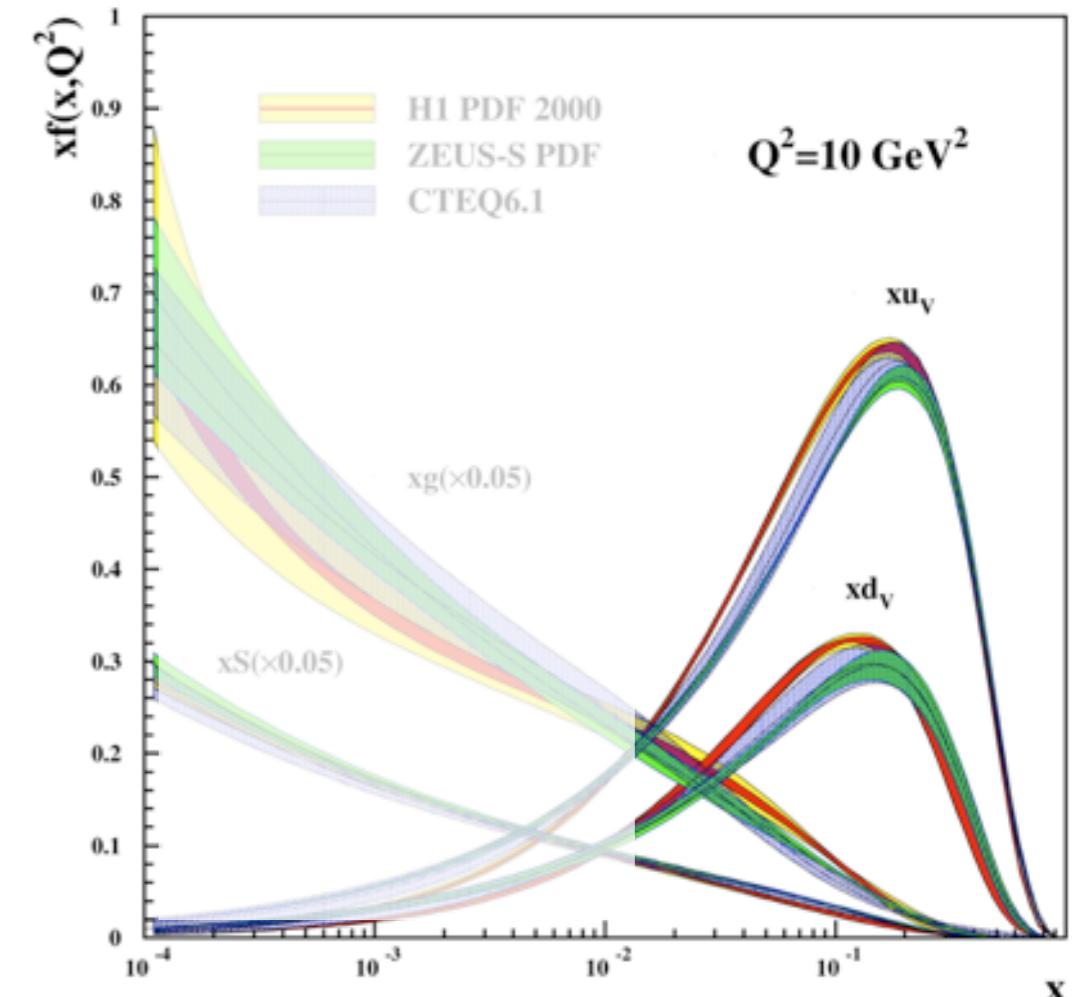
- Development of the (initial) quark-parton model,

$$F_1(x) = \frac{1}{2} \sum_q e_q^2 q(x)$$

$$F_2(x) = x \sum_q e_q^2 q(x)$$

$$g_1(x) = \frac{1}{2} \sum_q e_q^2 \Delta q(x)$$

$$h_1(x) = \frac{1}{2} \sum_q e_q^2 \delta q(x)$$



- Naively,

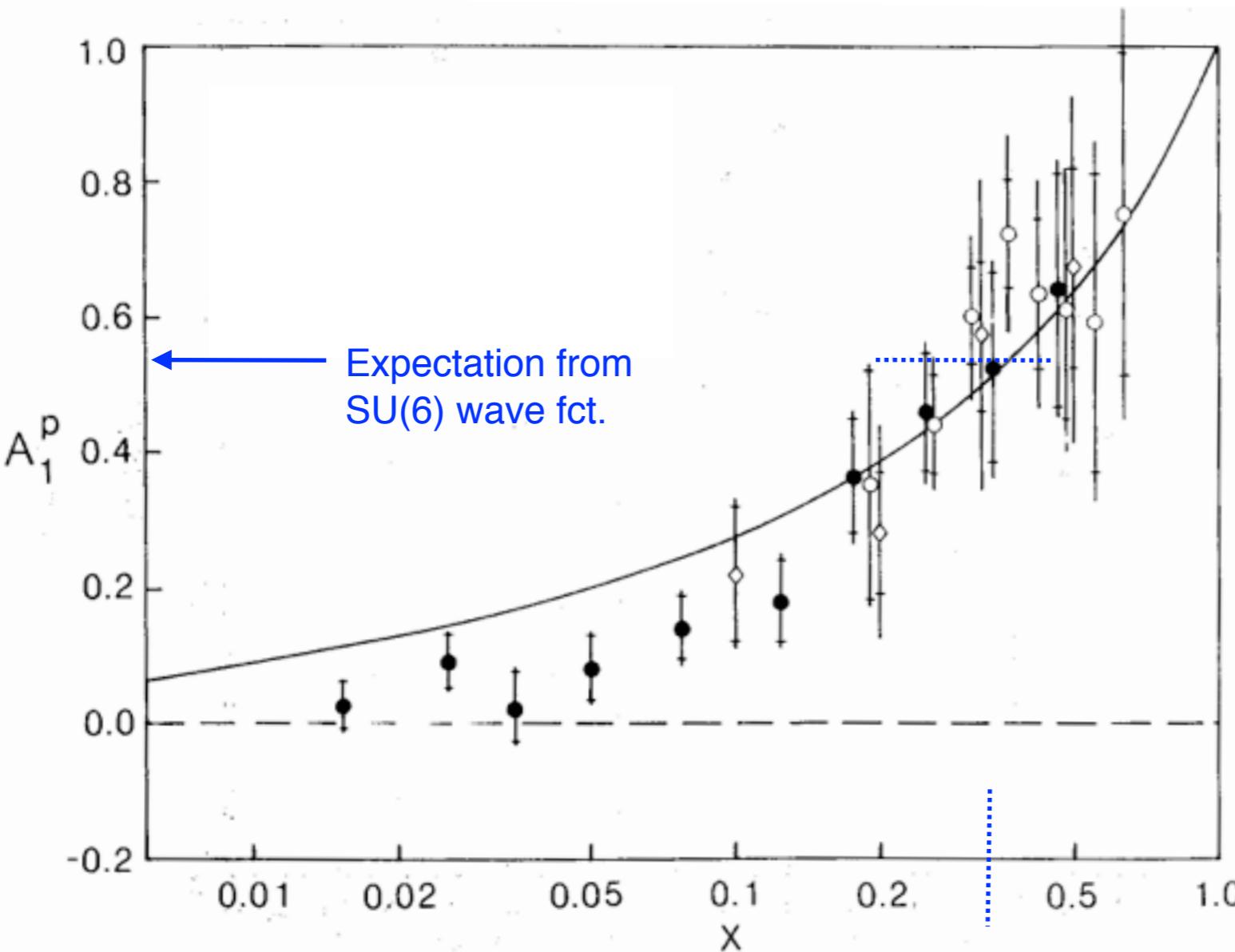
$$g_{\text{proton}}(\text{QPM}) \simeq 5.6 \quad \checkmark$$

and perhaps even more naive,

$$A_1^{\text{p}}(x) \equiv \frac{g_1(x)}{F_1(x)} \simeq \frac{5}{8}$$

# Proton spin

Early measurements:



The spin asymmetry,

$$A_1^p \simeq \frac{\Delta\sigma}{\sigma} \simeq \frac{\sum e_q^2 (q^\uparrow - q^\downarrow)}{\sum e_q^2 (q^\uparrow + q^\downarrow)}$$

undershoots expectation.

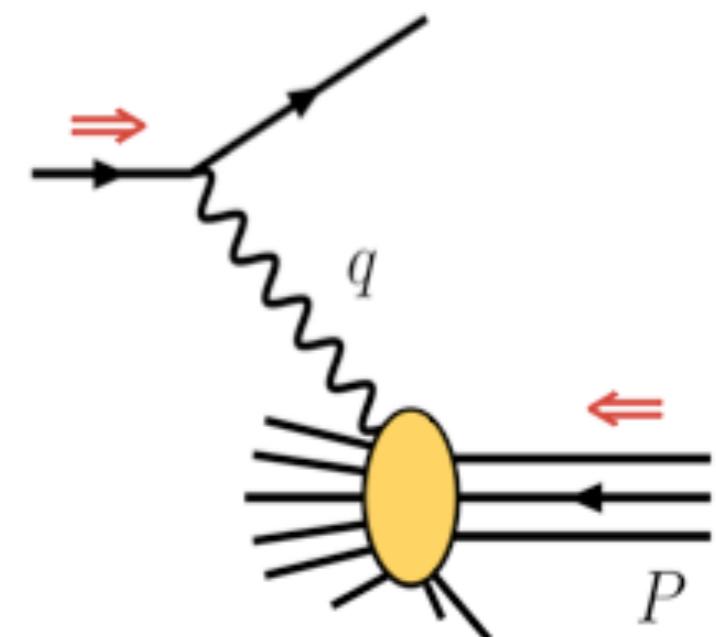
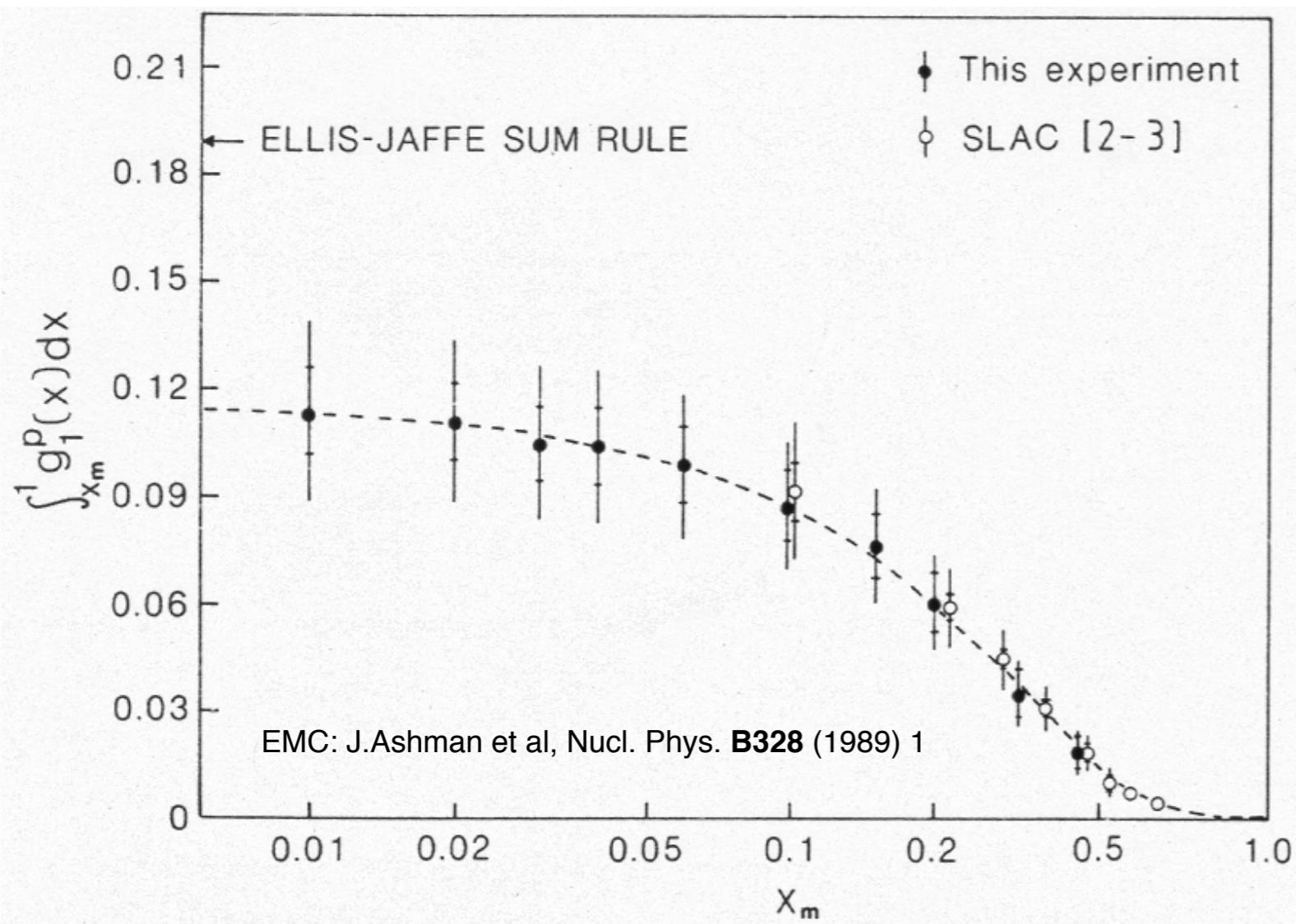
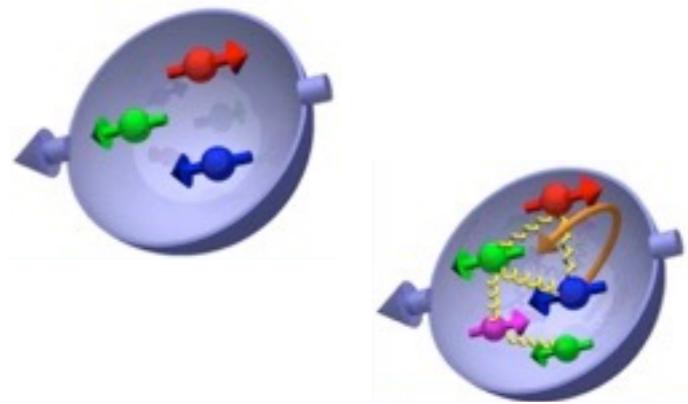
European Muon Collaboration (1989)

Naively,

$$A_1^p(x) \equiv \frac{g_1(x)}{F_1(x)} \simeq \frac{5}{8}$$

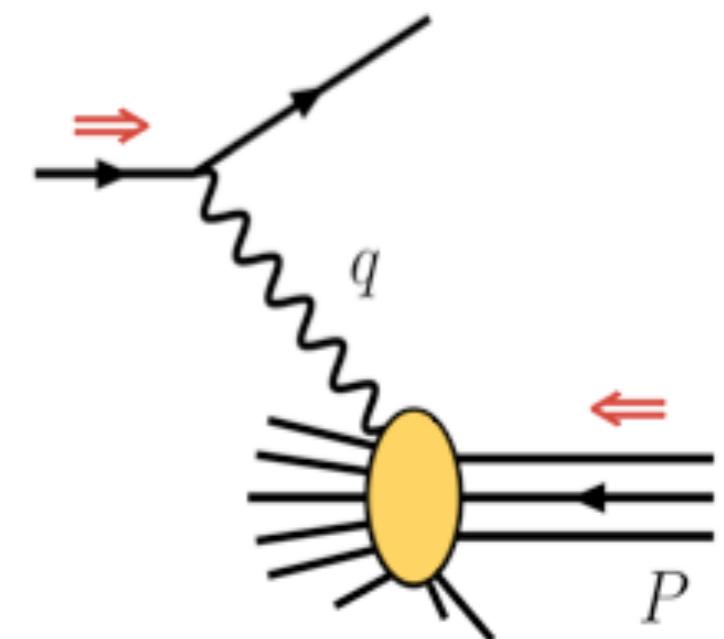
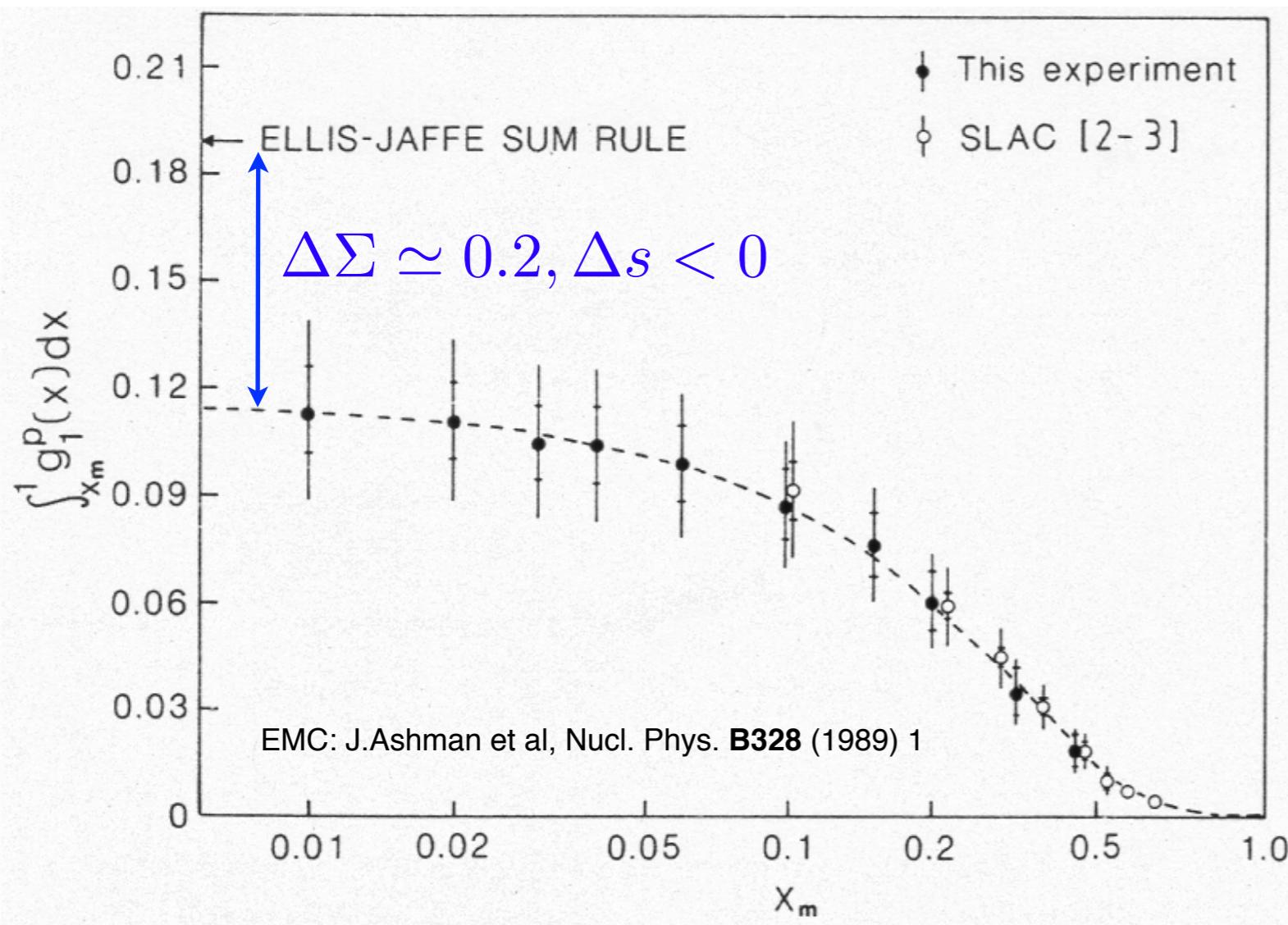
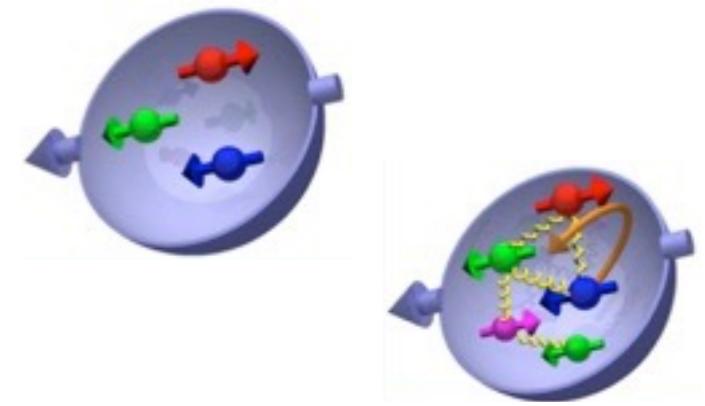
Better, relate  $\int g_1(x) dx$  to the couplings in weak  $n$  and  $\Sigma^-$  decays.

# Proton spin



$$\sigma(\Rightarrow, \Leftarrow) - \sigma(\Rightarrow, \Rightarrow) \sim g_1(x, Q^2)$$

# Proton spin

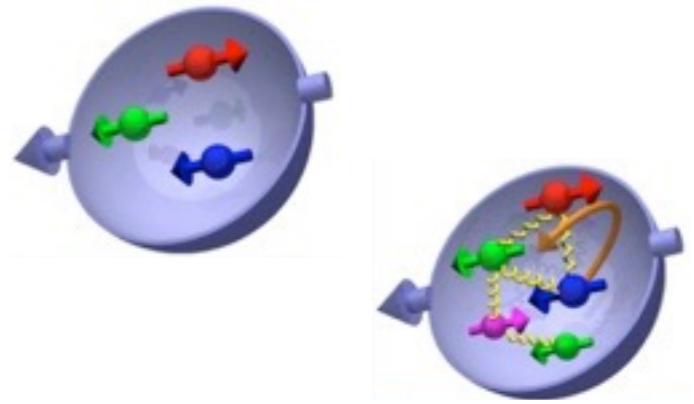


$$\sigma(\Rightarrow, \Leftarrow) - \sigma(\Rightarrow, \Rightarrow) \sim g_1(x, Q^2)$$

*“The sum of quark and anti-quark spins contribute little to the proton spin, and strange quarks are negatively polarized.”*

# Proton spin

For the proton,



$$\Gamma_1 = \int_0^1 g_1(x) dx = \int_0^1 \left( \frac{1}{2} \sum e_q^2 \Delta q(x) \right) dx = \frac{1}{2} \left( \frac{4}{9} \Delta_1 u + \frac{1}{9} \Delta_1 d + \frac{1}{9} \Delta_1 s \right)$$

$$= \frac{1}{12} (\Delta_1 u - \Delta_1 d) + \frac{1}{36} (\Delta_1 u + \Delta_1 d - 2\Delta_1 s) + \frac{1}{9} (\Delta_1 u + \Delta_1 d + \Delta_1 s)$$



Known from weak neutron to proton decay,  
combined with weak  $\Sigma$  to neutron decay

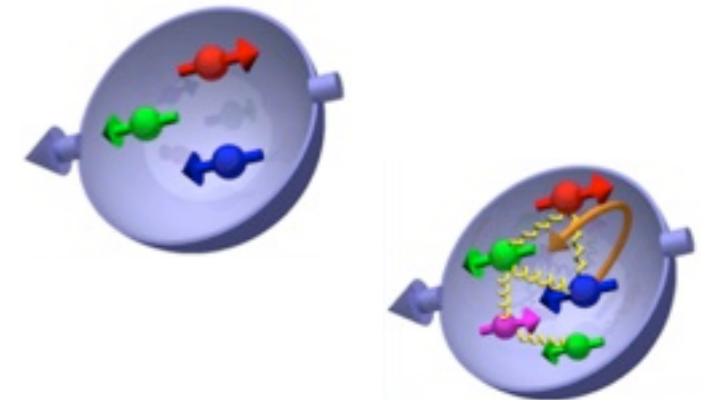
Known from weak neutron to proton decay

Unique to DIS,  $\Delta\Sigma$

which becomes a prediction if  $\Delta_1 s = 0$

No (reliable) substitute for energy;  $x \propto 1/\sqrt{s}$

# Proton spin



For the proton,

$$\Gamma_1 = \int_0^1 g_1(x) dx = \int_0^1 \left( \frac{1}{2} \sum e_q^2 \Delta q(x) \right) dx = \frac{1}{2} \left( \frac{4}{9} \Delta_1 u + \frac{1}{9} \Delta_1 d + \frac{1}{9} \Delta_1 s \right)$$

$$= \frac{1}{12} (\Delta_1 u - \Delta_1 d) + \frac{1}{36} (\underbrace{\Delta_1 u + \Delta_1 d - 2\Delta_1 s}_{a_8 = 3F - D = 0.59 \pm 0.03}) + \frac{1}{9} (\Delta_1 u + \Delta_1 d + \Delta_1 s)$$

✓ < 10%

Known from weak neutron to proton decay,  
combined with weak  $\Sigma$  to neutron decay

Unique to DIS,  $\Delta\Sigma$

Since,

$$\left. \frac{\partial \Gamma_1}{\partial a_8} \right|_{\text{Ellis-Jaffe}} \simeq \frac{5}{36}$$

$$\left. \frac{\partial \Gamma_1}{\partial a_8} \right|_{\text{experiment}} \simeq 0$$

} one *can* recover the E-J expectation with a *sizable* shift of  $a_8 = 3F - D$ ,  $a_8 \simeq 0.2 \pm 0.1$

# Proton spin - SU(3)

Such a *sizable* shift, however, is hard to support from (new) data:

Table 1. Present world HSD rate and angular-correlation data [14]. Numerical values marked  $g_1/f_1$  are as extracted from angular and spin correlations.

