# Nuclear and Nucleon Matter Constraints on Three-Nucleon Forces

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**Night Sky Mandala** Oil on linen 36 <sup>x</sup> 36 inches Leslie Morgan 1994

# *Ab Initio* CALCULATIONS OF NUCLEI AND NUCLEON MATTER

#### GOALS

Understand nuclei & matter at level of elementary interactions between individual nucleons:

- Binding energies, excitation spectra, relative stability, matter saturation
- Densities, electroweak properties, transitions, neutron star mass & radii
- Low-energy  $NA \& AA'$  scattering, asymptotic normalizations, astrophysical reactions

#### **REQUIREMENTS**

- Two-nucleon potentials that accurately describe elastic NN scattering data
- Consistent three-nucleon potentials and electroweak current operators
- Accurate methods for solving the many-nucleon Schrödinger equation

#### RESULTS

- Quantum Monte Carlo methods evaluate realistic Hamiltonians accurate to  $\sim$ 1–2%
- About 100 states calculated for  $A \le 12$  nuclei in good agreement with experiment
- Electromagnetic moments,  $M1, E2, F, GT$  transitions, electroweak response
- Nucleon matter evaluated with Variational Chain Summation methods and/or AFDMC

# NUCLEAR HAMILTONIAN

$$
H = \sum_{i} K_i + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk}
$$

 $K_i$ : Non-relativistic kinetic energy,  $m_n$ - $m_p$  effects included

Argonne v<sub>18</sub>:  $v_{ij} = v_{ij}^{\gamma} + v_{ij}^{\pi} + v_{ij}^{I} + v_{ij}^{S} = \sum v_{p}(r_{ij})O_{ij}^{p}$ 

- 18 spin, tensor, spin-orbit, isospin, etc., operators
- full EM and strong CD and CSB terms included
- predominantly local operator structure
- fits Nijmegen PWA93 data with  $\chi^2$ /d.o.f.=1.1

Urbana & Illinois:  $V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{3\pi} + V_{ijk}^{R}$ 

- Urbana has standard  $2\pi P$ -wave + one central short-short range repulsive term for nuclear matter saturation
- Illinois adds  $2\pi S$ -wave +  $3\pi$  rings to provide extra  $T=3/2$  interaction
- Illinois-7 has four parameters fit to 23 levels in  $A \le 10$  nuclei

Pieper, Pandharipande, Wiringa, & Carlson, PRC **64**, 014001 (2001) Pieper, AIP CP **1011**, 143 (2008)



Norfolk NV2:  $v_{ij} = v_{ij}^{\gamma} + v_{ij}^{\pi} + v_{ij}^{2\pi} + v_{ij}^{CT} = \sum v_p(r_{ij})O_{ij}^p$ 

- derived in chiral effective field theory with  $\Delta$ -intermediate states
- 16 spin, tensor, spin-orbit, isospin, etc., operators
- full EM and strong CD and CSB terms included
- predominantly local operator structure suitable for quantum Monte Carlo
- multiple models with different regularization fit to Granada PWA2013 data
- Ia,b fit to  $E_{lab} = 125$  MeV with  $\chi^2/d.o.f. \sim 1.1$
- Ha,b fit to E<sub>lab</sub> = 200 MeV with  $\chi^2$ /d.o.f.∼1.4

Piarulli, Girlanda, Schiavilla, Kievsky, Lovato, Marcucci, Pieper, Viviani, & Wiringa PRC **94**, 054007 (2016)

Norfolk NV3:  $V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{CT}$ 

- standard  $2\pi S$ -wave and  $2\pi P$ -wave terms consistent with chiral NN potential
- contact terms of  $c_D$  ( $\pi$ -short range) and  $c_E$  (short-short range  $\tau_i \cdot \tau_k$ ) type
- two parameters fit to  ${}^{3}H$  binding and nd scattering length

Piarulli, Baroni, Girlanda, Kievsky, Lovato, Marcucci, Pieper, Schiavilla, Viviani, & Wiringa PRL **120**, 052503 (2018)

