

Proton polarisability contribution to the Lamb shift in muonic hydrogen

Mike Birse

University of Manchester

Work done in collaboration with Judith McGovern

Eur. Phys. J. A **48** (2012) 120

arXiv:1708.09341

- Two-photon contribution to the Lamb shift
- Low-energy theorems for doubly-virtual Compton scattering
- Calculation of subtraction term in Chiral EFT

Proton polarisability contribution to the Lamb shift in muonic hydrogen

Mike Birse

University of Manchester

Work done in collaboration with Judith McGovern

Eur. Phys. J. A **48** (2012) 120

arXiv:1708.09341

- Two-photon contribution to the Lamb shift
- Low-energy theorems for doubly-virtual Compton scattering
- Calculation of subtraction term in Chiral EFT

Proton polarisability contribution to the Lamb shift in muonic hydrogen

Mike Birse

University of Manchester

Work done in collaboration with Judith McGovern

Eur. Phys. J. A **48** (2012) 120

arXiv:1708.09341

- Two-photon contribution to the Lamb shift
- Low-energy theorems for doubly-virtual Compton scattering
- Calculation of subtraction term in Chiral EFT

Proton polarisability contribution to the Lamb shift in muonic hydrogen

Mike Birse

University of Manchester

Work done in collaboration with Judith McGovern

Eur. Phys. J. A **48** (2012) 120

arXiv:1708.09341

- Two-photon contribution to the Lamb shift
- Low-energy theorems for doubly-virtual Compton scattering
- Calculation of subtraction term in Chiral EFT

The proton radius puzzle 1

Lamb shift in muonic hydrogen: $\Delta E_L = E(2p_{\frac{1}{2}}) - E(2s_{\frac{1}{2}}) \simeq +0.2 \text{ eV}$

Much larger than in electronic hydrogen, dominated by vacuum polarisation and much more sensitive to proton structure, in particular, its **charge radius**

$$\Delta E_L^{\text{th}} = 206.0668(25) - 5.2275(10) \langle r_E^2 \rangle \text{ meV}$$

Results of many years of effort by Borie, Pachucki, Indelicato, Jentschura and others; collated in Antognini et al, *Ann. Phys.* **331** (2013) 127

The proton radius puzzle 1

Lamb shift in muonic hydrogen: $\Delta E_L = E(2p_{\frac{1}{2}}) - E(2s_{\frac{1}{2}}) \simeq +0.2 \text{ eV}$

Much larger than in electronic hydrogen, dominated by vacuum polarisation and much more sensitive to proton structure, in particular, its **charge radius**

$$\Delta E_L^{\text{th}} = 206.0668(25) - 5.2275(10) \langle r_E^2 \rangle \text{ meV}$$

Results of many years of effort by Borie, Pachucki, Indelicato, Jentschura and others; collated in Antognini et al, *Ann. Phys.* **331** (2013) 127

Includes contribution from two-photon exchange

$$\Delta E^{2\gamma} = 33.2 \pm 2.0 \mu\text{eV}$$

Sensitive to polarisabilities of proton by virtual photons

Focus of this talk

The proton radius puzzle 2

CREMA experiment at PSI: $2p_{\frac{3}{2}} \rightarrow 2s_{\frac{1}{2}}$ transitions to both hyperfine $2s$ states

Pohl et al, Nature **466** (2010) 213; Antognini et al, Science **339** (2013) 417

Eliminate hyperfine splitting to get

$$\Delta E_L^{\text{expt}} = 202.3706(23) \text{ meV}$$

The proton radius puzzle 2

CREMA experiment at PSI: $2p_{\frac{3}{2}} \rightarrow 2s_{\frac{1}{2}}$ transitions to both hyperfine $2s$ states

Pohl et al, Nature **466** (2010) 213; Antognini et al, Science **339** (2013) 417

Eliminate hyperfine splitting to get

$$\Delta E_L^{\text{expt}} = 202.3706(23) \text{ meV}$$

CODATA 2014 value for charge radius, $r_E = 0.8751(61) \text{ fm}$ (electronic H),
gives

$$\Delta E_L^{\text{th}} = 202.064(56) \text{ meV}$$

Discrepancy: **0.307(56) meV** ($> 5\sigma!$)

New value for charge radius from muonic H:

$$r_E = 0.84087 \pm 0.00026(\text{exp}) \pm 0.00029(\text{th}) \text{ fm}$$

The proton radius puzzle 3

Solutions:

(a) unexpected new physics?

