



Basic model

Nuclear χ EFT

Chiral $2N$
potentials

Chiral $3N$
potentials

EW
interactions

EW QE
response

Outlook

Light-nuclei spectra and electroweak response: a status report

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Few-nucleon systems from LQCD

Beane *et al.* (2013); Chang *et al.* (2015); Savage *et al.* (2017)

- NPLQCD spectra calculations ($m_\pi = 806$ MeV)

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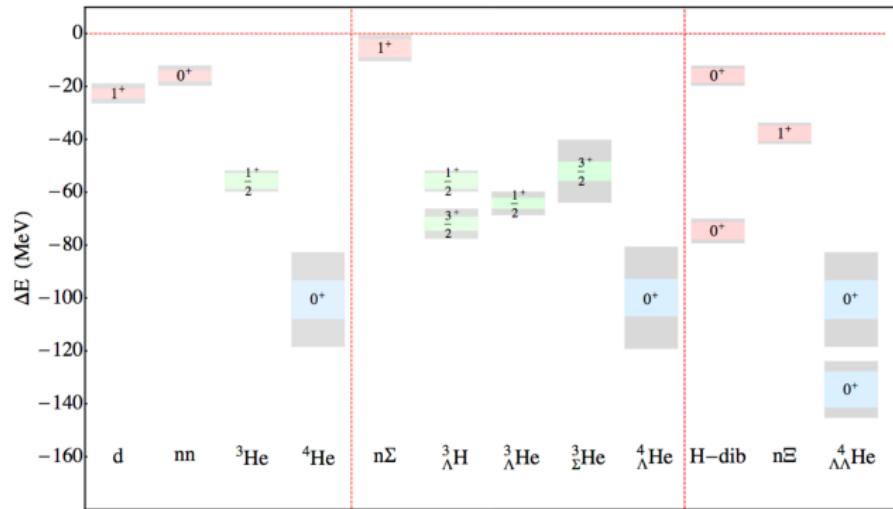
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- NPLQCD calculations of magnetic moments and weak transitions in few-nucleon systems also available

$2N$ potential from LQCD and nuclear spectra

Aoki *et al.* (2012); McIlroy *et al.* (2017)

- LQCD calculation of $2N$ potential by HAL collaboration

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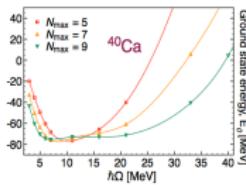
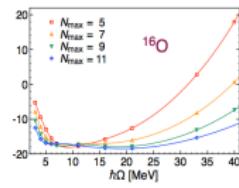
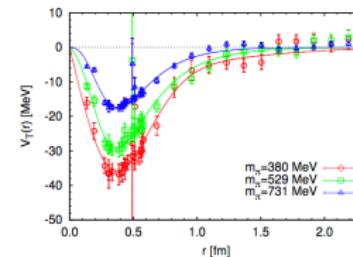
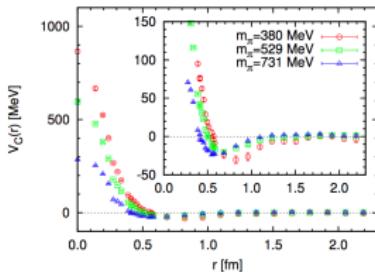
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Outline

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- The basic model of nuclear theory
- Chiral $2N$ and $3N$ potentials and nuclear spectra
- Electroweak currents and (mostly weak) transitions
- Nuclear electroweak response in quasi-elastic regime
- Outlook



The basic model

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- Effective potentials:

$$H = \sum_{i=1}^A \frac{\mathbf{p}_i^2}{2m_i} + \sum_{i < j = 1}^A \underbrace{v_{ij}}_{\text{th+exp}} + \sum_{i < j < k = 1}^A \overbrace{V_{ijk}}^{\text{th+exp}} + \dots$$

- Assumptions:

- Quarks in nuclei are in color singlet states close to those of N 's (and low-lying excitations: Δ 's, ...)
- Series of potentials converges rapidly
- Dominant terms in v_{ij} and V_{ijk} are due to π exchange

$$\text{leading } \pi N \text{ coupling} = \frac{g_A}{2f_\pi} \tau_a \boldsymbol{\sigma} \cdot \nabla \phi_a(\mathbf{r})$$

- Effective electroweak currents:

$$j^{EW} = \sum_{i=1}^A j_i + \sum_{i < j = 1}^A j_{ij} + \sum_{i < j < k = 1}^A j_{ijk} + \dots$$



Yukawa potential in classical mechanics

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- Connection between meson-exchange interactions and their representation in terms of v_{ij}
- A simple model: a classical scalar field $\phi(\mathbf{r}, t)$ interacting with static particles:

$$\mathcal{L} = \frac{1}{2} \left[\dot{\phi}^2 - |\nabla \phi|^2 - \mu^2 \phi^2 \right] - g \phi \sum_{i=1}^A \delta(\mathbf{r} - \mathbf{r}_i)$$

- Lowest-energy configuration occurs in the static limit $\phi(\mathbf{r}, t) \rightarrow \phi(\mathbf{r})$ (Poisson-like equation of electrostatics)

$$\nabla^2 \phi - \mu^2 \phi = g \sum_{i=1}^A \delta(\mathbf{r} - \mathbf{r}_i)$$

- Energy of the field (up to self energies) in this limit

$$E_\phi = \frac{1}{2} \int d\mathbf{r} \phi(\mathbf{r}) g \sum_{i=1}^A \delta(\mathbf{r} - \mathbf{r}_i) = -\frac{g^2}{4\pi} \sum_{i < j=1}^A \frac{e^{-\mu r_{ij}}}{r_{ij}}$$



Yukawa potential in quantum mechanics

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- Scalar-field Hamiltonian is

$$H = \underbrace{\sum_{\mathbf{k}} \omega_k a_{\mathbf{k}}^\dagger a_{\mathbf{k}}}_{H_0} + g \underbrace{\sum_{i=1}^A \sum_{\mathbf{k}} \frac{1}{\sqrt{2\omega_k V}} \left(a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{r}_i} + a_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{r}_i} \right)}_{H'}$$

- Set of shifted harmonic oscillators; exact eigenenergies of field given by

$$E_{\{n_{\mathbf{k}}\}} = \sum_{\mathbf{k}} \omega_k n_{\mathbf{k}} - g^2 \underbrace{\sum_{i < j=1}^A \int \frac{d\mathbf{k}}{(2\pi)^3} \frac{e^{i\mathbf{k}\cdot(\mathbf{r}_i-\mathbf{r}_j)}}{\omega_k^2}}_{\text{energy shift}} \frac{\frac{1}{4\pi} \frac{e^{-\mu r_{ij}}}{r_{ij}}}{}$$

