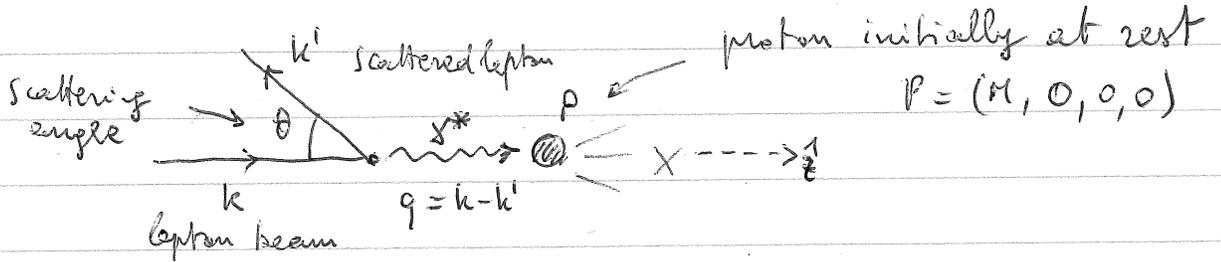


Reminder

Investigate the internal structure of hadrons in the so-called "Deep-Inelastic" kinematical regime

Example: lepton-proton scattering



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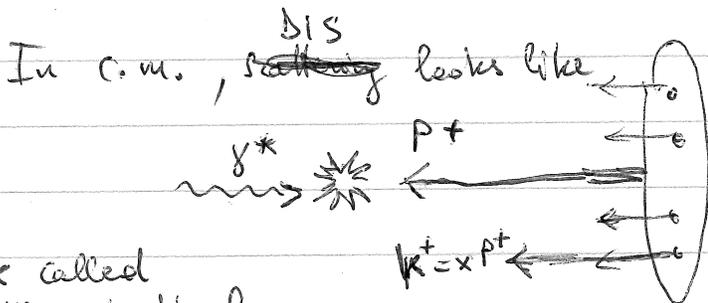
if we assume  $q = (y, \vec{q})$  and  $\vec{q} = (0, 0, |\vec{q}|)$  then the proton final momentum becomes  $P' = (\sqrt{M^2 + P_z^2}, 0, 0, P_z)$  with  $P_z^2 = |\vec{q}|^2$

Deep-Inelastic regime means  $Q^2 = -q^2 \rightarrow \infty$   
 (DIS)  $x_B = \frac{Q^2}{2P \cdot q}$  finite

In this limit,  $P_z^2 = |\vec{q}|^2 \gg M^2$  hence

$P' \sim (P_z^2, 0, 0, P_z)$  or using Light-Cone (LC) coordinates  
 $P^\pm = \frac{P^0 \pm P_z}{\sqrt{2}}$   
 $= (P'^+ = \sqrt{2} P_z^2, P'^- = 0, \vec{0}_\perp)$  i.e.  $P^+ \gg P^-$  ← neglected

So, DIS means that the dominant component of proton momentum is  $P^+$  (and the problem has 1 less dimension)



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x called "longitudinal momentum fraction"

It turns out  $x \sim x_B$

↔ Lorentz contraction

Basic idea of Feynman parton model.

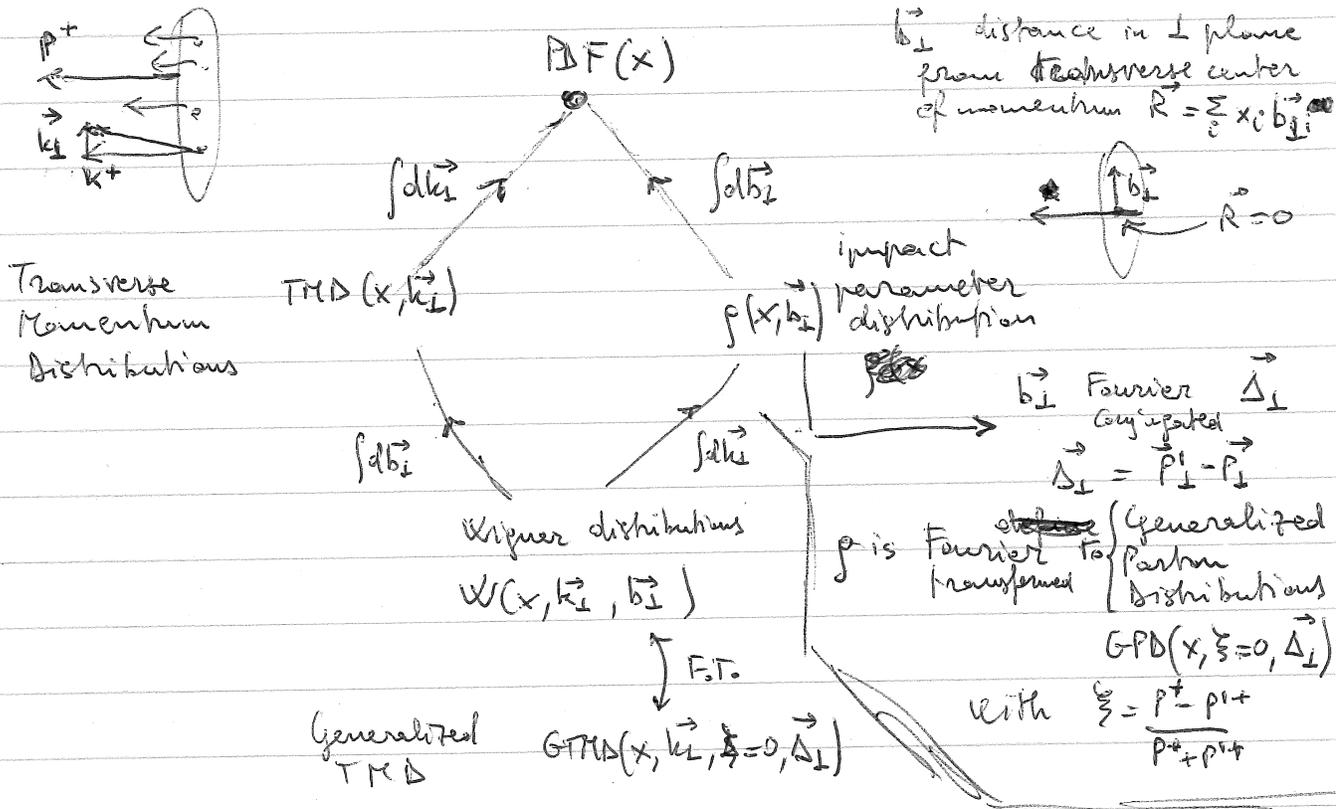
Factorization between hard scattering in  $\star$  and Parton distribution Function PDF(x), that describes the assembly of collinear partons before collision