Hard to find ones that are not excluded by other constraints

eg Carlson and Freid, Phys. Rev. D 92 (2015) 095024; Liu, Cloët and Miller, arXiv:1805.01028

(b) problem with electronic Hydrogen measurements?

Maybe: eH 2S–4P $\rightarrow r_E = 0.8335(95)$ fm

Beyer et al, Science 358 (2017) 79

Or maybe not: 1S–3S $\rightarrow r_E = 0.877(13)$ fm

Fleurbay et al, Phys Rev Lett 120 (2018) 183001

If so, value of the Rydberg constant will have to change by $> 5\sigma$ (11th digit)

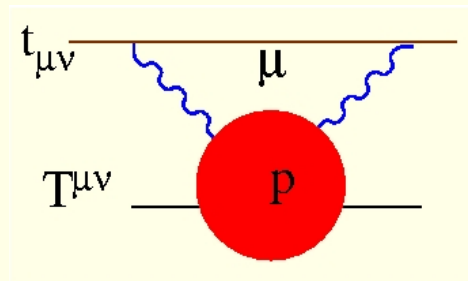
Two-photon exchange 1

In 2010: $\Delta E^{2\gamma} \sim 0.03$ meV was least-well determined contribution to ΔE_L^{th}

But it would need to be 10 times larger to explain the discrepancy

And it still contributes largest single uncertainty

→ important to determine $\Delta E^{2\gamma}$ and its uncertainty as well as possible



Integral over $T^{\mu\nu}(\nu, q^2)$ – doubly-virtual Compton amplitude for proton

Spin-averaged, forward scattering → two independent tensor structures

Common choice:

$$T^{\mu\nu} = \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) T_1(\nu, Q^2) + \frac{1}{M^2} \left(p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left(p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) T_2(\nu, Q^2)$$

multiplied by scalar functions of $\nu = p \cdot q / M$ and $Q^2 = -q^2$

Two-photon exchange 2

Amplitude contains elastic (Born) and inelastic pieces

$$T^{\mu\nu} = T_B^{\mu\nu} + \bar{T}^{\mu\nu}$$

Elastic: photons couple independently to proton (no excitation)

- need to remove terms already accounted for in Lamb shift (iterated Coulomb, leading dependence on $\langle r_E^2 \rangle$)
→ leaves “third Zemach moment” with relativistic corrections

Inelastic: proton excited → polarisation effects

Two-photon exchange 2

Amplitude contains elastic (Born) and inelastic pieces

$$T^{\mu\nu} = T_B^{\mu\nu} + \bar{T}^{\mu\nu}$$

Elastic: photons couple independently to proton (no excitation)

- need to remove terms already accounted for in Lamb shift (iterated Coulomb, leading dependence on $\langle r_E^2 \rangle$)

→ leaves “third Zemach moment” with relativistic corrections

Inelastic: proton excited → polarisation effects

Elastic amplitude from Dirac nucleon with Dirac and Pauli form factors

K. Pachucki, Phys. Rev. A **60** (1999) 3593

$$\Gamma^\mu = F_D(q^2)\gamma^\mu + iF_P(q^2)\frac{\sigma^{\mu\nu}q^\nu}{2M}$$

(“Sticking in form factors”: Hill and Paz, Phys. Rev. D **95** (2017) 094017)

Doubly-virtual Compton scattering

Gives elastic amplitude

$$T_1^B(\mathbf{v}, Q^2) = \frac{e^2}{M} \left[\frac{Q^4 \left(F_D(Q^2) + F_P(Q^2) \right)^2}{Q^4 - 4M^2\mathbf{v}^2} - F_D(Q^2)^2 \right]$$

$$T_2^B(\mathbf{v}, Q^2) = \frac{4e^2MQ^2}{Q^4 - 4M^2\mathbf{v}^2} \left[F_D(Q^2)^2 + \frac{Q^2}{4M^2} F_P(Q^2)^2 \right]$$

