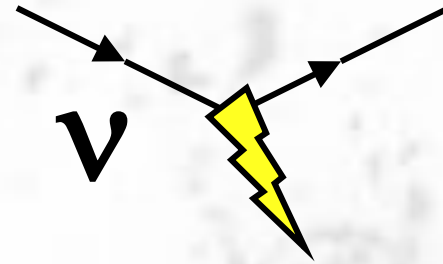


***Predicting GeV  
Electron Neutrino  
Interactions from  
Muon Neutrino  
Interactions***

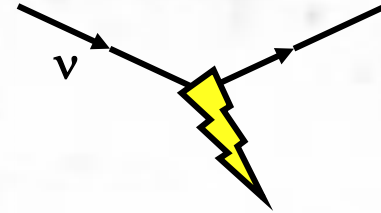


Kevin McFarland  
University of Rochester

INT-18-02a

28 June 2018

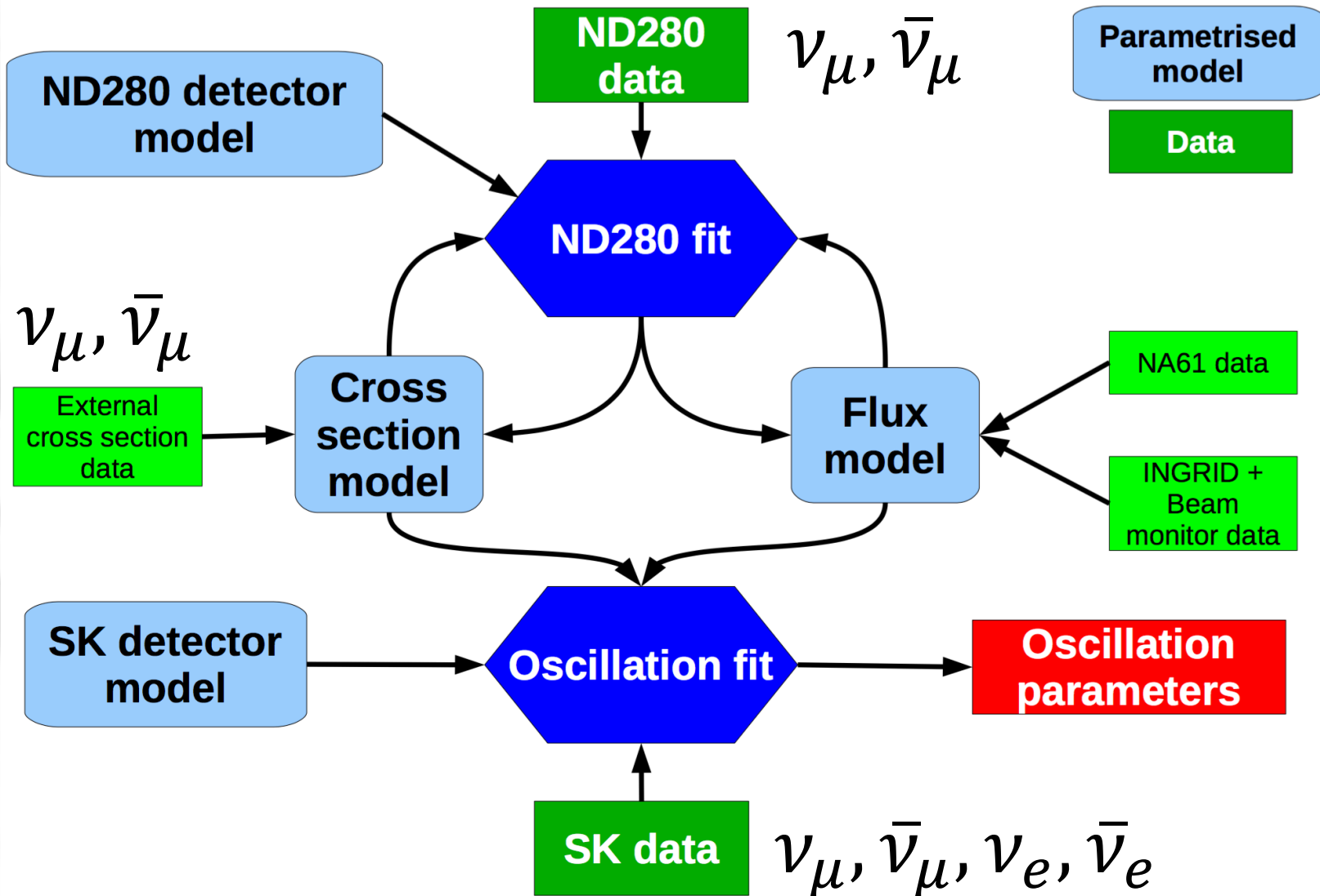
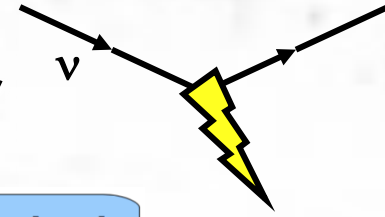
# Outline



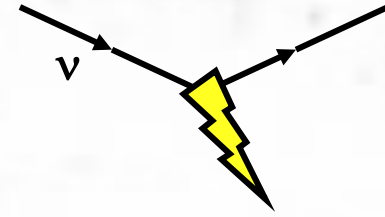
1. Why are Electron and Muon Neutrino Interactions Different?
2. Effects in CC Elastic on Nucleons
3. Nuclear Effects
4. Effects of Radiative Corrections
5. Will Data Rescue Us?

***Spoiler: We must work harder.***

# Example: T2K Analysis & flavor

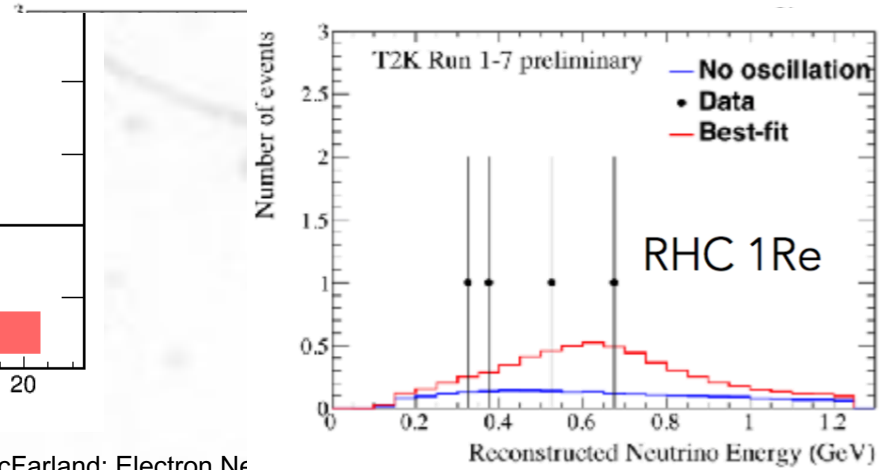
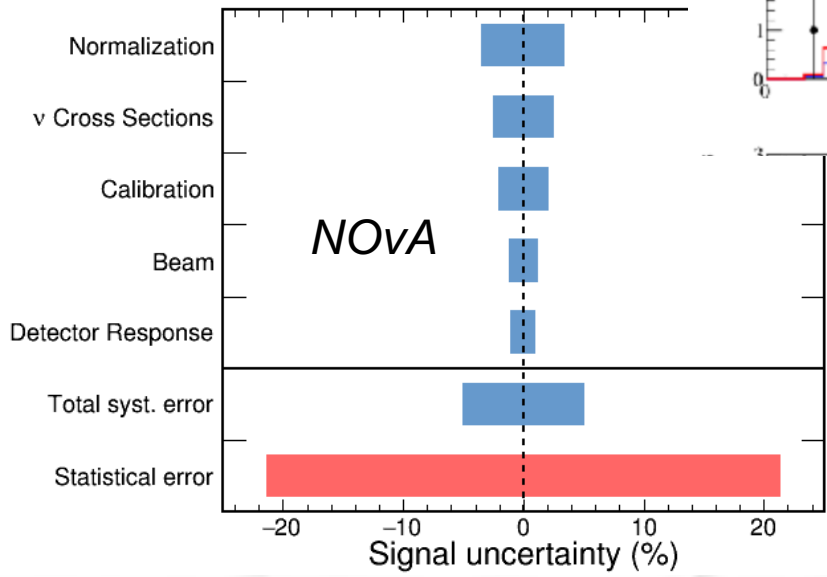
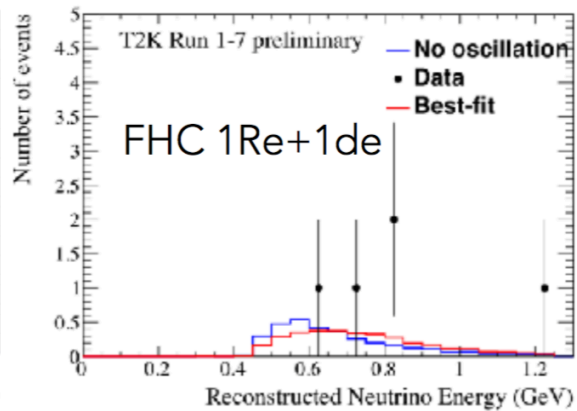
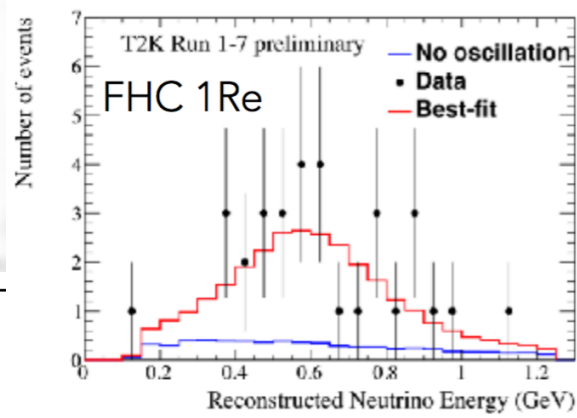


# When is precision critical?

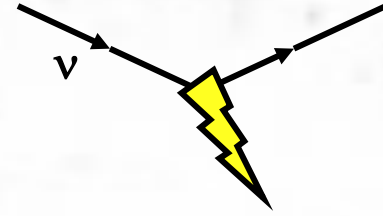


- Luckily, not in today's published results. 'Cause statistics.