Decay	Rate( $10^6 \text{ s}^{-1}$ )		$g_1/f_1$	$g_1/f_1$ SU(3)
	$\ell = e^\pm$	$\ell = \mu^-$		
$A \rightarrow B\ell\nu$				
$n \rightarrow p$	$1.1291 \pm 0.0010$		$1.2670 \pm 0.0030$	$F + D$
$\Lambda^0 \rightarrow p$	$3.161 \pm 0.058$	$0.60 \pm 0.13$	$0.718 \pm 0.015$	$F + \frac{1}{3}D$
$\Sigma^- \rightarrow n$	$6.88 \pm 0.23$	$3.04 \pm 0.27$	$-0.340 \pm 0.017$	$F - D$
$\Sigma^- \rightarrow \Lambda^0$	$0.387 \pm 0.018$			$-\sqrt{\frac{2}{3}} D^\dagger$
$\Sigma^+ \rightarrow \Lambda^0$	$0.250 \pm 0.063$			$-\sqrt{\frac{2}{3}} D^\dagger$
$\Xi^- \rightarrow \Lambda^0$	$3.35 \pm 0.37$	$2.1 \pm 2.1$	$0.25 \pm 0.05$	$F - \frac{1}{3}D$
$\Xi^- \rightarrow \Sigma^0$	$0.53 \pm 0.10$			$F + D$
$\Xi^0 \rightarrow \Sigma^+$	$0.876 \pm 0.071$	$0.012 \pm 0.007 ^*$	$1.32 \pm 0.21$	$F + D$

Close &  
Roberts

KTeV

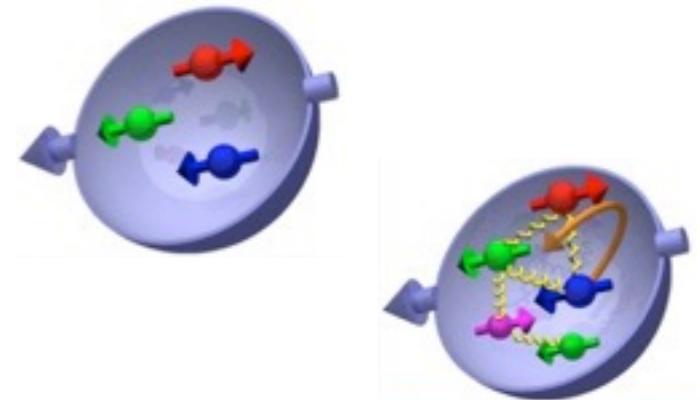
\* KTeV data [5]—not included in the fits presented here.

<sup>†</sup> The absolute expression for  $g_1$  is given, not  $g_1/f_1$  (as  $f_1=0$ ).

P. Ratcliffe, Czech J. Phys. 54, A21 (2004).

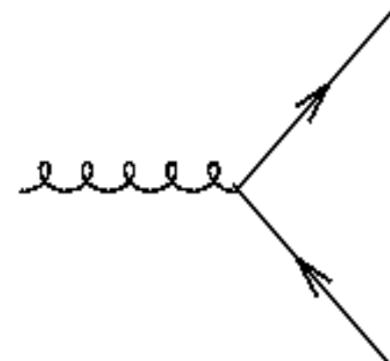
Smaller shifts have been reported, e.g. by Ehrnsperger (1995), Song (1997), Flores-Mendieta (1998), Yamanashi (2007), Sasaki (2009), ...

# Proton spin



Numerous follow-up questions and experiment programs,

Among the early attempts at a resolution,



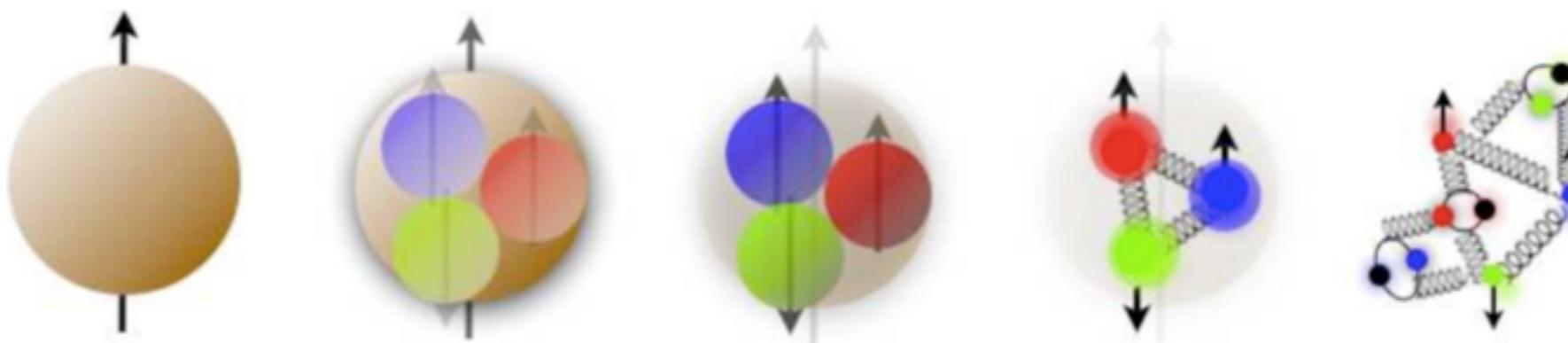
with the gluons *polarized*.

G. Altarelli and G.G. Ross Phys. Lett. **B212** (1998) 391

Note: this attempt requires *very* large polarization,  
*factors* larger than the nucleon spin itself, and  
by inference, *huge* compensating *orbital momenta*.  
Quite the proton, a ground-state object and all.

Other attempts include e.g extrapolation over unmeasured low- $x$ .

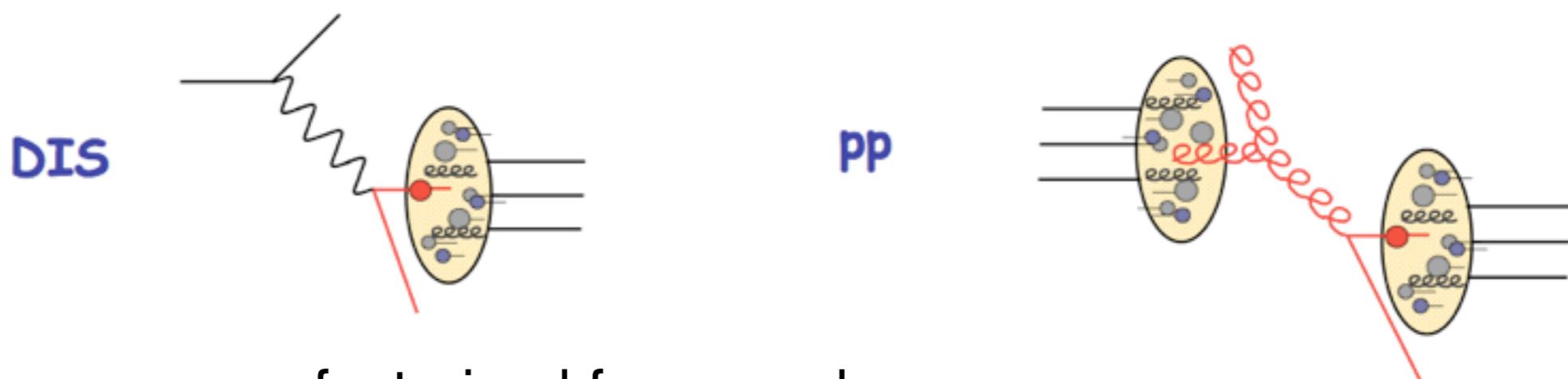
# Renewed Interest in Nucleon Spin



*What is the nucleon spin decomposition?*

*What is the role of spin in QCD?*

*Complementary ways to address several of the open questions,*



*within a common factorized framework.*

# Nucleon Spin - A World-Wide Quest



**SLAC**

E142, E143,  
E154, E155



**CERN**

EMC, SMC,  
COMPASS



**JLab**

Hall A, CLAS



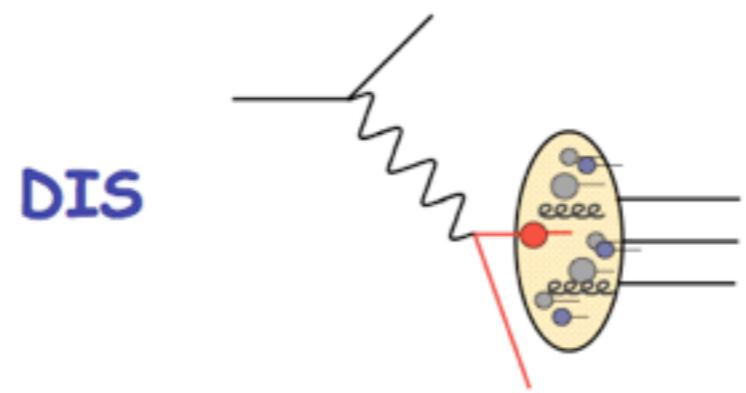
**DESY**

HERMES

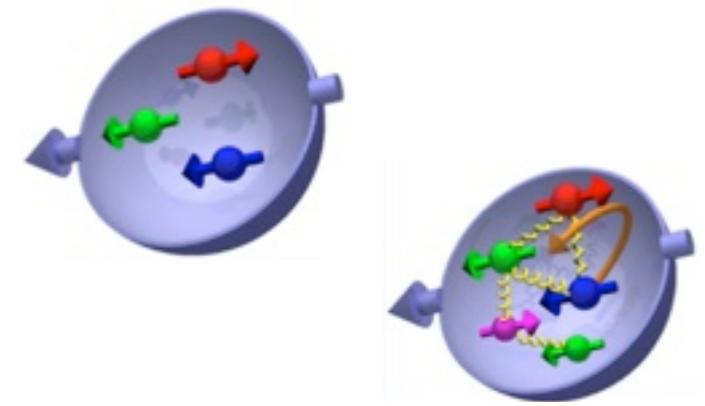


**BNL**

PHENIX, STAR



# DIS - Nucleon spin



For the proton,

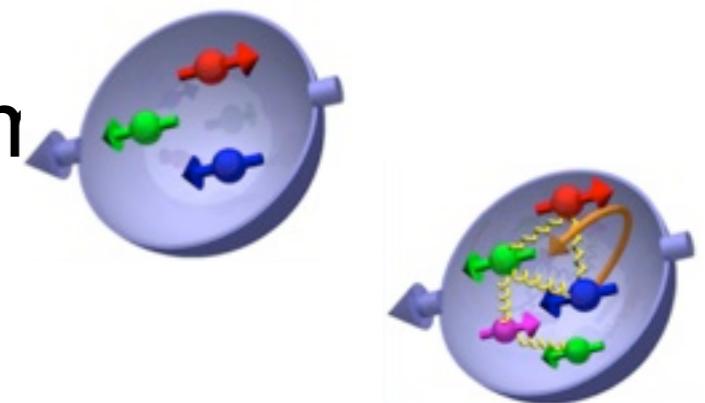
$$\Gamma_1 = \int_0^1 g_1(x) dx = \int_0^1 \left( \frac{1}{2} \sum e_q^2 \Delta q(x) \right) dx = \frac{1}{2} \left( \frac{4}{9} \Delta_1 u + \frac{1}{9} \Delta_1 d + \frac{1}{9} \Delta_1 s \right)$$

$$= \frac{1}{12} (\Delta_1 u - \Delta_1 d) + \frac{1}{36} (\Delta_1 u + \Delta_1 d - 2\Delta_1 s) + \frac{1}{9} (\Delta_1 u + \Delta_1 d + \Delta_1 s)$$

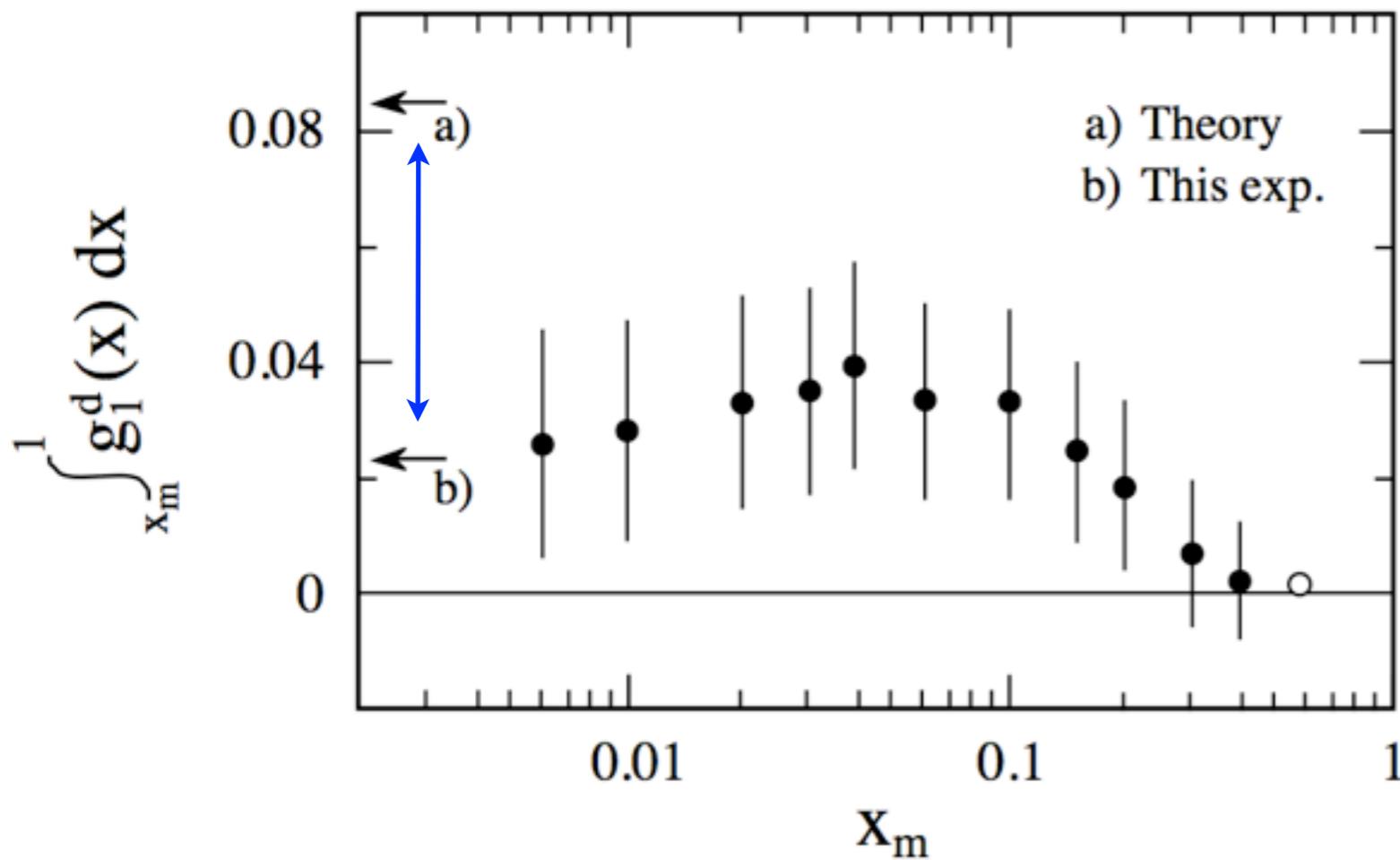
  $+ \mathcal{O}(\alpha_s)$  now well known  $+ \mathcal{O}(1/Q^2)$

Similar can be done for the neutron,  
experimentally via the deuteron or  ${}^3\text{He}$ ,

# Nucleon Spin - Bjorken Sun



$$\int_{0.006}^{0.6} g_1^d(x) dx = 0.024 \pm 0.020_{\text{stat}} \pm 0.014_{\text{sys}}$$



SMC: B.Adeva et al, Phys. Lett. B302, 533 (1993)

$$\Gamma_1^p = 0.126 \pm 0.010_{\text{stat}} \pm 0.015_{\text{sys}} \quad (\text{EMC})$$

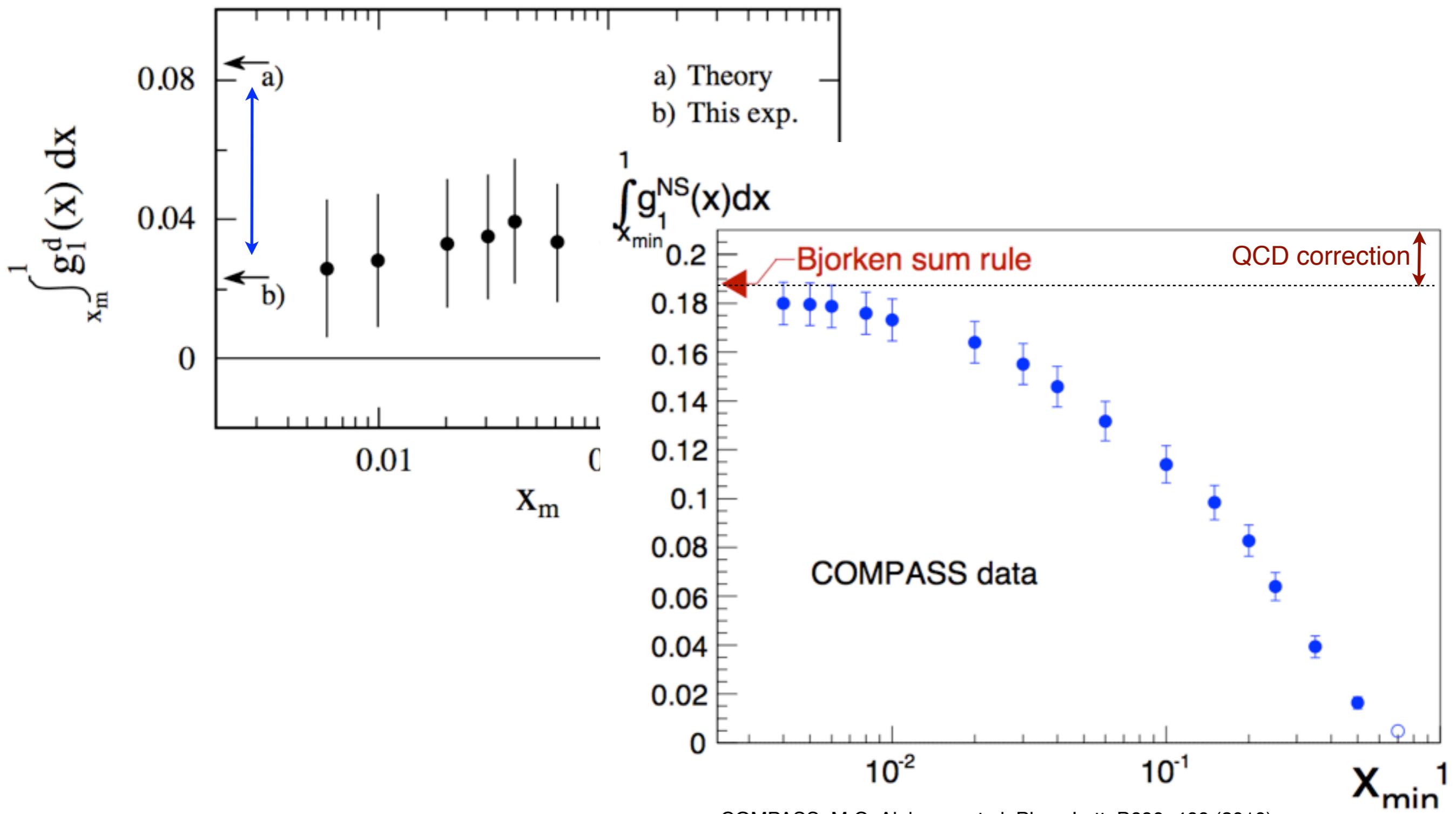
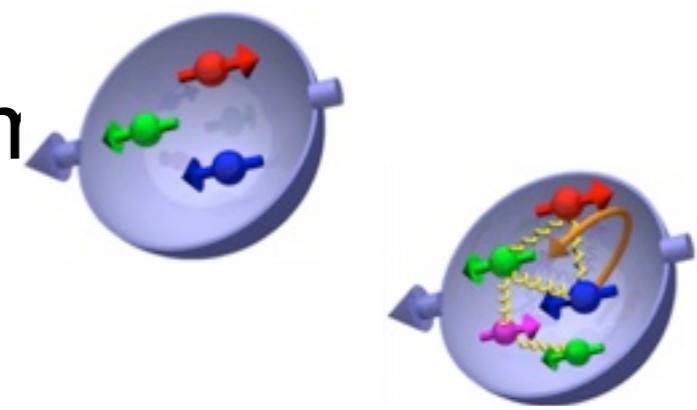
$$\Gamma_1^n = -0.08 \pm 0.04_{\text{stat}} \pm 0.04_{\text{sys}}$$

$$\Gamma_1^p - \Gamma_1^n = 0.20 \pm 0.05_{\text{stat}} \pm 0.04_{\text{sys}}$$

$$\Gamma_1^p - \Gamma_1^n = \frac{1}{6} \left| \frac{g_A}{g_V} \right| \left[ 1 - \frac{\alpha_s}{\pi} + \dots \right] \quad \checkmark \quad 25\%$$

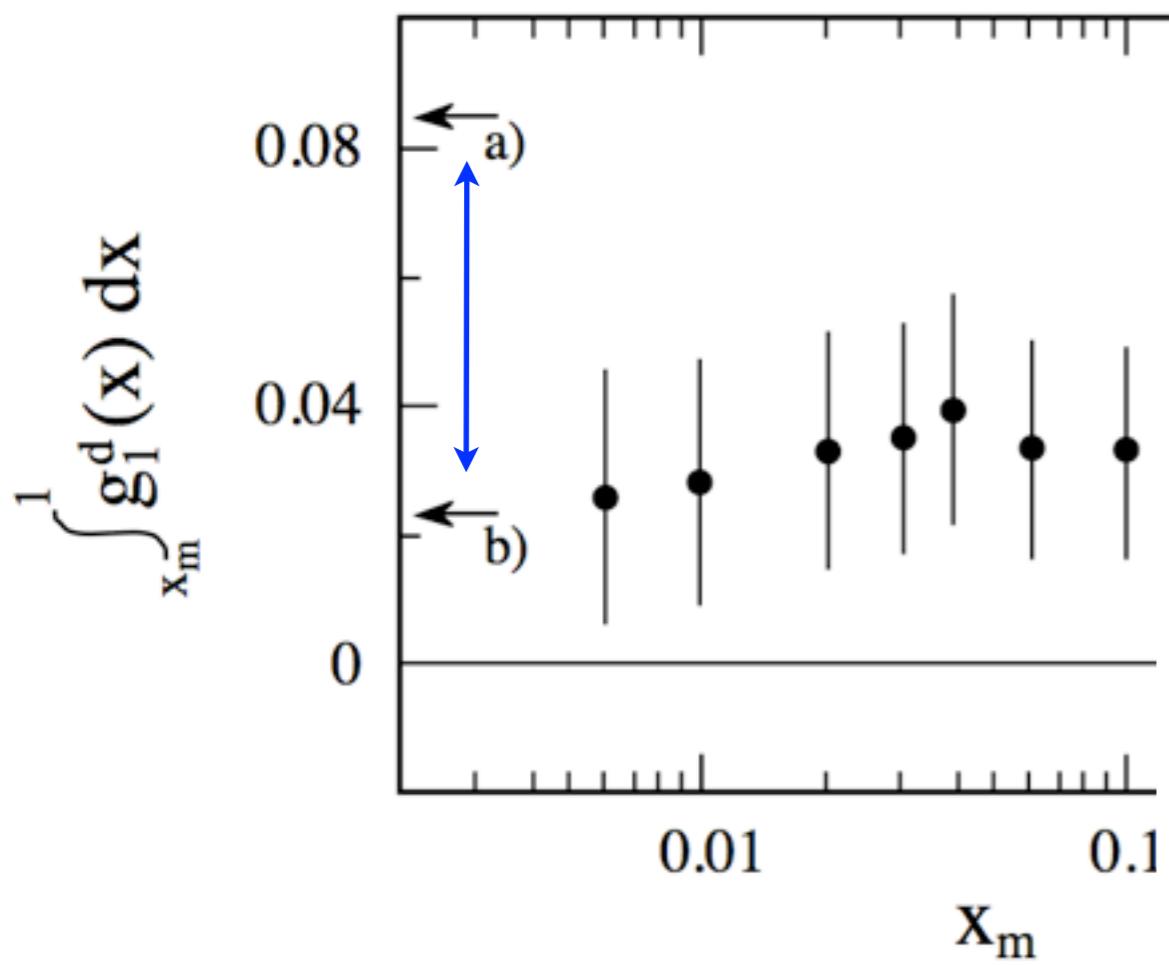
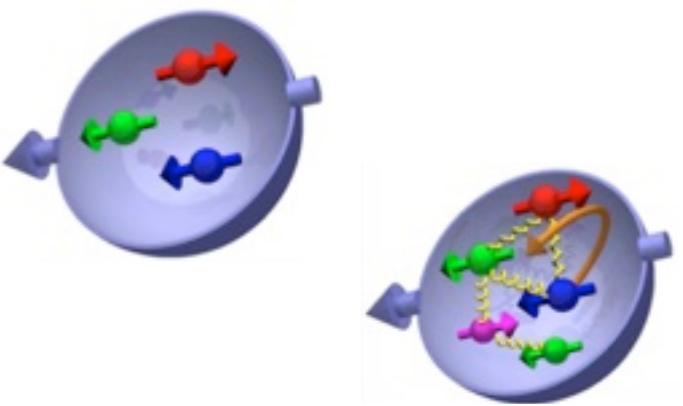
$$= 0.191 \pm 0.002 + \mathcal{O}(\alpha_s^2)$$

