

# Holographic $J/\psi$ production near threshold and the proton mass problem

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# An Assessment of U.S.-Based Electron-Ion Collider Science

Committee on U.S.-Based Electron-Ion Collider Science Assessment

Board on Physics and Astronomy

Division on Engineering and Physical Sciences

A Consensus Study Report of

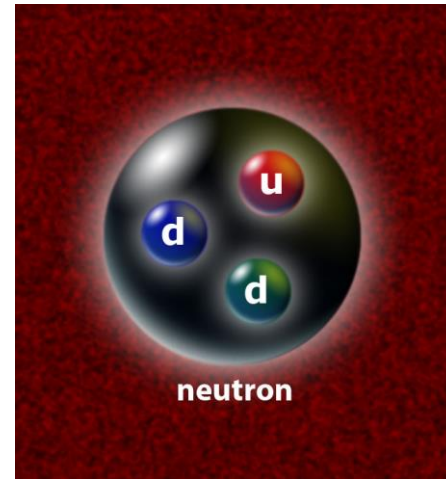
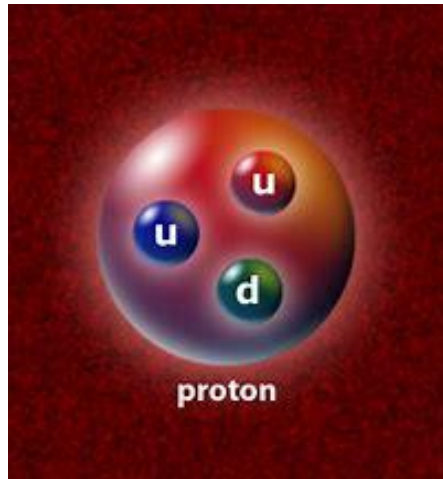
*The National Academies of*

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**Finding 1:** An EIC can uniquely address three profound questions about nucleons—protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

# The nucleons



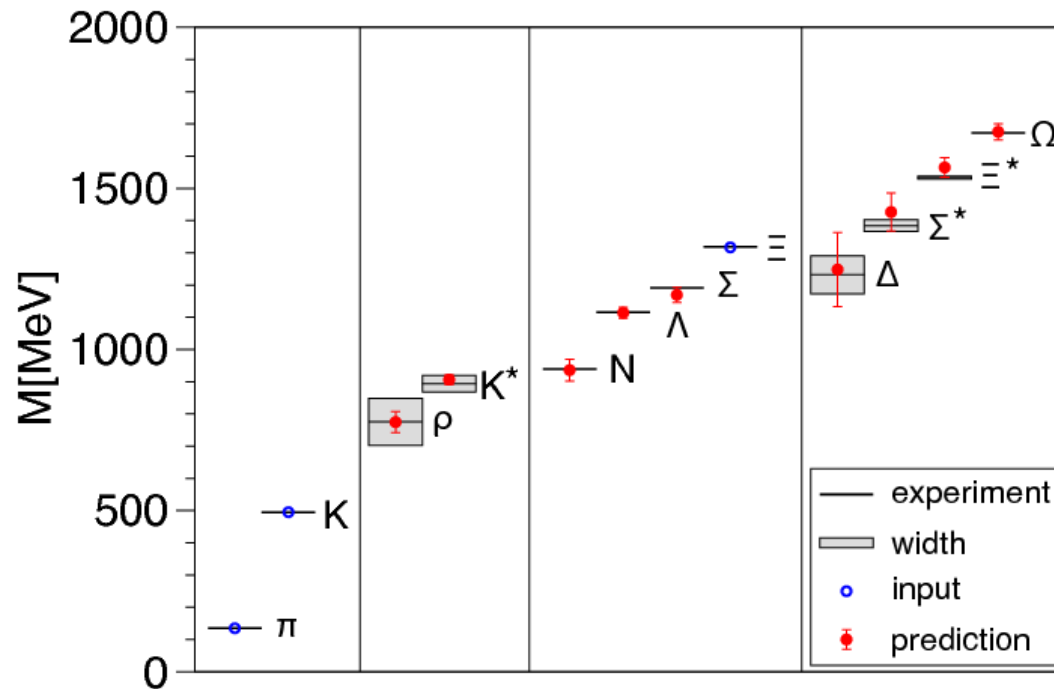
|        | Proton        | Neutron       |
|--------|---------------|---------------|
| Mass   | 938.3MeV      | 939.6MeV      |
| Spin   | $\frac{1}{2}$ | $\frac{1}{2}$ |
| Charge | +1            | 0             |

Bound states of the QCD Lagrangian, fundamental building blocks of matter, accounting for 99% of the mass of the visible universe

# Nucleon mass and spin: What's the issue?

What's mysterious about proton mass? Lattice QCD can explain it.

Durr, et al. (2009)



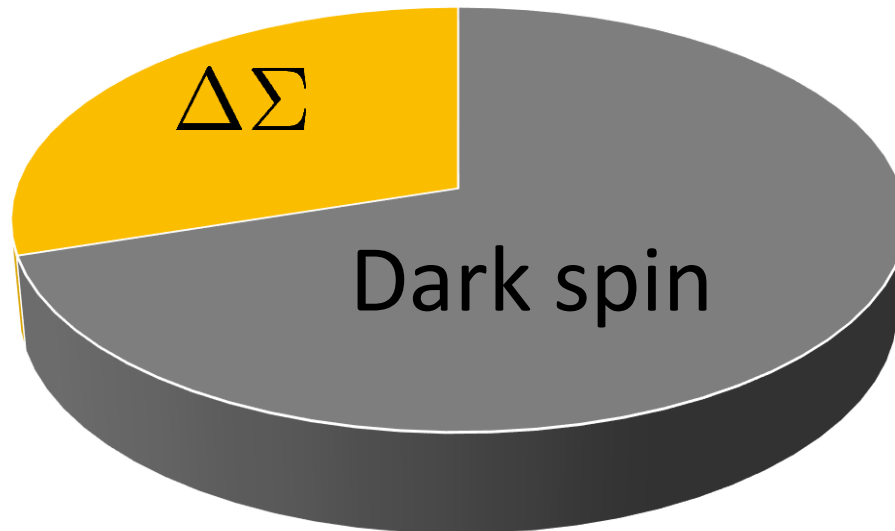
Proton has spin  $\frac{1}{2}$  because it's a fermion. Why need more explanation?

