From real materials to model Hamiltonians with density matrix downfolding

(Converting a many-electron problem to a fewer-electron one)

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Based on HJC, H. Zheng, L. K. Wagner J. Chem. Phys. (2015) H.Zheng\*, HJC\*, K. Williams, B. Busemeyer, L. K. Wagner, Front. Phys (2018)



## INT, Univ. Washington, Quantum Monte Carlo workshop, H.J.Changlani, FSU August 2018

## Quantum many body problem

$$H \psi = E \psi$$

<u>full H, approximate  $\Psi$ </u>

$$\begin{split} H &= -\frac{1}{2}\sum_{i} \nabla_i^2 - \sum_{I,i} \frac{Z_I}{|r_I - r_i|} \\ &+ \sum_{i,j,i < j} \frac{1}{|r_i - r_j|} \end{split}$$

The real thing. Deals with **all electrons** (eg. Fionn's talk).

Hilbert space large, so **smaller** systems.

How do we know we have an "exotic" phase? (eg. spin liquid etc) <u>Approximate "effective" *H*</u>

$$H_{\text{Hubbard}} = -t \sum_{\langle i,j \rangle,\sigma} c^{\dagger}_{i,\sigma} c_{j,\sigma} + U \sum_{i} n^{i}_{\uparrow} n^{i}_{\downarrow}$$

Deals with **valence electrons** only (physically insightful): eg. many models of high Tc superconductivity

Smaller Hilbert space locally, more conducive for **larger scale simulations** 

**Several new diagnostics** to characterize exotic phases

## Models: hard to solve exactly

(depends on model)

The Hilbert space is **HUGE**!

 $N=100 \text{ spins} \longrightarrow 10^{16} \text{ PB}$ 

IBM has largest storage array of 120 PB

## But many recent advances



# Quantum Monte Carlo (sign problem or trial wavefunction bias)

Ceperley, Becca, Sorella, Scalapino, Scalettar, Zhang, Alavi, Umrigar, Sandvik, Prokof'ev.....



Wilson, White, Ostlund, Nishino, Vidal, Xiang, Verstraete, Cirac

Others: DMFT (Kotliar, Georges, Millis), DMET (Knizia, Chan)

Example of what the "model world" is interested in 2D kagome magnet: experimental realization Herbertsmithite



## Kagome phase diagram: many competing phases with similar energy



Quantum spin liquid is a phase of matter with (1) no symmetry breaking, no local order parameter

(2) **topological properties**/fractional anyonic excitations : quantum computation?

Yan, Huse, White (2011) (3) very high multireference character



Many competitive phases (Marston Zeng state: 36 site unit cell) Energy difference between candidates is 0.006 J per site





Where does the "real material" lie in the phase diagram?

## Flowchart for strongly correlated models

Fit Experimental data: one personal "success" story for frustrated NaCaNi<sub>2</sub>F<sub>7</sub>



EXPT 2

Indications for a quantum spin liquid (quantum model solution itself needs more work to be sure ....)

S. Zhang\*, **HJC\*,** Plumb, Moessner,Tchernyshyov, to be submitted (2018)

### Flowchart for strongly correlated models

<u>Fit Experimental data to get effective Hamiltonian – not always predictive</u>



<u>As theorists, want to minimize dependence on experiments</u> <u>so, the questions are...</u>

- Is electronic structure likely to be "super accurate" to resolve small energy scales in solids (especially strongly correlated Mott insulators)?
   Answer: Who knows the future, but currently it is not
- Does one need to fully solve the many electron problem (obtain eigenstates) to understand the important low-energy physics of a problem? Answer: Not necessarily
- Can electronic structure tell us important physics that helps build relevant useful models (especially for solids) and helps diagnose what is right or wrong with them?
- Answer: This is what the talk is about. I will show that QMC is quite useful for this purpose.

## <u>What exactly do we mean by "effective Hamiltonian"?</u> <u>posing the problem</u>



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## What is typically done to get a model?

Density functional theory





Get hoppings "t" (integral of the kinetic energy projected in Wannier basis) O. Andersen, R. Martin, Saha-Dasgupta, Valenti...

Hubbard U (Interactions) ? Use Post DFT method, constrained RPA (Imada, Ariyasetiawan, Kotliar, Georges, Biermann, Casula, Werner, Valenti, Jeschke....)