T2K Statistics, Summer 2016	FHC (Neutrino)	RHC (Anti-nu)
1Re & 1Re+1de	37	4





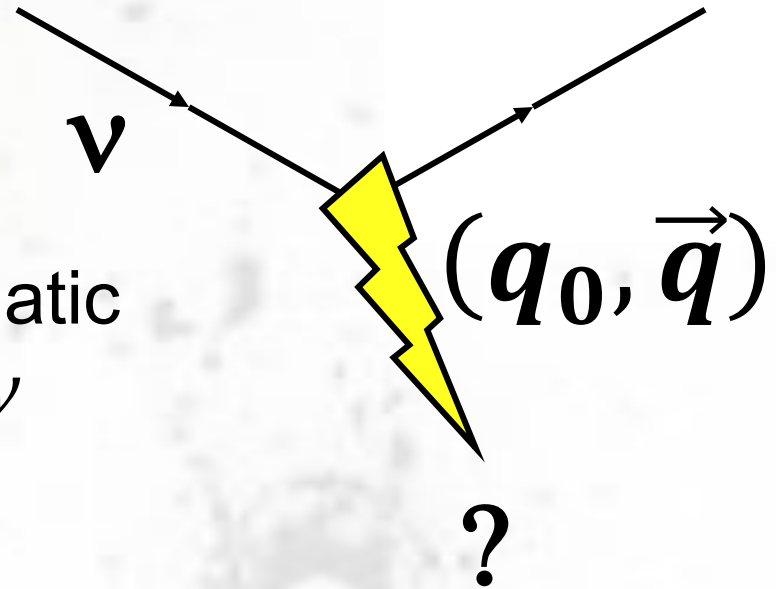


# ***Flavor, Phase Space and Helicity***

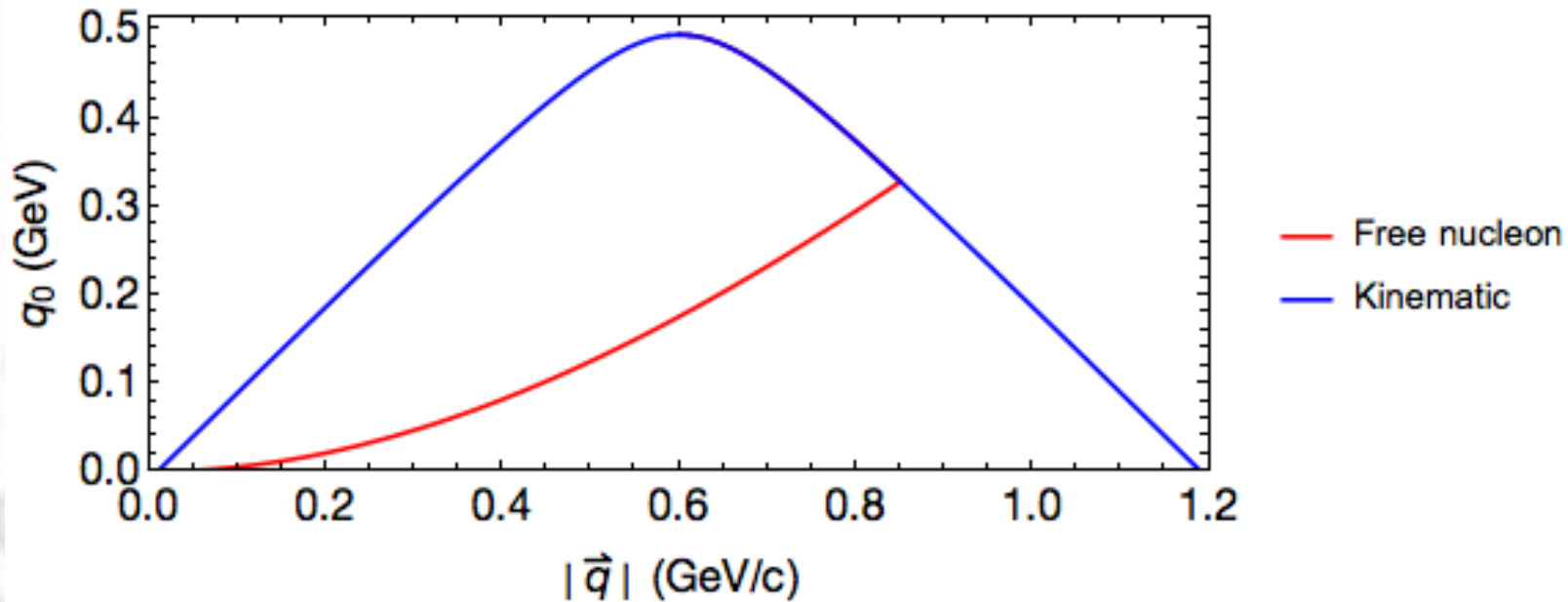


# Flavor Matters

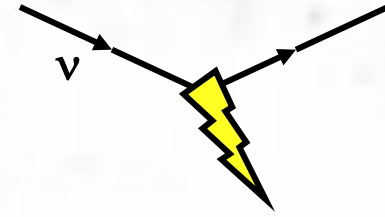
- Consider kinematic boundaries for  $\nu$  scattering



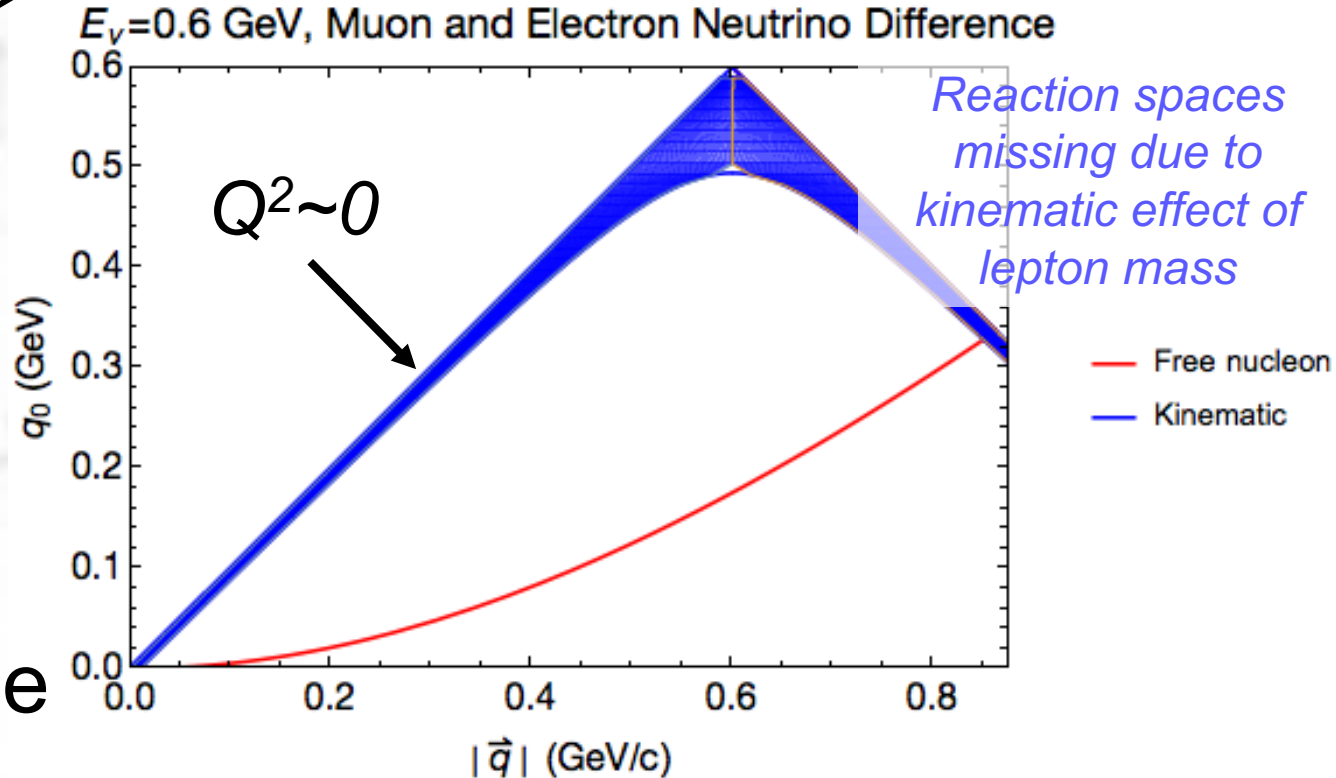
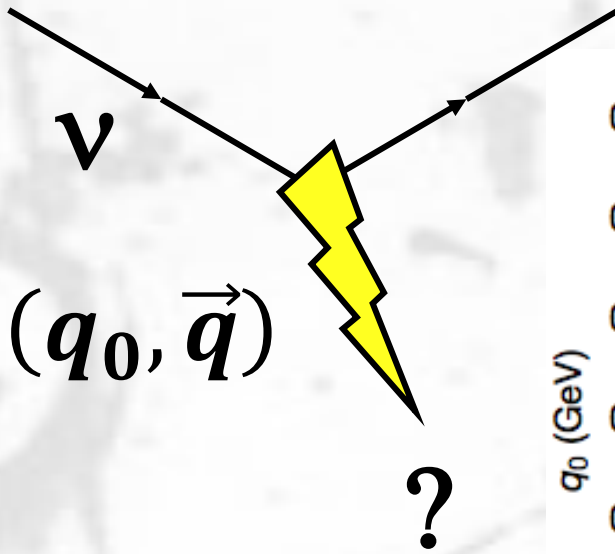
$E_\nu = 0.6$  GeV, Muon Neutrino



# Flavor Matters (cont'd)

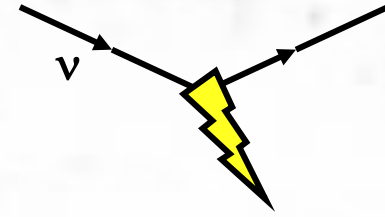


- In CC scattering, mass of lepton affects available energy and momentum transfer

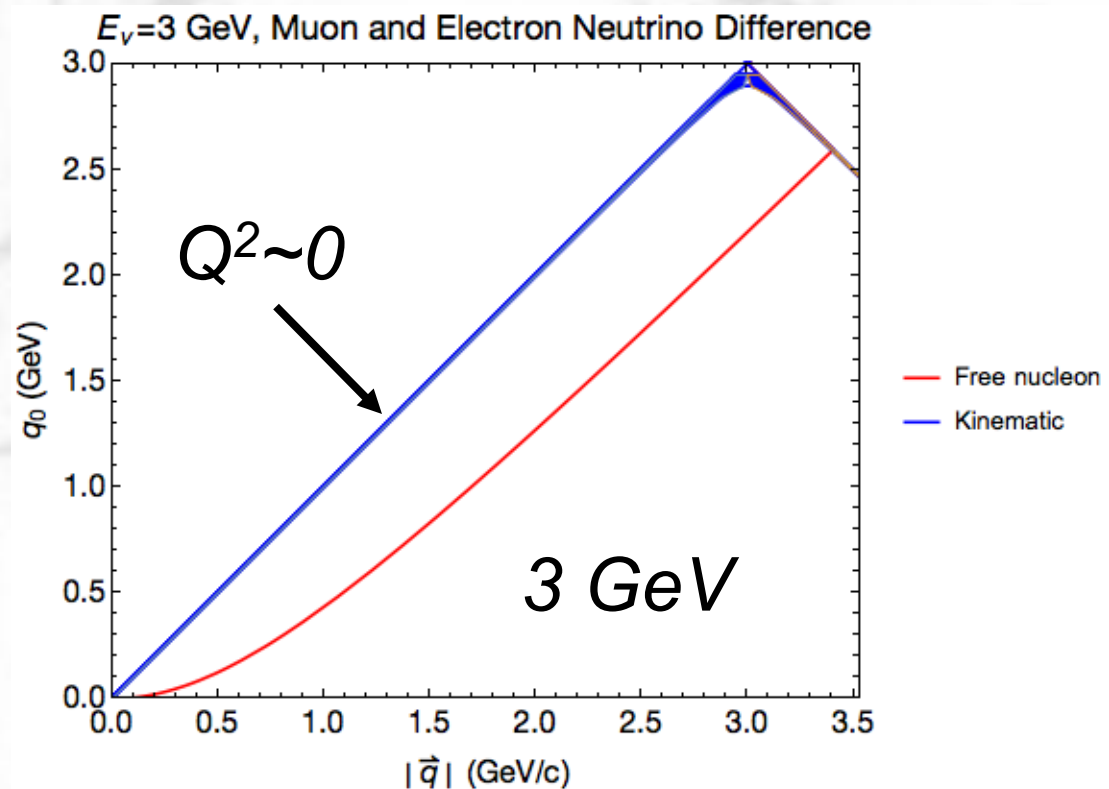
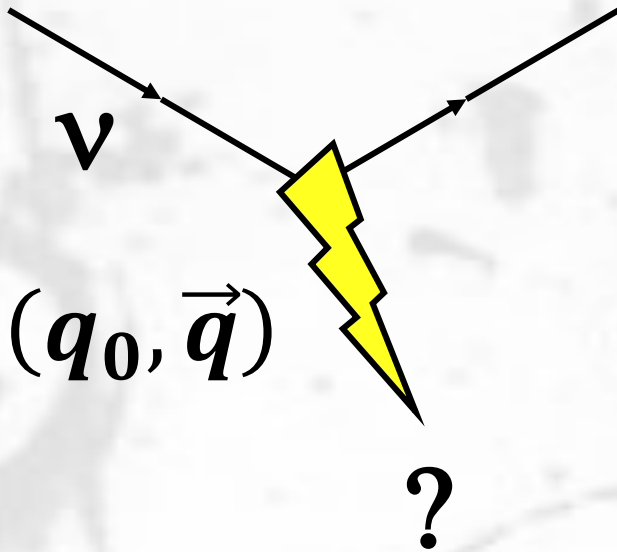


