

# Status and prospects of GPD extraction from DVCS

**Krešimir Kumerički**

University of Zagreb, Croatia

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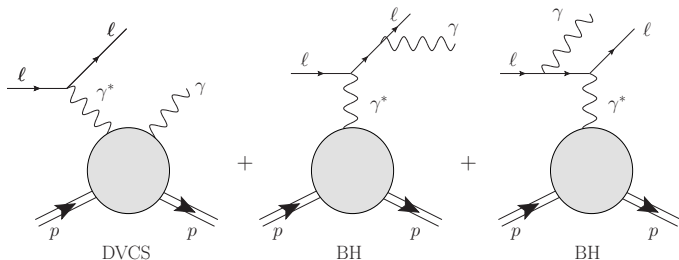


# Outline

- ➊ Introduction and status of fits to DVCS data
- ➋ Uncertainties?
- ➌ Neural net fits
- ➍ Summary

# Access to GPDs via DVCS

- **Deeply virtual Compton scattering** (DVCS) — “gold plated” process of exclusive physics
- DVCS is measured via lepton production of a photon



- **Interference** with Bethe-Heitler process gives unique access to both real and imaginary part of DVCS amplitude.

# DVCS cross section

$$d\sigma \propto |\mathcal{T}|^2 = |\mathcal{T}_{\text{BH}}|^2 + |\mathcal{T}_{\text{DVCS}}|^2 + \mathcal{I}.$$

- where e. g. interference term is

$$\mathcal{I} \propto \frac{-e_\ell}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 [c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi)] \right\},$$

- where e. g.  $c_1^{\mathcal{I}}$  harmonic for unpolarized target is

$$c_{1,\text{unpol.}}^{\mathcal{I}} \propto \left[ F_1 \Re \mathcal{H} - \frac{t}{4M_p^2} F_2 \Re \mathcal{E} + \frac{x_B}{2 - x_B} (F_1 + F_2) \Re \tilde{\mathcal{H}} \right]$$

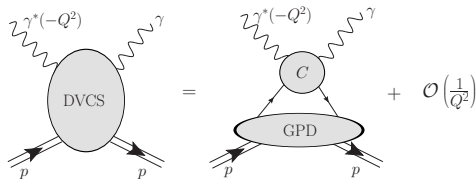
- and at leading order everything depends on four complex

## Compton form factors (CFFs)

$$\mathcal{H}(\xi, t, Q^2), \quad \mathcal{E}(\xi, t, Q^2), \quad \tilde{\mathcal{H}}(\xi, t, Q^2), \quad \tilde{\mathcal{E}}(\xi, t, Q^2)$$

# Factorization of DVCS $\longrightarrow$ GPDs

- [Collins et al. '98]



- CFFs are convolution:

$${}^a\mathcal{H}(\xi, t, Q^2) = \int dx C^a(x, \xi, \frac{Q^2}{Q_0^2}) H^a(x, \xi, t, Q_0^2)$$

$a=q, G$

- $H^a(x, \eta, t, Q_0^2)$  — Generalized parton distribution (GPD)

# Hybrid GPD models for global fits

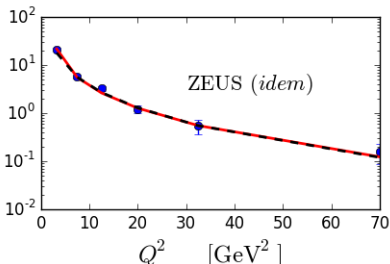
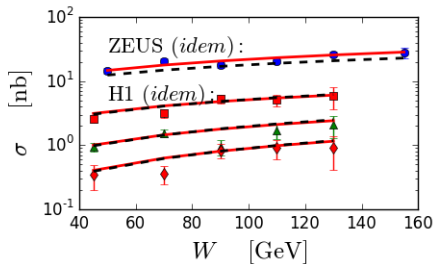
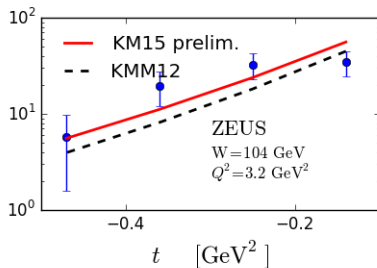
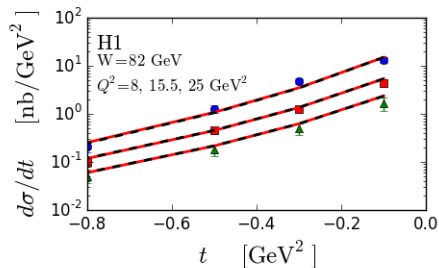
- [K.K., Müller '09-'15]
- **Sea quarks and gluons** modelled using SO(3) partial wave expansion in conformal GPD moment space +  $Q^2$  evolution.
- **Valence quarks** — model CFFs directly (ignoring  $Q^2$  evolution):