- In CM and QM the scalar field energy in the presence of static particles can be replaced by a sum of $v_Y(r_{ij})$

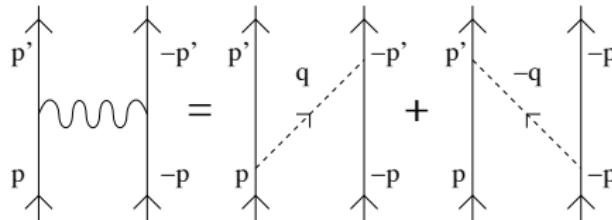
Scattering between slow-moving particles

- In potential theory to leading order

$$T_{fi}^{Y1} = \int d\mathbf{r} e^{-i\mathbf{p}' \cdot \mathbf{r}} v_Y(r) e^{i\mathbf{p} \cdot \mathbf{r}} = -\underbrace{\frac{g^2}{\omega_q^2}}_{\tilde{v}_Y(q)} (\mathbf{q} = \mathbf{p} - \mathbf{p}')$$

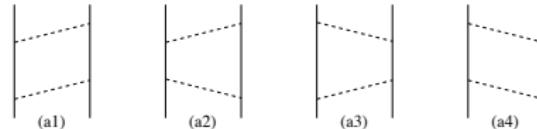
- In meson-exchange theory (to leading order)

$$T_{fi}^{M1} = \sum_I \frac{\overbrace{\langle \mathbf{p}', -\mathbf{p}'; 0 | H' | I \rangle \langle I | H' | \mathbf{p}, -\mathbf{p}; 0 \rangle}^{\text{final state}}}{E_i - E_I} = -\frac{g^2}{\omega_q^2}$$

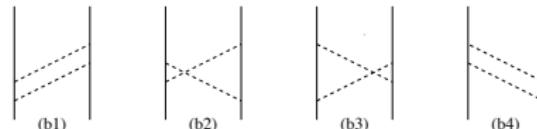


Beyond leading order

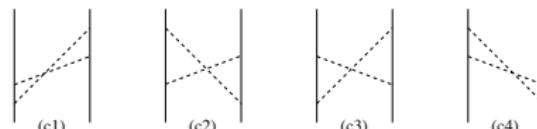
Basic model



Nuclear χ EFT



Chiral $2N$
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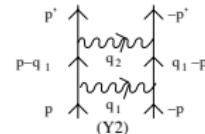


Chiral $3N$
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$$T_{fi}^{M2} = T_{fi}^{Y2} + \text{correction}$$

- Obtain from $T_{fi}^{M2} - T_{fi}^{Y2}$ correction term $\tilde{v}^{(2)}(\mathbf{q}, \overbrace{\mathbf{Q}}^{\mathbf{p}' + \mathbf{p}})$ such that $\tilde{v}_Y(q) + \tilde{v}^{(2)}(\mathbf{q}, \mathbf{Q})$ reproduces T_{fi}^{M2}



Earlier developers of the basic model ...

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χ EFT formulation of the basic model

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- χ EFT is a low-energy approximation of QCD
- Lagrangians describing the interactions of π, N, \dots are expanded in powers of Q/Λ_χ ($\Lambda_\chi \sim 1$ GeV)
- Their construction has been codified in a number of papers¹

$$\begin{aligned}\mathcal{L} = & \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{\pi N}^{(2)} + \mathcal{L}_{\pi N}^{(3)} + \dots \\ & + \mathcal{L}_{\pi\pi}^{(2)} + \mathcal{L}_{\pi\pi}^{(4)} + \dots\end{aligned}$$

- $\mathcal{L}^{(n)}$ also include contact $(\bar{N}N)(\bar{N}N)$ -type interactions parametrized by low-energy constants (LECs)
- Initial impetus to the development of χ EFT for nuclei in the early nineties^{2,3}

¹ Gasser and Leutwyler (1984); Gasser, Sainio, and Švarc (1988); Bernard *et al.* (1992); Fettes *et al.* (2000)

² Weinberg (1990)–(1992); ³ Park, Min, and Rho (1993) and (1996)



General considerations

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- Time-ordered perturbation theory (TOPT):

$$\langle f \mid T \mid i \rangle = \langle f \mid H_1 \sum_{n=1}^{\infty} \left(\frac{1}{E_i - H_0 + i\eta} H_1 \right)^{n-1} \mid i \rangle$$

- Momentum scaling of contribution

$$\underbrace{\left(\prod_{i=1}^N Q^{\alpha_i - \beta_i / 2} \right)}_{H_1 \text{ scaling}} \times \underbrace{Q^{-(N-N_K-1)} Q^{-2N_K}}_{\text{denominators}} \times \underbrace{Q^{3L}}_{\text{loop integrations}}$$

- Each of the N_K energy denominators involving only nucleons is of order Q^{-2}
- Each of the other $N - N_K - 1$ energy denominators involving also pion energies is expanded as

$$\frac{1}{E_i - E_I - \omega_\pi} = -\frac{1}{\omega_\pi} \left[1 + \frac{E_i - E_I}{\omega_\pi} + \frac{(E_i - E_I)^2}{\omega_\pi^2} + \dots \right]$$

- Power counting:

$$T = T^{LO} + T^{NLO} + T^{N^2LO} + \dots, \text{ and } T^{N^{\textcolor{red}{n}} LO} \sim (Q/\Lambda_\chi)^{\textcolor{red}{n}} T^{LO}$$



From amplitudes to potentials

Pastore *et al.* (2009); Pastore *et al.* (2011)

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- Construct v such that when inserted in LS equation

$$v + v G_0 v + v G_0 v G_0 v + \dots \quad G_0 = 1/(E_i - E_I + i\eta)$$

leads to T -matrix order by order in the power counting

- Assume

$$v = v^{(0)} + v^{(1)} + v^{(2)} + \dots \quad v^{(n)} \sim (Q/\Lambda_\chi)^n v^{(0)}$$

- Determine $v^{(n)}$ from

$$\textcolor{red}{v}^{(0)} = T^{(0)}$$

$$\textcolor{red}{v}^{(1)} = T^{(1)} - [\textcolor{red}{v}^{(0)} G_0 \textcolor{red}{v}^{(0)}]$$

$$\textcolor{red}{v}^{(2)} = T^{(2)} - [\textcolor{red}{v}^{(0)} G_0 \textcolor{red}{v}^{(0)} G_0 \textcolor{red}{v}^{(0)}] - [\textcolor{red}{v}^{(1)} G_0 \textcolor{red}{v}^{(0)} + \textcolor{red}{v}^{(0)} G_0 \textcolor{red}{v}^{(1)}]$$

and so on, where

$$v^{(m)} G_0 v^{(n)} \sim (Q/\Lambda_\chi)^{m+n+1}$$

Chiral $2N$ potentials with Δ 's

Piarulli *et al.* (2015); Piarulli *et al.* (2016)

