

# Nuclear shadowing in exclusive processes

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## Outline:

- Nuclear PDFs at small- $x$  and UPCs
- Gluon nuclear shadowing from coherent  $J/\psi$  photoproduction on nuclei
- Theoretical issues in pQCD studies of coherent  $J/\psi$  photoproduction
- Imaging of nuclear gluons at small  $x$

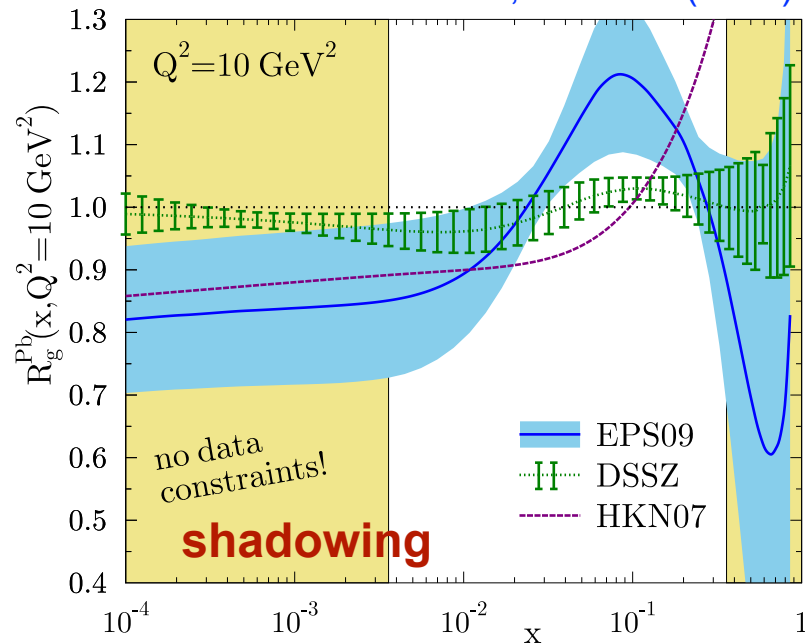
**INT Program INT-18-3 “Probing Nucleons and Nuclei in High Energy Collisions”  
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# Nuclear shadowing and nPDFs at small-x

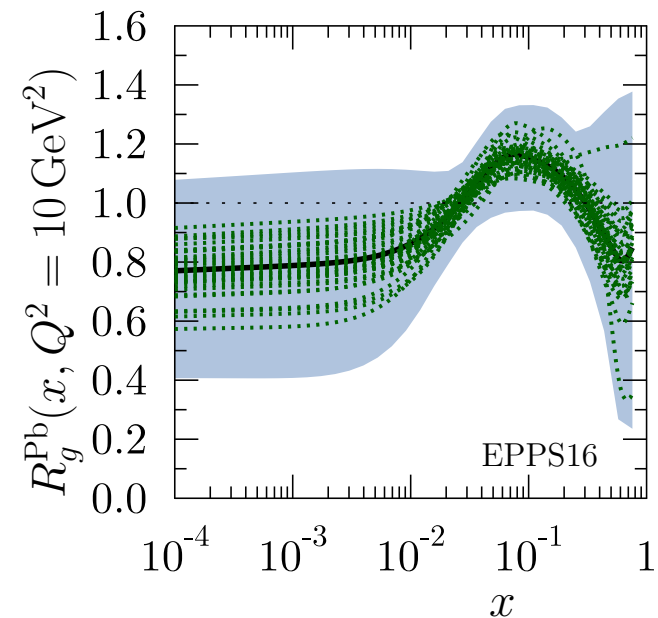
- Nuclear shadowing: suppression  $f_A(x, \mu^2) < A f_N(x, \mu^2)$  for small  $x < 0.005$ .
- Important for QCD phenomenology of hard processes with nuclei: cold nuclear matter effects, gluon saturation (RHIC, LHC, EIC, LHeC/FCC)
- $f_A(x, \mu^2)$  are determined from global QCD fits to data on **fixed-target** DIS, hard processes in **dA** (RHIC) and **pA** (LHC)  $\rightarrow f_A(x, \mu^2)$  with significant uncertainties