#### How do we know whether these approximations are good or bad?

## Our viewpoint for effective Hamiltonian determination\_

- Why treat kinetic and potential parts of Hamiltonian differently?
- Use information from accurate wavefunctions which <u>do not</u> care about this distinction
- Method must have internal consistency checks is the model good or bad?
- Model is an "auxiliary system" with different electron number, so have to "match properties" instead of wavefunctions
- (several variants in other contexts: Ceperley, HJC, Henley, Wagner, White, Chan...)

These ideas motivated our first work: **H.J. Changlani, H. Zheng, L.K. Wagner, J. Chem. Phys (2015)** 

### Posing the problem precisely

#### Ab initio density matrix downfolding (AI-DMD)



## DMD scheme for effective Hamiltonians

Given a "good" one particle basis

#### For a single state

$$\tilde{E}_s \equiv \langle H \rangle_s = C + \sum_{ij} t_{ij} \langle c_i^{\dagger} c_j \rangle + \sum_{ijkl} V_{ijkl} \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle$$

TRUE whether state is eigenstate or NOT

$$\begin{array}{c} \tilde{E} \text{or many states} \\ \tilde{E}_{1} \\ \tilde{E}_{2} \\ \tilde{E}_{3} \\ \cdots \\ \cdots \\ \cdots \\ \tilde{E}_{M} \end{array} \right) = \begin{pmatrix} 1 & \langle c_{i}^{\dagger}c_{j} \rangle_{1} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k} \rangle_{1} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j} \rangle_{2} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k} \rangle_{2} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j} \rangle_{3} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k} \rangle_{3} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j} \rangle_{4} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k} \rangle_{4} & \cdots \\ 1 & \cdots & \cdots & \cdots & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j} \rangle_{M} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k} \rangle_{M} & \cdots \end{pmatrix} \begin{pmatrix} C \\ t_{ij} \\ \cdots \\ V_{ijkl} \\ \cdots \\ V_{ijkl} \\ \cdots \end{pmatrix}$$
Energies

### **AI-DMD scheme for effective Hamiltonians**

$$\begin{pmatrix} \tilde{E}_1 \\ \tilde{E}_2 \\ \tilde{E}_3 \\ \cdots \\ \cdots \\ \tilde{E}_M \end{pmatrix} = \begin{pmatrix} 1 & \langle c_i^{\dagger} c_j \rangle_1 & \cdots & \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle_1 & \cdots \\ 1 & \langle c_i^{\dagger} c_j \rangle_2 & \cdots & \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle_2 & \cdots \\ 1 & \langle c_i^{\dagger} c_j \rangle_3 & \cdots & \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle_3 & \cdots \\ 1 & \langle c_i^{\dagger} c_j \rangle_4 & \cdots & \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle_4 & \cdots \\ 1 & \cdots & \cdots & \cdots & \cdots \\ 1 & \langle c_i^{\dagger} c_j \rangle_M & \cdots & \langle c_i^{\dagger} c_j^{\dagger} c_l c_k \rangle_M & \cdots \end{pmatrix} \begin{pmatrix} C \\ t_{ij} \\ \cdots \\ V_{ijkl} \\ \cdots \\ V_{ijkl} \end{pmatrix}$$

E = Energies A = Matrix of density matrices x = Parameters ${f E}=A{f x}$  ${f D}\equiv ||A{f x}-{f E}||^2$ Minimize difference

H.J.Changlani, H. Zheng, L.K. Wagner, JCP (2015)

#### **AI-DMD** scheme for effective Hamiltonians

Energy must vary with variation in density matrices to be "relevant"  $\begin{pmatrix} \tilde{E}_{1} \\ \tilde{E}_{2} \\ \tilde{E}_{3} \\ \cdots \\ \cdots \\ \cdots \\ \tilde{E}_{M} \end{pmatrix} = \begin{pmatrix} 1 & \langle c_{i}^{\dagger}c_{j}\rangle_{1} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k}\rangle_{1} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j}\rangle_{2} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k}\rangle_{2} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j}\rangle_{3} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k}\rangle_{3} & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j}\rangle_{4} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k}\rangle_{4} & \cdots \\ 1 & \cdots & \cdots & \cdots & \cdots \\ 1 & \langle c_{i}^{\dagger}c_{j}\rangle_{M} & \cdots & \langle c_{i}^{\dagger}c_{j}^{\dagger}c_{l}c_{k}\rangle_{M} & \cdots \end{pmatrix} \begin{pmatrix} C \\ t_{ij} \\ \cdots \\ V_{ijkl} \\ \cdots \\ V_{ijkl} \\ \cdots \end{pmatrix}$ 