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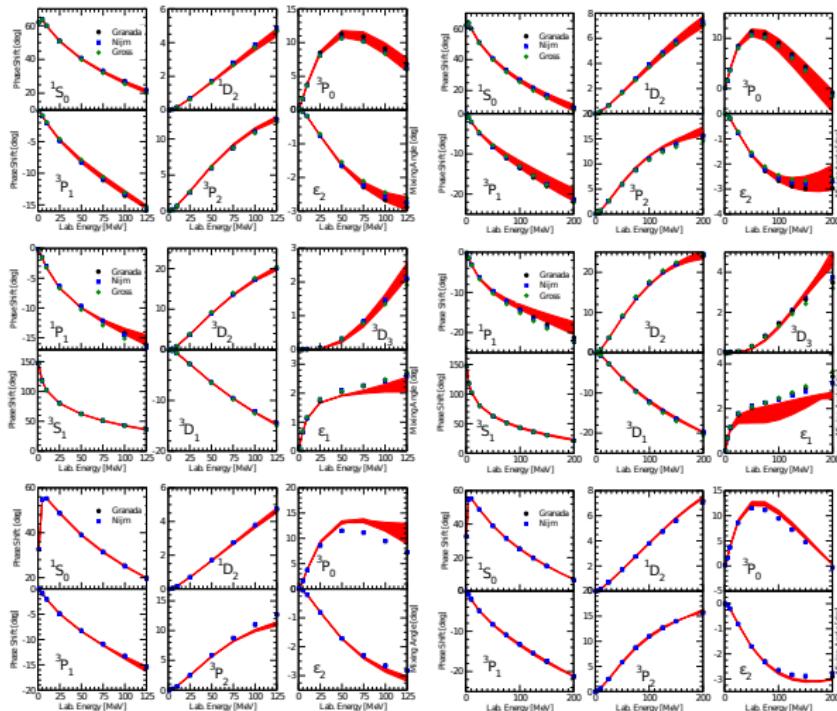
- Two-nucleon potential: $v = v^{\text{EM}} + v^{\text{LR}} + v^{\text{SR}}$
- EM component v^{EM} including corrections up to α^2
- Chiral OPE and TPE component v^{LR} with Δ 's



- Short-range contact component v^{SR} up to order Q^4 parametrized by (2+7+11) IC and (2+4) IB LECs
- v^{SR} functional form taken as $C_{R_S}(r) \propto e^{-(r/R_S)^2}$ with $R_S=0.8$ (0.7) fm for a (b) models

np ($T = 0$ and 1) and pp phase shifts

Ia-Ib: $E_{\text{lab}} = 125 \text{ MeV}$ Ila-IIb: $E_{\text{lab}} = 200 \text{ MeV}$



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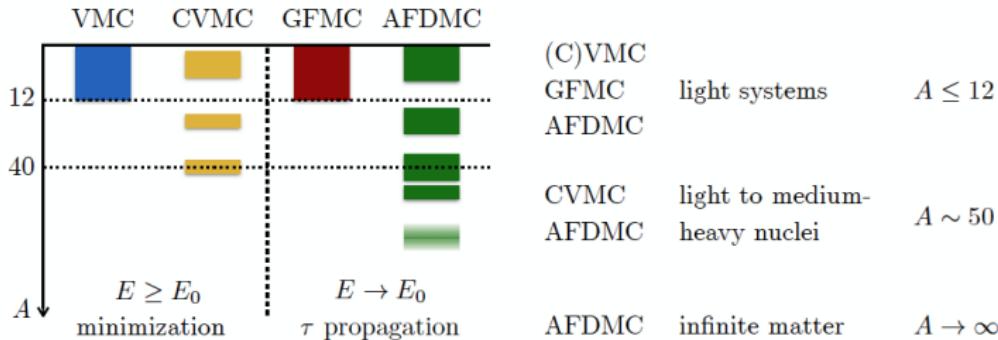
Outlook

Ab initio methods utilized by our group

- Hyperspherical harmonics (HH) expansions for $A = 3$ and 4 bound and continuum states

$$|\psi_V\rangle = \sum_{\mu} c_{\mu} \underbrace{|\phi_{\mu}\rangle}_{\text{HH basis}} \quad \text{and } c_{\mu} \text{ from } E_V = \frac{\langle \psi_V | H | \psi_V \rangle}{\langle \psi_V | \psi_V \rangle}$$

- Quantum Monte Carlo for $A > 4$ bound states



Chiral $3N$ potentials with Δ 's

Piarulli *et al.* (2018)

Basic model

Nuclear χ EFT

Chiral $2N$
potentials

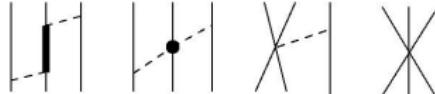
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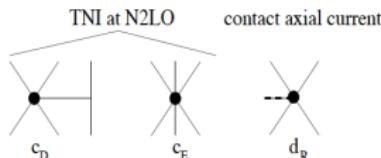
- $3N$ potential up to N2LO¹:



- c_D and c_E fixed by fitting $E_0^{\text{exp}}(^3\text{H}) = -8.482 \text{ MeV}$ and nd doublet scattering length $a_{nd}^{\text{exp}} = (0.645 \pm 0.010) \text{ fm}$

| Model | without $3N$ | | | | | | | | with $3N$ | |
|-------|--------------|---------------|-------------------|--------------------|--------------------|--------------|--------------------|--------------------|-----------|--|
| | c_D | c_E | $E_0(^3\text{H})$ | $E_0(^3\text{He})$ | $E_0(^4\text{He})$ | ${}^2a_{nd}$ | $E_0(^3\text{He})$ | $E_0(^4\text{He})$ | | |
| Ia | 3.666 | -1.638 | -7.825 | -7.083 | -25.15 | 1.085 | -7.728 | -28.31 | | |
| Ib | -2.061 | -0.982 | -7.606 | -6.878 | -23.99 | 1.284 | -7.730 | -28.31 | | |
| IIa | 1.278 | -1.029 | -7.956 | -7.206 | -25.80 | 0.993 | -7.723 | -28.17 | | |
| IIb | -4.480 | -0.412 | -7.874 | -7.126 | -25.31 | 1.073 | -7.720 | -28.17 | | |

- Alternate strategy: fix c_D and c_E by reproducing $E_0^{\text{exp}}(^3\text{H})$ and the GT^{exp} matrix element in ${}^3\text{H}$ β -decay