$$R_g(x, Q^2) = \frac{g_A(x, Q^2)}{A g_p(x, Q^2)}$$

Paukkunen, NPA 926 (2014) 24



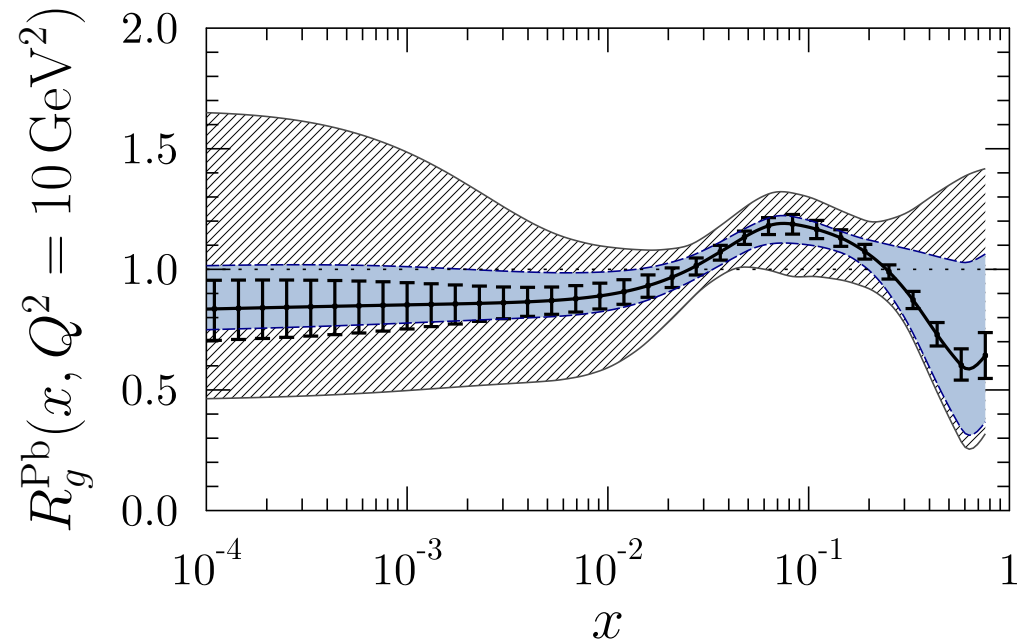
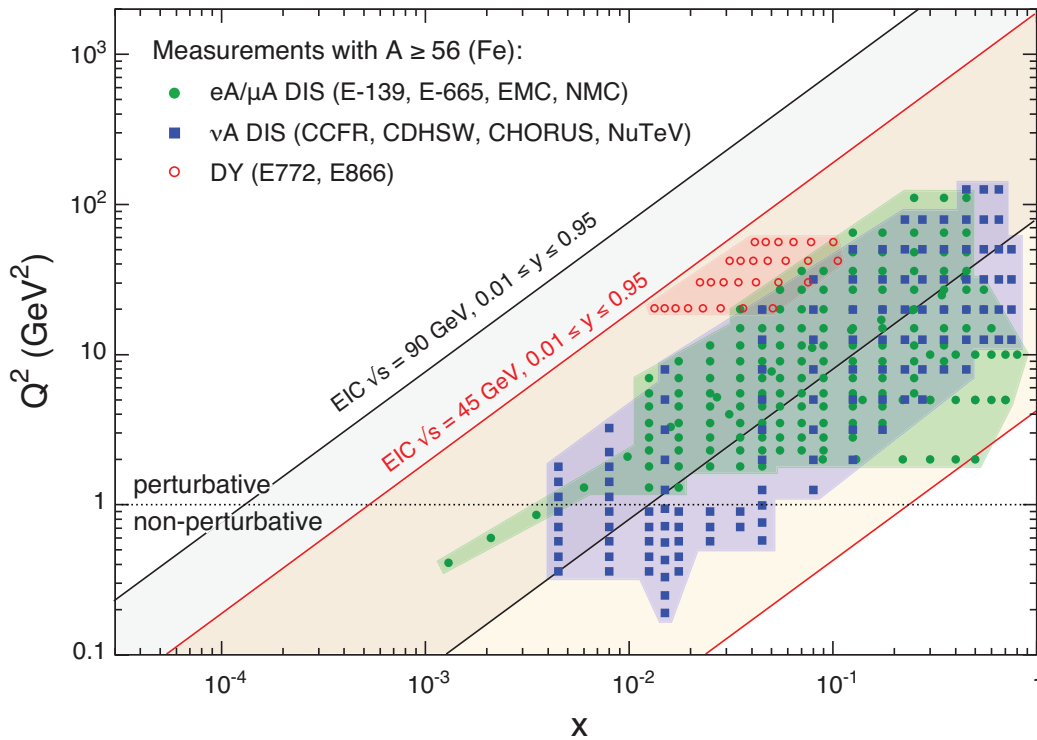
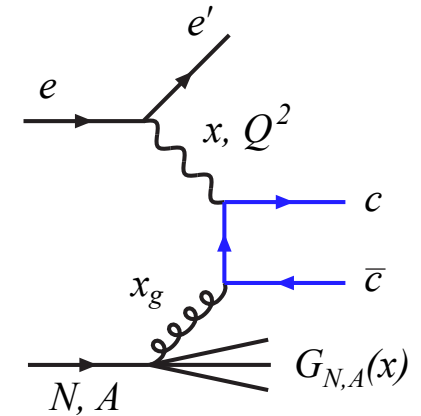
- pA@LHC data help little, [EPPS16](#), [Eskola](#), [Paakinen](#), [Paukkunen](#), [Salgado](#) EPJ C77 (2017) 163



- Alternative: leading twist nuclear shadowing model, [Frankfurt](#), [Guzey](#), [Strikman](#), Phys. Rept. 512 (2012) 255

# Gluon nuclear shadowing at EIC and LHeC

- In the future, gluon nuclear shadowing will be further constrained at EIC, [Accardi et al, EPJ A52 \(2016\) no.9, 268](#); LHeC@CERN, [LHEC Study Group, J. Phys. G39 \(2012\) 075001](#) due to wide  $Q^2$ - $x$  kinematic coverage,  $F_L^A(x, Q^2)$  and  $F_2^{\text{charm}}(x, Q^2)$  measurements:

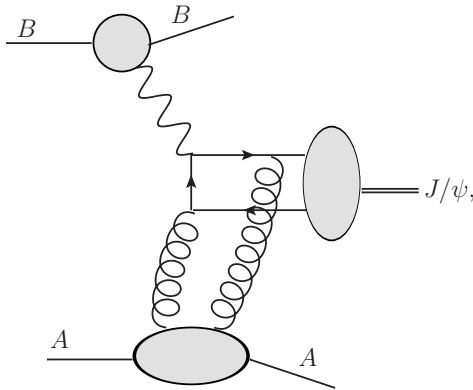


[Aschenauer, Fazio, Lamont, Paukkunen, Zurita, PRD 96 \(2017\) no.11, 114005](#)

Hatched: baseline fit  
 Blue: EIC inclusive  
 Black error: EIC inclusive + charm

# Ultrapерipheral collisions

- **Ultrapерipheral collisions (UPCs)**: ions interact at large impact parameters  $b \gg R_A + R_B \rightarrow$  strong interaction suppressed  $\rightarrow$  interaction via quasi-real photons, Fermi (1924), von Weizsäcker; Williams (1934)