# Nucleon Spin - Bjorken Sun



✓ < 10%

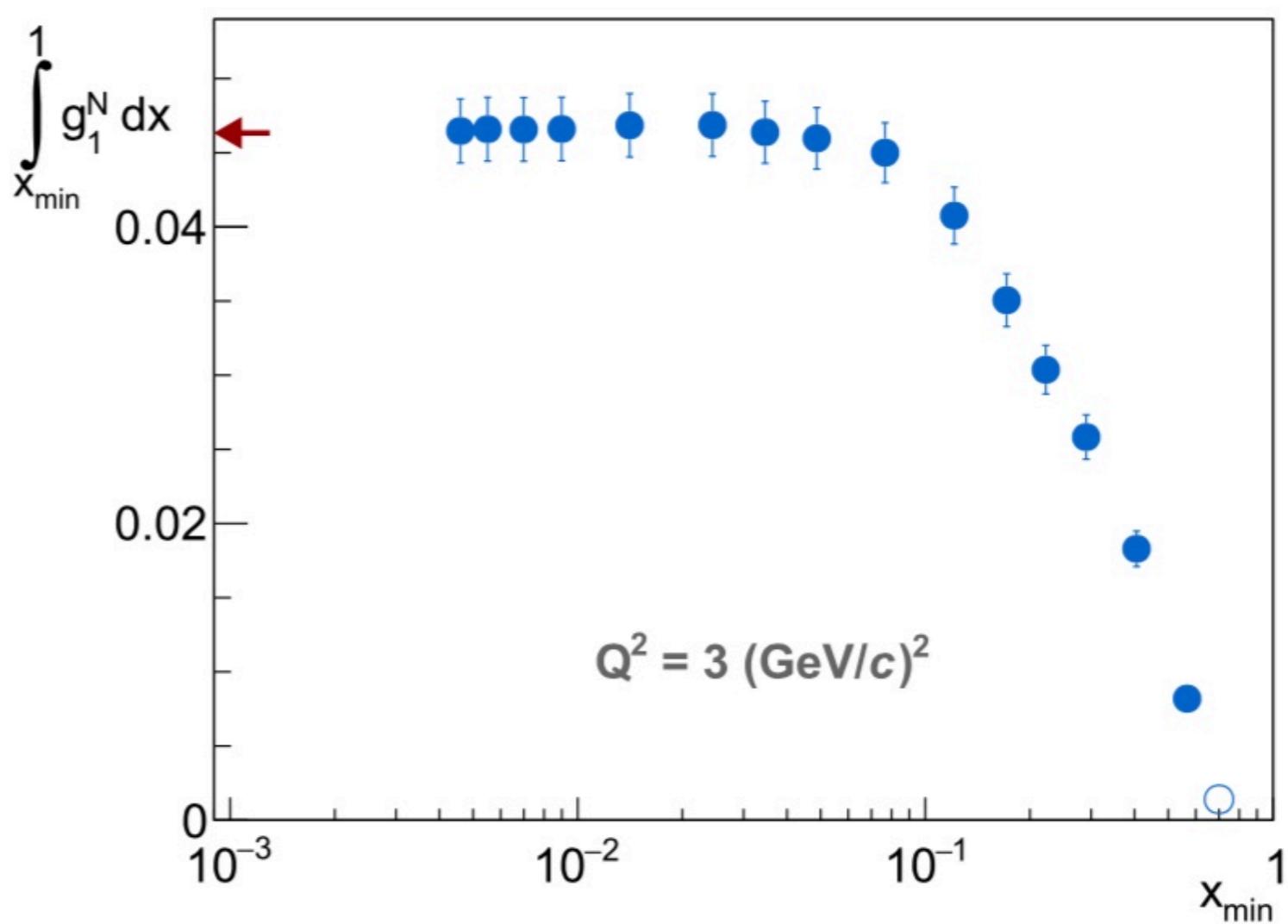
# Nucleon Spin - Bjorken Sun



$$\Gamma_1^{\text{NS}} = 0.192 \pm 0.007_{\text{stat}} \pm 0.015_{\text{syst}}$$

$$|g_A/g_V| = 1.29 \pm 0.05_{\text{stat}} \pm 0.10_{\text{syst}}$$

COMPASS PLB 769 (2017) 34



$$\underbrace{\Delta_1 u + \Delta_1 d - 2\Delta_1 s}_{a_8 = 3F - D} = 0.59 \pm 0.03$$



Known from weak neutron to proton decay,  
combined with weak  $\Sigma$  to neutron decay

## Nucleon spin - $\Delta\Sigma$

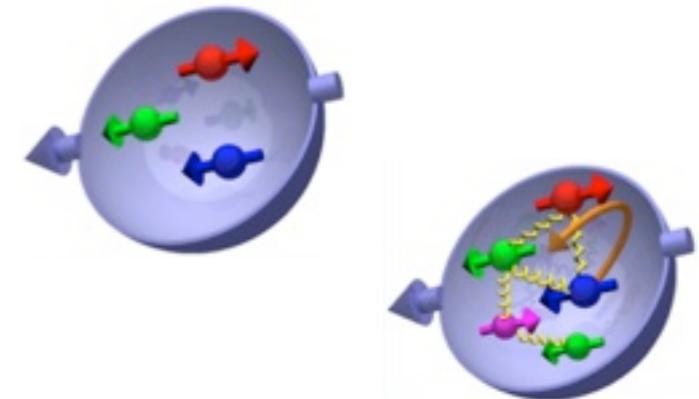


Table 1. Present world HSD rate and angular-correlation data [14]. Numerical values marked  $g_1/f_1$  are as extracted from angular and spin correlations.

Decay $A \rightarrow B\ell\nu$	Rate( $10^6 \text{ s}^{-1}$ )		$g_1/f_1$ $\ell = e^-$	$g_1/f_1$ SU(3)
	$\ell = e^\pm$	$\ell = \mu^-$		
$n \rightarrow p$	$1.1291 \pm 0.0010$		$1.2670 \pm 0.0030$	$F + D$
$\Lambda^0 \rightarrow p$	$3.161 \pm 0.058$	$0.60 \pm 0.13$	$0.718 \pm 0.015$	$F + \frac{1}{3}D$
$\Sigma^- \rightarrow n$	$6.88 \pm 0.23$	$3.04 \pm 0.27$	$-0.340 \pm 0.017$	$F - D$
$\Sigma^- \rightarrow \Lambda^0$	$0.387 \pm 0.018$			$-\sqrt{\frac{2}{3}}D^\dagger$
$\Sigma^+ \rightarrow \Lambda^0$	$0.250 \pm 0.063$			$-\sqrt{\frac{2}{3}}D^\dagger$
$\Xi^- \rightarrow \Lambda^0$	$3.35 \pm 0.37$	$2.1 \pm 2.1$	$0.25 \pm 0.05$	$F - \frac{1}{3}D$
$\Xi^- \rightarrow \Sigma^0$	$0.53 \pm 0.10$			$F + D$
$\Xi^0 \rightarrow \Sigma^+$	$0.876 \pm 0.071$	$0.012 \pm 0.007^*$	$1.32 \pm 0.21$	$F + D$

Close &  
Roberts

KTeV

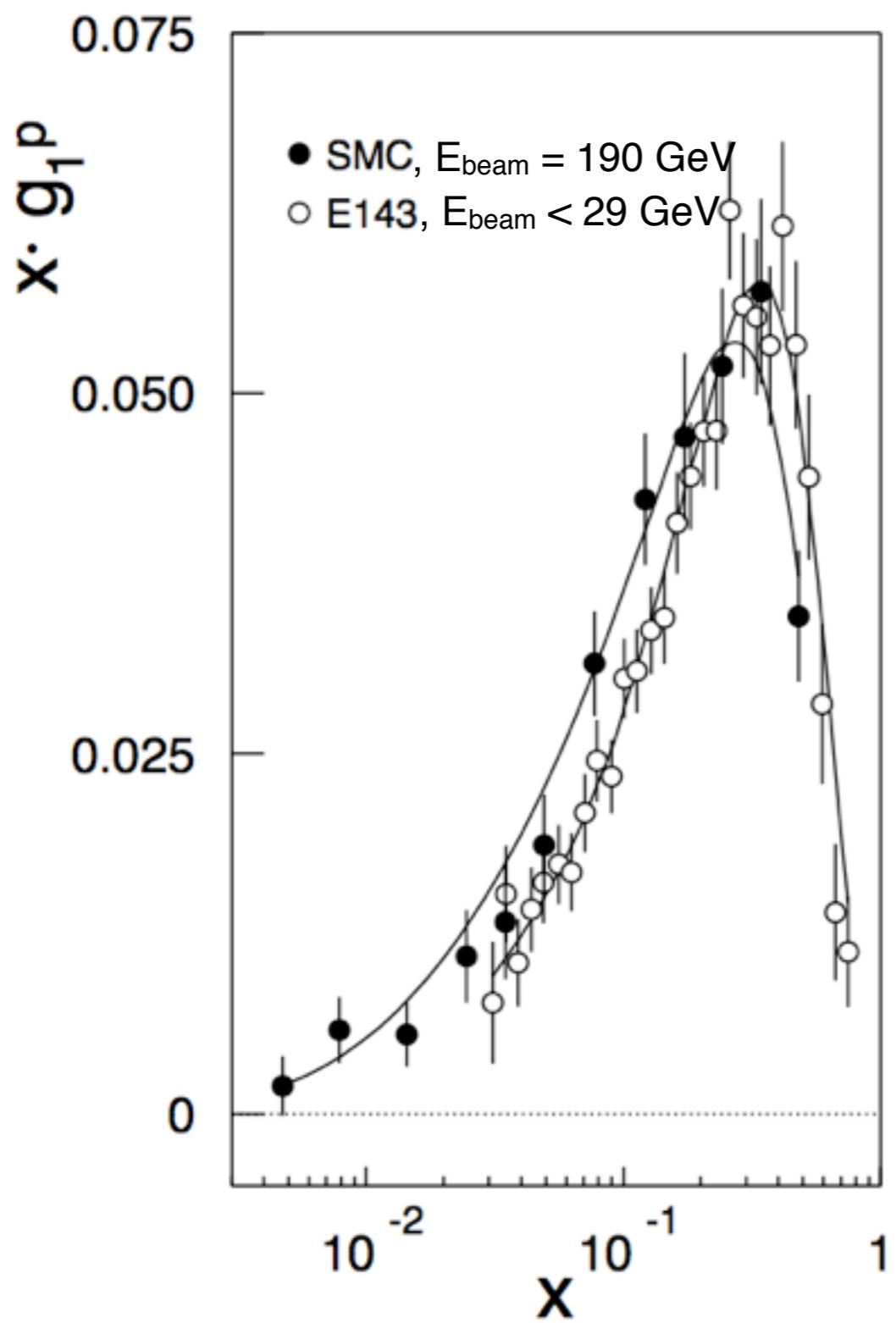
\* KTeV data [5]—not included in the fits presented here.

<sup>†</sup> The absolute expression for  $g_1$  is given, not  $g_1/f_1$  (as  $f_1=0$ ).



$$\rightarrow a_0(Q^2 = 3(\text{GeV}/c)^2) = 0.32 \pm 0.02_{\text{stat}} \pm 0.04_{\text{syst}} \pm 0.05_{\text{evol}}.$$

# Nucleon Spin - Scaling Violations, Global Analyses



Provide sensitivity to  $\Delta G(x, Q^2)$

Closely related also to extrapolations over unmeasured small- $x$ ,

$$g_1(x, Q^2) \propto \exp \sqrt{\ln \frac{1}{x} \ln \ln \frac{Q^2}{\Lambda^2}},$$

as  $x \rightarrow 0, Q^2 \rightarrow \infty$ ,

R.D. Ball et al, Nucl. Phys. B444, 287 (1995),  
B449, 680 (1995)

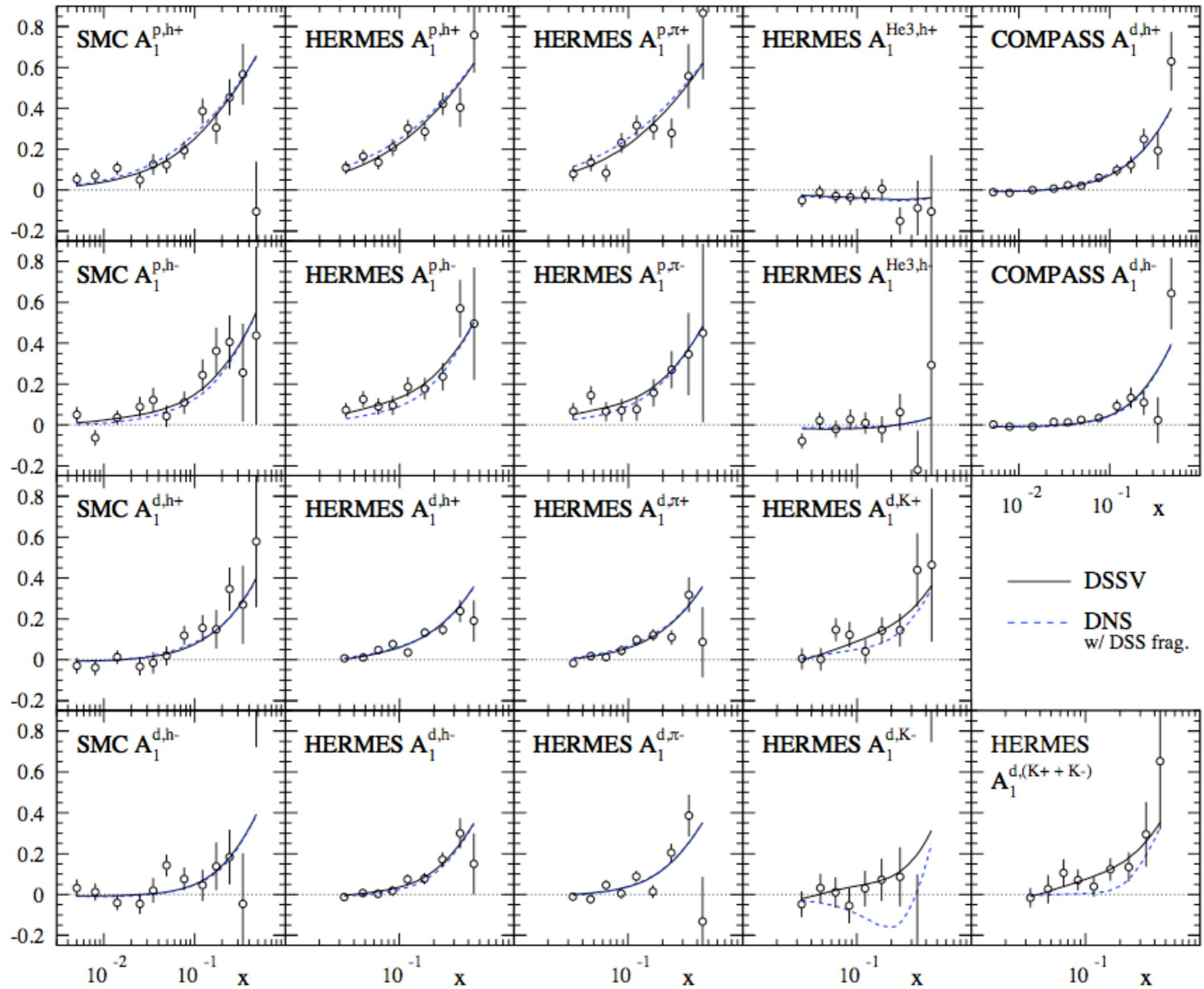
Start of polarized pQCD analyses.

More recent work at NLO:

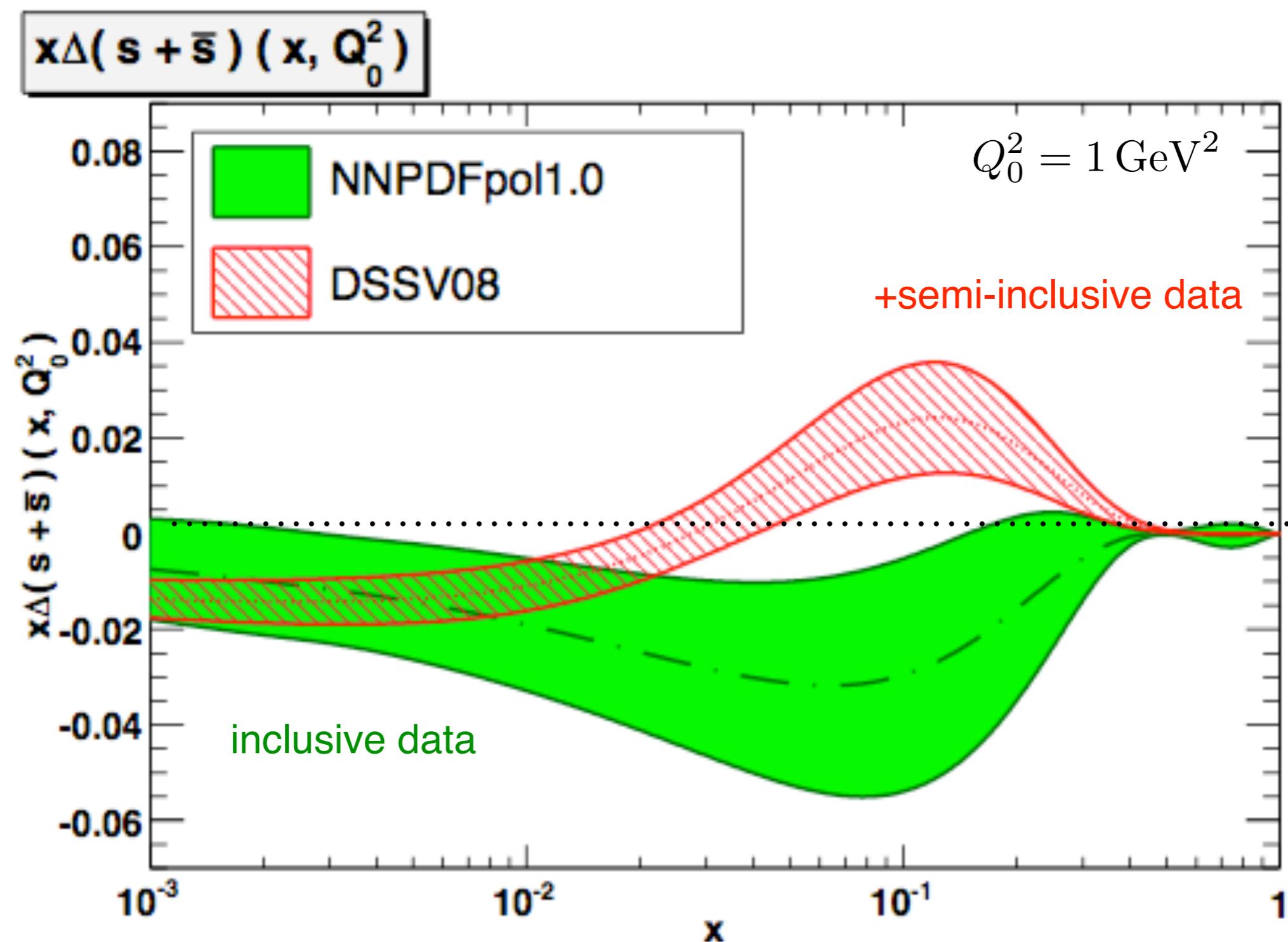
NNPDF: Ball et al,  
initially inclusive DIS data only,  
Nucl. Phys. B874, 36 (2013)

DSSV: De Florian et al,  
inclusive DIS, SIDIS, RHIC  
ArXiv 1304.0079 (2013)

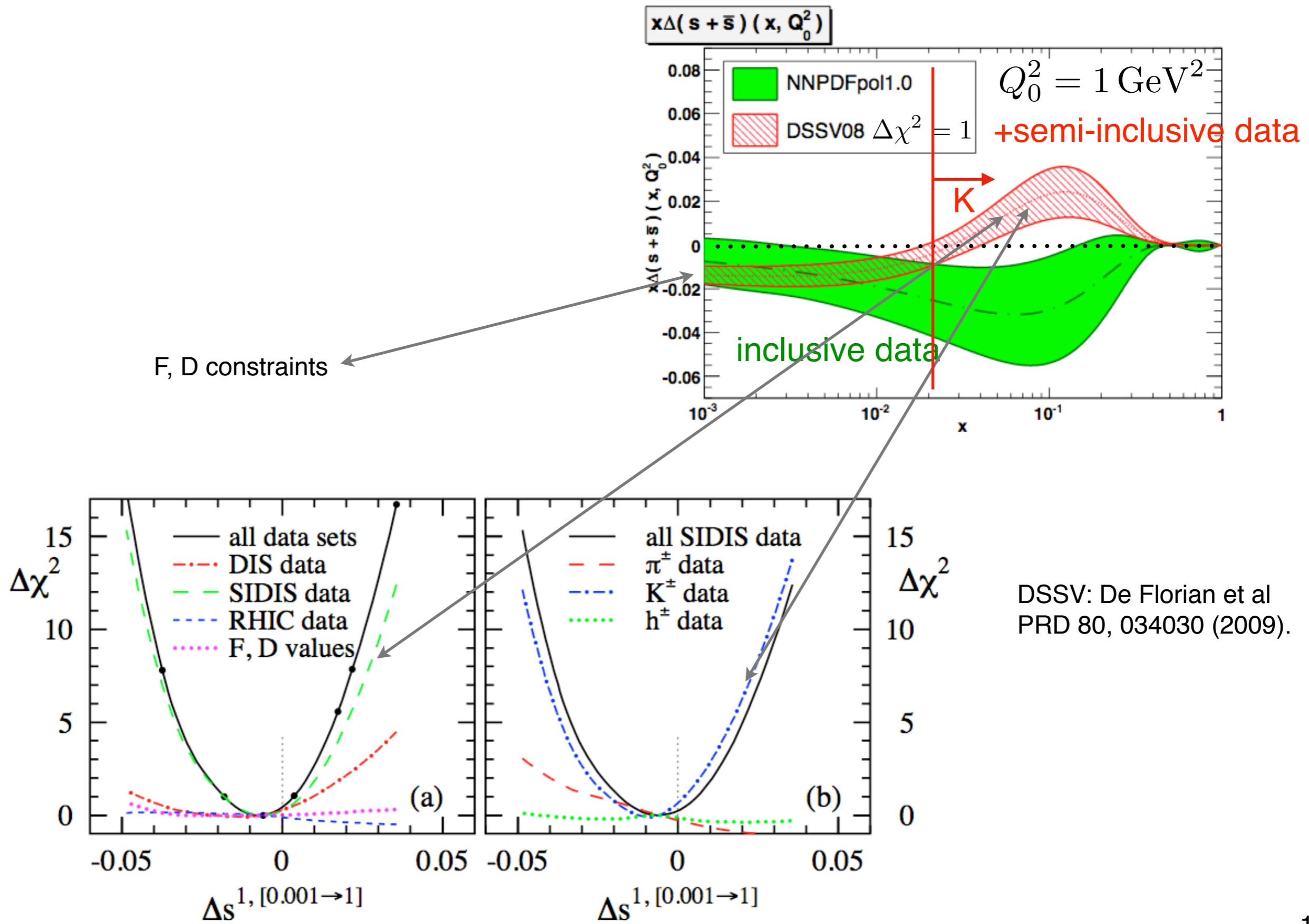
# SIDIS - Quark Flavor Structure



# Nucleon spin - strange quark polarization, $\Delta s$



# Nucleon spin - strange quark polarization, $\Delta s$

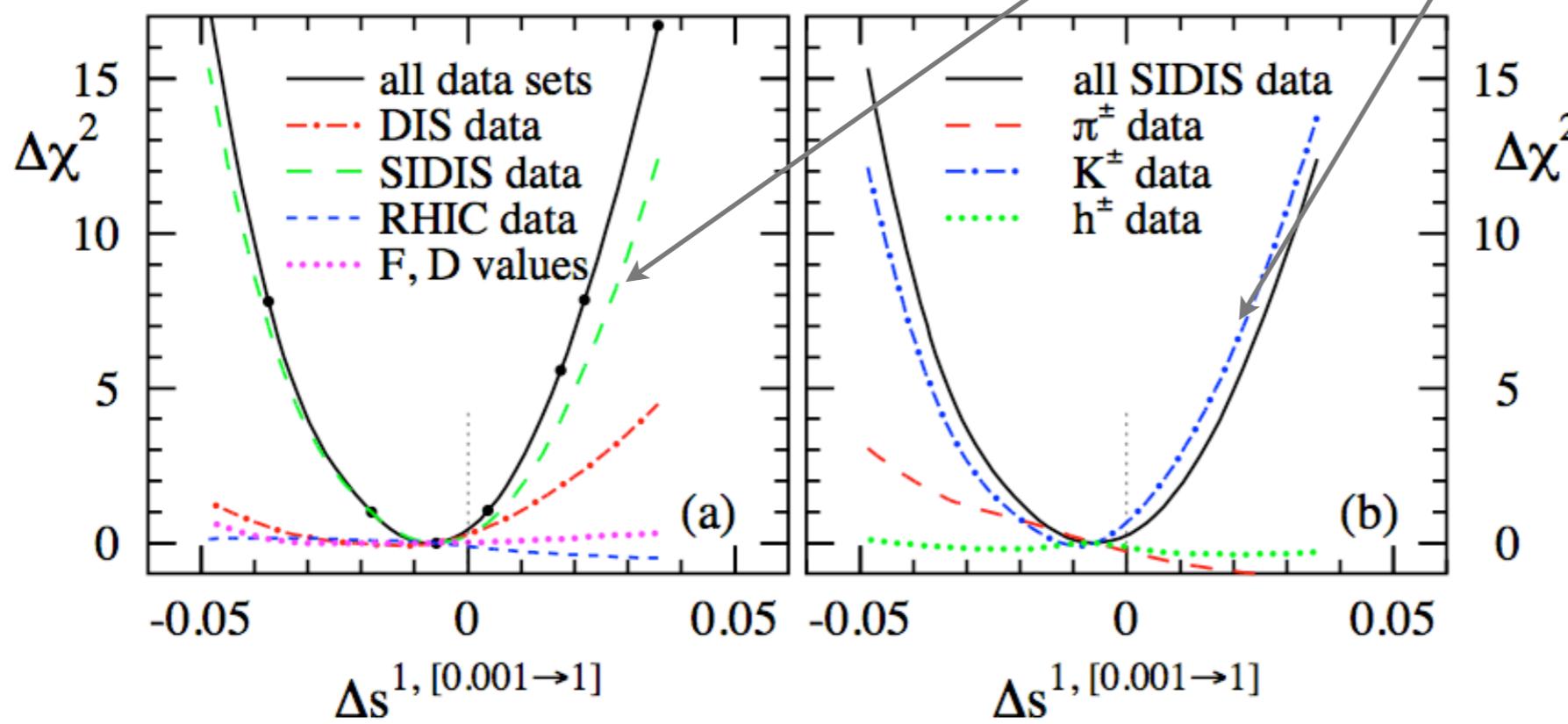
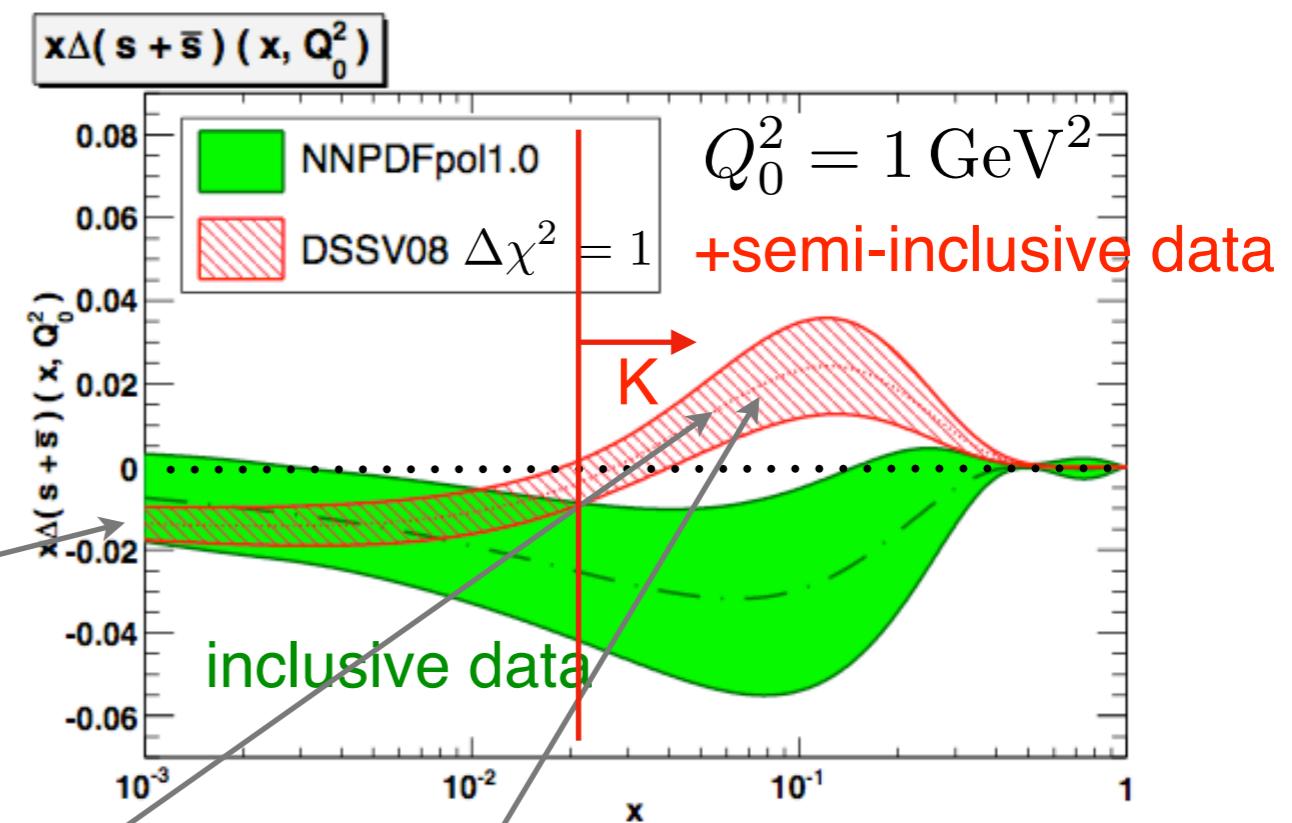