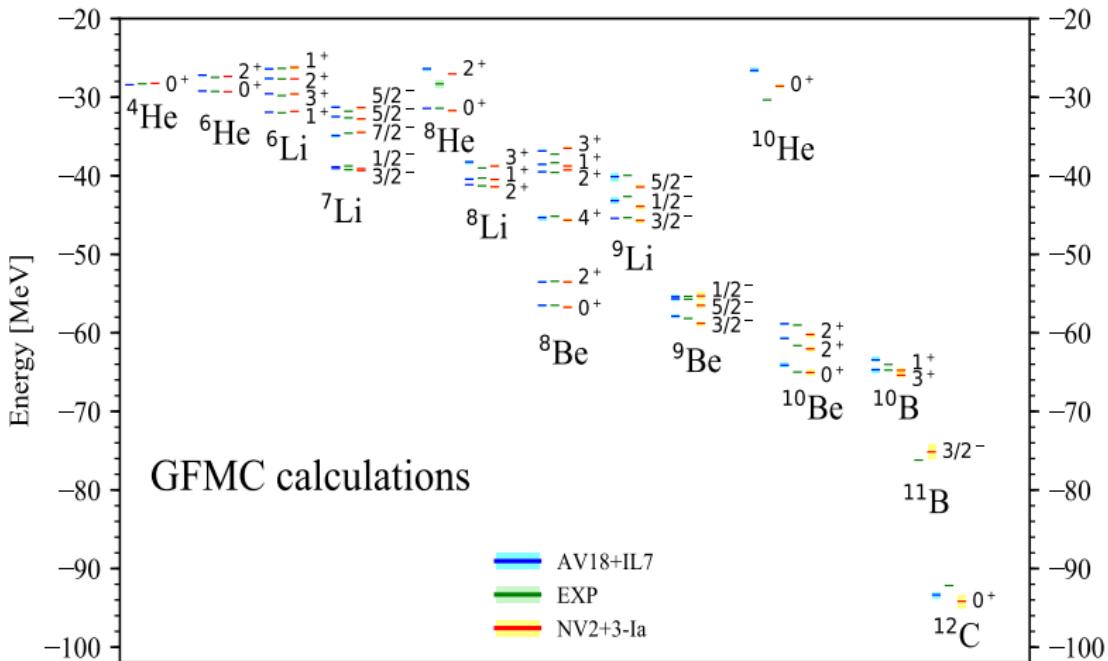


¹ Epelbaum *et al.* (2002)

Spectra of light nuclei

Piarulli *et al.* (2018)

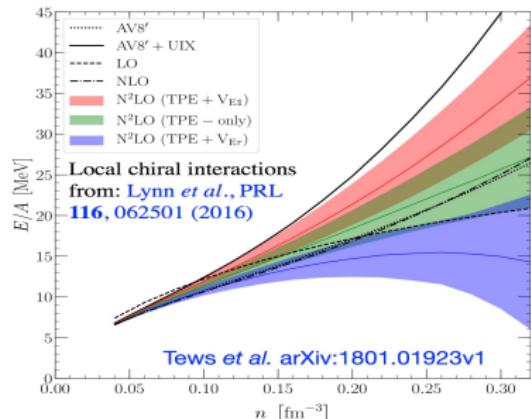
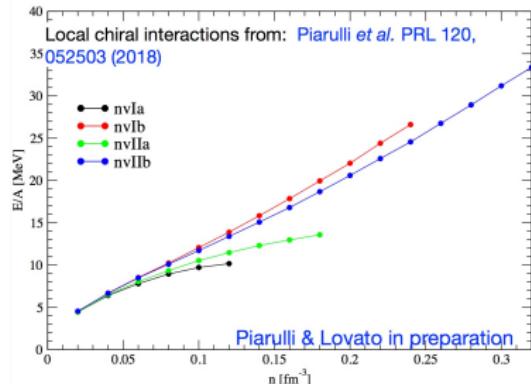
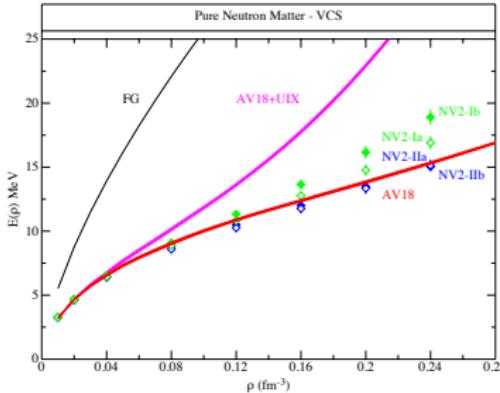
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- Chiral $2N$ potentials
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- EW interactions
- EW QE response
- Outlook



Neutron matter equation of state

Piarulli *et al.*, private communication

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- Sensitivity to $3N$ contact term:
 - $c_E < 0$ repulsive in $A \leq 4$
 - but attractive in PNM
- Cutoff sensitivity:
 - modest in NV2 models
 - large in NV2+3 models

Nuclear weak interactions: low energy regime

Basic model

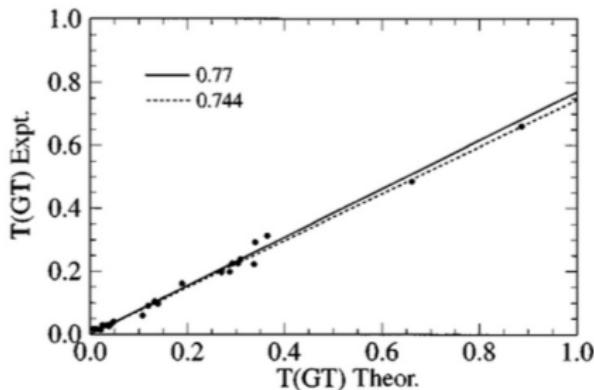
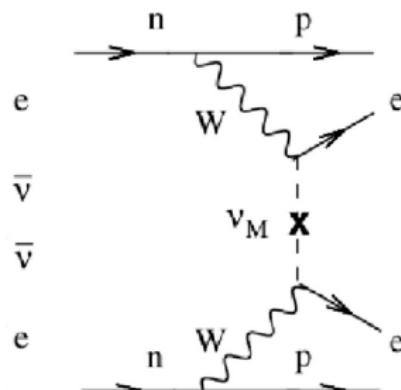
Nuclear χ EFTChiral $2N$ potentialsChiral $3N$ potentials

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Outlook

- Shell model in agreement with exp if $g_A^{\text{eff}} \simeq 0.7 g_A$
- Understanding “quenching” of g_A in nuclear β decays
- Relevant for neutrinoless 2β -decay since rate $\propto g_A^4$