2/16

General picture

If we release the approximation of all partons moving collinearly, we can define a new set of nonperturbative objects containing a richer information.

resume figure



Wigner distributions (or GTMD) contain the most complete information on parton dynamics. But we are not so sure about which process we can extract them from (talk from A. Metz next week).

Hence, it's important to explore 3-dimensional motion of partons independently through TMD's & GPD's (this is possible because  $k_{\perp}$  is not Fourier-conjugate of  $b_{\perp}$ ).

Here, we focus on TMD, i.e. on 3-dim ~~structure~~ <sup>structure</sup> of ~~partons~~ <sup>hadrons</sup> in momentum space.

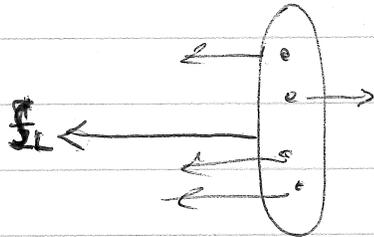
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Evidences

Evidences of ~~features~~ inadequacy of collinear picture from experiments:

1 - "Spin Crisis"

Figure figure



The helicity of the proton  $S_L$  (projection of its spin along direction of motion)

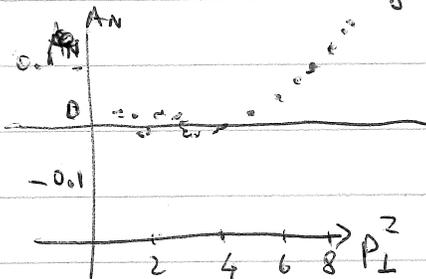
is not saturated by the sum of the helicities of quarks  $\Delta q$

The contribution of  $\Delta q$  depends on the energy scale of the considered process, but it can be  $\sim 25\%$ .

We do not have yet full control of the contribution of gluons  $\Delta g$ . But it is unlikely that is  $\Delta g \sim 75\%$ .

In which case, ~~we need~~ in order to saturate the sum rule we need an extra contribution from the Orbital Angular Momentum (OAM) of partons  $\rightarrow$  indication that orbital (non collinear) motion matters

2 - elastic scattering  $p^\uparrow p \rightarrow pp$  build Asymmetry  $\frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} = A_N$



$P_L$  is the transv. momentum of scattered proton

data from CERN (24 GeV beam)  
AGS @ BNL (24 & 28 GeV)

Review Krusch, E.P.J. A31 (07) 417

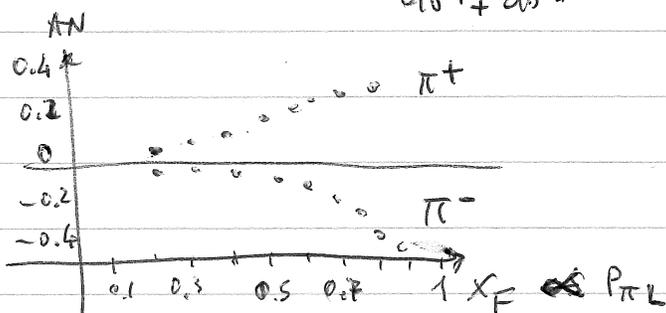
The perturbative QCD (pQCD) predicts  $A_N = 0$ .

$A_N \neq 0$  is an indication of correlation between  $S_T$  of  $p$  and  $k_i$  of partons. Persist (and large) at high energies.

