

# Connections between TMD and collinear (twist-3) observables

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*TMD Topical Collaboration*

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Institute for Nuclear Theory, Seattle, WA  
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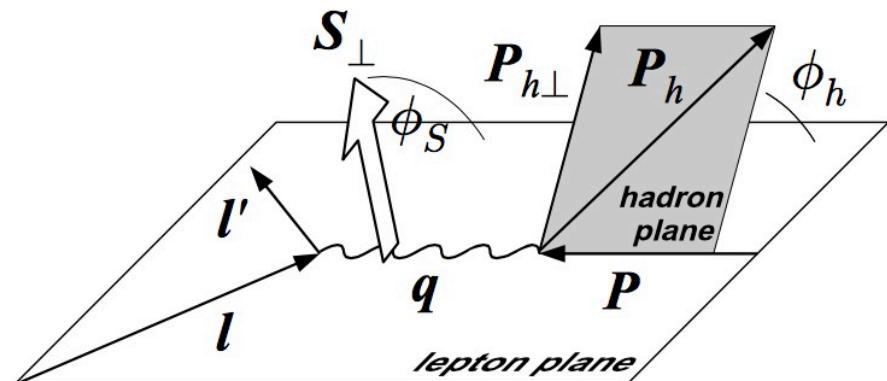
# Outline

- Background
  - Transverse single-spin asymmetries
  - TMD and collinear twist-3 (CT3) functions
- TMD and CT3 observables
  - Sivers and Collins effects
  - $A_N$  in  $pp \rightarrow \{\gamma, \pi\} X$
- Relations between TMD and CT3 functions
- Towards a global analysis of TMD and CT3 observables
- Summary

# Background

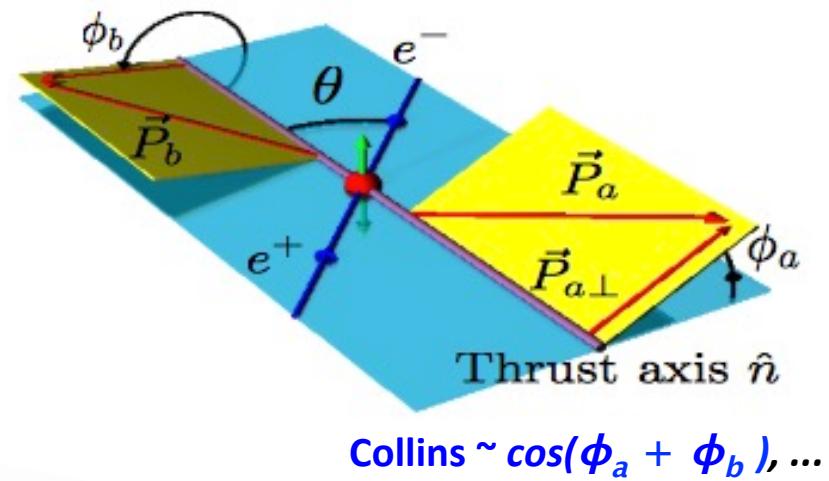


$$e N \rightarrow e' h X$$



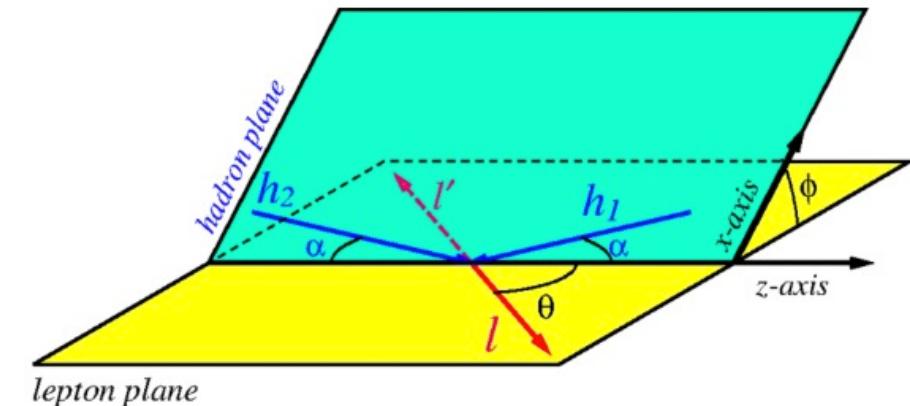
Sivers  $\sim \sin(\phi_h - \phi_s)$ , Collins  $\sim \sin(\phi_h + \phi_s)$ , ...

$$e^+ e^- \rightarrow h_a h_b X$$



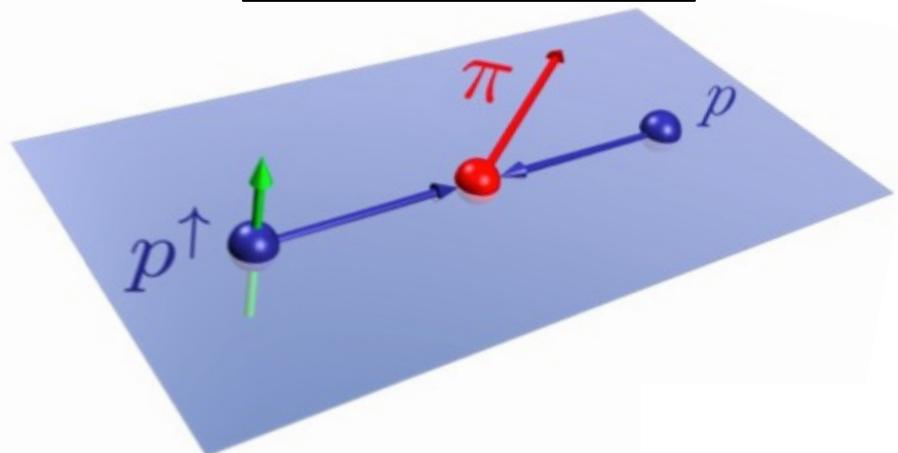
Collins  $\sim \cos(\phi_a + \phi_b)$ , ...

$$p^\uparrow \{p, \pi\} \rightarrow \{l^+ l^-, W/Z\} X$$



Sivers  $\sim \sin(\phi_s)$  (lepton pair) / Sivers  $\sim \cos(\phi_{W/Z})$  (boson)

$$p^\uparrow \{p, l\} \rightarrow \{\pi, \gamma\} X$$



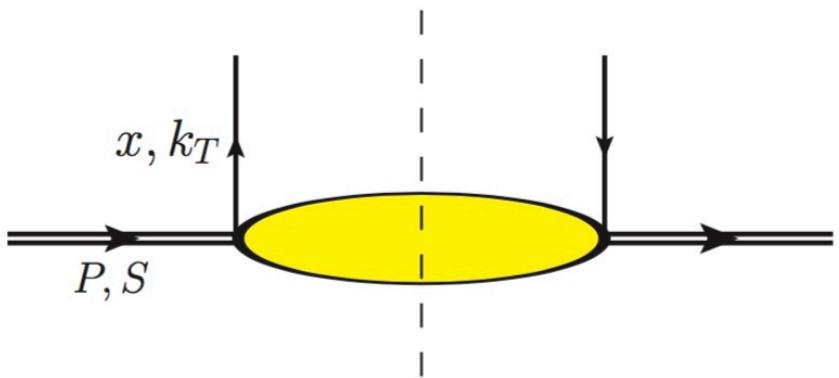
$A_N \sim d\sigma_L - d\sigma_R$



TMD PDFs ( $x, k_T$ )

q pol. H pol.	U	L	T
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_{1T}$ $h_{1T}^\perp$