Martinez-Pinedo *et al.* (1996) $0\nu-2\beta$ amplitude



Including electroweak (ew) interactions

Pastore *et al.* (2009,2011); Piarulli *et al.* (2013); Baroni *et al.* (2017)

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- Power counting of ew interactions (treated in first order)

$$T_{\text{ew}} = T_{\text{ew}}^{(-3)} + T_{\text{ew}}^{(-2)} + T_{\text{ew}}^{(-1)} + \dots \quad T_{\text{ew}}^{(n)} \sim (Q/\Lambda_\chi)^n T_{\text{ew}}^{(-3)}$$

- For $v_{\text{ew}}^{(n)} = A^0 \rho_{\text{ew}}^{(n)} - \mathbf{A} \cdot \mathbf{j}_{\text{ew}}^{(n)}$ to match T_{ew} order by order

$$\begin{aligned} v_{\text{ew}}^{(-3)} &= T_{\text{ew}}^{(-3)} \\ v_{\text{ew}}^{(-2)} &= T_{\text{ew}}^{(-2)} - [v_{\text{ew}}^{(-3)} G_0 v^{(0)} + v^{(0)} G_0 v_{\text{ew}}^{(-3)}] \\ v_{\text{ew}}^{(-1)} &= T_{\text{ew}}^{(-1)} - [v_{\text{ew}}^{(-3)} G_0 v^{(0)} G_0 v^{(0)} + \text{permutations}] \\ &\quad - [v_{\text{ew}}^{(-2)} G_0 v^{(0)} + v^{(0)} G_0 v_{\text{ew}}^{(-2)}] \end{aligned}$$

and so on up to $n = 1$

- $\rho_{\text{ew}}^{(n)}$ and $\mathbf{j}_{\text{ew}}^{(n)}$ (generally) depend on off-the-energy shell prescriptions adopted for $v^{(\leq n)}$ and $v_{\text{ew}}^{(\leq n)}$

Nuclear axial currents at one loop

Park *et al.* (1993,2003); Baroni *et al.* (2016); Krebs *et al.* (2017)

Basic model

Nuclear χ EFT

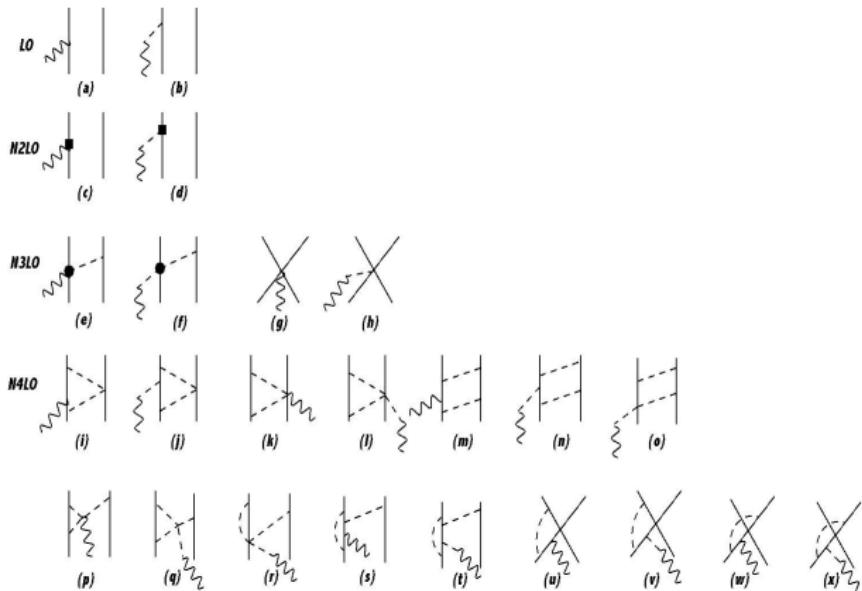
Chiral $2N$ potentials

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Outlook



- Some of the contributions—panels (m) and (s)—differ in the Baroni *et al.* and Krebs *et al.* derivations
- 1 unknown LEC in j_5 (4 unknown LECs in ρ_5)

β decays in light nuclei

Pastore *et al.* (2017)

Basic model

Nuclear χ EFT

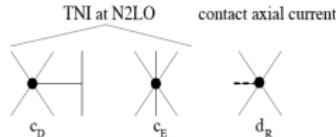
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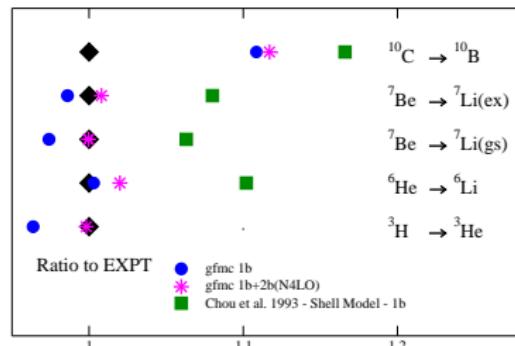
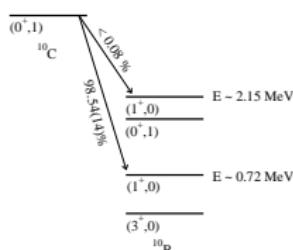
Outlook



- Correct relation between c_D and d_R

$$d_R = -\frac{m}{4g_A \Lambda_\chi} c_D + \frac{m}{3} (c_3 + 2c_4) + \frac{1}{6}$$

- GT m.e.'s in $A=6-10$ nuclei (AV18/IL7 potential with χ EFT axial current)



Low-energy neutrinos

Basic model

Nuclear χ EFT

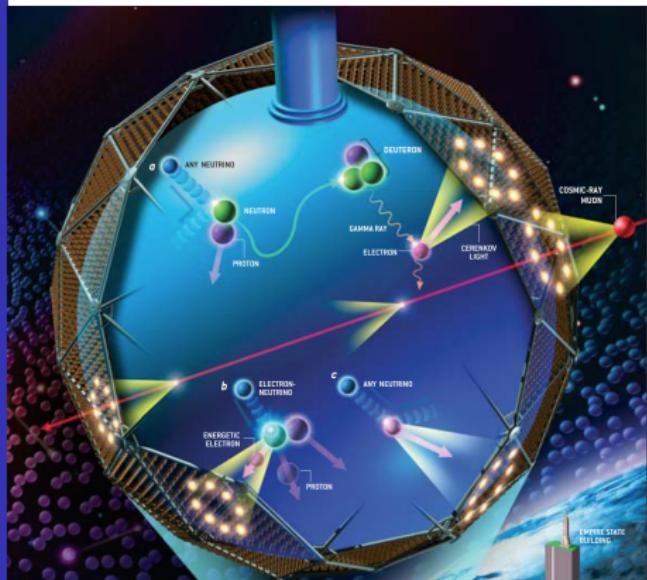
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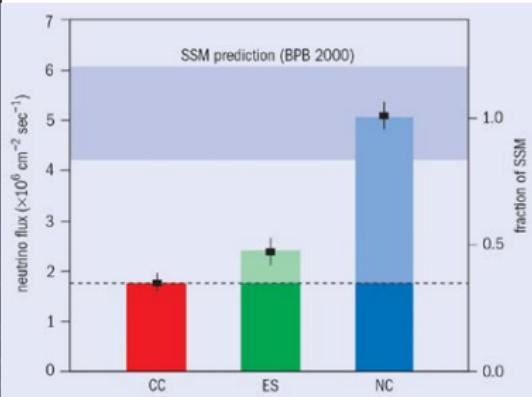
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SNO experiment