- Must model missing phase space

# Flavor Matters (cont'd)

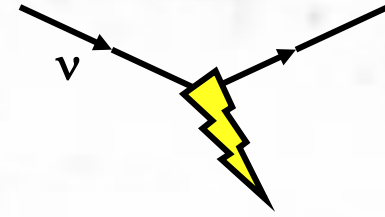


- In CC scattering, mass of lepton affects available energy and momentum transfer

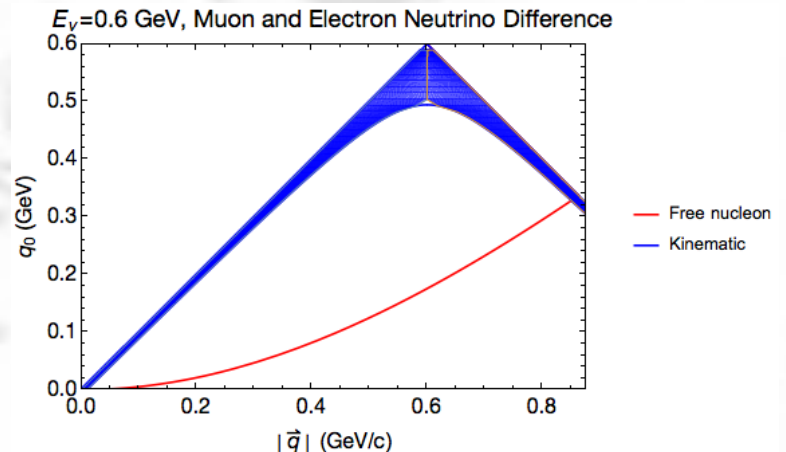
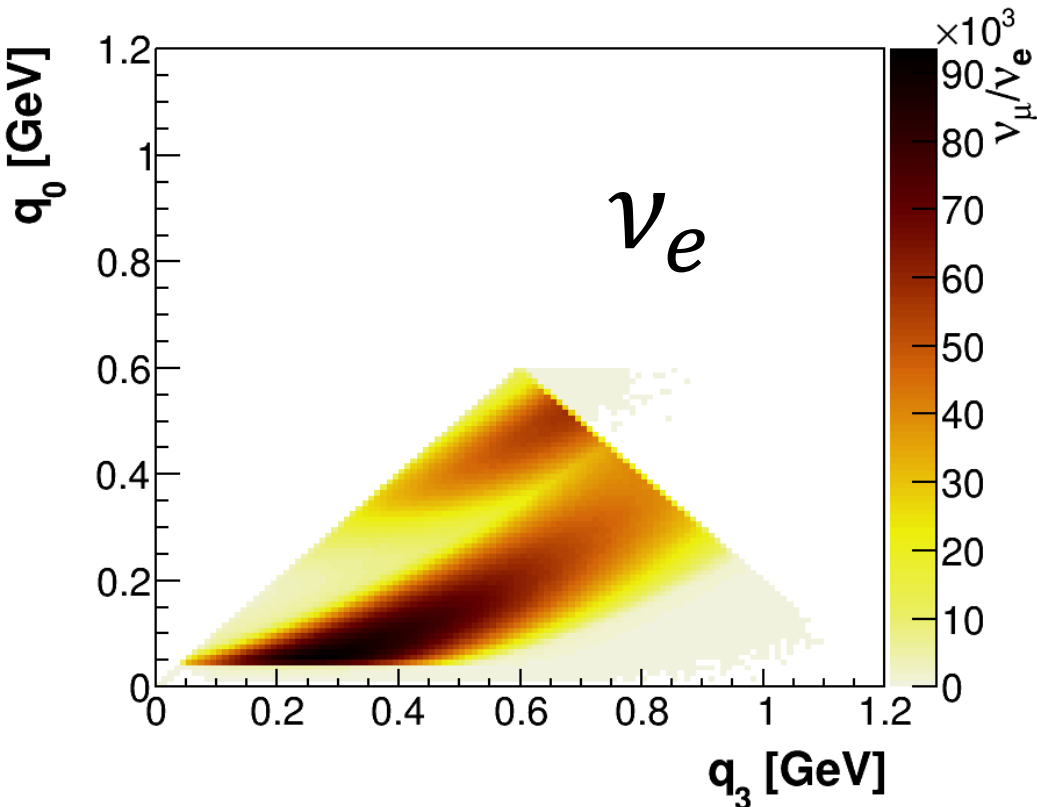


- Must model missing phase space

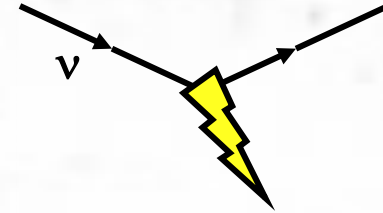
# What does *GENIE* say?



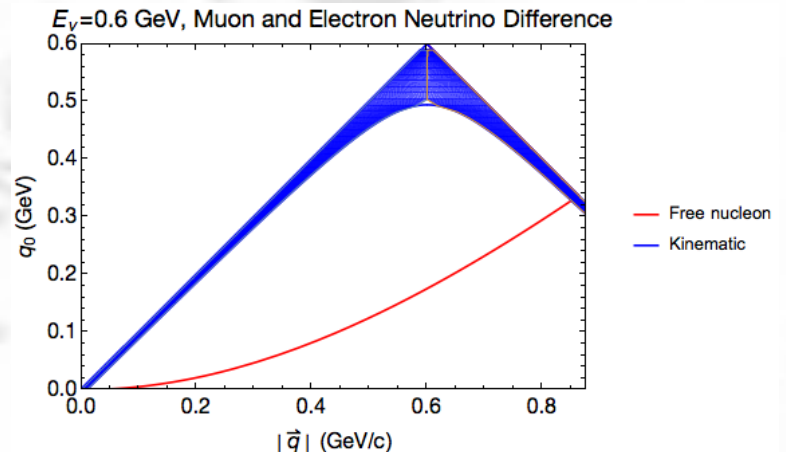
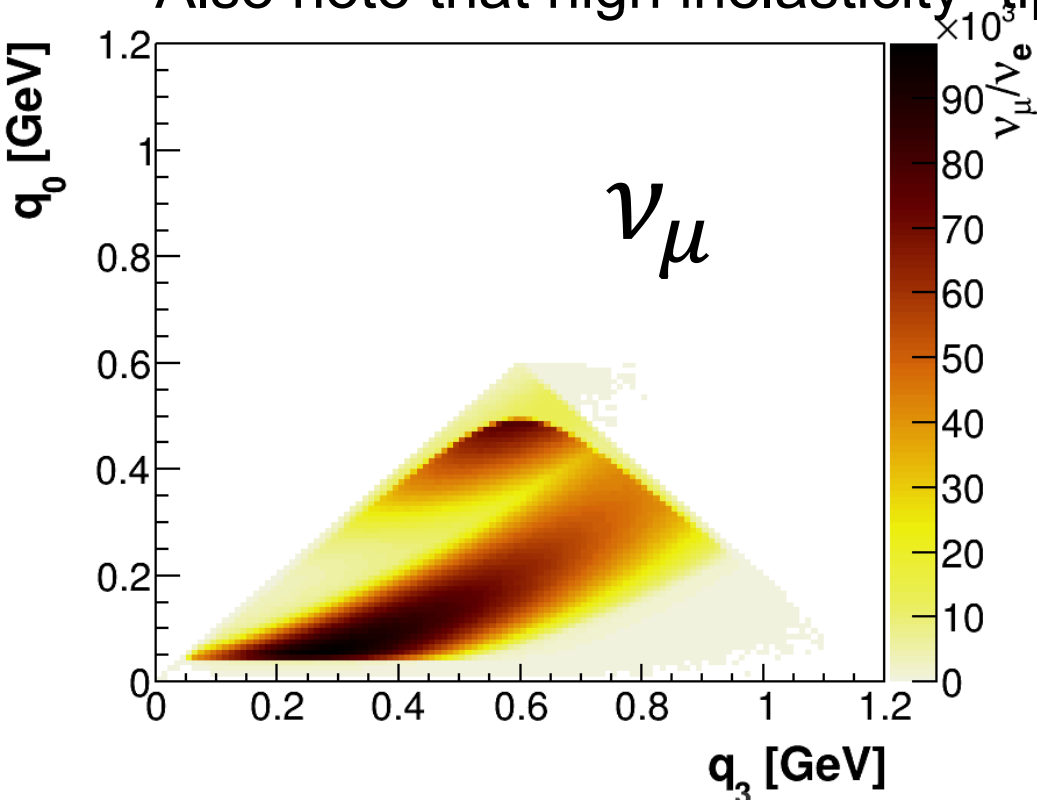
- Nuclear effects smear out the “free nucleon” line, as expected.
- Can see the kinematic boundary. Uh... mostly... ??



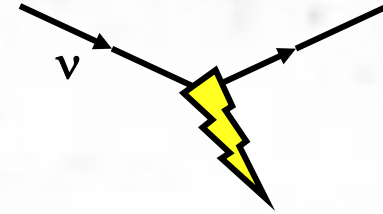
# What does *GENIE* say?



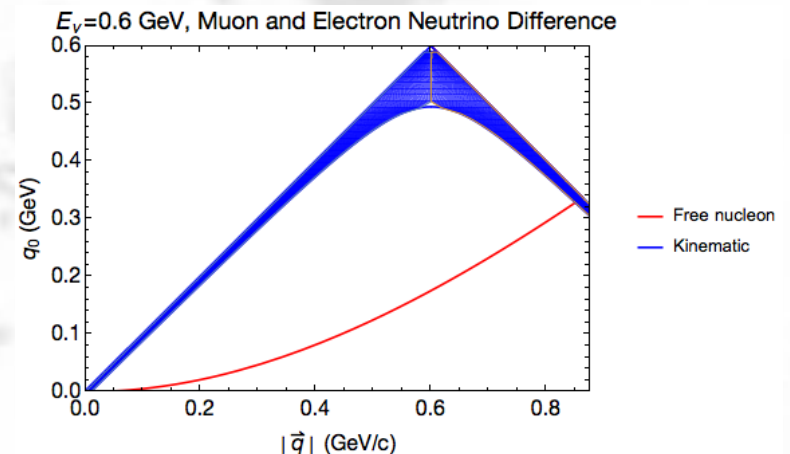
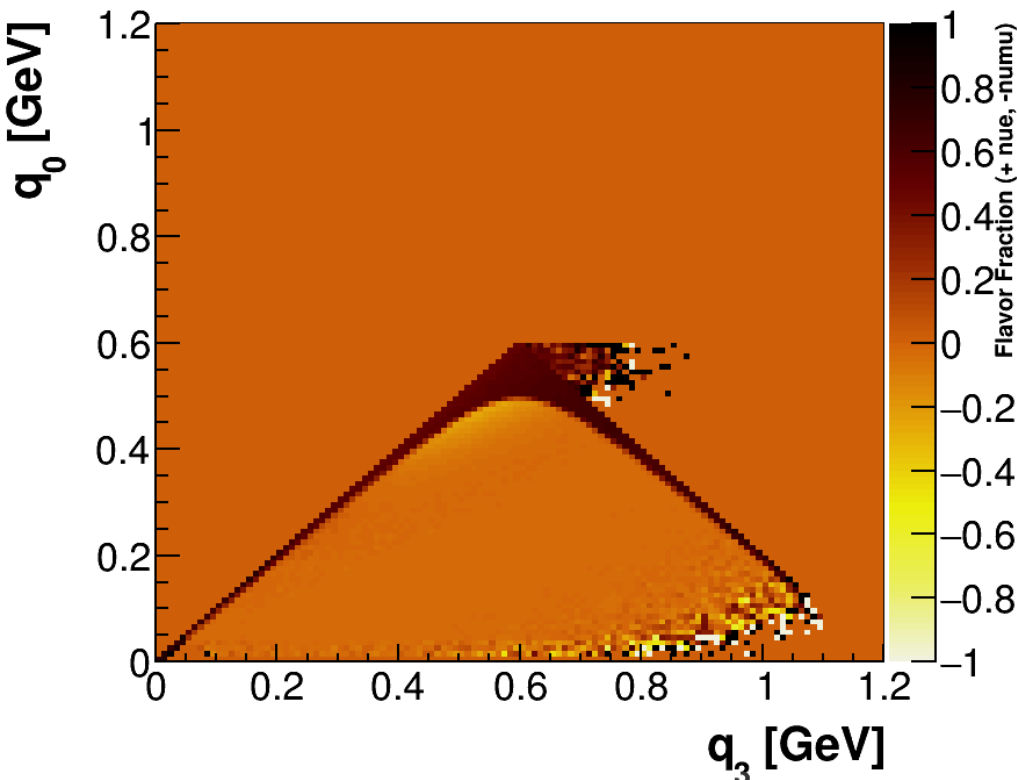
- The flavor dependence for the leading parts of the cross-section is clear.
- But not for all parts of the cross-section interestingly...
- Also note that high inelasticity “tip” increases for muons!