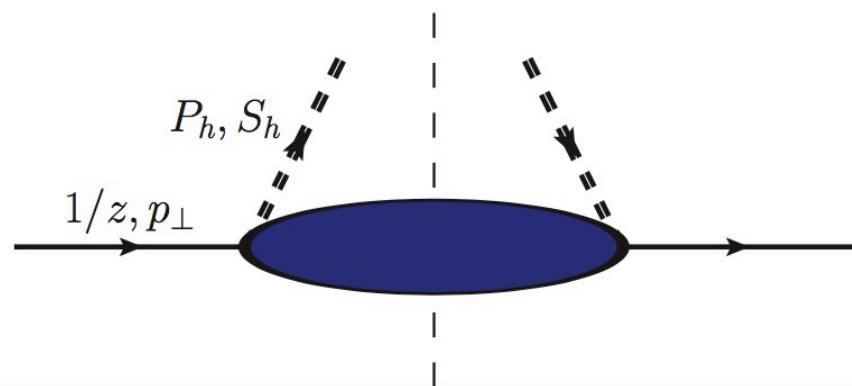
(Mulders, Tangerman (1996); Goeke, Metz, Schlegel (2005))



TMD FFs ( $z, p_\perp$ )

q pol. H pol.	U	L	T
U	$D_1$		$H_1^\perp$
L			$G_{1L}$
T	$D_{1T}^\perp$	$G_{1T}$	$H_{1T}$ $H_{1T}^\perp$

(Boer, Jakob, Mulders (1997))





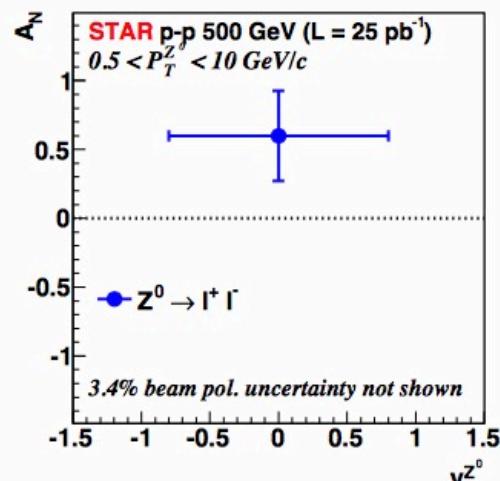
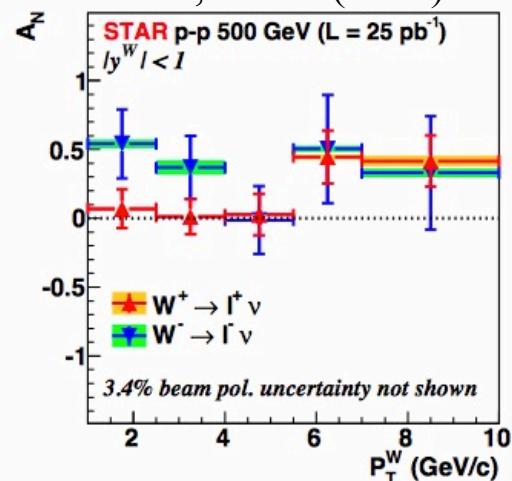
		CT3 PDF ( $x$ )	CT3 PDF ( $x, x_1$ )	CT3 FF ( $z$ )	CT3 FF ( $z, z_1$ )
		Hadron Pol.			
		intrinsic	kinematical	dynamical	intrinsic
U	$e$	$h_1^{\perp(1)}$		$H_{FU}$	$E, H$
L	$h_L$	$h_{1L}^{\perp(1)}$		$H_{FL}$	$H_L, E_L$
T	$g_T$	$f_{1T}^{\perp(1)},$ $g_{1T}^{\perp(1)}$	$F_{FT}, G_{FT}$	$D_T, G_T$	$D_{1T}^{\perp(1)},$ $G_{1T}^{\perp(1)}$
					$\hat{D}_{FT}^{\Re, \Im}, \hat{G}_{FT}^{\Re, \Im}$

# TMD and CT3 Observables

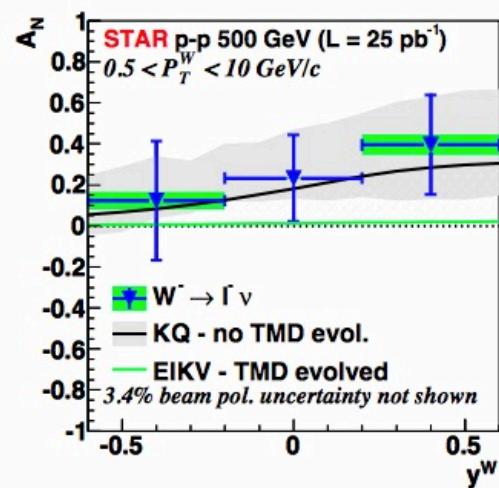
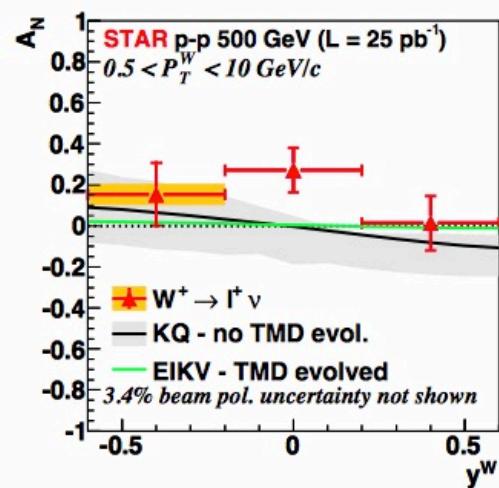
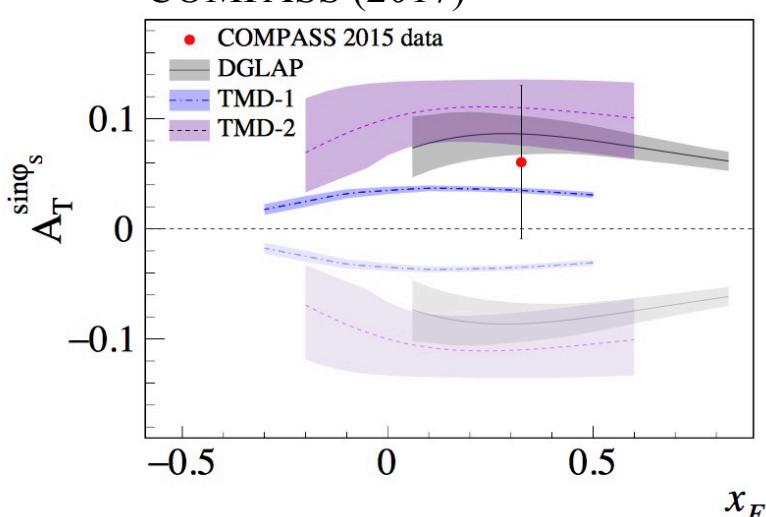