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3 - semi-inclusive proton collisions  $p^\uparrow p \rightarrow \pi X$

again 
$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$



in pQCD  $A_N$  is suppressed  
because M.S.W. polarization  
mixes different helicities.

But data show  $|A_N| \lesssim 40\%$

Correlation between  $S_T$  of proton  
and  $k_T$  and flavor of partons?

E704 data at  $\sqrt{s} = 20$  GeV

Persists up to  $\sqrt{s} = 200$  GeV (Adams et al. (STAR), P.R.L. 92 (04) 171801)

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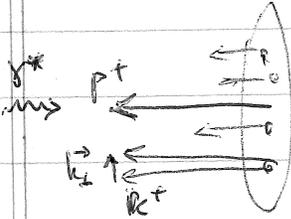
Multi-scale factorization theorem

TMD's need two scales:

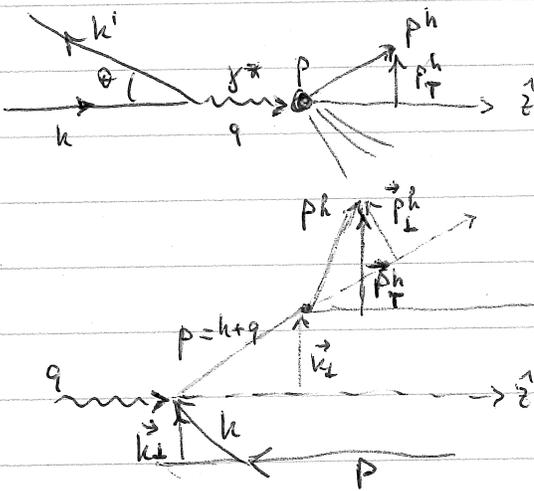
- hard scale  $Q^2$  to "see" partons

- soft scale  $\sim M_p$  to be sensitive to the motion of partons inside hadrons, i.e. to confinement

reuse figure



reuse figure



in order to be sensitive to  $k_{\perp}$  of partons, we need to measure a final-state hadron with  $\vec{p}_T^h \neq 0$

$\Rightarrow$  Semi-Inclusive DIS (SIDIS)

In fact, the kinematics is

and we have  $\vec{p}_T^h = z \vec{k}_{\perp} + \vec{p}_{\perp}^h + \mathcal{O}\left(\frac{k_{\perp}^2}{Q^2}\right)$

measured  $\uparrow$  internal  $\uparrow$

The variable  $z$  is the fractional energy carried by the hadron.

It is  $z = \frac{p^h_-}{k^-}$ , it is the analogue of

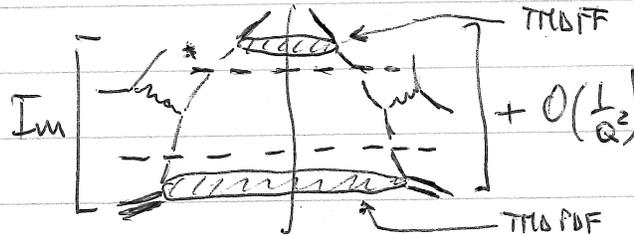
$x$  for the fragmentation process.

So, for  $|p_T^h| \sim M \ll Q$  we can factorize the SIDIS cross section:

reuse figure

$\sum_X \left| \text{Diagram} \right|^2$

optical theorem  
factoriz. theorem  
=



$d\sigma = \int d\vec{k}_{\perp} d\vec{p}_{\perp}^h \delta(z \vec{k}_{\perp} + \vec{p}_{\perp}^h - \vec{p}_T^h) w(\vec{k}_{\perp}, \vec{p}_{\perp}^h)$   
 $\cdot \text{TMDPDF}(x, \vec{k}_{\perp}) d\sigma_{\text{hard}}^1 \text{TMDFF}(z, \vec{p}_{\perp}^h) + \mathcal{O}\left(\frac{p_{\perp}^h{}^2}{Q^2}\right)$   
 $\equiv \text{TMDPDF} \otimes_w d\sigma_{\text{hard}}^1 \otimes_w \text{TMDFF} + \mathcal{O}\left(\frac{p_{\perp}^h{}^2}{Q^2}\right)$

N.B.  $w(\vec{k}_{\perp}, \vec{p}_{\perp}^h)$  can be simple as  $=1$

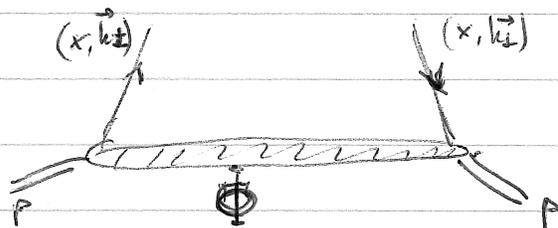
$\rightarrow$  at  $|p_{\perp}^h| \ll Q$  the  $d\sigma_{\text{hard}}^1$  can be factorized out of convolution since  $d\sigma^1(Q^2)$

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The TMD  
"Zero"