# Nucleon spin - strange quark polarization, $\Delta s$

SIDIS relies on FF,  
in particular to Kaon FF,  
no “tension” in DSSV though,  
Larger F, D uncertainty in NNPDF  
Lattice indicative of small net  $\Delta s$

Clear role for a future EIC

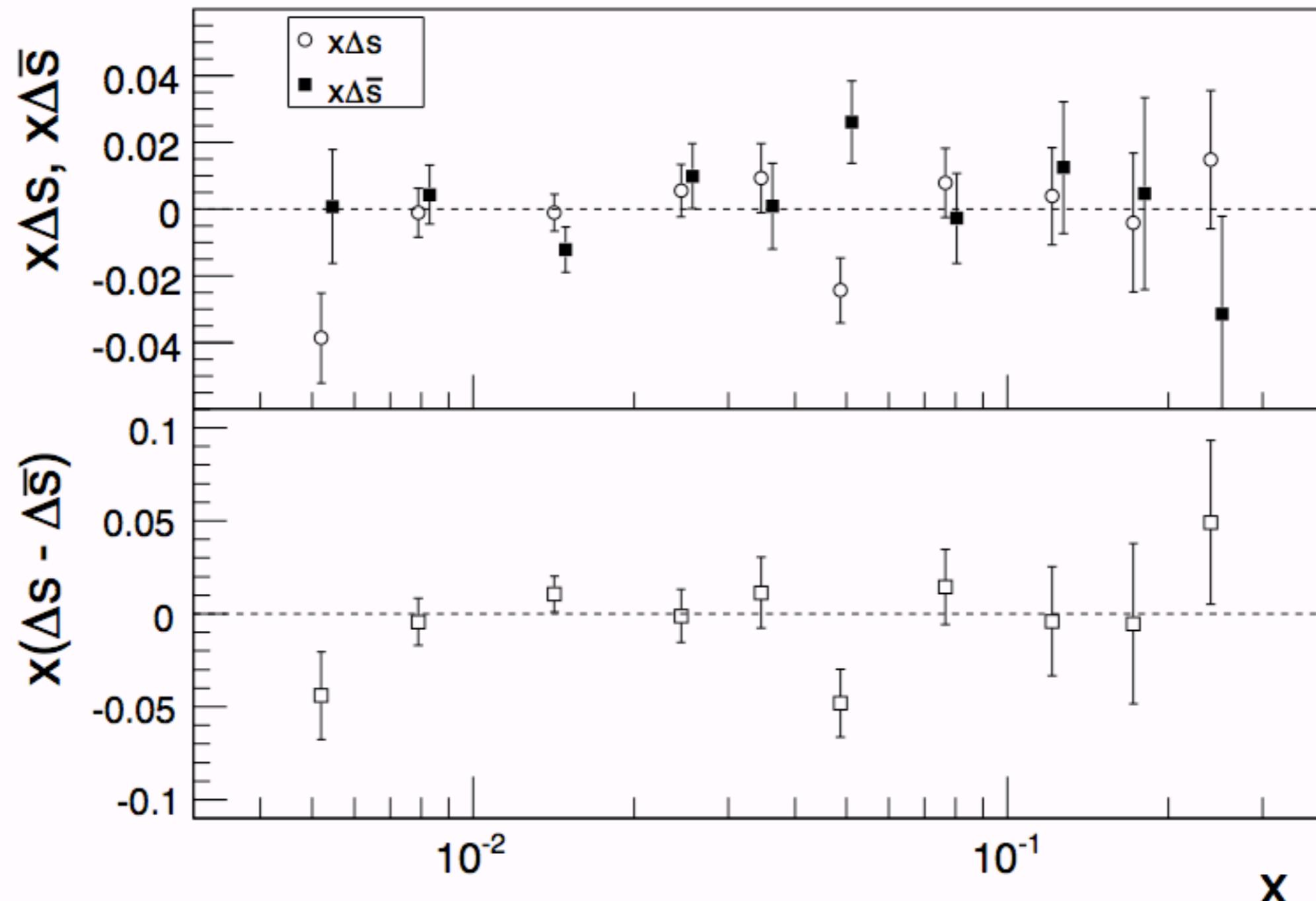
F, D constraints



DSSV: De Florian et al  
PRD 80, 034030 (2009).

# Nucleon spin - COMPASS L.O. $\Delta s$

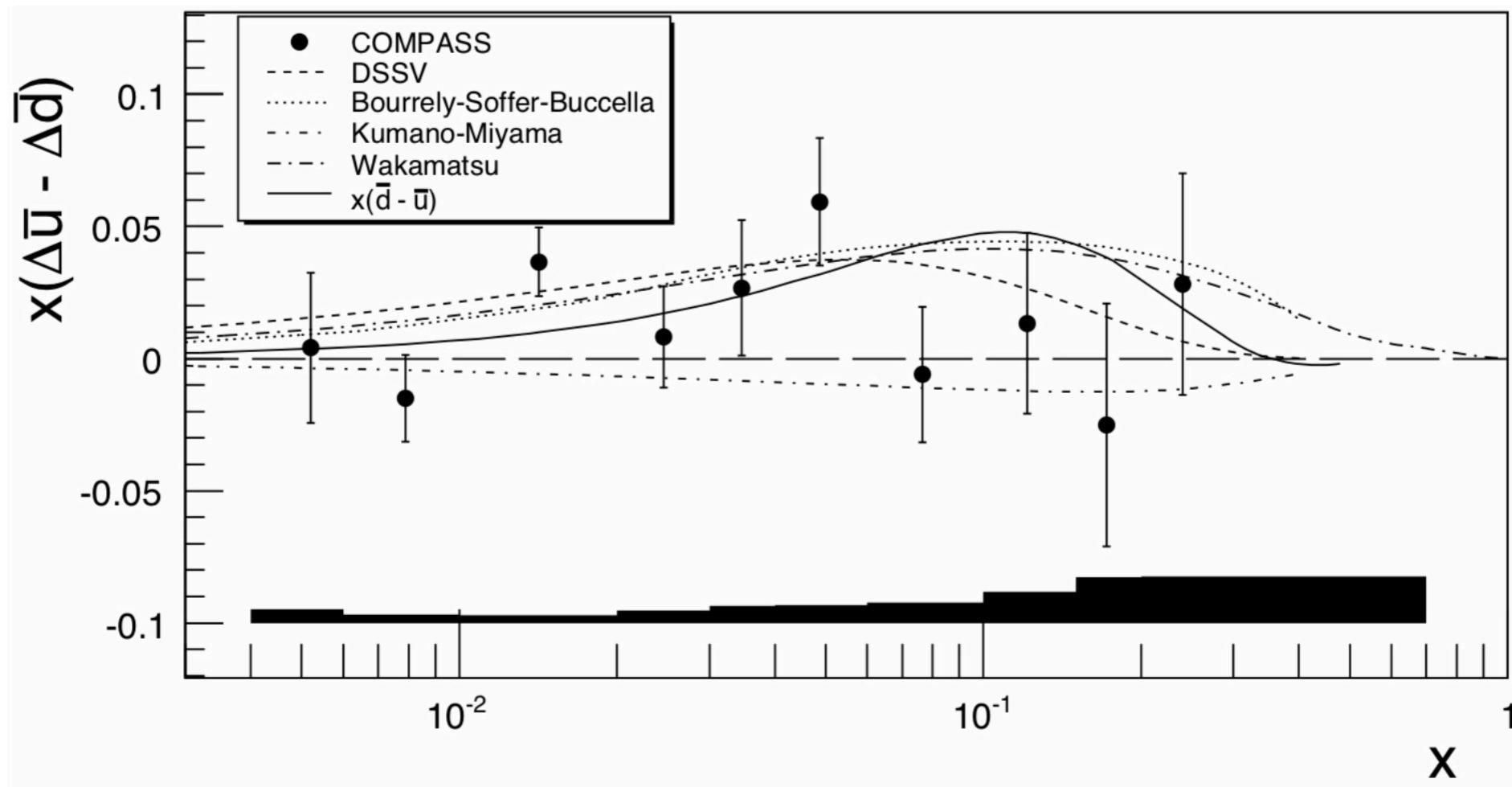
M.G. Alekseev, Phys.Lett. B693 (2010) 227-235



No evidence for negative net strange quark polarization,  
not from ( $K^+ + K^-$ ) asymmetries of  $D$  either,  
Renewed interest in FF, in-situ multiplicities, etc.

# Nucleon spin - COMPASS L.O. $\Delta\bar{u} - \Delta\bar{d}$

M.G. Alekseev, Phys.Lett. B693 (2010) 227-235

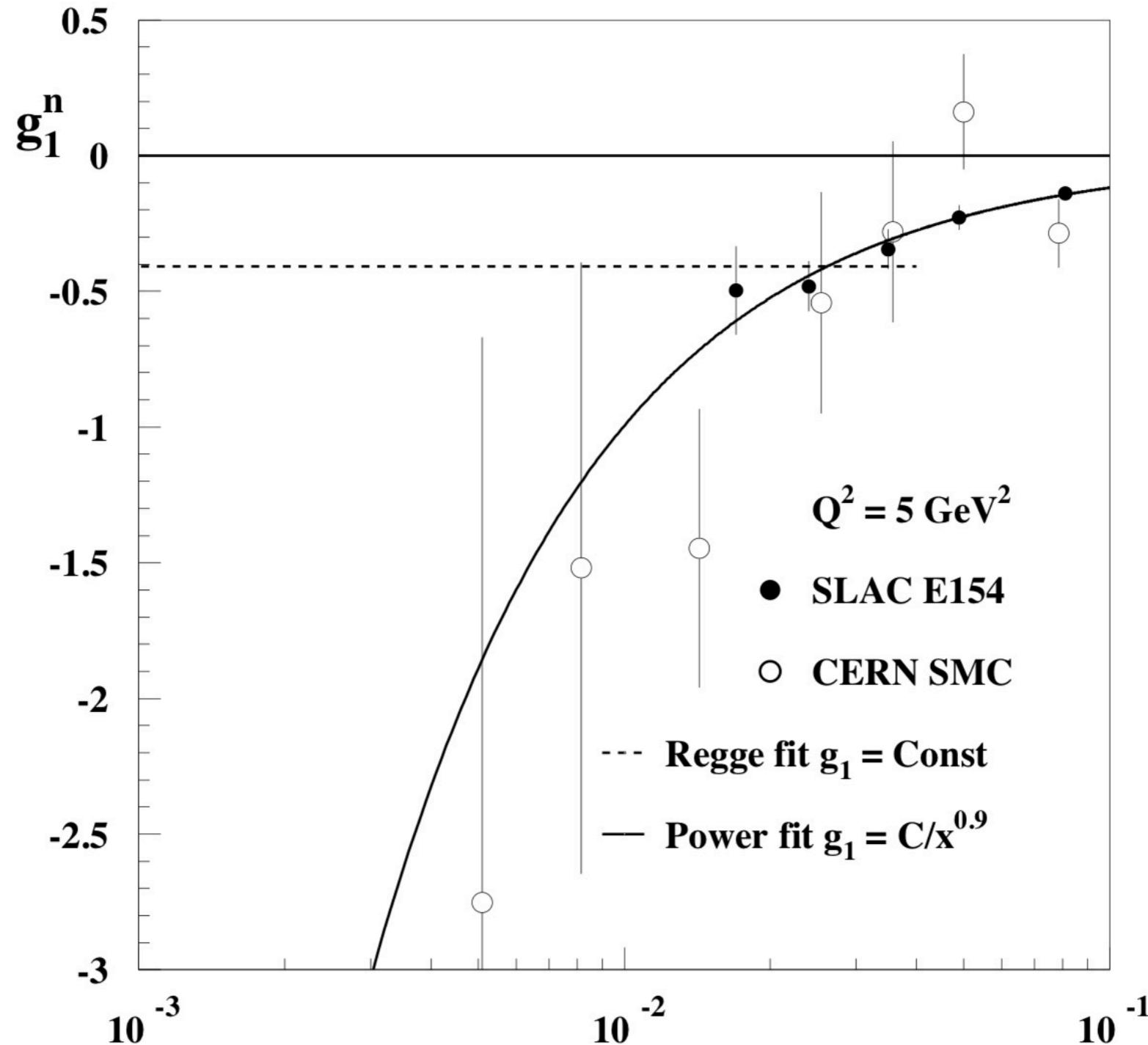


Well known flavor asymmetry in unpolarized sea,

COMPASS:  $\Delta\bar{u} - \Delta\bar{d}$ , is slightly positive, about 1.5 standard deviations from zero

# Nucleon Spin - Scaling Violations, small- $x$

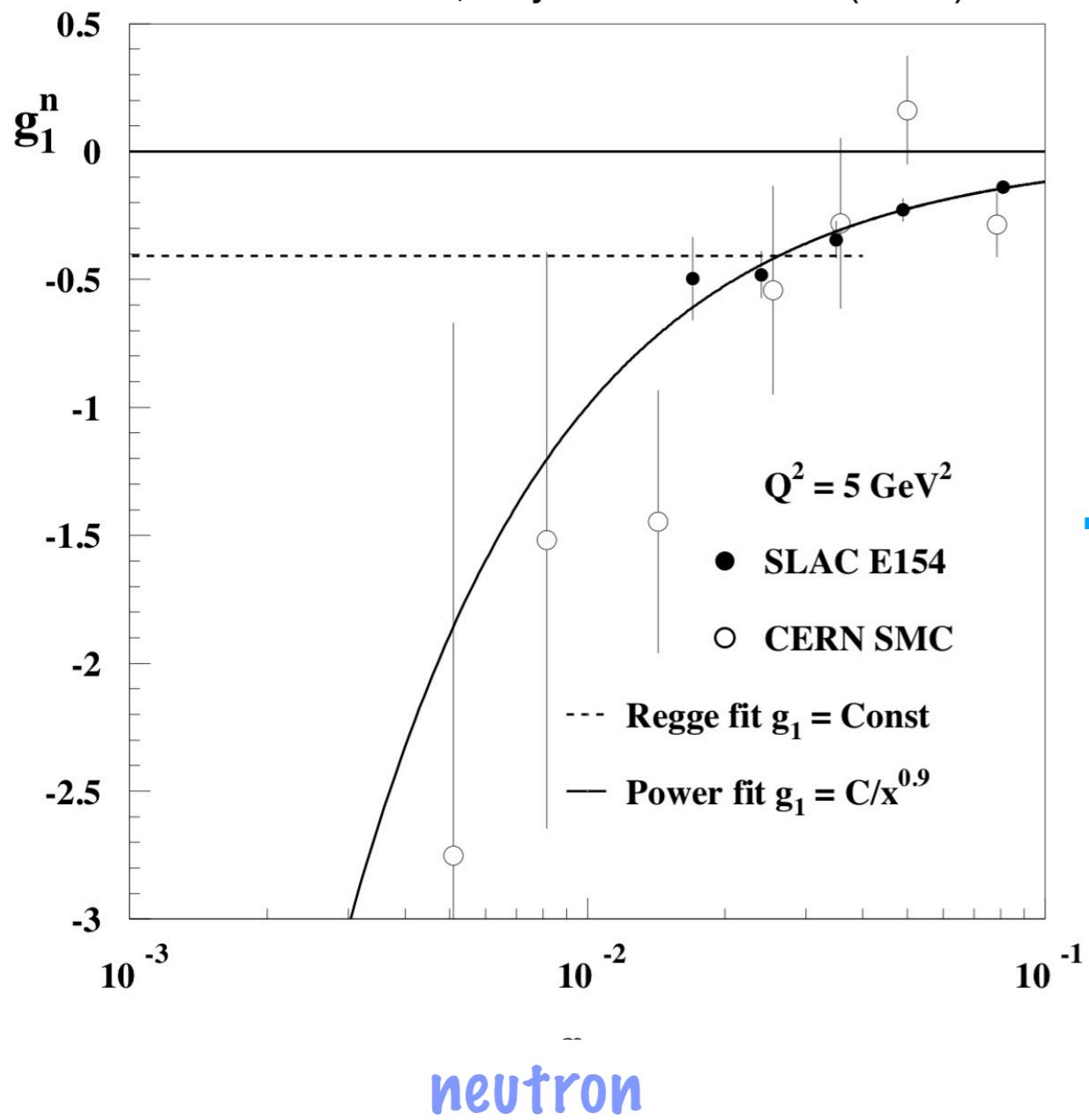
K. Abe, Phys. Rev. Lett. 79 (1997) 26



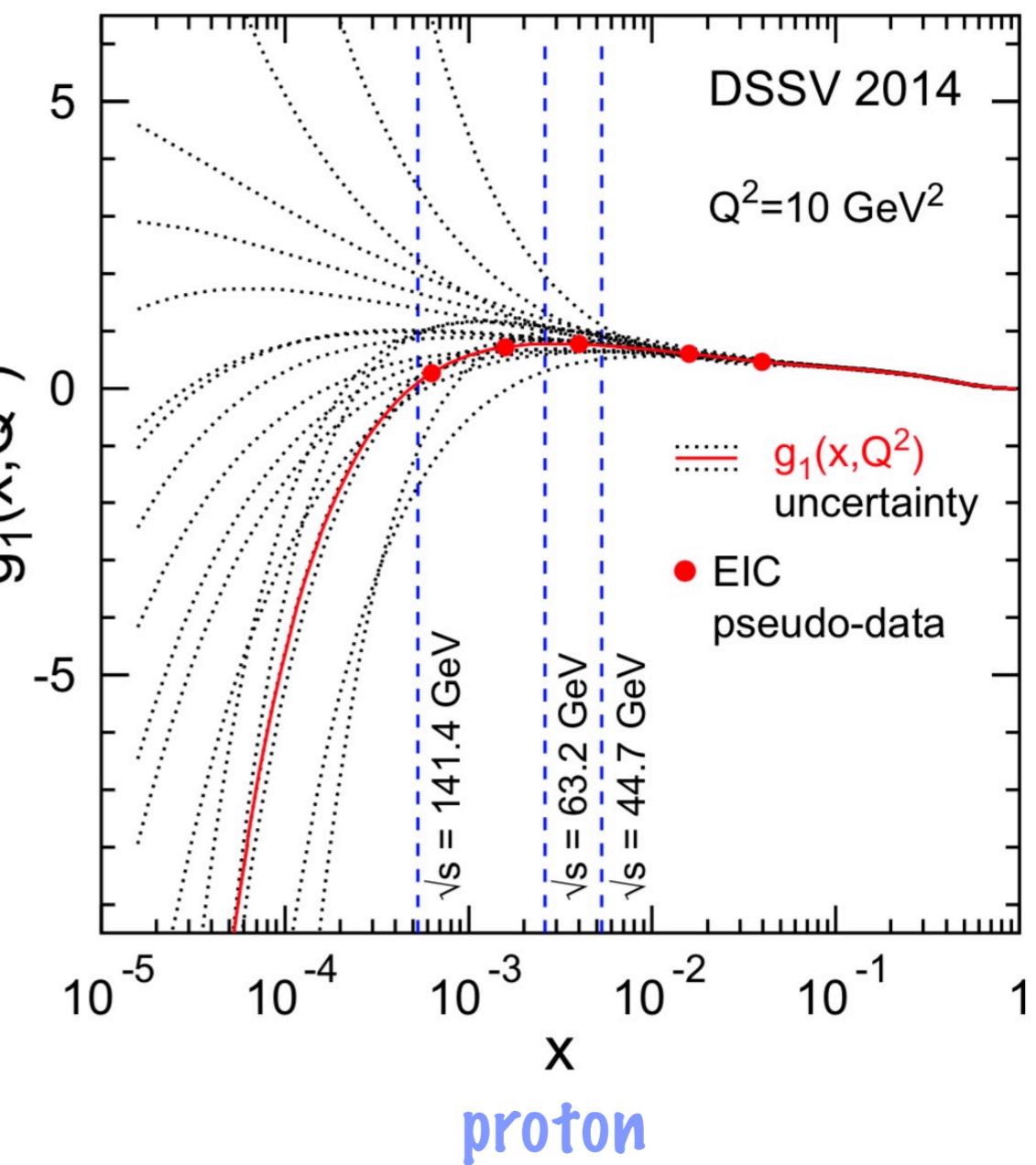
COMPASS has improved small- $x$  uncertainties by a factor,  
Nevertheless, the field has a ways to go...

# Nucleon Spin - Scaling Violations, small- $x$

K. Abe, Phys. Rev. Lett. 79 (1997) 26

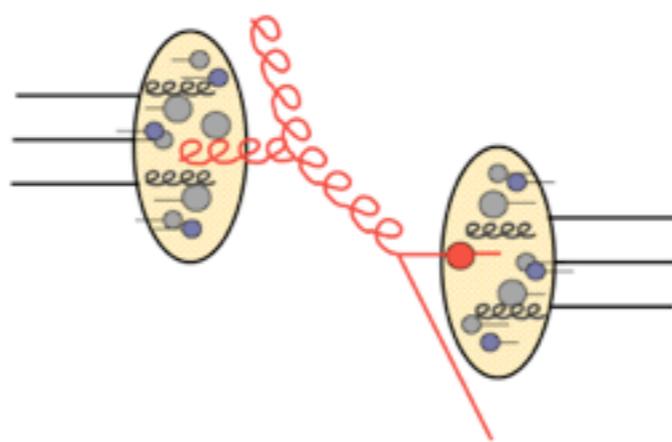


E.C. Aschenauer, ArXiv:1708.01527



Clear role and impact for a future EIC

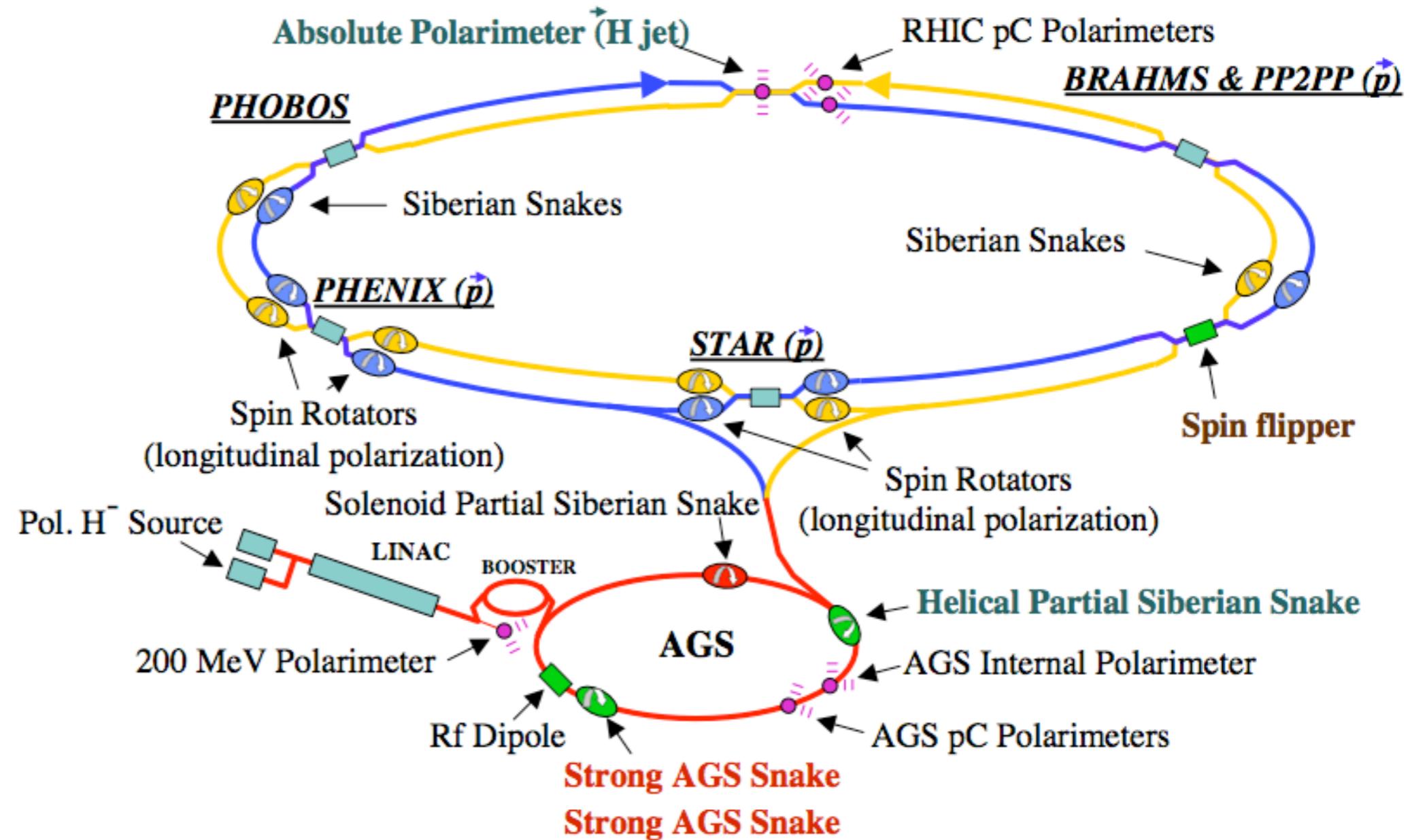
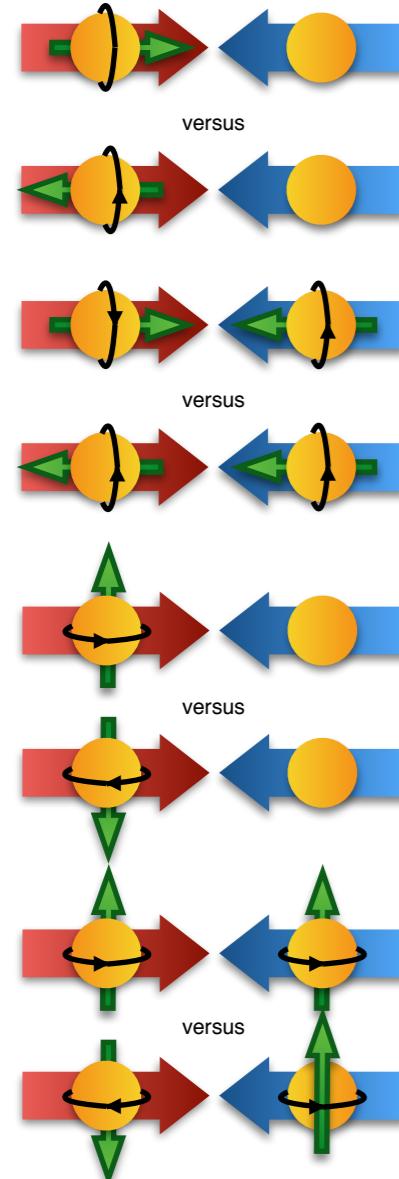
**pp**



# RHIC - Polarized Proton-Proton Collider

Unique opportunities to study nucleon spin properties and spin in **QCD**,

$\sqrt{s} = 62, 200, \text{ and } 500 \text{ GeV}$



at hard (perturbative) scales with good systematic controls, e.g. from the  $\sim 100\text{ns}$  succession of beam bunches with alternating beam spin configurations.