$$\Im \mathcal{H}(\xi, t) = \pi \left[ \frac{4}{9} H^{u_{\text{val}}}(\xi, \xi, t) + \frac{1}{9} H^{d_{\text{val}}}(\xi, \xi, t) + \frac{2}{9} H^{\text{sea}}(\xi, \xi, t) \right]$$

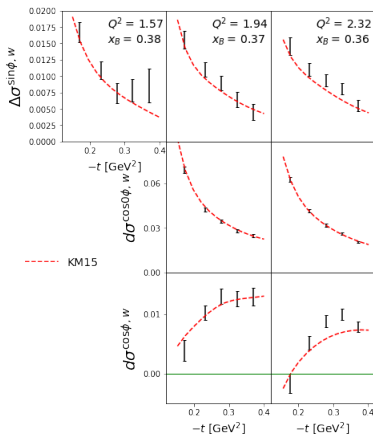
$$H(x, x, t) = n r 2^\alpha \left( \frac{2x}{1+x} \right)^{-\alpha(t)} \left( \frac{1-x}{1+x} \right)^b \frac{1}{\left( 1 - \frac{1-x}{1+x} \frac{t}{M^2} \right)^p}.$$

- $\Re \mathcal{H}$  determined by dispersion relations
- 15 free parameters in total for  $H, \tilde{H}, E, \tilde{E}$ .

# Fit examples (1/2): H1/ZEUS



# Fit examples (2/2): JLab's Hall A (2015)



- KM15 global fit is fine.  $\chi^2/n_{\text{d.o.f.}} = 240./275$  ✓



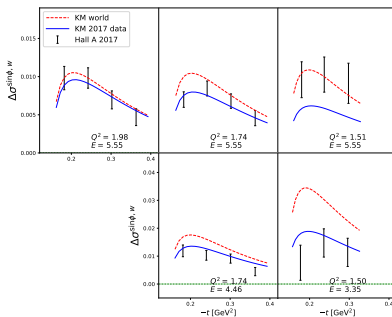
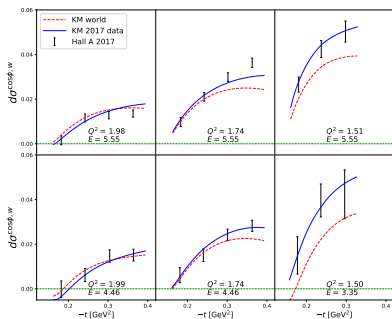
# Global fit $\chi^2$ values: KM and PARTONS

[K.K., Müller '09-'15]