CC from $d + \nu_e \rightarrow p + p + e^-$

ES from (mostly) $e^- + \nu_e \rightarrow e^- + \nu_e$

NC from $d + \nu_x \rightarrow p + n + \nu_x$

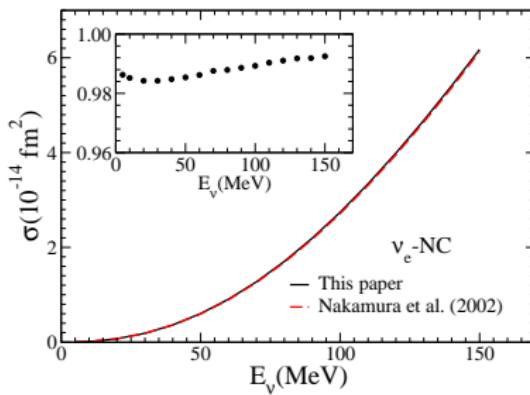
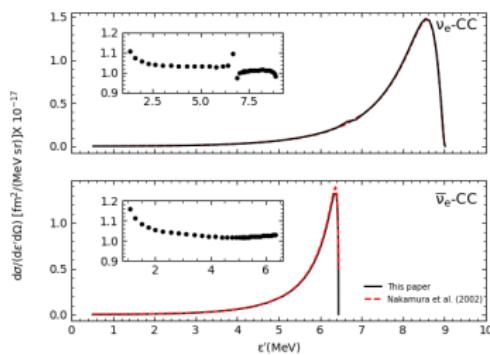
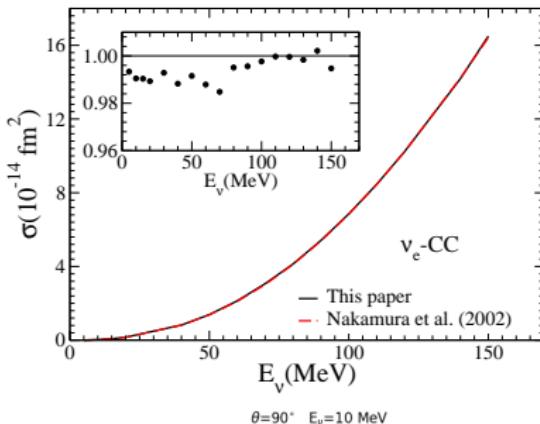
Low-energy inclusive ν - d scattering in χ EFT

Baroni and Schiavilla (2017)

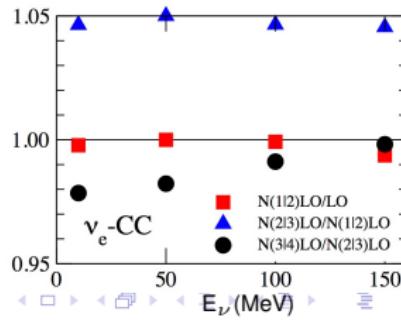
Basic model

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convergence pattern



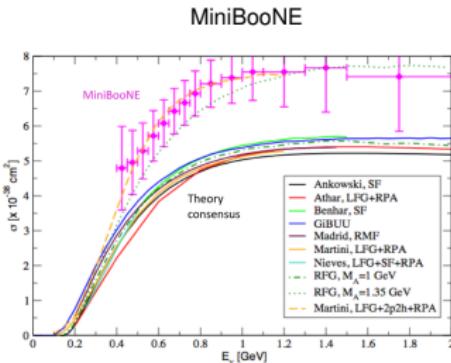
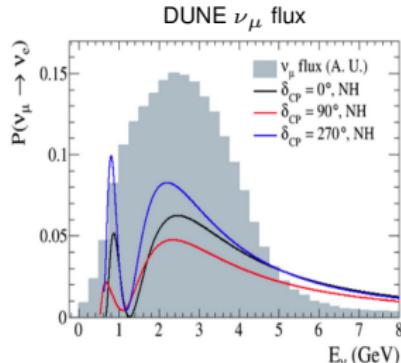
CC and NC ν -A scattering

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- Nuclear χ EFT
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- Chiral $3N$ potentials
- EW interactions
- EW QE response
- Outlook

- Large program in accelerator ν physics (MicroBooNE, NO ν A, T2K, Miner ν a, DUNE, ...)

$$\text{rate} \propto \int dE \Phi_\alpha(E) P(\nu_\alpha \rightarrow \nu_\beta; E) \sigma_\beta(E, E')$$

- Determination of oscillation parameters depends crucially on our understanding of
 - ν flux $\Phi_\alpha(E)$
 - ν -A cross section $\sigma_\beta(E, E')$



GFMC calculation of EM response in ^{12}C

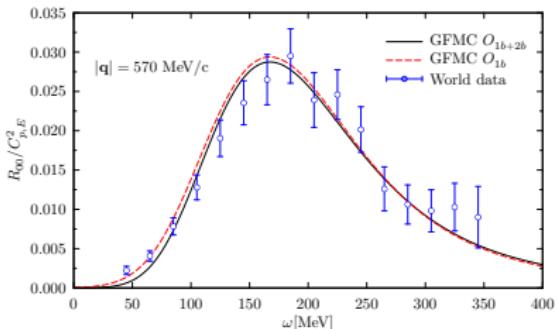
Carlson and Schiavilla (1992,1994); Lovato *et al.* (2013–2016)

$$\int_0^\infty d\omega e^{-\tau\omega} R_{\alpha\beta}(q, \omega) = \langle i | j_\alpha^\dagger(\mathbf{q}) e^{-\tau(H-E_i)} j_\beta(\mathbf{q}) | i \rangle$$