On-shell intermediate nucleon states \rightarrow poles at $\mathbf{v} = \pm Q^2/2M$

- residues given unambiguously by elastic form factors

Doubly-virtual Compton scattering

Gives elastic amplitude

$$\begin{aligned}
 T_1^B(\mathbf{v}, Q^2) &= \frac{e^2}{M} \left[\frac{Q^4 \left(F_D(Q^2) + F_P(Q^2) \right)^2}{Q^4 - 4M^2\mathbf{v}^2} - F_D(Q^2)^2 \right] \\
 T_2^B(\mathbf{v}, Q^2) &= \frac{4e^2 M Q^2}{Q^4 - 4M^2\mathbf{v}^2} \left[F_D(Q^2)^2 + \frac{Q^2}{4M^2} F_P(Q^2)^2 \right]
 \end{aligned}$$

On-shell intermediate nucleon states \rightarrow poles at $\mathbf{v} = \pm Q^2/2M$

- residues given unambiguously by elastic form factors

Final term in T_1 : no pole corresponding to on-shell intermediate nucleon

But this depends on choice of tensor basis (energy-dependent tensors)

cf Walker-Loud et al, Phys Rev Lett **108** (2012) 232301; Gasser et al, Eur Phys J C 75 (2015) 375

Also parts of this term are required by low-energy theorems

Thomson limit at $O(1)$, Dirac radius at $O(q^2)$

\rightarrow choose to keep it as part of Born amplitude

Low-energy theorems

V^2 CS not directly measurable, but constrained by LETs

Expand in tensor basis without kinematic singularities ($1/q^2$)

Tarrach, Nuov Cim **28A** (1975) 409

→ two independent tensors of order q^2 : correspond to polarisabilities $\alpha + \beta$ and β
from real Compton scattering

$$\bar{T}_1(\omega, Q^2) = 4\pi Q^2 \beta + 4\pi \omega^2 (\alpha + \beta) + O(q^4)$$

$$\bar{T}_2(\omega, Q^2) = 4\pi Q^2 (\alpha + \beta) + O(q^4)$$

Low-energy theorems

V^2 CS not directly measurable, but constrained by LETs

Expand in tensor basis without kinematic singularities ($1/q^2$)

Tarrach, Nuov Cim **28A** (1975) 409

→ two independent tensors of order q^2 : correspond to polarisabilities $\alpha + \beta$ and β from real Compton scattering

$$\begin{aligned}\bar{T}_1(\omega, Q^2) &= 4\pi Q^2\beta + 4\pi\omega^2(\alpha + \beta) + O(q^4) \\ \bar{T}_2(\omega, Q^2) &= 4\pi Q^2(\alpha + \beta) + O(q^4)\end{aligned}$$

Nonpole term in Born amplitude T_1^B contains piece $\propto Q^2$, fixed by LET:

$$F_D(Q^2)^2 = 1 - \left[\frac{1}{3} \langle r_E^2 \rangle - \frac{\kappa}{2M^2} \right] Q^2 + O(Q^4)$$

Moving this to inelastic amplitude would modify LET for \bar{T}_1

(if β defined in usual way from real Compton scattering)

All these LETs automatically built into EFTs at 4th order (NRQED, HBChPT)

eg Hill and Paz, Phys Rev Lett **107** (2011) 160402

Dispersion relations

Information on forward V^2 CS away from $q = 0$ from structure functions $F_{1,2}(\nu, Q^2)$ via dispersion relations

$$\bar{T}_2(\nu, Q^2) = - \int_{\nu_{th}^2}^{\infty} d\nu'^2 \frac{F_2(\nu', Q^2)}{\nu'^2 - \nu^2}$$

– integral converges since $F_2 \sim 1/\nu$ at high energies

Dispersion relations

Information on forward V^2 CS away from $q = 0$ from structure functions $F_{1,2}(\nu, Q^2)$ via dispersion relations

$$\bar{T}_2(\nu, Q^2) = - \int_{\nu_{th}^2}^{\infty} d\nu'^2 \frac{F_2(\nu', Q^2)}{\nu'^2 - \nu^2}$$

