Ground-state properties, excitations, and response of the 2D Fermi gas

Shiwei Zhang Flatiron Institute and College of William & Mary

Outline

- Introduction: 2D FG and a condensed matter perspective
- Auxiliary-field quantum Monte Carlo calculations exact* here
- Results on spin-balanced system:
 - ground-state properties
 - pairing gaps, spectral information, response
- Comment on (e.g. spin-imbalance) cases with sign problem
- Results on optical lattices with SOC
- Summary

Collaborators:









Mingpu Qin -> Shanghai Jiaotong U

Hao Shi -> Flatiron

Peter Rosenberg -> FSU MagLab

Ettore Vitali -> Cal State Fresno

Support:

- NSF
- Simons Foundation
- DOE –– SciDAC; ThChem



Simone Chiesa (Citi group)



$$H = -\frac{\hbar^2}{2m} \left(\sum_{i}^{N/2} \nabla_i^2 + \sum_{j}^{N/2} \nabla_j^2 \right) + \sum_{i,j} V(r_{ij})$$

inter-particle spacing d >> range of V

6.0

5.0 R (Å) 7.0

8.0

In 3-dimensitons, can tune V to modify 2-body s-wave scattering length:





$$H = -\frac{\hbar^2}{2m} \left(\sum_{i}^{N/2} \nabla_i^2 + \sum_{j}^{N/2} \nabla_j^2\right) + \sum_{i,j} V(r_{ij})$$

inter-particle spacing $d \gg$ range of \vee





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inter-particle spacing $d \gg$ range of V



In **2-dimensions**, always bound state -- no unitarity Pair size vs. d:





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Expt realized (recall tremendous precision in 3D) -- 2D important in condensed matter: cuprates,



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"Metric": $x \equiv \ln(k_F a)$

basically, scattering length/d



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inter-particle spacing d >> range of V

Spin-orbit coupling has been realized (PRL 109, 095301; PRL 109, 095302)





-100

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4.0

5.0

R (Å)

7.0

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Connection to topological materials, QHE, interplay bt. SOC & pairing



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Connection to topological materials, QHE, interplay bt. SOC & pairing Clean, tunable experiments Theoretical work mostly at mean-field level



$$H = -\frac{\hbar^2}{2m} \left(\sum_{i}^{N/2} \nabla_i^2 + \sum_{j}^{N/2} \nabla_j^2\right) + \sum_{i,j} V(r_{ij})$$

inter-particle spacing d >> range of V

Spin-orbit coupling has been realized (PRL 109, 095301; PRL 109, 095302)

Hamiltonian incl. synthetic Rashba SOC in dilute gas (and optic. latt. !)

$$H = t \sum_{\mathbf{k}\sigma} k^2 c^{\dagger}_{\mathbf{k}\sigma} c_{\mathbf{k}\sigma} + U \sum_{\mathbf{i}} n_{\mathbf{i}\uparrow} n_{\mathbf{i}\downarrow} + \sum_{\mathbf{k}} \lambda (k_y - ik_x) c^{\dagger}_{\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} + h.c.$$
$$\frac{U}{t} = -\frac{4\pi}{\ln(k_F a) - \ln(\mathcal{C} n)}, \quad n = \frac{N}{L^2}, \quad k_F = \frac{\sqrt{2\pi n}}{\Delta}$$

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To obtain **ground state**, use projection in imaginary-time:

$$\frac{\langle \Psi_T | H e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}{\langle \Psi_T | e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}$$

E.g.
$$\hat{H} = \sum \frac{\hat{p}_i^2}{2m} + \hat{V}$$

 $e^{-\tau \hat{\mathbf{p}}_i^2/2m} = \int e^{-\sigma^2/2} e^{i\hat{\mathbf{p}}_i \cdot (\gamma \sigma)} d\sigma \qquad \gamma = \sqrt{\frac{\tau}{m}}$
 $e^{-\tau \hat{H}} = \int e^{-\vec{\sigma}^2/2} e^{i\hat{P} \cdot (\gamma \vec{\sigma})} d\vec{\sigma} e^{-\tau \hat{V}} \qquad |R\rangle = |\mathbf{r}_1, \mathbf{r}_2, \cdots, \mathbf{r}_M\rangle$

translation op.

