

DVCS and GPD extraction with EIC



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INT 18-3, week 1

Seattle WA

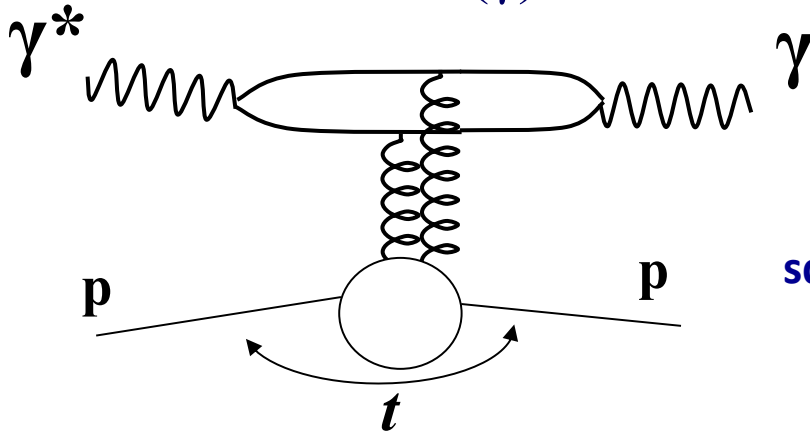
01-06 October 2018

Plan of the talk

- **Imaging with an EIC**
- **DVCS**
 - **Experimental techniques**
 - **Impact studies**
- **DVMP**
- **Nuclei (imaging, saturation)**
- **Summary**

Exclusive Vector Meson and real photon production

DVCS (γ)



Scale: Q^2

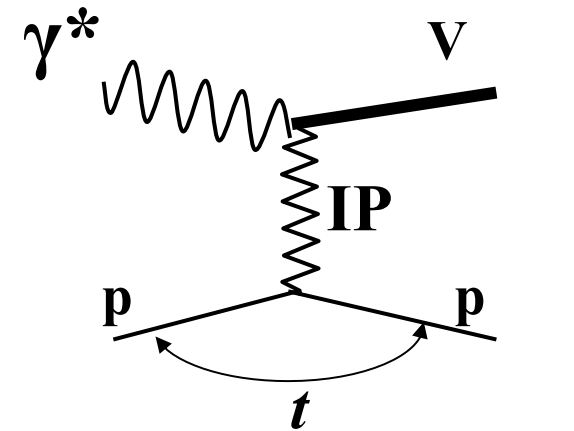


DVCS:

- Very clean experimental signature
- No VM wave-function uncertainty
- Hard scale provided by Q^2
- Sensitive to both quarks and gluons [via Q^2 dependence of xsec (scaling violation)]

square 4-momentum
at the p vertex:
 $t = (p' - p)^2$

VM ($\rho, \omega, \phi, J/\psi, Y$)



$Q^2 + M^2$

VMP:

- Uncertainty of wave function
- J/ψ → direct access to gluons, $c+\bar{c}$ pair produced via quark(gluon)-gluon fusion
- **Light VMs** → quark-flavor separation

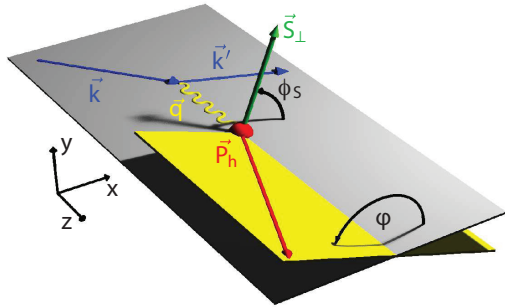
Alternative/complementary way to quark-flavor separation

DVCS on a real neutron target → polarized Deuterium or He^3

Accessing the GPDs in exclusive processes

$$\frac{d\sigma}{dt} \sim A_0 \left[|H|^2(x,t,Q^2) - \frac{t}{4M_p^2} |E^2|(x,t,Q^2) \right]$$

Dominated by H
slightly dependent on E

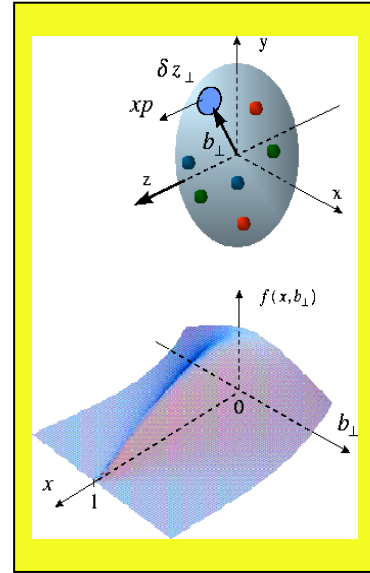


$$\varphi = \phi_h - \phi_l$$

Angle btw the production and scattering planes

$$\varphi_s = \Phi_T - \phi_h$$

Angle btw the scattering plane and the transverse pol. vector



$$A_C = \frac{d\sigma^+ - d\sigma^-}{d\sigma^+ + d\sigma^-} \propto \text{Re}(A)$$

Requires a positron beam
done @ HERA

$$A_{UT} \propto \sqrt{\frac{-t}{4M^2}} \left[F_2(t)H(\xi, \xi, t, Q^2) - F_1(t)E(\xi, \xi, t, Q^2) + \dots \right]$$

$\sin(\Phi_T - \phi_N)$
governed by E and H

Requires a polarized proton-target

Spin-Sum-Rule in PRF:

$$\frac{1}{2} = J_q^z + J_g^z = \frac{1}{2} \Delta\Sigma + \sum_q \mathcal{L}_q^z + J_g^z$$

from g_1

$$J_{q,g}^z = \frac{1}{2} \left(\int_{-1}^1 x dx (H^{q,g} + E^{q,q}) \right)_{t \rightarrow 0}$$

responsible for orbital angular momentum
a window to the SPIN physics

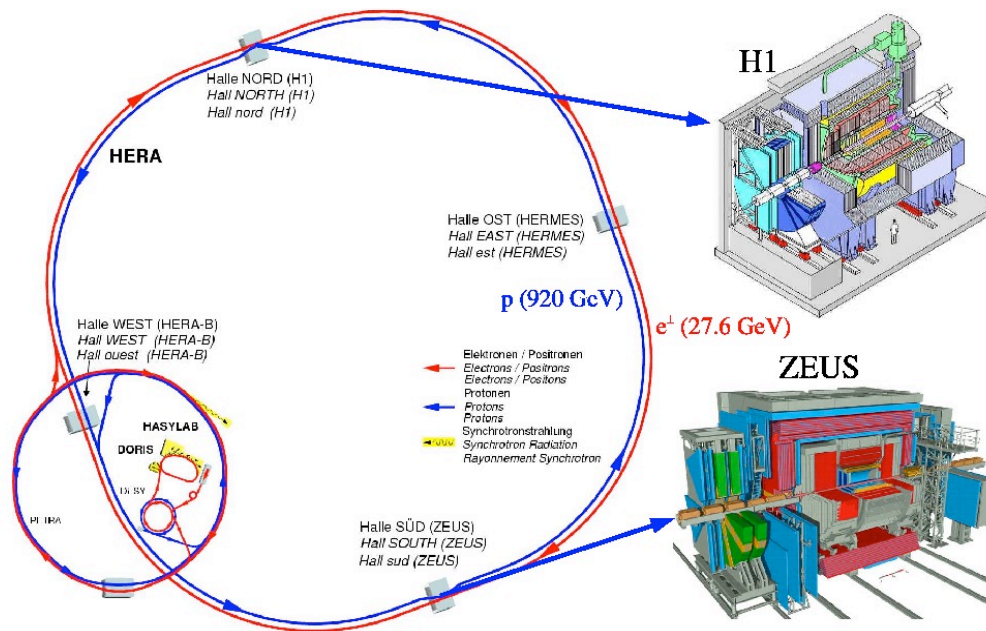
The HERA Collider and experiments

- 27.5 GeV electrons/positrons on 920 GeV protons $\rightarrow \sqrt{s}=318$ GeV
- 2 collider experiments: **H1** and **ZEUS**
- HERA I: 16 pb^{-1} e-p, 120 pb^{-1} e+p
HERA II (after lumi upgrade): 500 pb^{-1} , polarisation of e+,e-



- Fixed target experiment
- **Intense program on DVCS!**

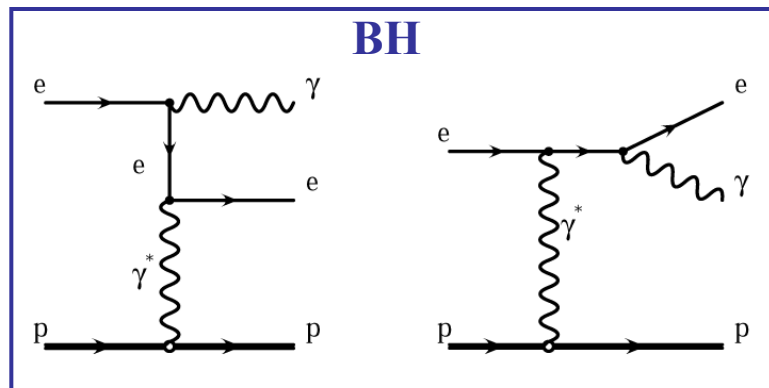
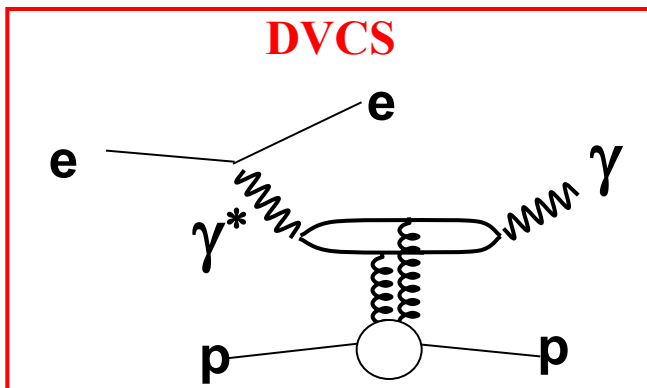
Closed July 2007, **still lot of excellent data to analyse...**



Detectors not originally designed for forward physics, but **diffraction at HERA is great success story!**

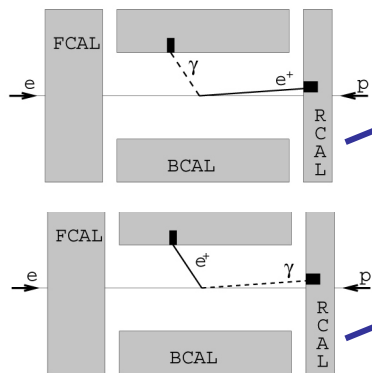
ZEUS forward instrumentation no longer available in HERA II

DVCS @ ZEUS - Strategy



two electromagnetic candidates (ordered in energy) and up to one track

BH must be removed [uncertainty on BH xsec $\sim 3\%$]



γ sample: no tracks matching to the second candidate

Signal sample
(DVCS+BH)

e sample: a track match to the second candidate

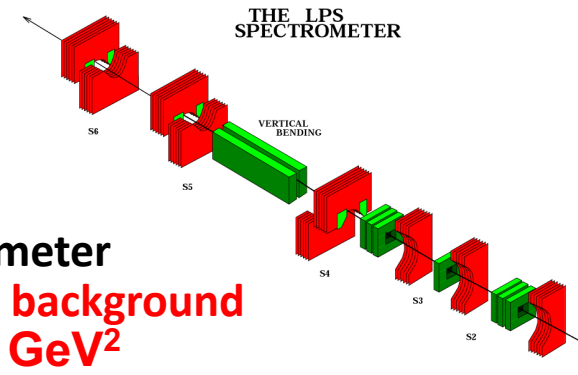
Control sample
(BH+ dilepton + J/ψ)

Wrong-sign sample: a negative track match to the second candidate

Control sample
(dilepton + J/ψ)

Kinematic region:
 $1.5 < Q^2 < 100 \text{ GeV}^2$
 $40 < W < 170 \text{ GeV}$

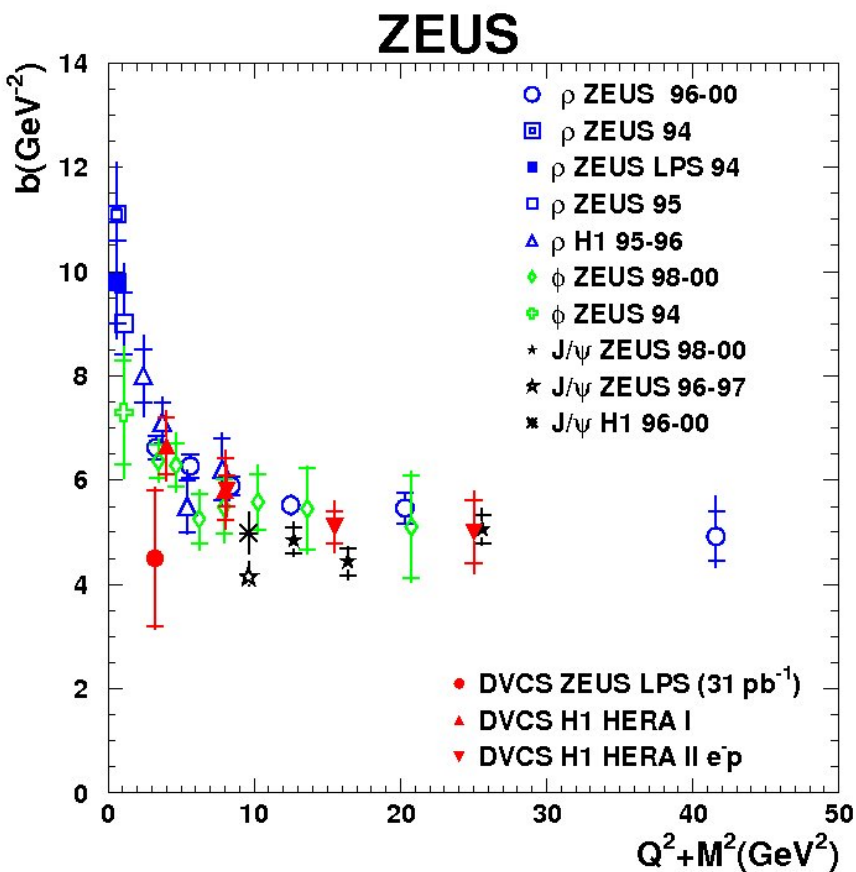
DVCS: t dependence – RPs



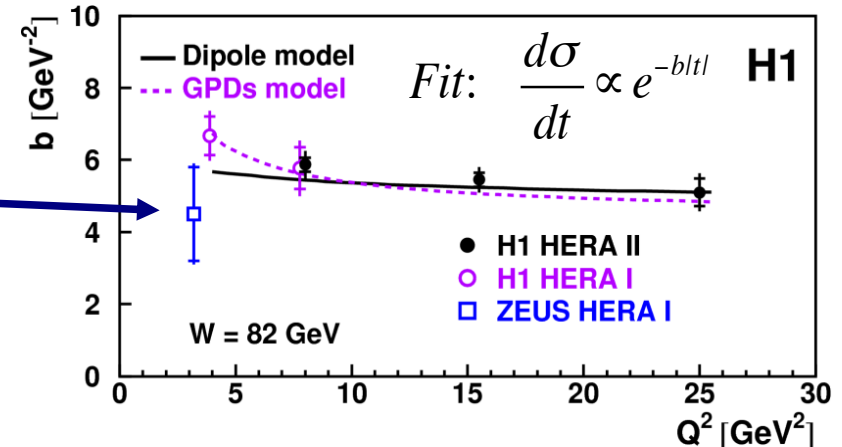
Roman Pots spectrometer

- No p-dissociation background
- $0.08 < |t| < 0.53 \text{ GeV}^2$
- Low geometrical acceptance \rightarrow low statistics

This detector was removed after the HERA II upgrade $\rightarrow \mathcal{L} = 31 \text{ pb}^{-1}$



direct measurement of the outgoing proton 4-momentum using the Leading Proton Spectrometer (roman pots)



The ZEUS result still statistically compatible with H1, but hints for a flatter trend

Ingredients for a High Resolution “Femtoscope”

Large center-of-mass coverage:

Access to **wide kinematic range** in x and Q^2

Polarized electron and hadron beams:

- access to **spin structure** of nucleons and nuclei
- Spin vehicle to access the **3D spatial and momentum structure** of the nucleon
- Full specification of initial and final states to probe q-g structure of NN and NNN interaction in light nuclei

Nuclear beams:

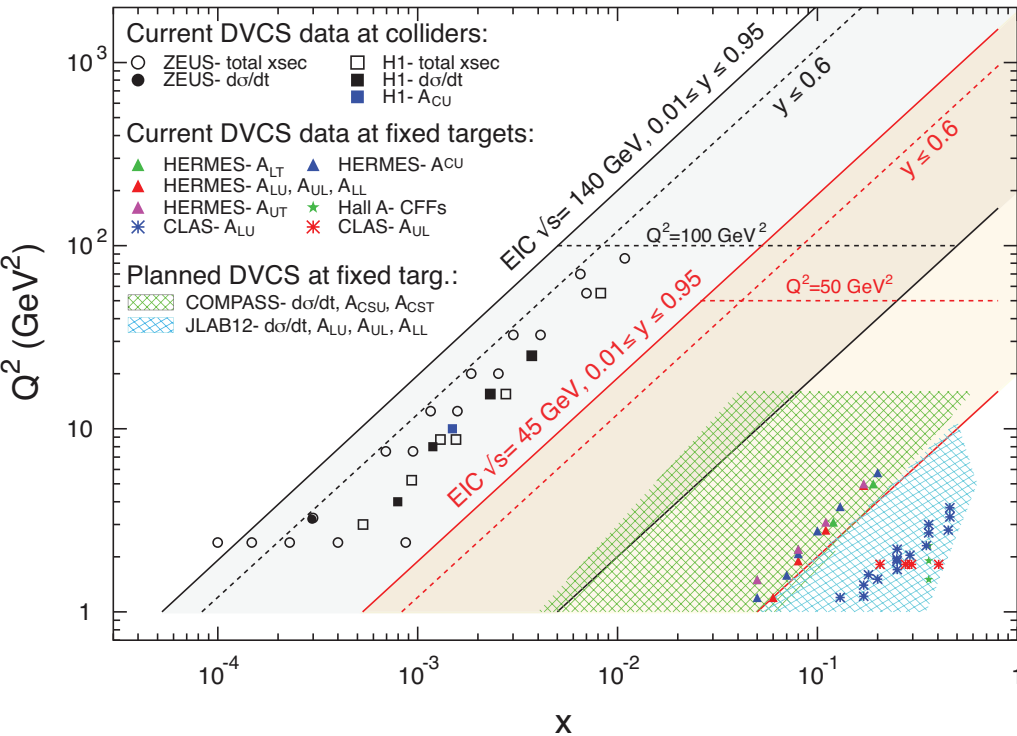
- Accessing the **highest gluon densities** → amplification of saturation phenomena