– integral converges since $F_2 \sim 1/\nu$ at high energies

But $F_1 \sim \nu$ so need to use subtracted dispersion relation

$$\bar{T}_1(\nu, Q^2) = \bar{T}_1(0, Q^2) - \nu^2 \int_{\nu_{th}^2}^{\infty} \frac{d\nu'^2}{\nu'^2} \frac{F_1(\nu', Q^2)}{\nu'^2 - \nu^2}$$

$F_{1,2}(\nu, Q^2)$ well determined from electroproduction experiments at JLab

Dispersion relations

Information on forward V^2 CS away from $q = 0$ from structure functions $F_{1,2}(\nu, Q^2)$ via dispersion relations

$$\bar{T}_2(\nu, Q^2) = - \int_{\nu_{th}^2}^{\infty} d\nu'^2 \frac{F_2(\nu', Q^2)}{\nu'^2 - \nu^2}$$

– integral converges since $F_2 \sim 1/\nu$ at high energies

But $F_1 \sim \nu$ so need to use subtracted dispersion relation

$$\bar{T}_1(\nu, Q^2) = \bar{T}_1(0, Q^2) - \nu^2 \int_{\nu_{th}^2}^{\infty} \frac{d\nu'^2}{\nu'^2} \frac{F_1(\nu', Q^2)}{\nu'^2 - \nu^2}$$

$F_{1,2}(\nu, Q^2)$ well determined from electroproduction experiments at JLab

Subtraction function $\bar{T}_1(0, Q^2)$ not experimentally accessible

Subtraction term 1

Satisfies LET: $\bar{T}_1(0, Q^2)/Q^2 \rightarrow 4\pi\beta$ as $Q^2 \rightarrow 0$

But Lamb shift requires integral over all Q^2

Define form factor

$$\bar{T}_1(0, Q^2) = 4\pi\beta Q^2 F_\beta(Q^2)$$

Large Q^2 : operator-product expansion gives $Q^2 F_\beta(Q^2) \propto Q^{-2}$

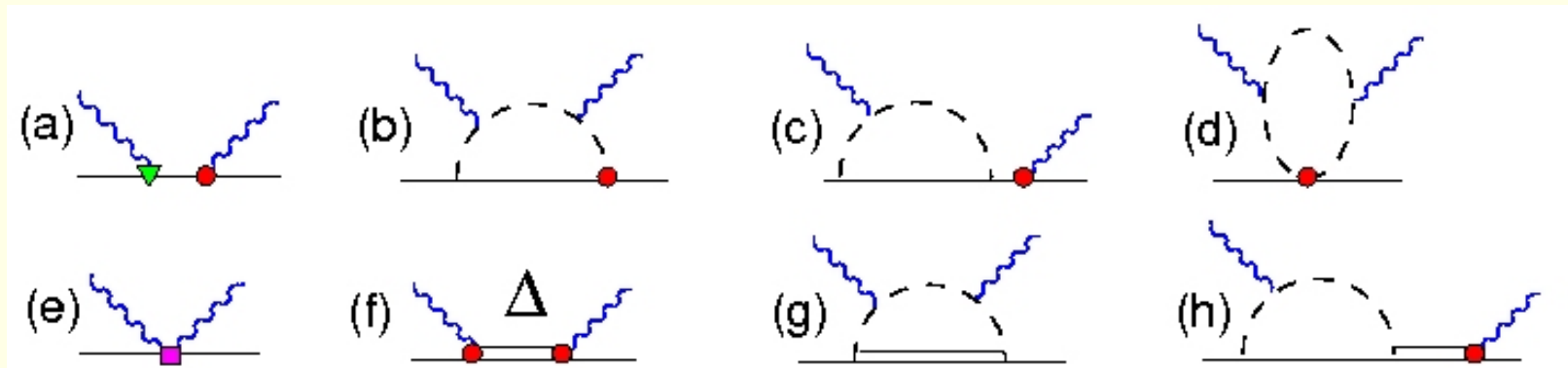
Collins, Nucl Phys B 149 (1979) 90; Hill and Paz, Phys. Rev. D 95 (2017) 094017

Small Q^2 : use chiral effective field theories to calculate $F_\beta(Q^2)$

Subtraction term 2

HBChPT at 4th order, plus leading effect of $\gamma N\Delta$ form factor

- same diagrams as for real Compton scattering [McGovern et al, Eur. Phys. J. A 49 \(2013\) 12](#)