$$e^{- au \hat{H}} = \int p(\sigma) B(\sigma) d\sigma$$

 $B(\sigma) |R\rangle \to |R'\rangle$

==> diffusion Monte Carlo (GFMC) (and path-integral MC)

$$|\Psi_0\rangle = \sum_R \Psi_0(R) |R\rangle$$

- initialize {|R
 angle} from $\Psi^{(0)}(R)$
- random walks with $\{|R\rangle\}$
- distribution -> $\Psi_0(R)$

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Auxiliary-field QMC:

$$e^{- au \hat{H}} = \int p(\sigma) B(\sigma) d\sigma \ B(\sigma) |\phi\rangle o |\phi'
angle$$

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$$e^{-\tau \hat{H}} = \int p(\sigma) B(\sigma) d\sigma$$
$$B(\sigma) |\phi\rangle \to |\phi'\rangle$$
Slater determinant

use basis

$$|\Psi_0
angle = \sum_{\phi} \Psi_0(\phi) |\phi
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Auxiliary-field QMC:



use basis

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angle = \sum_{\phi} \Psi_0(\phi) |\phi
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Many-body propagator --> many 1-body prop's

Consider the propagator
$$e^{-\tau \hat{H}} \doteq e^{-\tau \hat{H}_1} e^{-\tau \hat{H}_2} + \mathcal{O}(\tau^2)$$

 $\hat{H} = \sum_{i,j}^{N} T_{ij} c_j^{\dagger} c_j + \sum_{i,j,k,l}^{N} V_{ijkl} c_i^{\dagger} c_j^{\dagger} c_k c_l$
 $e.g. \quad V_{ijkl} \doteq \sum_{\nu=1}^{J_{max}} L_{ij}^{\nu} L_{kl}^{\nu}$
 $\hat{H}_2 = -\sum_{\nu} \hat{v}_{\nu}^2$
 $\hat{v}_{\nu} = \sum_{i,j} L_{ij}^{\nu} c_i^{\dagger} c_j$
Hubbard-Stratonovich transform.:
 $e^{-\tau \hat{H}} \rightarrow e^{-\tau \hat{H}_1} \int e^{-\sigma^2/2} e^{\sigma \sqrt{\tau} \hat{v}} d\sigma$
 $e^{-\tau \hat{H}} = \int p(\sigma) B(\sigma) d\sigma$
 $B(\sigma) |\phi\rangle \rightarrow |\phi'\rangle$

$$\frac{\langle \Psi_T | H e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}{\langle \Psi_T | e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}$$

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Imaginary-time projection --> random walk:

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$$\begin{pmatrix} \psi_1 & \psi_1 \\ \psi_2 & \psi_2 \\ \cdot & \cdot \\ &$$



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$$e^{\sigma \hat{v} \begin{pmatrix} \psi_1 & \psi_1 \\ \psi_2 & \psi_2 \\ & \ddots \\ & & \vdots \\ & & \vdots \\ & & & \vdots \\ \psi_{NI} & \psi_{NI} \end{pmatrix}}$$



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1-body op



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1-body op
variable -- sample



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N is size of 'basis'



MnO

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NxN matrix

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$$e^{\sigma \hat{v}} \begin{pmatrix} \psi_{1} & \psi_{1} \\ \psi_{2} & \psi_{2} \\ \vdots & \vdots \\ \dot{\psi}_{N} & \dot{\psi}_{N} \end{pmatrix} \longrightarrow \begin{pmatrix} \psi'_{1} & \psi'_{1} \\ \psi'_{2} & \psi'_{2} \\ \vdots & \vdots \\ \dot{\psi}'_{N} & \dot{\psi}'_{N} \end{pmatrix}$$