# RHIC - Polarized Proton-Proton Collider

Unique opportunities to study nucleon spin properties and spin in **QCD**,

## Longitudinal data

$\sqrt{s} = 200 \text{ GeV}$

2005

2006

2009

2015

$\sqrt{s} = 500 \text{ GeV}$

2009

2011

2012

2013

## STAR

$35 \text{ pb}^{-1}$

$50 \text{ pb}^{-1}$

$350 \text{ pb}^{-1}$

## Transverse data

$\sqrt{s} = 200 \text{ GeV}$

2006

2008

2012

2015

$\sqrt{s} = 500 \text{ GeV}$

2011

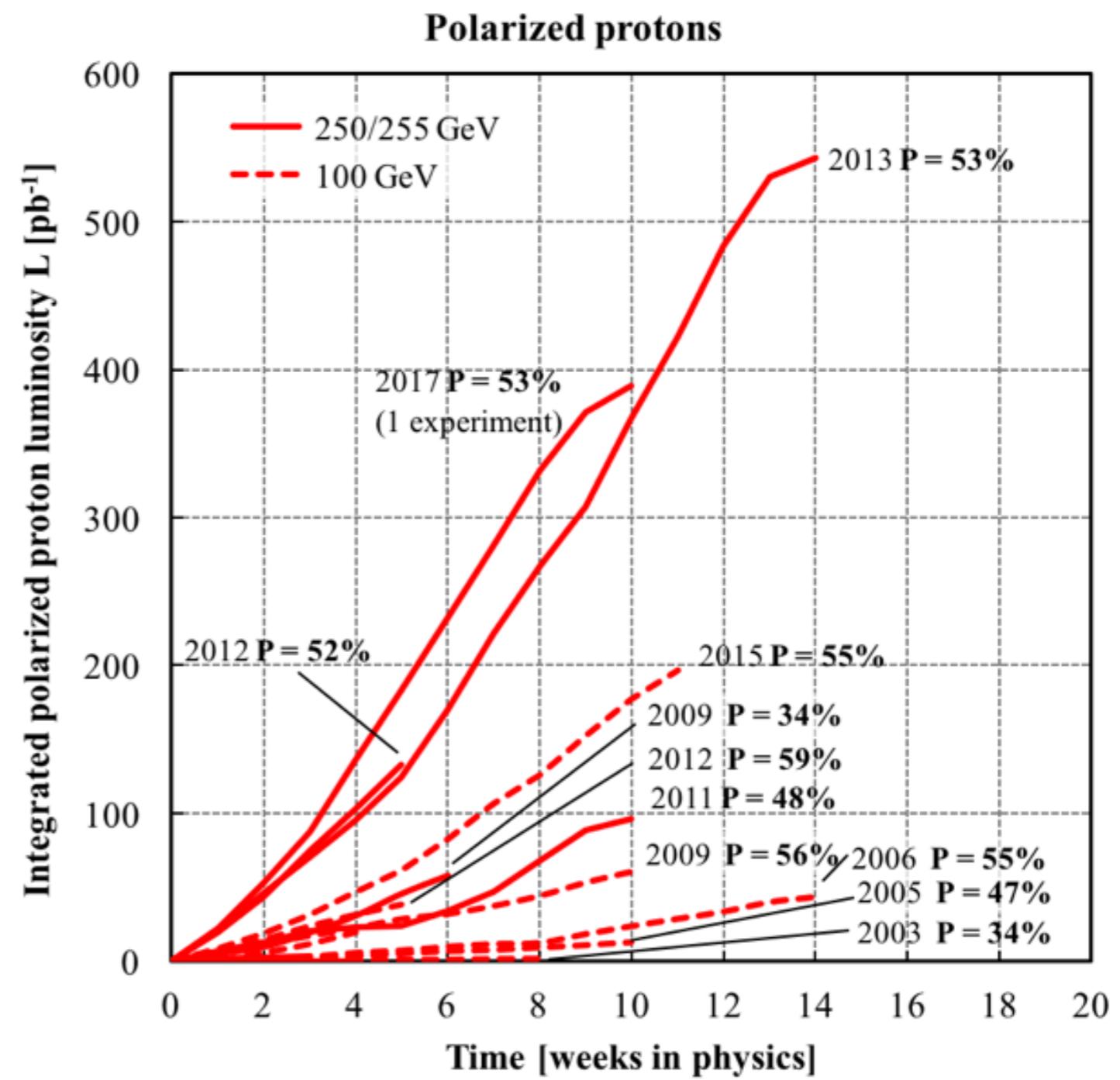
2017

$38 \text{ pb}^{-1}$

$50 \text{ pb}^{-1}$

$25 \text{ pb}^{-1}$

$350 \text{ pb}^{-1}$



50-60% polarization

# Gluon Polarization at RHIC

**Measurement:**  $A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \stackrel{?}{=} \sum_{f=q,g} \frac{\Delta f_1}{f_1} \otimes \frac{\Delta f_2}{f_2} \otimes \hat{a}_{LL} \otimes (\text{fragmentation functions})$

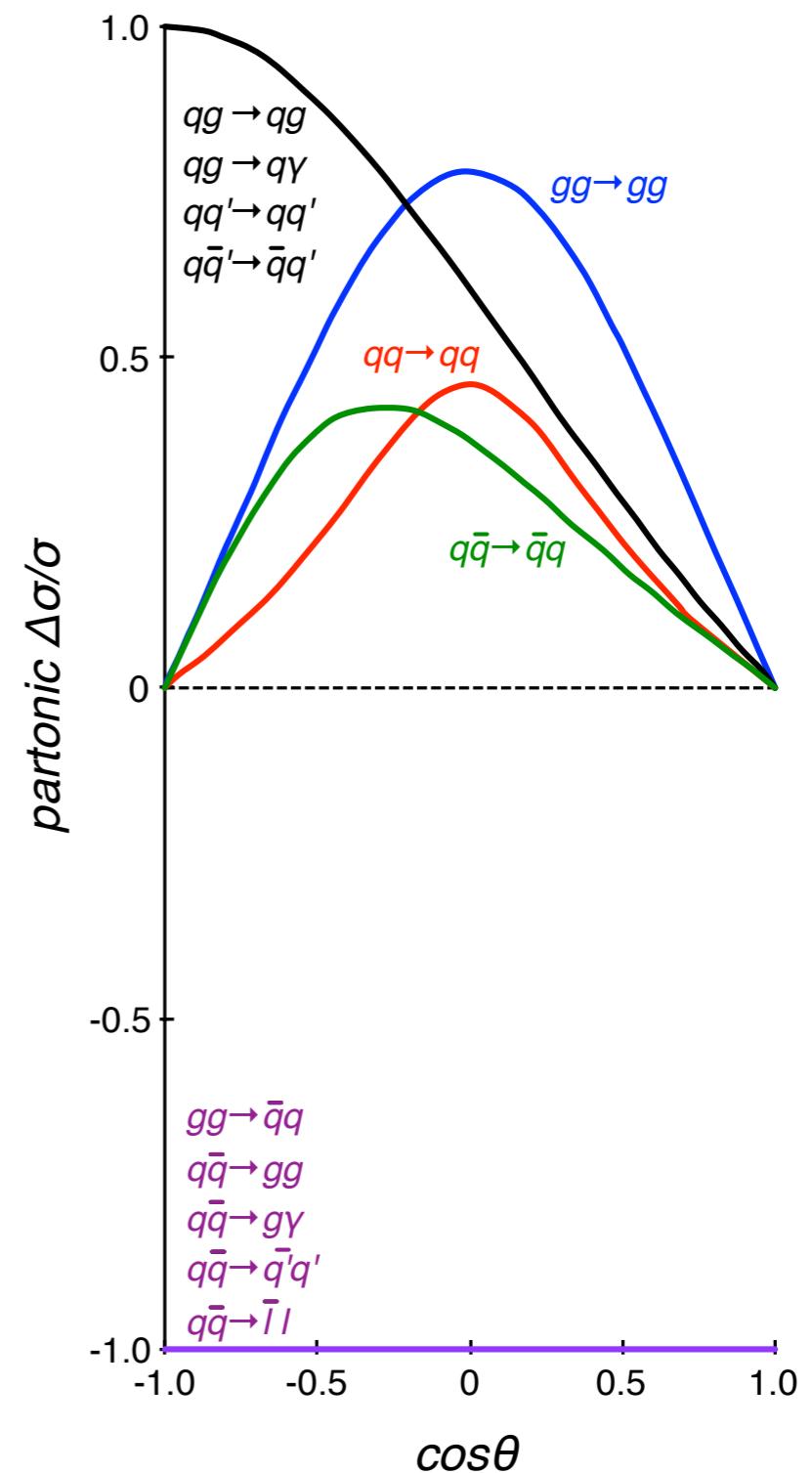
- Detect and reconstruct particle, jet,
  - Extract beam-spin dependent yields,
  - Measure relative luminosity, beam polarization
  - Evaluate double beam-helicity asymmetry

## Advantages:

- High yields of neutral pions, jets at RHIC,
  - Relatively straightforward triggering,
  - Understood reconstruction techniques,
  - Sizable partonic asymmetries

## Disadvantages:

- Contributions from several sub-processes,
  - Wide  $x_g$  range sampled for each fixed  $p_T$
  - $x_g, x_g \sim p_T/\sqrt{s} \cdot \exp(-\eta)$



# Gluon Polarization at RHIC

Measurement:  $A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \stackrel{?}{=} \sum_{f=q,g} \frac{\Delta f_1}{f_1} \otimes \frac{\Delta f_2}{f_2} \otimes \hat{a}_{LL} \otimes (\text{fragmentation functions})$

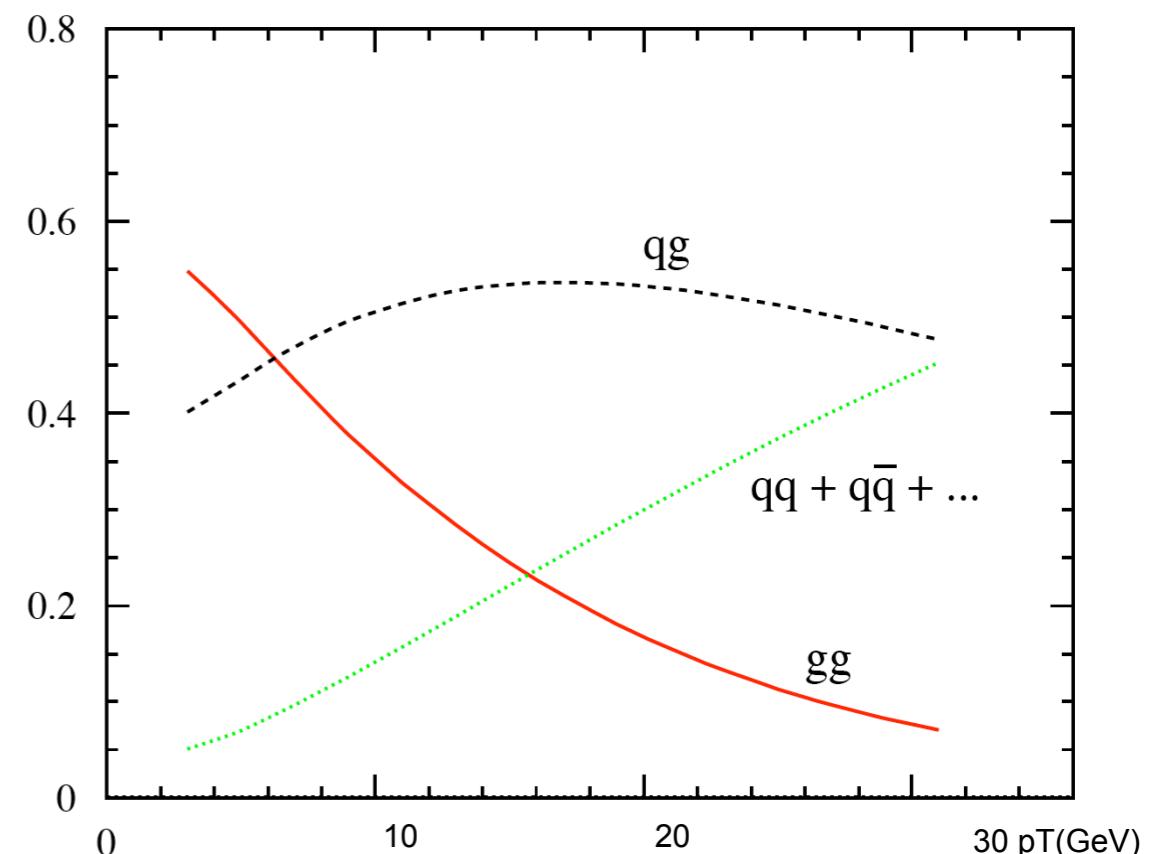
- Detect and reconstruct particle, jet,
- Extract beam-spin dependent yields,
- Measure relative luminosity, beam polarization
- Evaluate double beam-helicity asymmetry

Advantages:

- High yields of neutral pions, jets at RHIC,
- Relatively straightforward triggering,
- Understood reconstruction techniques,
- Sizable partonic asymmetries

Disadvantages:

- Contributions from several sub-processes,
  - Wide  $x_g$  range sampled for each fixed  $p_T$
  - $x_g, x_q \sim p_T/\sqrt{s} \cdot \exp(-\eta)$



*gluon-gluon* and *quark-gluon* scattering contributions dominate.

# Gluon Polarization at RHIC

Measurement:  $A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \stackrel{?}{=} \sum_{f=q,g} \frac{\Delta f_1}{f_1} \otimes \frac{\Delta f_2}{f_2} \otimes \hat{a}_{LL} \otimes (\text{fragmentation functions})$

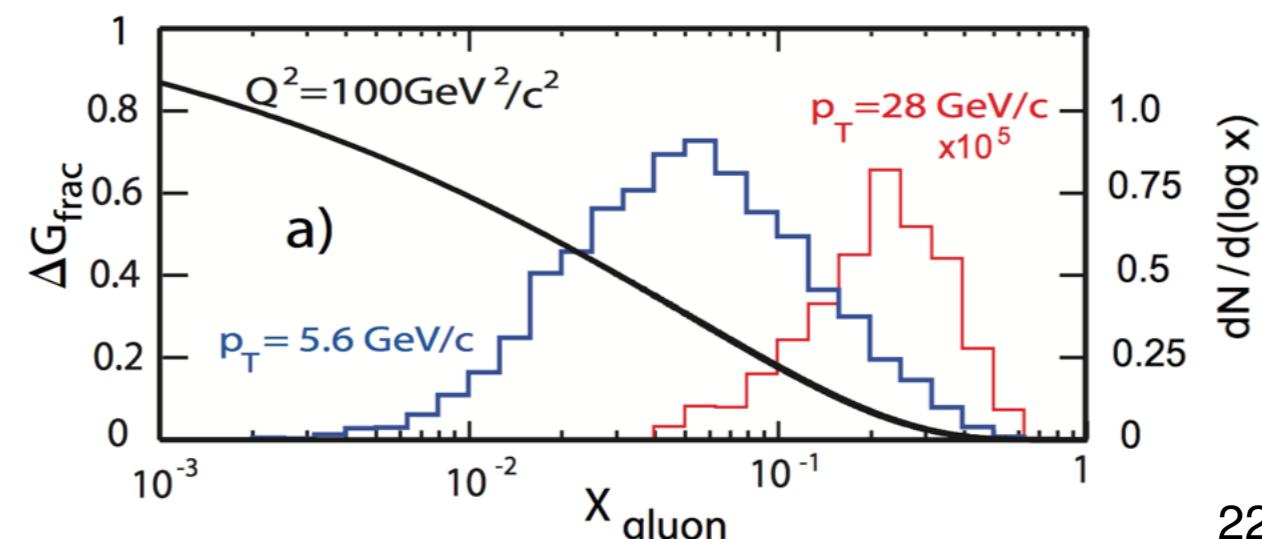
- Detect and reconstruct particle, jet,
- Extract beam-spin dependent yields,
- Measure relative luminosity, beam polarization
- Evaluate double beam-helicity asymmetry

Advantages:

- High yields of neutral pions, jets at RHIC,
- Relatively straightforward triggering,
- Relatively simple reconstruction,
- Sizable partonic asymmetries

Disadvantages:

- Contributions from several sub-processes,
- Wide  $x_g$  range sampled for each fixed  $p_T$
- $x_g, x_q \sim p_T/\sqrt{s} \cdot \exp(-\eta)$



# Gluon Polarization at RHIC

Measurement:  $A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \stackrel{?}{=} \sum_{f=q,g} \frac{\Delta f_1}{f_1} \otimes \frac{\Delta f_2}{f_2} \otimes \hat{a}_{LL} \otimes (\text{fragmentation functions})$

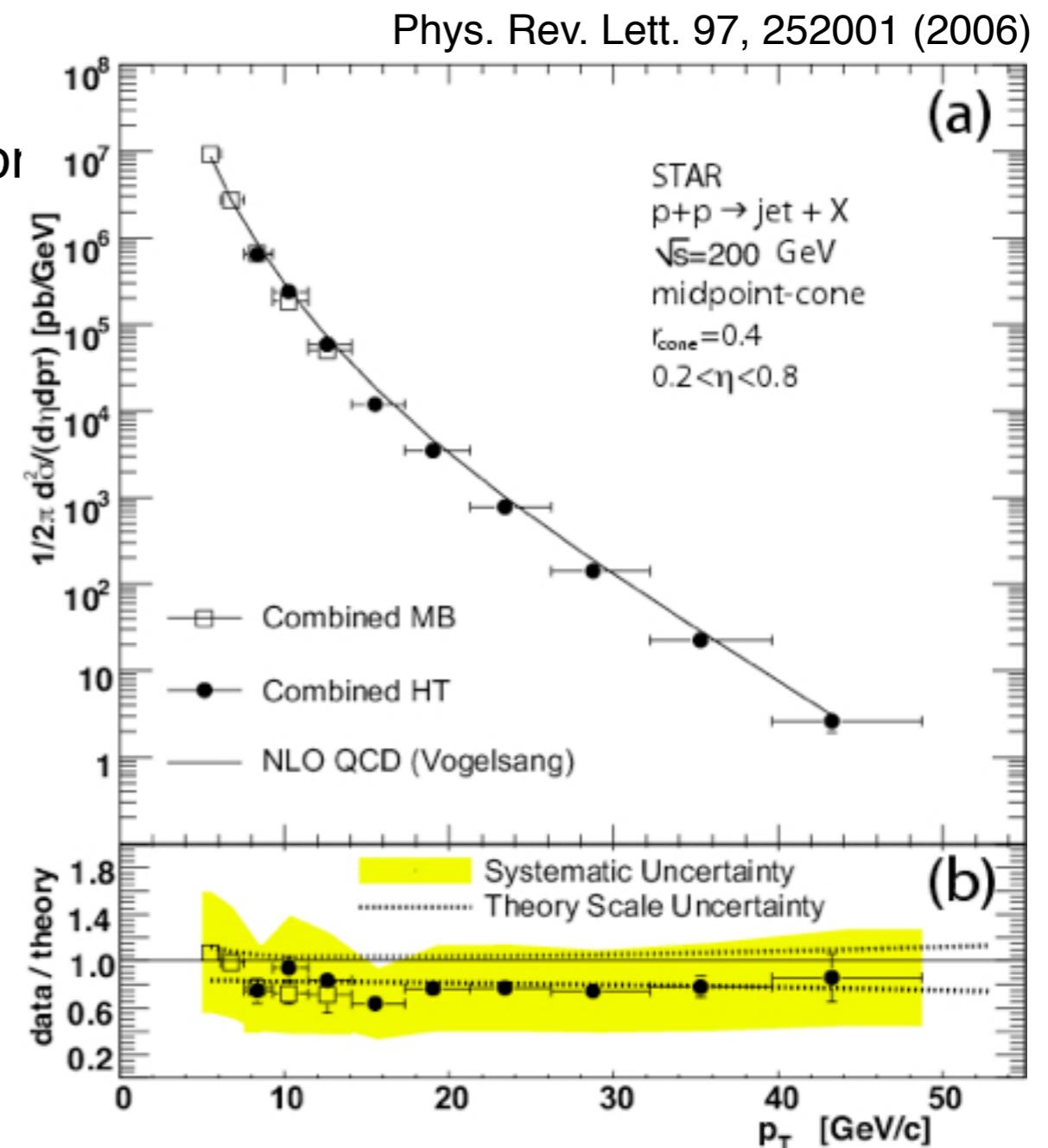
- Detect and reconstruct particle, jet,
- Extract beam-spin dependent yields,
- Measure relative luminosity, beam polarization
- Evaluate double beam-helicity asymmetry

Advantages:

- High yields of neutral pions, jets at RHIC,
- Relatively straightforward triggering,
- Relatively simple reconstruction,
- Sizable partonic asymmetries

Disadvantages:

- Contributions from several sub-processes,
- Wide  $x_g$  range sampled for each fixed  $p_T$
- $x_g, x_q \sim p_T/\sqrt{s} \cdot \exp(-\eta)$