**E = Energies A = Matrix of density matrices x = Parameters** 





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## <u>We need a "good" (often local) one particle basis (i)</u> <u>Ab initio density matrix downfolding (AI-DMD)</u>



## Examples I will talk about

- A pedagogical toy model that highlights the main ideas (no QMC, everything can be exactly solved) – 3-band Hubbard model to 1-band Hubbard model
- Benzene molecule with QMC
   effective one orbital per site –
- QMC on graphene
- Transition metals, as a futuristic (preliminary) application

Increasing complexity

### Example 1: (Toy) Three to one band model at half filling



## <u>Example 1: Three to one band model –</u> <u>what are the optimal orbitals?</u>

## Example 1: Three to one band model, (renormalized) effective parameters



## Example 1: Three to one band model, <u>Multi-scale prediction</u>

- Obtain effective parameters for a 4 unit cell system from downfolding
- Check their transferability/predictive power on a 8 unit cell system by checking energy gaps
- Hilbert space of the 3-band 8 unit cell system is 112 million (Lanczos)
- Hilbert space of the 1-band 8 unit cell system is 4900 (exact diag)



<u>Example 1: Three to one band model –</u> <u>Parameters are energy window dependent</u>

![](_page_21_Figure_2.jpeg)

The parameters depend on the energy window of interest, much like what the renormalization group has taught us.

## Quantum Monte Carlo in a nutshell

#### What?

Stochastic sampling of many electron configurations

Optimize many-body wavefunction:

 $\psi_T(r_1, r_2, \dots, r_N) = \mathcal{J} \sum d_i D_i$ Jastrow: Introduces **Determinants** (HF or DFT) electron correlation

Variational Monte Carlo (sample trial wf, often not chemically accurate)

Projector (Diffusion) Monte Carlo: (exact but sign problem)

Diffusion Monte Carlo with nodal constraint (systematic error, but very accurate)

#### Why?

Scalable, esp. important for solids

Accuracy improvable (by improving wavefunctions)

Can calculate observables (correlation functions, structure factor)

 $\langle c_i^{\dagger} c_j \rangle \left\langle c_i^{\dagger} c_j^{\dagger} c_l c_k \right\rangle$ 

Refs: Ceperley, Alder, Umrigar, Nightingale, Mitas, Foulkes...

QWALK software: Wagner, Bajdich, Mitas, JCP (2009)

#### **One particle orbitals**

![](_page_23_Picture_3.jpeg)

Occupancy 0.99

Occupancy 0.99

Occupancy 0.5

**Testing the one particle basis** 

![](_page_24_Figure_3.jpeg)

Full ab-initio calculation : 30 electrons (eg. 15 u, 15 d)

"Effective" Lattice model: 6 electrons (eg. 3 u, 3 d)

Spin		DFT	SJ-VMC	SJ-DMC	CISDJ-VMC	CISDJ-DM	С	$N_{\uparrow}, N_{\downarrow}$	Used in Fit?
0	T-	37.6303	-37.6229(6)	-37.7213(9)	-37.6352(6)	-37.7259(9	)	2.96,2.96	Yes
1	Τ-	37.4634	-37.4546(6)	-37.5555(7)	-37.4814(6)	-37.5707(7	)	3.94,1.98	Yes
					-37.4561(6)	-37.5479(6	)	3.94,1.98	Yes
					-37.4531(6)	-37.5470(6	)	3.94,1.98	Yes
2	T-	37.3203	-37.2987(6)	-37.3974(7)	-37.3141(6)	-37.4020(7	)	4.92,1.00	Yes
3	-	37.0378	-37.0116(4)	-37.1074(7)	-37.0118(4)	-37.1083(7	)	4.88,0.02	No