# Spin crisis

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L^q + L^g$$

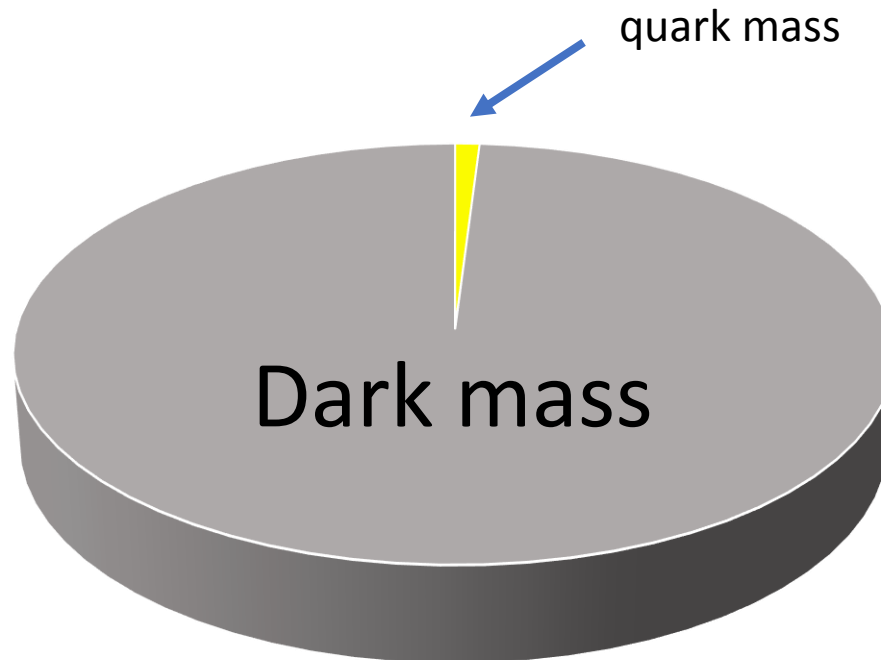
Quarks' helicity      Gluons' helicity      Orbital angular Momentum

Quarks' helicity accounts for only 25~30% of the nucleon spin



# Mass crisis

u,d quark masses add up to  $\sim 10\text{MeV}$ , only 1 % of the proton mass!



Higgs mechanism explains quark masses, but not hadron masses!

In relativity, mass and energy are equivalent. Kinetic energy counts.  
What about chiral symmetry breaking?

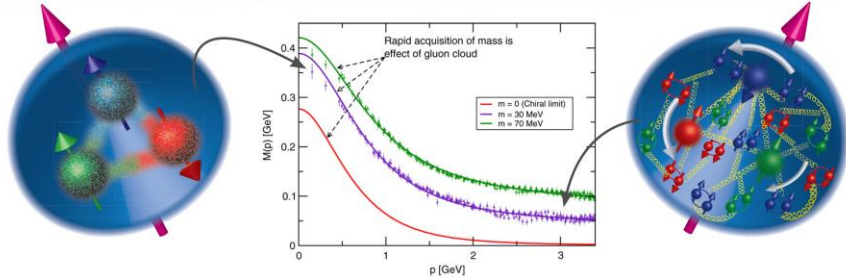
# The Proton Mass

At the heart of most visible matter.

Temple University, March 28-29, 2016



Philadelphia, Pennsylvania



$$M_p = 2m_u^{\text{eff}} + m_d^{\text{eff}}$$

## Speakers

Stan Brodsky (SLAC)  
 Xiandong Ji (Maryland)  
 Dima Kharzeev (Stony Brook & BNL)  
 Keh-Fei Liu (University of Kentucky)  
 David Richards (JLab)  
 Craig Roberts (ANL)  
 Martin Savage (University of Washington)  
 Stepan Stepanyan (JLab)

$$H_{\text{QCD}} = H_q + H_m + H_g + H_a$$

Quark kinetic and potential energy  $H_q = \int d^3x \psi^\dagger (-i\mathbf{D} \cdot \boldsymbol{\alpha}) \psi$

Quark masses  $H_m = \int d^3x \bar{\psi} m \psi$

Gluon kinetic and potential energy  $H_g = \int d^3x \frac{1}{2} (\mathbf{E}^2 + \mathbf{B}^2)$

Trace anomaly  $H_a = \int d^3x \frac{9\alpha_s}{16\pi} (\mathbf{E}^2 - \mathbf{B}^2)$

## Workshop Topics

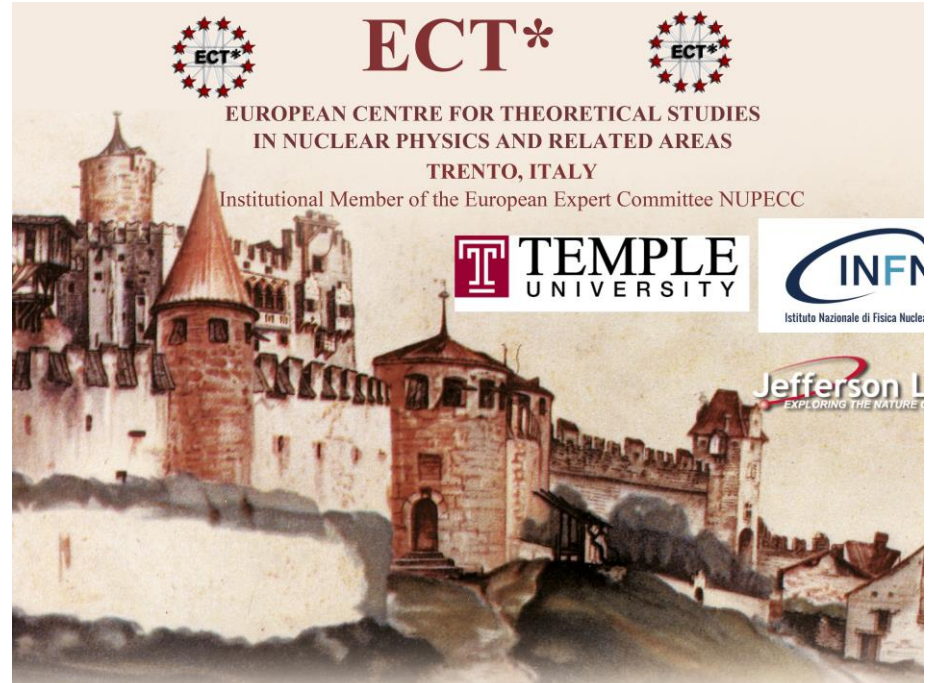


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EUROPEAN CENTRE FOR THEORETICAL STUDIES  
 IN NUCLEAR PHYSICS AND RELATED AREAS  
 TRENTO, ITALY

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Castello di Trento ("Trint"), watercolor 19.8 x 27.7, painted by A. Dürer on his way back from Venice (1495). British Museum.

## The Proton Mass: At the Heart of Most Visible Matter

Trento, April 3 - 7, 2017

### Main Topics

#### Hadron mass decomposition in terms of constituents:

Uniqueness of the decomposition, Quark mass, and quark and gluon energy contribution, Anomaly contribution, ...

#### Hadron mass calculations:

Lattice QCD (total & individual mass components), Approximated analytical methods, Phenomenological model approaches, ...

#### Experimental access to hadron mass components:

Exclusive heavy quarkonium production at threshold, nuclear gluonometry through polarized nuclear structure function

# Origin of the proton mass

QCD Lagrangian approximately scale (conformal) invariant.  
Why is the proton mass nonvanishing in the first place?

Conformal symmetry is explicitly broken by the **trace anomaly**.