# VARIATIONAL MONTE CARLO

Minimize expectation value of <sup>H</sup>

$$
E_V = \frac{\langle \Psi_V | H | \Psi_V \rangle}{\langle \Psi_V | \Psi_V \rangle} \ge E_0
$$

using Metropolis Monte Carlo and trial function

$$
|\Psi_V\rangle = \left[ \mathcal{S} \prod_{i < j} (1 + U_{ij} + \sum_{k \neq i, j} U_{ijk}) \right] \left[ \prod_{i < j} f_c(r_{ij}) \right] |\Phi_A(JMTT_3)\rangle
$$

- single-particle  $\Phi_A(JMTT_3)$  is fully antisymmetric and translationally invariant
- central pair correlations  $f_c(r)$  keep nucleons at favorable pair separation
- pair correlation operators  $U_{ij} = \sum_{p} u_p(r_{ij}) O_{ij}^p$  reflect influence of  $v_{ij}$
- triple correlation operator  $U_{ijk}$  added when  $V_{ijk}$  is present
- multiple  $J^{\pi}$  states constructed and diagonalized for p-shell nuclei
- ability to construct clusterized or asymptotically correct trial functions
- optimization code COBYLA used to search parameters

 $\Psi_V$  are spin-isospin vectors in 3A dimensions with  $\sim 2^A \binom{A}{Z}$  components

Lomnitz-Adler, Pandharipande, & Smith, NP **A361**, 399 (1981) Wiringa, PRC **43**, 1585 (1991)

# GREEN'S FUNCTION MONTE CARLO

Projects out lowest energy state from variational trial function

\n
$$
\Psi(\tau) = \exp[-(H - E_0)\tau]\Psi_V = \sum_n \exp[-(E_n - E_0)\tau]a_n \psi_n
$$
\n
$$
\Psi(\tau \to \infty) = a_0 \psi_0
$$

Evaluation of  $\Psi(\tau)$  done stochastically in small time steps  $\Delta \tau$ 

$$
\Psi(\mathbf{R}_n, \tau) = \int G(\mathbf{R}_n, \mathbf{R}_{n-1}) \cdots G(\mathbf{R}_1, \mathbf{R}_0) \Psi_V(\mathbf{R}_0) d\mathbf{R}_{n-1} \cdots d\mathbf{R}_0
$$

Mixed estimates used for expectation values;  $\Psi(\tau) = \Psi_V + \delta \psi(\tau)$  and neglect  $O(\delta \psi(\tau)^2)$ 

$$
\langle O(\tau) \rangle = \frac{\langle \Psi(\tau) | O | \Psi(\tau) \rangle}{\langle \Psi(\tau) | \Psi(\tau) \rangle} \approx \langle O(\tau) \rangle_{\text{Mixed}} + [\langle O(\tau) \rangle_{\text{Mixed}} - \langle O \rangle_{V}]
$$
  

$$
\langle O(\tau) \rangle_{\text{Mixed}} = \frac{\langle \Psi_{V} | O | \Psi(\tau) \rangle}{\langle \Psi_{V} | \Psi(\tau) \rangle} ; \quad \langle H(\tau) \rangle_{\text{Mixed}} = \frac{\langle \Psi(\tau/2) | H | \Psi(\tau/2) \rangle}{\langle \Psi(\tau/2) | \Psi(\tau/2) \rangle} \geq E_{0}
$$

- Cannot propagate  $p^2$ ,  $L^2$ , or  $(L \cdot S)^2$  operators  $\Rightarrow$  use  $H' = AV8' + V_{ijk}$
- Fermion sign problem would limit maximum  $\tau$ , but ...
- Constrained-path propagation removes steps that have  $\overline{\Psi^{\dagger}(\tau, \mathbf{R}) \Psi_V(\mathbf{R})} = 0$
- Multiple excited states of same  $J^{\pi}$  stay orthogonal

Carlson, PRC **38**, 1879 (1988)

Pudliner, Pandharipande, Carlson, Pieper, & Wiringa, PRC **56**, 1720 (1997)

Wiringa, Pieper, Carlson, & Pandharipande, PRC **62**, 014001 (2000)

Pieper, Wiringa, & Carlson, PRC **70**, 054325 (2004)

### EXAMPLES OF GFMC PROPAGATION



- Curve has  $\sum_i a_i \exp(-E_i \tau)$  with  $E_i = 1480, 340 \& 20.2 \text{ MeV}$ (20.2 MeV is first  ${}^{4}$ He 0<sup>+</sup> excitation)
- $\Psi_V$  has small amounts of 1.5 GeV contamination
- g.s.  $(1^+)$  & 3<sup>+</sup> stable after  $\tau = 0.2$  MeV<sup>-1</sup>  $2^+$  (a broad resonance) never stable – decaying to separated  $\alpha \& d$
- $E(\tau=0.2)$  is best GFMC estimate of resonance energy