# What does *GENIE* say?

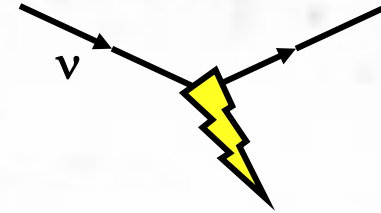


- Flavor difference shows the expected features
  - Interpret lower right as stochastic variation of cross-section tail
  - Low  $Q^2$  high  $q_0$  enhancements for muons is interesting





# Mass Terms in Lepton Current



- The lepton current itself also has lepton mass terms in it...

$$\frac{d^2 \sigma^{\nu, \bar{\nu}}}{d|q^2|d\nu} = \frac{G^2}{32 \pi M E^2} \bar{\Sigma} \Sigma m_{\mu\nu} \bar{\Sigma} \Sigma W^{\mu\nu}$$

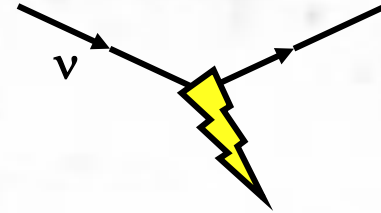
*Ch. Llewellyn-Smith, Phys Rept. 3C, 261–379 (1972).*

$$= \frac{G^2}{8 M^2 \pi E^2} \left[ 2(m^2 - q^2) W_1^{\nu, \bar{\nu}} + (4EE' + q^2 - m^2) W_2^{\nu, \bar{\nu}} - \frac{m^2(q^2 - m^2)}{M^2} W_4^{\nu, \bar{\nu}} - \frac{2Em^2}{M^2} W_5^{\nu, \bar{\nu}} \pm \frac{W_3^{\nu, \bar{\nu}}}{M^2} (E(q^2 + m^2) + E'(q^2 - m^2)) \right]$$

... so this will similarly result in a difference

- Often difficult to probe these directly
  - Most straightforward would be comparison of polarized and unpolarized scattering or  $\nu_\mu, \nu_e$  cross-sections. Oops.

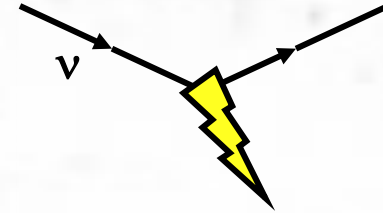




# ***Form Factors and Charged Current Elastic Scattering on Nucleons***

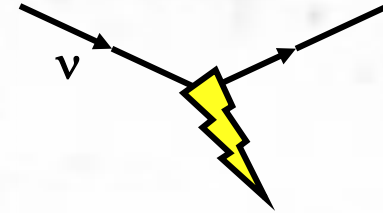
*M. Day and KSM,  
Phys.Rev. D86 (2012) 053003*

# CC Elastic Scattering



- Straightforward to write framework for quasi-elastic scattering on nucleon *Ch. Llewellyn-Smith, Phys Rept. 3C, 261–379 (1972).*
- Uncertainties in form factors of nucleon lead to uncertainties in the differences of muon and electron neutrino reaction rates.
- Six allowed form factors of the nucleon that enter:
  - Two “ordinary” vector and one axial form factor
    - Vector form factors measured in electron scattering. Axial form factor from pion leptonproduction, neutrino CCQE on  $D_2$ .
  - One pseudoscalar form factor
    - Predicted by PCAC and Goldberger-Treiman to be small.
  - One vector and one axial “second class” current
    - Usually assumed zero because they violate charge symmetry (not a perfect symmetry, e.g.,  $m_n \neq m_p$ ) in nucleon system.

# CC Elastic Scattering (cont'd)



- Sqeamish?

Then avert your gaze...

$$\frac{d\sigma}{dQ^2}(\nu n \rightarrow l^- p) = \left[ A(Q^2) \mp B(Q^2) \frac{s-u}{M^2} + C(Q^2) \frac{(s-u)^2}{M^4} \right] \times \frac{M^2 G_F^2 \cos^2 \theta_c}{8\pi E_\nu^2}$$

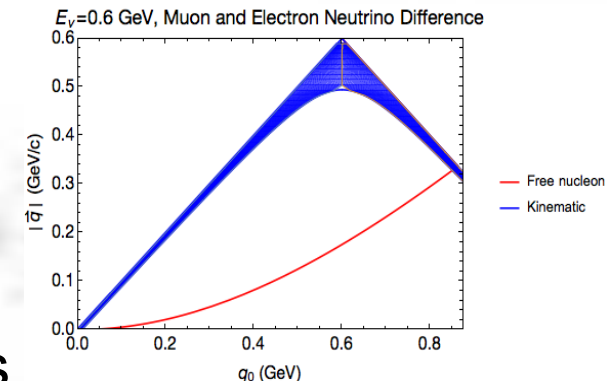
*Ch. Llewellyn-Smith, Phys Rept. 3C, 261-379 (1972).*

$$A(Q^2) = \frac{m^2 + Q^2}{4M^2} \left[ \left(4 + \frac{Q^2}{M^2}\right) |F_A|^2 - \left(4 - \frac{Q^2}{M^2}\right) |F_V^1|^2 + \frac{Q^2}{M^2} \xi |F_V^2|^2 \left(1 - \frac{Q^2}{4M^2}\right) + \frac{4Q^2 \text{Re} F_V^{1*} \xi F_V^2}{M^2} - \frac{Q^2}{M^2} \left(4 + \frac{Q^2}{M^2}\right) |F_A^3|^2 - \frac{m^2}{M^2} \left( |F_V^1 + \xi F_V^2|^2 + |F_A + 2F_P|^2 - \left(4 + \frac{Q^2}{M^2}\right) (|F_V^3|^2 + |F_P|^2) \right) \right],$$

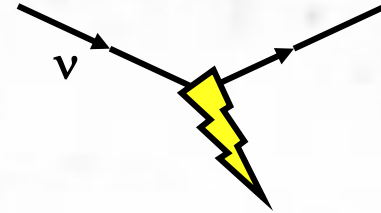
$$B(Q^2) = \frac{Q^2}{M^2} \text{Re} F_A^* (F_V^1 + \xi F_V^2) - \frac{m^2}{M^2} \text{Re} \left[ \left( F_V^1 - \frac{Q^2}{4M^2} \xi F_V^2 \right)^* F_V^3 - \left( F_A - \frac{Q^2 F_P}{2M^2} \right)^* F_A^3 \right] \text{ and}$$

$$C(Q^2) = \frac{1}{4} \left( |F_A|^2 + |F_V^1|^2 + \frac{Q^2}{M^2} \left| \frac{\xi F_V^2}{2} \right|^2 + \frac{Q^2}{M^2} |F_A^3|^2 \right).$$

- Phase space
- Two **terms**, including those with  $F_P$ , and  $F_V^3$ , enter with a factor of  $m^2/M^2$ . These are relevant for muon neutrinos at low energies but not for electron neutrinos.

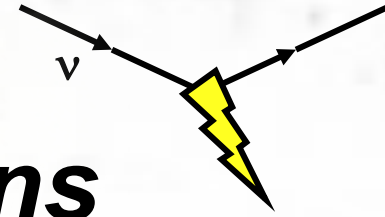


# ***Know Nothing Approach***

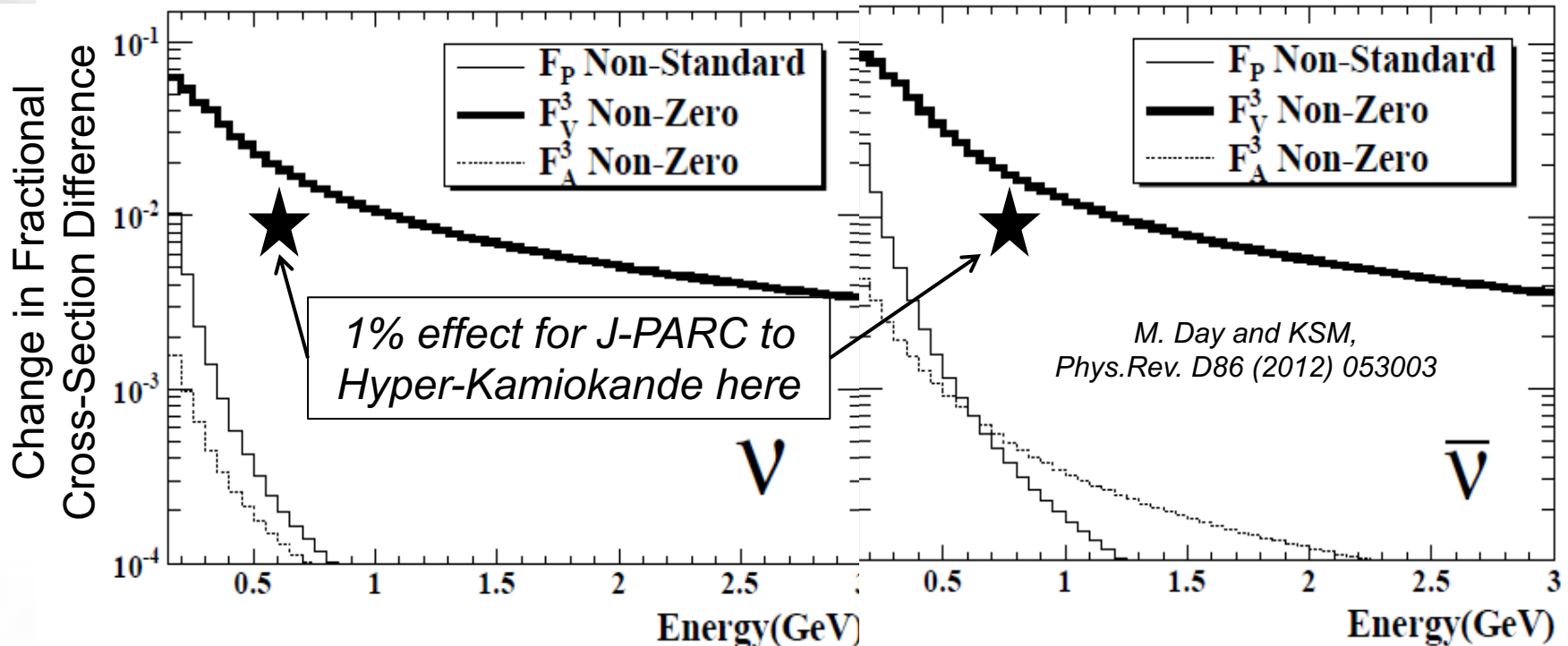


- Look at how large the possible effects of non-standard or unconstrained form factors could be, independent of theoretical prejudice.
  - Constraints on second class currents primarily from beta decay and muon capture on nuclei.
  - Pseudoscalar form factors and axial form factor measured in pion electroproduction.
  - Vector form factors from electron-nucleon elastic scattering.