QCD energy-momentum tensor

$$T^{\mu\nu} = -F^{\mu\lambda}F^{\nu}_{\lambda} + \frac{\eta^{\mu\nu}}{4}F^2 + i\bar{q}\gamma^{(\mu}D^{\nu)}q$$

$$\langle P|T^{\mu\nu}|P\rangle = 2P^{\mu}P^{\nu}$$

$$T^{\mu}_{\mu} = \frac{\beta(g)}{2g}F^2 + m(1 + \gamma_m(g))\bar{q}q \qquad \langle P|T^{\mu}_{\mu}|P\rangle = 2M^2$$



# Proton mass decomposition

Traceless and trace parts of EMT in  $d = 4 - 2\epsilon$  dimensions.

$$T^{\mu\nu} = \left( T^{\mu\nu} - \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha \right) + \frac{\eta^{\mu\nu}}{d} T^\alpha_\alpha$$

$\frac{\beta(g)}{2g} F^2 + m(1 + \gamma_m(g)) \bar{q}q$

Work in the rest frame. Mass is the eigenvalue of the Hamiltonian  $H = \int d^3x T^{00}$

quark/gluon kinetic energy

trace anomaly

quark mass

$$M = M_q + M_g + M_a + M_m$$

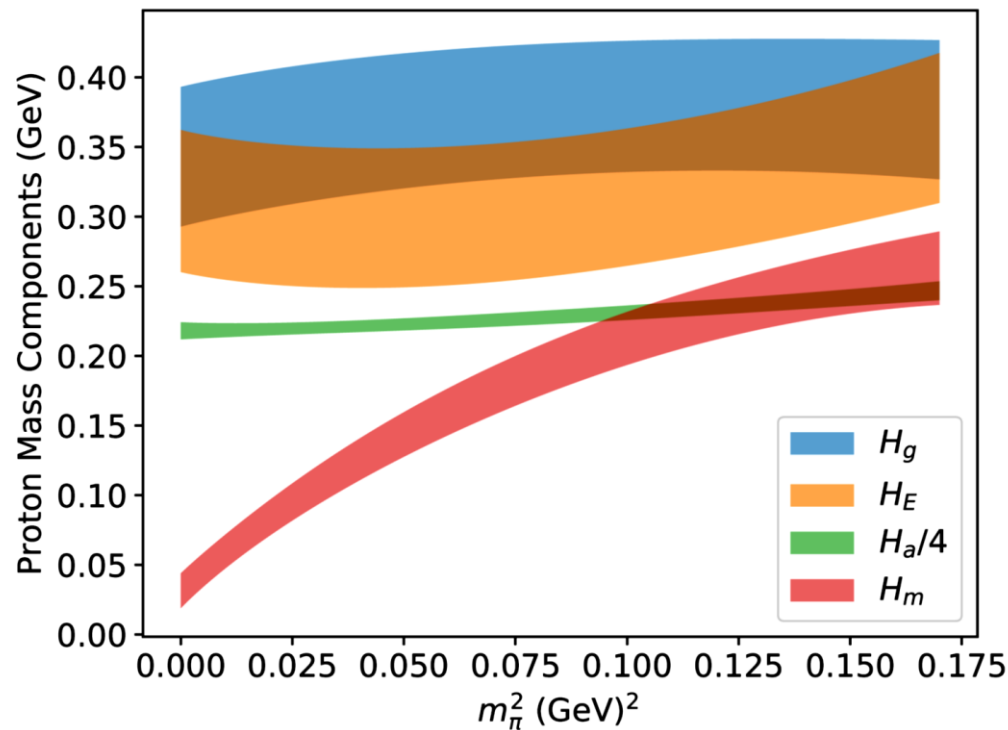
Ji (1995)

Alternative approach [Lorce \(2017\)](#) + talk next week

$M_q$  and  $M_g$  measurable in DIS

$$M_{q,g} = \frac{3}{4} M A_{q,g} \quad A_{q,g}(\mu) = \langle x \rangle_{q,g} = \int_0^1 dx x f_{q,g}(x, \mu)$$

$M_q, M_g, M_m$  calculable on a lattice → talk by Keh-fei (What about  $M_a$  ?)



Yang, et al. (2018)  
( $\chi$ QCD collaboration)

Can we measure the trace anomaly  $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$  ?

The operator  $F^{\mu\nu}F_{\mu\nu}$  is twist-**four**,  
highly suppressed in high energy scattering.  
QCD factorization difficult to establish.

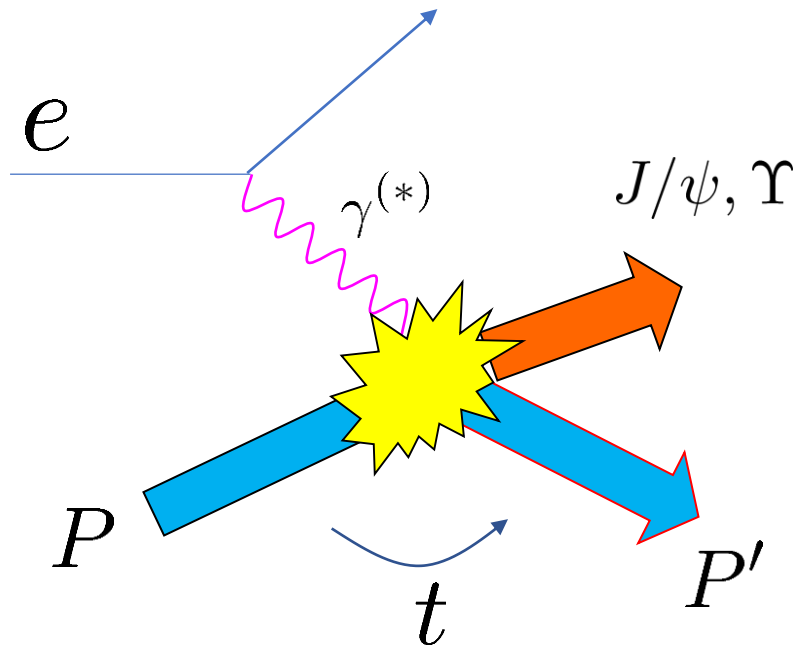
Instead, we should look at **low**-energy scattering.

Purely gluonic operator. Use **quarkonium** as a probe.

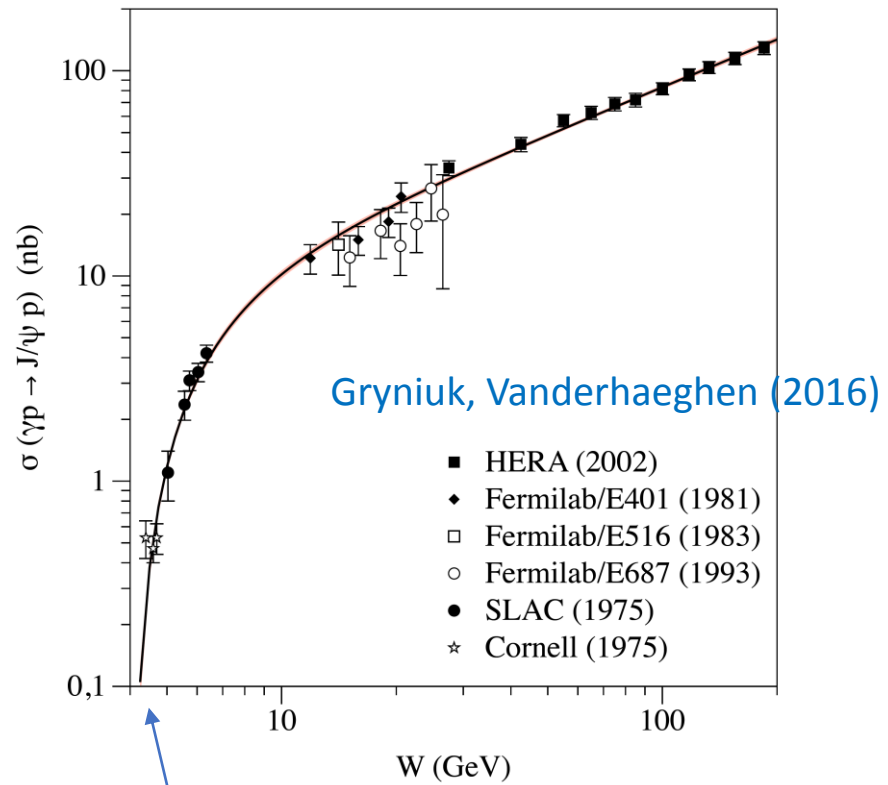
Luke-Manohar-Savage (1992)