## Drell-Yan Sivers effect

RHIC, STAR (2016)



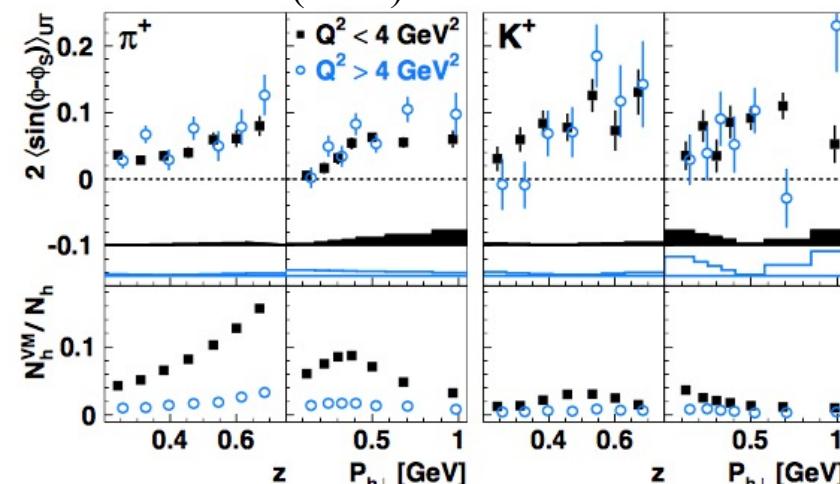
COMPASS (2017)



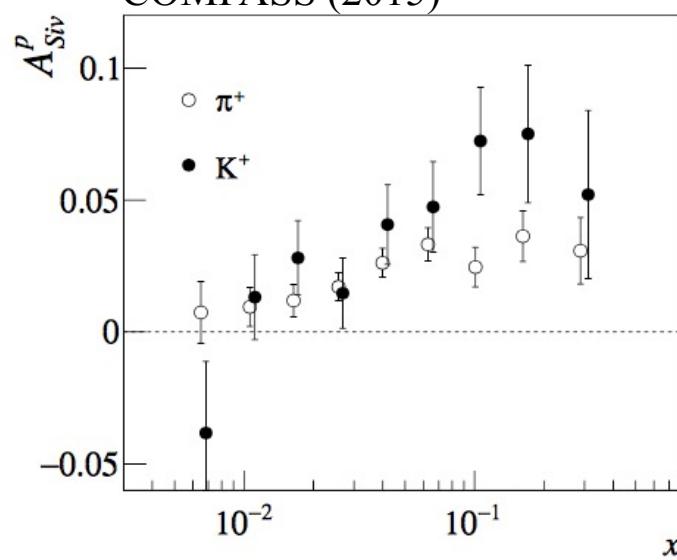


## SIDIS Sivers effect ( $\sin(\phi_h - \phi_s)$ )

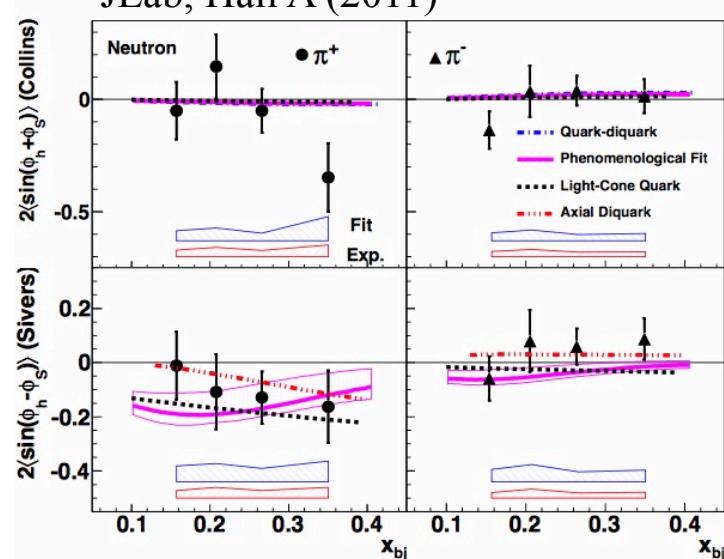
HERMES (2009)