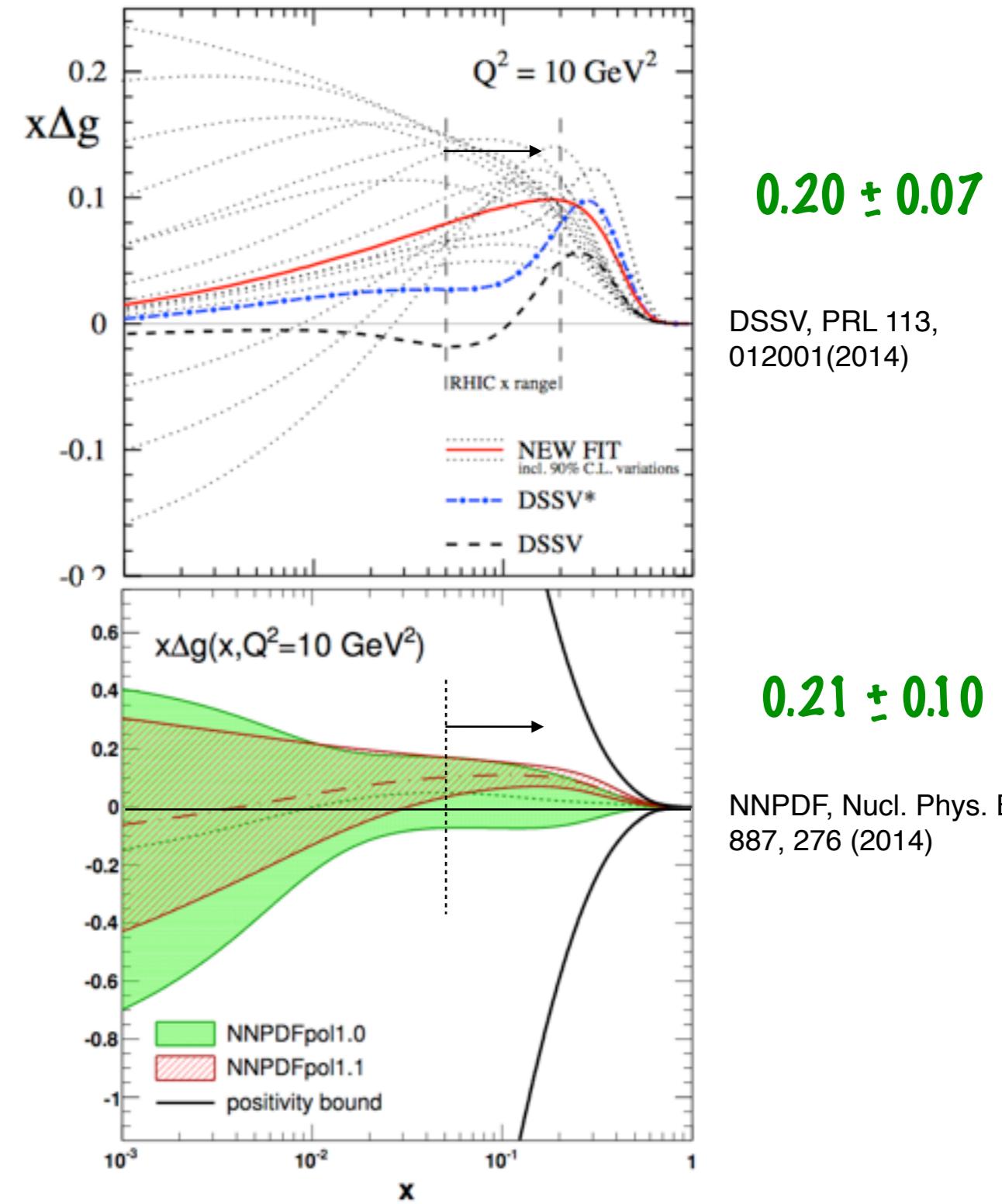
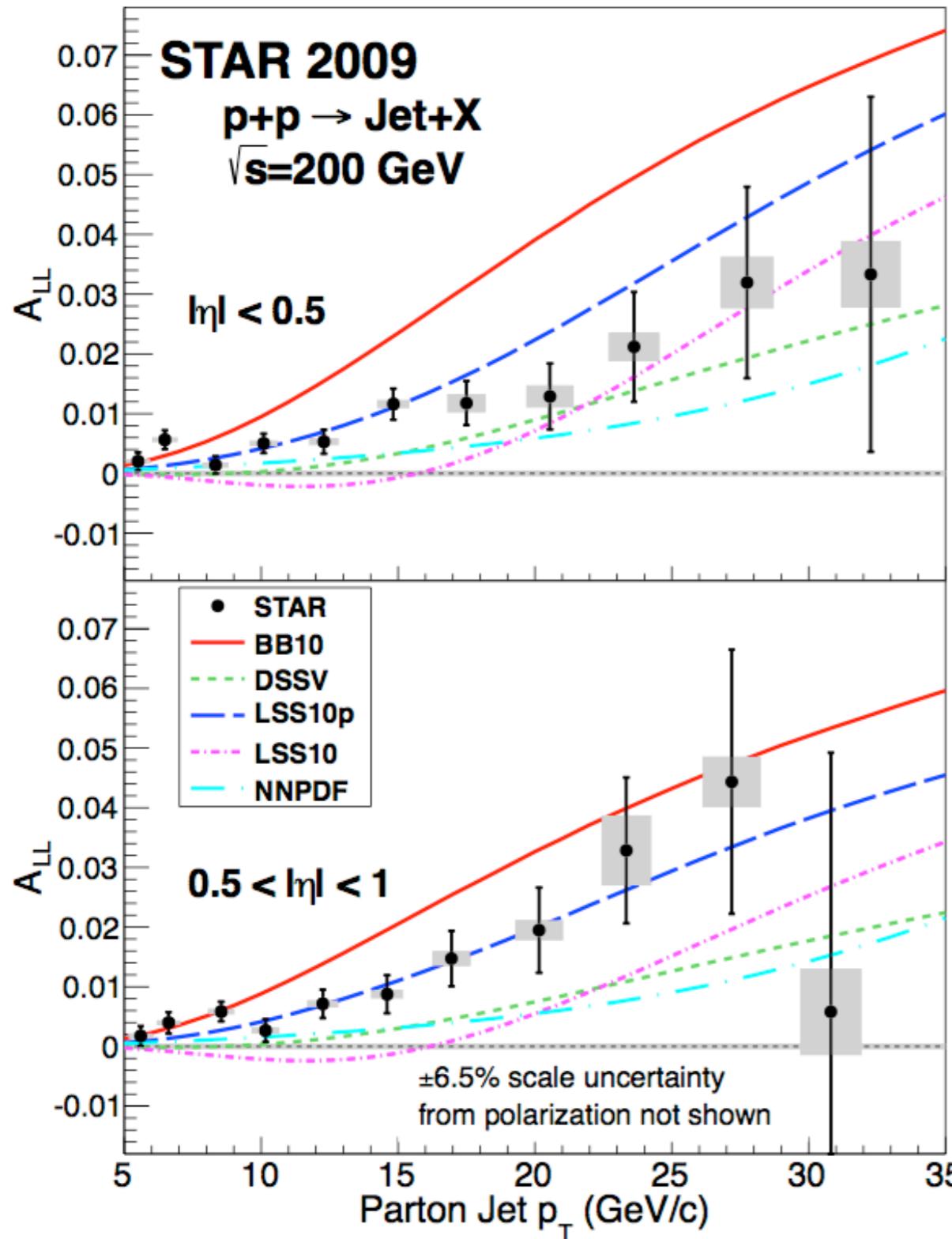


NLO pQCD agrees well with the “numerator”

$\Delta G$

# Gluon Polarization from RHIC

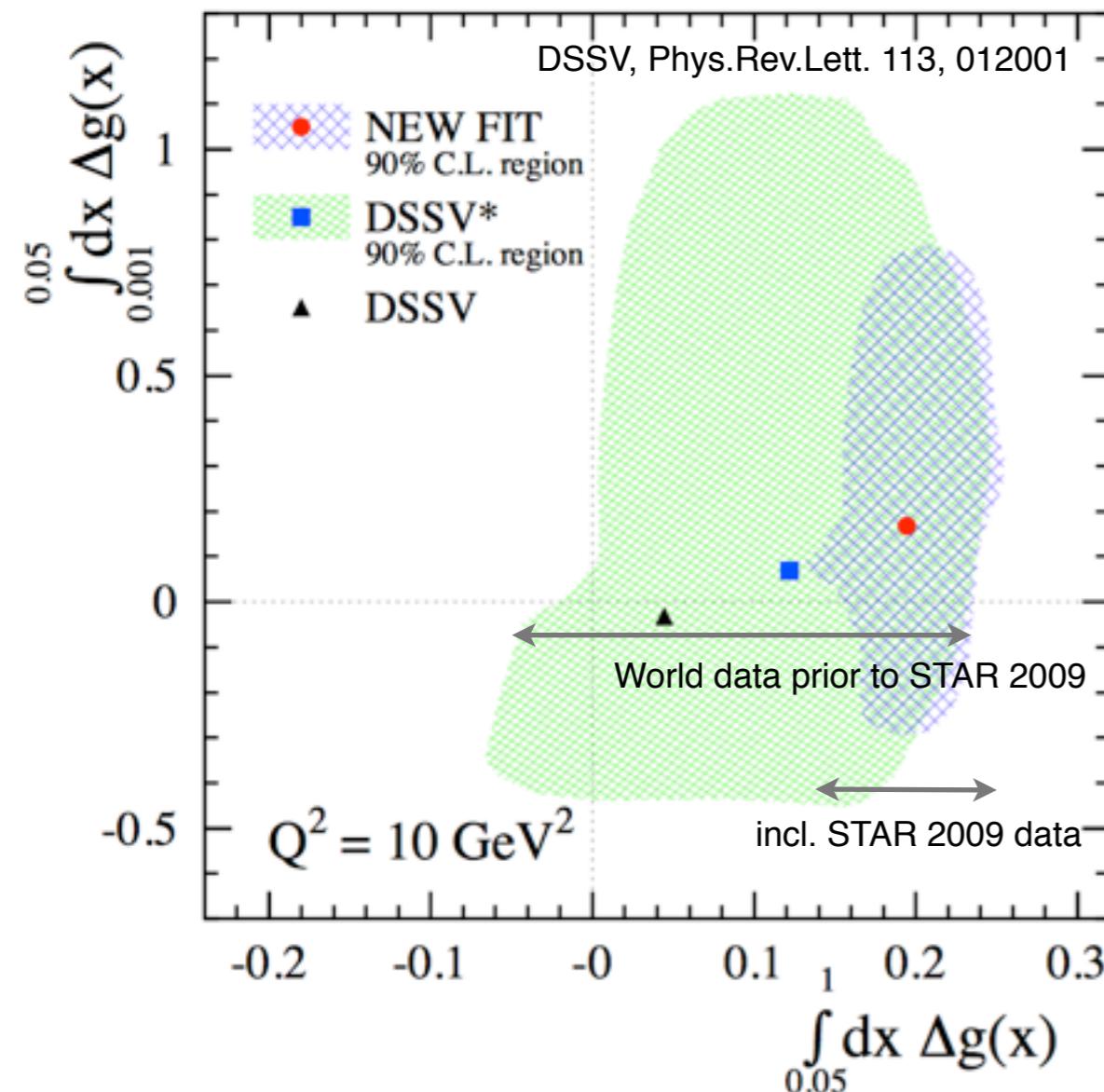
Phys.Rev.Lett 115 (2015) 092002



Gluon polarization is positive in the region of the data:  $-0.2 \hbar$

# Gluon Polarization

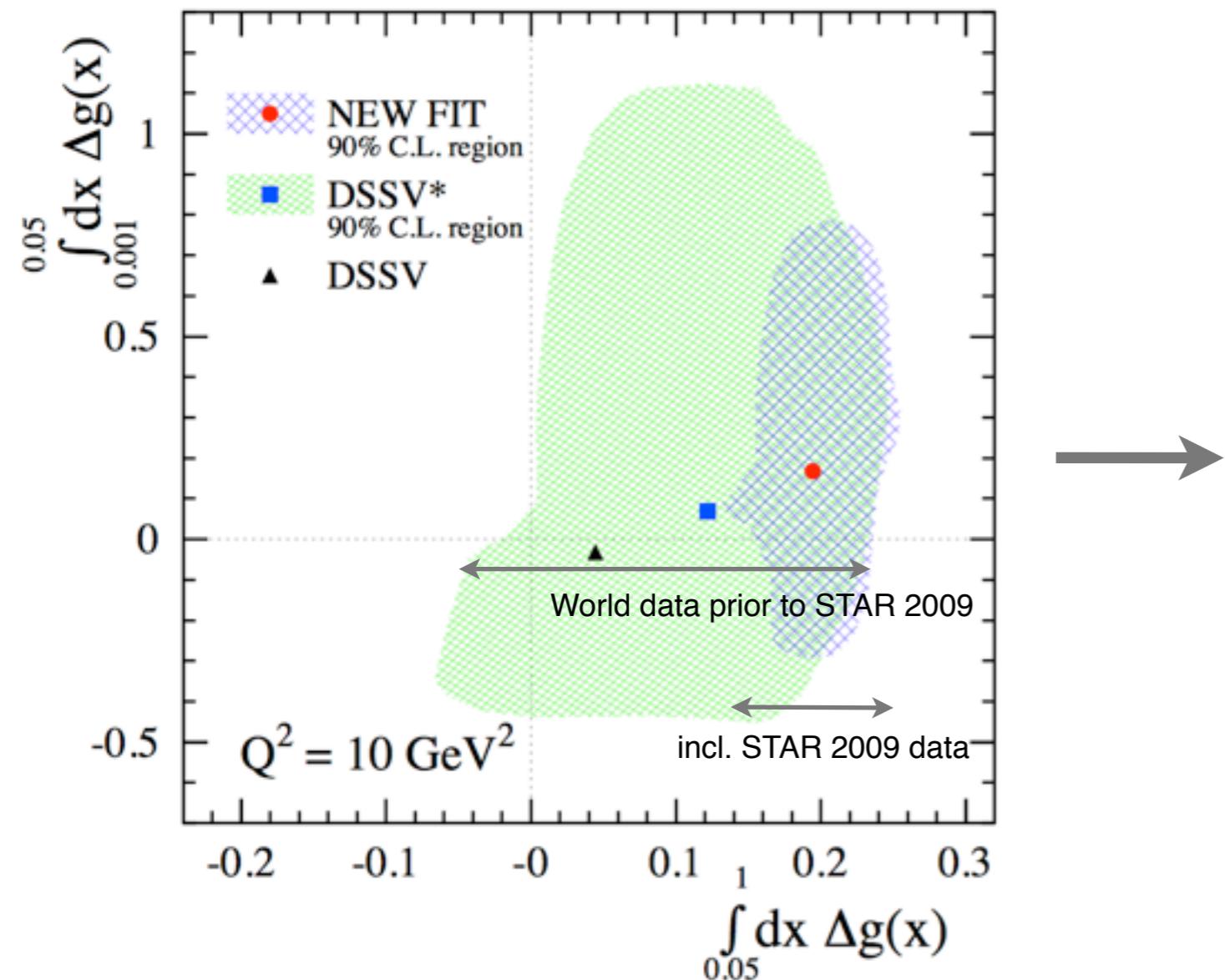
Evidence for positive gluon polarization



Easy to “hide” 1 h in the unmeasured region

# Gluon Polarization

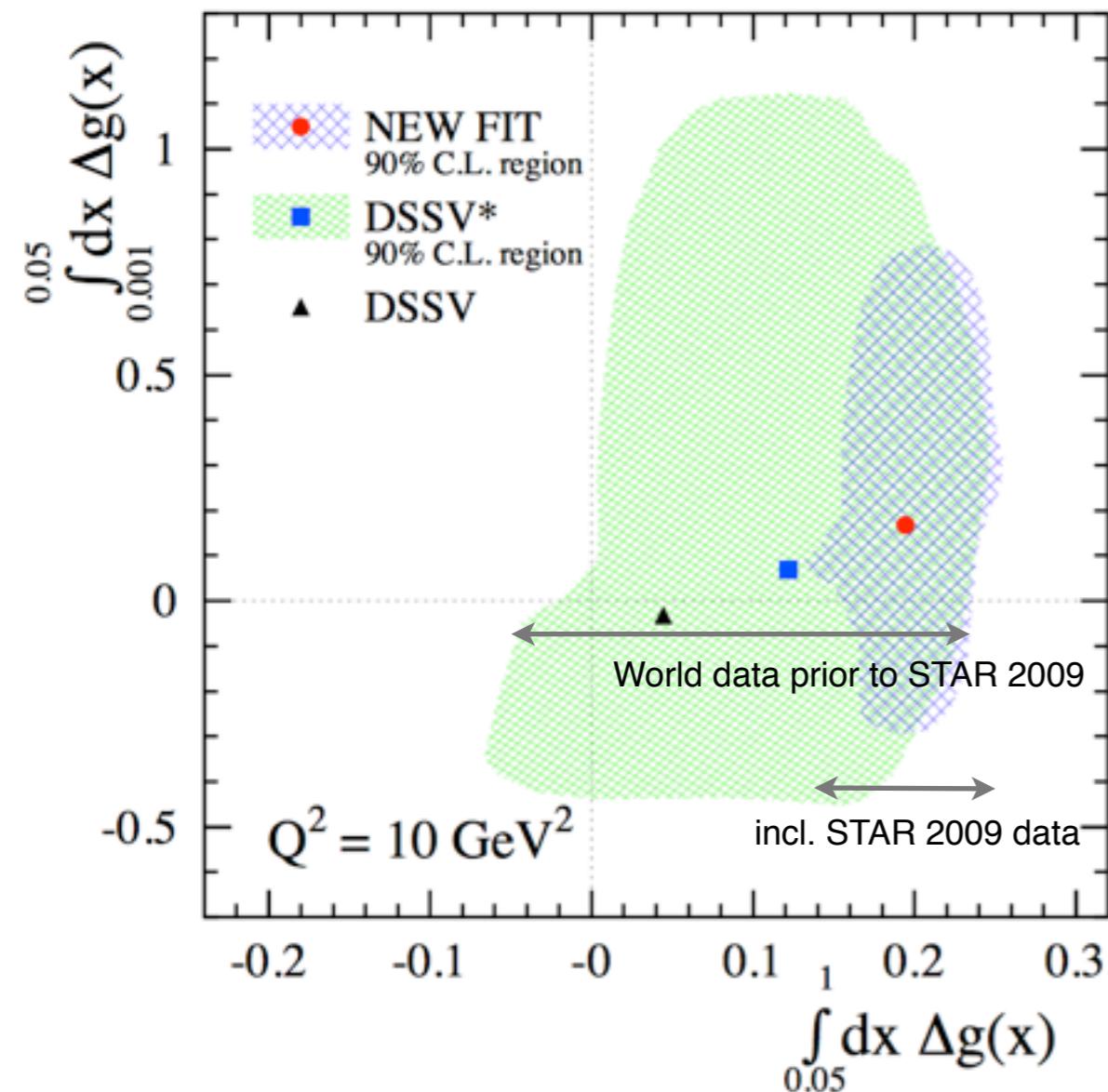
DSSV, Phys.Rev.Lett. 113, 012001



Extend sensitivity to *smaller*  $x_g$   
 $\sqrt{s} = 500 \text{ GeV}$  data,  $x_g \sim 1/\sqrt{s}$ ,  
forward rapidity,  $x_g \sim \exp(-\eta)$ ,

# Gluon Polarization

DSSV, Phys.Rev.Lett. 113, 012001



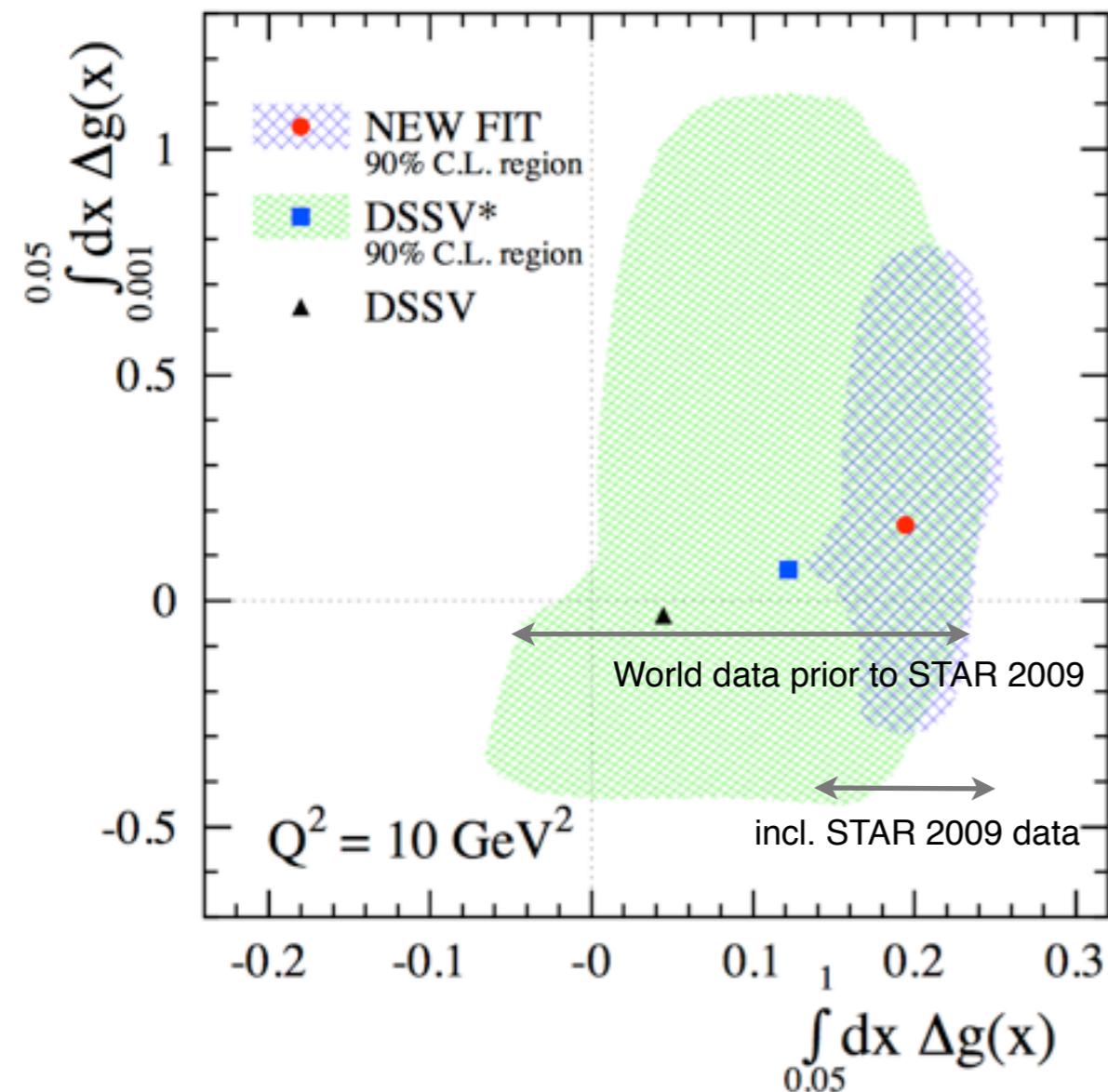
Extend sensitivity to *smaller*  $x_g$   
 $\sqrt{s} = 500 \text{ GeV}$  data,  $x_g \sim 1/\sqrt{s}$ ,  
forward rapidity,  $x_g \sim \exp(-\eta)$ ,

Further *precision* from jet and neutral pion probes, and  
from *complementary* probes

Gain insight in  $x_g$  dependence.

# Gluon Polarization

DSSV, Phys.Rev.Lett. 113, 012001



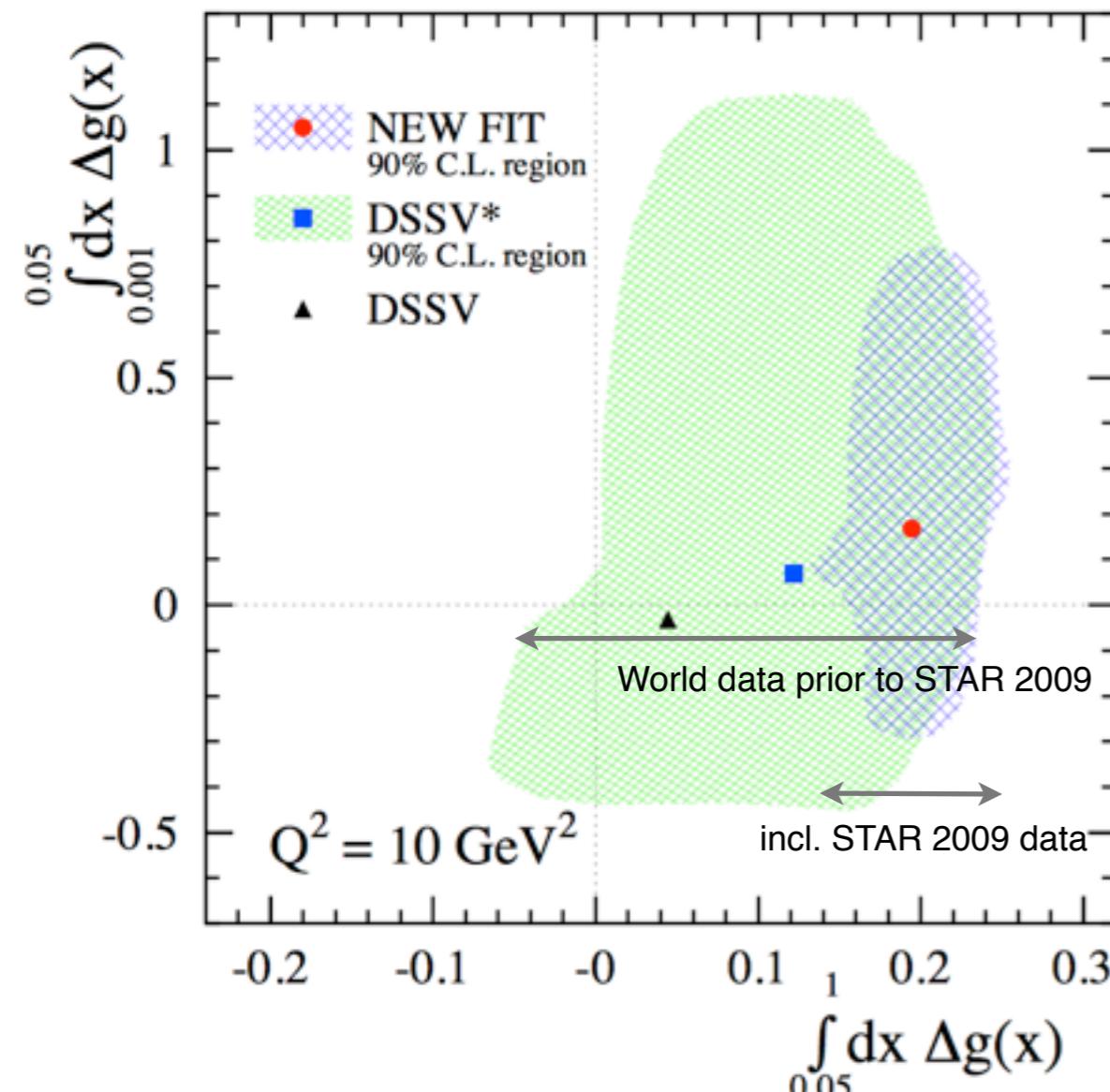
Extend sensitivity to *smaller*  $x_g$   
 $\sqrt{s} = 500 \text{ GeV}$  data,  $x_g \sim 1/\sqrt{s}$ ,  
forward rapidity,  $x_g \sim \exp(-\eta)$ ,

Further *precision* from jet and neutral pion probes, and  
from *complementary* probes

Gain insight in  $x_g$  dependence.