Kharzeev (1996)

# Photo-production of $J/\psi, \Upsilon$



$$\sigma_{tot}^{\gamma p} = \int_{t_{min}}^{t_{max}} dt \frac{d\sigma}{dt}$$



$$W_{th} \approx 4.04 \text{ GeV} \quad (E_{\gamma}^{lab} = 8.2 \text{ GeV})$$

New experiments proposed at Jlab (Meziani, talk next week). Possibly also at the EIC!

# $J/\psi$ photo-production: general consideration

High energy

Rich data & phenomenology

GPD at small- $x$ ,  
hard/soft pomeron,  
color dipole, saturation

Low energy

Old experiments 40 years ago. (Cornell, SLAC)

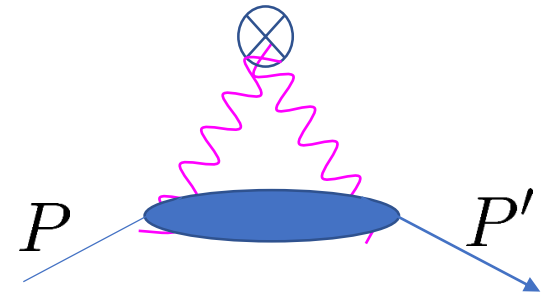
Large- $x$  physics,  $x \rightarrow 1$  near the threshold.

Heavy-quark loop  $\rightarrow$  **local** two-gluon operators

$\rightarrow$  gluonic form factors [Frankfurt, Strikman \(2002\)](#)

Momentum transfer significant  $\Delta_{th} = \sqrt{-t_{th}} \approx 1.5\text{GeV}$

Higher twist contributions?



# Previous approaches

Khazzev, Satz, Syamtomov, Zinovjev (1998);

Assume vector meson dominance to relate  $\gamma p \rightarrow J/\psi p$  to forward  $J/\psi p \rightarrow J/\psi p$

Compute  $\text{Im}T^{J/\psi p}(t=0) \sim \sigma_{tot}^{J/\psi p}$

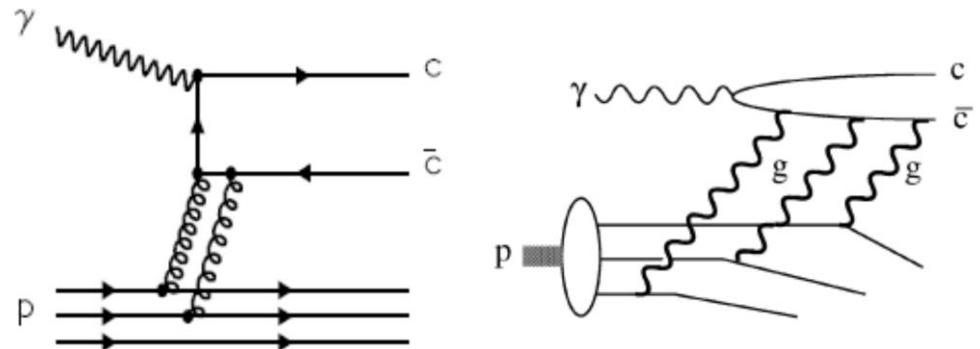
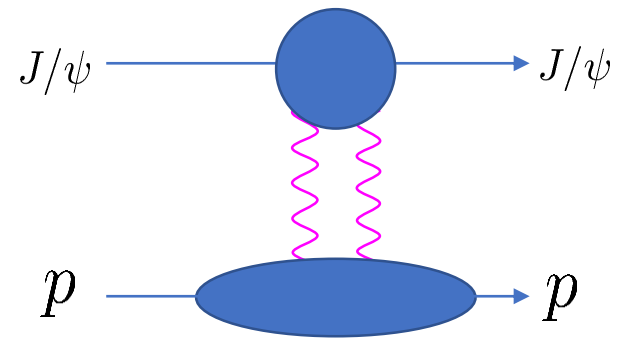
Reconstruct  $\text{Re}T^{J/\psi p}(t=0)$  via dispersion relation.  $\langle P|F^2|P \rangle$  enters as a subtraction constant.

Brodsky, Chudakov, Hoyer, Laget (2001)

Two-gluon, three-gluon hard scattering  
No connection to trace anomaly.

Frankfurt, Strikman (2002)

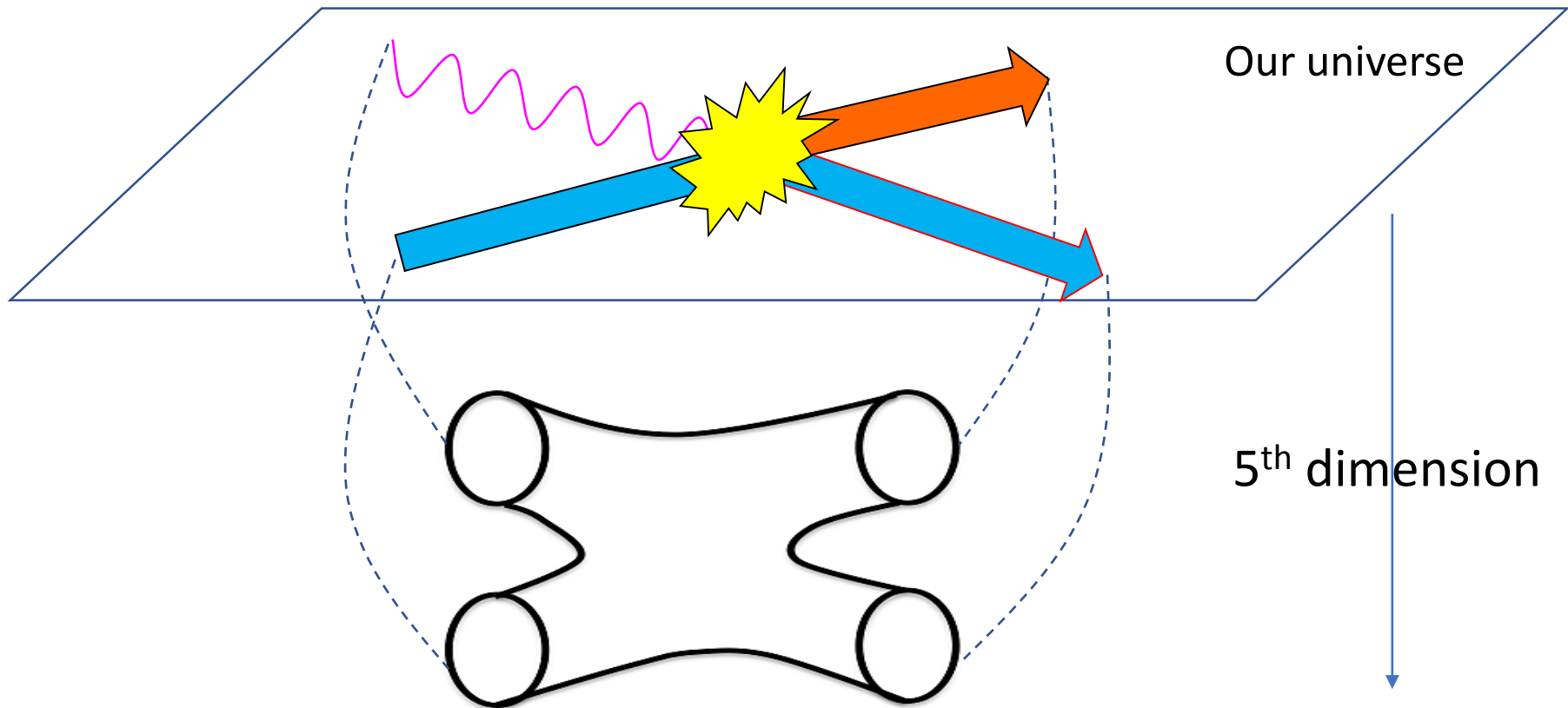
t-dependence from 2-gluon form factor,  
not exponential  
No connection to trace anomaly.