Collaboration	Observable	Ref.	$n_{\text{pts}}$	KMM12		KM15	
				$\chi^2/n_{\text{pts}}$	pull	$\chi^2/n_{\text{pts}}$	pull
ZEUS	$\sigma_{\text{DVCS}}$	[19][20]	11	0.49	-1.76	0.51	-1.74
ZEUS,H1	$d\sigma_{\text{DVCS}}/dt$	[19][21][22]	24	0.97	0.85	1.04	1.37
HERMES	$A_{\text{C}}^{\cos\theta\phi}$	[23]	6	1.31	0.49	1.24	0.29
HERMES	$A_{\text{C}}^{\cos\phi}$	[23]	6	0.24	-0.56	0.07	-0.20
HERMES	$A_{\text{LU},\text{L}}^{\sin\phi}$	[23]	6	2.08	-2.52	1.34	-1.28
CLAS	$A_{\text{LU},\text{L}}^{\sin\phi}$	[24]	4	1.28	2.09		
CLAS	$A_{\text{LU},\text{L}}^{\sin\phi}$	[4][25]	13			1.24	0.63
CLAS	$\Delta\sigma^{\sin\phi,\text{sr}}$	[7]	48			0.41	-1.66
CLAS	$d\sigma^{\cos\theta\phi,\text{sr}}$	[7]	48			0.16	-0.21
CLAS	$d\sigma^{\cos\phi,\text{sr}}$	[7]	48			1.16	6.36
Hall A	$\Delta\sigma^{\sin\phi,\text{sr}}$	[5]	12	1.06	-2.55		
Hall A	$d\sigma^{\cos\theta\phi,\text{sr}}$	[5]	4	1.21	2.14		
Hall A	$d\sigma^{\cos\phi,\text{sr}}$	[5]	4	3.49	-0.26		
Hall A	$\Delta\sigma^{\sin\phi,\text{sr}}$	[6]	15			0.81	-2.84
Hall A	$d\sigma^{\cos\theta\phi,\text{sr}}$	[6]	10			0.40	0.92
Hall A	$d\sigma^{\cos\phi,\text{sr}}$	[6]	10			2.52	-2.42
HERMES,CLAS	$A_{\text{LU},\text{L}}^{\sin\phi}$	[18][26]	10	1.90	-1.89	1.10	-1.94
HERMES	$A_{\text{LL}}^{\cos\theta\phi}$	[26]	4	3.44	2.17	3.19	1.99
HERMES	$A_{\text{UT},\text{L}}^{\sin(\theta-\phi)\cos\phi}$	[27]	4	0.90	0.61	0.90	0.71
CLAS	$A_{\text{LU},\text{L}}^{\sin\phi}$	[4]	10			0.76	0.38
CLAS	$A_{\text{LL}}^{\cos\theta\phi}$	[4]	10			0.50	-0.22
CLAS	$A_{\text{LU},\text{L}}^{\cos\phi}$	[4]	10			1.54	2.40

[Moutarde, Sznajder, Wagner, '18]

No.	Collab.	Year	Ref.	$\chi^2$	$n$	$\chi^2/n$	
1	HERMES	2001	[13]	9.8	10	0.98	
2		2006	[114]	2.9	4	0.72	
3		2008	[115]	24.2	18	1.35	
4		2009	[116]	40.1	35	1.15	
5		2010	[117]	40.3	18	2.24	
6		2011	[118]	14.5	24	0.60	
7		2012	[119]	25.4	35	0.73	
8	CLAS	2001	[14]	—	0	—	
9		2006	[120]	0.9	2	0.47	
10		2008	[121]	371.1	283	1.31	
11		2009	[122]	36.4	22	1.66	
12		2015	[123]	351.4	311	1.13	
13		2015	[124]	937.9	1333	0.70	
14		Hall A	2015	[112]	220.2	228	0.97
15			2017	[113]	258.8	276	0.94
16		COMPASS	2018	[55]	10.7	1	10.67

Including Hall A 2017 data in global world fit: fail **X**

## Global world DVCS data fit

before 2017

$$\chi^2/n_{\text{d.o.f}} = 240./275 \quad \checkmark$$

including 2017 Hall A

$$\chi^2/n_{\text{d.o.f}} = 545./337 \quad \times$$

# Uncertainties?

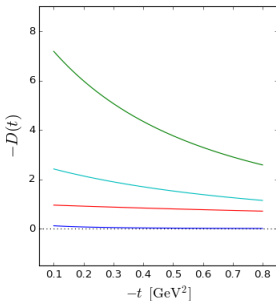
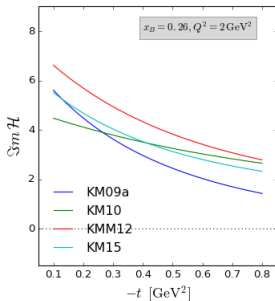
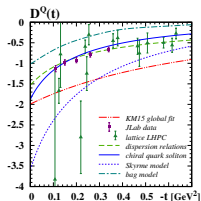
## Example: Uncertainty of D-term

- **D-term** is a part of GPD that has nice physical interpretation (related to pressure distribution inside nucleon [M. Polyakov '03]), see talk by [P. Schweitzer] tomorrow
- within some approximations and up to a charge-related prefactor of order one it is equal to **subtraction constant** in dispersion relation for CFFs  $\mathcal{H}$  and  $\mathcal{E}$  [O. Teryaev '05]:

$$D(t) \sim \Delta(t) = \Re \mathcal{H}(\xi, t) - \frac{1}{\pi} \text{P.V.} \int_0^1 dx \frac{2x}{\xi^2 - x^2} \Im \mathcal{H}(x, t)$$