- UPCs correspond to empty detector with only two lepton/pion tracks from vector meson decay
- Nuclear coherence by veto on neutron production by Zero Degree Calorimeters (ZDCs) and selection of small  $p_t$

- Coherent photoproduction of vector mesons in UPCs:

$$\frac{d\sigma_{AA \rightarrow AAJ/\psi}(y)}{dy} = N_{\gamma/A}(y)\sigma_{\gamma A \rightarrow AJ/\psi}(y) + N_{\gamma/A}(-y)\sigma_{\gamma A \rightarrow AJ/\psi}(-y)$$

Photon flux from QED:

- high intensity  $\sim Z^2$
- high photon energy  $\sim \gamma_L$

Photoproduction cross section

$$y = \ln[W^2 / (2\gamma_L m_N M_V)]$$

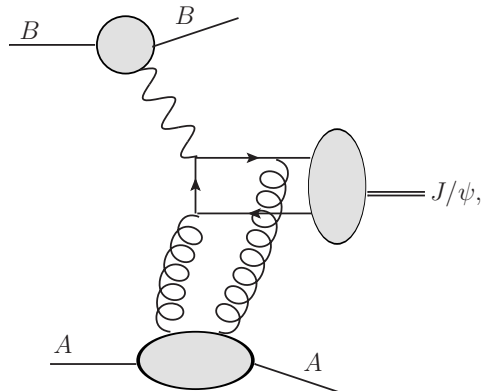
=  $J/\psi$  rapidity

**UPCs@LHC =  $\gamma p$  and  $\gamma A$  interactions at unprecedentedly large energies**, Baltz *et al.*, The Physics of Ultrapерipheral Collisions at the LHC, Phys. Rept. 480 (2008) 1

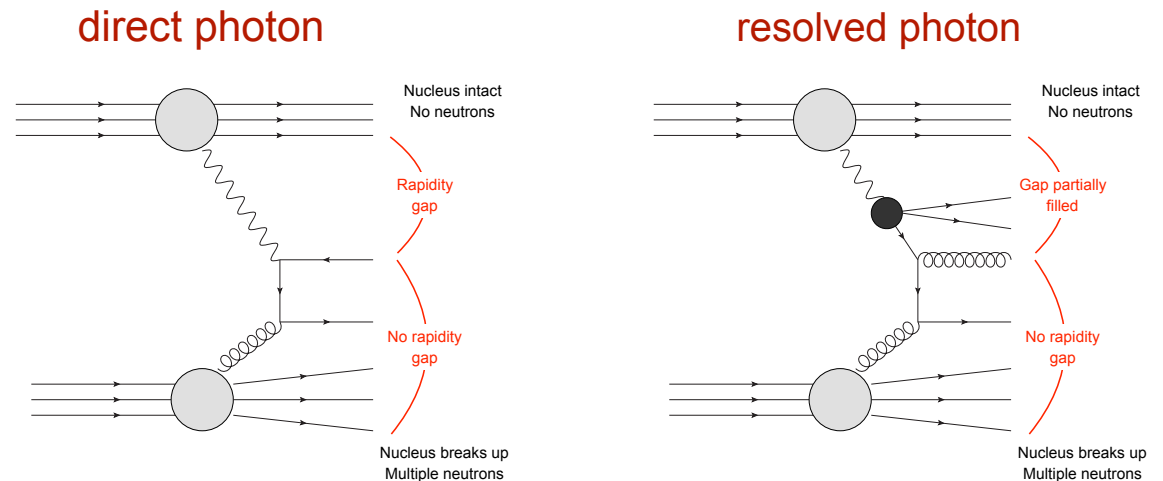
# UPCs at the LHC

- To probe partonic structure of (nuclear) targets, one selects UPC processes with a hard scale  $\rightarrow$  heavy vector meson mass or jet  $p_T$ 
  - **Run 1**: photoproduction of quarkonia  $J/\psi$ ,  $\psi(2S)$  in:
    - Pb-Pb UPCs, [ALICE] Abelev *et al.* PLB 718 (2013) 1273; Abbas *et al.*, EPJ C 73 (2013) 2617; Adam *et al.*, PLB 751 (2015) 358; [CMS] PLB 772 (2017) 489,
    - p-Pb UPCs, [ALICE] Abelev *et al.*, PRL 113 (2014) 232504,
    - pp UPCs, [LHCb], Aaij *et al.*, J. Phys. G 40 (2013) 045001; J. Phys. G 41 (2014) 055002
  - Photoproduction of bottomonia  $Y(1S,2S,3S)$  in pp UPCs, [LHCb], Aaij *et al.*, JHEP 1509 (2015) 084, and pA UPCs, [CMS], Chudasama *et al.*, PoS ICPAQGP 2015 (2017) 042 [arXiv:1607.00786 [hep-ex]]
  - Also photoproduction of  $\rho$ , [ALICE] Adam *et al.* JHEP 1509 (2015) 095  $\rightarrow$  soft nuclear shadowing
  - **Run 2**: quarkonia, Kryshen, arXiv:1705.06872; Klein, arXiv:1704.04715, dijets, ATLAS-CONF-2017-011