Factorization theorem for SIDIS allow us to isolate the non local correlator

⇒ keep figure

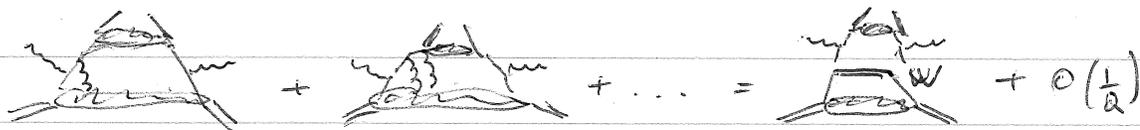


$$\text{OPE expansion} \rightarrow \bar{\Phi}(x, k_+) = \sum_a \bar{\Phi}^{[a]}(x, k_+)$$

$$= \frac{1}{2} \int \frac{dz^- d\vec{z}_\perp}{(2\pi)^3} e^{ixP^+z^- - ik_\perp \cdot \vec{z}_\perp} \langle PS | \bar{\Psi}(-\frac{z}{2}) \Gamma_a W(-\frac{z}{2}, \frac{z}{2}) \Psi(\frac{z}{2}) | PS \rangle$$

where the gauge link  $W = \exp\left[i \int_{-\frac{z}{2}}^{\frac{z}{2}} dy^- A^+(y^-)\right]$  grants color-gauge invariance for the non local  $\bar{\Psi}\Psi$  operator acting on  $-\frac{z}{2}$  and  $\frac{z}{2}$ . The  $W$  describes the color-FSI of active quark and spectators through the exchange of infinite soft gluons along the "-" direction.

resume figure



$\Gamma_a$  is a Dirac operator that projects a certain polarization state of the parton:  $\gamma^+$  → unpolarized,  $\gamma^+\gamma_3$  longitudinally polarized, ...  
Some of this projections appear unsuppressed (leading twist)  
Some suppressed as  $\frac{1}{p_T} \equiv \frac{1}{Q}$  (subleading twist), etc. ...  
If we add the possibility for the parton to be polarized (S)  
we deduce the following table of TMD PDF's at leading twist

parton polarization	U	L	T
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^\perp$
T	$f_{1T}$	$g_{1T}$	$h_{1T}, h_{1T}^\perp$

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We get an analogous table for TMDFF

quark polariz. has helicity & polarization	U	L	T
U	$D_1$		$H_1^\perp$
L		$G_{1L}$	$H_{1L}^\perp$
T	$D_{1T}^\perp$	$G_{1T}$	$H_{1T}, H_{1T}^\perp$

Comments

~~Comments~~

1- if we integrate  $\int d\vec{k}_T$  only diagonal elements of table survive

$$\begin{aligned} \text{TMDPDF} &\rightarrow \text{PDF} \\ \text{TMDFF} &\rightarrow \text{FF} \end{aligned}$$

2- LL box  $\rightarrow g_{1L}$  is the TMDFF involved in the ~~TMDFF~~ calculation of parton contribution to the proton helicity ("spin sum rule")

3- the T column contains correlator involving transversely polarized quarks. ~~For quarks (spin = 1/2),~~ It means that these matrix elements do not conserve helicity ( $\langle \uparrow | \dots | \uparrow \rangle = \langle +1 | \dots | +1 \rangle + \langle -1 | \dots | -1 \rangle$   
 $+ \langle +1 | \dots | -1 \rangle + \langle -1 | \dots | +1 \rangle$ )

since for quarks (spin = 1/2) helicity = chirality, these TMDPDF's are named "chiral-odd". For pQCD, they ~~are suppressed~~ contribution is suppressed as  $m_q/Q$ . But they appear in spin asymmetries at leading twist, and the size of the asymmetry can be as large as 10%!

4- the UT and TU boxes survive only thanks to the ~~the~~ gauge link  $U$ , which provides the necessary phase difference to generate interference of different channels (color FSI).

5- In particular, the UT box contains the so-called Sivers functions that is produced by the interference of LC wave functions with different OAM. The Sivers effect has been measured: it gives us the size of distortion of  $k_T$ -distribution of an unpolarized quark because of the transv. polarization  $S_T$  of the proton.

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6- The UT box (Sivers effect) could be represented as the nonperturbative correlation  $S_T \cdot (\vec{k}_T \times \vec{P})$ .

Similarly, the TV box (Boer-Mulders effect) is  $\vec{S}_T^{\perp} \cdot (\vec{k}_T \times \vec{P})$ .

The empty boxes UL and LV are forbidden by parity-invariance:  $\vec{S}_L^{\perp} \cdot (\vec{k}_T \times \vec{P}) = 0$  and  $\vec{S}_L \cdot (\vec{k}_T \times \vec{P}) = 0$

7- data on azimuthal/spin asymmetries related to all boxes have been obtained in the last years (Hornes, Campen, Jakob). Some clear evidences (Sivers effect, transversity  $h_1$ ...), some of them are consistent with 0 ( $h_{1T}^+$ ,  $h_{1L}^+$ ).

In general, the data set is not yet so large to allow to perform precision physics (in the sense applied to PDF extraction).