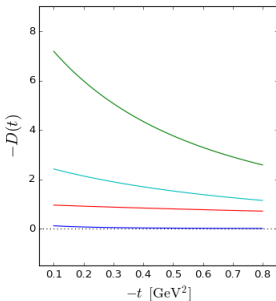
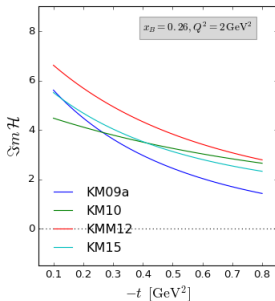
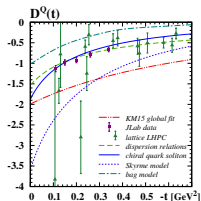
- In KM fits [K.K., D. Müller],  $D(t) = D/(1 - t/M_D^2)^2$  and is extracted directly by fits (where  $\Re \mathcal{H}$  is then determined by dispersion relations)

# Extractions of D-term



- Fit parameter uncertainties of  $D(t)$  are  $\sim 20\%$ , but **systematic uncertainty due to model selection** is unknown and presumably much larger!
- [V. D. Burkert, F.-X. Girod and L. Elouadrhiri '18] use just CLAS  $d\sigma$  and  $\Delta\sigma$  DVCS data to extract very precise value of D-term

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- Data science: total error = **bias** + **variance**

# Bias-variance tradeoff: toy example

- “Unknown”  $f(x) = \sin(\pi x)$  “measured” at two points.

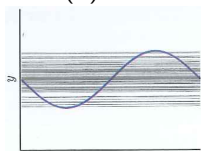
$\mathcal{H}_0$  - rigid (biased)

$$h(x) = a$$

$\mathcal{H}_1$  - flexible

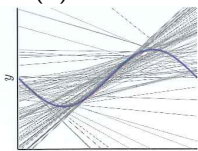
$$h(x) = ax + b$$

[Abu-Mostafa et al. '12]



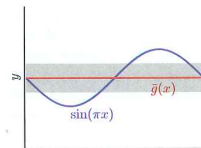
$x$

$\mathcal{H}_0$



$x$

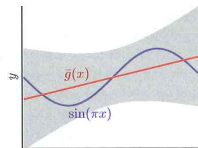
$\mathcal{H}_1$



$x$

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$x$

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bias = 0.21;  
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error = bias + variance

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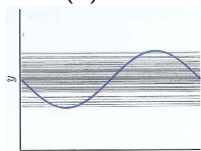
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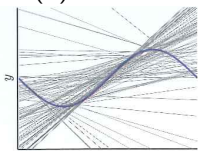
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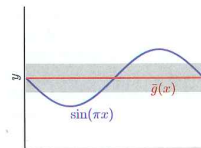
$x$

$\mathcal{H}_0$



$x$

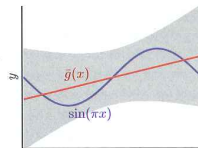
$\mathcal{H}_1$



$x$

$\mathcal{H}_0$

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$x$

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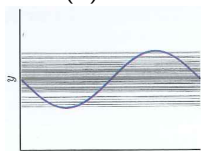
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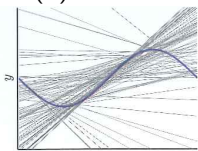
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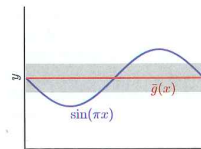
$x$

$\mathcal{H}_0$



$x$

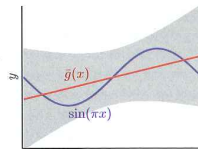
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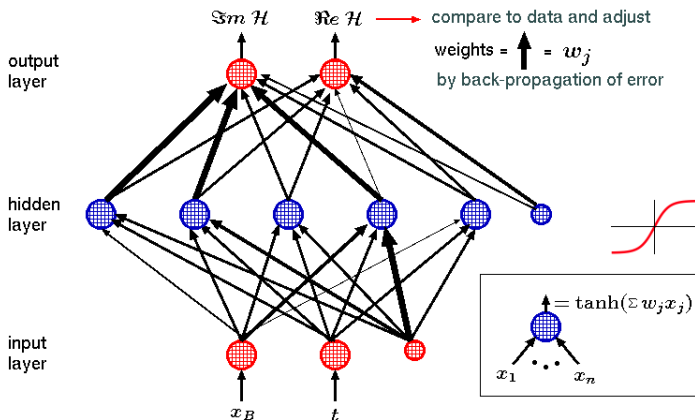
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error = bias + variance