High luminosity:

- Detailed mapping the 3D spatial and momentum structure of nucleons and nuclei
- Access to **rare probes**

All these requirements must be addressed by a future **Electron-Ion Collider**

DVCS at an EIC

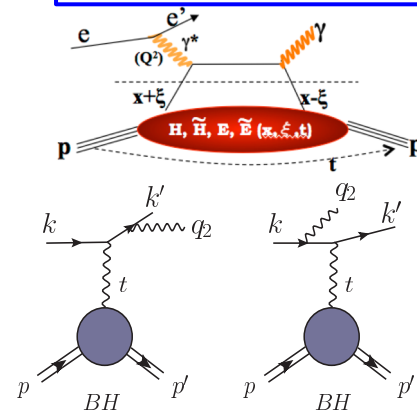


HERA results limited by lack of statistics

EIC: the first machine to measure cross sections and asymmetries

- ✧ EIC will provide sufficient luminosity to bin in multi-dimensions
- ✧ **Wide x and Q^2 range needed to extract GPDs**

E.C. Aschenauer, S. F., K. Kumerički, D. Müller
JHEP09(2013)093



DVCS
signal

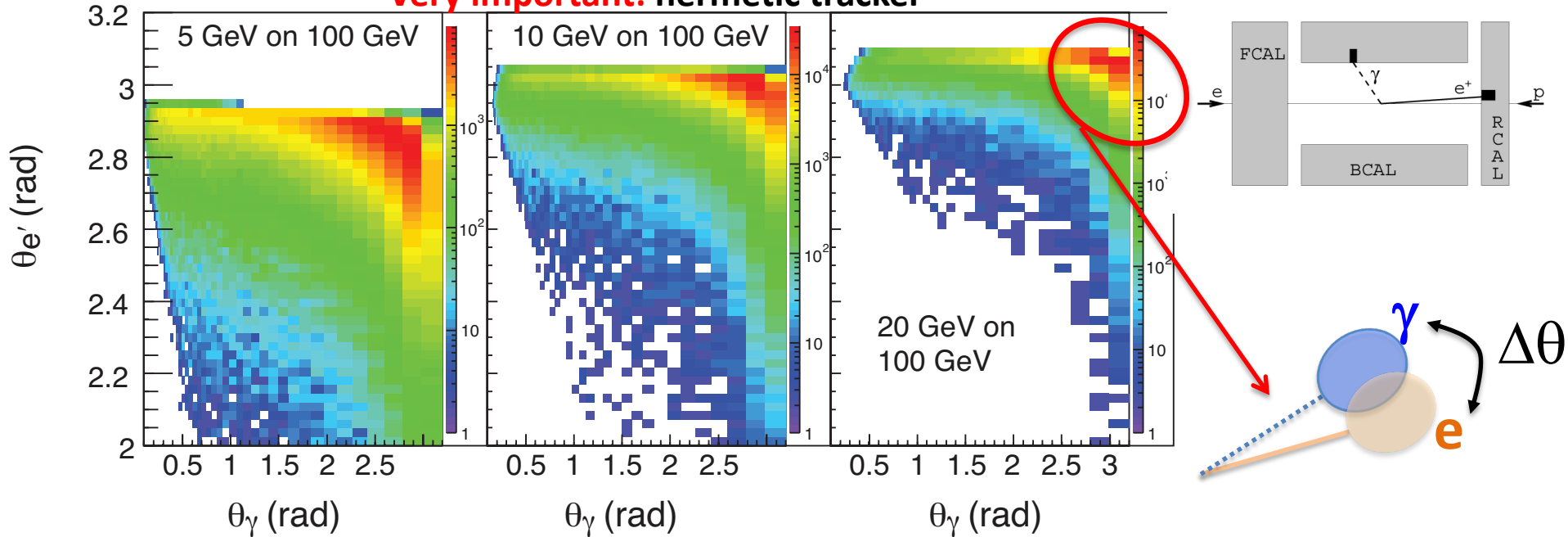
Bethe-Heitler
QED bkgd.

Comprehensive EIC studies

- Signal extraction “a la HERA”
- xSec meas.: Specific requirements to suppress BH
→ **keep BH/sample below 60% at high energies**
- Radiative Corrections evaluated
- detector acceptance & smearing
- t-slope: $b=5.6$ compatible with H1 data
- $|t|$ -binning is (3*resolution)
- 5% systematic uncertainties

DVCS – clusters separation in rapidity

Very important: hermetic tracker



N.B. - Need for a emCAL with a very fine granularity, to distinguish clusters down to $\Delta\theta=1$ deg



This is also important for $\Delta\phi$ calculation in asymmetries measurement and for BH rejection in the xsec measurement

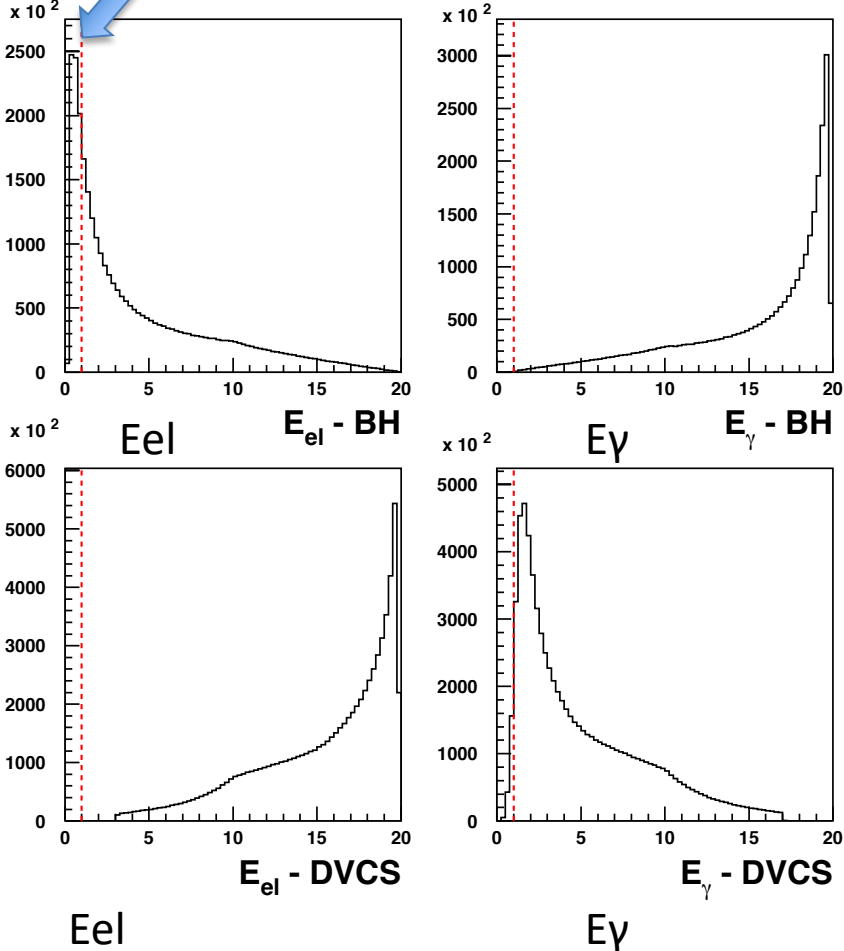
N.B. – when electron lies at a very small angle its track can be missing



A pre-shower calorimeter needed to control background from $\pi^0 \rightarrow \gamma\gamma$

BH suppression

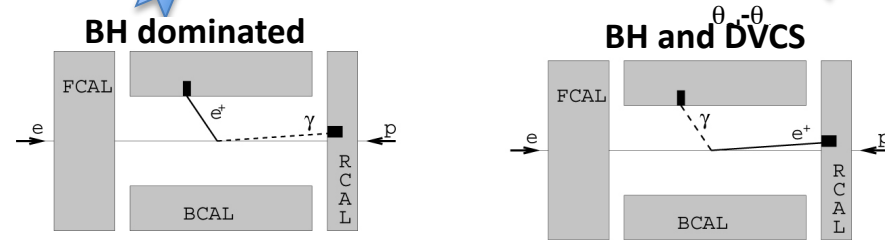
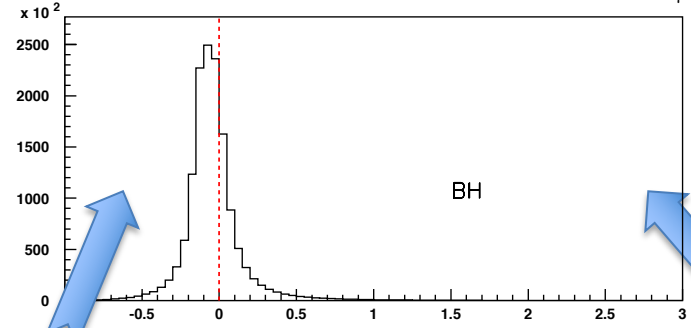
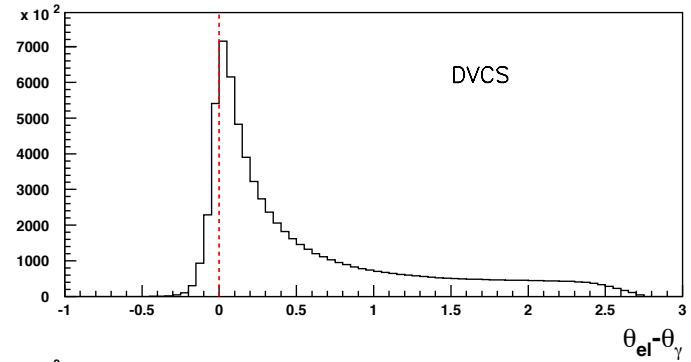
20 X 250



BH electron has very low energy (often below 1 GeV)

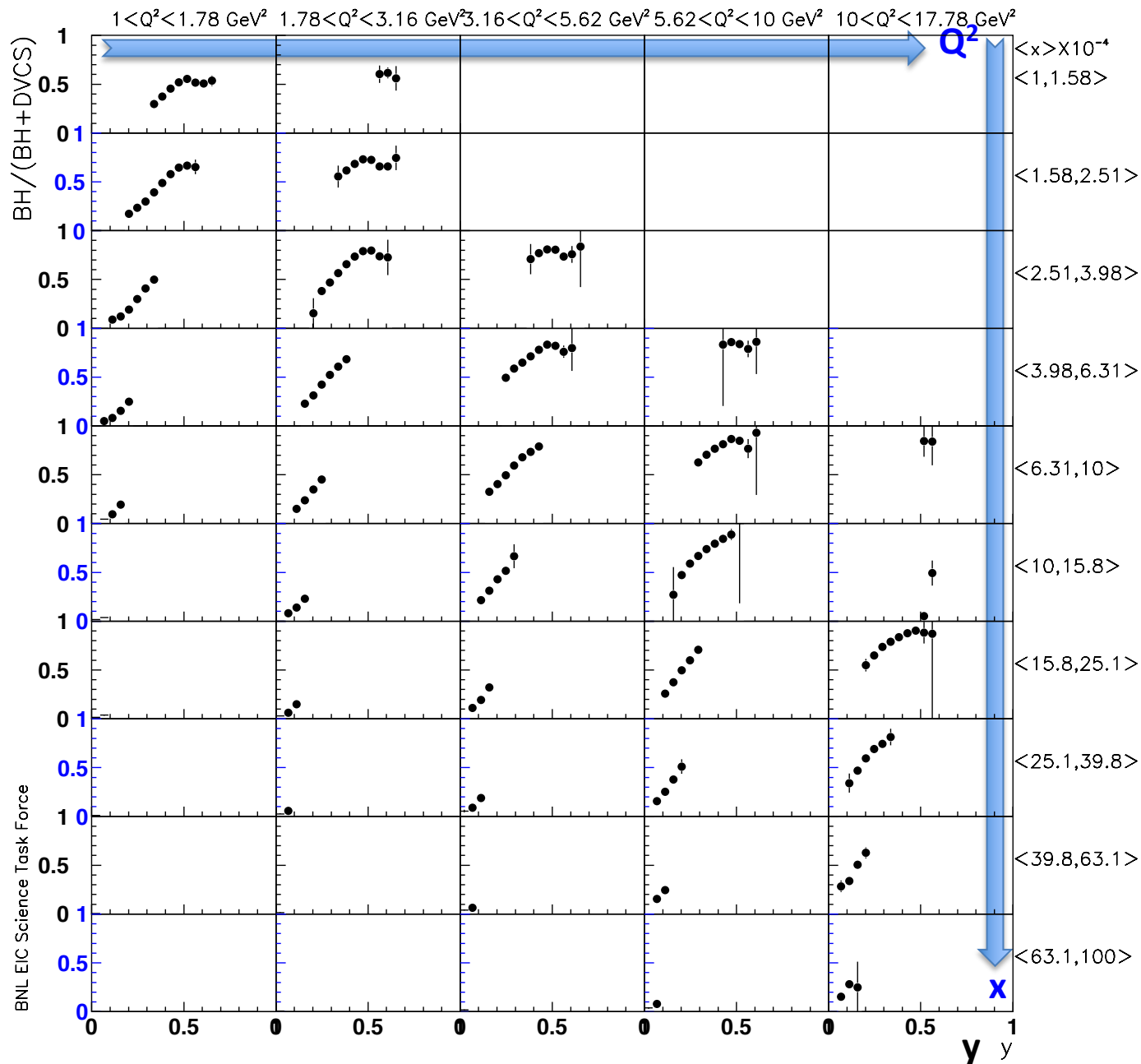
Important: em Cal must discriminate clusters above noise down to 1 GeV

20 X 250



DVCS: most of the γ are less "rear" than e ($\theta_{el} - \theta_{\gamma} > 0$) \rightarrow rejects most of the BH
cuts keep BH below 60% of the sample even at large $\gamma > 0.5$ – at high energies

20 X 250



BH fraction

cuts keep BH below
60% of the sample at
large $y > 0.5$

20 x 250 GeV²

BH subtraction will be
not an issue for $y < 0.6$

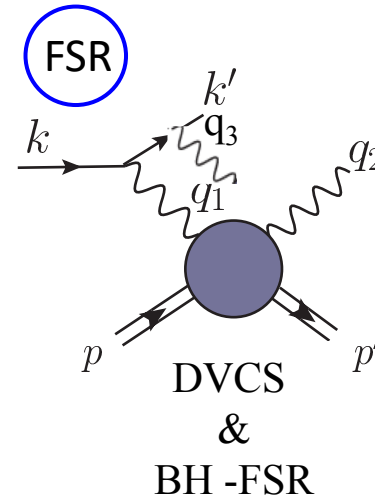
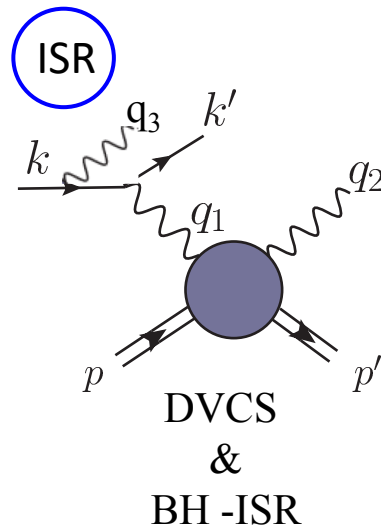
BH subtraction will be
relevant at lower
energies and large y , in
some of the x - Q^2 bin

BUT...

higher-lower \sqrt{s} kin.
overlapping:

x -sec. measurements at
a higher \sqrt{s} at low- y can
cross-check the BH
subtraction made at
lower \sqrt{s}