- minor modifications for different kinematics
- leading Δ pole (f) absorbed by LEC in seagull diagram (e)
- $\pi\Delta$ loops like (g) effectively absorbed by LECs in tadpoles like (d)
- leading contribution of $\gamma N\Delta$ form factor given by (h)
- subtract elastic contribution calculated to this order (pole + nonpole)

Subtraction term 3

3rd order EFTs give $F_{\beta}(Q^2)$ that can be integrated to give Lamb shift

But do not reproduce observed β

(and hence have incorrect slope for subtraction term at $Q^2 = 0$)

And single order gives no way to estimate convergence of chiral expansion

Alarcón et al, Eur Phys J C 74 (2014) 2852; Peset and Pineda, Eur Phys J A 51 (2015) 32

4th order EFTs contain LEC needed to reproduce experimental β

(and one to satisfy Dirac radius LET)

Difference between 3rd and 4th orders can be used to estimate errors

But give a form factor $F_{\beta}(Q^2)$ that cannot be integrated for large Q^2

Could be renormalised by μp contact interaction, fit to Lamb shift

Subtraction term 3

3rd order EFTs give $F_{\beta}(Q^2)$ that can be integrated to give Lamb shift

But do not reproduce observed β

(and hence have incorrect slope for subtraction term at $Q^2 = 0$)

And single order gives no way to estimate convergence of chiral expansion

Alarcón et al, Eur Phys J C 74 (2014) 2852; Peset and Pineda, Eur Phys J A 51 (2015) 32

4th order EFTs contain LEC needed to reproduce experimental β

(and one to satisfy Dirac radius LET)

Difference between 3rd and 4th orders can be used to estimate errors

But give a form factor $F_{\beta}(Q^2)$ that cannot be integrated for large Q^2

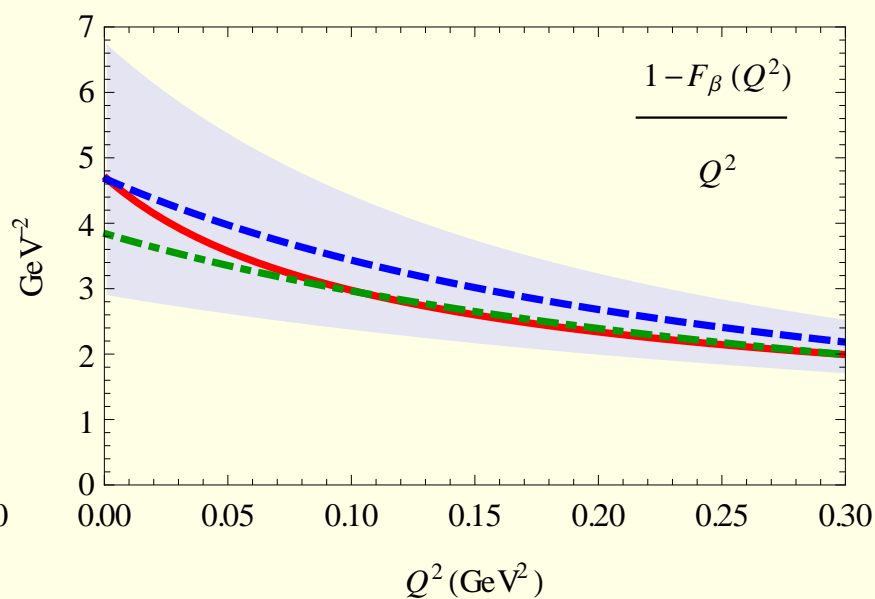
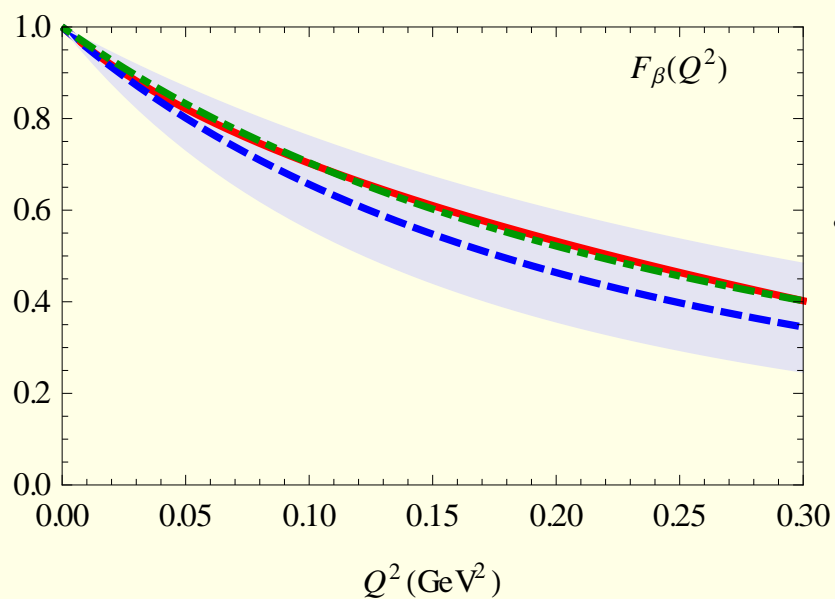
Could be renormalised by μp contact interaction, fit to Lamb shift