- In DVCS situation is the opposite! We need to **decrease bias**.
- Neural networks** are proven to be unbiased

# Neural net fits

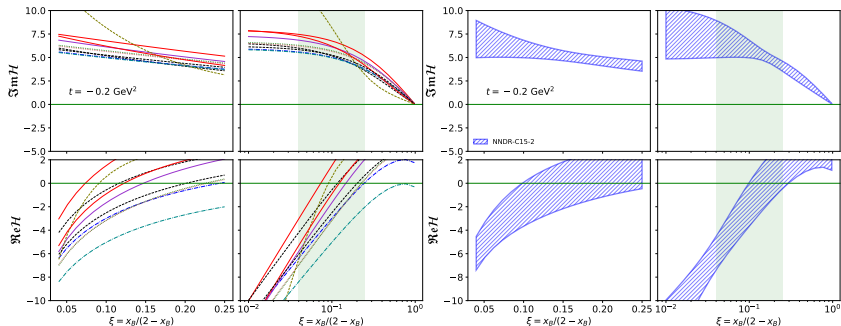
# Fitting with neural networks



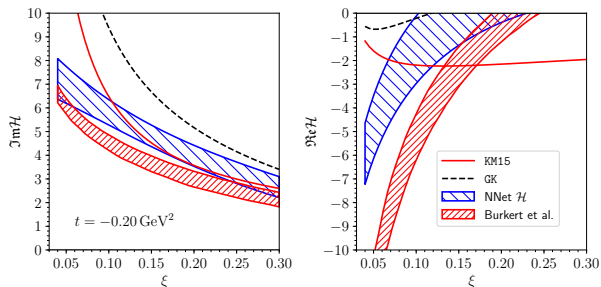
- Essentially a least-square fit of a complicated many-parameter function.  $f(x) = \tanh(\sum w_i \tanh(\sum w_j \dots)) \Rightarrow$  no theory bias

# Study A: NN fit to CLAS 2015 data

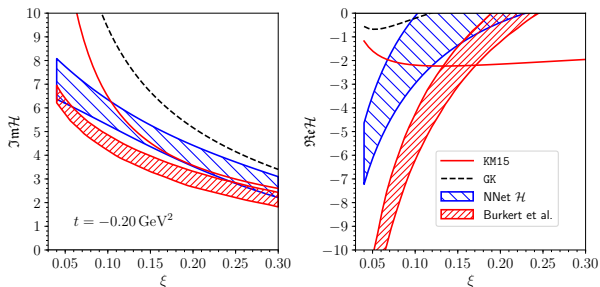
- We start by fitting just to the CLAS 2015  $d\sigma$  and  $\Delta\sigma$  measurements [Jo et al. '15], and just  $\mathcal{H}$
- We utilize dispersion relations (one NNet represents  $\Im m \mathcal{H}$ , another represents  $D(t)$ )
- **Uncertainty** is estimated by averaging over ensemble of neural nets:



# Comparison to [Burkert et al. '18]

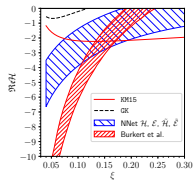
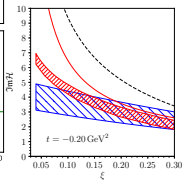
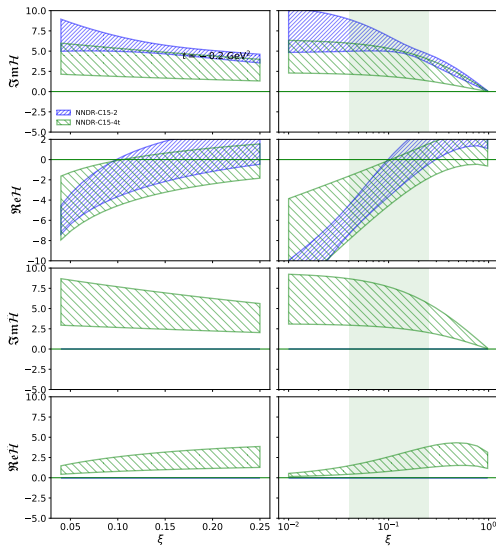