# Holographic approach

YH, Yang (2018)

Perturbative approach difficult. Need nonperturbative methods.  
Use AdS/CFT, or more generally, gauge/string duality  
QCD amplitude  $\approx$  string amplitude in asymptotically  $AdS_5$ .



# The AdS/CFT correspondence

Maldacena, '97

N=4 super Yang-Mills at strong coupling, large- $N_c$



equivalent

Type IIB superstring theory on  $AdS_5 \times S^5$

$$ds^2 = R^2 \frac{dz^2 - dx^\mu dx_\mu}{z^2} + R^2 d\Omega_5^2$$

Field theory

operators  $T^{\mu\nu}, F^2, \dots$   
(anomalous) dimension  
't Hooft coupling  $\lambda$   
number of colors  $1/N_c$

string

string state  $G_{\mu\nu}, \phi, \dots$   
mass  
curvature radius  $R$   
string coupling constant  $g_s$



# Application of AdS/CFT to high/low energy scattering

## High energy : Disaster

Polchinski, Strassler; Brower, Polchinski, Strassler, Tan  
YH, Iancu, Mueller; Cornalba, Costa, Penedones,...

Scattering amplitudes dominantly real, in stark contrast to QCD

Graviton exchange gives too strong rise of the cross section  $\sigma_{tot} \propto S$

Finite-coupling corrections/modified geometry essential for reasonable phenomenology.

## Low-energy : Some hope

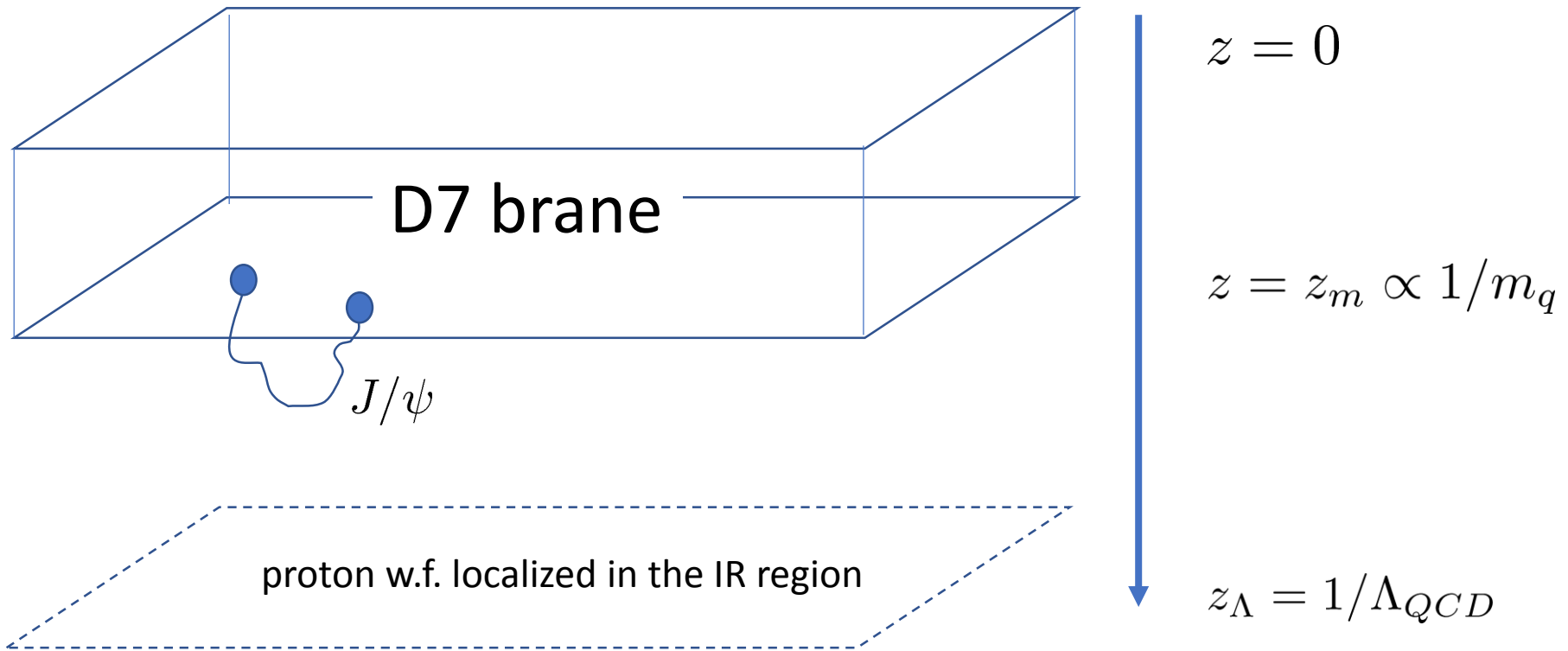
QCD amplitudes dominantly real at low energy.

Steep rise of the cross section near threshold may be explained by graviton exchanges.