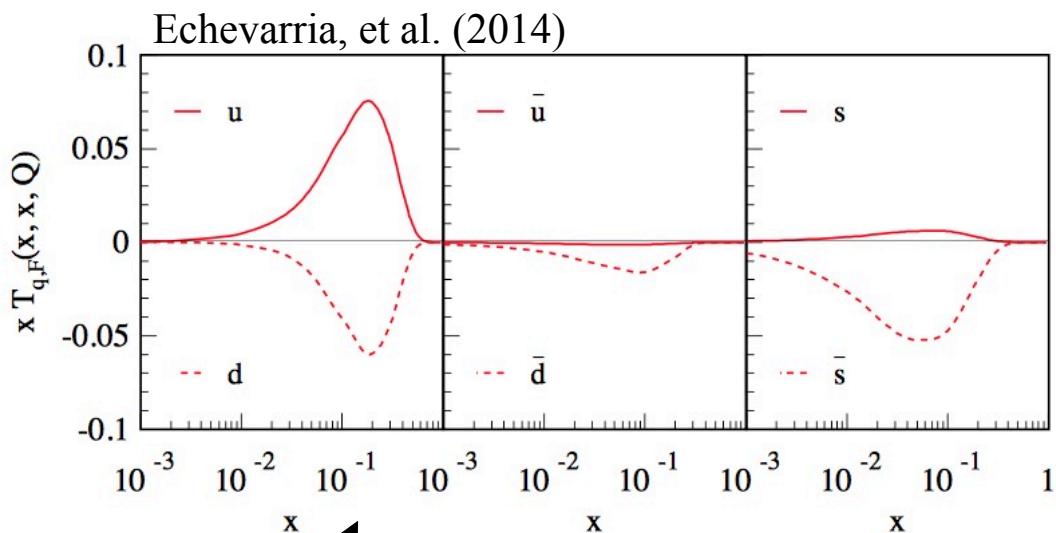
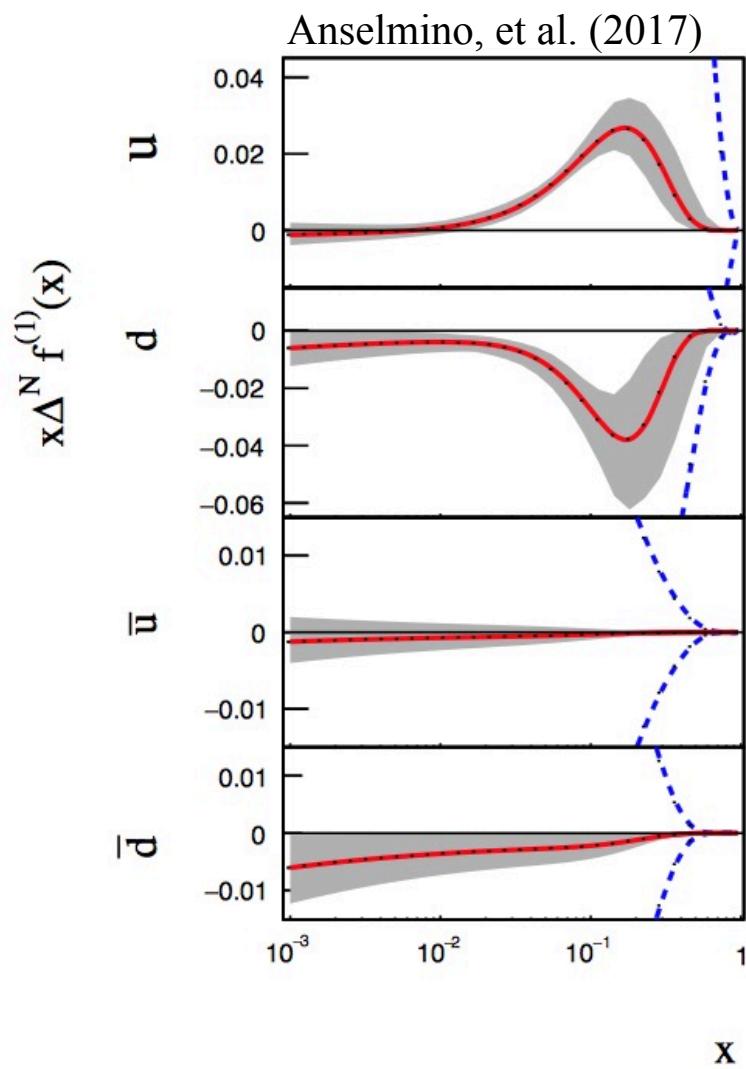
COMPASS (2015)



JLab, Hall A (2011)



$$F_{UT}^{\sin(\phi_h - \phi_s)} = \mathcal{C} \left[ -\frac{\hat{h} \cdot \vec{k}_T}{M} \mathbf{f}_{1T}^\perp D_1 \right]$$

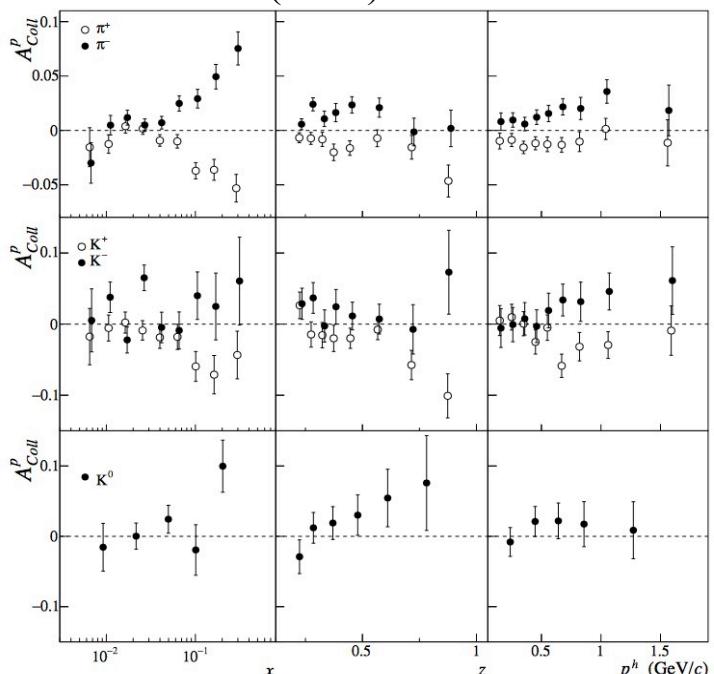


**TMDs in CSS  
formalism**



### SIDIS Collins effect ( $\sin(\phi_h + \phi_s)$ )

COMPASS (2015)

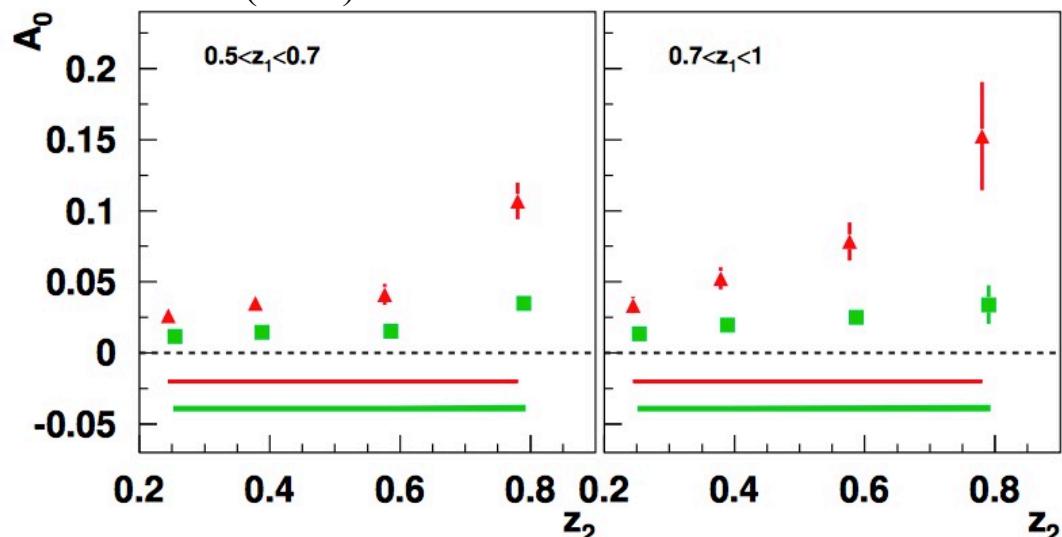


Also data from JLab Hall A (2011, 2014) and HERMES

$$F_{UT}^{\sin(\phi_h + \phi_s)} = \mathcal{C} \left[ -\frac{\hat{h} \cdot \vec{p}_\perp}{M_h} h_1 \mathbf{H}_1^\perp \right]$$