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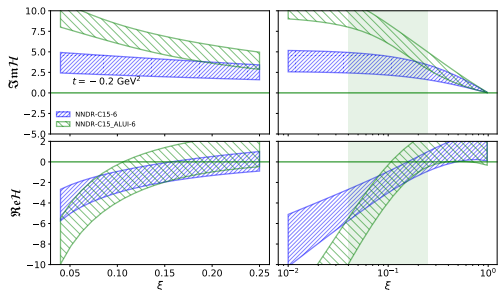
- But what is the effect of using the limited set of data, and assumption of  $\mathcal{H}$  dominance?
- $\chi^2/n_{\text{pts}} = 1725/2028$  (this NNet),  $1912/2028$  (KM15),  $2322/2028$  (GK)

# More flexible model: $\mathcal{H} + \tilde{\mathcal{H}}$



# Adding more data points

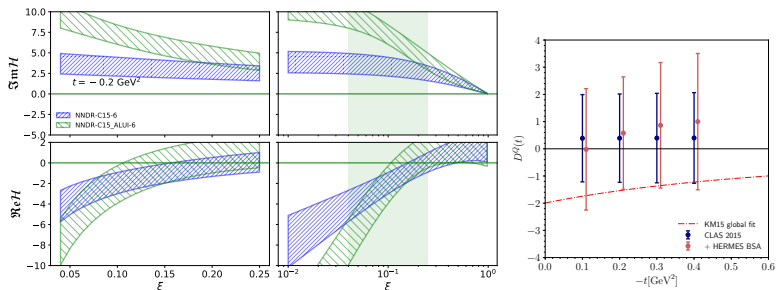
- Adding HERMES  $A_{LU,I}$  data. (Model now includes  $\mathcal{H}$  and  $\mathcal{E}$ )





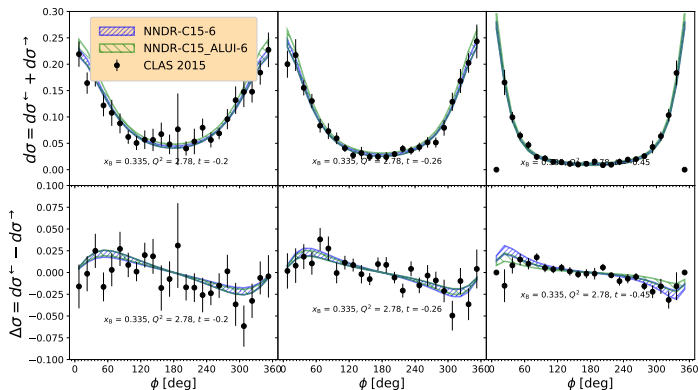
# Adding more data points

- Adding HERMES  $A_{LU,I}$  data. (Model now includes  $\mathcal{H}$  and  $\mathcal{E}$ )



- CLAS15 data **alone** is still consistent with zero D-term.

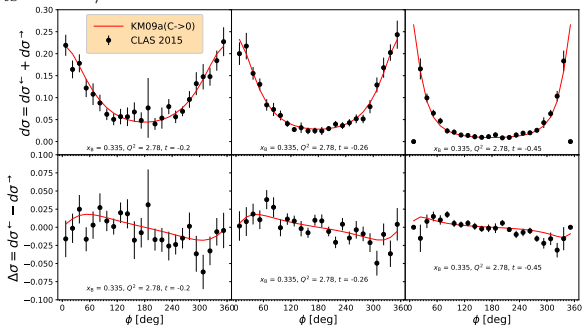
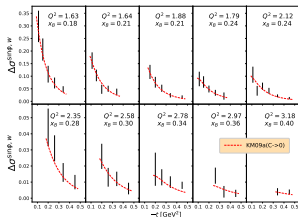
# Propagating uncertainties back to $d\sigma$ and $\Delta\sigma$



- Small propagated error is due to small sensitivity of these observables to CFFs (and D-term).