## Exclusive $J/\psi$ photoproduction



## Inclusive dijet photoproduction

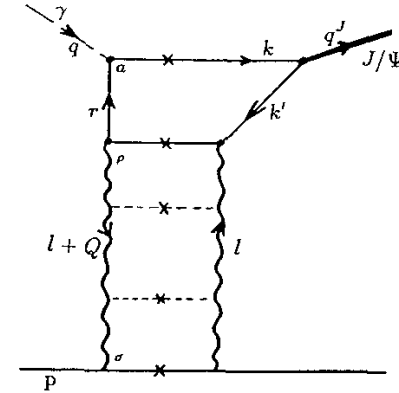


# Exclusive charmonium photoproduction

- In leading logarithmic approximation (LLA) of pQCD and non-relativistic approximation for charmonium wave function ( $J/\psi$ ,  $\psi(2S)$ ), Ryskin, Z. Phys. C57 (1993) 89

$$\frac{d\sigma_{\gamma T \rightarrow J/\psi T}(W, t=0)}{dt} = C(\mu^2) [xG_T(x, \mu^2)]^2$$

$$x = \frac{M_{J/\psi}^2}{W^2}, \quad \mu^2 = M_{J/\psi}^2/4 = 2.4 \text{ GeV}^2 \quad C(\mu^2) = M_{J/\psi}^3 \Gamma_{ee} \pi^3 \alpha_s(\mu^2) / (48 \alpha_{em} \mu^8)$$



## Beyond LLA and NR approximation for charmonium:

- k<sub>T</sub>-factorization**, Ryskin, Roberts, Martin, Levin, Z. Phys. C76 (1997) 231; Martin, Nockles, Ryskin, Teubner, PLB 662 (2008) 252; Jones, Martin, Ryskin, Teubner, JHEP 1311 (2013) 085: gluon and quark k<sub>T</sub> → additional suppression by factor 1/2; some NLO effects using unintegrated g(x, k<sub>T</sub>) reducing to NLO g(x, μ<sup>2</sup>) + skewness factor to relate GPDs and PDFs → **successful LO and NLO pQCD description of HERA and LHCb data on charmonium photoproduction**

- k<sub>T</sub>-factorization**, Cisek, Schafer, Szczurek, JHEP 1504 (2014) 159: unintegrated gluon distribution with saturation seems to be somewhat preferred by LHCb data on J/ψ photoproduction

- color dipole model framework**, Frankfurt, Koepf, Strikman (1998): relativistic effects in charmonium wf are very important; gluon virtualities are much higher than in NR case; Goncalves, Machado 2008-present; Lappi, Mäntysaari (2013): dipole cross section with/without saturation; large dependence on charmonium wf; phenomenological description of HERA and UPC data for proton. For Pb targets, nuclear suppression due to shadowing is generally underestimated.

- Collinear factorization at NLO**, Ivanov, Schaefer, Szymanowski, Krasnikov (2015); Jones, Martin, Ryskin, Teuber (2015): large ~200% NLO corrections and scale dependence

# Coherent charmonium photoproduction on nuclei

- Application to nuclear targets:

$$\sigma_{\gamma A \rightarrow J/\psi A}(W_{\gamma p}) = \kappa_{A/N}^2 \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \left[ \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} \right]^2 \Phi_A(t_{\min})$$

Small correction  $\kappa_{A/N} \approx 0.90-95$  due to different skewnesses of nuclear and nucleon GPDs

From HERA and LHCb

Nucleus/proton gluon ratio  $R_g$

From nuclear form factor

$$\Phi_A(t_{\min}) = \int_{-\infty}^{t_{\min}} dt |F_A(t)|^2$$

- Well-defined impulse approximation (IA):

$$\sigma_{\gamma A \rightarrow J/\psi A}^{\text{IA}}(W_{\gamma p}) = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(W_{\gamma p}, t=0)}{dt} \Phi_A(t_{\min})$$

- Nuclear suppression factor **S** (like  $R_{pA}$  or  $R_{AA}$ ) → direct access to  $R_g$

$$S(W_{\gamma p}) = \left[ \frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{\text{IA}}} \right]^{1/2} = \kappa_{A/N} \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} = \kappa_{A/N} R_g$$

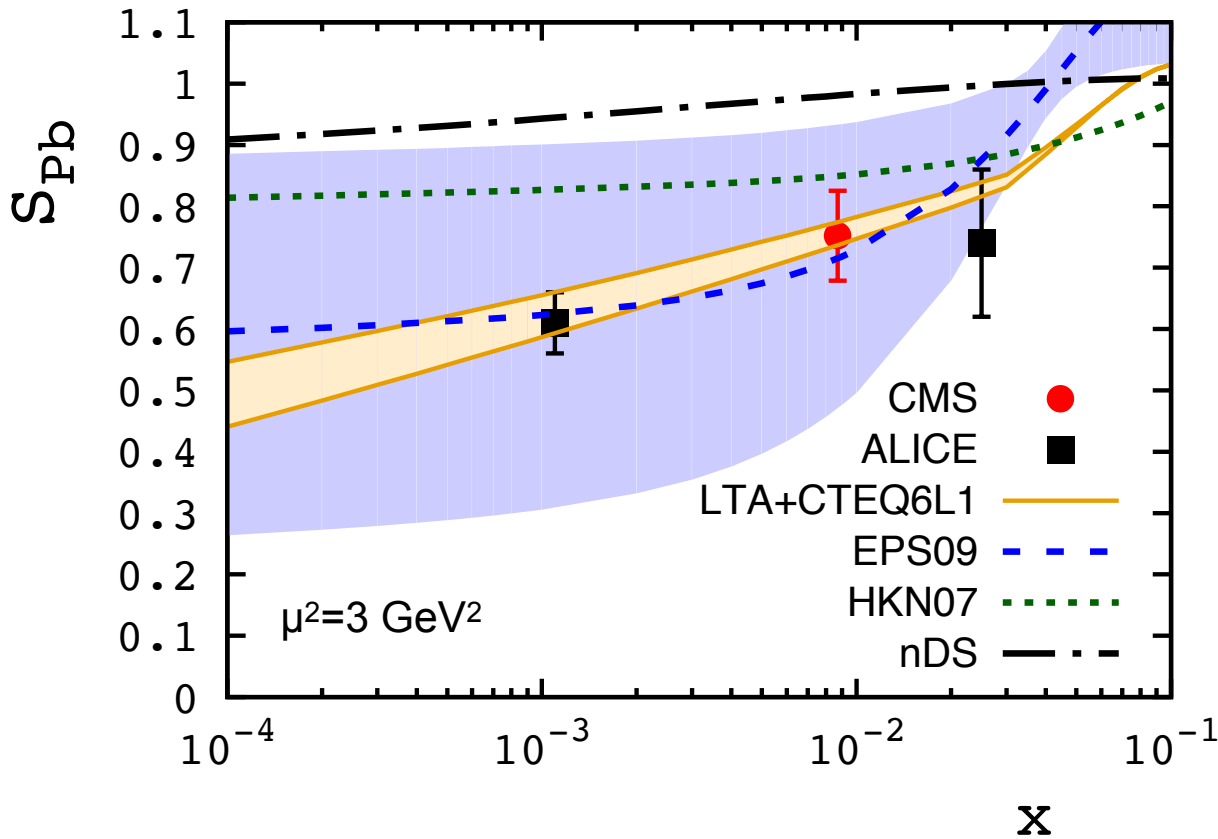
Model-independently from data on UPC@LHC (ALICE, CMS) and HERA, LHCb *Abelev et al.* [ALICE], PLB718 (2013) 1273; *Abbas et al.* [ALICE], EPJ C 73 (2013) 2617; [CMS] PLB 772 (2017) 489