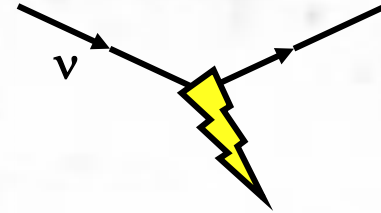
# Results for Neutrino Cross-Section Differences on Nucleons



- We concluded most form factor uncertainties are small
- Possible effect from  $F_V^3$  of few % at J-PARC to T2K/HK
  - Neutrino and anti-neutrino effects are opposite in sign for second class currents, so could fake a CP asymmetry.



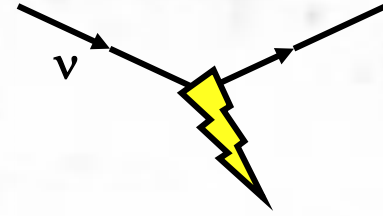
# ***How to reduce second class current uncertainty?***



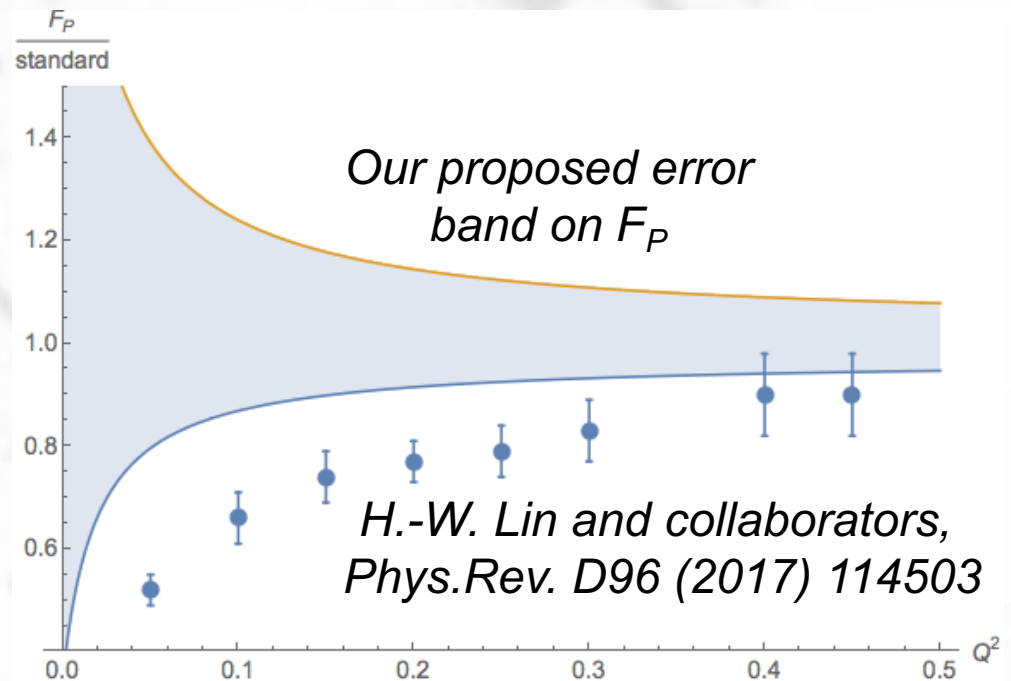
- High statistics neutrino and anti-neutrino muon neutrino CCQE on nuclei has potential to constrain second-class currents
  - Effect is distinctive in  $Q^2$  and energy.
  - Only seen in muon neutrinos.
  - MINERvA, T2K, NOvA should have useful data.
  - But nuclear uncertainties complicate this ☹️
- Could study muon and electron neutrinos together and compare cross sections.
- Can it be shown not to be large on the lattice?  
Nucleon level probably. Nuclei?



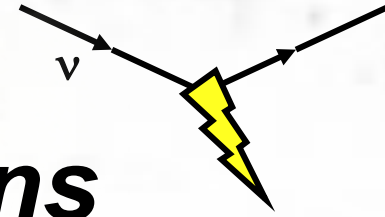
# ***This week's update: Revisiting $F_P$***



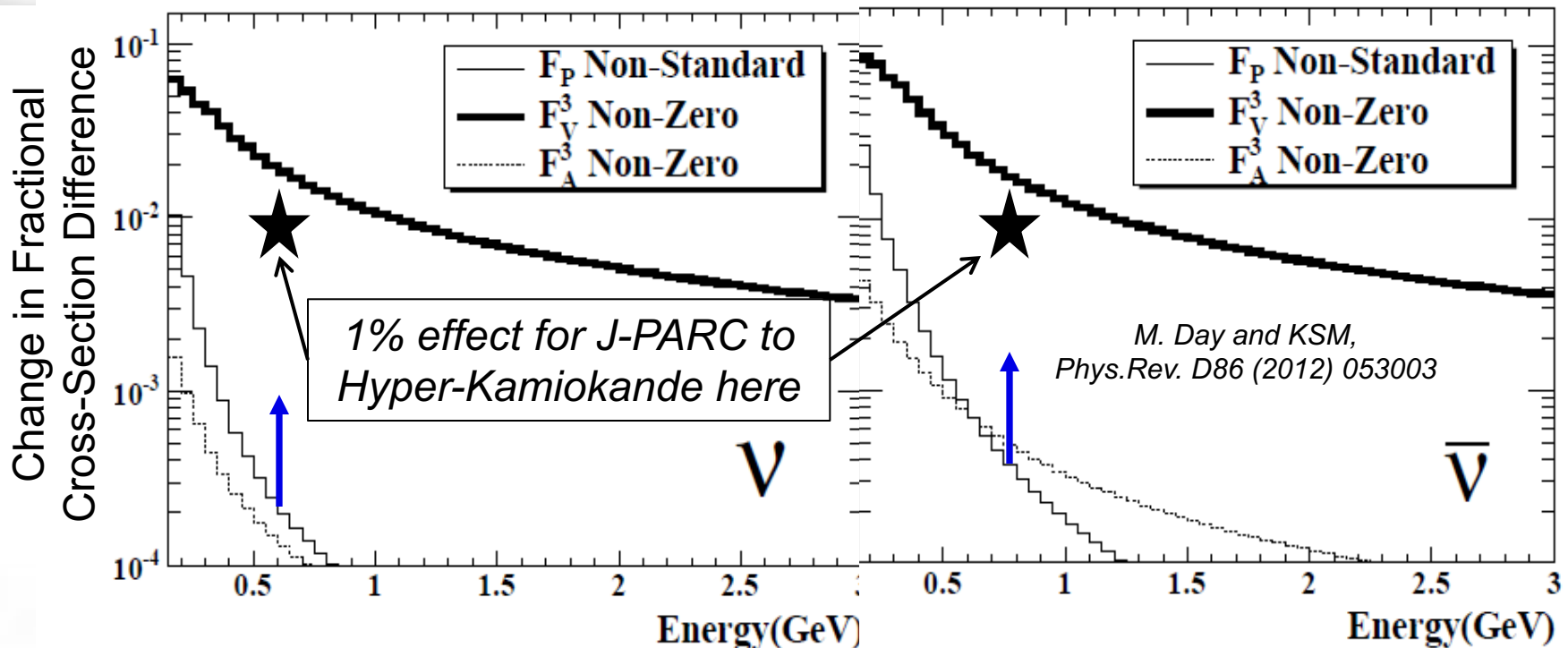
- I learned at this meeting that there were recent, reliable lattice calculations of  $F_P$ !
- It turns out that these don't agree with our conclusions from the 2012 analysis.



# Revisiting Neutrino Cross-Section Differences on Nucleons

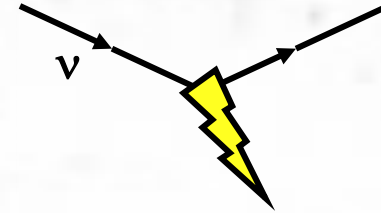


- Lattice result suggests that the  $F_P$  effect could be larger by a factor of "several".
- Still probably not a leading concern.



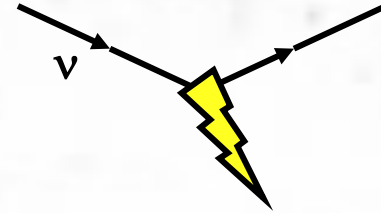


# ***Speaking of working harder...***



- I went through one example of nucleon level uncertainties for charged current elastic process.
- To briefly summarize, the physics in generators should (mostly) leave small uncertainties for cross section differences.
  - With work to be done to experimentally or theoretically constrain second class currents.
- But there are other processes to consider with different form factor uncertainties
  - Someone should analyze these!  
Especially for NOvA, DUNE...
- And then there is the effect of the nucleus...

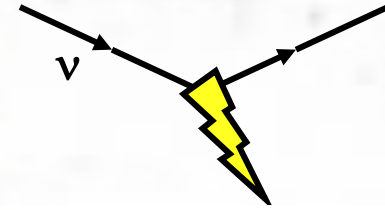
Some work on this already!  
Paschos, E.A. et al.  
arXiv:1209.4219;  
Rafi Alam, M. et al. *Phys.Rev.*  
*D88 (2013) 077301*



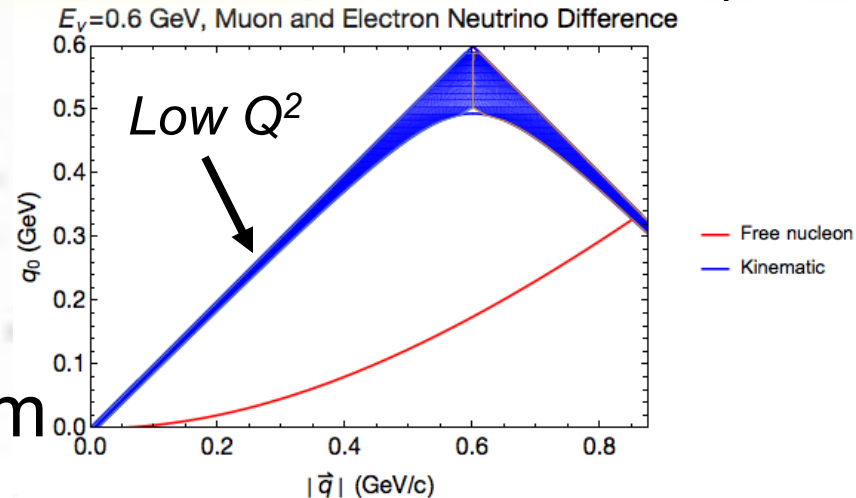
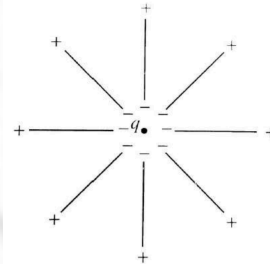
# ***Nuclear Effects and Neutrino Flavor Differences***

*Work in progress from DUNE interaction “reweight” group:  
Kendall Mahn, Luke Pickering, Dan Ruterbories, KSM,  
Clarence Wret, Kirk Bays, Dan Cherdack  
Michigan State, Rochester, CalTech, Houston*

# What will change in the nucleus?



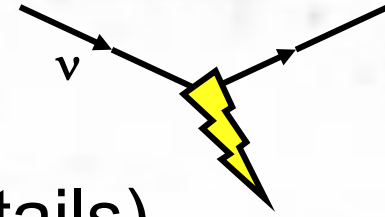
- Nuclear response changes the scattering in missing phase space
- E.g., nuclear screening from  $W^+$  by nuclear polarization (RPA)



- EM analogy in atoms
- Kinematic boundaries will shift due to nuclear effects (binding, Fermi motion)
  - To get this right, must treat these effects carefully
  - Probably insufficiently careful in generators today.