Here: estimate of uncertainty from difference between 3rd and 4th orders with allowance for possible slower convergence of Δ contributions

And extrapolate to higher Q^2 by matching EFT onto dipole form of OPE

$$F_{\beta}(Q^2) \sim \frac{1}{(1 + Q^2/2M_{\beta}^2)^2}$$

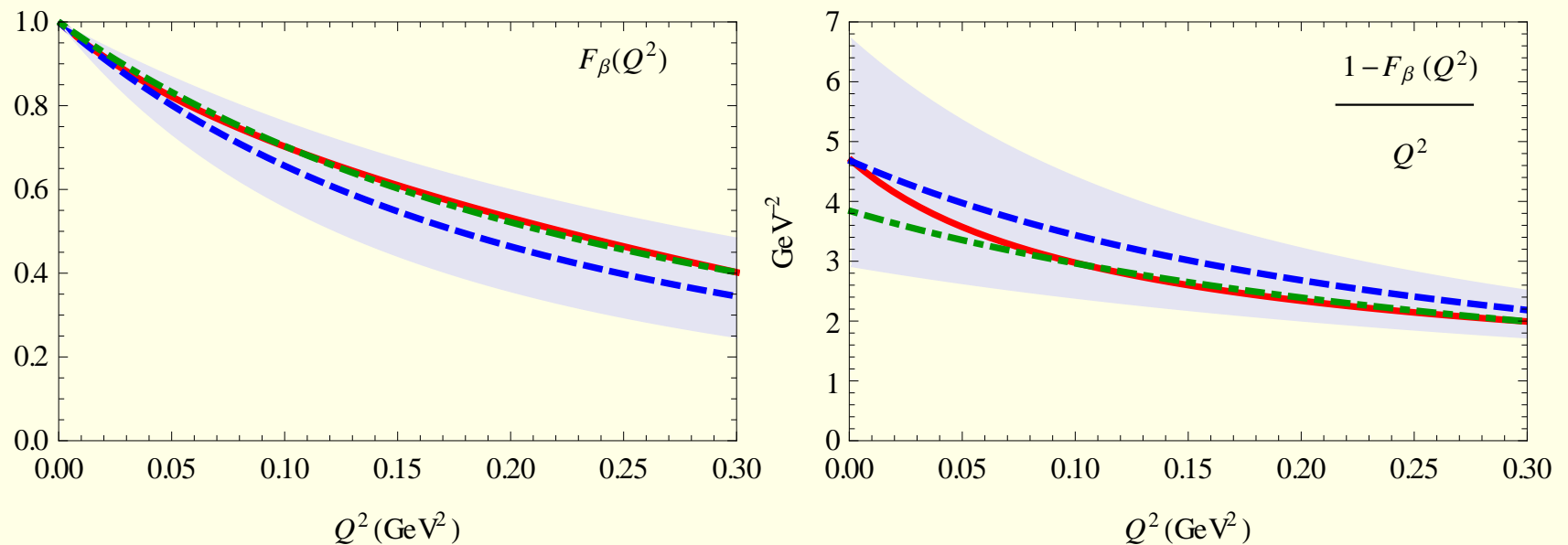
Form factor 1



EFT calculation

Dipole matched at $Q^2 = 0 \rightarrow M_\beta = 462 \text{ MeV}$; at $Q^2 \sim m_\pi^2 \rightarrow M_\beta = 510 \text{ MeV}$