Radiative effects



Initial State Radiation (ISR):

photon collinear to the incoming beam and goes down the beam line

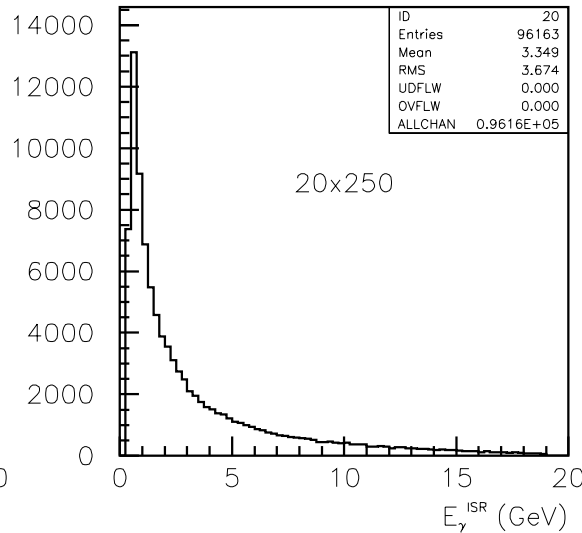
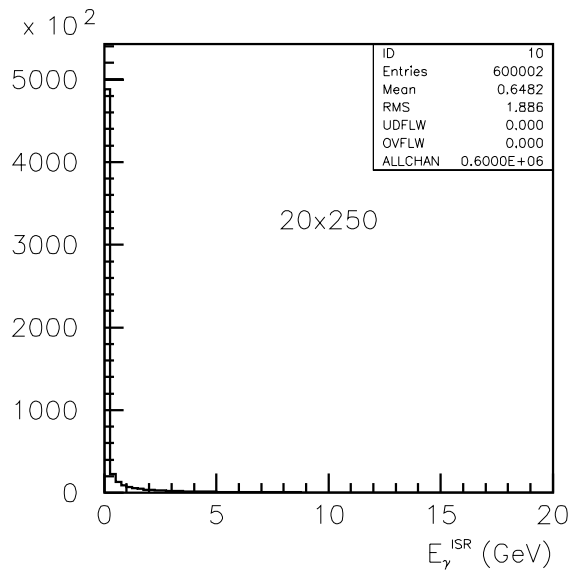
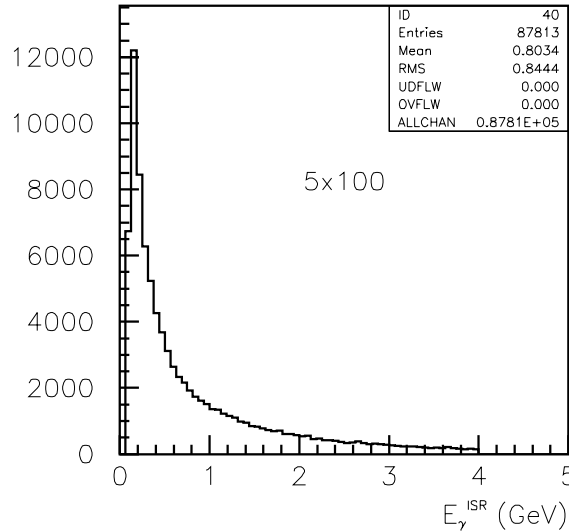
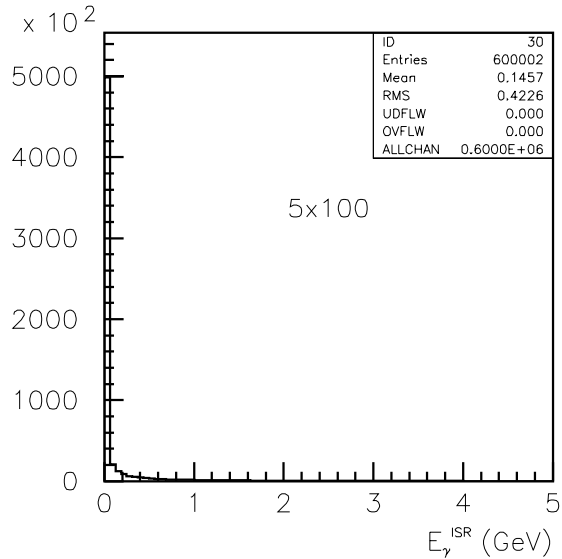
- this contribution can only be estimated via MC
- this causes a correction of the kinematics (x and Q^2) and some systematic uncertainty
- **EIC: ONLY 15% of the events radiate a photon with > 2% energy of the incoming electron Independently of C.o.M energy and Q^2 (see back up slide)**

Final State Radiation (FSR):

photon collinear to the outgoing scattered lepton

- ❖ if lepton is band only little in magnetic field, EM-cluster of photon and lepton collapse to one
 - no contribution (total electron energy measured correctly)
- ❖ if photon and lepton are separated enough in magnetic field, it leads to 3 EM-clusters in event
 - no contribution (event will not pass DVCS selection criteria)

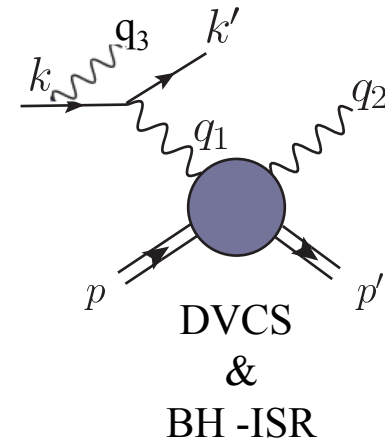
Contribution from ISR



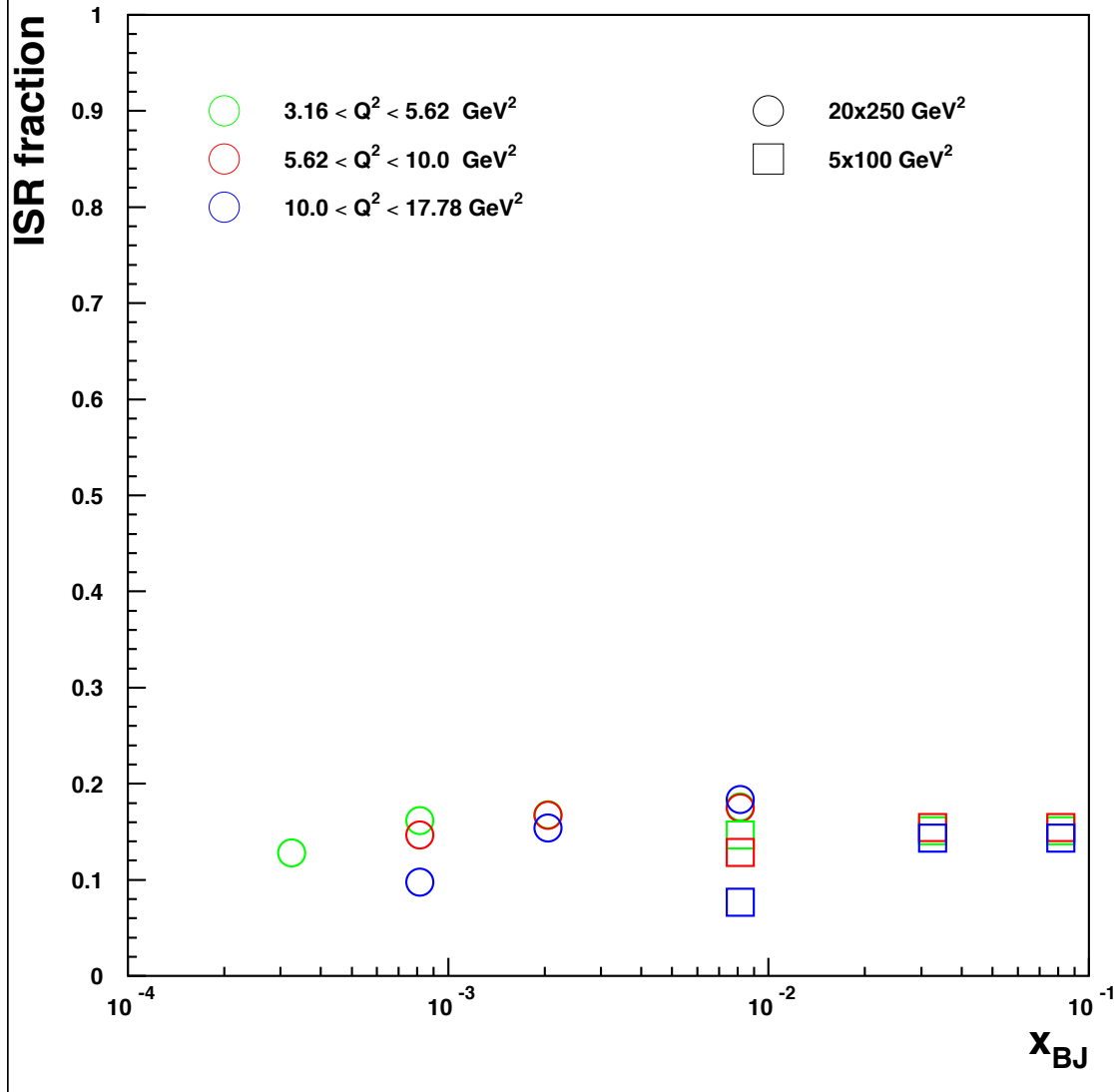
the energy spectrum of the emitted ISR photon for two different EIC beam energy combinations.

the right plots show the same photon spectra but requiring $E_\gamma = 0.02 * E_e$

Photons with $E_\gamma < 0.02 E_e$ do not result in a significant correction for the event kinematics.



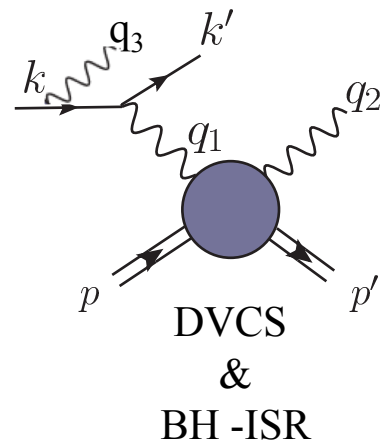
Contribution from ISR



Fraction of ISR events for three Q^2 -bins as fct of x for two EIC beam energy combinations.

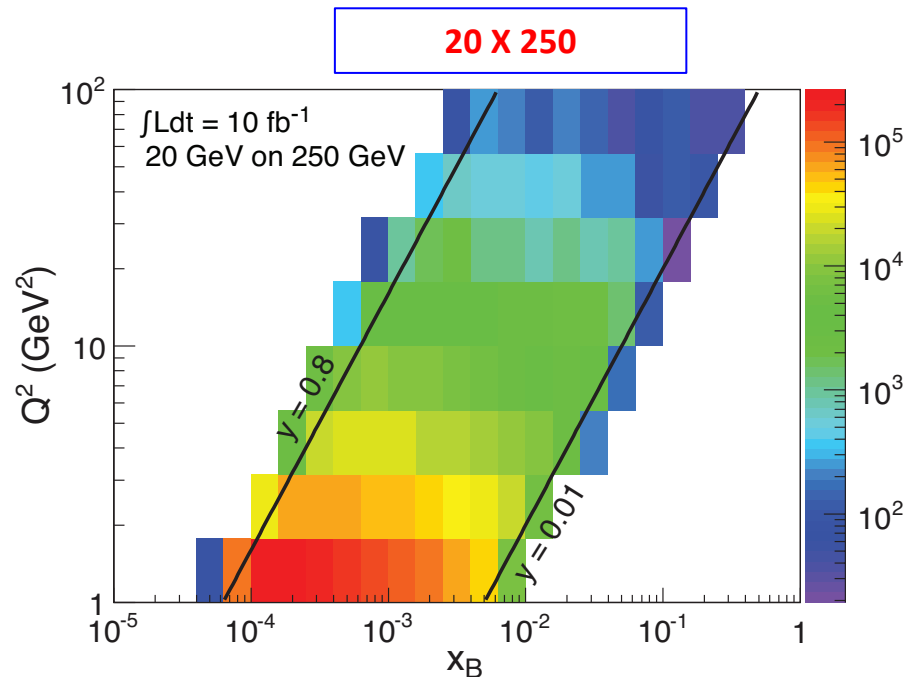
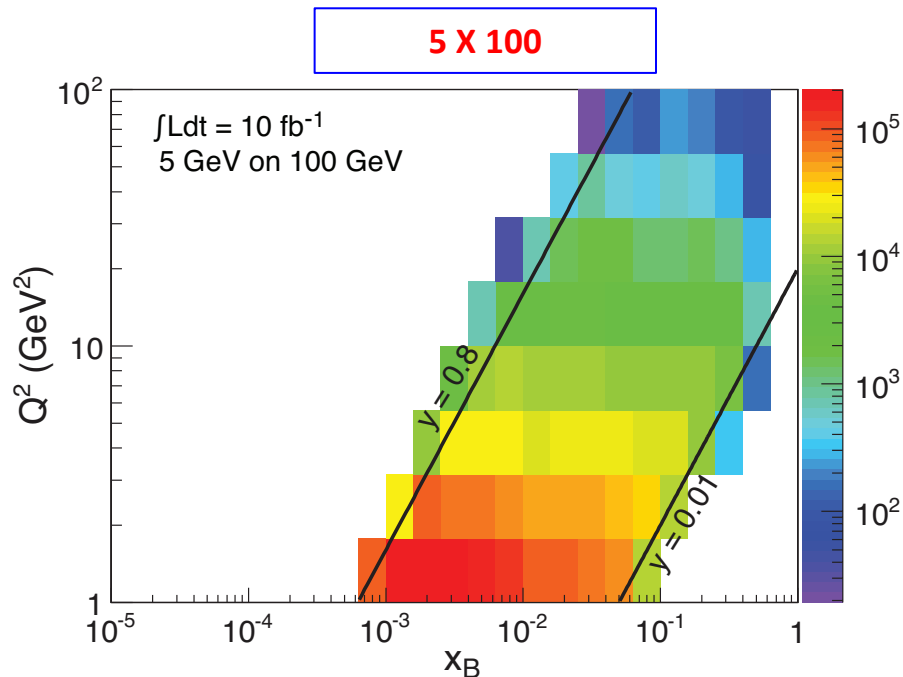
ONLY 15% of the events emit a photon with $> 2\%$ energy of the incoming electron

ISR photons with $E_\gamma < 0.02 E_e$ do not result in a significant correction for the event kinematics.



DVCS at a high luminosity collider

- ✧ EIC will provide sufficient lumi to bin in multi-dimensions
- ✧ wide x and Q^2 range needed to extract GPDs



... we can do a fine binning in Q^2 and W ... and even in $|t|$

Data simulation & event selection

Acceptance criteria

- for Roman pots: $0.03 < |t| < 0.88 \text{ GeV}^2$
- for $|t| > 1 \text{ GeV}^2$ detect recoil proton in main detector
- $0.01 < \gamma < 0.85 \text{ GeV}^2$
- $\eta < 5$

➤ BH rejection criteria (applied to x-sec. measurements)

- $\gamma < 0.6$
- $(\theta_{e1} - \theta_{\gamma}) > 0$
- $E_{e1} > 1 \text{ GeV}^2$; $E_{e2} > 1 \text{ GeV}^2$

➤ Events smeared for expected resolution in t , Q^2 , x

➤ Systematic uncertainty assumed to be $\sim 5\%$ (having in mind experience from HERA)

➤ Overall systematic uncertainty from luminosity measurement not taken into account

The code MILOU by E. Perez, L. Schoeffel, L. Favart [arXiv:hep-ph/0411389v1] is Based on a GPDs convolution by: A. Freund and M. McDermott [<http://durpdg.dur.ac.uk/hepdata/dvcs.html>]

$0.01 < |t| < 0.85 \text{ GeV}^2$

(Low- $|t|$ sample)

- Very high statistics
- Systematics will dominate!
- Within Roman pots acceptance

$1.0 < |t| < 1.5 \text{ GeV}^2$

(Large- $|t|$ sample)

- Xsec goes down exponentially
- requires much longer data taking

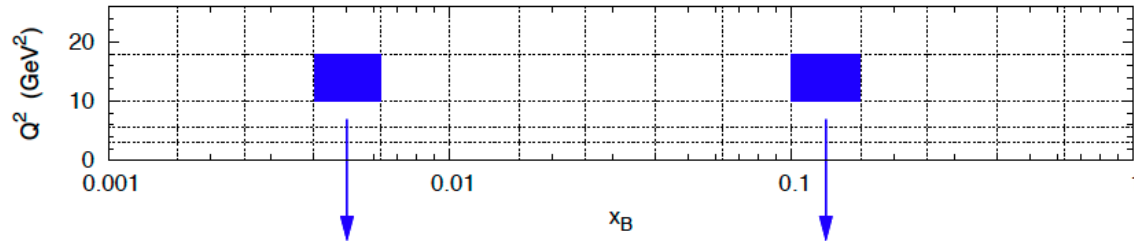
$5 \times 100 \text{ GeV} \rightarrow 10 \text{ fb}^{-1}$

$20 \times 250 \text{ GeV} \rightarrow 10 \text{ fb}^{-1}$

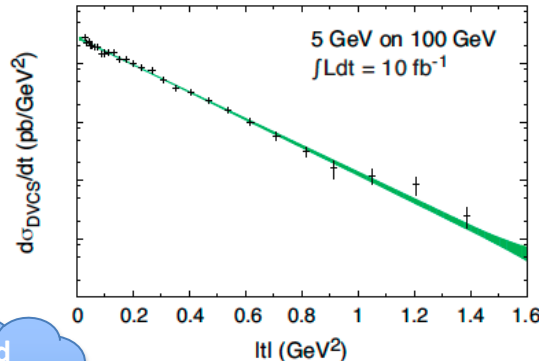
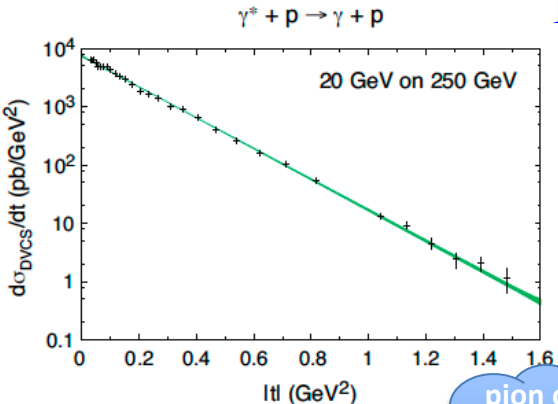
DVCS & J/ψ differential cross section