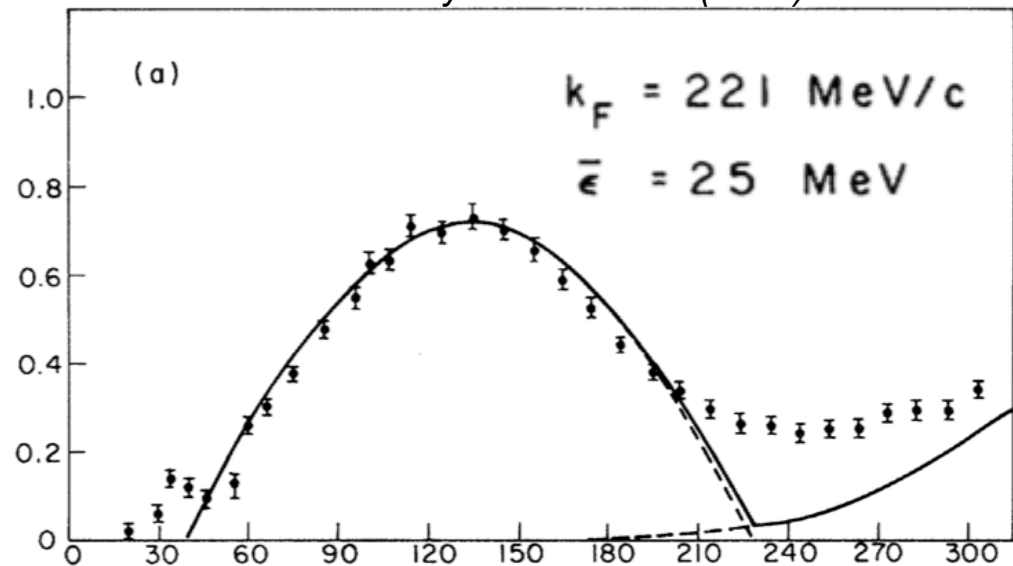
*G. D. Megias, J. E. Amaro, M. B. Barbaro, J. A. Caballero T. W. Donnelly, I. Ruiz Simo, Phys.Rev. D94 (2016) no.9, 093004*  
*M. Ericson, M.V. Garzelli, C. Giunti, M. Martini, Phys.Rev. D93 (2016) no.7, 073008*

# Binding and Fermi Motion

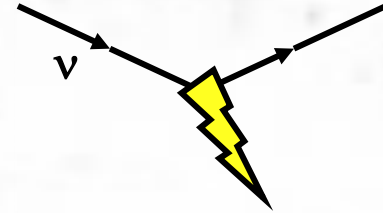


- There are uncertainties in Fermi motion (tails) and removal energy (see e.g., A. Bodek arXiv:1801.079)
- These effects shift population near flavor dependent kinematic boundaries.
- An overly short summary of uncertainties for neutrinos:
  - We use electron data to infer binding and Fermi Motion.
  - It pays to be careful.
  - Otherwise, might as well use R. Perry *et al* data

*E.J. Moniz, I. Sick, R.R. Whitney, J.R. Ficenec, Robert D. Kephart, W.P. Trower.*  
*Phys.Rev.Lett. 26 (1971) 445*

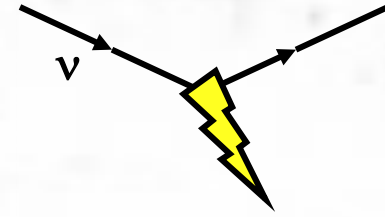


# ***A Brief Summary of arXiv:1801.079***

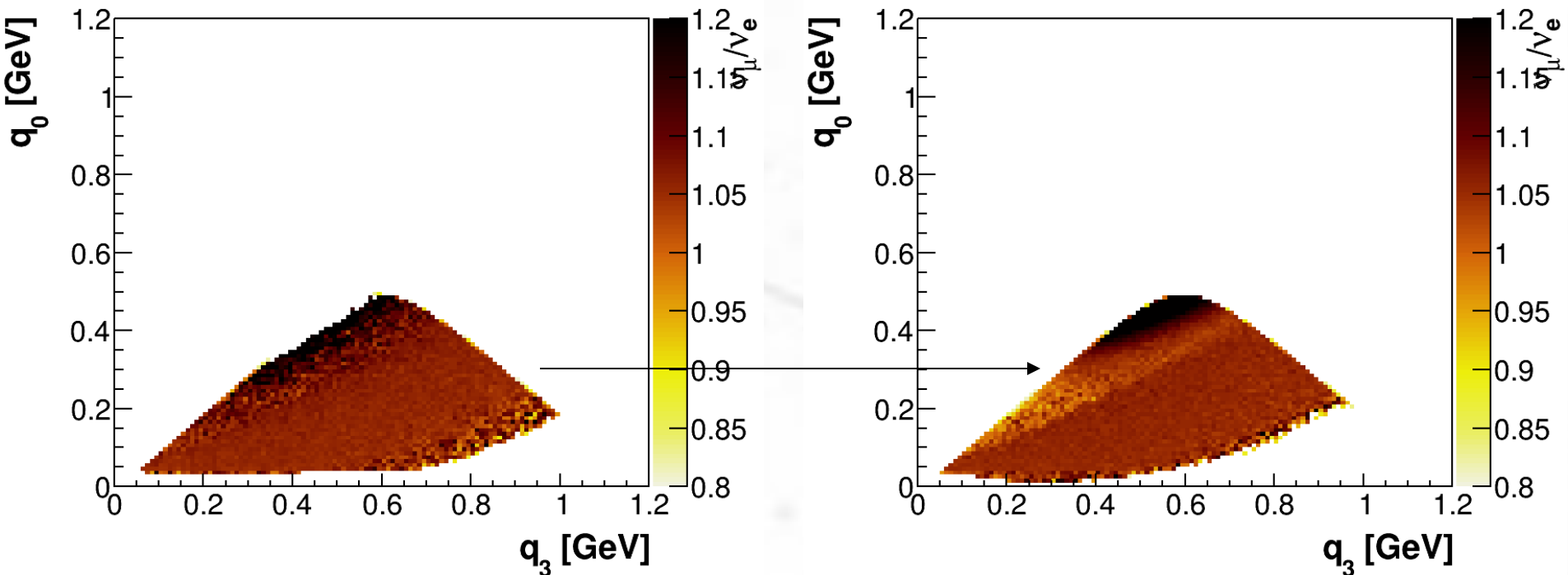


- The original analysis and many subsequent analyses of the Moniz data need corrections
  - Coulomb effects & Relativistic kinematics (averaged)
- If analyzed carefully, find consistency between shell model, (e,e'p) data, and Moniz data. Where all datasets exist, consistent w/in a few MeV
- Implementation in generators have to be careful of double counting effects like final state mass
  - GENIE has an  $\mathcal{O}(10)$  MeV mistake due to a double counting of nuclear masses.
- Matters for  $\nu_\mu/\nu_e$ . “This is a talk about  $\nu_\mu/\nu_e$ .” – Arlo Guthrie

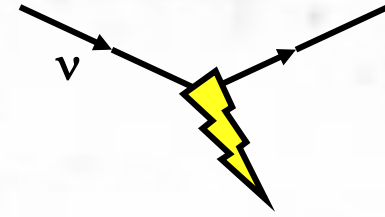
# High Fermi momentum tail (Bodek-Ritchie model in GENIE)



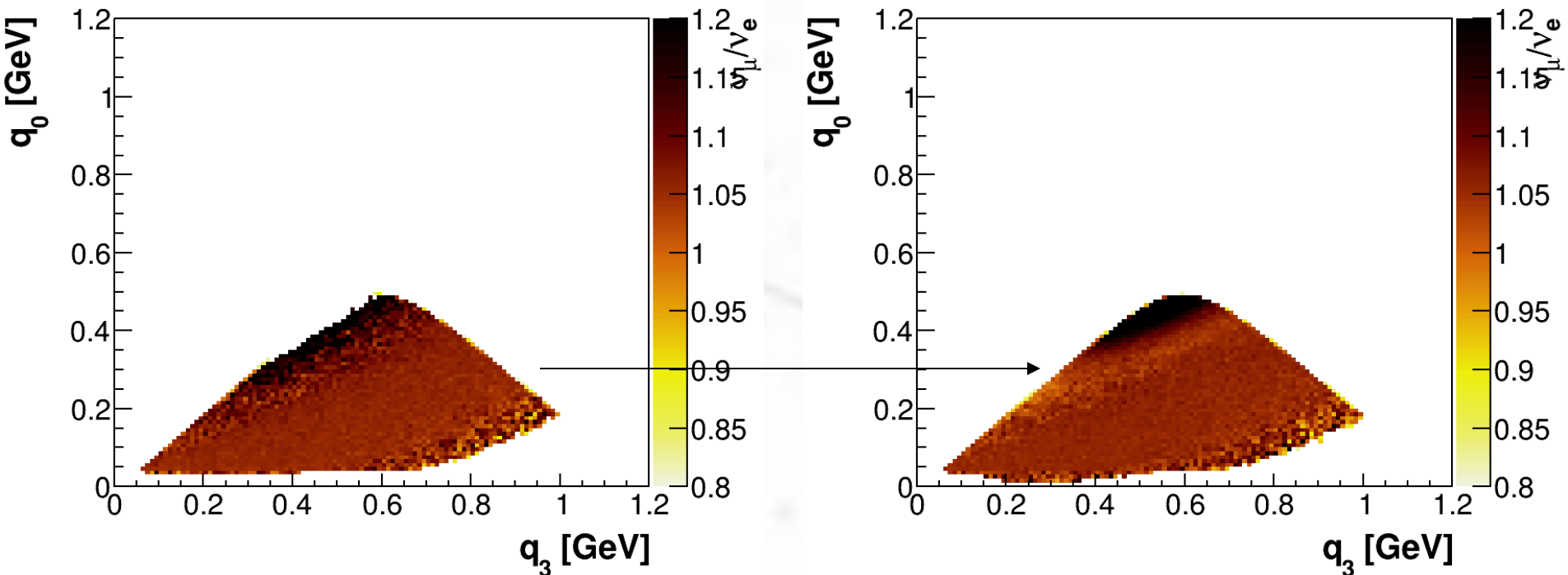
- The effect of a (maybe unrealistic) truncation in the Bodek-Ritchie tail on  $\nu_{\mu}/\nu_e$ .



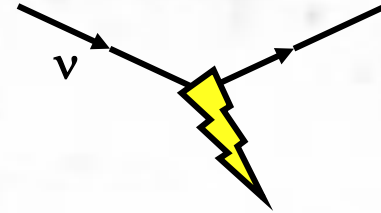
# Order $\sim 10$ MeV shift in removal energy



- The effect of a (maybe unrealistic) shift in removal energy on  $\nu_{\mu}/\nu_e$ .