From global QCD fits of nPDFs or leading twist nuclear shadowing model

Guzey, Kryshen, Strikman, Zhalov, PLB 726 (2013) 290, Guzey, Zhalov, JHEP 1310 (2013) 207

# $S_{Pb}$ from ALICE and CMS UPC data vs. theory

- $J/\psi$  photoproduction in Pb-Pb UPCs at LHC, [Abelev et al. \[ALICE\], PLB718 \(2013\) 1273](#); [Abbas et al. \[ALICE\], EPJ C 73 \(2013\) 2617](#); CMS Collab., [PLB 772 \(2017\) 489](#) → suppression factor  $S_{Pb}$

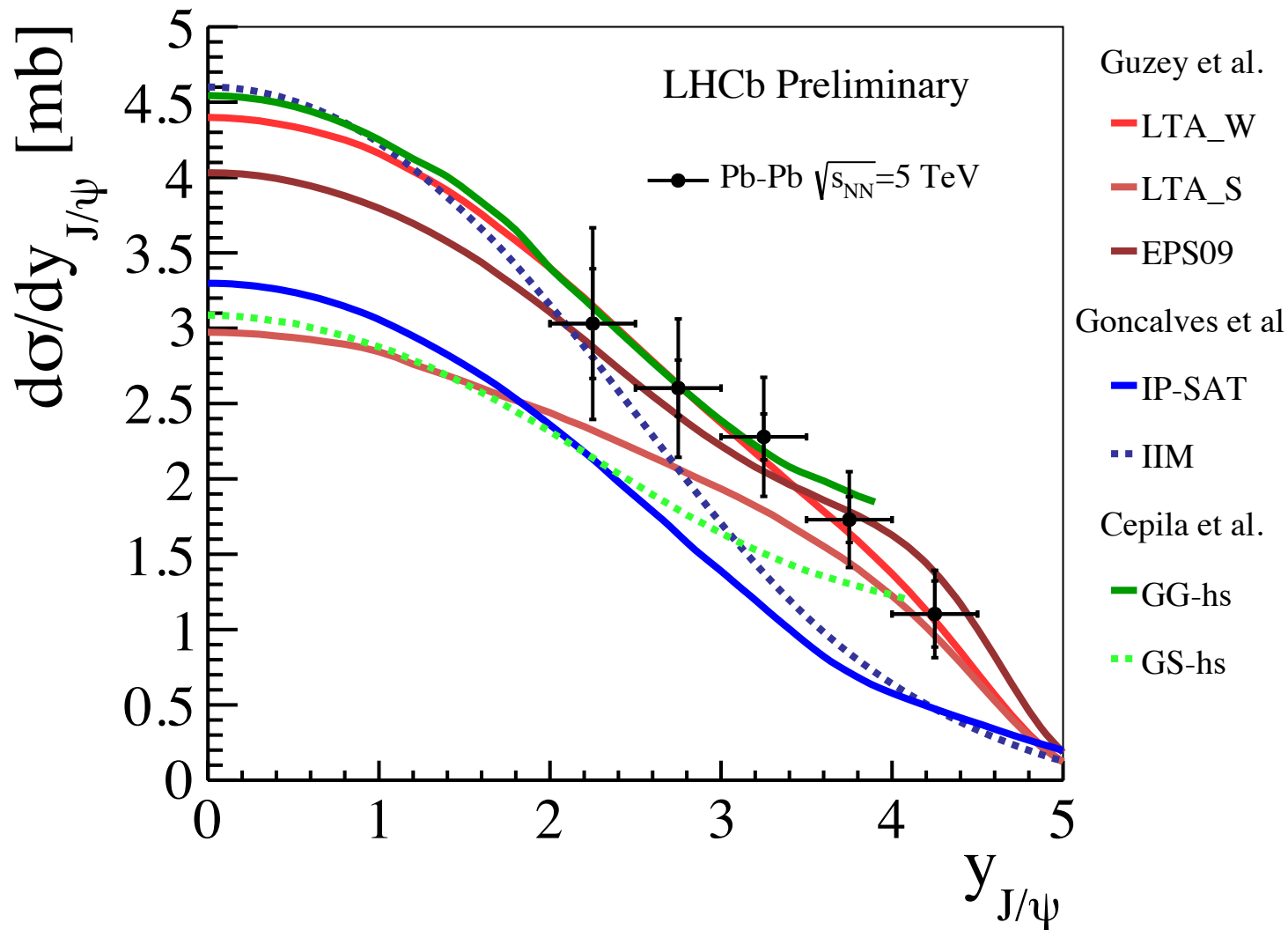


LTA: [Guzey, Zhalov JHEP 1310 \(2013\) 207](#)  
EPS09: [Eskola, Paukkunen, Salgado, JHEP 0904 \(2009\) 065](#)  
HKN07: [Hirai, Kumano, Nagai, PRC 76 \(2007\) 065207](#)  
nDS: [de Florian, Sassot, PRD 69 \(2004\) 074028](#)