# Setup

Quarkonium: open string excitation on a D7 brane

Karch, Katz (2002)

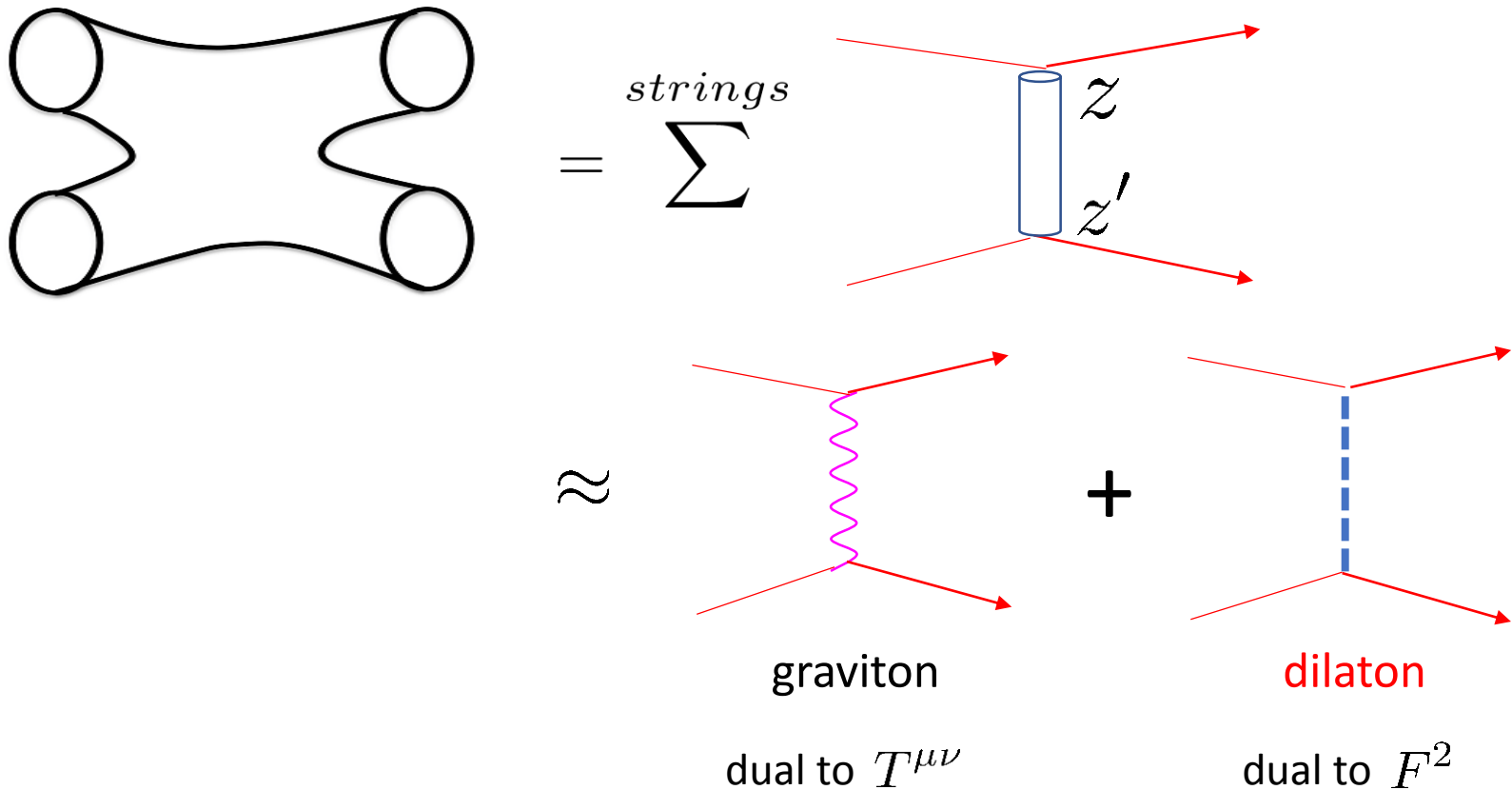


Born-Infeld action on the D7 brane.

$$S_{D7} = -T_{D7} \int d^8\xi e^{-\phi} \sqrt{-\det \left[ G_{ab} + 2\pi\alpha' (F_{ab}^\gamma + F_{ab}^{J/\psi}) \right]}$$

dilatons

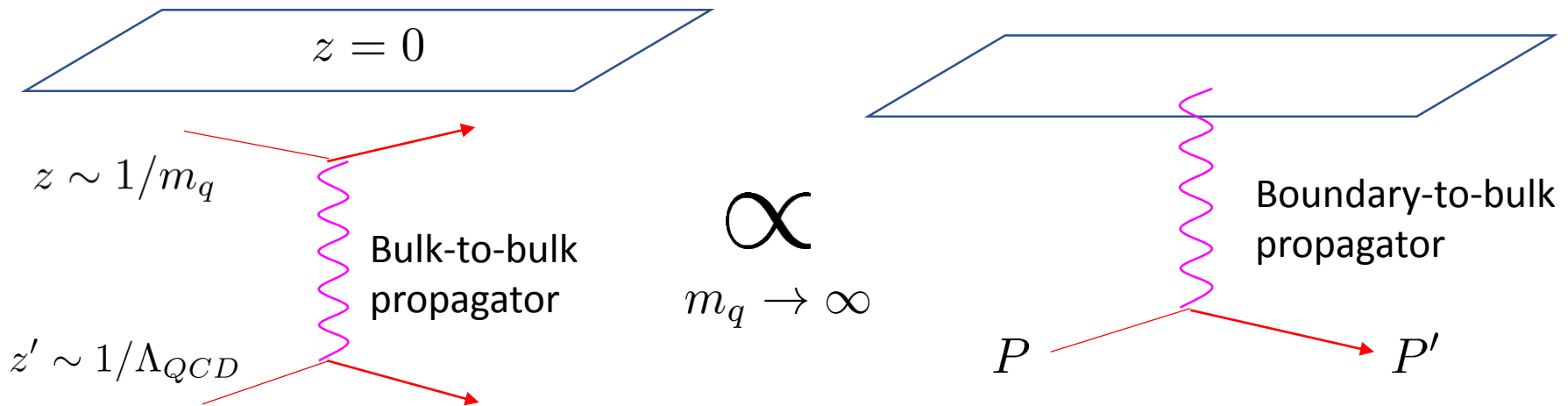
# Scattering amplitude in AdS



$$\langle P | \epsilon \cdot J | P' k \rangle \sim \int d^4 x dz \sqrt{-G} \int d^4 x' dz' \sqrt{-G'} \Phi_\gamma \Phi_{J/\psi} G(zx, z'x') \Phi_P \Phi_{P'}$$

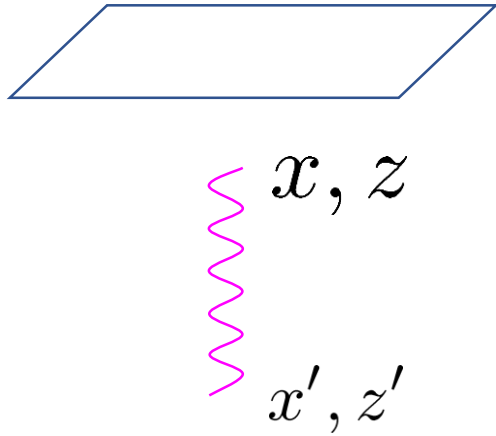
# Heavy quark limit

In the heavy-quark limit, one can make connection with the form factors



$$\begin{aligned}
 \langle P | \epsilon \cdot J(0) | P' k \rangle &\approx -\frac{2\kappa^2}{f_\psi R^3} \int_0^{z_m} dz \frac{\delta S_{D7}(q, k, z)}{\delta g_{\mu\nu}} \frac{z^2 R^2}{4} \langle P | T_{\mu\nu}^{gTT} | P' \rangle \\
 &+ \frac{2\kappa^2}{f_\psi R^3} \frac{3}{8} \int_0^{z_m} dz \frac{\delta S_{D7}(q, k, z)}{\delta \phi} \frac{z^4}{4} \langle P | \frac{1}{4} F_a^{\mu\nu} F_{\mu\nu}^a | P' \rangle
 \end{aligned}$$