Luminosity: 10 fb⁻¹

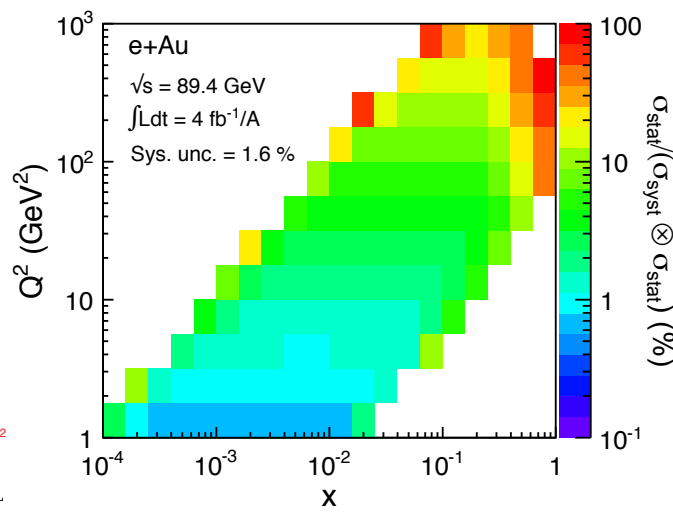
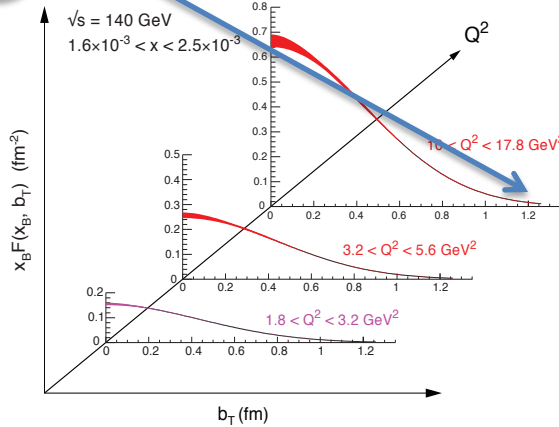
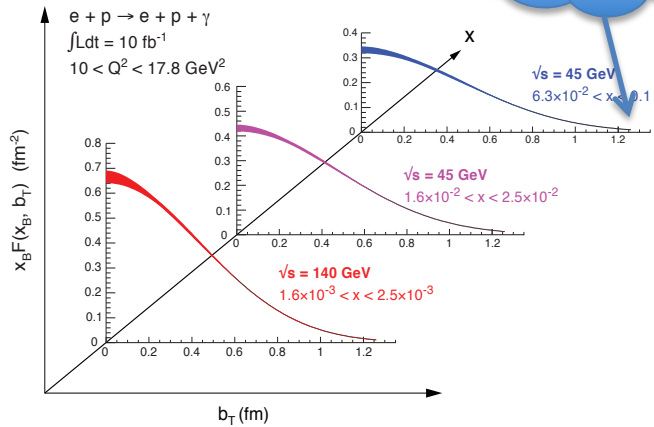
- Measurement dominated by systematics
- Fourier transf. of dσ/dt → partonic profiles



DVCS



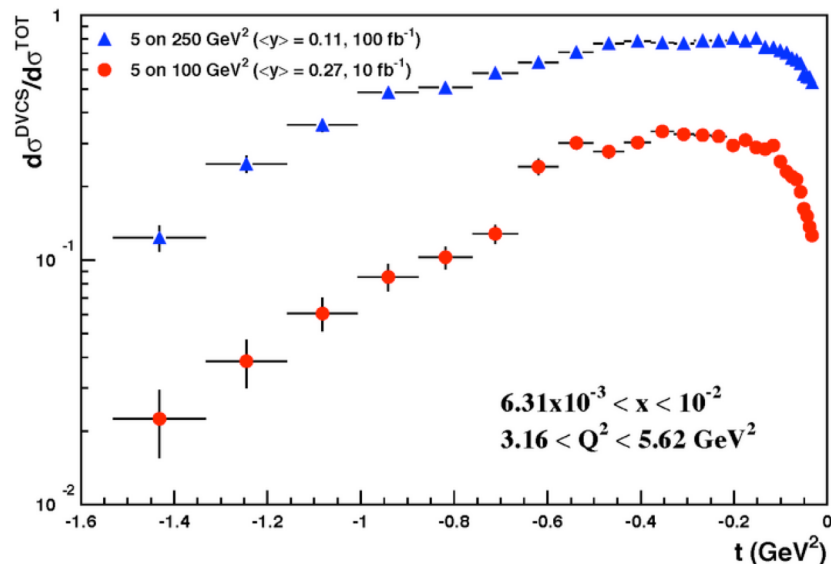
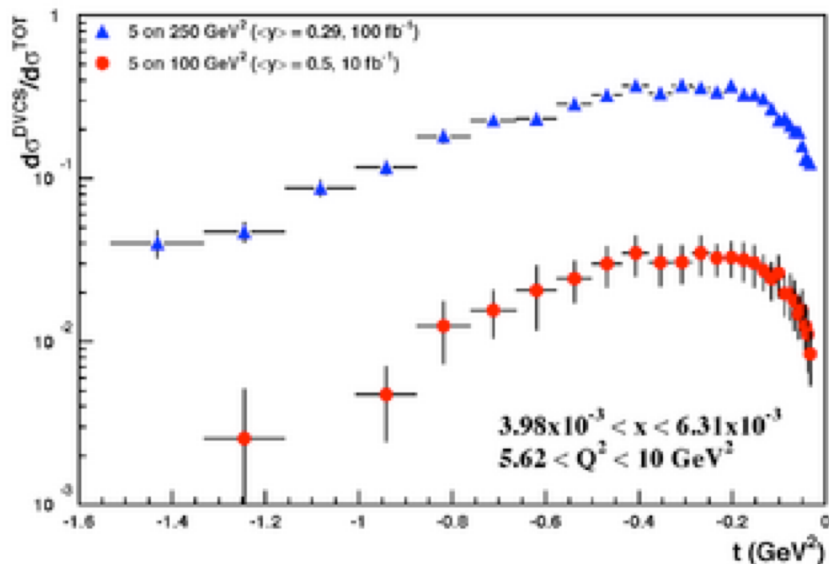
pion cloud effects (high b_T)



Rosenbluth separation

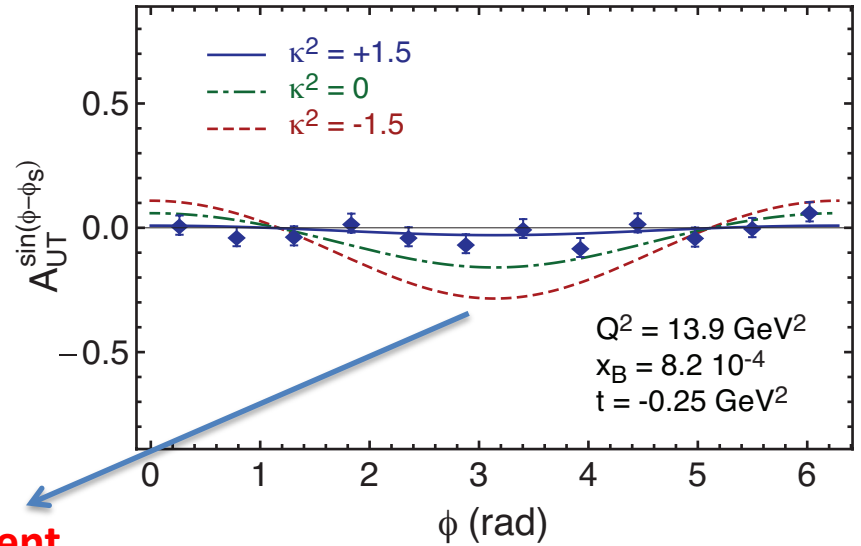
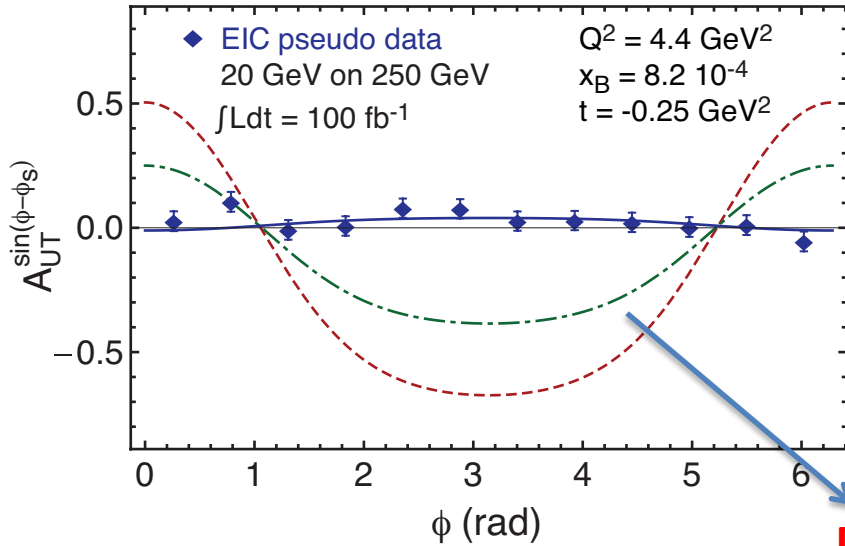
$$d\sigma = d\sigma_{DVCS} + d\sigma_{BH} + d\sigma_{INT}$$

Rosenbluth separation of the electroproduction cross section into its parts



- The statistical uncertainties include all the selection criteria to suppress the BH
- exponential $|t|$ -dependence assumed

Transverse target-spin asymmetry



Different assumptions for E

$$A_{UT} \propto \sqrt{\frac{-t}{4M^2}} \left[F_2(t) H(\xi, \xi, t, Q^2) - F_1(t) E(\xi, \xi, t, Q^2) + \dots \right]$$

$\sin(\Phi_T - \phi_N)$
governed by E and H

Spin-Sum-Rule in PRF:

$$\frac{1}{2} = J_q^z + J_g^z = \frac{1}{2} \Delta \Sigma + \sum_q \mathcal{L}_q^z + J_g^z$$

from g_1

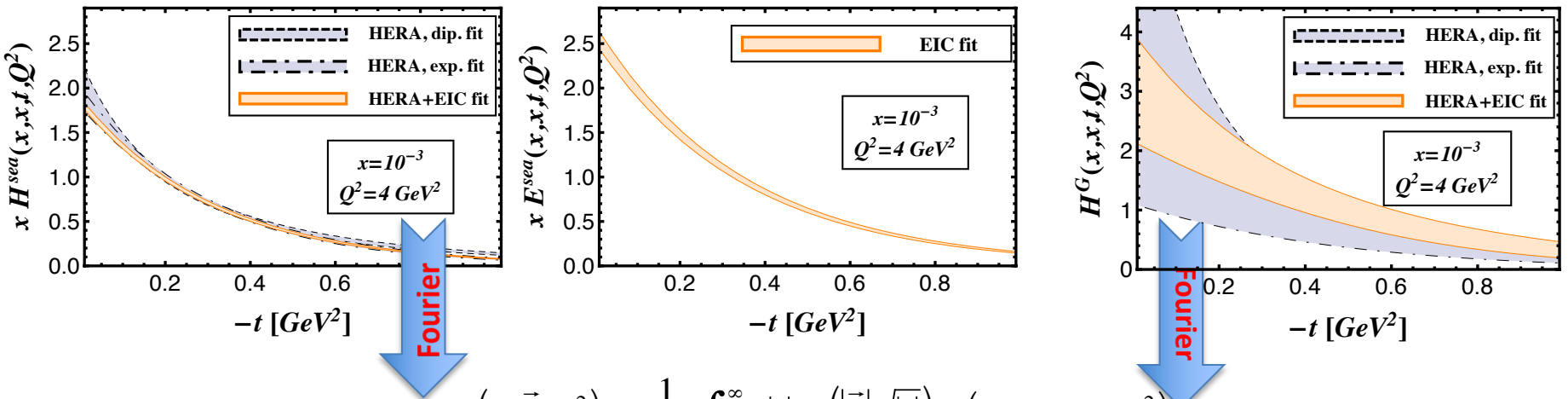
$$J_{q,g}^z = \frac{1}{2} \left(\int_{-1}^1 x dx (H^{q,g} + E^{q,q}) \right)_{t \rightarrow 0}$$

Gives access to GPD E

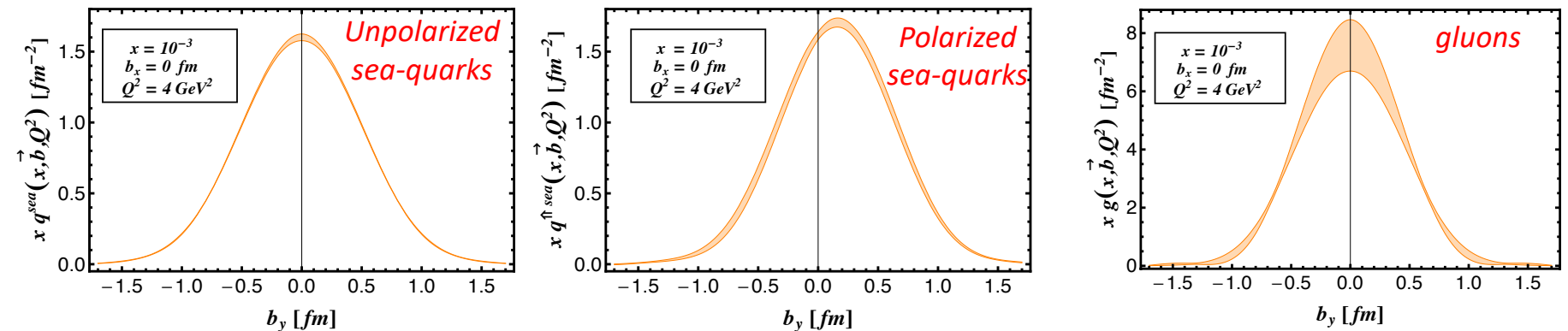
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JHEP09(2013)093

DVCS-based imaging

- A global fit over all mock data was done, based on: [Nuclear Physics B 794 (2008) 244–323]
- Known values $q(x)$, $g(x)$ are assumed for H^q , H^g (at $t=0$ forward limits E^q , E^g are unknown)

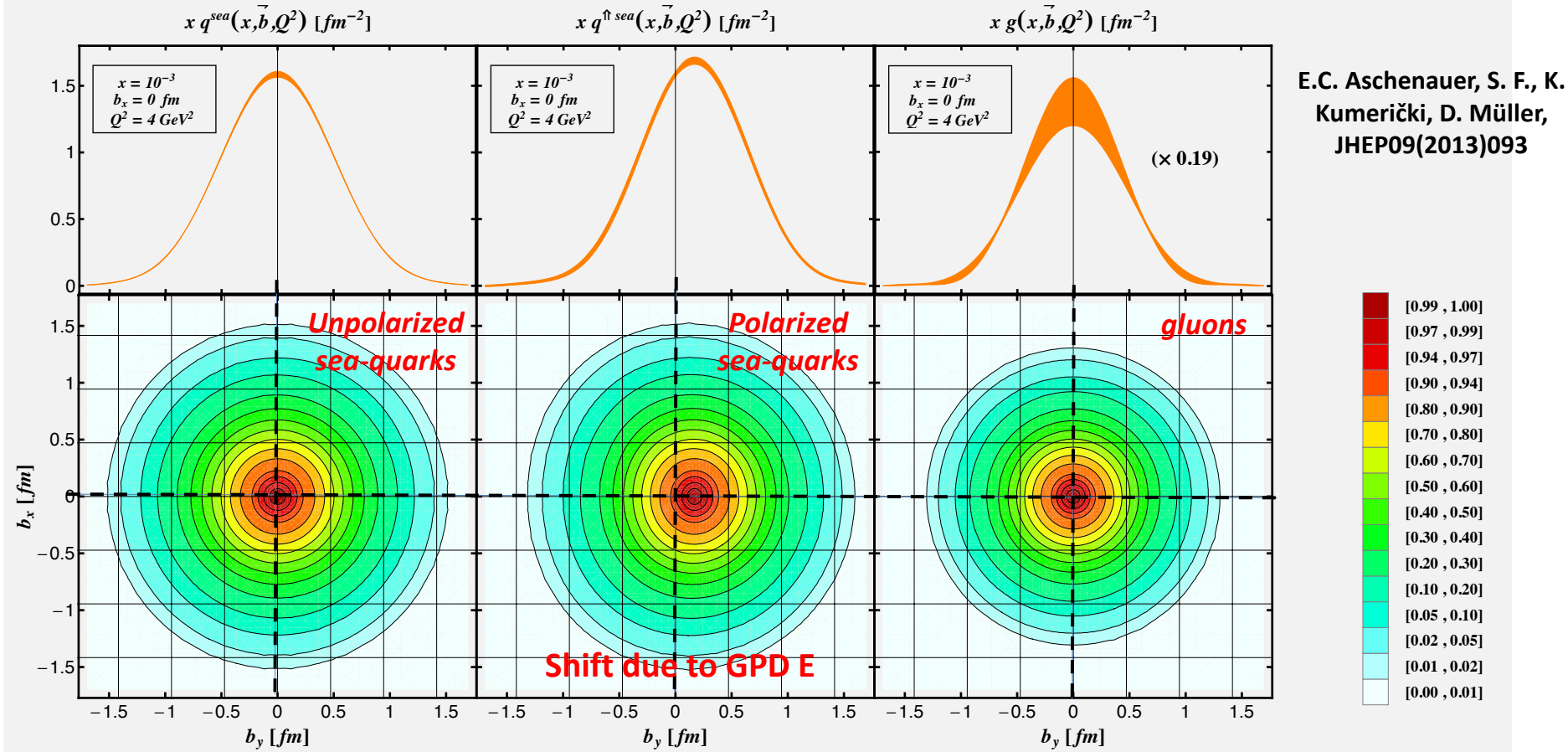


$$q(x, \vec{b}, \mu^2) = \frac{1}{4\pi} \int_0^\infty dt |J_0(\vec{b} \sqrt{|t|}) H(x, \eta = 0, t, \mu^2)$$



E.C. Aschenauer, S. F., K. Kumerički, D. Müller, JHEP09(2013)093

Spatial Imaging – as in the EIC White Paper



E.C. Aschenauer, S. F., K. Kumerički, D. Müller, JHEP09(2013)093

Impact of EIC (based on DVCS only):

- ✓ Excellent reconstruction of H^{sea} , and H^g (from $d\sigma/dt$)
- ✓ Reconstruction of sea-quarks GPD E

Other capabilities still to be evaluated?