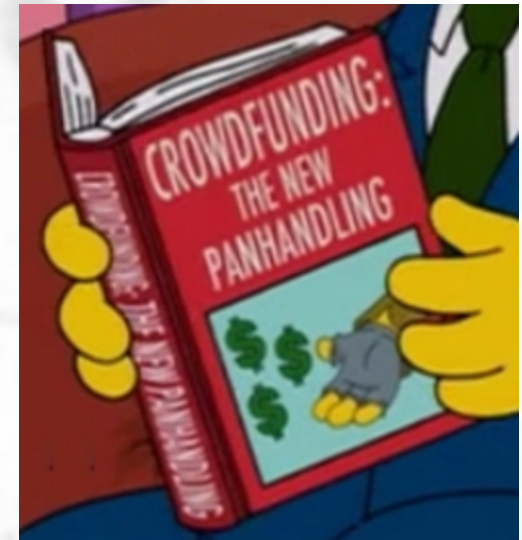




# ***Radiative Corrections in Neutrino Scattering***

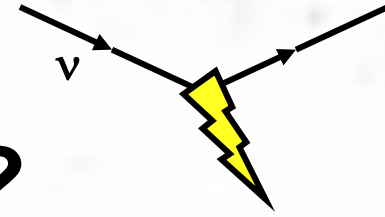
*A story in which we find we have the tools...  
but not the willpower (yet!) to get results.  
Encourage your theory friends to help us!*

*Informed by work by R. Hill, KSM,  
manuscript still in preparation*

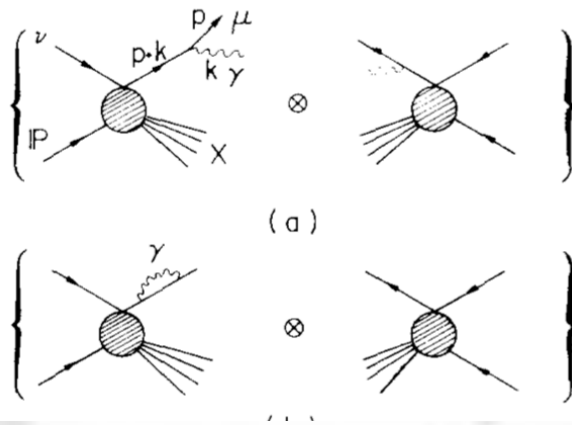




# Why might radiative corrections depend on flavor?



- Consider the leading logs from the lepton leg in neutrino charged current scattering



deRújula, Petronzio, Savoy-Navarro,  
Nucl. Phys. B154 394 (1979)

$$\frac{d\sigma_{\text{obs}}}{dE_{\mu} d\Omega} = \frac{d\sigma_{\text{B}}}{dE_{\mu} d\Omega} + \frac{\alpha}{2\pi} \ln \frac{4E_{\mu}^{*2}}{\mu^2} \int_0^1 dz \frac{1+z^2}{1-z}$$

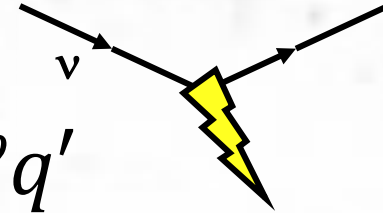
$$\times \left\{ \frac{1}{z} \frac{d\sigma_{\text{B}}}{dE_{\mu} d\Omega} \Big|_{\vec{E}_{\mu} = E_{\mu}/z} \theta(z - z_{\min}) - \frac{d\sigma_{\text{B}}}{dE_{\mu} d\Omega} \right\} + O\left(\frac{\alpha}{2\pi}\right),$$

- Lepton mass in a large log
- So the effects of radiative corrections will certainly depend on neutrino flavor

$$4E_{\mu}^{*2} = 2m_{\text{p}}E_{\nu}(1 - y + xy) = [Q^2 + 2m_{\text{p}}E_{\mu}]^2 / 2m_{\text{p}}E_{\nu},$$

$$z_{\min} = \frac{E_{\mu}}{E_{\nu}} \left( 1 + \frac{E_{\nu}}{m_{\text{p}}} (1 - \cos \theta_{\text{lab}}) \right),$$

# What has been done?



- Deep Inelastic Scattering,  $\nu q \rightarrow \nu/\ell q'$

- Outdated calculations using quark mass regularization
- Modern calculations in unrealistic observables
- Modern calculations in realistic observables!

*D. Yu. Bardin, V.A. Dokuchaeva  
Sov.J.Nucl.Phys. 39 (1984) 563,  
Yad.Fiz. 39 (1984) 888-894*

*K.P.O. Diener, S. Dittmaier, W. Hollik,  
Phys.Rev. D69 (2004) 073005  
A.B. Arbuzov, D.Yu. Bardin, L.V.  
Kalinovskaya, JHEP 0506 (2005) 078*

*K.-P.O. Diener, S. Dittmaier, W. Hollik,  
Phys.Rev. D72 (2005) 093002*

- Neutrino-Electron Elastic Scattering

- Modern calculations for observables for both accelerator and solar energies.

*S. Sarantakos, A. Sirlin, W.J. Marciano,  
Nucl.Phys. B217 (1983) 84-116*

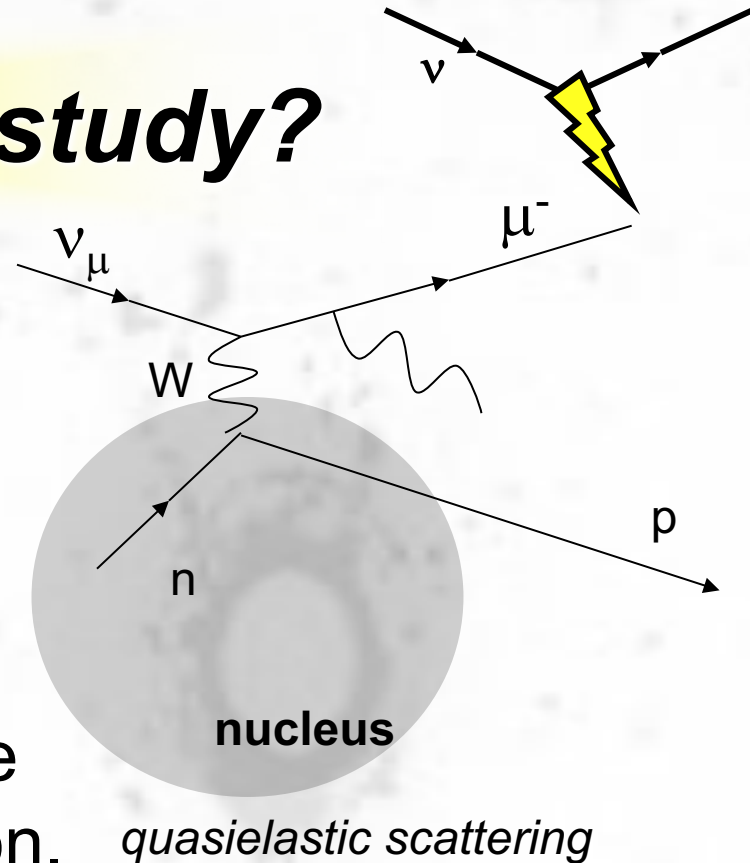
*John N. Bahcall, Marc  
Kamionkowski, Alberto Sirlin,  
Phys.Rev. D51 (1995) 6146-6158*

*M. Passera, Phys.Rev. D64 (2001) 113002*

- So tools exist for the calculations

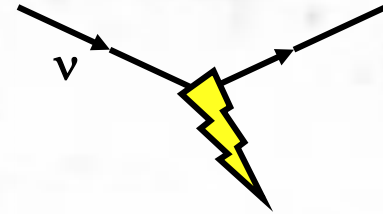
# What do we need to study?

- Inclusive scattering rate?
  - KNL theorem suggests lepton mass effects from lepton leg should be small
  - Box diagrams? T2K guesses effect might be  $\sim 2\%$ , but there is no guidance from calculation.

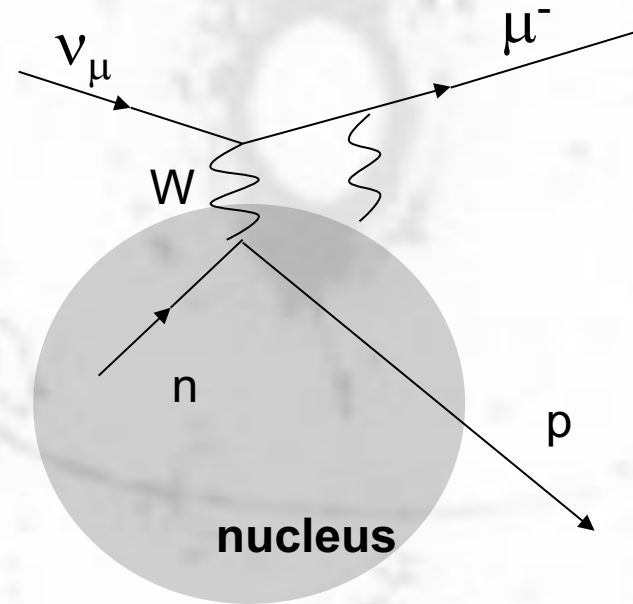


- Radiation of real photons
  - As in the diagram above, effect will be different for muon and electron neutrinos
  - Comments follow about relevance of this process.

# Does **Lepton Mass** affect an **“Inclusive”** Cross Section?



- By inclusive cross-sections, I mean the exclusive low energy processes that dominate at T2K, NOvA, low part of DUNE energies
- E.g., quasielastic scattering
- I recognize the horrors implicit in the “Feynman diagram” on the right
  - And pion production is only worse to contemplate.
- But if this is difference at the few % level in  $\nu_\mu$  and  $\nu_e$  scattering, it really matters!



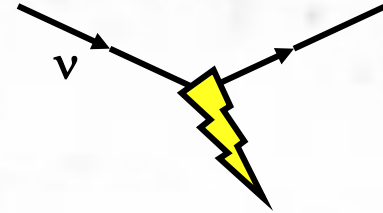
# Real Photons & Reconstruction



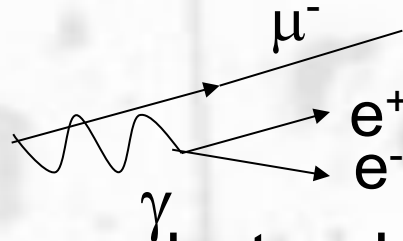
- Consider two cases
  - Collinear(ish) radiation with lepton
  - Other
- If radiation is collinear, what does it do?
  - It can disrupt lepton energy reconstruction
  - Different for electrons (adds to electron energy) and muons (reconstruction by range vs total ionization)
  - Most frighteningly, it can make muons look like they are electrons (electromagnetic shower of photon)
    - Remember that muons are common and electrons are rare in these experiments!



# Muon+photon fakes Electron?

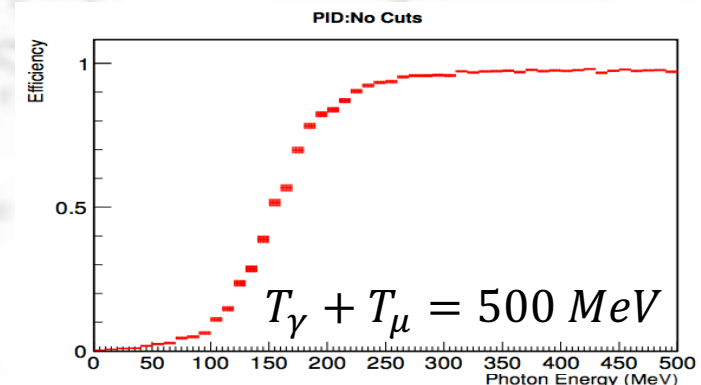
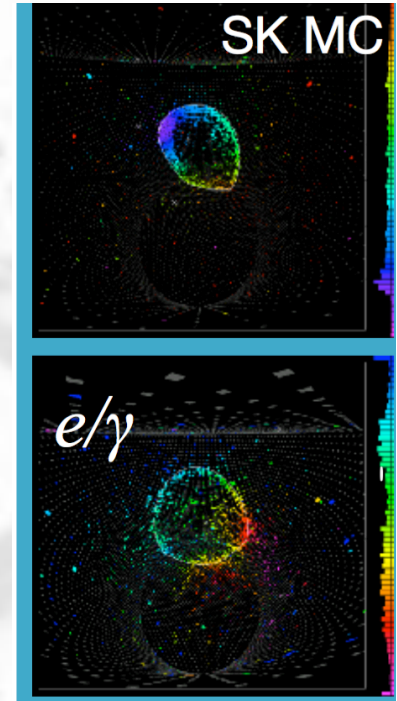


- Increased fuzziness of electron ring at bottom compared to muon at top

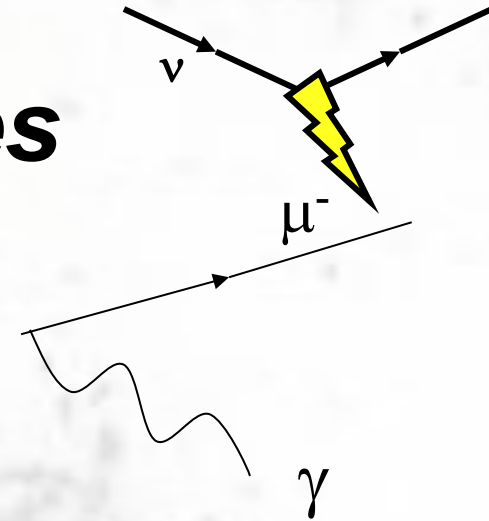


- If the collinear photon has a significant fraction of the muon energy, it will appear as an electron

- Roughly requires photon energy to be 40% of muon energy for a significant probability in Super-K
- Rare, very bad, event



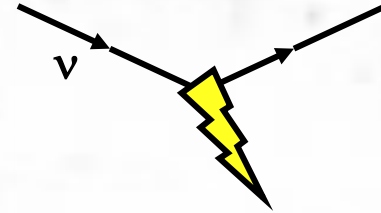
# More Reconstruction Woes



- Consider two cases
  - Collinear(ish) radiation with lepton
  - Other
- If radiation is not collinear, what does it do?
  - If detector is just summing final state energy (DUNE, NOvA) it probably of little consequence
  - But at T2K and Hyper-K, it is very bad
    - Reconstruction will often infer the presence of a  $\pi^0 \rightarrow \gamma\gamma$  with a missed photon, and it will remove the event from the quasielastic (oscillation) sample
- Oh and we can't measure this.  $\pi^0 \rightarrow \gamma\gamma$  bkgnd.