The sign problem

Imaginary-time projection --> random walk:

 $\frac{\langle \Psi_T | H e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}{\langle \Psi_T | e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}$ $e^{- au \hat{H}} = \int p(\sigma) B(\sigma) d\sigma$ $B(\sigma) |\phi\rangle o |\phi'
angle$

The sign problem

- * happens whenever $B \dots B | \phi \rangle \rightarrow | \phi \rangle$ exists
- * symmetry can prevent this sign-problem-free cases:
 - attractive interaction, spin-balanced (det[])^2
 - repulsive half-filling bipartite (particle-hole)
 - attractive, spin-balanced, w/ spin-orbit coupling
 - a more general formulation w/ Majorana fermions PRL 116, 250601 (2016)

$$\frac{\langle \Psi_T | H e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}{\langle \Psi_T | e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}$$

$$e^{-\tau \hat{H}} = \int p(X) B(X) dX$$
$$B(X) |\phi\rangle \to |\phi'\rangle$$

$$\frac{\langle \Psi_T | \mathcal{H} e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}{\langle \Psi_T | e^{-\tau H} \cdots e^{-\tau H} e^{-\tau H} | \Psi^{(0)} \rangle}$$

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Imaginary-time projection --> random walk:



Note

 Apply force bias – importance sampling – much more efficient than "standard algorithm"
Equal-time correlations and observables

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- Apply force bias importance sampling much more efficient than "standard algorithm"
- Infinite variance for sign-problem-free cases (Hao Shi talk)

Equal-time correlations and observables

Imaginary-time projection --> random walk:



Note

- Apply force bias importance sampling much more efficient than "standard algorithm"
- Infinite variance for sign-problem-free cases (Hao Shi talk)
- If sign problem, apply constraint in forward direction. In that case back-propagation is required

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Equal-time GFs:



$$e^{-\tau \hat{H}} = \int p(X)B(X)dX$$

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Equal-time GFs:



$$e^{-\tau \hat{H}} = \int p(X) B(X) dX$$
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Imaginary-time GFs:

$$G(k,\tau) = \langle \Psi_0 | c_k e^{-\tau \hat{H}} c_k^{\dagger} | \Psi_0 \rangle$$

Imaginary-time projection --> random walk:

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Standard algorithm: commutators, (basis size)³

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Standard algorithm: commutators, (basis size)^3 New: linear*N^2 (important in FG — dilute)

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Equal-time GFs:



Imaginary-time density/spin corr:

 $e^{-\tau \hat{H}} = \int p(X) B(X) dX$ $B(X) |\phi\rangle \to |\phi'\rangle$

$$\langle \Psi_0 | \hat{n}_{i,\sigma} e^{-\tau \hat{H}} \hat{n}_{j,\sigma'} | \Psi_0 \rangle$$

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$$\hat{n}_{i,\sigma} = \frac{e^{\hat{n}_{i,\sigma}} - 1}{e - 1}$$

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Exact EOS obtained, fit provided

BCS trial wf;
 Variance control;
 sampling tricks;



DMC: prev. best (var) Bertaina & Giorgini, PRL '11

Exact EOS obtained, fit provided

- BCS trial wf;
 Variance control;
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Exact EOS obtained, fit provided

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DMC: prev. best (var) Bertaina & Giorgini, PRL '11





2D -- `condensate fraction` (diagonalize $\langle A \rangle$

$$\Delta_k^\dagger \Delta_{k'}
angle$$
)



Shi, Chiesa, SZ, PRA '15

(diagonalize $\langle \Delta_k^\dagger \Delta_{k'} \rangle$)

2D -- `condensate fraction` real-space `pair wave function'



Shi, Chiesa, SZ, PRA '15

(diagonalize $\langle \Delta_k^\dagger \Delta_{k'} \rangle$)

2D -- 'condensate fraction' real-space 'pair wave function'



Shi, Chiesa, SZ, PRA '15

2D -- 'condensate fraction' real-space 'pair wave function'



