Nuclear and Nucleon Matter Constraints on Three-Nucleon Forces

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Night Sky Mandala Oil on linen 36 x 36 inches Leslie Morgan 1994

Ab Initio CALCULATIONS OF NUCLEI AND NUCLEON MATTER

GOALS

Understand nuclei & matter at level of elementary interactions between individual nucleons:

- Binding energies, excitation spectra, relative stability, matter saturation
- Densities, electroweak properties, transitions, neutron star mass & radii
- Low-energy NA & AA' scattering, asymptotic normalizations, astrophysical reactions

REQUIREMENTS

- Two-nucleon potentials that accurately describe elastic NN scattering data
- Consistent three-nucleon potentials and electroweak current operators
- Accurate methods for solving the many-nucleon Schrödinger equation

RESULTS

- Quantum Monte Carlo methods evaluate realistic Hamiltonians accurate to $\sim 1-2\%$
- About 100 states calculated for $A \leq 12$ nuclei in good agreement with experiment
- Electromagnetic moments, M1, E2, F, GT transitions, electroweak response
- Nucleon matter evaluated with Variational Chain Summation methods and/or AFDMC

NUCLEAR HAMILTONIAN

$$H = \sum_{i} K_i + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk}$$

 K_i : Non-relativistic kinetic energy, m_n - m_p effects included

Argonne v₁₈: $v_{ij} = v_{ij}^{\gamma} + v_{ij}^{\pi} + v_{ij}^{I} + v_{ij}^{S} = \sum v_p(r_{ij})O_{ij}^p$

- 18 spin, tensor, spin-orbit, isospin, etc., operators
- full EM and strong CD and CSB terms included
- predominantly local operator structure
- fits Nijmegen PWA93 data with $\chi^2/d.o.f.=1.1$

Wiringa, Stoks, & Schiavilla, PRC **51**, 38 (1995)

Urbana & Illinois: $V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{3\pi} + V_{ijk}^R$

- Urbana has standard $2\pi P$ -wave + one central short-short range repulsive term for nuclear matter saturation
- Illinois adds 2π S-wave + 3π rings to provide extra T=3/2 interaction
- Illinois-7 has four parameters fit to 23 levels in $A \leq 10$ nuclei

Pieper, Pandharipande, Wiringa, & Carlson, PRC **64**, 014001 (2001) Pieper, AIP CP **1011**, 143 (2008)



Norfolk NV2: $v_{ij} = v_{ij}^{\gamma} + v_{ij}^{\pi} + v_{ij}^{2\pi} + v_{ij}^{CT} = \sum v_p(r_{ij})O_{ij}^p$

- derived in chiral effective field theory with Δ -intermediate states
- 16 spin, tensor, spin-orbit, isospin, etc., operators
- full EM and strong CD and CSB terms included
- predominantly local operator structure suitable for quantum Monte Carlo
- multiple models with different regularization fit to Granada PWA2013 data
- Ia,b fit to $E_{lab} = 125$ MeV with $\chi^2/d.o.f. \sim 1.1$
- IIa,b fit to $E_{lab} = 200$ MeV with $\chi^2/d.o.f. \sim 1.4$

Piarulli, Girlanda, Schiavilla, Kievsky, Lovato, Marcucci, Pieper, Viviani, & Wiringa PRC 94, 054007 (2016)

Norfolk NV3: $V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{CT}$

- standard 2π S-wave and 2π P-wave terms consistent with chiral NN potential
- contact terms of c_D (π -short range) and c_E (short-short range $\tau_i \cdot \tau_k$) type
- two parameters fit to 3 H binding and nd scattering length

Piarulli, Baroni, Girlanda, Kievsky, Lovato, Marcucci, Pieper, Schiavilla, Viviani, & Wiringa PRL 120, 052503 (2018)

VARIATIONAL MONTE CARLO

Minimize expectation value of H

$$E_V = \frac{\langle \Psi_V | H | \Psi_V \rangle}{\langle \Psi_V | \Psi_V \rangle} \ge E_0$$

using Metropolis Monte Carlo and trial function

$$|\Psi_V\rangle = \left[S\prod_{i< j} (1 + \frac{U_{ij}}{V_i} + \sum_{k\neq i,j} \frac{U_{ijk}}{V_i})\right] \left[\prod_{i< j} f_c(r_{ij})\right] |\Phi_A(JMTT_3)\rangle$$