- Good agreement with ALICE data on coherent  $J/\psi$  photoproduction in Pb-Pb UPCs@2.76 TeV → [direct evidence of large gluon NS,  \$R\_g\(x=0.001\) \approx 0.6\$](#) .
- Also good description using central value of EPS09, EPPS16, large uncertainty.
- Color dipole models generally give too little suppression, [Goncalves, Machado \(2011\)](#); [Lappi, Mäntysaari, 2013](#), but proton shape fluctuations help, [Mäntysaari, Schenke, PLB 772 \(2017\) 681](#)



# Recent results on exclusive $J/\psi$ photoproduction in Pb-Pb UPCs from LHCb

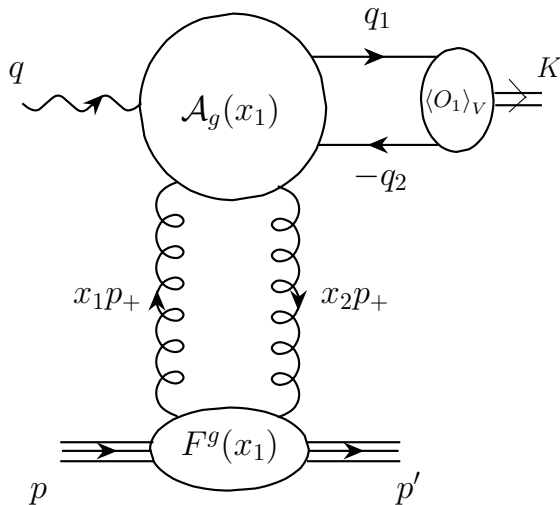


Winn, arXiv:  
1808.08152

- Good agreement with LTA, EPS09, and certain versions of the dipole model with proton shape fluctuations.

# Theoretical issues: collinear factorization

- Ryskin's formula is derived in leading  $\alpha_s \ln(1/x) \ln Q^2$  approx.+ NR approx. for charmonium wf (charm quarks have  $k_T=0$ ,  $z=1/2$ ,  $J/\psi$  via its  $J/\psi \rightarrow e^+e^-$  decay)
- Electroproduction of  $J/\psi$  in leading  $\alpha_s \ln(1/x) \ln Q^2$  approx., Brodsky, Frankfurt, Gunion, Mueller, Strikman, PRD50 (1994) 3134: answer in terms of  $xg(x, \mu^2)$  and  $J/\psi$  distribution amplitude
- Collinear factorization for hard exclusive processes, Collins, Frankfurt, Strikman, PRD56 (1997) 2982, and its application to  $J/\psi$  photoproduction at NLO, Ivanov, Schaefer, Szymanowski, Krasnikov, EPJ C34 (2004) 297; EPJ C75 (2015) 75; Jones, Martin, Ryskin, Teuber, EPJ C 76 (2015) 633



- Amplitude = convolution of generalized parton distributions (GPDs) with hard coefficients
- Information on  $J/\psi$  via NR matrix element

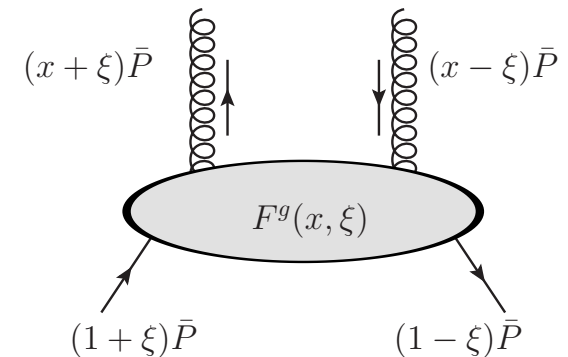
$$\mathcal{M} = \left( \frac{\langle O_1 \rangle_V}{m} \right)^{1/2} \sum_{p=g,q,\bar{q}} \int_0^1 dx_1 A_H^p(x_1, \mu_F^2) \mathcal{F}_\zeta^p(x_1, t, \mu_F^2)$$

- NLO corrections and scale dependence are very large,  $\sim 200\%$  in HERA kinematics  $\rightarrow$  problematic to build successful phenomenology, Ivanov, Schaefer, Szymanowski, Krasnikov, EPJ C75 (2015) 75

# Our phenomenological approach

- In our approach, [Guzey, Zhalov, JHEP 1310 \(2013\) 207](#), we took Ryskin's formula at face value, chose  $\mu^2=3 \text{ GeV}^2$  to fit  $W$ -dependence of HERA data on  $\gamma+p \rightarrow J/\psi+p$ , and corrected it by skewness and real part  $\rightarrow$  **describe well  $W$ -dependence of HERA, LHCb data, but overestimate normalization by factor of two.**
- Indication of magnitude of corrections? [Ryskin, Roberts, Martin, Levin, Z. Phys. C76 \(1997\) 231](#)
- Our approach is equivalent to collinear factorization at LO:

$$\mathcal{M} = -\frac{8\pi\alpha_s e_c g(\epsilon_V^* \cdot \epsilon_\gamma)}{m_c} \int_{-1}^1 dx \frac{F^g(x, \xi, t, \mu^2)}{(x - \xi + i\epsilon)(x + \xi - i\epsilon)}$$