Form factor 1



EFT calculation

Dipole matched at $Q^2 = 0 \rightarrow M_\beta = 462 \text{ MeV}$; at $Q^2 \sim m_\pi^2 \rightarrow M_\beta = 510 \text{ MeV}$

Form-factor mass

$$M_\beta = 485 \pm 100 \pm 40 \pm 25 \text{ MeV}$$

Uncertainties from:

- higher-order effects and uncertainties in input (shaded)
- $\beta = (3.1 \pm 0.5) \times 10^{-4} \text{ fm}^3$ Griesshammer *et al*, Prog Part Nucl Phys **67** (2012) 841
- matching uncertainty

Form factor 2

Extended and corrected OPE calculation gives coefficient of Q^{-2} for large Q^2

Hill and Paz, Phys. Rev. D 95 (2017) 094017

$$\frac{Q^2 T_1(0, Q^2)}{4\pi \alpha_{\text{EM}} M} \sim 0.27 - 0.37$$

Our extrapolation: 0.2–23

Central value too high by factor of 3 to 4

But wide uncertainty band covers OPE result

And Lamb shift integral is heavily weighted to small Q^2

→ interpolation from EFT to OPE will not shift result outside our error band

Born subtraction: pole?

Alternative dispersion relation for full amplitude including Born terms

Hill and Paz, Phys. Rev. D 95 (2017) 094017

Subtraction term for $T_1(\nu, Q^2)$ has slope for $Q^2 \rightarrow 0$

$$\frac{T_1(0, Q^2) - T_1(0, 0)}{Q^2} = -\frac{4\pi\alpha_{\text{EM}}}{3M} (1 + \kappa)^2 \langle r_M^2 \rangle + \frac{4\pi\alpha_{\text{EM}}}{3M} \langle r_E^2 \rangle - \frac{2\pi\alpha_{\text{EM}}}{M^3} \kappa + 4\pi\beta$$

- first term: Born pole, $-3.93 \pm 0.39 \text{ GeV}^{-3}$
- second and third terms: Born nonpole, $0.54 \pm 0.01 \text{ GeV}^{-3}$
- final term: polarisability, $0.41 \pm 0.06 \text{ GeV}^{-3}$

Born pole gives large slope with large uncertainty (from magnetic radius r_M)

Subtraction term with this slope multiplying poorly-known form factor $F_\beta(Q^2)$

→ unnecessarily inflated error

Pole: well-defined structure, Q^2 dependence of residue given by elastic form factors

- can be extracted unambiguously from amplitude, DR applied to remainder

Born subtraction: nonpole?

Nonpole Born term different

- analytic in v (in standard tensor basis)
- follows from Lorentz invariance (eg by “sticking form factors” into Dirac equation)
- but only terms up to order Q^2 fixed by LETs
(at higher orders: new LECs in V^2CS)

We choose to extract it from the subtraction term
and evaluate it using empirical form factors

- terms beyond order Q^2 contain contributions beyond order of our EFT
- effects of this choice should fall within our error estimate

Muonic H energy shift 1

$$\Delta E_{\text{sub}}^{2\gamma}(2p-2s) = \frac{\alpha_{\text{EM}} \phi(0)^2}{4\pi m} \int_0^\infty dQ^2 \frac{\bar{T}_1(0, Q^2)}{Q^2} \left[1 + \left(1 - \frac{Q^2}{2m^2} \right) \left(\sqrt{\frac{4m^2}{Q^2} + 1} - 1 \right) \right]$$

- with dipole form, 90% comes from $Q^2 < 0.3 \text{ GeV}^2$
- rather insensitive to value of M_β
- main source of error: β