- single-particle $\Phi_A(JMTT_3)$ is fully antisymmetric and translationally invariant
- central pair correlations $f_c(r)$ keep nucleons at favorable pair separation
- pair correlation operators $U_{ij} = \sum_p u_p(r_{ij}) O_{ij}^p$ reflect influence of v_{ij}
- triple correlation operator U_{ijk} added when V_{ijk} is present
- multiple J^{π} states constructed and diagonalized for p-shell nuclei
- ability to construct clusterized or asymptotically correct trial functions
- optimization code COBYLA used to search parameters

 Ψ_V are spin-isospin vectors in 3A dimensions with $\sim 2^A \begin{pmatrix} A \\ Z \end{pmatrix}$ components

Lomnitz-Adler, Pandharipande, & Smith, NP **A361**, 399 (1981) Wiringa, PRC **43**, 1585 (1991)

GREEN'S FUNCTION MONTE CARLO

Projects out lowest energy state from variational trial function

$$\Psi(\tau) = \exp[-(H - E_0)\tau]\Psi_V = \sum_n \exp[-(E_n - E_0)\tau]a_n\psi_n$$
$$\Psi(\tau \to \infty) = a_0\psi_0$$

Evaluation of $\Psi(\tau)$ done stochastically in small time steps $\Delta \tau$

$$\Psi(\mathbf{R}_n,\tau) = \int G(\mathbf{R}_n,\mathbf{R}_{n-1})\cdots G(\mathbf{R}_1,\mathbf{R}_0)\Psi_V(\mathbf{R}_0)d\mathbf{R}_{n-1}\cdots d\mathbf{R}_0$$

Mixed estimates used for expectation values; $\Psi(\tau) = \Psi_V + \delta \psi(\tau)$ and neglect $O(\delta \psi(\tau)^2)$

$$\langle O(\tau) \rangle = \frac{\langle \Psi(\tau) | O | \Psi(\tau) \rangle}{\langle \Psi(\tau) | \Psi(\tau) \rangle} \approx \langle O(\tau) \rangle_{\text{Mixed}} + [\langle O(\tau) \rangle_{\text{Mixed}} - \langle O \rangle_{V}]$$

$$\langle O(\tau) \rangle_{\text{Mixed}} = \frac{\langle \Psi_{V} | O | \Psi(\tau) \rangle}{\langle \Psi_{V} | \Psi(\tau) \rangle} \quad ; \quad \langle H(\tau) \rangle_{\text{Mixed}} = \frac{\langle \Psi(\tau/2) | H | \Psi(\tau/2) \rangle}{\langle \Psi(\tau/2) | \Psi(\tau/2) \rangle} \ge E_{0}$$

- Cannot propagate p^2 , L^2 , or $(\mathbf{L} \cdot \mathbf{S})^2$ operators \Rightarrow use $H' = AV8' + \tilde{V}_{ijk}$
- Fermion sign problem would limit maximum τ , but ...
- Constrained-path propagation removes steps that have $\overline{\Psi^{\dagger}(\tau, \mathbf{R})\Psi_{V}(\mathbf{R})} = 0$
- Multiple excited states of same J^{π} stay orthogonal

Carlson, PRC 38, 1879 (1988)

Pudliner, Pandharipande, Carlson, Pieper, & Wiringa, PRC 56, 1720 (1997)

Wiringa, Pieper, Carlson, & Pandharipande, PRC 62, 014001 (2000)

Pieper, Wiringa, & Carlson, PRC 70, 054325 (2004)

EXAMPLES OF GFMC PROPAGATION



- Curve has $\sum_{i} a_i \exp(-E_i \tau)$ with $E_i = 1480, 340 \& 20.2 \text{ MeV}$ (20.2 MeV is first ⁴He 0⁺ excitation)
- Ψ_V has small amounts of 1.5 GeV contamination

- g.s. (1⁺) & 3⁺ stable after τ = 0.2 MeV⁻¹
 2⁺ (a broad resonance) never stable –
 decaying to separated α & d
- E(τ=0.2) is best GFMC estimate of resonance energy