- GPD H-Gluon is nice but can be much better by including J/ψ
- Access to GPD E-gluon \rightarrow orbital momentum (Ji sum rule)
- Flavor Separation of GPDs (VMP and/or DVCS on deuteron)
- Nuclear imaging (modification of GPDs in p+A collisions)

How to separate flavors?

Method 1 – VMP

ρ^0 : $2u+d$ $9/4g$

ω : $2u-d$ $4g$

ϕ : s,g

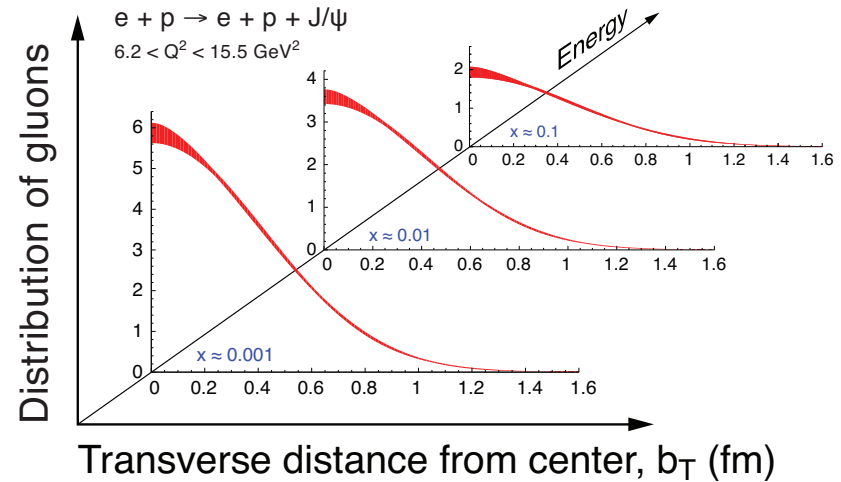
ρ^+ : $u-d$

J/ψ : g

We simulated the J/ψ cross section and the Fourier transform but never included it on GPDs fits

Challenges of VMP (if compared to DVCS)

- Uncertainty on wave function
- measuring muons vs electron decay channel



Method 2 – DVCS on protons and neutrons

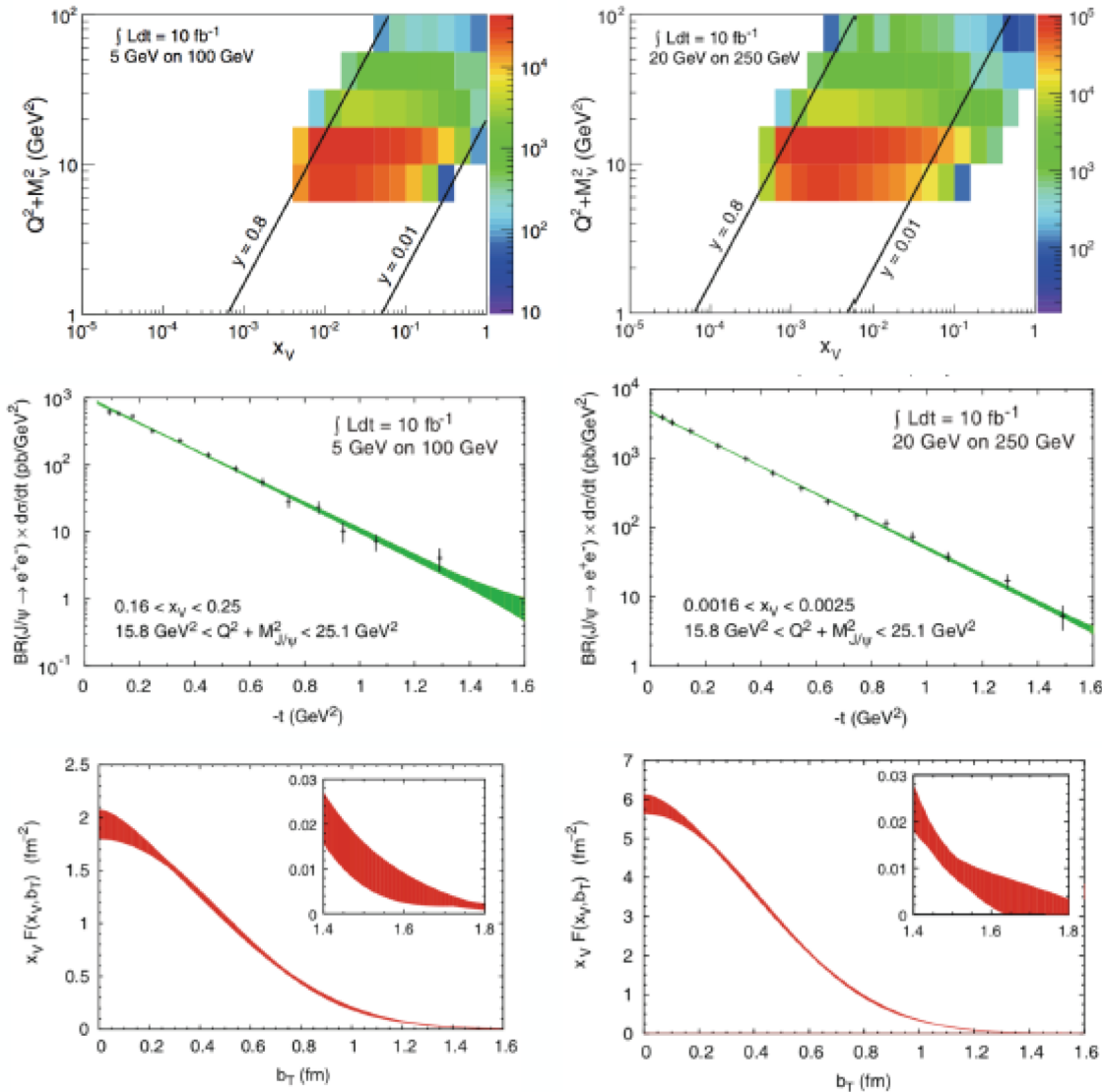
- We do not have a real neutron target \rightarrow Use Deuterium (D)
- We do incoherent DVCS on D (D can break up) but coherent on n (tagged by ZDC)
- One still needs J/ψ to directly access the gluons and extract E_g

Imaging gluons with J/ψ

EIC White Paper

Luminosity: 10 fb⁻¹

- Measurement dominated by systematics
- Fourier transf. of dσ/dt → partonic profiles

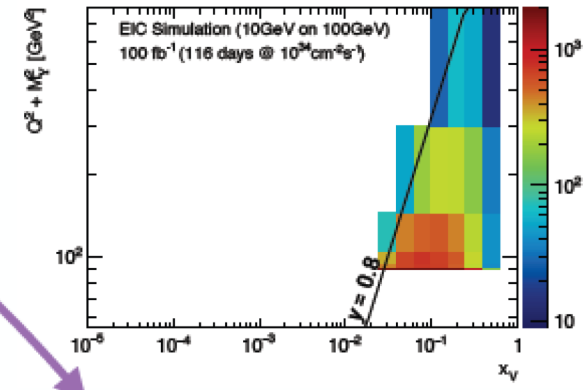
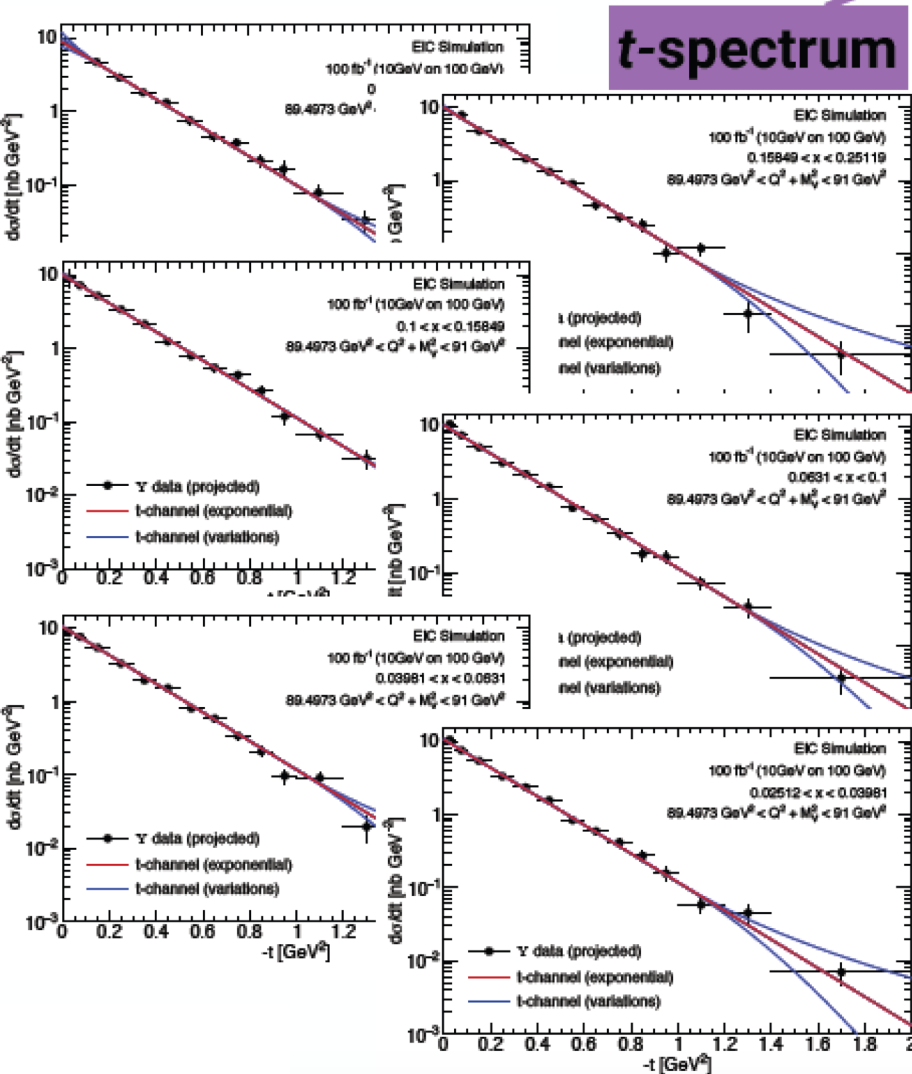


Average gluon densities

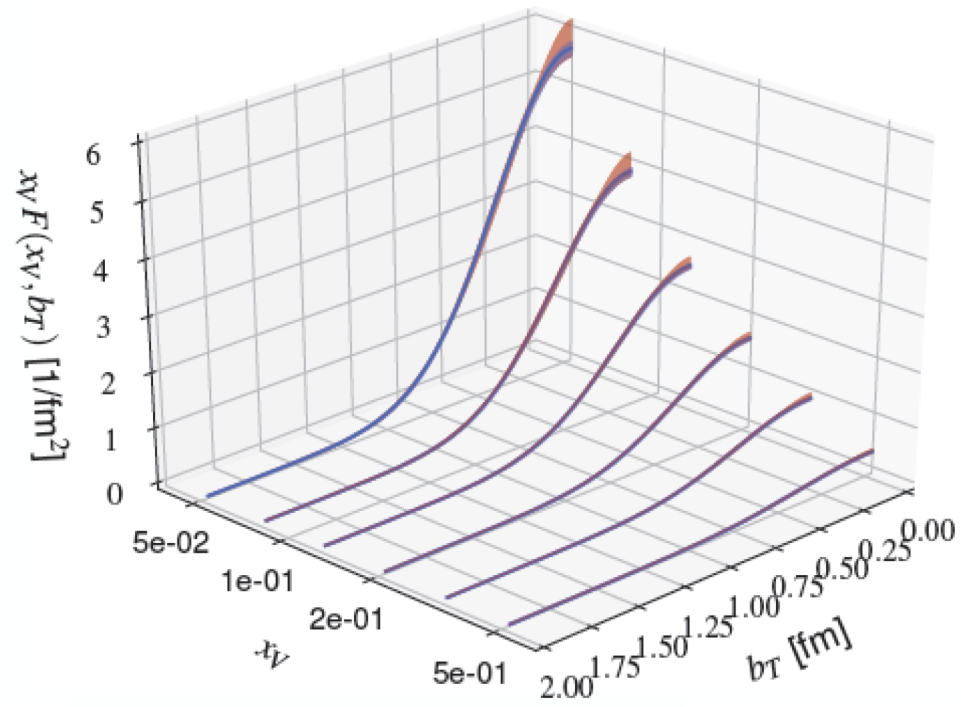
Imaging gluons with $\Upsilon(1s)$

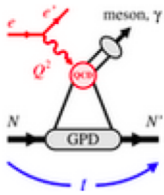
S. Joosten, Z.-E. Meziani
2018 EICUG Meeting

- ☆ Nominal EIC detector
- ☆ 10x more luminosity
- ☆ Electron and muon channels



Average gluon density:





Next-generation GPD studies with exclusive meson production at EIC

Topical Workshop, CFNS, Stony Brook U., 4-6 June 2018

Organizers: Marie Boer, S. F., Lech Szymanowski, Christian Weiss

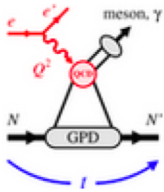
35 participants, 3 days of presentations and discussions

INDICO page: <https://indico.bnl.gov/event/4346/>

Objective: Assess potential of hard exclusive meson production and related processes for GPD studies and plan EIC simulations

- **Concepts and interpretation:** Quark/gluon imaging, energy-momentum tensor
- **Reaction theory:** QCD factorization, finite-size effects
- **Experimental results:** HERA, JLab 6 & 12 GeV, COMPASS; LHC UPC pA, future RHIC UPC plans
- **EIC machine and detector requirements**
- **Simulation tools:** Physics models, PARTONS framework, detector models





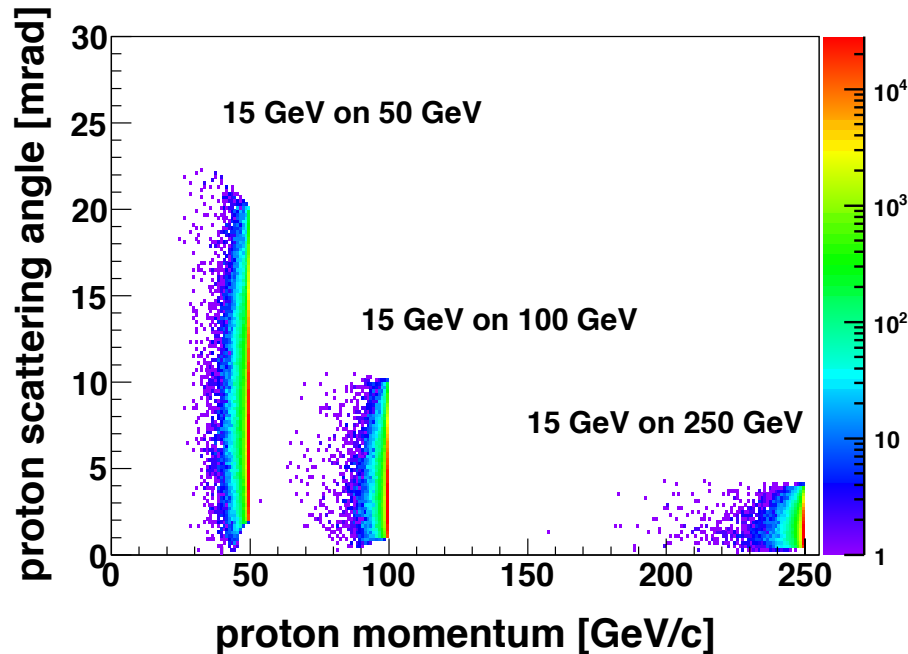
Center for Frontiers
in Nuclear Science

Next-generation GPD studies with exclusive meson production at EIC

Outcomes

- **QCD factorization with finite-size effects provides realistic description of exclusive meson production**
 - Use in GPD & imaging studies
 - Need theoretical work: NLO corrections, relation between approaches
- **UPCs at LHC extend energy frontier in heavy quarkonium production**
 - LHCb, ALICE results for $\gamma + p \rightarrow J/\psi + p$ (up to $W \sim 1.5$ TeV)
 - Consistent with HERA data; no indications of nonlinear effects
- **Meson production could become essential tool for GPD studies at EIC**
 - Dedicated community, great interest
- **Next-level impact studies need GPD-based physics models**
 - Aim for GPD extraction with uncertainties
- **PARTONS project (H. Moutarde et al) can play important role in integrating GPD efforts at JLab12 and EIC**

Scattered Proton measurement



Remember:

Detector -4 to 4 in h

→ 35 mrad from beam line

→ so not seen in main detector

→ need different detection technology

p_T of proton critical for physics

$$p_T = p' \sin(\theta)$$

$$p'_L > 97\% \text{ of } p_{\text{Beam}}$$

ZEUS Coll, JHEP 06 (2009) 074

Note:

high energy colliders (HERA, Tevatron, LHC, RHIC) use Roman Pots to detect these protons