- At LO, the imaginary part probes the most skewed situation, when GPDs are far from PDFs. The connection is model-dependent and based on forward input for DGLAP evolution for GPDs, [Shuvaev, Golec-Biernat, Martin, Ryskin, PRD 60 \(1999\) 014015](#)

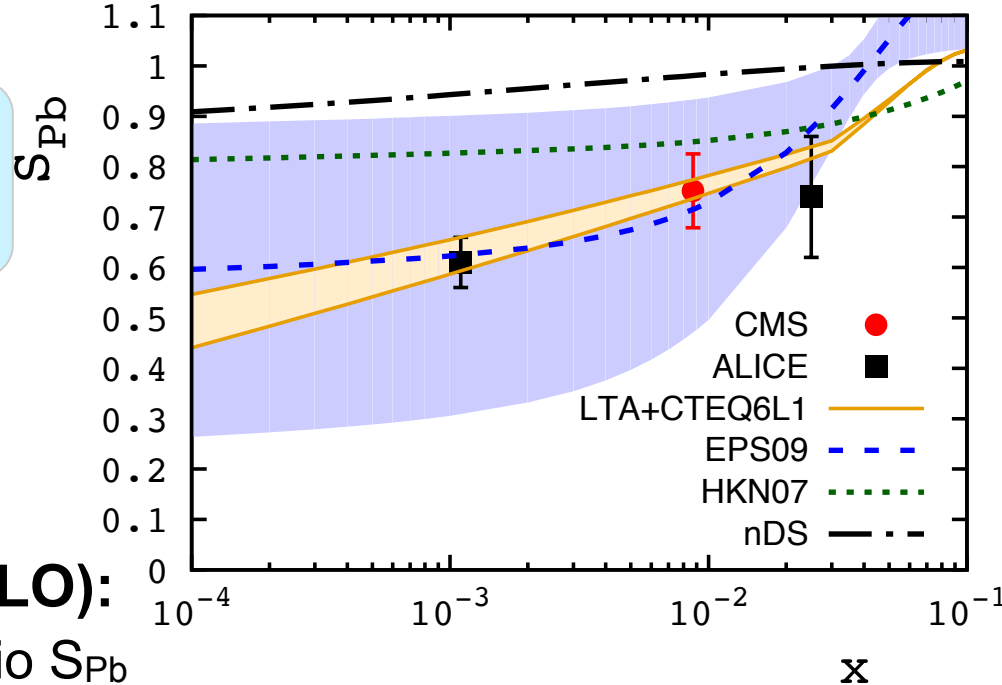
$$R = \frac{H(\xi, \xi)}{H(2\xi, 0)} = \frac{2^{2\lambda+3}}{\sqrt{\pi}} \frac{\Gamma(\lambda + 5/2)}{\Gamma(\lambda + 3 + p)} \quad \text{where } xg(x) \sim (1/x)^\lambda$$

# Implications for gluon nPDF at small x

- Taking nucleus/proton ratio, one might hope that most corrections cancel and  $S_{Pb}$  represents gluon shadowing at LO with small correction due to different skewness for nucleus and proton GPDs:

$$S(W_{\gamma p}) = \left[ \frac{\sigma_{\gamma Pb \rightarrow J/\psi Pb}}{\sigma_{\gamma Pb \rightarrow J/\psi Pb}^{IA}} \right]^{1/2} = \kappa_{A/N} \frac{G_A(x, \mu^2)}{AG_N(x, \mu^2)} = \kappa_{A/N} R_g$$

$$\kappa_{A/N} = R_A/R_N$$



- One needs to check further (LO and NLO):**
  - cancellation of GPD/PDF connection in ratio  $S_{Pb}$
  - to what extent corrections beyond collinear approximation cancel in  $S_{Pb}$
  - useful guide to estimate the magnitude of these corrections is provided by the dipole model, [Frankfurt, Koepf, Strikman 1996,1998](#)

## Open questions:

- relativistic corrections to  $J/\psi$  distribution amplitude
- resummation to reduce the large scale dependence at NLO
- connection between collinear factorization (GPDs) and  $k_T$  factorization/dipole models; leading twist vs. all-twist nuclear shadowing

# Imaging of nuclear gluons at small x

- In case of large nuclear shadowing,  $\gamma A \rightarrow J/\psi A$  cross section should be generalized:

$$\frac{d\sigma_{\gamma A \rightarrow J/\psi A}}{dt} = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(t=0)}{dt} \left( \frac{R_{g,A}}{R_{g,p}} \right)^2 \left( \frac{g_A(x, \mu^2)}{A g_p(x, \mu^2)} \right)^2 F_A^2(t)$$



$$\frac{d\sigma_{\gamma A \rightarrow J/\psi A}}{dt} = \frac{d\sigma_{\gamma p \rightarrow J/\psi p}(t=0)}{dt} \left( \frac{R_{g,A}}{R_{g,p}} \right)^2 \left( \frac{g_A(x, t, \mu^2)}{A g_p(x, \mu^2)} \right)^2$$