# Radiative Corrections

## Summary

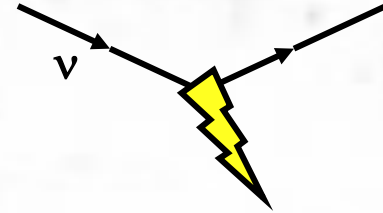


- Virtual corrections to the total cross-sections are a real concern. Not known. Guess is “small”
- We also need calculations of differential cross-sections for reactions with photons
  - In particular, total energy in energetic photons that are collinear, and energy and angles of energetic non-collinear photons

- Energetic?  
Collinear?  
Depends  
on detector

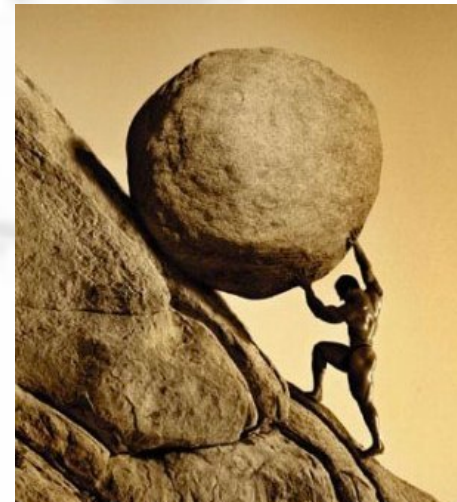
	Scintillator (NOvA)	Water (T2K, HK)	LArgon (DUNE)
Collinear with lepton?	<7°	<12°	<15°
Photon energy threshold (MeV)	30 (100 for PID)	25	10 (100+ for PID)





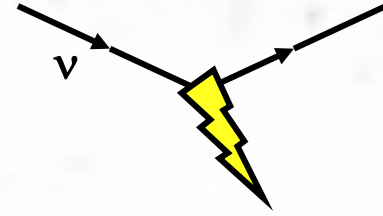
# ***Measurements of Electron Neutrino Interactions***

*Sisyphus never had it so hard...*





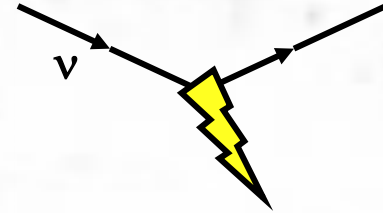
# Another tough way to make a living...



- Accelerator experiments can try to measure  $\nu_e$  scattering directly

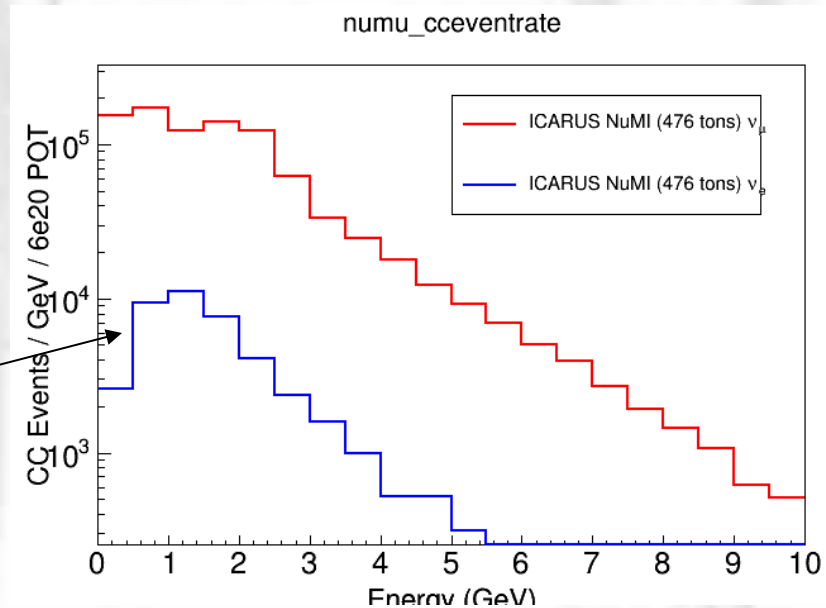
- But large backgrounds from the factor of  $\sim 100$  more  $\nu_\mu$  in the beam, and different electron reconstruction systematics than  $\mu$
- All the modern experiments are trying this.
- NOvA and ICARUS have combinations of statistics, detector, that *may* be most favorable.

# NOvA and ICARUS

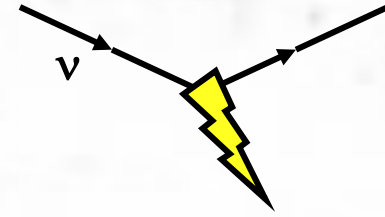


- NOvA is a huge detector with a lot of statistics.
- ICARUS sits far off axis for NuMI beam, so 1% electron neutrinos becomes ~10% for ~1 GeV.
  - Many effects should be biggest at low energies.

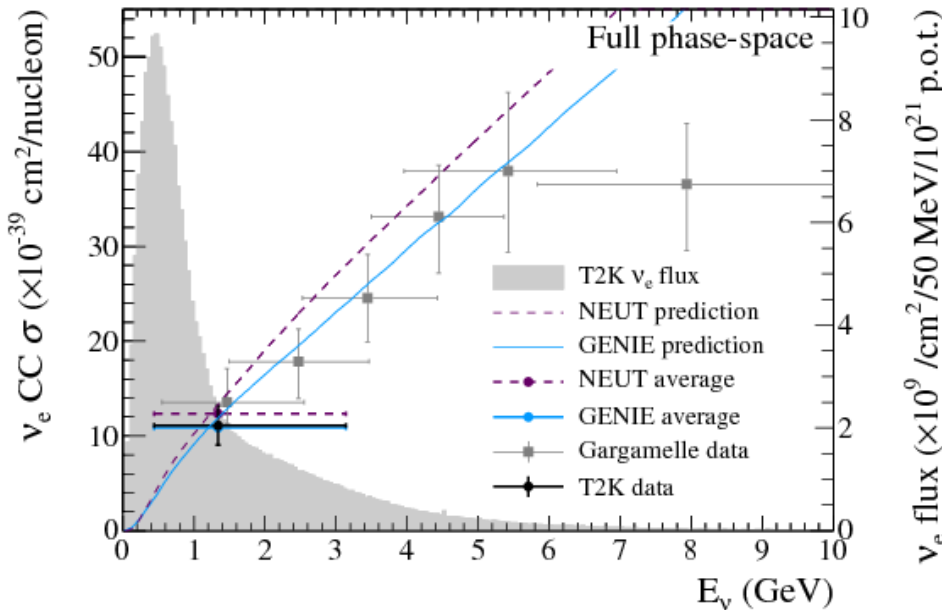
*Few 10000s of events at ~1 GeV*



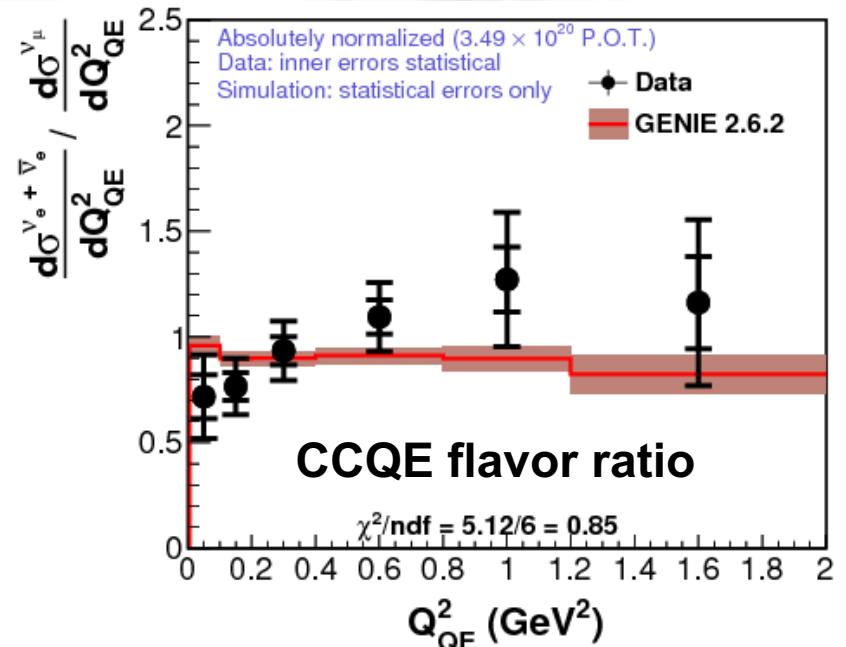
# Recent Results



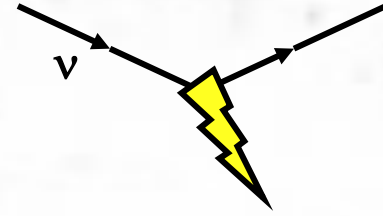
- Published measurements of inclusive  $\nu_e$  scattering (T2K) and  $\nu_e$  CCQE (MINERvA)
  - Data is not sensitive to smaller than  $\sim 20\%$  differences



T2K Collaboration, *Phys.Rev.Lett.* 113 (2014) 241803  
and *Phys.Rev. D*91 (2015) 112010

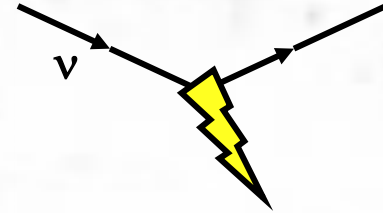


MINERvA Collaboration (Wolcott, J. et al.)  
*Phys.Rev.Lett.* 116 (2016), 081802



# ***Summary and Conclusions***

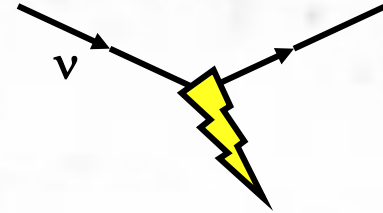
# Summary and Conclusions



- Today, differences between  $\nu_\mu$  and  $\nu_e$  are either controlled, or small enough that we are “safe”
- This happy accident of imprecise data will not persist forever. We hope not, anyway!
- Need work (now!) on inelastic processes, nuclear models and radiative corrections



# Summary and Conclusions



- Today, differences between  $\nu_\mu$  and  $\nu_e$  are either controlled, or small enough that we are “safe”
- This happy accident of imprecise data will not persist forever. We hope not, anyway!
- Need work (now!) on inelastic processes, nuclear models and radiative corrections
- *And maybe we'll just get lucky?*

**“I’m a great believer in luck, and I find the harder I work the more I have of it.”**

**- THOMAS JEFFERSON**