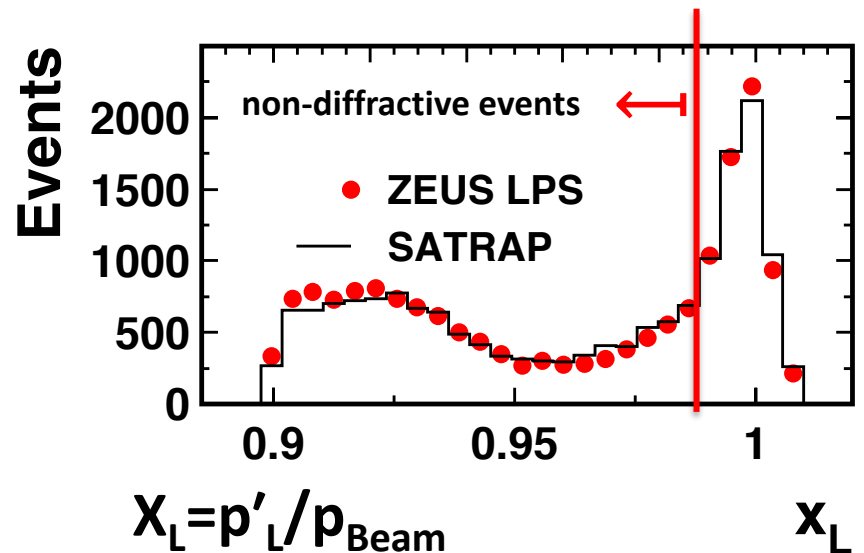
→ RPs are high resolution movable small tracking detectors (Si strips, Si pixels...), a crucial component

→ $\theta < 10$ mrad

→ impact on large p_T -acceptance

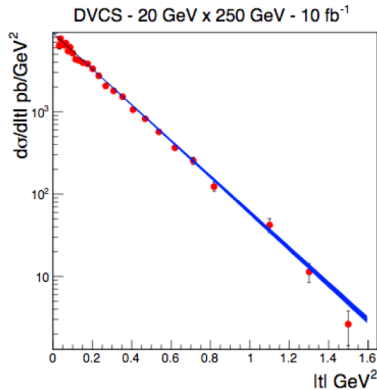
→ small p_T -acceptance limited by beam divergence and imittance

→ rule of thumb keep 10s between RP and beam



Impact of proton acceptance

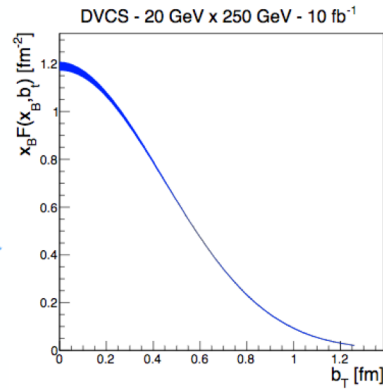
Measurement



Plots from
EIC White Paper:

Fourier
transform

Physics observable (cross-section vs impact parameter)

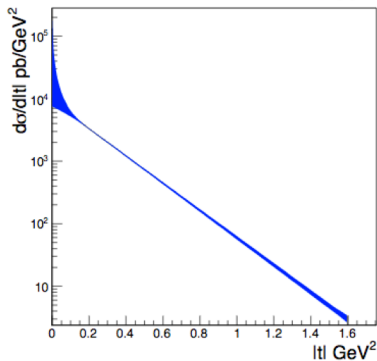


Requirement:

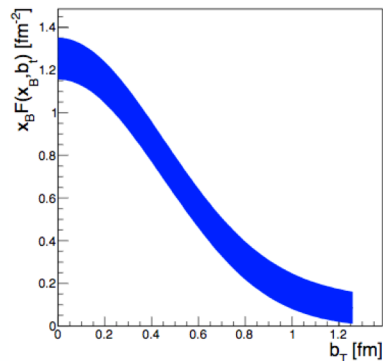
$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

$$0.18 < p_T \text{ (GeV)} < 1.3$$

$$0.03 < |t| \text{ (GeV}^2\text{)} < 1.6$$

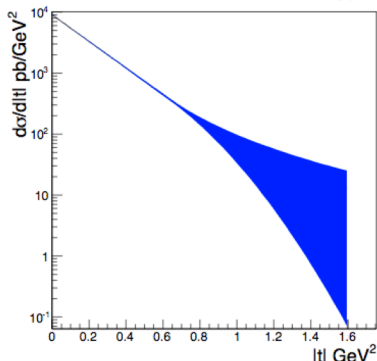


limited
lower
p_T-acceptance

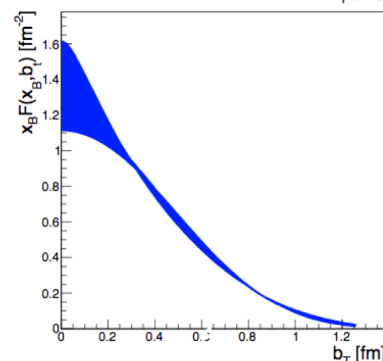


$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

$$0.44 < p_T \text{ (GeV)} < 1.3$$



limited
higher
p_T-acceptance

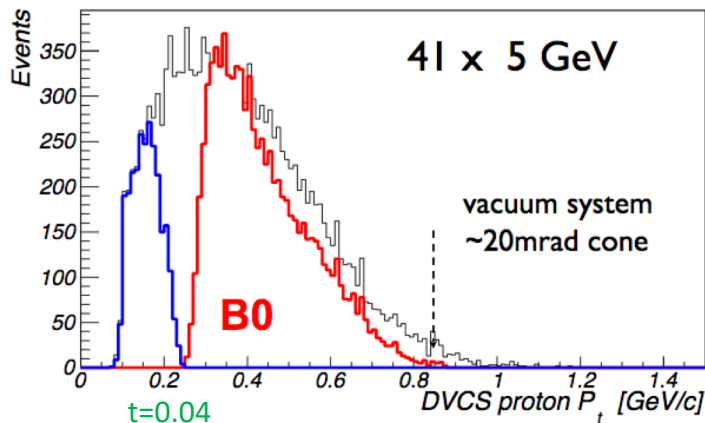
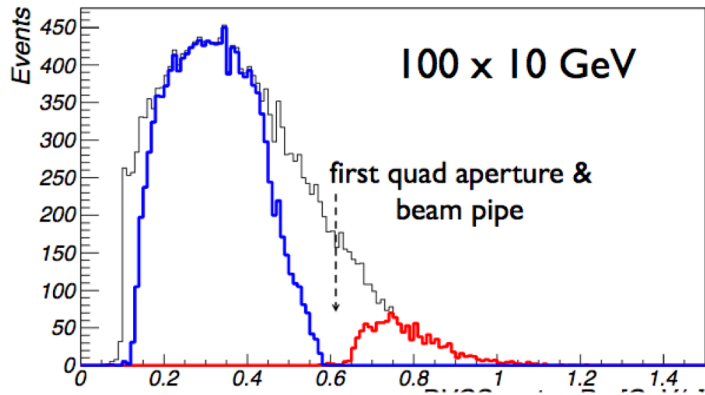
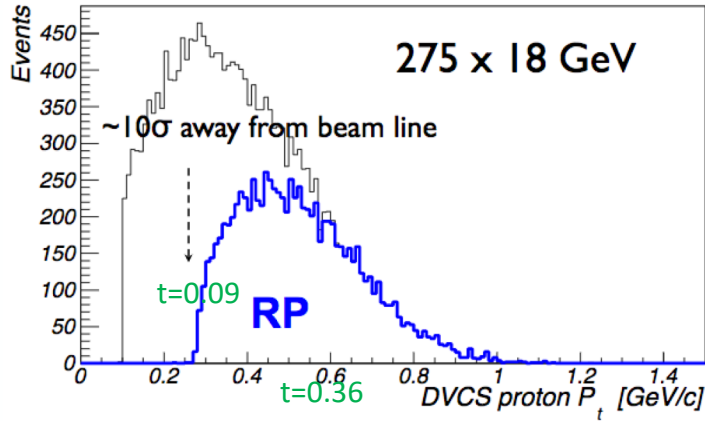


$$\int L_{\text{int}} = 10 \text{ fb}^{-1}$$

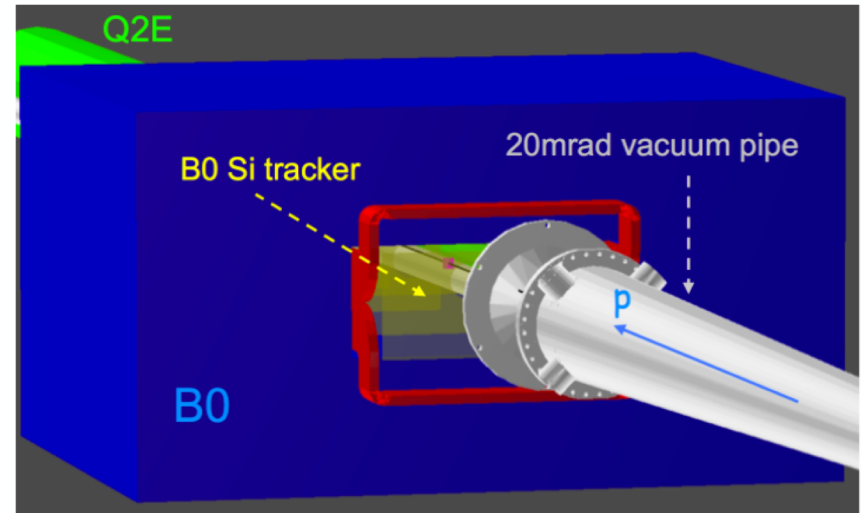
$$0.18 < p_T \text{ (GeV)} < 0.8$$

**We need large acceptance
Proton spectrometers!**

Proton acceptance with eRHIC

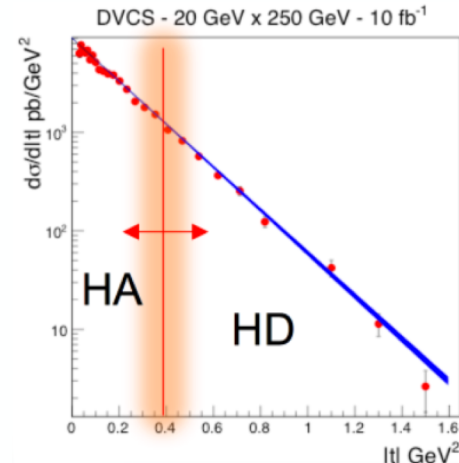


p_T acceptance for forward scattered protons from exclusive reactions



- Plots: HD (high divergence) mode
- Acceptance gap between RP and B0 will be further optimized

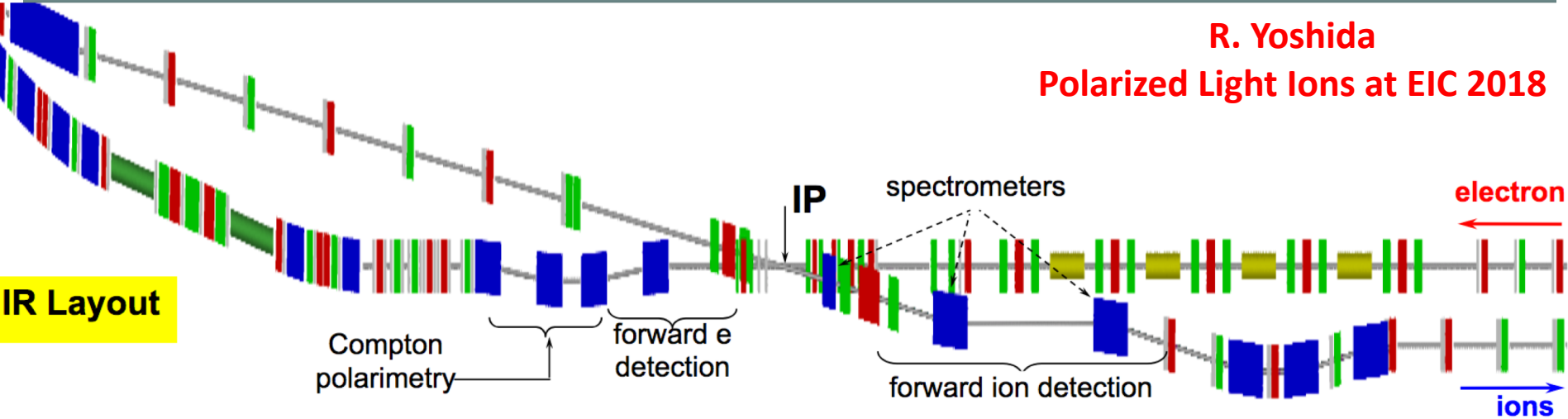
Accept $0.3 < p_T < 1.3$ GeV and higher
 → Low p_T -part can be filled in with HA (high acceptance, smaller beam divergence) running mode



Proton acceptance with JLEIC

R. Yoshida

Polarized Light Ions at EIC 2018



IR Layout

Compton polarimetry

forward e detection

spectrometers

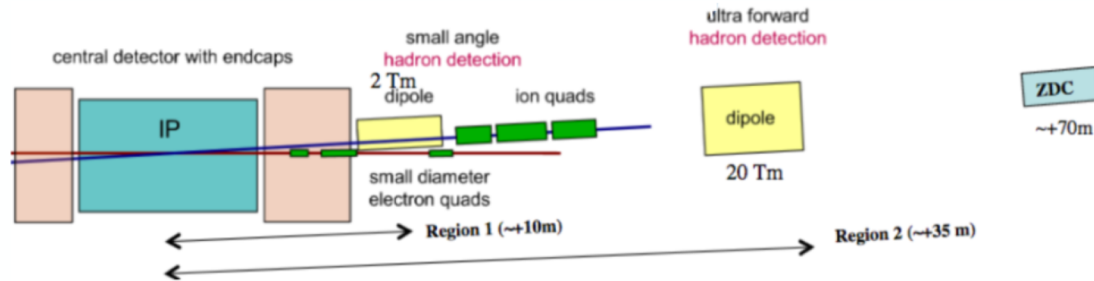
forward ion detection

electron

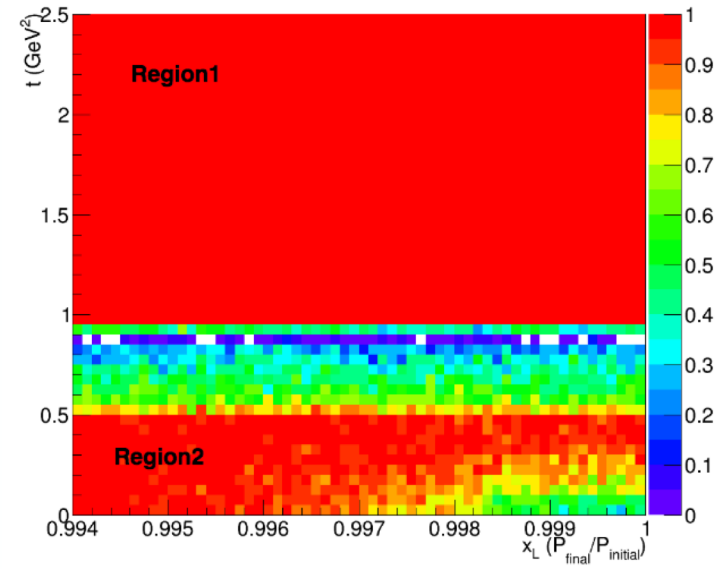
ions

Two forward charged hadron detector regions:

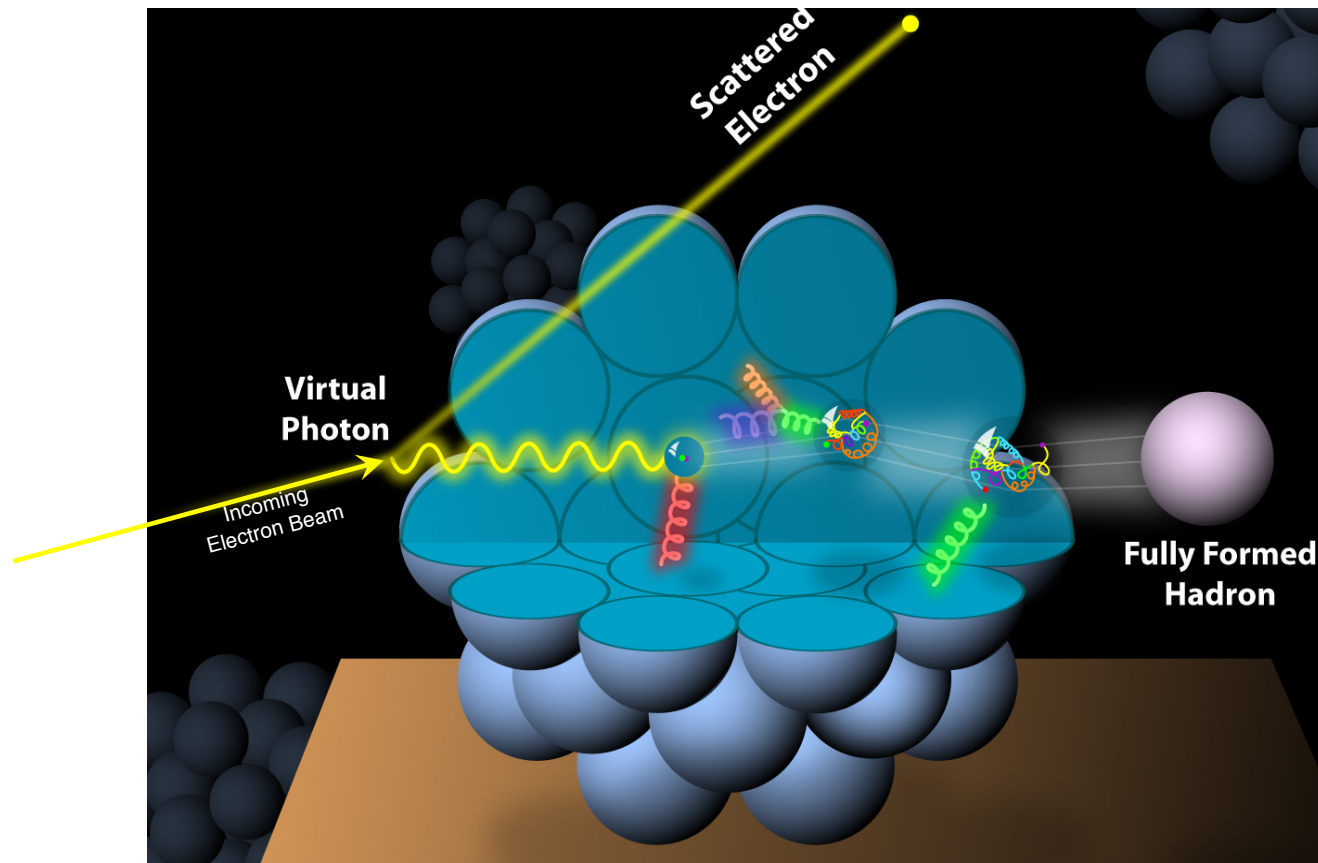
- Region 1: Small dipole covering scattering angles from 0.5 up to a few degrees (before quads)
- Region 2: Far forward, up to one degree, for particles passing through (large aperture) accelerator quads. Use second dipole for precision measurement. (Hi Res)



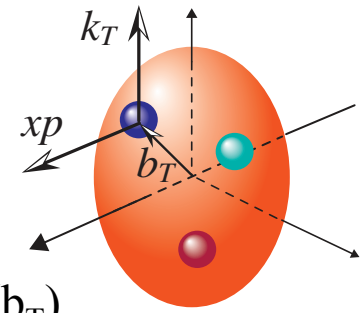
acceptance proton at 100 GeV



And What about nuclei?