(diagonalize $\langle \Delta_k^\dagger \Delta_{k'} \rangle$)

Shi, Chiesa, SZ, PRA '15

2D -- 'condensate fraction' real-space 'pair wave function'



(diagonalize $\langle \Delta_k^\dagger \Delta_{k'} \rangle$)

Shi, Chiesa, SZ, PRA '15

Ground-state properties, excitations, and response of the 2D Fermi gas

Shiwei Zhang Flatiron Institute and College of William & Mary

Outline

- Introduction: 2D FG and a condensed matter perspective
- Auxiliary-field quantum Monte Carlo calculations exact* here
- Results on spin-balanced system:
 - ground-state properties
 - pairing gaps, spectral information, response
- Comment on (e.g. spin-imbalance) cases with sign problem
- Results on optical lattices with SOC
- Summary

Pairing gap

$$G^{p}(\mathbf{k},\tau) = \langle \hat{c}_{\mathbf{k}} e^{-\tau(\hat{H}-\mu\hat{N})} \hat{c}_{\mathbf{k}}^{\dagger} \rangle \longrightarrow \omega^{+}(\mathbf{k}) = -\lim_{\tau \to +\infty} \frac{\log \left(G^{p}(\mathbf{k},\tau)\right)}{\tau}$$

Similarly for holes

quasi-particle dispersion

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Dynamical structure factors

$$S^{\hat{O}}(\vec{k},\omega) = \langle \hat{O}_{\vec{k}} \,\delta(\omega - \hat{H}) \,\hat{O}_{-\vec{k}} \,\rangle$$

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Dynamical structure factors











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Imaginary-time correlation under constraint

Test in repulsive Hubbard, sign problem (preliminary)

Equal-time quantities have been extensively benchmarked — high accuracy
Test in repulsive Hubbard, sign problem (preliminary)



Test in repulsive Hubbard, sign problem (preliminary)



4x4 5u5d U/t=4 (relatively easy, closed shell): essentially exact

Equal-time quantities have been extensively benchmarked — high accuracy

Test in repulsive Hubbard, sign problem (preliminary)



Test in repulsive Hubbard, sign problem (preliminary)



Green function

4x4 4u4d U/t=4
("typical" molecule
or solid level of
difficulty): good
accuracy

Test in repulsive Hubbard, sign problem (preliminary)

density correlation function



Hubbard dispersion, half-filling





Hubbard dispersion, half-filling





Attractive interaction, U<0

Hubbard dispersion, half-filling





Attractive interaction, U<0

Supersolid phase:

Hubbard dispersion, half-filling



Attractive interaction, U<0

Supersolid phase:

- charge density wave





Hubbard dispersion, half-filling



Attractive interaction, U<0

Supersolid phase:

- charge density wave
- superfluid order





Hubbard dispersion, half-filling





Attractive interaction, U<0



Hubbard dispersion, half-filling





Attractive interaction, U<0



Hubbard dispersion, half-filling





Summary

- 2D Fermi gas
 - Clean & tunable; exciting new possibilities, especially useful to CM
- We use auxiliary-field QMC to carry out exact simulations in large systems (>120 particles, > 3000 sites, large beta)
 - Metropolis with force bias to accelerate sampling and improve acceptance ratio (Note standard deteminantal MC has infinite variance)
 - Method to compute gaps and imaginary-time correlations
- 2D: equation of state; n(k); pairing wf; cond frac. ..
- Pairing gaps, spectral info, and response (analytic cont)
- Rashba spin-orbit coupling in 2D optical lattice: super solid phase, singlet vs triplet pairing, topological signatures

Example: gaps from imaginary-time GFs

Example - charge gap in the Hubbard model at half-filling



- Gap is slope at large tau
- Can work with real
 - space or k-space GF
- k-space (k near FS)

works better at low U

$$G(k,\tau) = \langle \Psi_0 | c_k e^{-\tau \hat{H}} c_k^{\dagger} | \Psi_0 \rangle$$

Vitali et al, PRB, '16