# Gluon Polarization

DSSV, Phys.Rev.Lett. 113, 012001



Extend sensitivity to *smaller*  $x_g$

$\sqrt{s} = 500 \text{ GeV}$  data,  $x_g \sim 1/\sqrt{s}$ ,  
forward rapidity,  $x_g \sim \exp(-\eta)$ ,

**RHIC continues to make progress and impact,  
see also Elke's talk yesterday,**

**Clear role for a future EIC**

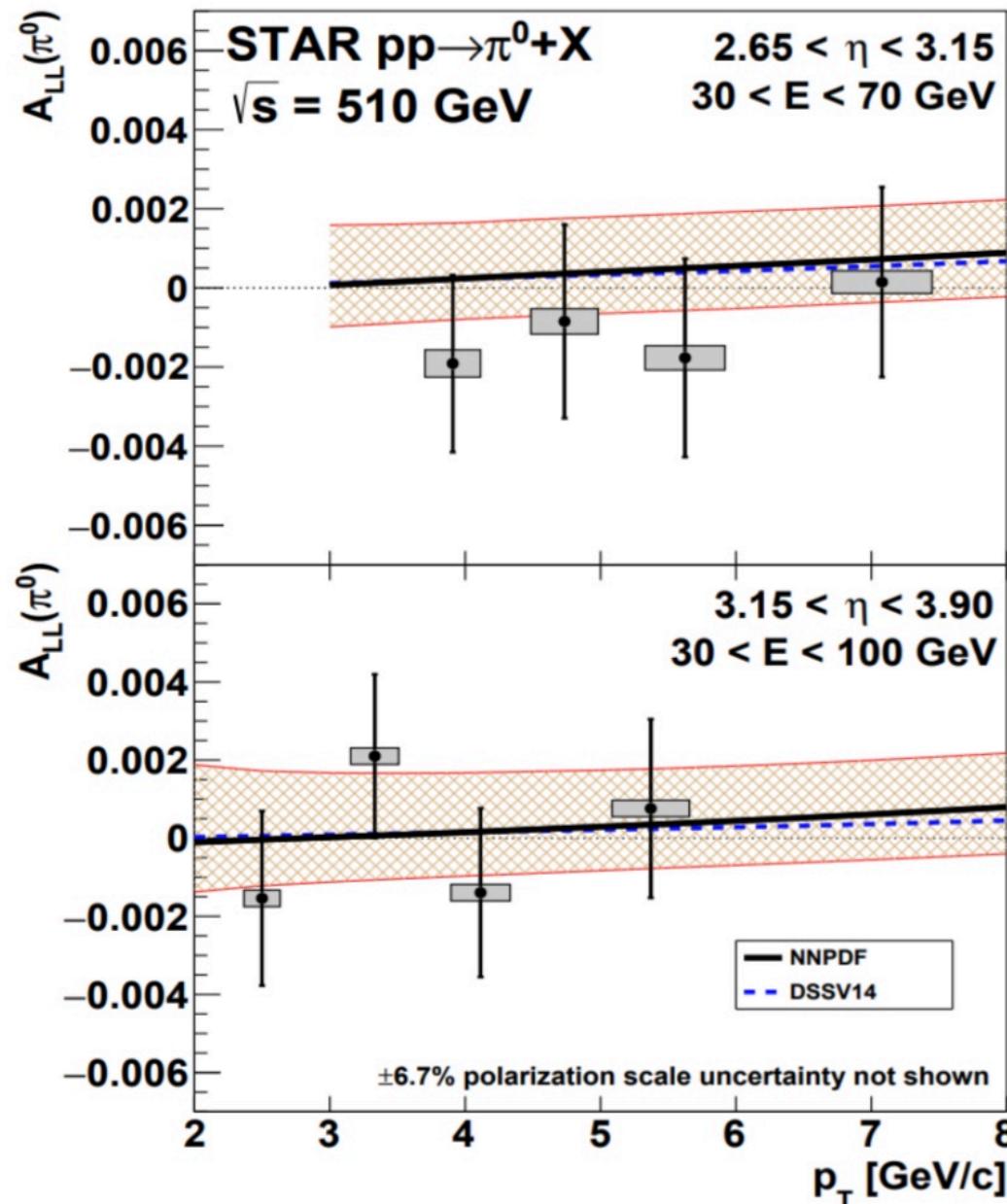
Further *precision* from jet and neutral pion probes, and  
from *complementary* probes

Gain insight in  $x_g$  dependence.

# Gluon Polarization

*An early glimpse in the forward acceptance region:*

arXiv: 1805.09745, PRD 98, 032013 (2018)



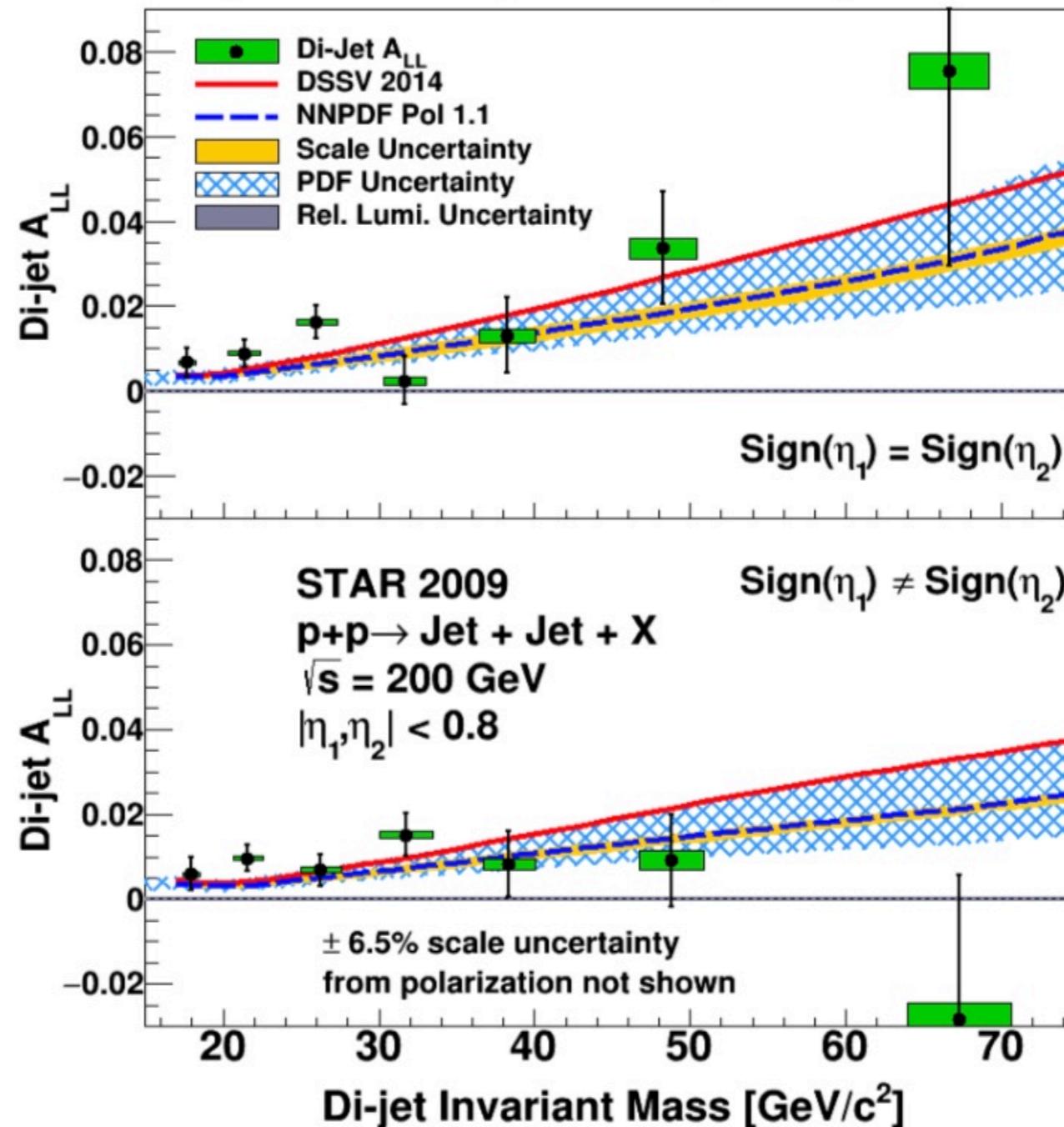
- Results are given for transverse momenta in the range  $2 < p_T < 10$  GeV/c within two regions of pseudorapidity that span  $2.65 < \eta < 3.9$ .
- These results are sensitive to the polarized gluon parton distribution function,  $\Delta g(x)$ , down to the region of parton momentum fraction  $x \sim 0.001$ .
- These results will provide the first direct experimental constraints in  $x \ll 0.01$ .

*Correlation measurements will access larger (average) partonic asymmetries.*

# Gluon Polarization

Mid-central *di-jet asymmetries*:

Phys. Rev. D 95, 071103 (2017)

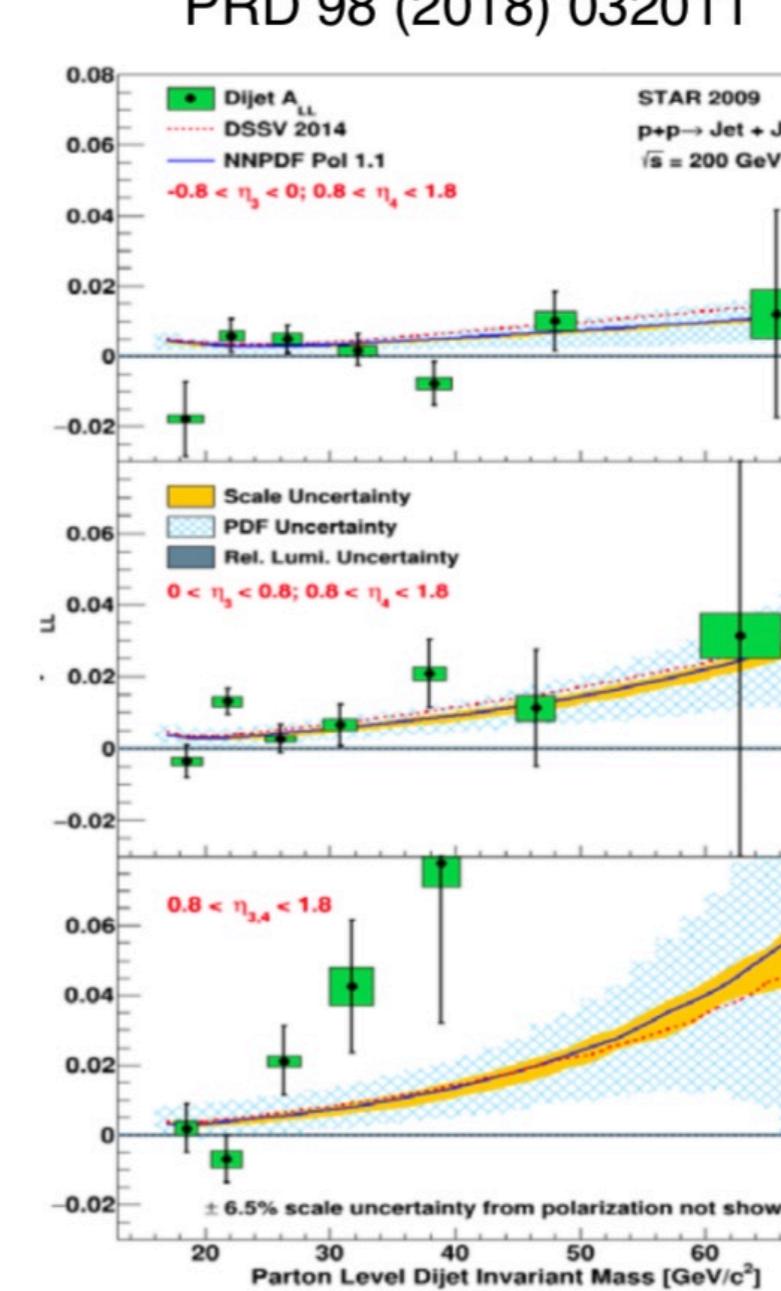
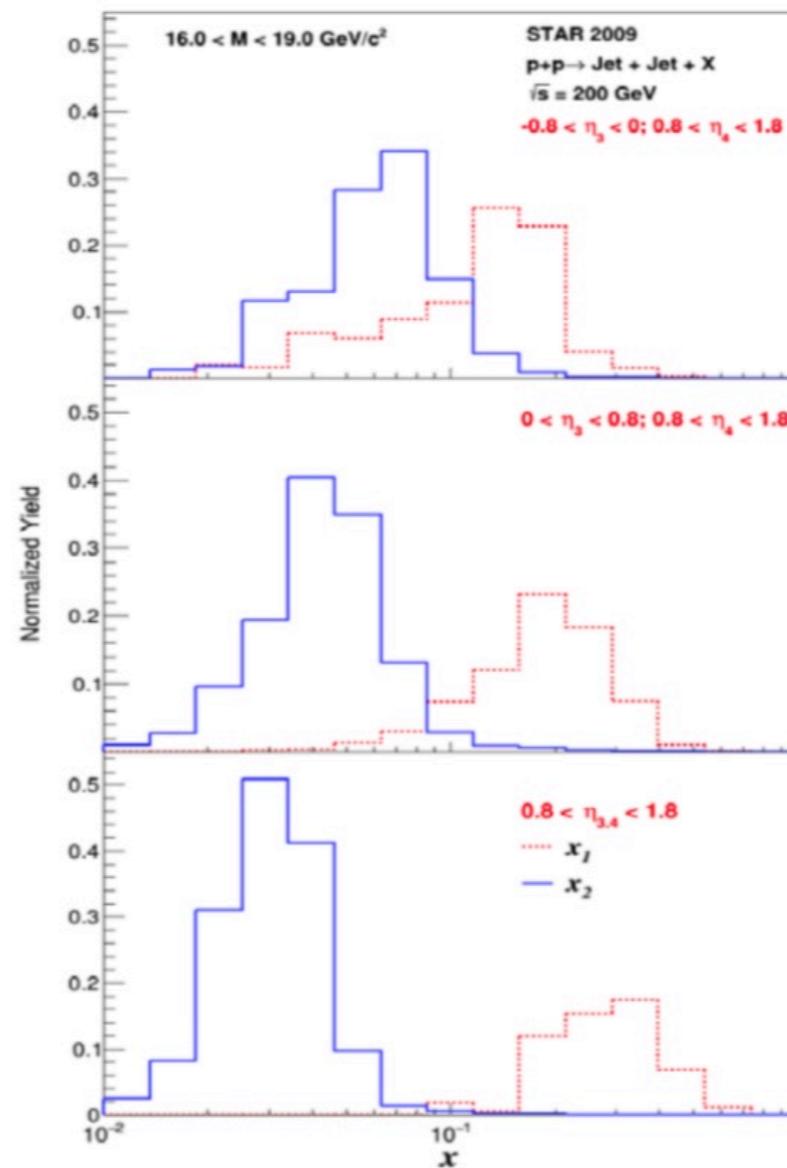
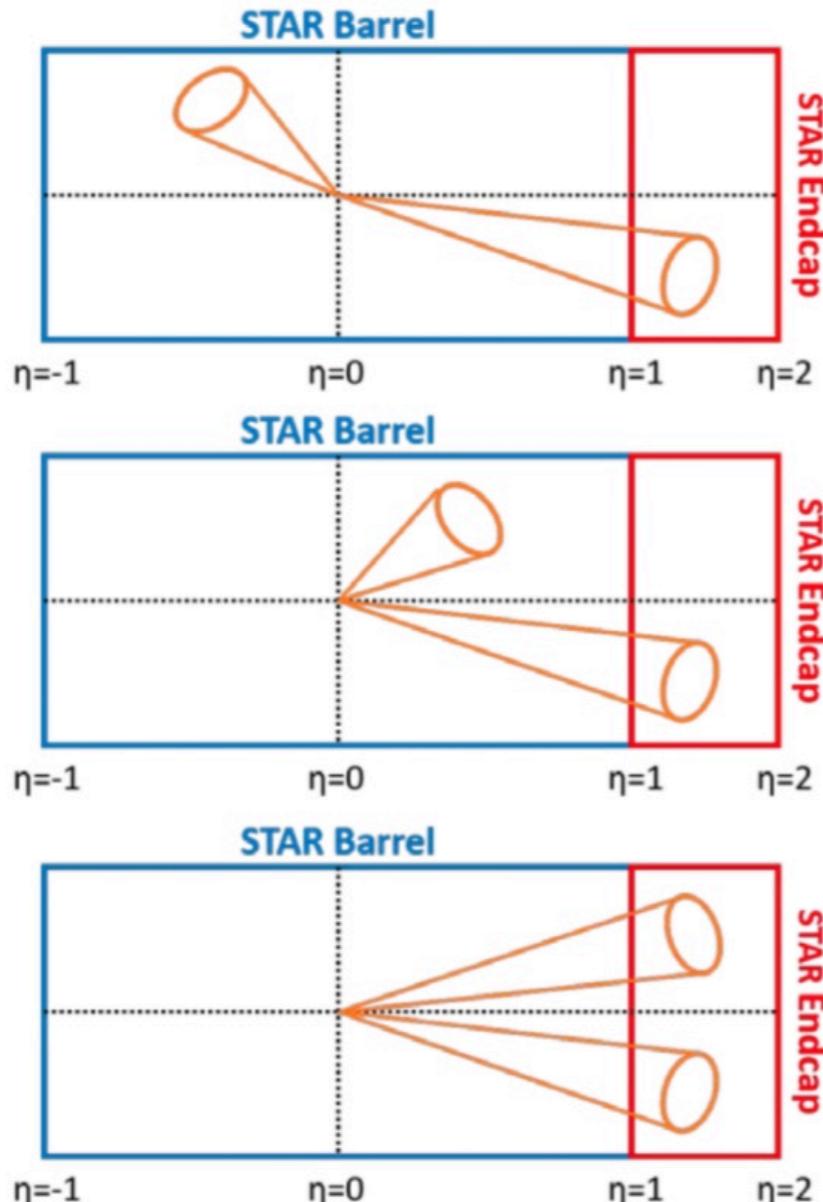


Towards sensitivity to Bjorken-x.

Preliminary results at 500 GeV have come out as well, paper in preparation

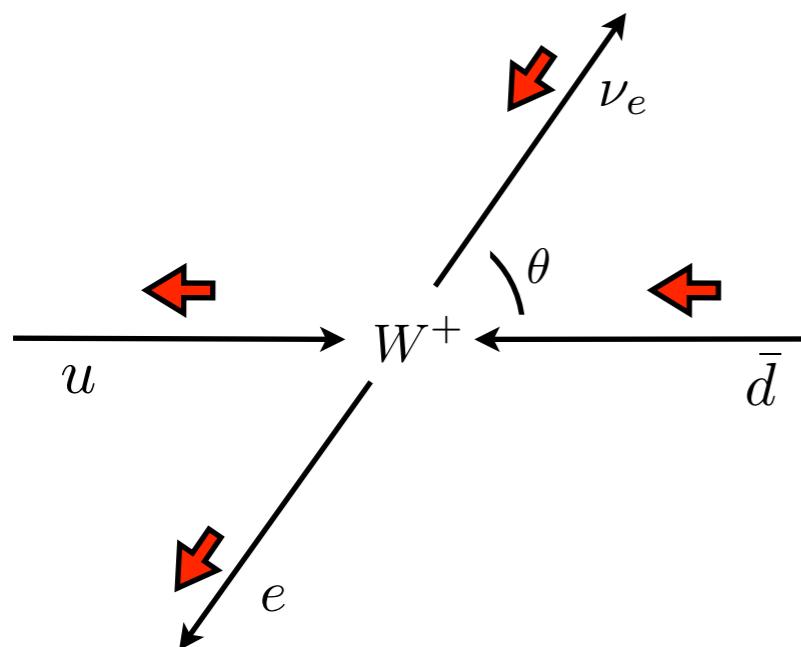
# Gluon Polarization

*di-jet asymmetries in a more forward region:*



*Impact clearly exists; quantifying it will require renewed global analysis (and/or reweighting)*

# Quark Polarization at RHIC



$\sqrt{s} = 500$  GeV above  $W$  production threshold,

**Experiment Signature:**  
large  $p_T$  lepton, missing  $E_T$

**Experiment Challenges:**  
charge-ID at large  $|y|$   
electron/hadron discrimination  
luminosity hungry

Free of fragmentation (!)

$$\Delta\sigma^{\text{Born}}(\vec{p}\bar{p} \rightarrow W^+ \rightarrow e^+ \nu_e) \propto -\Delta u(x_a)\bar{d}(x_b)(1+\cos\theta)^2 + \Delta\bar{d}(x_a)u(x_b)(1-\cos\theta)^2$$

Spin Measurements:

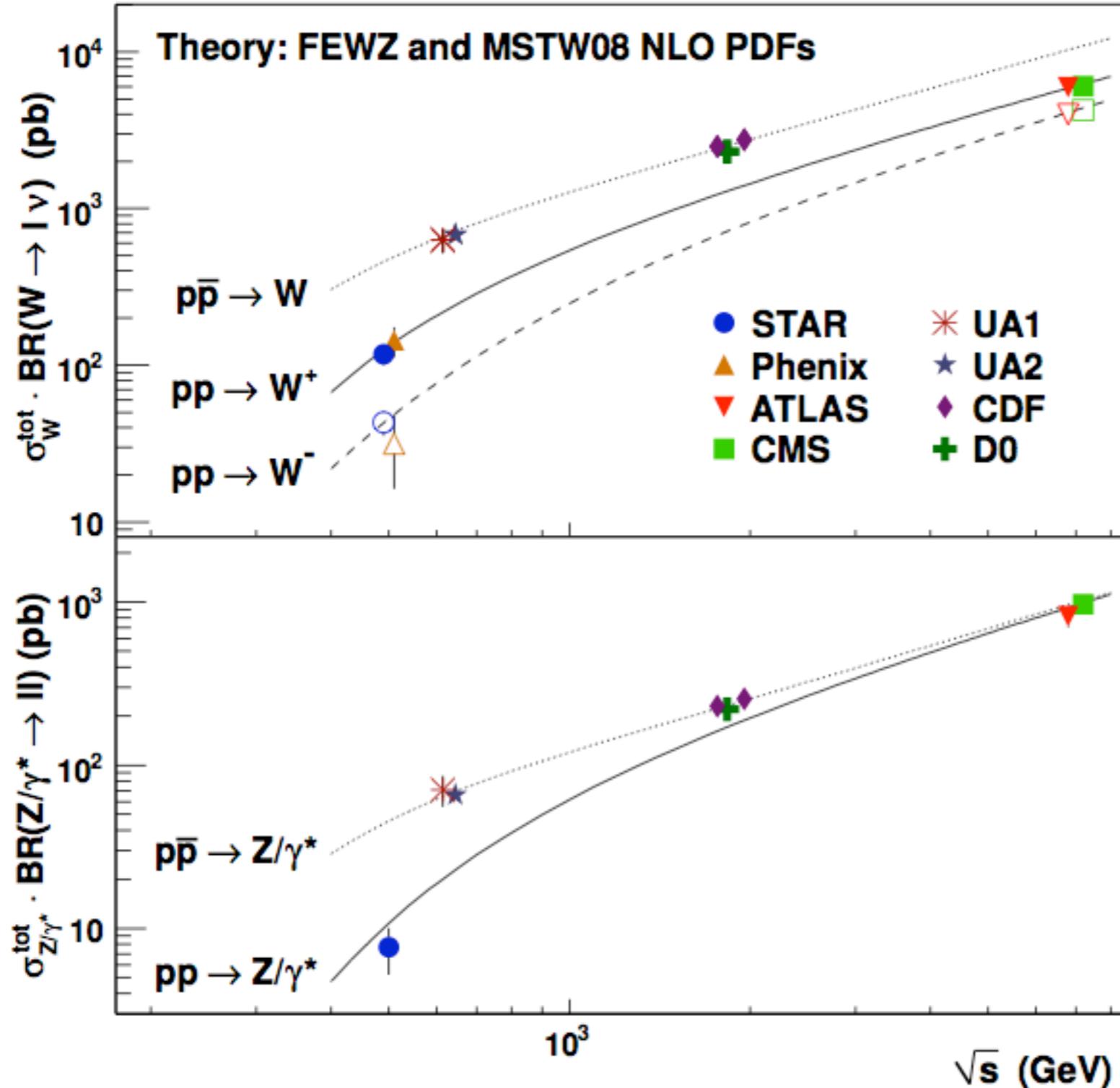
$$A_L(W^+) = \frac{-\Delta u(x_a)\bar{d}(x_b) + \Delta\bar{d}(x_a)u(x_b)}{u(x_a)\bar{d}(x_b) + \bar{d}(x_a)u(x_b)} = \begin{cases} -\frac{\Delta u(x_a)}{u(x_a)}, & x_a \rightarrow 1 \\ \frac{\Delta\bar{d}(x_a)}{\bar{d}(x_a)}, & x_b \rightarrow 1 \end{cases}$$

LO expressions to illustrate overall behavior,

$$A_L(W^-) = \begin{cases} -\frac{\Delta d(x_a)}{d(x_a)}, & x_a \rightarrow 1 \\ \frac{\Delta\bar{u}(x_a)}{\bar{u}(x_a)}, & x_b \rightarrow 1 \end{cases}$$

NLO known and used in extracting pPDFs.

# $W$ and $Z$ Production Cross Sections



PHENIX: first  $W^+$  and  $W^-$  production cross sections in proton-proton collisions, Phys. Rev. Lett. **106** (2011) 062001,

STAR: Initial NC cross section at RHIC, confirmation of PHENIX CC cross section measurements, Phys. Rev. **D85** (2012).