RMS  $\Delta E$  for 36 states: AV18+IL7 = 0.80 MeV; NV2+3-Ia = 0.72 MeV with signed average deviation:  $-0.23$  MeV and  $+0.15$  MeV

VMC ENERGY EXPECTATION VALUES

$^{4}$ He	$T_i + V_{ij}$	$\frac{72\pi}{ijk}$		$7{\boldsymbol c} E$
$NV2+3-Ia$	$-23.15$	$-4.70$	$-3.77$	4.28
$NV2+3-Ib$	$-21.44$	$-10.10$	2.64	1.90
$NV2+3$ -IIa	$-24.12$	$-4.56$	$-1.29$	2.89
$NV2+3$ -IIb	$-23.57$	$-10.49$	6.06	0.90
$AV18+UX$	$-22.56$	$-8.79$		3.79
$AV18+UXI$	$-22.64$	$-8.90$	1.80	1.98



### OBSERVATIONS FROM LIGHT NUCLEI RESULTS

- The  $T_i + v_{ij}$  for all models underbind the light nuclei so need net attraction from  $V_{ijk}$
- The  $V_{ijk}^{2\pi}$  is attractive in all cases
- The net short-range  $V_{ijk}$  is usually repulsive
- The sign of NV3  $c_D$  term is not well determined by binding energy alone
- The  $\langle \tau_i \cdot \tau_k \rangle$  in NV3  $c_E$  term is negative in light nuclei; will change sign in neutron matter
- The corresponding central term in Urbana models is repulsive in light nuclei  $\&$  matter
- This short-short range term in Urbana  $V_{ijk}$  gets most of its contribution by connecting  $S=\frac{1}{2}$  to  $S=\frac{1}{2}$  and  $S=\frac{3}{2}$  to  $S=\frac{3}{2}$  triples
- The  $\pi$ -short range term in UXI gets most of its contribution by connecting  $S = \frac{1}{2}$  to  $S = \frac{3}{2}$ 2 triples so is sensitive to tensor correlations

# VARIATIONAL CHAIN SUMMATION

Variational energy expectation value of infinite many-body system can be written as:

$$
E_V = \frac{\int \mathcal{A}(\prod_i \Phi_i^*) \mathcal{S}(\prod_{i < j} F_{ij}^\dagger) \, H \, \mathcal{S}(\prod_{i < j} F_{ij}) \, (\prod_i \Phi_i) \, d\tau}{\int \mathcal{A}(\prod_i \Phi_i^*) \mathcal{S}(\prod_{i < j} F_{ij}^\dagger) \, \mathcal{S}(\prod_{i < j} F_{ij}) \, (\prod_i \Phi_i) \, d\tau}
$$

where  $F_{ij} = \sum_p f_{ij}^p O_{ij}^p$  are correlation operators and  $\Phi_i = \exp[i\mathbf{k_i} \cdot \mathbf{r_i}]$  are plane-wave states and for convenience only the l.h.s.  $\Psi^*$  is antisymmetrized.

This integral can be approximated by expading the dynamical correlations in powers of short-ranged functions  $F_{ij}^c = F_{ij}^1 = (f_{ij}^c)^2 - 1$  and  $F_{ij}^{p>1} = 2f_{ij}^c f_{ij}^{p>1}$  and  $f_{ij}^{p>1} f_{ij}^{q>1}$ , and in powers of the statistical correlation (Slater function)  $\ell(k_F r) = 3j_1(k_F r)/(k_F r)$ .

This expansion is conveniently represented by generalized Mayer diagrams and <sup>a</sup> very general diagrammatic expansion valid for noncommuting operators has been developed, commonly referred to as the Fermi hypernetted chain  $+$  single-operator chain (FHNC+SOC) method. Present calculations of central correlations are now beyond the "FHNC/4" level.