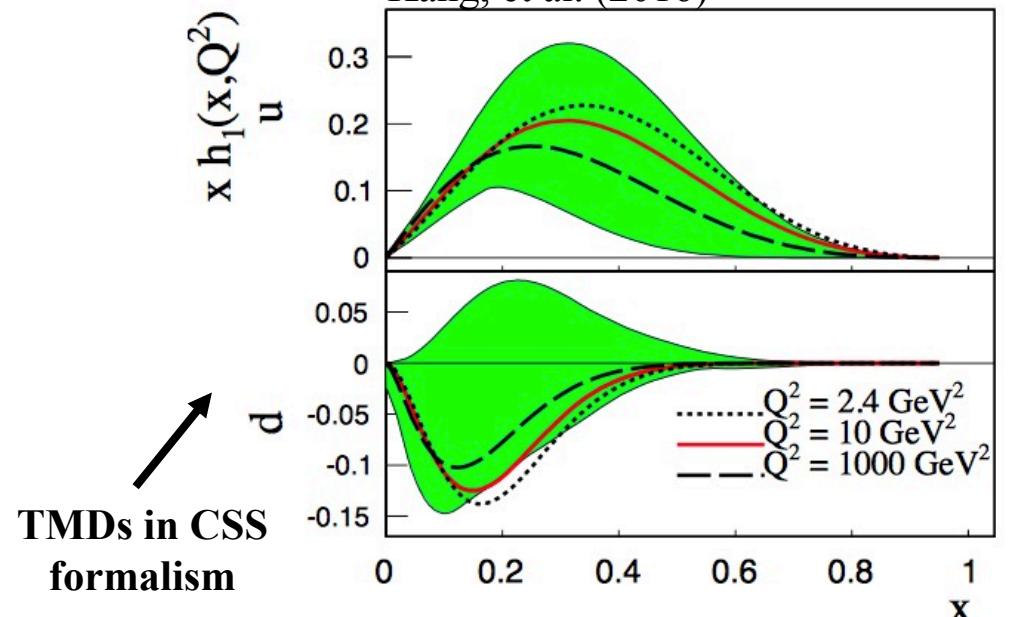
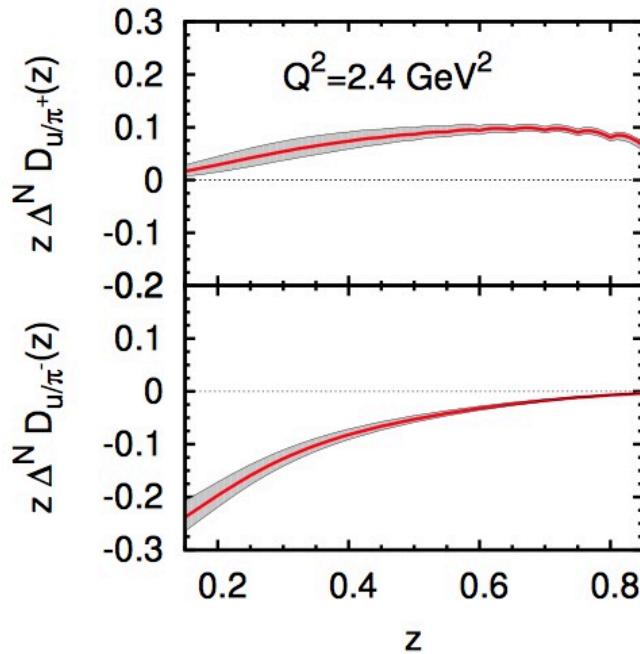
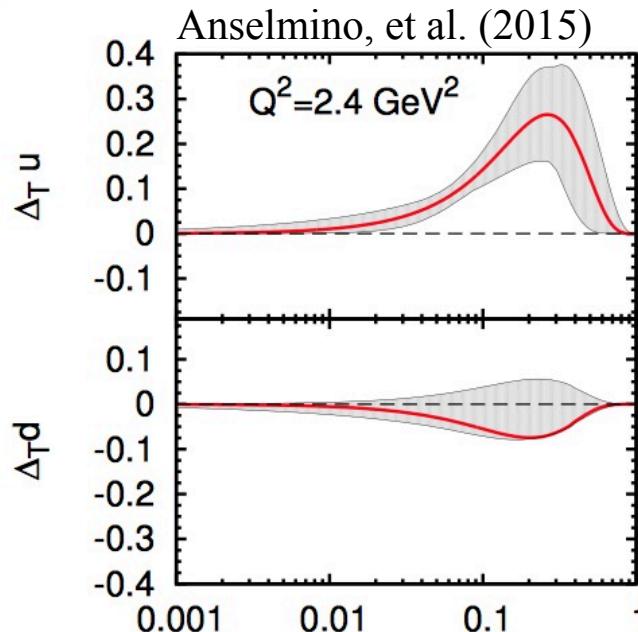
### $e^+e^-$ Collins effect ( $\cos(2\phi_0)$ )

Belle (2008)