Muonic H energy shift 1

$$\Delta E_{\text{sub}}^{2\gamma}(2p-2s) = \frac{\alpha_{\text{EM}} \phi(0)^2}{4\pi m} \int_0^\infty dQ^2 \frac{\bar{T}_1(0, Q^2)}{Q^2} \left[1 + \left(1 - \frac{Q^2}{2m^2} \right) \left(\sqrt{\frac{4m^2}{Q^2} + 1} - 1 \right) \right]$$

- with dipole form, 90% comes from $Q^2 < 0.3 \text{ GeV}^2$
- rather insensitive to value of M_β
- main source of error: β

Result:

$$\Delta E_{\text{sub}}^{2\gamma} = -4.2 \pm 1.0 \mu\text{eV}$$

Comparable to previous, model-based results Pachucki, Phys. Rev. A **60** (1999) 3593;

Carlson and Vanderhaeghen, Phys. Rev. A **84** (2011) 020102

But with errors under much better control

Muonic H energy shift 2

Combined with results of Carlson and Vanderhaeghen

Carlson and Vanderhaeghen, Phys. Rev. A **84** (2011) 020102

- elastic (with nonpole term reinstated): $\Delta E_{\text{el}}^{2\gamma} = 24.7 \pm 1.3 \mu\text{eV}$
 - inelastic (dispersive): $\Delta E_{\text{inel}}^{2\gamma} = 12.7 \pm 0.5 \mu\text{eV}$
- total: $\Delta E^{2\gamma} = 33.2 \pm 2.0 \mu\text{eV}$

Main sources of uncertainty:

- magnetic polarisability β
- elastic form factors

Muonic deuterium 1

Lamb shift

$$\Delta E_L^{\text{th}} = 230.468(20) - 6.1103(3) \langle r_d^2 \rangle \text{ meV}$$

theory collated by Krauth et al, Ann Phys 366 (2016) 168

Two-photon exchange picks up both nuclear and hadronic contributions

Nuclear polarisability dominated by electric dipole term

Full contribution to shift from high-quality NN potentials: $\Delta E_{\text{nuc}}^{2\gamma} = 1.6615(103) \text{ meV}$

based on work of Hernandez et al, Phys Lett B 736 (2014) 344; Pachucki and Wienczek, Phys Rev A 91 (2015) 040503; updated in: Hernandez et al, Phys Lett B 778 (2018) 377

But omitted higher-order atomic effects \rightarrow error on dipole contribution may be underestimated Pachucki

Muonic deuterium 2

Single proton elastic: from CV, rescaled by $\xi = (m_r^{\mu d} / m_r^{\mu p})^3$: $\Delta E_{\text{el}}^{2\gamma} = 0.0289(15)$ meV

Single neutron elastic neglected

Inelastic contribution from DRs with deuteron structure functions: $\Delta E_{\text{inel}}^{2\gamma} = 0.028(2)$ meV

Carlson et al, *Phys Rev A* 89 (2014) 022504

Subtraction term: take proton value, assume isoscalar (cf magnetic polarisabilities)

and rescale for μD : $\Delta E_{\text{sub}}^{2\gamma} = 0.010(10)$ meV (taking 100% error)

→ total $\Delta E^{2\gamma} = 1.7091(146)$ meV

CREMA: three hyperfine transitions Pohl et al, *Science* 6300 (2016) 669

$$\Delta E_L^{\text{expt}} = 202.202.8785(34) \text{ meV}$$

Gives deuteron radius $r_d = 2.12562(78)$ fm

Also $> 5\sigma$ below CODATA 2014, $r_d = 2.1413(25)$ fm

Uncertainty completely dominated by two-photon exchange

Summary

μ H Lamb shift: subtraction term in two-photon-exchange contribution calculated using chiral EFT at 4th order, with Δ contribution

$$\Delta E_{\text{sub}}^{2\gamma} = -4.2 \pm 1.0 \mu\text{eV}$$

Complete two-photon exchange contribution

$$\Delta E^{2\gamma} = 33 \pm 2 \mu\text{eV}$$

- factor 10 too small to explain proton radius puzzle (330 μeV)
- dominant error on μ H Lamb shift
- main sources of uncertainty: β (subtraction) and form factors (elastic)

μ D Lamb shift: hadronic subtraction term only crudely estimated

- significant additional sources of uncertainty
- along with nuclear structure piece
- and higher-order atomic corrections to it