Pandharipande & Wiringa, RMP **<sup>51</sup>**, <sup>821</sup> (1979) Wiringa, Fiks & Fabrocini, PRC **<sup>38</sup>**, <sup>1010</sup> (1988) Akmal, Pandharipande & Ravenhall, PRC **58**, 1804 (1998)

#### ENERGY IN FHNC CALCULATIONS

The energy can be computed using distribution functions  $g$  and  $g_3$  (in Pandharipande-Bethe form):

$$
E_{PB} = T_F + W + W_F + U + U_F
$$
  
\n
$$
W = \frac{\rho}{2} \int \left( v_{ij} - \frac{\hbar^2}{m} \frac{\nabla^2 f_{ij}}{f_{ij}} \right) g_{ij} d^3 r_{ij}
$$
  
\n
$$
U = -\frac{\hbar^2}{2m} \frac{\rho^2}{4} \int \left( \frac{\nabla_i f_{ij} \cdot \nabla_i f_{ik}}{f_{ij} f_{ik}} \right) g_3(\mathbf{r}_{ij}, \mathbf{r}_{ik}) d^3 r_{ij} d^3 r_{ik}
$$

The two-body distribution function can be written as:

$$
g_{ij}=f^2\Big[(1+G_{de}+\mathcal{E}_{de})^2+G_{ee}+\mathcal{E}_{ee}-\nu(G_{cc}+\mathcal{E}_{cc}-\ell/\nu)^2\Big]exp(G_{dd}+\mathcal{E}_{dd}).
$$

where the chain functions  $G_{xy}$  are sums of nodal diagrams, with direct (d), exchange (e) or circular exchange (c) end points and  $\mathcal{E}_{xy}$  are elementary diagrams. A more complicated expression is available for  $g_3$ :

$$
g_3(r_{ij},r_{ik},r_{jk}) = \sum_n A_{ij}^n B_{ik}^n C_{jk}^n D_{ijk}^n
$$

Alternatively one can perform an integration by parts to ge<sup>t</sup> the Jackson-Feenberg form:

$$
E_{JF} = \frac{\rho}{2} \int \Big[ v_{ij} - \frac{\hbar^2}{2m} \Big( \frac{\nabla^2 f_{ij}}{f_{ij}} - \frac{(\nabla_i f_{ij})^2}{f_{ij}^2} \Big) \Big] g_{ij} d^3 r_{ij}
$$





### OBSERVATIONS FROM NUCLEAR AND NEUTRON MATTER RESULTS

- Local two-nucleon interactions fit to NN data saturate symmetric nuclear matter (SNM) at  $\approx 2\rho_0$
- $V_{ijk}^{2\pi}$  by itself is attractive in SNM and pushes saturation to even higher density
- Shorter-range  $V_{ijk}$  must provide net repulsion to saturate at empirical density
- For UIX this is all  $c_E$ -like; for UXI it is split between  $c_E$  and  $c_D$ -like terms in same ratio as in light nuclei
- The NV2-II models fit to higher energy are closer to AV18 in both SNM and pure neutron matter (PNM)
- In PNM the  $V_{ijk}^{2\pi}$  is weakly repulsive
- For UIX the dominant repulsion in PNM is central  $c_E$ -like term
- For UXI the  $c_D$ -like term is much reduced relative to  $c_E$ -like because of weak tesnor correlations
- A  $c_E$  term with  $\tau_i \cdot \tau_k$  dependence is likely to be problemattic

# SUMMARY AND FUTURE WORK

- NV2+3-Ia reproduces nuclear binding and excitation energies for  $A \leq 12$  extremely well, comparable to AV18+IL7
- However, neither model looks able to suppor<sup>t</sup> massive neutron stars
- Energy spectra for other NV2+3 models are being evaluated, but initial  $c_D$  and  $c_E$  choices do not give as promising results
- Determining  $c_D$  and  $c_E$  from <sup>3</sup>H binding and nd scattering fits is not easy because these data are highly correlated
- Alternate strategy is to include  ${}^{3}H \beta$  decay information and energies of larger nuclei like <sup>8</sup>He, <sup>8</sup>Be, <sup>10</sup>B(3<sup>+</sup>,1<sup>+</sup>) states; another possibility is  $n\alpha$  scattering data
- Many other electroweak transitions are being evaluated and may help select "best" models
- Nuclear and neutron matter provide additional constraints, even if the calculational methods are less precise than for light nuclei
- Meeting all these demands may well require including sub-leading terms in  $V_{1jk}$  with more spin-isospin operator dependence