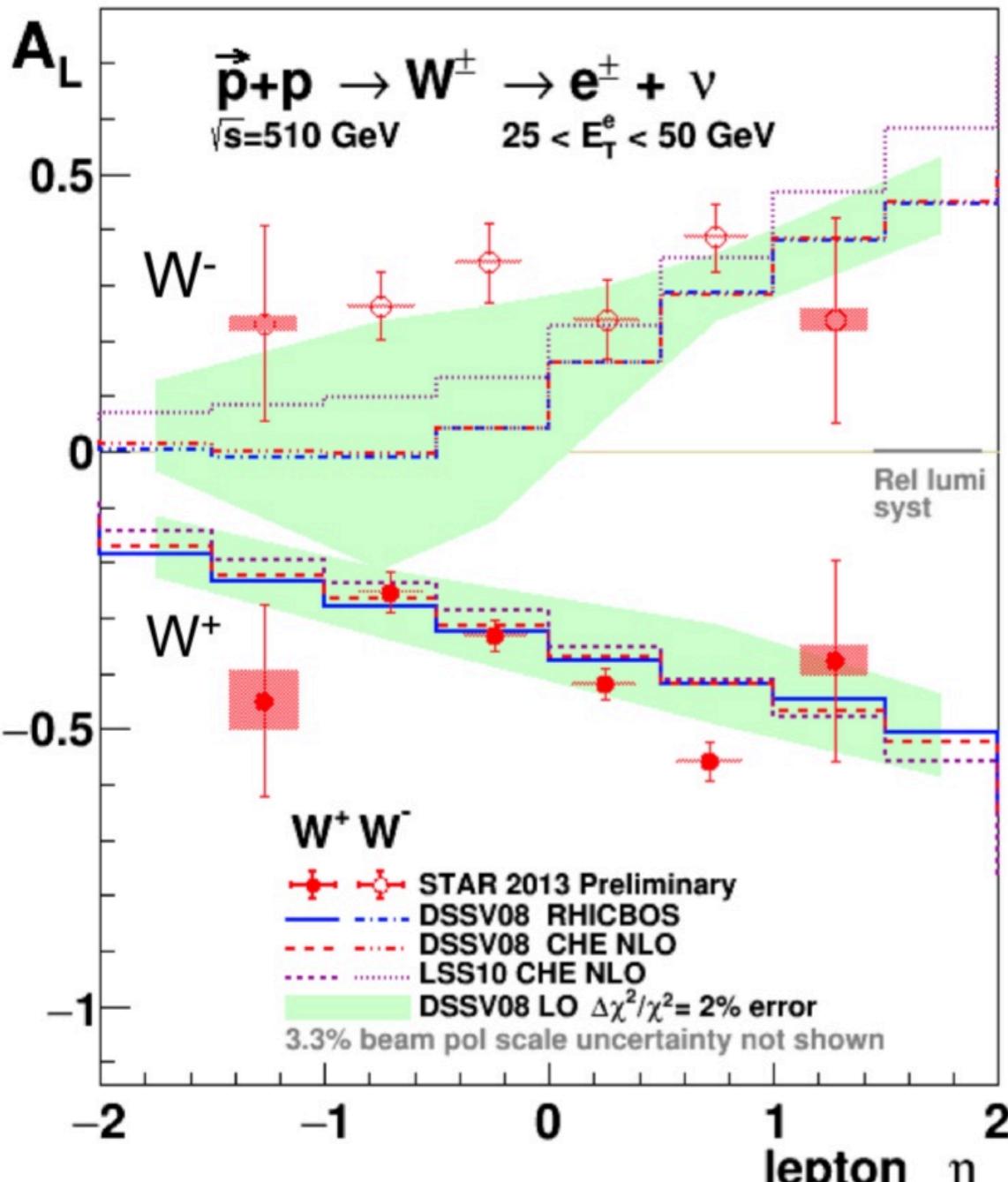
Data are well-described by NLO pQCD theory (FEWZ + MSTW08),

Necessary condition to interpret asymmetry measurements,

Future ratio measurements may provide insights in unpolarized light quark distributions

The “numerator” is again well-described; differential c.s. ratios complementary to E866, ...

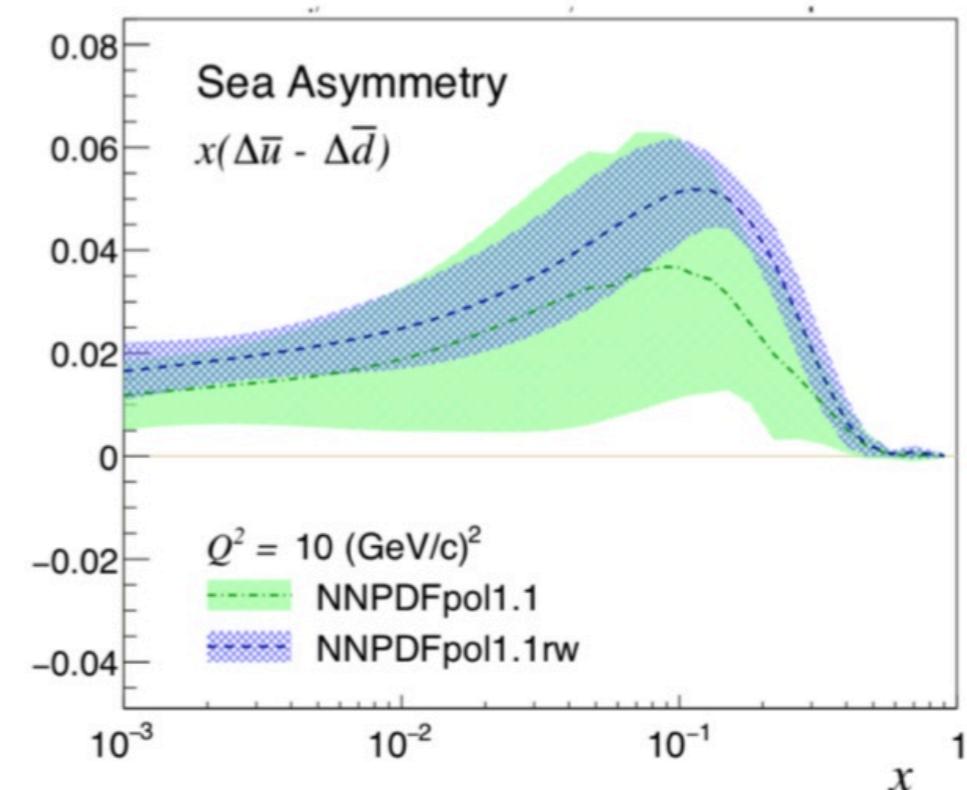
# Quark Polarization at RHIC



See e.g. J. Zhang, INPC  
Q.H. Xu, DIS

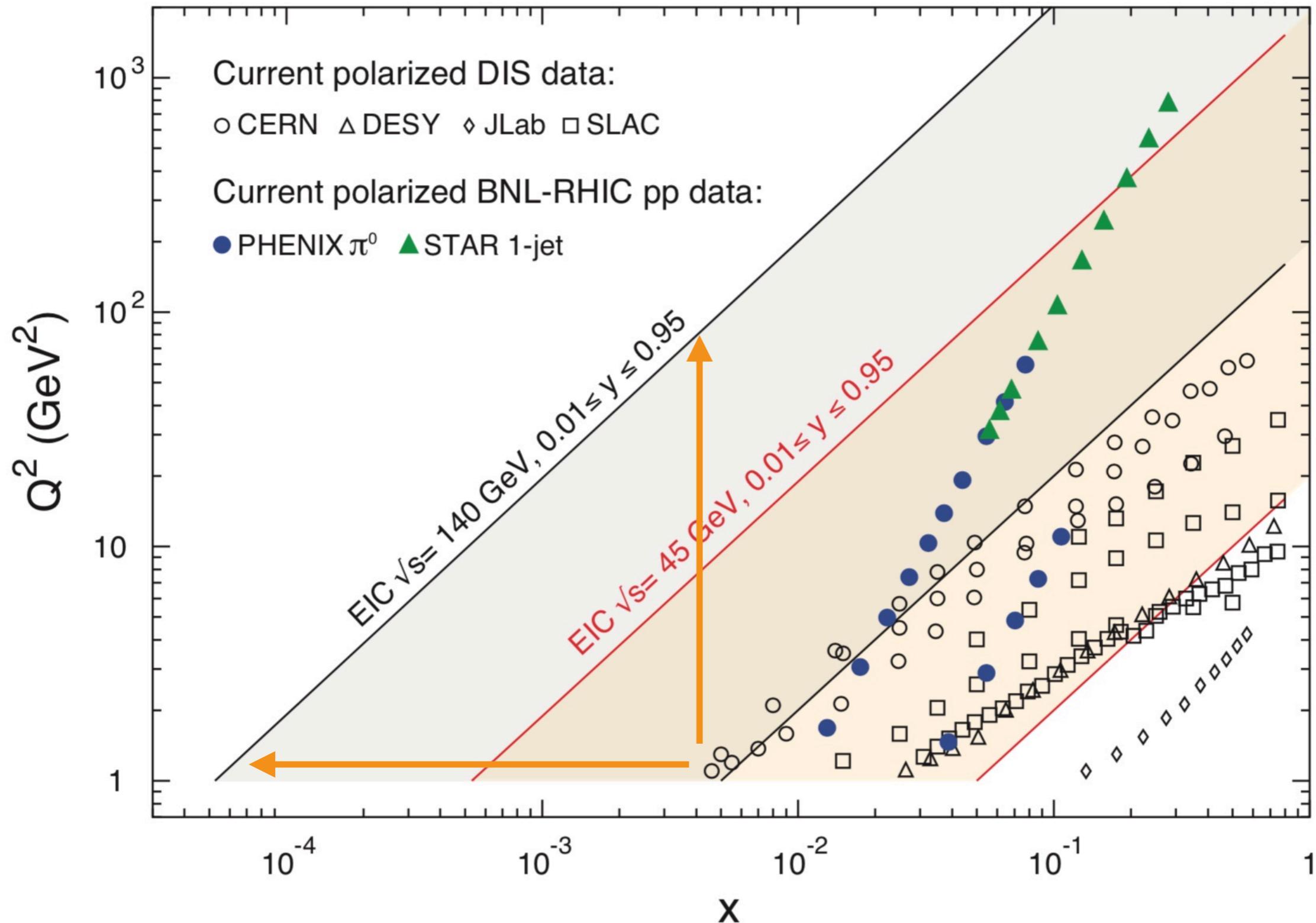
- Further confirmed the polarized sea asymmetry:

$$\Delta \bar{u} > \Delta \bar{d}$$



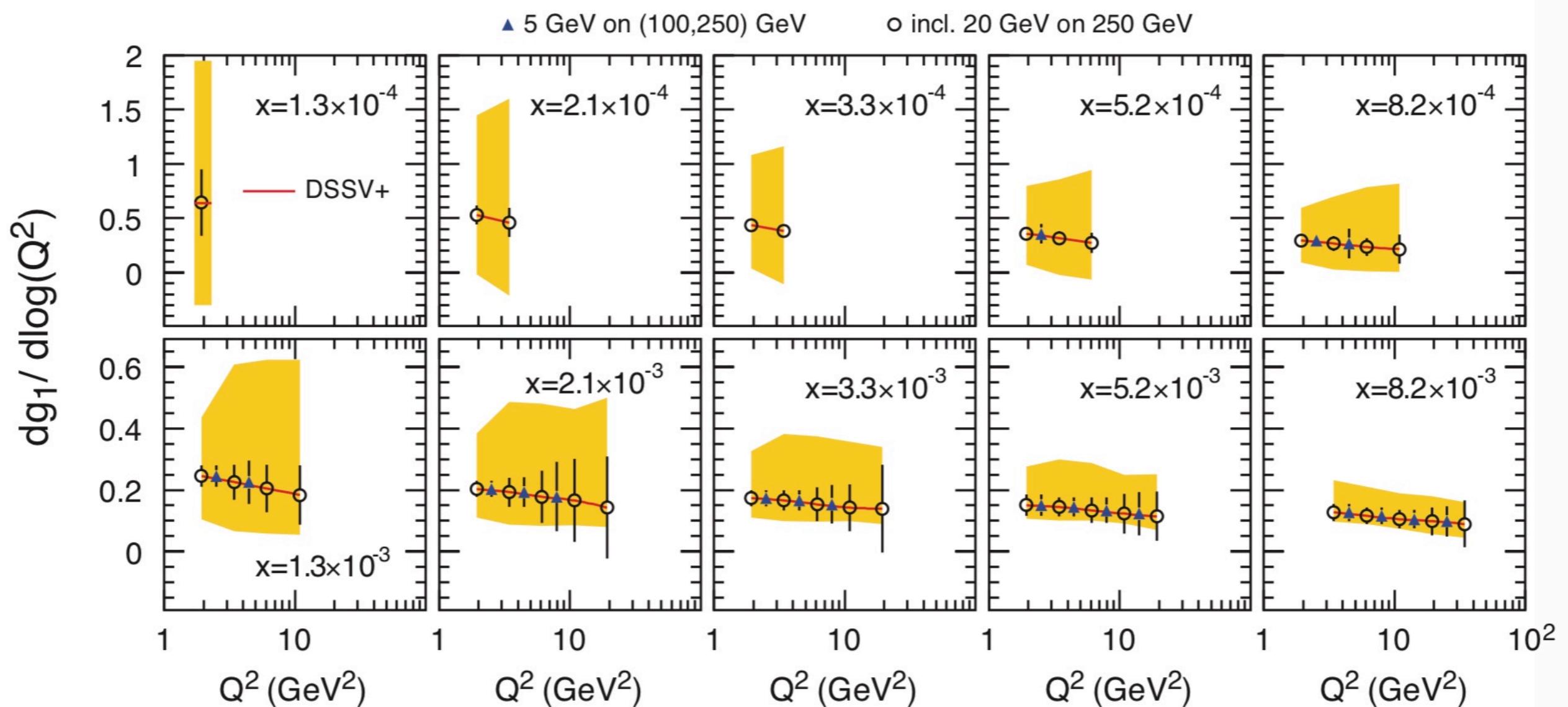
The light quark-sea is polarized and exhibits a flavor asymmetry.

# Outlook to EIC



Two orders in  $x$  and  $Q^2$  compared to existing data; few, if any, alternatives.

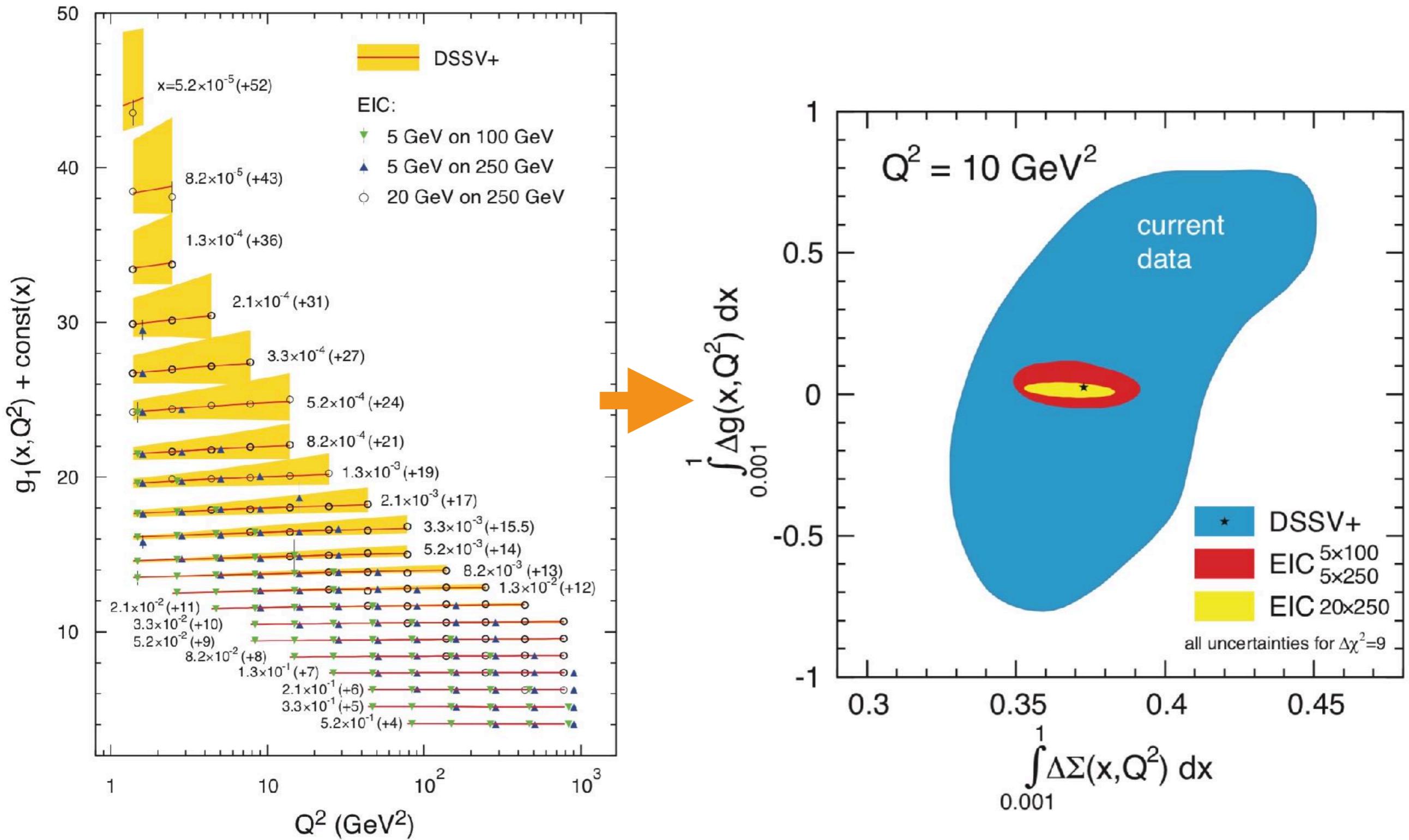
# Outlook to EIC



Direct sensitivity to scale-dependence, and hence gluon polarization, at least to  $x \sim 10^{-3}$

Simultaneous access also to a host of complementary channels,  
e.g. open charm production.

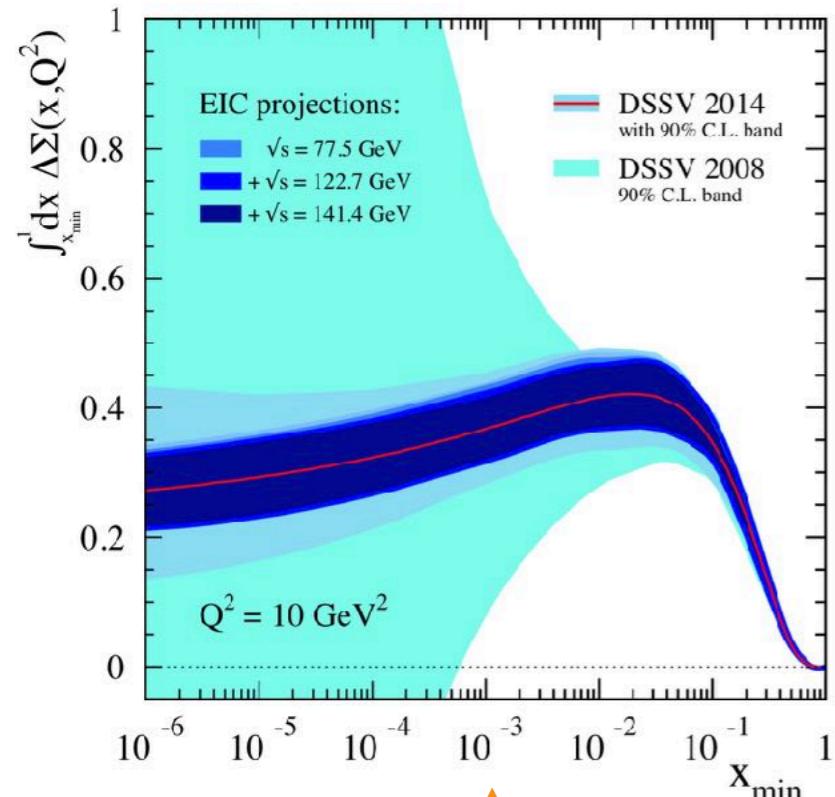
# Outlook to EIC



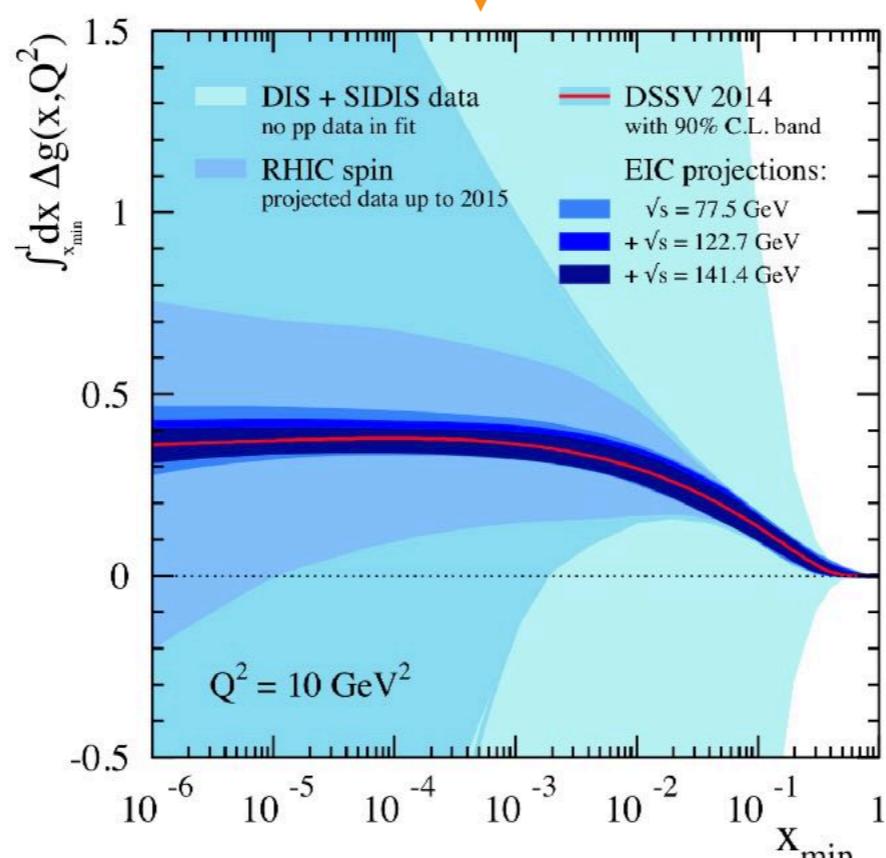
Conclusive insights in quark and gluon helicity from inclusive measurements, and orbital momentum by subtraction (!)

# Outlook to EIC

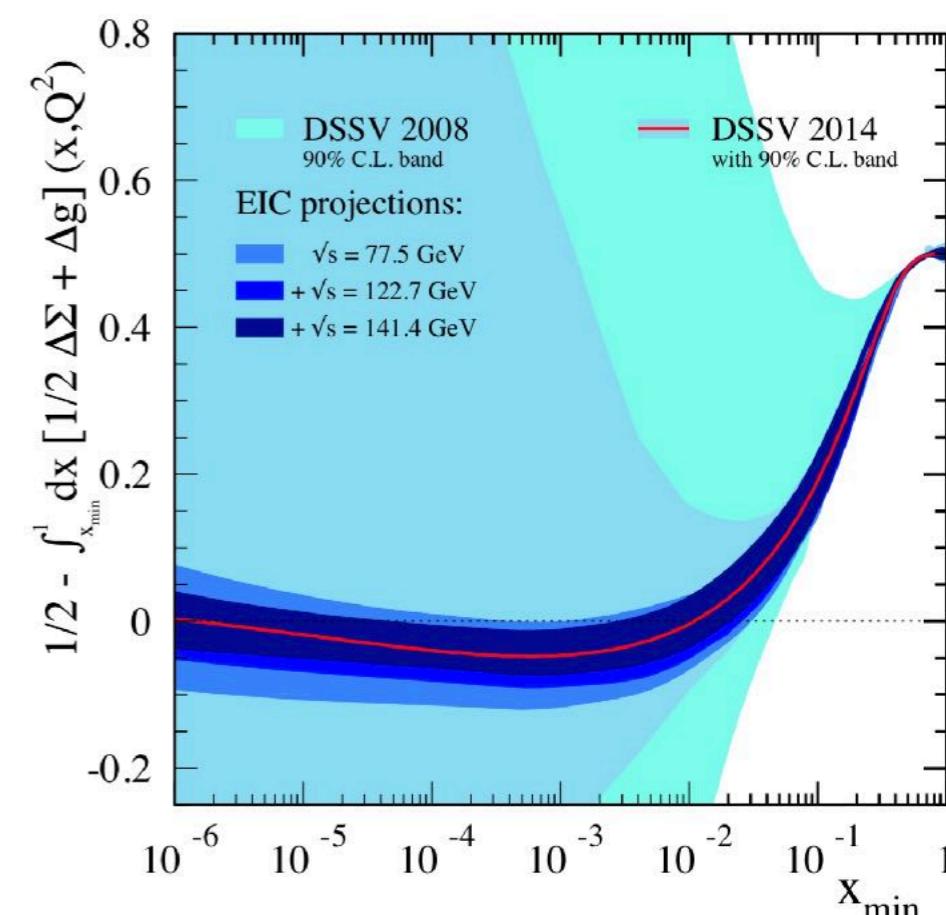
A more up-to-date view; E. Aschenauer et al.  
PRD 92 (2015) 094030



(anti-)quark helicity



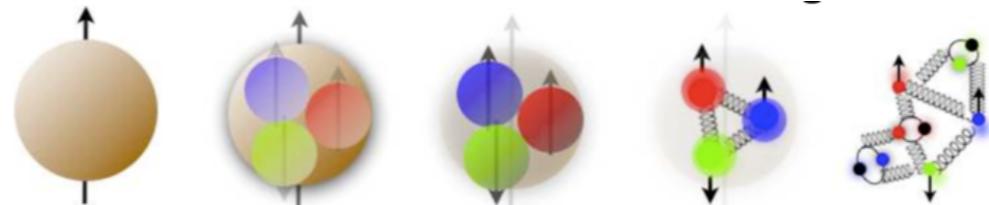
gluon helicity



“orbital momenta”



*Clearly requires EIC*



We are still far from fundamental knowledge and understanding of nucleon spin

### DIS data:

- small- $x$  measurements provided the impetus for renewed study of the proton spin,
- data on proton and neutron targets over a wide  $x$ -range, confirming the Bjorken Sum rule,
- decent insight in the sum of quark and anti-quark spins,
- initial sensitivities to scale dependence,
- best (lack of) insight in strangeness,
- going beyond collinear distributions,

### RHIC spin program:

- has achieved the most sensitive insights in **gluon polarization** in the nucleon,  
*gluons are positively polarized for momentum fractions  $x > 0.05$ ,  
at the level of  $0.2 h$  for  $Q^2 = 10 \text{ GeV}^2$*
- has provided evidence, with measurements at the W-mass scale that are free of fragmentation uncertainties, of non-perturbative **sea-quark polarization**,
- (quite promising TMD measurements; a talk by itself)

### Lattice QCD:

- considerable progress, also on  $x$ -dependence,
- combination with data in the foreseeable future ?

EIC and theory will be essential to solve the “spin puzzle.”