Energy Units: Ha

Hubbard model, double occupancy

$$H_{\text{Hubbard}} = -t \sum_{i,j\rangle,\sigma} c_{i,\sigma}^{\dagger} c_{j,\sigma} + U \sum_{i} n_{i,\uparrow} n_{i,\downarrow}$$

![](_page_25_Figure_4.jpeg)

Half filled ground state (S=0)

Comparison of model vs ab-initio correlation functions in ground state

![](_page_26_Figure_3.jpeg)

Hubbard model

$$\mathbf{E} = A\mathbf{x}$$

![](_page_27_Figure_4.jpeg)

#### Hubbard and extended Hubbard (PPP) model

![](_page_28_Figure_3.jpeg)

Reconstructing eigenstates by solving lattice model of 6 electrons + comparison to experiment

![](_page_29_Figure_3.jpeg)

Comparable to previous semi-empirical fits + We DO NOT use experimental data!

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#### Example 3: Graphene (periodic solid) What is the "effective" U ?

![](_page_30_Figure_2.jpeg)

Full ab-initio calculation on 3x3 cell : 72 electrons (eg. 36 u, 36 d) "Effective" Lattice model on 3x3 cell: 18 electrons (eg. 9 u, 9 d)

# Example 3: Graphene (periodic solid)

![](_page_31_Figure_2.jpeg)

![](_page_31_Figure_3.jpeg)

**Tight binding model** 

Hubbard model

Our result U\*/t approx 1.3 +/- 0.2 , cRPA result 1.6 +/- 0.2 (PRL 2013) Graphene is well in semi-metallic phase

![](_page_32_Picture_1.jpeg)

## Example 4: Transition metal atoms

rotationally symmetric case: Hund's coupling J

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![](_page_32_Picture_7.jpeg)

Antiferromagnetism and correlation of electrons in transition metals

A. M. Oleś

Max-Planck-Institut für Festkörperforschung, D-7000 Stuttgart 80, Federal Republic of Germany and Institute of Physics, Jagellonian University, PL-30-059 Kraków, Poland (Received 12 October 1982)

$$H = \sum_{\substack{m,n,i,\sigma \\ i < j}} t_{mn} a_{mi\sigma}^{\dagger} a_{ni\sigma} + (U+2J) \sum_{\substack{m,i \\ m,i}} n_{mi\uparrow} n_{mi\downarrow} + U \sum_{\substack{m,i,j,\sigma \\ i < j}} n_{mi\sigma} n_{mj,-\sigma} + (U-J) \sum_{\substack{m,i,j,\sigma \\ i < j}} n_{mi\sigma} n_{mj\sigma}$$

PHYSICAL REVIEW B 93, 075101 (2016)

Hubbard-like Hamiltonians for interacting electrons in s, p, and d orbitals

![](_page_32_Picture_14.jpeg)

M. E. A. Coury,<sup>1,2,\*</sup> S. L. Dudarev,<sup>2</sup> W. M. C. Foulkes,<sup>1</sup> A. P. Horsfield,<sup>1,†</sup> Pui-Wai Ma,<sup>2</sup> and J. S. Spencer<sup>1</sup> <sup>1</sup>Imperial College London, London SW7 2AZ, United Kingdom <sup>2</sup>CCFE, Culham Science Centre, Abingdon, Oxfordshire OX14 3DB, United Kingdom (Received 20 July 2015; revised manuscript received 2 November 2015; published 1 February 2016)

Others: Ohno, Kanamori, Dworin-Narath, Parisier-Pople-Parr, Georges, Kotliar, Imada...

#### **Example 4:** Transition metal atoms

rotationally symmetric case: Hund's coupling J

![](_page_33_Figure_3.jpeg)

V

### Summary of DMD

![](_page_34_Figure_2.jpeg)

- Model Hamiltonians using wavefunction data
   main idea is to relate "energies" and "reduced density matrices"
- Look beyond Hubbard-only models, eg. Kanamori form
- Promising but also challenging when many energy scales (FeSe, cuprates)
- *Ab-initio* community can help those studying strongly correlated models

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## **Acknowledgements**

![](_page_35_Picture_2.jpeg)

![](_page_35_Picture_3.jpeg)

![](_page_35_Picture_4.jpeg)

![](_page_35_Picture_5.jpeg)

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