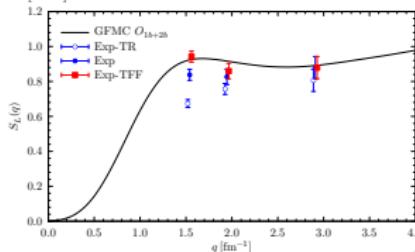
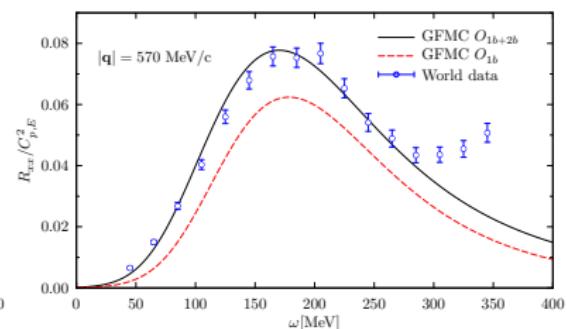
- Basic model
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- Inversion back to $R_{\alpha\beta}(q, \omega)$ by maximum entropy methods

longitudinal



transverse



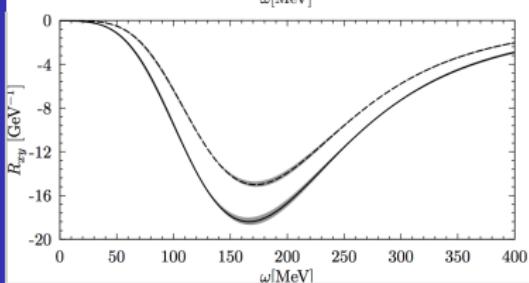
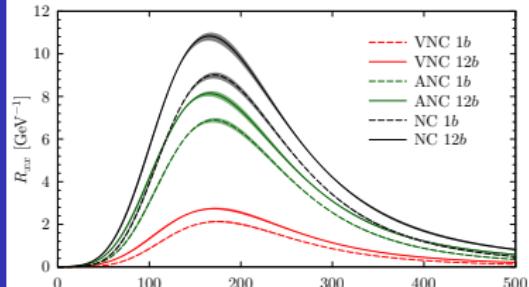
← Coulomb sum

NC responses and cross sections in ^{12}C

Lovato *et al.* (2018)

- Inclusive $\nu/\bar{\nu} (-/+)$ cross section given in terms of five response functions

$$\frac{d\sigma}{d\epsilon'_l d\Omega_l} \propto [v_{00} R_{00} + v_{zz} R_{zz} - v_{0z} R_{0z} + \overbrace{v_{xx} R_{xx} \mp v_{xy} R_{xy}}^{\text{dominant}}]$$