RMS ΔE for 36 states: AV18+IL7 = 0.80 MeV; NV2+3-Ia = 0.72 MeV with signed average deviation: -0.23 MeV and +0.15 MeV

VMC ENERGY EXPECTATION VALUES

⁴ He	$T_i + V_{ij}$	$V_{ijk}^{2\pi}$	V^{cD}_{ijk}	V^{cE}_{ijk}
NV2+3-Ia	-23.15	- 4.70	-3.77	4.28
NV2+3-Ib	-21.44	-10.10	2.64	1.90
NV2+3-IIa	-24.12	- 4.56	-1.29	2.89
NV2+3-IIb	-23.57	-10.49	6.06	0.90
AV18+UX	-22.56	- 8.79		3.79
AV18+UXI	-22.64	- 8.90	1.80	1.98

⁶ Li	$T_i + V_{ij}$	$V^{2\pi}_{ijk}$	V^{cD}_{ijk}	V^{cE}_{ijk}
NV2+3-Ia	-24.18	- 5.15	-4.50	4.48
NV2+3-Ib	-21.83	-10.66	2.99	2.06
NV2+3-IIa	-25.27	- 4.91	-1.58	3.21
NV2+3-IIb	-24.46	-11.12	6.72	0.90
AV18+UX	-23.80	- 9.11		4.29
AV18+UXI	-23.39	- 9.40	2.03	2.28

OBSERVATIONS FROM LIGHT NUCLEI RESULTS

- The $T_i + v_{ij}$ for all models underbind the light nuclei so need net attraction from V_{ijk}
- The $V_{ijk}^{2\pi}$ is attractive in all cases
- The net short-range V_{ijk} is usually repulsive
- The sign of NV3 c_D term is not well determined by binding energy alone
- The $\langle \tau_i \cdot \tau_k \rangle$ in NV3 c_E term is negative in light nuclei; will change sign in neutron matter
- The corresponding central term in Urbana models is repulsive in light nuclei & matter
- This short-short range term in Urbana V_{ijk} gets most of its contribution by connecting $S = \frac{1}{2}$ to $S = \frac{1}{2}$ and $S = \frac{3}{2}$ to $S = \frac{3}{2}$ triples
- The π -short range term in UXI gets most of its contribution by connecting $S = \frac{1}{2}$ to $S = \frac{3}{2}$ triples so is sensitive to tensor correlations

VARIATIONAL CHAIN SUMMATION

Variational energy expectation value of infinite many-body system can be written as:

$$E_V = \frac{\int \mathcal{A}(\prod_i \Phi_i^*) \,\mathcal{S}(\prod_{i < j} F_{ij}^{\dagger}) \,H \,\mathcal{S}(\prod_{i < j} F_{ij}) \,(\prod_i \Phi_i) \,d\tau}{\int \mathcal{A}(\prod_i \Phi_i^*) \,\mathcal{S}(\prod_{i < j} F_{ij}^{\dagger}) \,\mathcal{S}(\prod_{i < j} F_{ij}) \,(\prod_i \Phi_i) \,d\tau}$$

where $F_{ij} = \sum_{p} f_{ij}^{p} O_{ij}^{p}$ are correlation operators and $\Phi_{i} = \exp[i\mathbf{k_{i}} \cdot \mathbf{r_{i}}]$ are plane-wave states and for convenience only the l.h.s. Ψ^{*} is antisymmetrized.

This integral can be approximated by expading the dynamical correlations in powers of short-ranged functions $F_{ij}^c = F_{ij}^1 = (f_{ij}^c)^2 - 1$ and $F_{ij}^{p>1} = 2f_{ij}^c f_{ij}^{p>1}$ and $f_{ij}^{p>1} f_{ij}^{q>1}$, and in powers of the statistical correlation (Slater function) $\ell(k_F r) = 3j_1(k_F r)/(k_F r)$.

This expansion is conveniently represented by generalized Mayer diagrams and a very general diagrammatic expansion valid for noncommuting operators has been developed, commonly referred to as the Fermi hypernetted chain + single-operator chain (FHNC+SOC) method. Present calculations of central correlations are now beyond the "FHNC/4" level.