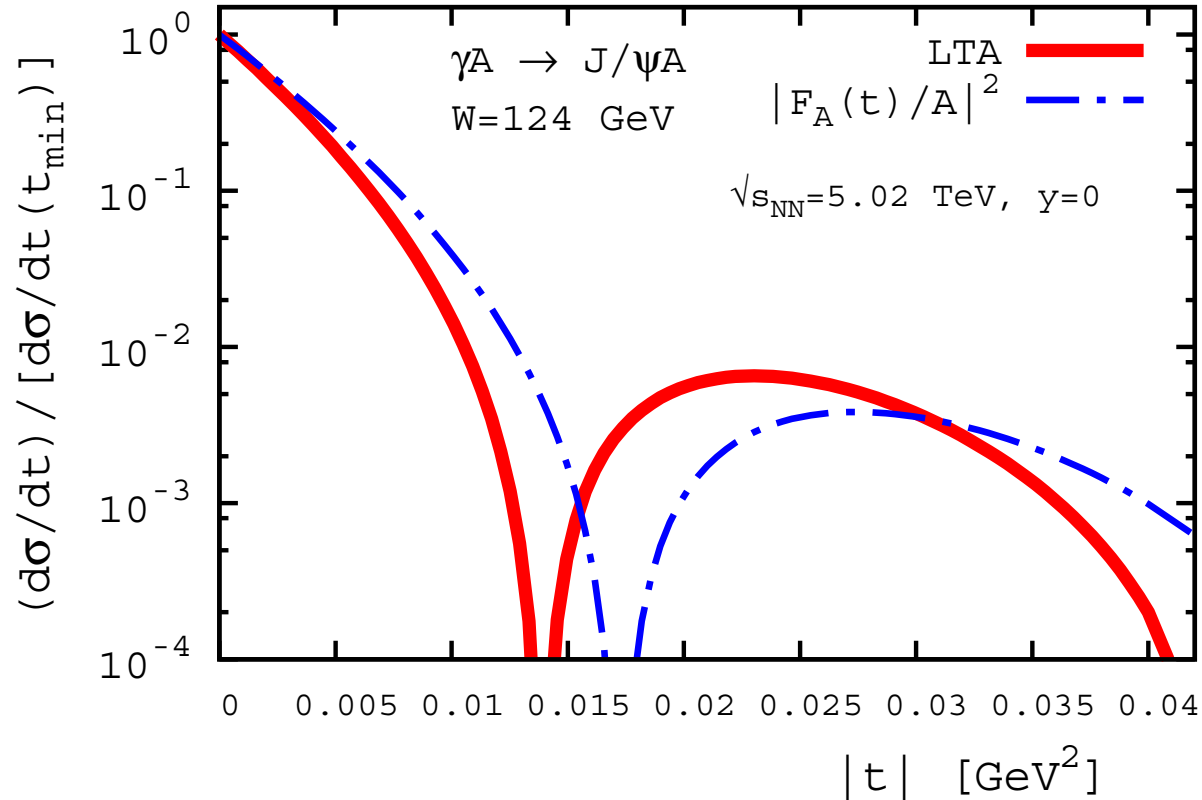
- Answer in terms of nuclear GPD in the  $x_1=x_2$  limit  $\rightarrow$  FT  $\rightarrow$  impact-parameter-dependent nPDF  $f_{j/A}(x, Q_0^2, b)$ , [Guzey, Strikman, Zhalov, PRC 95 \(2017\) 025204](#)

$$x f_{j/A}(x, Q_0^2, b) = A T_A(b) x f_{j/N}(x, Q_0^2) - 8\pi A(A-1) B_{\text{diff}} \Re e \frac{(1-i\eta)^2}{1+\eta^2} \int_x^{0.1} dx_{\mathbb{P}} \beta f_j^{D(3)}(\beta, Q_0^2, x_{\mathbb{P}}) \\ \times \int_{-\infty}^{\infty} dz_1 \int_{z_1}^{\infty} dz_2 \rho_A(\vec{b}, z_1) \rho_A(\vec{b}, z_2) e^{i(z_1-z_2)x_{\mathbb{P}}m_N} e^{-\frac{A}{2}(1-i\eta)\sigma_{\text{soft}}^j(x, Q_0^2) \int_{z_1}^{z_2} dz' \rho_A(\vec{b}, z')}$$

- b-dependent nPDFs predicted in leading twist nuclear shadowing model, [Frankfurt, Guzey, Strikman, Phys. Rept. 512 \(2012\) 255](#), and also fitted in EPS09s nPDFs, [Helenius, Honkanen, Salgado, JHEP 1207 \(2012\) 073](#).

# Shift of t-dependence

- Correlations between **impact parameter  $b$**  and  $x$  (shadowing is stronger in nucleus center)  $\rightarrow$  shift of t-dependence of  $\gamma A \rightarrow J/\psi A$  cross section in UPCs:



Guzey, Strikman, Zhalov,  
 PRC 95 (2017) 025204

- Resulting shift = **5-11% broadening** in impact parameter space of gluon nPDF
- Similar effect is predicted to be caused by saturation, Cisek, Schafer, Szczurek, PRC86 (2012) 014905; Lappi, Mäntysaari, PRC 87 (2013) 032201; Toll, Ullrich, PRC87 (2013) 024913; Goncalves, Navarra, Spiering, arXiv:1701.04340
- Oscillations of beam-spin nuclear DVCS asymmetry at **EIC** and **LHeC**.

# Summary

- Small- $x$  nPDFs — especially gluon nPDFs — are poorly constrained by available fixed-target nuclear DIS and pA LHC data. Additional processes and Run 2 data may help.
- Photoproduction of  $J/\psi$  in Pb-Pb UPCs at the LHC gives direct evidence of large gluon nuclear shadowing  $R_g(x=0.001, \mu^2 \approx 3 \text{ GeV}^2) = 0.6$ .
- Theoretical challenge and open question: include UPC data on exclusive  $J/\psi$  in global QCD fits of nPDFs.
- Heavy quarkonia photoproduction in UPCs gives access to transverse imaging of (nuclear) gluon distribution at small  $x$ .