8- As for the TMAFF table, since most of the time the final state is made of mesons ( $\pi, K$ ), we basically have info only on the U row ( $D_1, H_1^+$ ). Surprisingly, we have more information on the  $P_T$ -dependence of  $H_1^+$  (thanks to data from BaBar and BESIII), while data suitable for studying the  $P_T$ -dependence of  $D_1$  have been announced by the Belle Collaboration ~~is~~.

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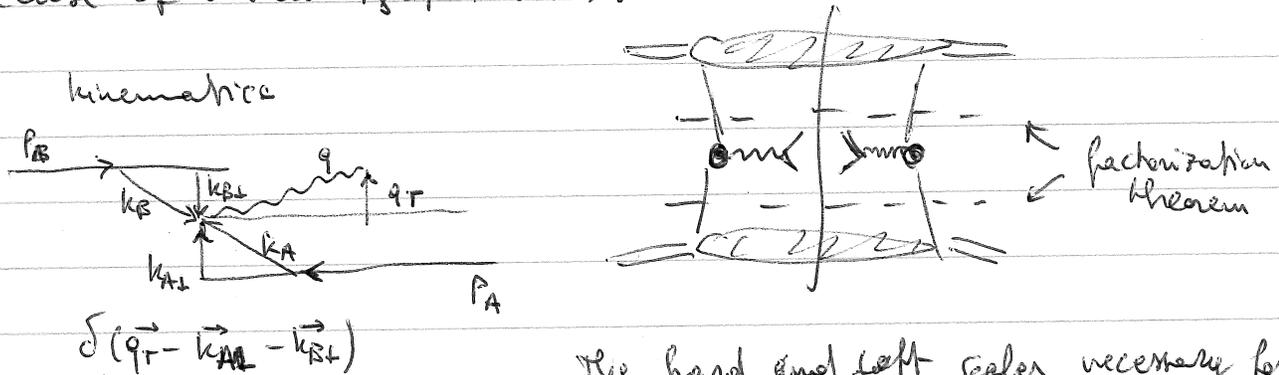
Date for  
the UV box

let's concentrate on the unpolarized case, the UV box  $f_1(x, \vec{k}_\perp)$  and  $D_1(z, \vec{k}_\perp)$

If we consider the SIDIS process, we face the problem of having 2 unknowns and 1 ~~precise~~ source of information only. ~~But the~~ We have seen that the TMDFF can be individually extracted by looking at the semi-inclusive production of hadrons in  $e^+e^-$  annihilation. But these data have not been released yet.

The TMDPDF's can be isolated independently in the so-called Drell-Yan processes, where lepton pairs are produced by the decay of vector bosons ( $\gamma^*, Z$ ) arising from proton-proton collisions. Because of universality of TMDPDF's:

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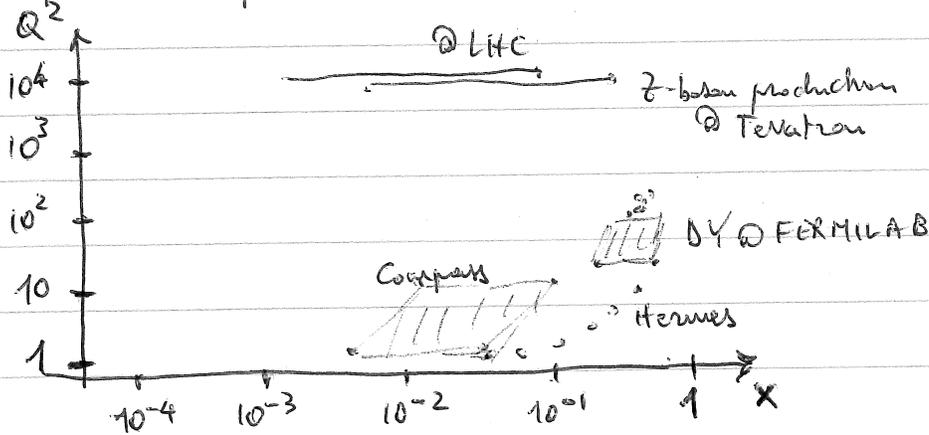
The hard and soft scales necessary for factorization theorem are:

- hard  $Q$  = invariant mass of vector boson
- soft  $q_T$  transv. momentum

$$\text{Then } d\sigma = \text{TMDPDF}_A \otimes d\sigma_{\text{hard}} \otimes \text{TMDPDF}_B$$

Useful data for TMDPDF extraction

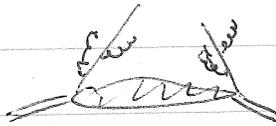
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Several groups have analyzed these data using different data subsets and different levels of sophistication in:

- calculation of  $\delta\Gamma_{\text{hard}}$  (Leading Order LO in  $\alpha_s$ )  
Next-to- " " NLO  
⋮
- resummation of large logarithms deriving from calculation of radiation effects at low  $k_T$   
(Leading Log approx. LL)  
Next-to- " " NLL  
⋮

resume figure



		Hermes	Compass	DY	Z	# points
Konychev & Nadel'sky P.L. B633 (06)	NLL/NLO	x	x	✓	✓	98
Parisi group 2013 JHEP 1311 (13)	no evo	✓	x	x	x	1538
Torino group 2014 JHEP 1404 (14)	no evo	✓ separate fit	✓ separate fit	x	x	576 6284
D'Alesio, Echevarria, Murgia Scimemi JHEP 1411 (14)	NNLL/NLO	x	x	✓	✓	223
Echevarria, Dolibei, Kang, Vitev PRD 89 (14)	NLL/LO	1 bin	1 bin	✓	✓	500
Scimemi & Vladimirov arXiv: 1706.01473	NNLL/NNLO	x	x	✓	✓	309

No group has ever considered all available data in one global fit until

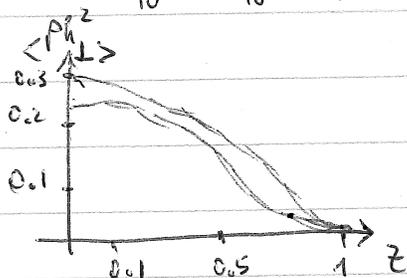
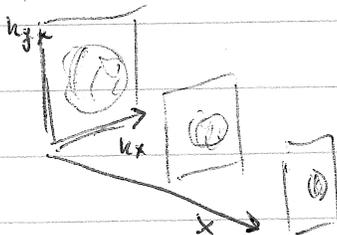
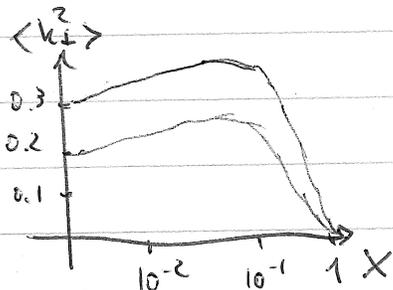
Parisi group 2016 NLL/LO ✓ ✓ ✓ ✓ 8059  
JHEP 1706 (17)

First attempt to extract TMD's from global fit → initiate era of precision physics for TMD's much as it happened with PDF  
Work still to be improved in theoretical analysis and to include LHC data

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Tomography

Main outcome of this global fit is the first tomography of proton in momentum space:



Similar info for TMDFF

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Open problems

1- extractions of TMDPDF's and TMDFF's from only SIDIS data face the problem of anticorrelation: since  $\vec{P}_T = z\vec{k}_T + \vec{P}_{h\perp}$

we have different anticorrelated pairs of  $(\vec{k}_T, \vec{P}_{h\perp})$  that reproduce the same measured  $\vec{P}_T$ .

In global fit of Pavia 2016, adding the ~~set~~ DY data and Z-boson production data slightly reduce the degree of anticorrelation, but it's not yet satisfactory.

The ultimate solution would be the release of data on semi-inclusive hadron production from  $e^+e^-$  annihilations including  $P_T^h$  information bin by bin. Waiting for these data from BELLE to have an independent constraint on TMDFF's.

2- present data are not sensitive enough to allow for a statistically relevant analysis of flavor dependence of TMD's.

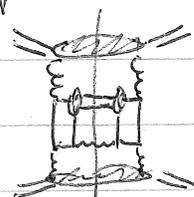
The PAVIA 2016 global fit is performed independently of flavor.

Work is in progress, because it is natural to expect, e.g.,  $\langle k_T^u \rangle \neq \langle k_T^d \rangle$

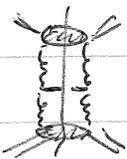
3- on equal footing, we don't have any quantitative info on gluon TMD's. This happens also because for hadronic collisions the factorization  $H_0$  is available only for DY.

For processes with 3 hadrons, there is no proof but also there is no counterexample disproving factorization.

Processes like  $pp \rightarrow J/\psi + \gamma + X$

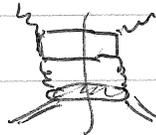


or  $pp \rightarrow \eta_c + X$



could be useful for TMDPDF's extraction. Hard scale is  $m_{J/\psi}$  or  $m_{\eta_c}$

Also  $e_p \rightarrow e' + jet + jet + X$

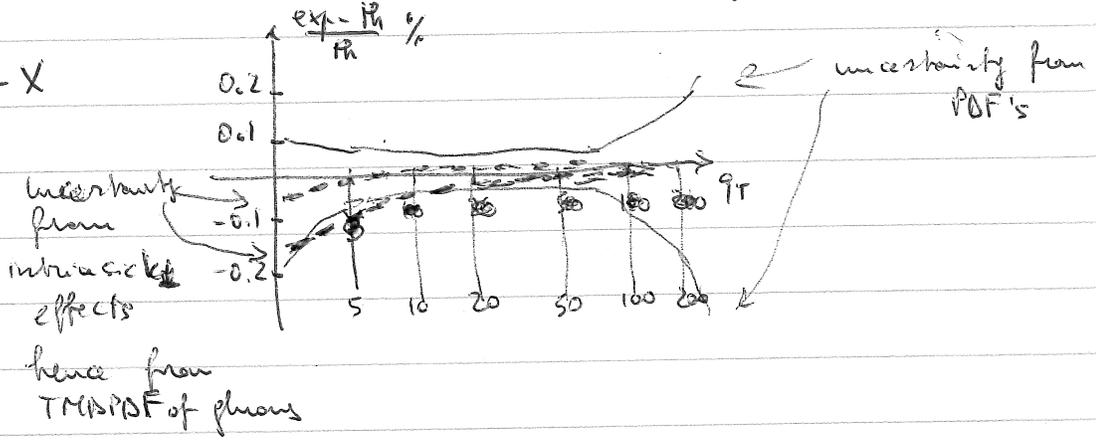


but again no fact. proof

(see talk by Pisano on Friday)