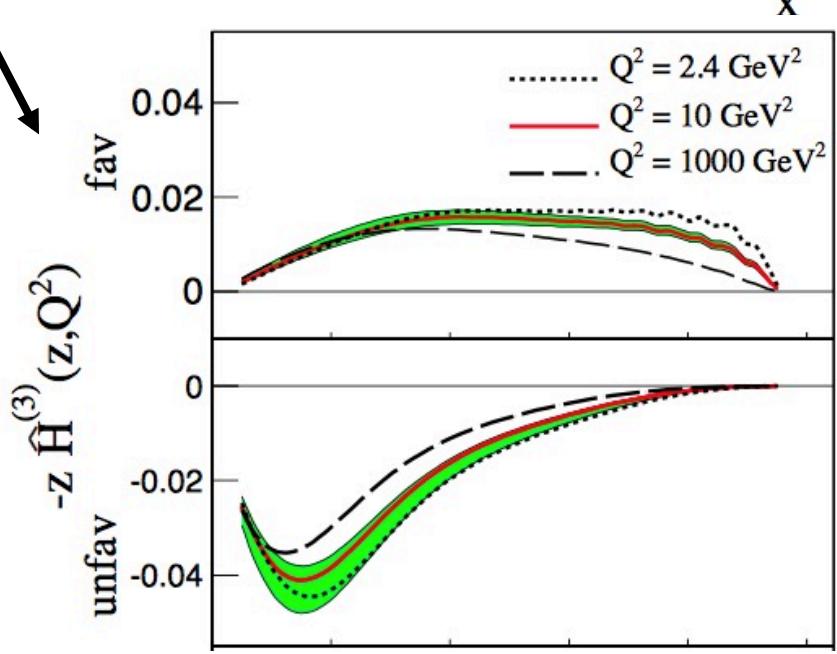


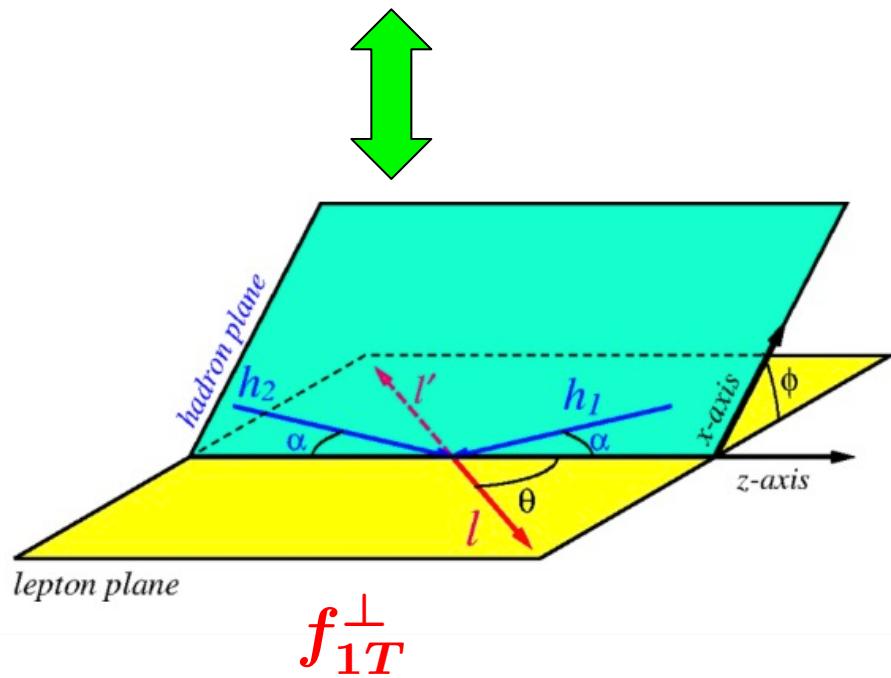
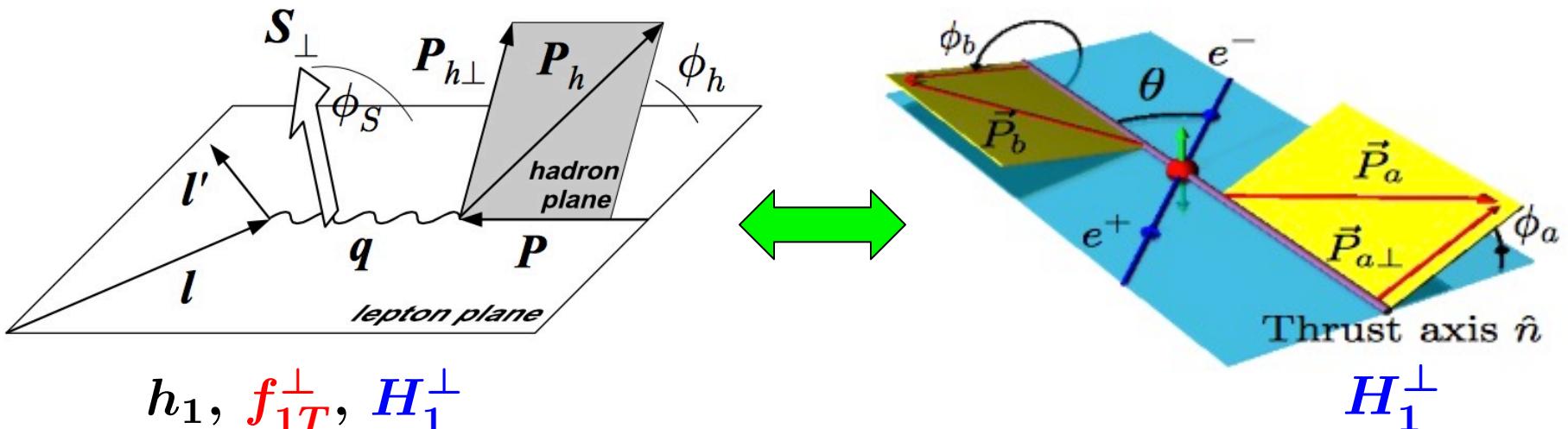
Also data from BaBar (2014) and BESIII (2016)

$$F_{UU}^{\cos(2\phi_0)} = \mathcal{C} \left[ \frac{2\hat{h} \cdot \vec{p}_{a\perp} \hat{h} \cdot \vec{p}_{b\perp} - \vec{p}_{a\perp} \cdot \vec{p}_{b\perp}}{M_a M_b} \mathbf{H}_1^\perp \bar{\mathbf{H}}_1^\perp \right]$$



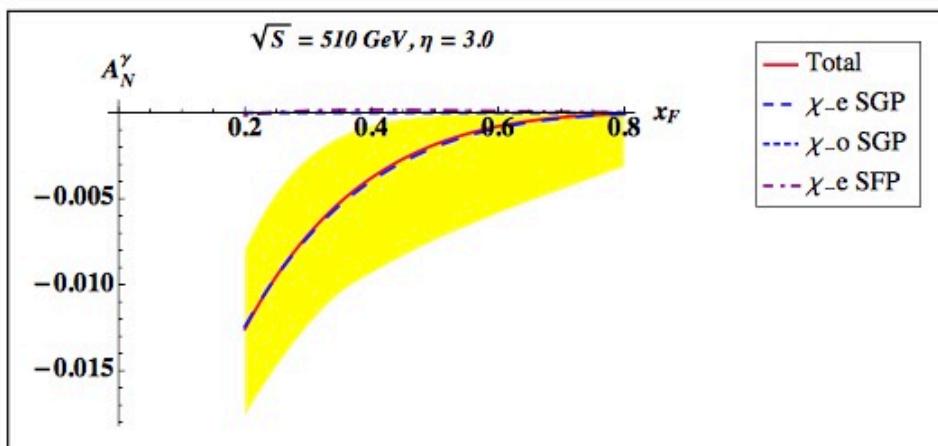
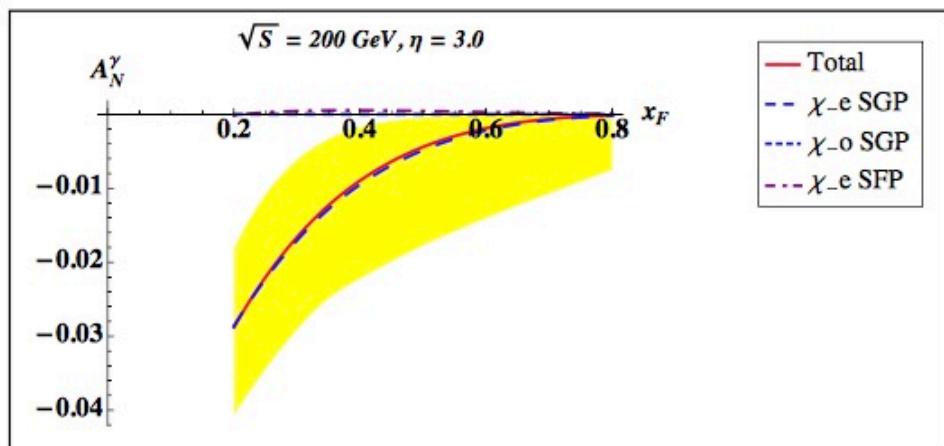
TMDs in CSS formalism