Pandharipande & Wiringa, RMP **51**, 821 (1979) Wiringa, Fiks & Fabrocini, PRC **38**, 1010 (1988) Akmal, Pandharipande & Ravenhall, PRC **58**, 1804 (1998)

ENERGY IN FHNC CALCULATIONS

The energy can be computed using distribution functions g and g_3 (in Pandharipande-Bethe form):

$$E_{PB} = T_F + W + W_F + U + U_F$$

$$W = \frac{\rho}{2} \int \left(v_{ij} - \frac{\hbar^2}{m} \frac{\nabla^2 f_{ij}}{f_{ij}} \right) g_{ij} d^3 r_{ij}$$

$$U = -\frac{\hbar^2}{2m} \frac{\rho^2}{4} \int \left(\frac{\nabla_i f_{ij} \cdot \nabla_i f_{ik}}{f_{ij} f_{ik}} \right) g_3(\mathbf{r}_{ij}, \mathbf{r}_{ik}) d^3 r_{ij} d^3 r_{ik}$$

The two-body distribution function can be written as:

$$g_{ij} = f^2 \Big[(1 + G_{de} + \mathcal{E}_{de})^2 + G_{ee} + \mathcal{E}_{ee} - \nu (G_{cc} + \mathcal{E}_{cc} - \ell/\nu)^2 \Big] exp(G_{dd} + \mathcal{E}_{dd}) \,.$$

where the chain functions G_{xy} are sums of nodal diagrams, with direct (d), exchange (e) or circular exchange (c) end points and \mathcal{E}_{xy} are elementary diagrams. A more complicated expression is available for g_3 :

$$g_3(r_{ij}, r_{ik}, r_{jk}) = \sum_n A^n_{ij} B^n_{ik} C^n_{jk} D^n_{ijk}$$

Alternatively one can perform an integration by parts to get the Jackson-Feenberg form:

$$E_{JF} = \frac{\rho}{2} \int \left[v_{ij} - \frac{\hbar^2}{2m} \left(\frac{\nabla^2 f_{ij}}{f_{ij}} - \frac{(\nabla_i f_{ij})^2}{f_{ij}^2} \right) \right] g_{ij} d^3 r_{ij}$$





OBSERVATIONS FROM NUCLEAR AND NEUTRON MATTER RESULTS

- Local two-nucleon interactions fit to NN data saturate symmetric nuclear matter (SNM) at $\approx 2\rho_0$
- $V_{ijk}^{2\pi}$ by itself is attractive in SNM and pushes saturation to even higher density
- Shorter-range V_{ijk} must provide net repulsion to saturate at empirical density
- For UIX this is all c_E -like; for UXI it is split between c_E and c_D -like terms in same ratio as in light nuclei
- The NV2-II models fit to higher energy are closer to AV18 in both SNM and pure neutron matter (PNM)
- In PNM the $V_{ijk}^{2\pi}$ is weakly repulsive
- For UIX the dominant repulsion in PNM is central c_E -like term
- For UXI the c_D -like term is much reduced relative to c_E -like because of weak tesnor correlations
- A c_E term with $\tau_i \cdot \tau_k$ dependence is likely to be problemattic

SUMMARY AND FUTURE WORK

- NV2+3-Ia reproduces nuclear binding and excitation energies for $A \le 12$ extremely well, comparable to AV18+IL7
- However, neither model looks able to support massive neutron stars
- Energy spectra for other NV2+3 models are being evaluated, but initial c_D and c_E choices do not give as promising results
- Determining c_D and c_E from ³H binding and *nd* scattering fits is not easy because these data are highly correlated
- Alternate strategy is to include ³H β decay information and energies of larger nuclei like ⁸He, ⁸Be, ¹⁰B(3⁺,1⁺) states; another possibility is $n\alpha$ scattering data
- Many other electroweak transitions are being evaluated and may help select "best" models
- Nuclear and neutron matter provide additional constraints, even if the calculational methods are less precise than for light nuclei
- Meeting all these demands may well require including sub-leading terms in V_{1jk} with more spin-isospin operator dependence