TMD PDFs has important impact on LHC physics.

$M_p \rightarrow H + X$



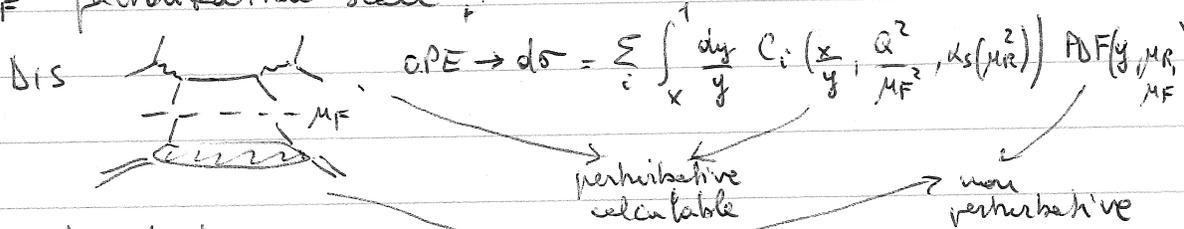
4- TMD evolution is different from PDF one!

~~in contrast~~ PDF depend formally on two scales:

-  $\mu_R$  renormalization scale

physics is independent of  $\mu_R$ :  $\frac{d\sigma}{d\mu_R^2} = 0 \Rightarrow$  RGE

-  $\mu_F$  factorization scale



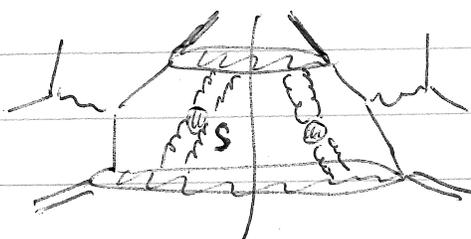
physics independent of  $\mu_F$

$\frac{d\sigma}{d\mu_F^2} = 0 \Rightarrow$  DGLAP evolution equations: how the PDF scales with changing  $\mu_F$

N.B. usually, we take  $\mu_F = \mu_R$

Now, TMD factorization in SIDIS process is more complicated, because there is an additional scale

reuse figure



We need to include a so-called soft factor S encoding the emission of soft gluons with only transv. momenta  $\sim P_T^h/Q$ . Why? to kinematically balance the transverse momentum flowing through the partonic line up to the observed hadron  $P_T^h$ .

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We have therefore

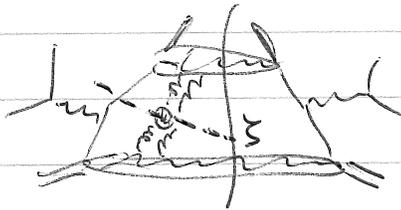
~~$$do = \text{TMDPDF} \otimes d\hat{\sigma}_{\text{hard}} \otimes S \otimes \text{TMDFF} + \mathcal{O}\left(\frac{b_T^2}{\Lambda_{\text{QCD}}^2}\right)$$~~

The appearance of  $S$  seems to break the factorization. Moreover,  $S$  contains divergences related to gluons with infinite rapidity. A new scale  $\zeta$  is introduced to regroup these divergent contributions partly in TMDPDF and partly in TMDFF

restoring factorization:

$$do = \text{TMDPDF} \otimes S_\zeta \otimes d\hat{\sigma}_{\text{hard}} \otimes S_\zeta \otimes \text{TMDFF} + \mathcal{O}\left(\frac{b_T^2}{\Lambda_{\text{QCD}}^2}\right)$$

rename figure



keep formula

Then, TMDs obey RGE  $\frac{d \log \text{TMD}}{d \log \mu_R} = \gamma_A$  anomalous dimension

and obey a new set of eq's  $\frac{d \log \text{TMD}}{d \log \zeta} = -K$  kernel process independent

TMD evolution is better analyzed in  $b_T$  space.