Basic model

Nuclear χ EFT

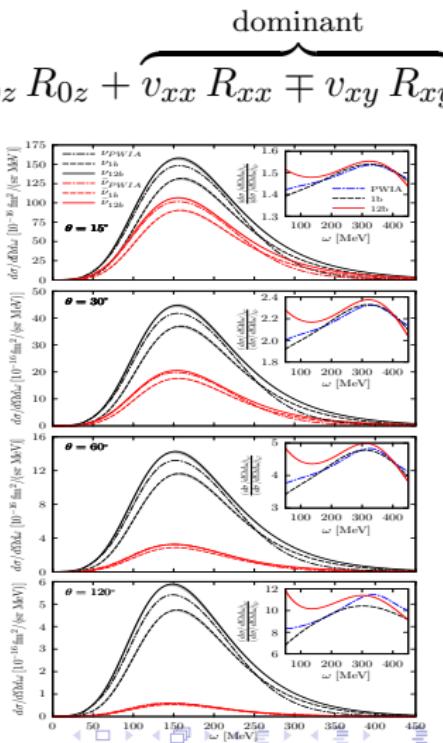
Chiral 2N potentials

Chiral 3N potentials

EW interactions

EW QE response

Outlook





Outlook

Basic model

Nuclear χ EFT

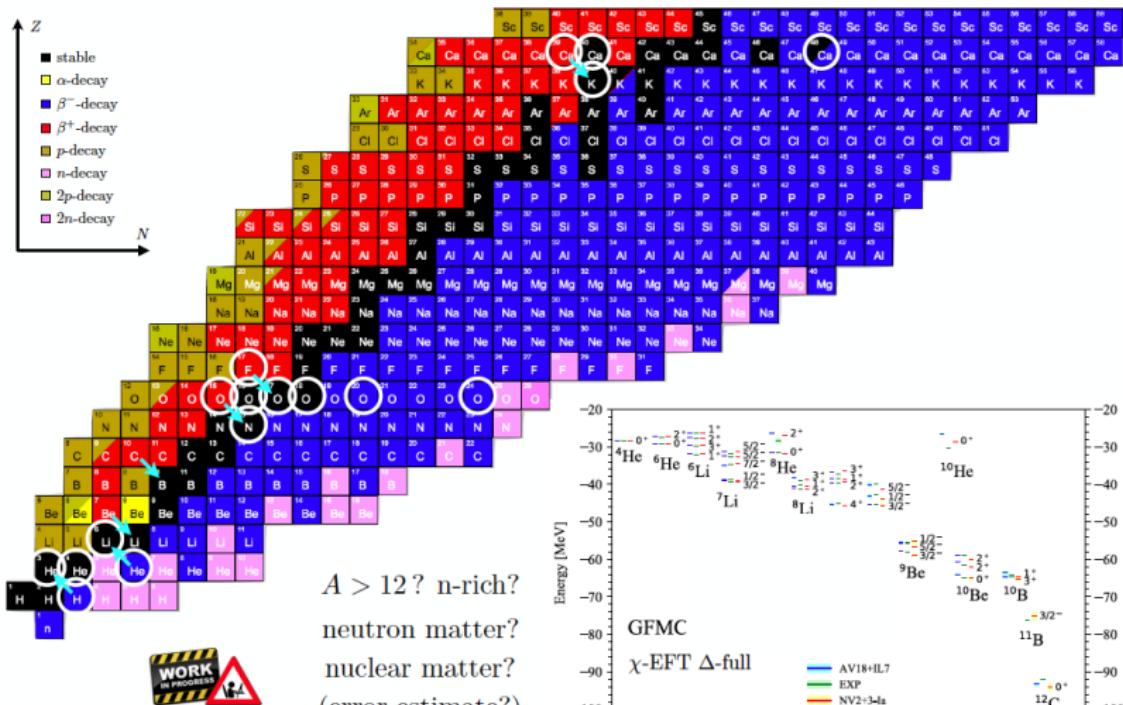
Chiral $2N$
potentials

Chiral $3N$
potentials

EW
interactions

EW QE
response

Outlook



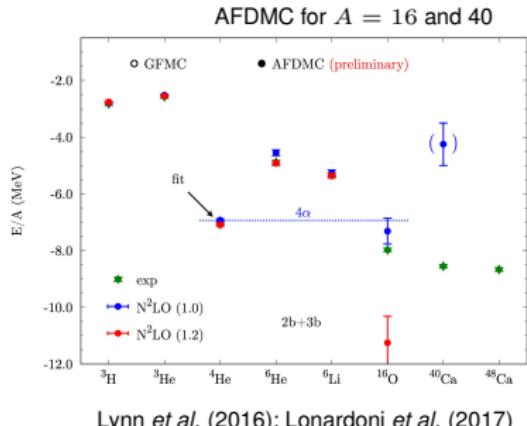
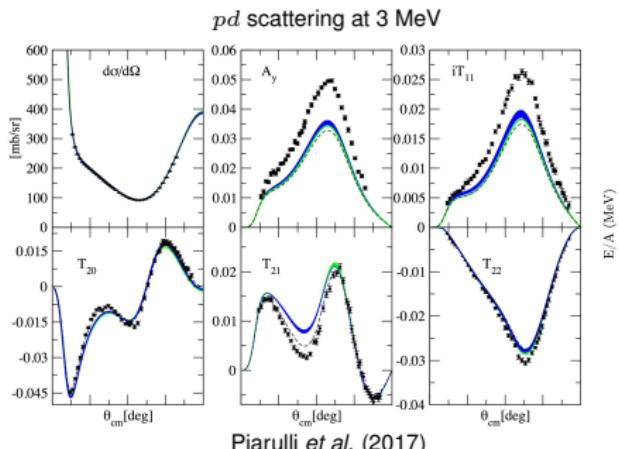
M. Piarulli *et al.*, arXiv:1707.02883

Leading and subleading $3N$ potentials

Girlanda *et al.* (2011) and private communication

Basic model
 Nuclear χ EFT
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 Outlook

- Fix c_D by reproducing measured ^3H GT matrix element
- Possible strategies for constraining c_E and the (10 in principle) LECs in subleading contact $3N$ potential:
 - *Nd scattering observables at low energies*
 - *Spectra of light- and medium-weight nuclei and properties of nuclear/neutron matter*



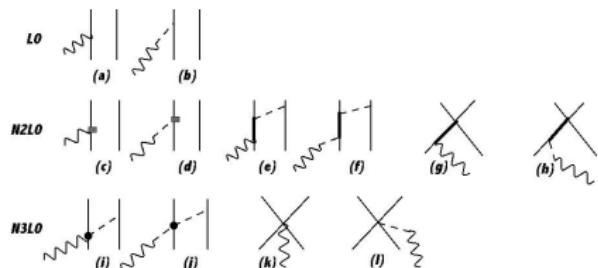
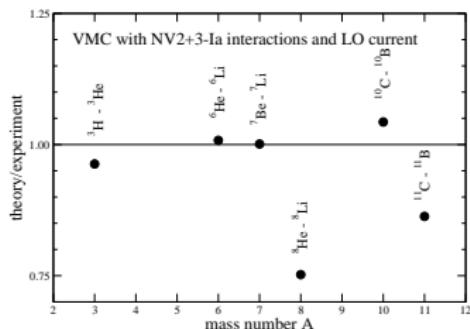
Weak transitions with χ EFT in $A \geq 3$ nuclei

Basic model

Nuclear χ EFTChiral $2N$
potentialsChiral $3N$
potentialsEW
interactionsEW QE
response

Outlook

- Simple at tree level (and calculations are in progress); still a single LEC in the axial current



- A major task at N4LO as there are a great many two- and three-body contributions at that order

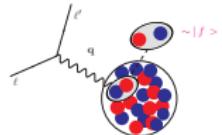
Approximate methods for ν -A scattering

Pastore *et al.* private communication; Rocco *et al.* private communication

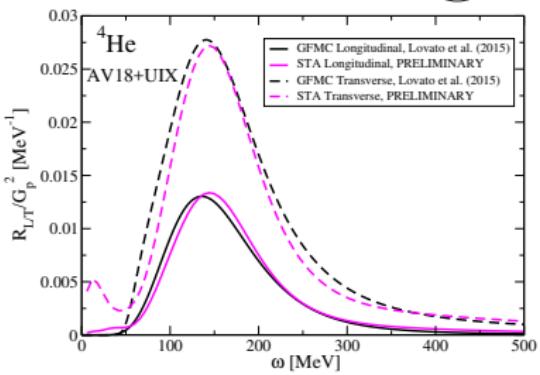
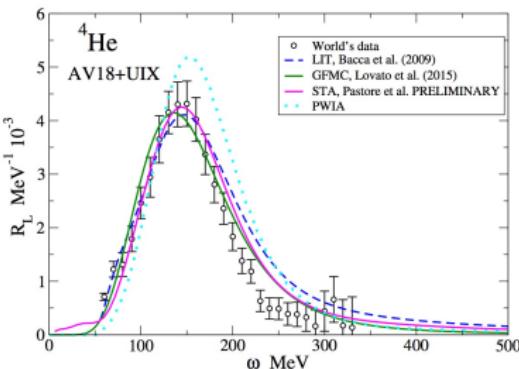
- Beyond PWIA: including two-body physics in the short-time approximation (STA)

$$R(q, \omega) \sim \int dt \langle 0 | \underbrace{O^\dagger(\mathbf{q}) e^{-i(\omega - H)t}}_{\text{expand } P(t)} O(\mathbf{q}) | 0 \rangle$$

keep up to 2b terms in O



$$O_i^\dagger P(t) O_i + O_i^\dagger P(t) O_j + O_i^\dagger P(t) O_{ij} + O_{ij}^\dagger P(t) O_{ij}$$



- STA applicable to heavier targets (^{16}O and ^{40}Ar) and can accommodate relativity and pion production



The HH/QMC team

Basic model

Nuclear χ EFT

Chiral $2N$
potentials

Chiral $3N$
potentials

EW
interactions

EW QE
response

Outlook

- The ANL/JLAB/LANL/Pisa collaboration members:

| | |
|-------------------------|--------------------------|
| A. Baroni (USC) | L.E. Marcucci (U-Pisa) |
| J. Carlson (LANL) | S. Pastore (LANL) |
| S. Gandolfi (LANL) | M. Piarulli (ANL) |
| L. Girlanda (U-Salento) | S.C. Pieper (ANL) |
| A. Kievsky (INFN-Pisa) | R. Schiavilla (ODU/JLab) |
| D. Lonardoni (LANL) | M. Viviani (INFN-Pisa) |
| A. Lovato (ANL) | R.B. Wiringa (ANL) |
- Computational resources from *ANL LCRC*, *LANL Open Supercomputing*, and *NERSC*