Imaging the gluons in nuclei



Hot topic:

➤ Lumpiness of source?

➤ Just Wood-Saxon+nucleon $g(b_T)$

☐ coherent part probes “shape of black disc”

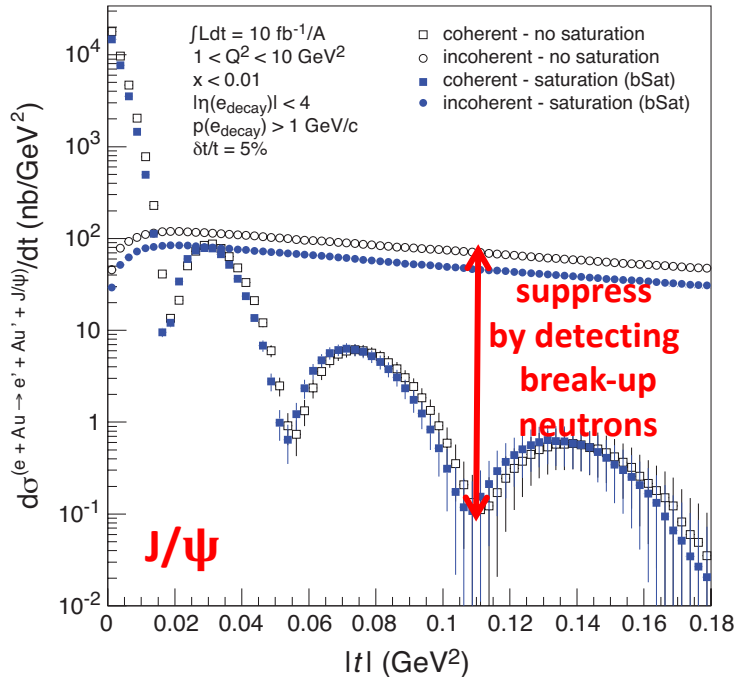
☐ incoherent part (large t) sensitive to “lumpiness” of the source [= proton] (fluctuations, hot spots, ...)

Diffractive physics in eA

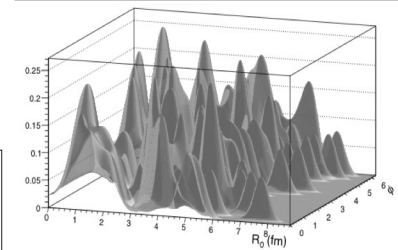
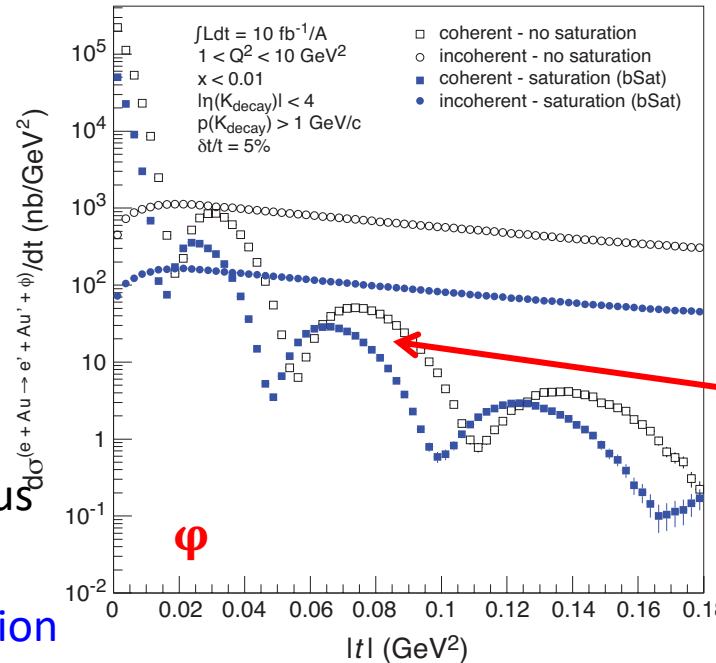
➔ Measure spatial gluon distribution in nuclei

➔ Reaction: $e + Au \rightarrow e' + Au' + J/\psi, \phi, \rho$

➔ Momentum transfer $t = |\mathbf{p}_{Au} - \mathbf{p}_{Au'}|^2$



possible Source distribution with $b_T^g = 2 \text{ GeV}^{-2}$

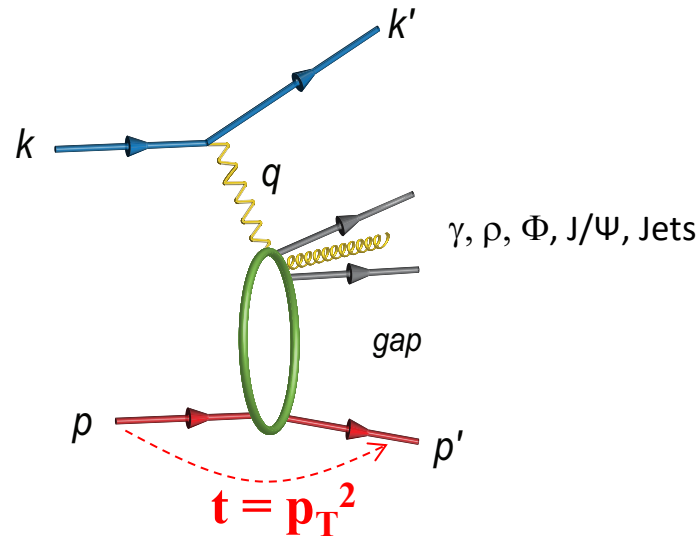


Sensitive to saturation effects!

Physics requires forward scattered nucleus needs to stay intact

➔ Veto breakup through neutron detection

Detector Requirements for Exclusive Reactions in ep/eA



□ Exclusivity criteria:

- Large rapidity coverage or tracker and Calorimeter (ballpark $-4.5 < \eta < 4.5$)
- Reconstruction of all particles in event
 - wide coverage in $t (=p_T^2) \rightarrow$ Roman pots

□ eA: large acceptance for neutrons from nucleus break-up

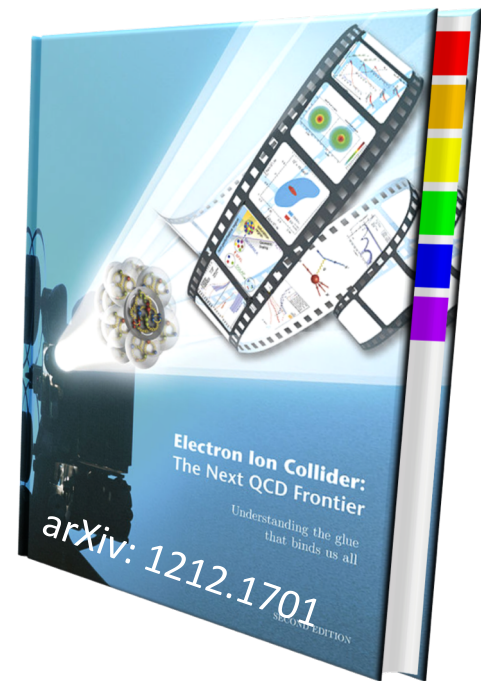
- Zero Degree Calorimeter
 - veto nucleus breakup
 - determine impact parameter of collision

Summary

We studied and quantified the capability of an EIC to provide high precision and fine binned DVCS and VMP measurements of both cross sections and asymmetries over a large phase-space. This opens an unprecedented possibility for

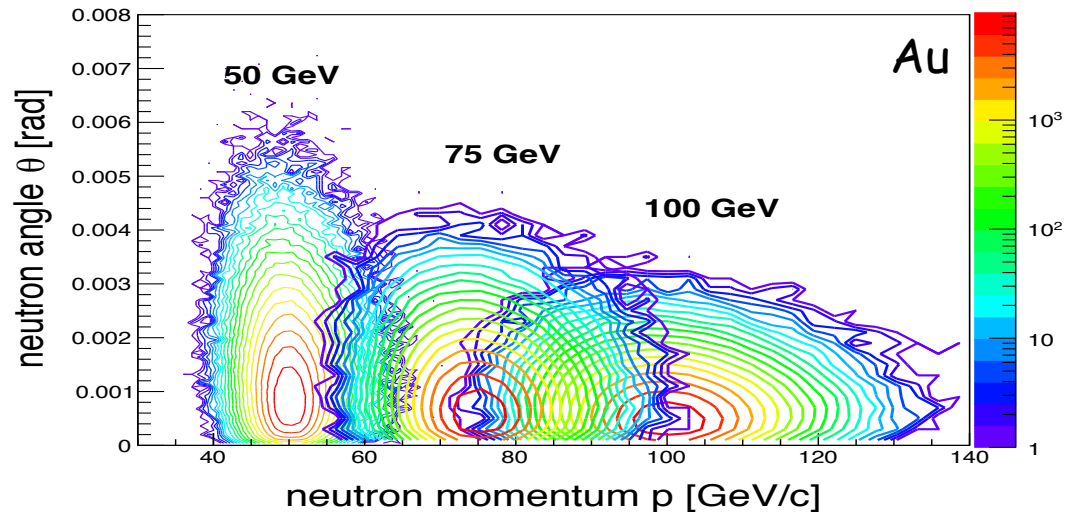
- Accurate 2+1D imaging of the polarized and unpolarized quarks and gluons inside the hadrons, and their correlations**
- Investigate the proton-spin decomposition puzzle (orbital angular momentum)**
- Study of GPDs in nuclei (and possible gluon saturation effects)**

EIC science program will profoundly impact our understanding of nucleon structure and the glue



Back up

Forward scattered neutrons

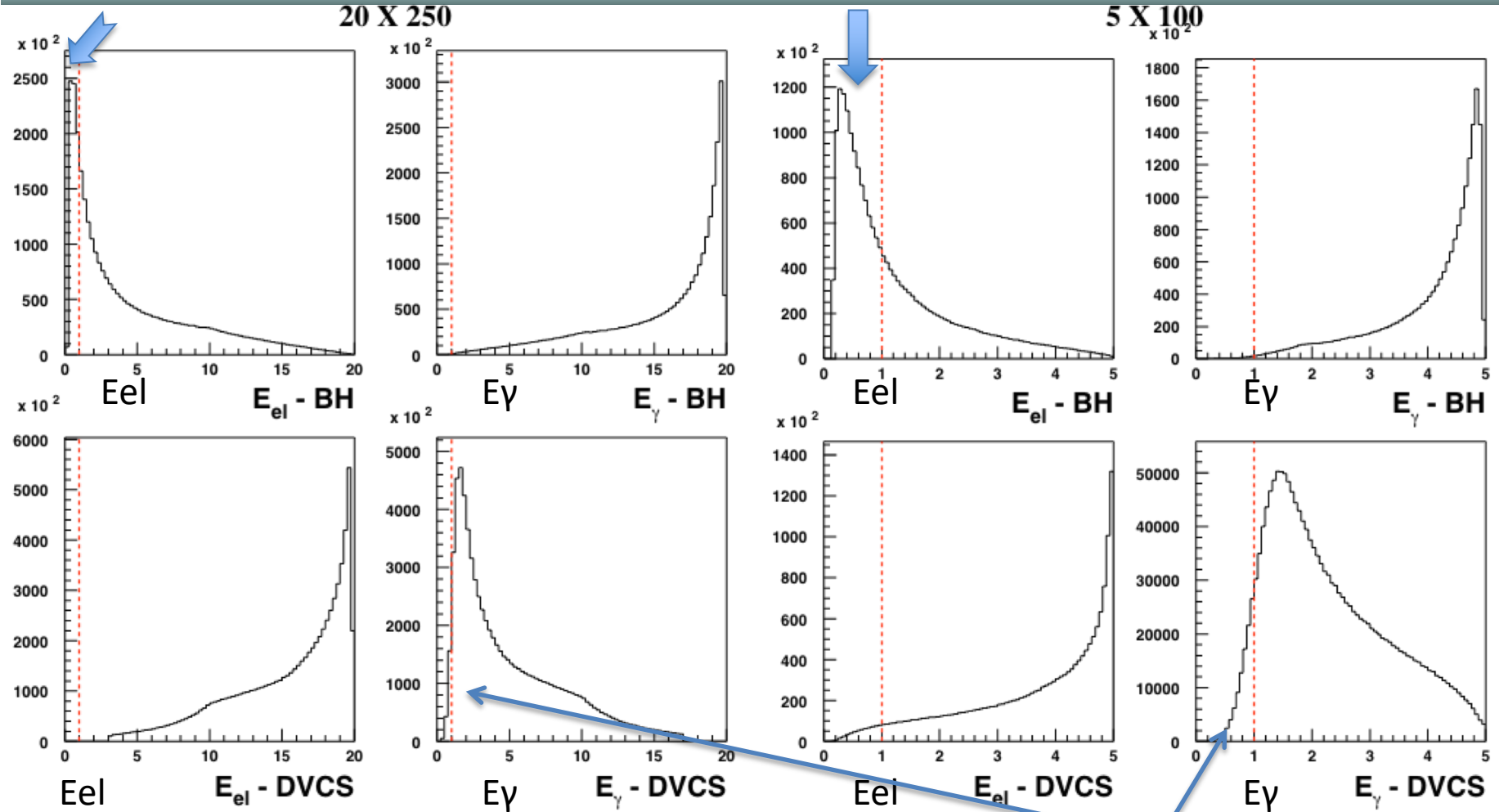


Requirements:

- Need at +/- 4 mrad beam element free cone before the zero degree calorimeter to detect the breakup neutrons
 - Neutrons are also critical to reconstruct collisions geometry
- L. Zheng, ECA, J-H. Lee [arXiv:1407.8055](https://arxiv.org/abs/1407.8055)
- precision neutron energy reconstruction → transverse size of **ZDC**