For  $b_T \ll \frac{1}{\Lambda_{\text{QCD}}}$  (large  $k_T$ ), the expression for TMDPDF can be worked out in perturbation theory using OPE. The final result is

keep formula

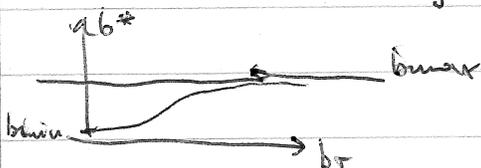
$$\text{TMDPDF}(x, b_T; \mu_R, \mu_F, \zeta) = e^{-S(\mu_R, \mu_b)} e^{-K(b_T, \mu_b) \log \zeta / \mu_b^2} \cdot [C \otimes \text{PDF}](x, b_T; \mu_b^2, \mu_F)$$

where  $S$  is the Sudakov factor and  $\mu_b = \frac{2e^{-\gamma_E}}{b_T}$

The Wilson coeffs  $C$  also obey  $\frac{d \log C}{d \log \zeta} = -K$  and ~~depend on  $b_T$~~

The PDF in the convolution depend on  $\mu_F$  via DGLAP evolution.

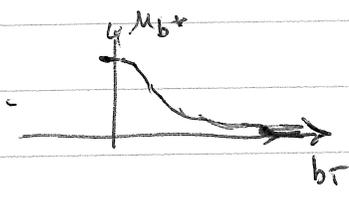
The above formula is valid for  $b_T \ll 1/\Lambda_{\text{QCD}}$ . For larger  $b_T$  we enter the non-perturbative domain. ~~It's~~ The transition can be made "smooth" by defining a  $b^*(b_T)$  such that  $\lim_{b_T \rightarrow \infty} b^* = b_{\text{max}}$



$$\lim_{b_T \rightarrow \infty} b^* = b_{\text{max}}$$
  

$$\lim_{b_T \rightarrow 0} b^* = b_{\text{min}}$$

Then, the scale  $\mu_b^* = \frac{2e^{-\gamma_E}}{b^*(b_T)}$  gets frozen to a minimum value at large  $b_T$ , where non-perturbative evolution sets in. And saturates to a max value  $\leq Q$  at small  $b_T$



The kernel  $K''$  is calculable at low  $b_T$ . ~~A general expression valid for all  $b_T$  looks like  $K''$~~  At large  $b_T$ , the non-perturbative ~~evolution~~ kernel is what is left over:  $g_{np}(b_T) = K(b_T, \mu_b) - K(b^*, \mu_b^*)$

So, the final expression for TMDPDF is (taking  $\mu_R = \mu_F = Q = \sqrt{s}$ )

resum formula

$$TMDPDF(x, b_T; Q) = e^{-S(Q, \mu_b^*)} e^{-K(b^*, \mu_b^*) \log Q^2 / \mu_b^{*2}} e^{-g_{np}(b_T) \log \frac{Q^2}{\mu_b^{*2}}} = [C \otimes PDF](x, b_T; \mu_b^*, Q) \times F_{NP}(x, b_T; Q_0)$$

where  $F_{NP}$  is the input function that describes the  $b_T$  distribution at large  $b_T$  and starting scale  $Q_0$ .

Various prescriptions are possible for non-perturbative components of above formula:

- choice of  $b^*(b_T)$  form
- choice of  $g_{np}(b_T)$  function
- choice of  $F_{NP}(x, b_T)$  at  $Q_0$

They all affect evolution, i.e. how  $k_T^2$  distribution changes with scale. They need to be constrained by data.

5- the factorization formula for SIBS do  $do = TMDPDF \otimes S_i \otimes do_{hard} \otimes S_f \otimes TMDFF + \mathcal{O}(\frac{1}{Q})$

resum formula

works for  $P_T^h / Q \ll 1$ . When  $P_T^h$  becomes large, it must match the result of fixed-order calculations in pQCD. This is still an open problem

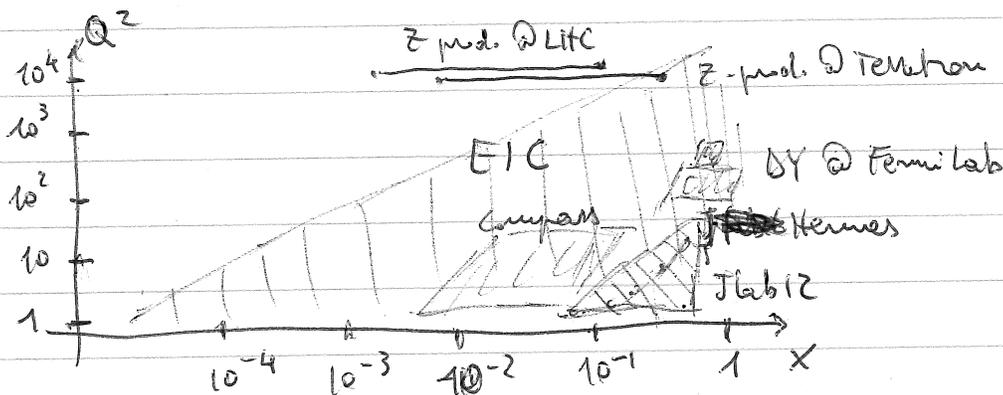
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Future

All above problems can receive valid input from new, abundant, and precise data.

Jlab12 & EIC are suited for that

usual  
figure



EIC filling gap in  $Q^2$  between SIS18 and DY data  
Jlab12 ~~is~~ looking at  $Q^2$  evolution at high x