## Bulk-to-bulk propagator

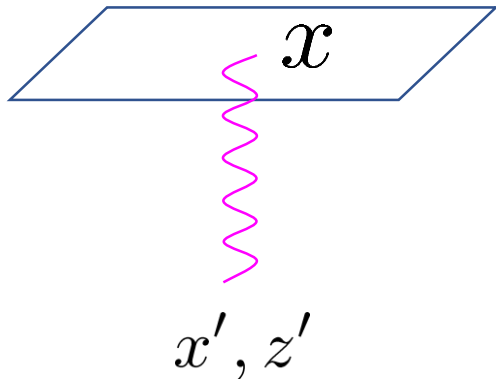


$$D(xz; x'z') = \langle \phi(xz)\phi(x'z') \rangle = \frac{2\kappa^2 i}{cR^3} \frac{3}{2\pi^2} \frac{1}{(2u)^4} F\left(4, \frac{5}{2}, 5; -\frac{2}{u}\right),$$

$$u = \frac{(z - z')^2 - (x - x')^2}{2zz'}$$

$$D(x, z \rightarrow 0, x'z') \approx \frac{2\kappa^2 i}{cR^3} \frac{3}{2\pi^2} \left( \frac{zz'}{z'^2 - (x - x')^2 + i\epsilon} \right)^4$$

## Boundary-to-bulk propagator



$$\phi(x'z') = \frac{6i}{\pi^2} \left( \frac{z'}{z'^2 - (x - x')^2 + i\epsilon} \right)^4$$

$$\langle F^2(x) \dots \rangle = \int dx' dz' \phi(x'z') \dots$$

# Nucleon gravitational form factors

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{u}(P') \left[ A_{q,g} \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g} \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha}{2M} + D_{q,g} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M} + \bar{C}_{q,g} M \eta^{\mu\nu} \right] u(P)$$


Assume the dipole form  $A_g(t) = \frac{A_g(0)}{(1 - t/\Lambda^2)^2}$ . t-dependence **not** exponential.

Neglect  $B_g$ .

Glueon D-term unknown.

Use a model based on the asymptotic formula and quark counting rule.

$$D_g(t) = \frac{16}{3n_f} D_q(t) = \frac{16}{3n_f} \frac{-0.1}{(1 - t/\Lambda)^3}$$

$\bar{C}_g$  related to trace anomaly  $\langle P | (T_{q,g})^\mu_\mu | P \rangle = 2M(A_{q,g} + 4\bar{C}_{q,g})$   **Nontrivial!**

# Quark and gluon contributions to trace anomaly

YH, Rajan, Tanaka, 1810.05116

Energy momentum tensor consists of quark and gluon parts.

$$T_{\mu}^{\mu} = (T_q)_{\mu}^{\mu} + (T_g)_{\mu}^{\mu} = \frac{\beta}{2g} F^2 + m(1 + \gamma_m) \bar{\psi}\psi$$

Can we compute  $(T_q)_{\mu}^{\mu}$  and  $(T_g)_{\mu}^{\mu}$  separately?

In dimensional regularization,

$$(T_q)_{\mu}^{\mu} = m\bar{\psi}\psi$$

$$(T_g)_{\mu}^{\mu} = \frac{\beta}{2g} F^2 + m\gamma_m \bar{\psi}\psi \quad \text{for the bare operators}$$

What about the **renormalized** operators  $(T_{q,g}^R)_{\mu}^{\mu}$  ?

## Two-loop result in the $\overline{\text{MS}}$ scheme

$$\eta_{\mu\nu} T_{gR}^{\mu\nu} = \frac{1}{2M^2} \langle P | \left\{ \frac{\alpha_s}{4\pi} \left( \frac{14}{3} C_F (m\bar{\psi}\psi)_R - \frac{11}{6} C_A (F^2)_R \right) \right. \\ \left. + \left( \frac{\alpha_s}{4\pi} \right)^2 \left[ \left( C_F \left( \frac{812C_A}{27} - \frac{22n_f}{27} \right) + \frac{85C_F^2}{27} \right) (m\bar{\psi}\psi)_R + \left( \frac{28C_A n_f}{27} - \frac{17C_A^2}{3} + \frac{5C_F n_f}{54} \right) (F^2)_R \right] \right\} | P \rangle$$

$$\eta_{\mu\nu} T_{qR}^{\mu\nu} = \frac{1}{2M^2} \langle P | \left\{ (m\bar{\psi}\psi)_R + \frac{\alpha_s}{4\pi} \left( \frac{4}{3} C_F (m\bar{\psi}\psi)_R + \frac{1}{3} n_f (F^2)_R \right) \right. \\ \left. + \left( \frac{\alpha_s}{4\pi} \right)^2 \left[ (m\bar{\psi}\psi)_R \left( C_F \left( \frac{61C_A}{27} - \frac{68n_f}{27} \right) - \frac{4C_F^2}{27} \right) + (F^2)_R \left( \frac{17C_A n_f}{27} + \frac{49C_F n_f}{54} \right) \right] \right\} | P \rangle$$