$A_N$  in  $pp \rightarrow \gamma X$



(Kanazawa, Koike, Metz, DP – PRD 91 (2015))

(See also Gamberg, Kang, Prokudin (2013))

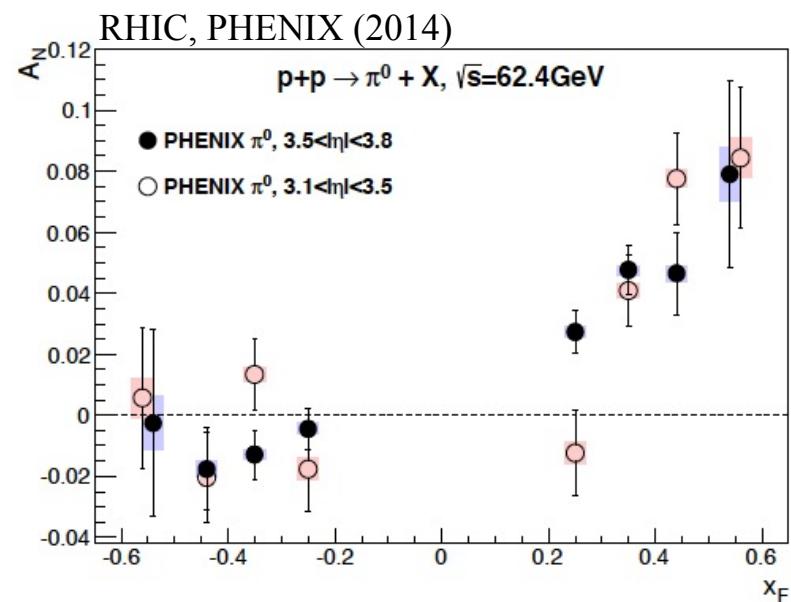
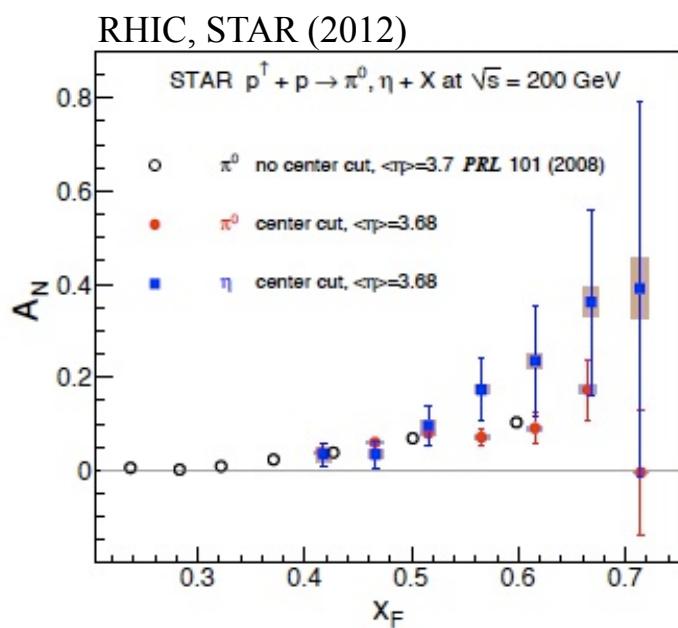
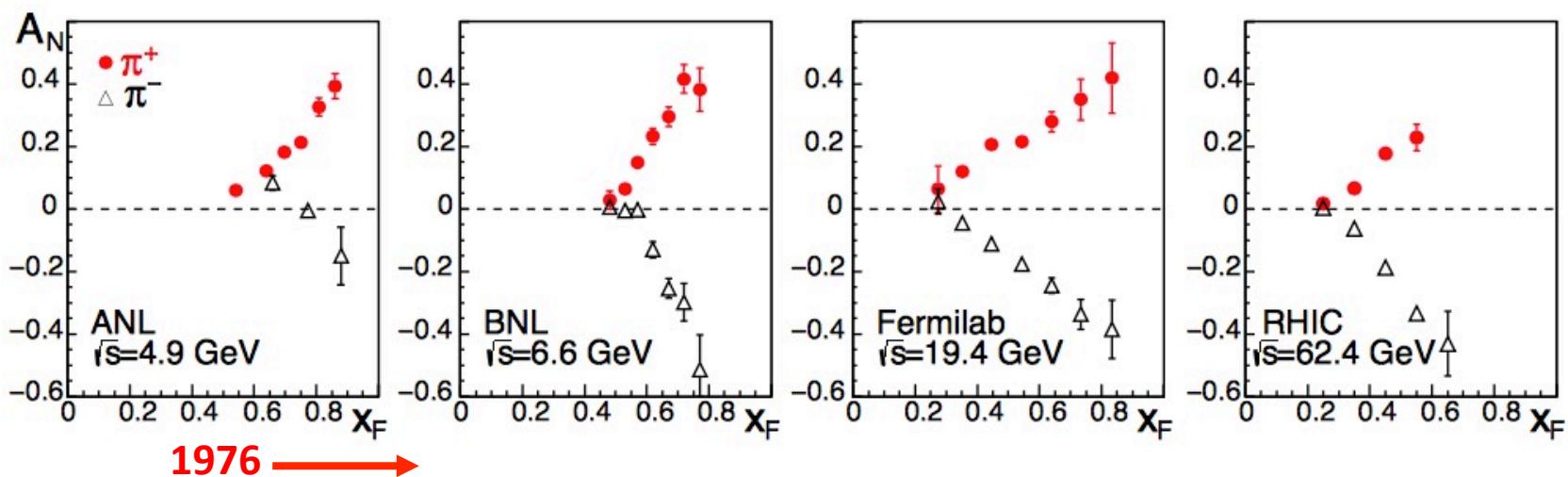
Qiu-Sterman term is the main cause of  $A_N$  in  $pp \rightarrow \gamma X$

$$d\Delta\sigma^\gamma \sim H \otimes f_1 \otimes \mathbf{F}_{FT}(x, x)$$

Qiu-Sterman function



$A_N$  in  $pp \rightarrow \pi X$  – PUZZLE FOR 40+ YEARS!