E.C. Aschenauer

BH rejection



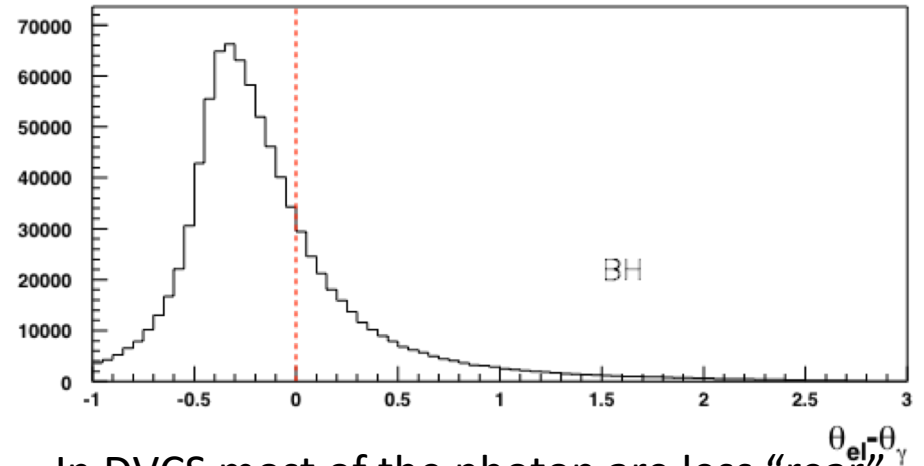
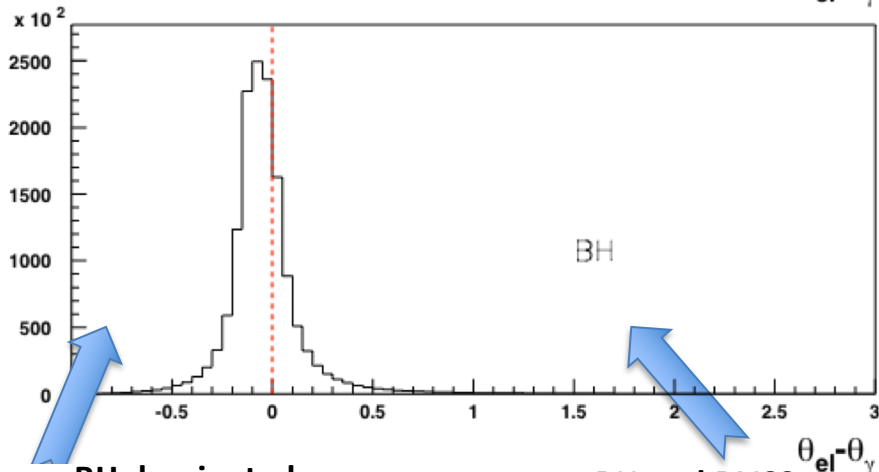
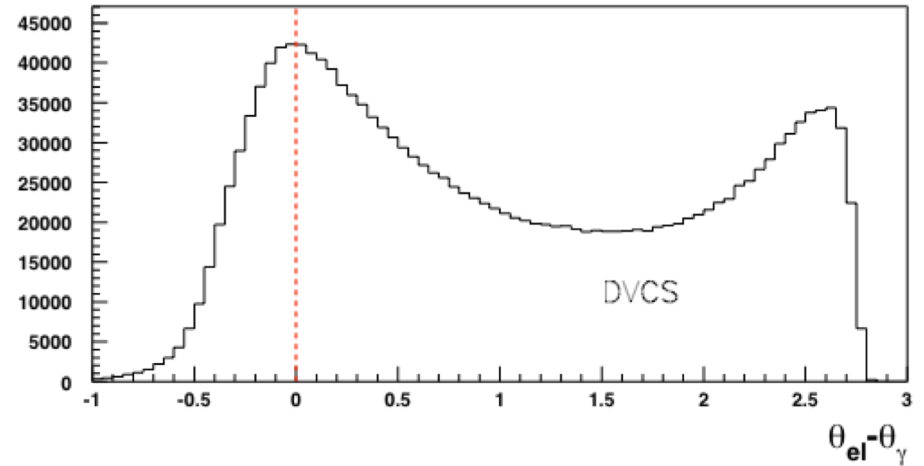
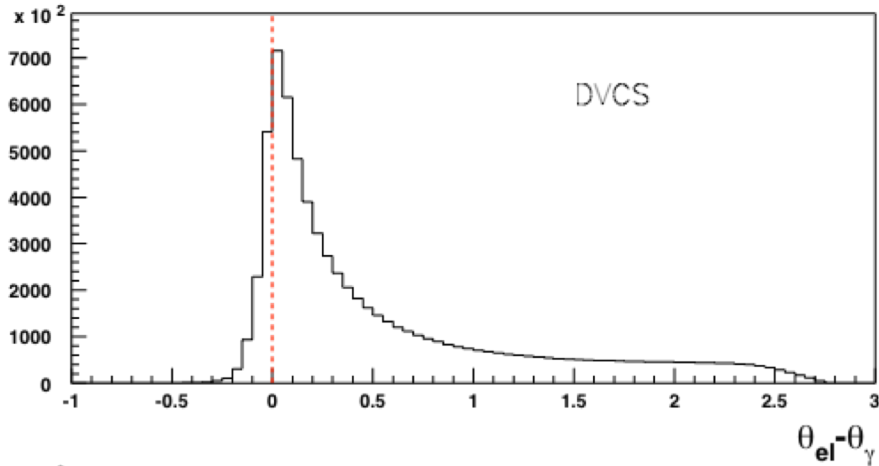
1. BH electron has very low energy (often below 1 GeV)
2. Photon for BH (ISR) goes often forward (through the beam pipe)

Important: em Cal must discriminate clusters above noise down to 1 GeV

BH rejection

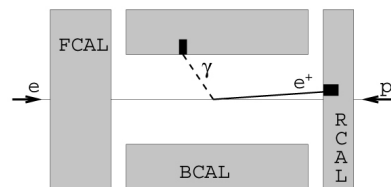
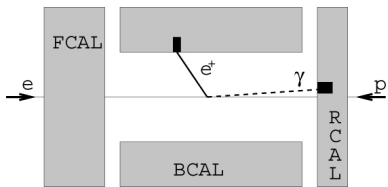
20 X 250

5 X 100



BH dominated

BH and DVCS

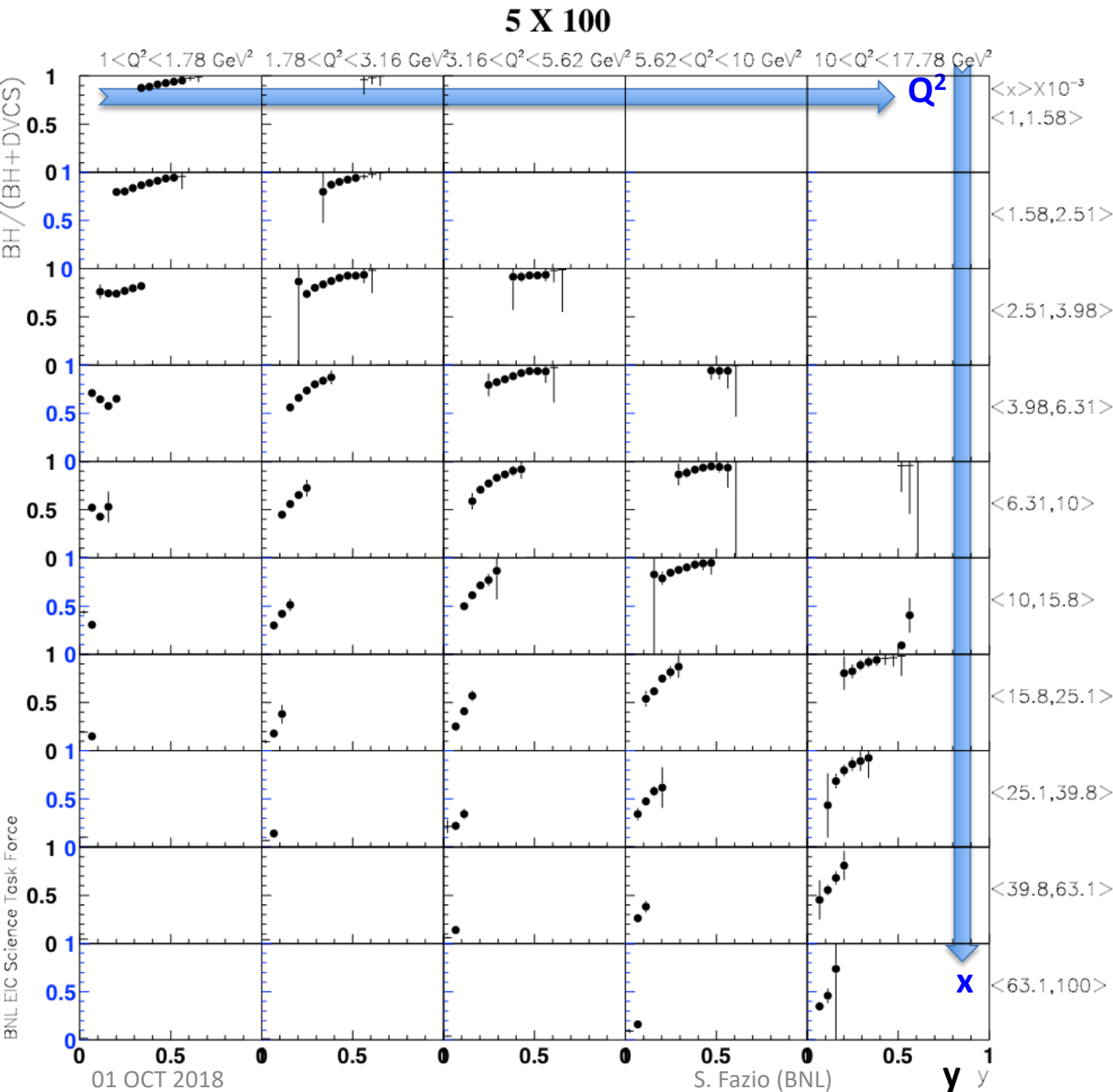


In DVCS most of the photon are less "rear"
 Than the electrons:
 $(\theta_{el} - \theta_{\gamma}) > 0 \rightarrow$ rejects most of the BH

BH fraction

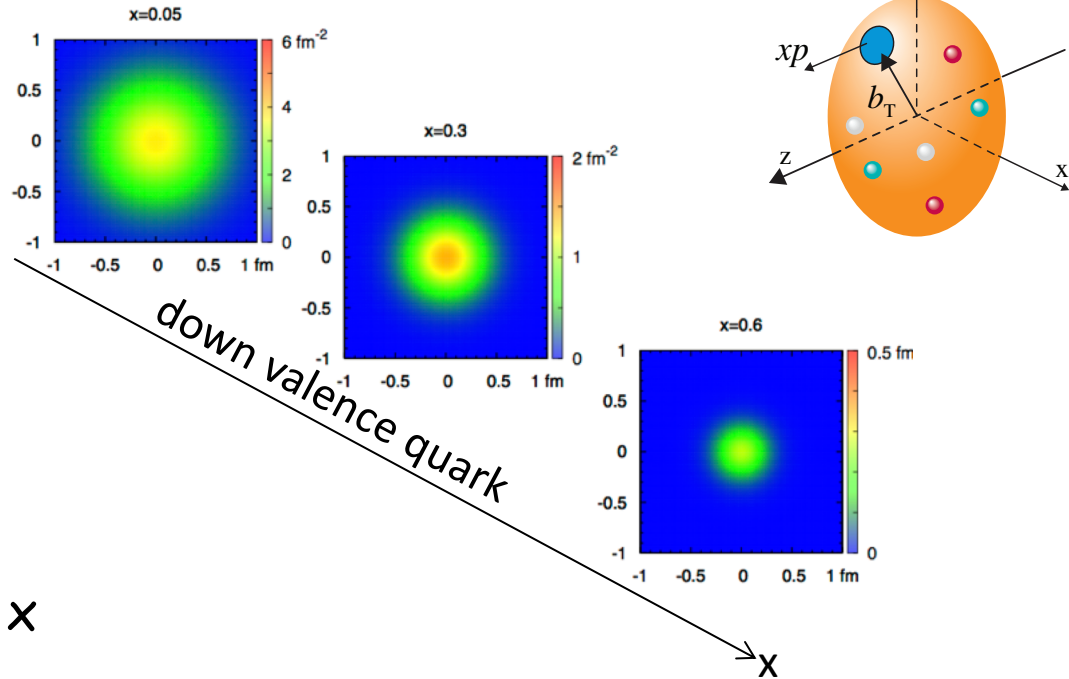
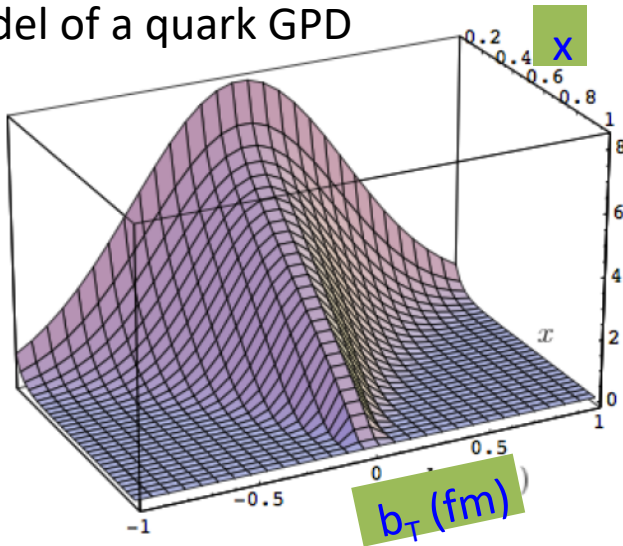
5 x 100 GeV²

BH subtraction will be relevant at low beam-energies, at large y , depending on the x - Q^2 bin

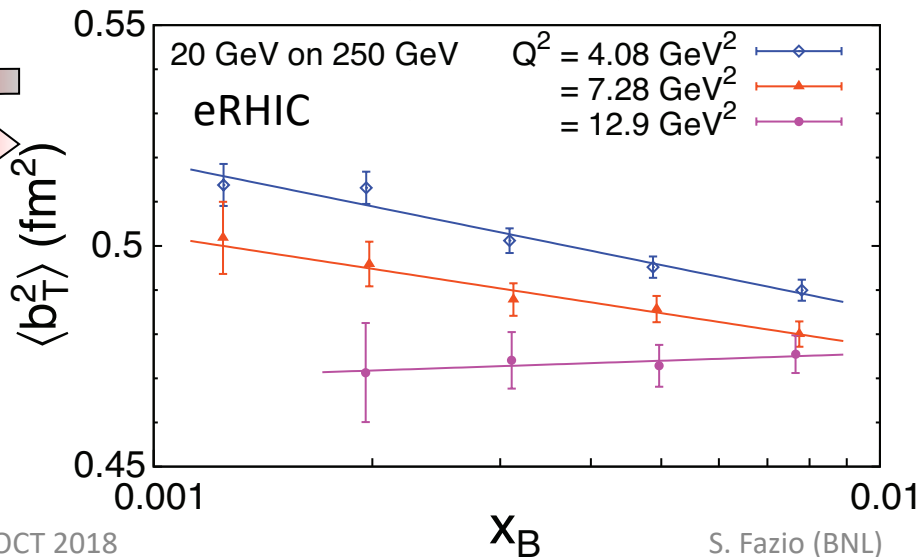


radius vs x

Model of a quark GPD



b_T decreasing as a function of x
 $\gamma^* + p \rightarrow \gamma + p$



Valence (high x) quarks at the center \rightarrow small b_T

Sea (small x) quarks at the p eriph erie \rightarrow high b_T ?

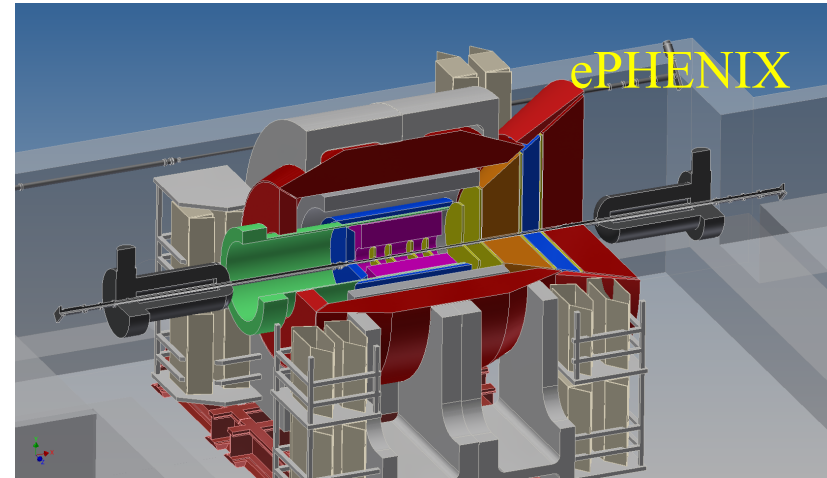
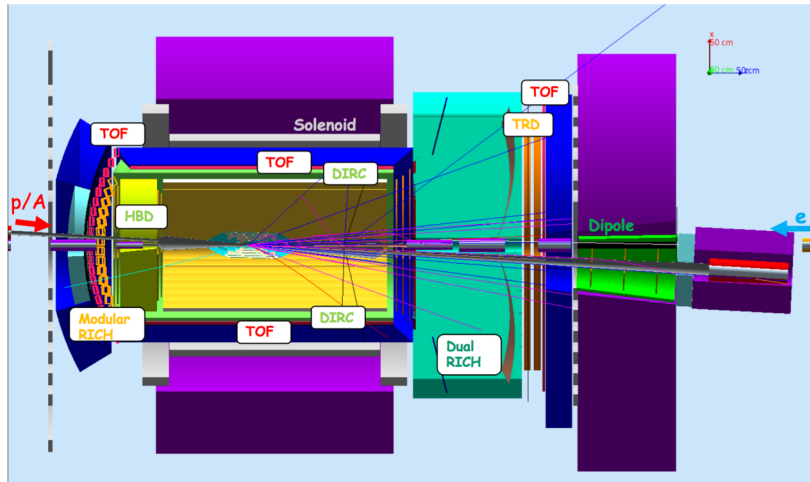
GLUONS ???

“General-purpose” detector general requirements

JLAB

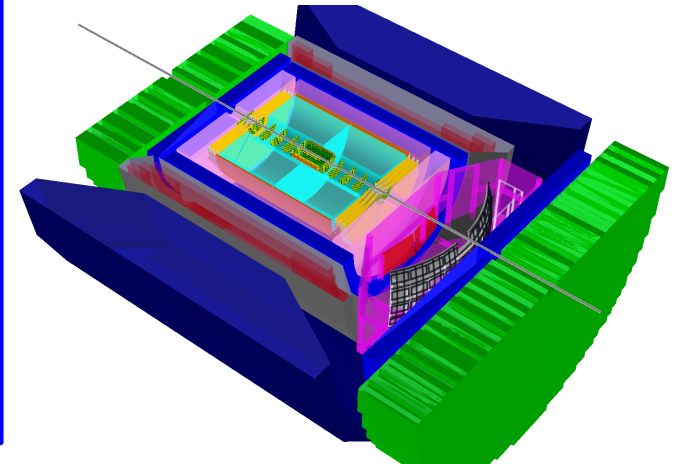
B. Sorrow

BNL



Overall detector requirements:

- ❑ Large acceptance in pseudorapidity: $-4.5 \lesssim \eta \lesssim 4.5$
- ❑ Equal coverage of tracking and EM-calorimetry
- ❑ High performance PID to separate p, K, π on track level
- ❑ High precision low mass tracking
- ❑ Forward instrumentation for protons and neutrons
- ❑ High control on systematic effects



A full silicon detector concept also proposed by Argonne EIC Detector and R&D program

→ https://wiki.bnl.gov/conferences/index.php/EIC_R%25D

A model detector concept: BeAST (Brookhaven eA Solenoidal Tracker)