$$A_q^R(\mu \rightarrow \infty) = \frac{3n_f}{4C_F + n_f}$$

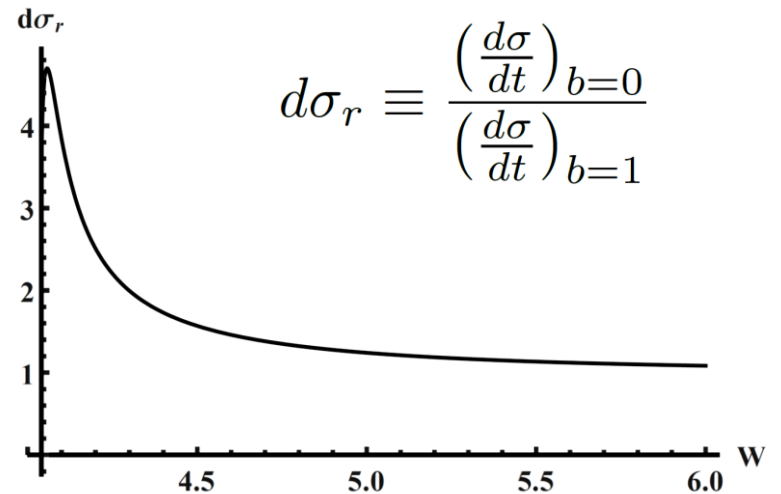
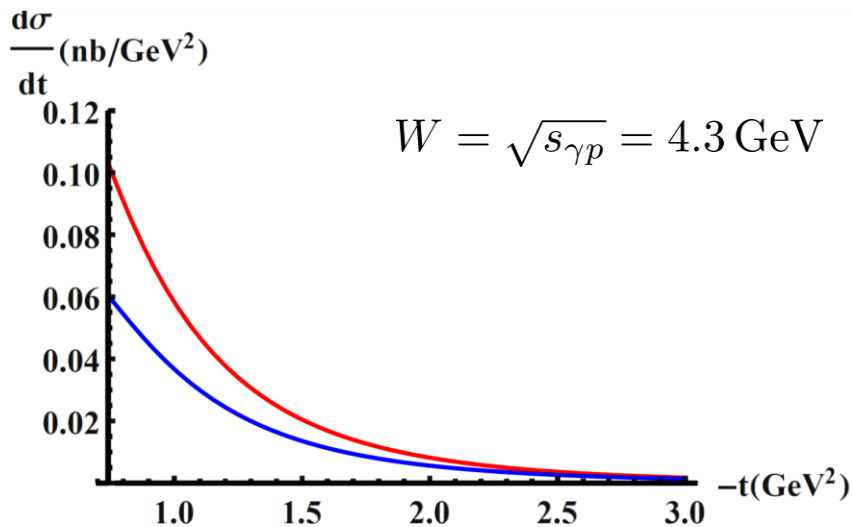
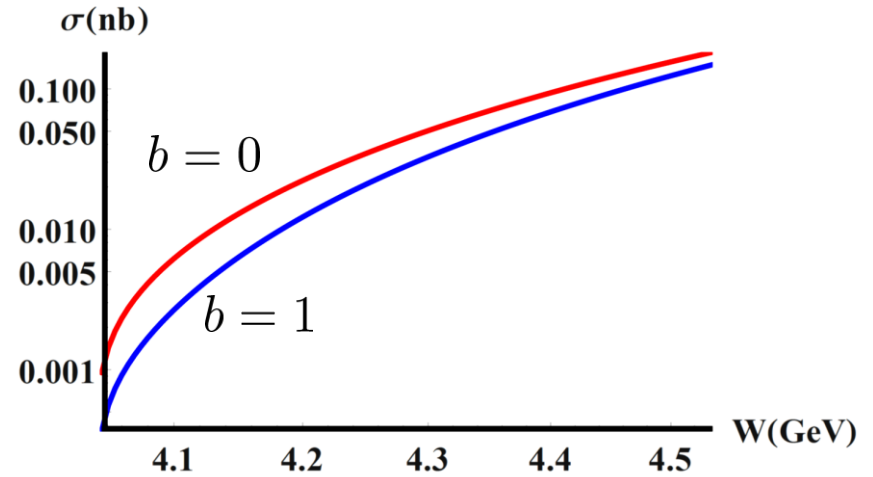
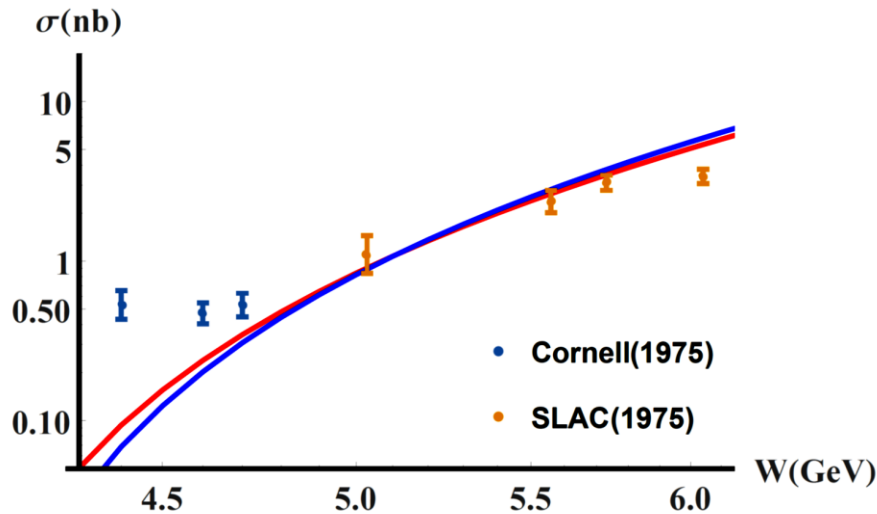
$$\bar{C}_q^R(\mu \rightarrow \infty) = -\frac{1}{4} \left( \frac{n_f}{4C_F + n_f} + \frac{2n_f}{3\beta_0} \right) \approx -0.15$$



# Numerical results

$$M_m = \frac{1}{4} \frac{\langle P | m(1 + \gamma_m) \bar{\psi} \psi | P \rangle}{2M} \equiv \frac{b}{4} M$$

$$M_a = \frac{1}{4} \frac{\langle P | \frac{\beta}{2g} F^2 | P \rangle}{2M} \equiv \frac{1-b}{4} M$$



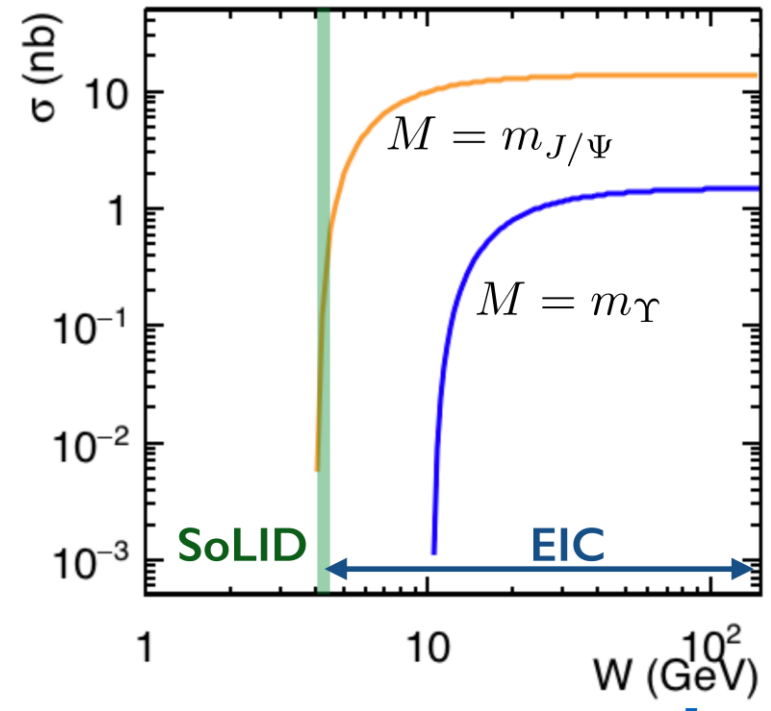
# Towards EIC

Jlab: Fixed-target, low energy

EIC: collider, high energy

Better control on  $y = E^\gamma / E^e$ .

Simulate the final states by boosting Jlab  
final state particles. [Talk by A. Deshpande](#)  
[@Proton mass workshop \(2017\)](#)



Is  $\Upsilon$  more useful?  $W_{th}^{\gamma p} = 10.4 \text{ GeV}$

$$t_{min} \approx -8.1 \text{ GeV}^2$$

# Conclusion

- Origin of the nucleon mass  $\rightarrow$  important goal of EIC.
- Look at heavy-quarkonium production near threshold. Cross section sensitive to  $\langle P|F^2|P'\rangle$ .
- Precise  $t$ -dependence of gravitational form factors very welcome  $\leftarrow$  models, lattice
- Use more realistic AdS/QCD models.
- First principle/model independent approach?