# Independent simple way to the same conclusion

- KM09a model with subtraction constant  $C \rightarrow 0$  describes CLAS 2015  $d\sigma$  and  $\Delta\sigma$  data correctly
- $\chi^2/n_{\text{pts}} = 1746/2023$  ✓



# Study B: NN fit to world fixed target data

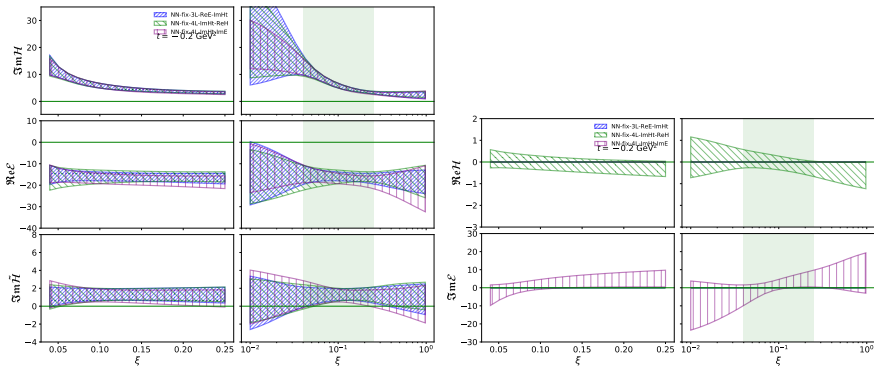
- Representative subset of world DVCS fixed target data:

npt	x	obs	collab	harm.	ref.
-----					
6	x	ALUI	HERMES	-1.0	arXiv:1203.6287
12	x	AUTDVCS	HERMES	0	arXiv:0802.2499
12	x	AUTI	HERMES	1.0	arXiv:0802.2499
6	x	BCA	HERMES	0.0	arXiv:1203.6287
6	x	BCA	HERMES	1.0	arXiv:1203.6287
12	x	BSDw	CLAS	-1	arXiv:1504.02009
15	x	BSDw	HALLA	-1	arXiv:1504.05453
12	x	BSSw	CLAS	0.0	arXiv:1504.02009
12	x	BSSw	CLAS	1.0	arXiv:1504.02009
10	x	BSSw	HALLA	0.0	arXiv:1504.05453
10	x	BSSw	HALLA	1.0	arXiv:1504.05453
6	x	BTSA	HERMES	0.0	arXiv:1004.0177v1
3	x	TSA	CLAS	-1	arXiv:hep-ex/0605012
6	x	TSA	HERMES	-1.0	arXiv:1004.0177v1
-----					
TOTAL = 128					

- We now use completely **unconstrained** neural nets representing  $\Im m \mathcal{H}$ ,  $\Re e \mathcal{H}$ ,  $\Im m \mathcal{E}$ ,  $\Re e \mathcal{E}$ , ... (do **not** assume dispersion relations)

# Results (1/2)

- Only  $\text{Im } \mathcal{H}$ ,  $\text{Im } \tilde{\mathcal{H}}$  and  $\text{Re } \mathcal{E}$  consistently extracted as different from zero, and, with somewhat smaller significance,  $\text{Re } \mathcal{H}$  and  $\text{Im } \mathcal{E}$ :

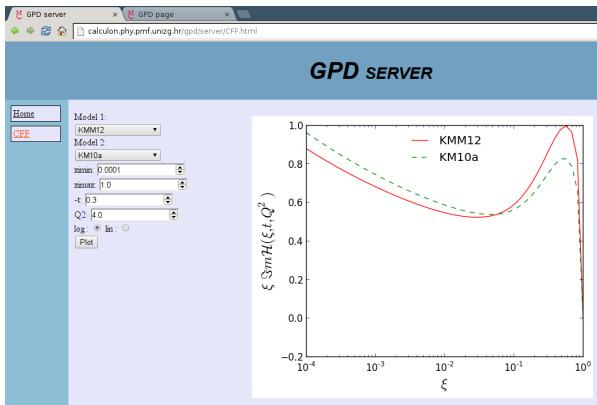




# Summary

- Neural network method has a unique capability of extraction of Compton form factors (and, later, GPDs) with **reliable uncertainties**

# GPD/CFF server



- Plots of all CFFs available; numerical values soon to come ...