$$d\Delta\sigma^\pi \sim H \otimes f_1 \otimes \textcolor{magenta}{F_{FT}}(x, x)$$

$$\begin{aligned} E_\ell \frac{d^3\Delta\sigma(\vec{s}_T)}{d^3\ell} &= \frac{\alpha_s^2}{S} \sum_{a,b,c} \int_{z_{\min}}^1 \frac{dz}{z^2} D_{c \rightarrow h}(z) \int_{x'_{\min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \phi_{b/B}(x') \\ &\times \sqrt{4\pi\alpha_s} \left( \frac{\epsilon^{\ell s_T n \bar{n}}}{z \hat{u}} \right) \frac{1}{x} \left[ T_{a,F}(x, x) - x \left( \frac{d}{dx} T_{a,F}(x, x) \right) \right] H_{ab \rightarrow c}(\hat{s}, \hat{t}, \hat{u}) \end{aligned}$$

$$F_{FT} \sim T_F$$

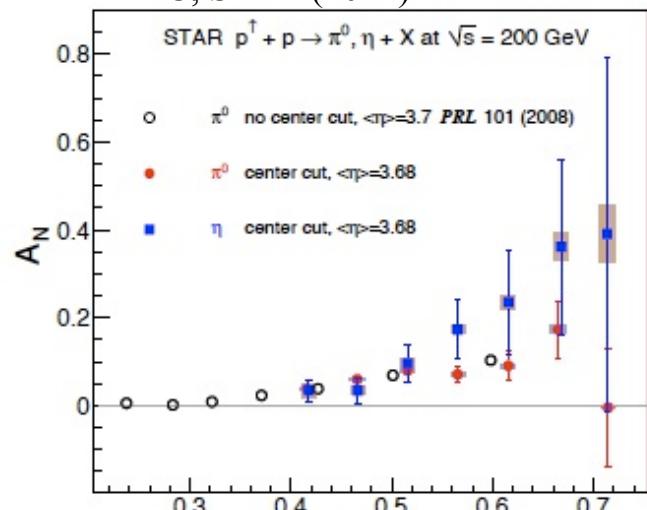
(Qiu and Sterman (1999), Kouvaris, et al. (2006))

For many years the Qiu-Sterman/Sivers-type contribution was thought to be the dominant source of TSSAs in  $p^\uparrow p \rightarrow \pi X$

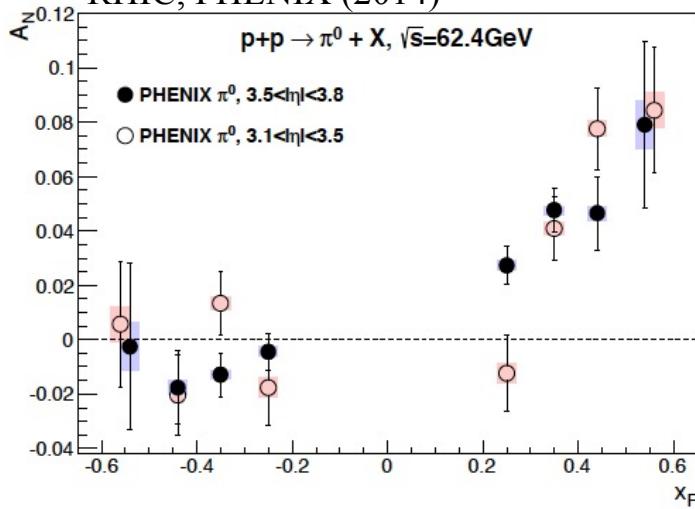


$p^\uparrow p \rightarrow h X$

RHIC, STAR (2012)

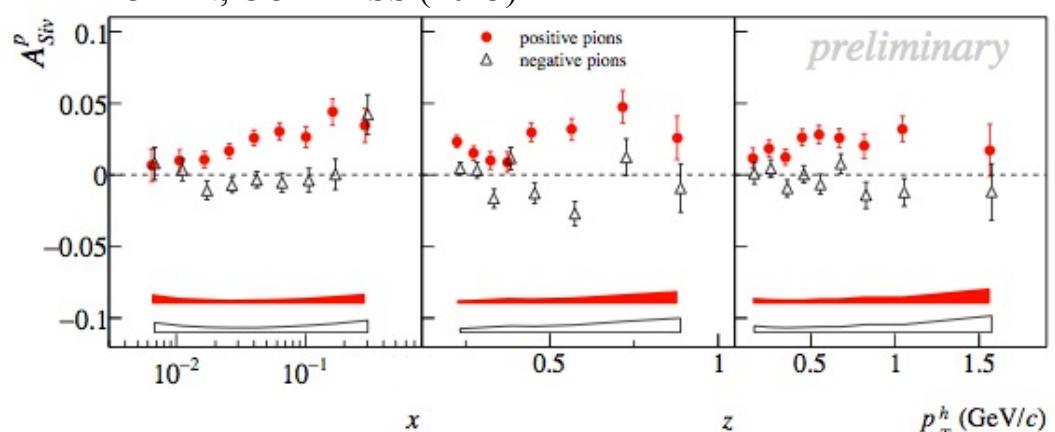


RHIC, PHENIX (2014)



$\ell N^\uparrow \rightarrow \ell' h X$

CERN, COMPASS (2013)

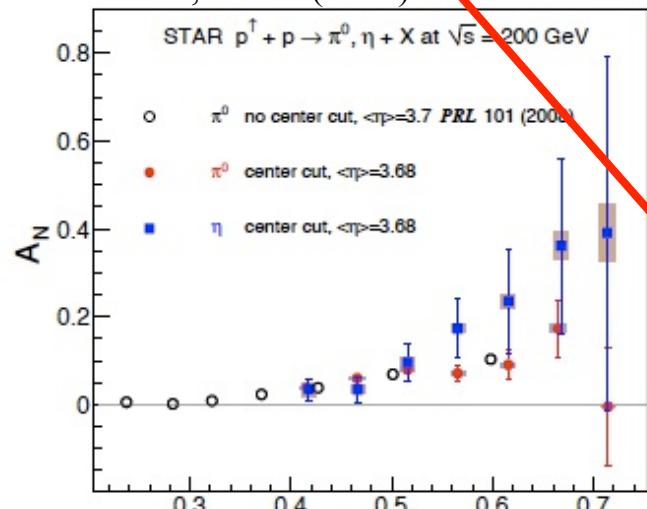


$$\pi F_{FT}(x, x) = f_{1T}^{\perp(1)}(x)$$

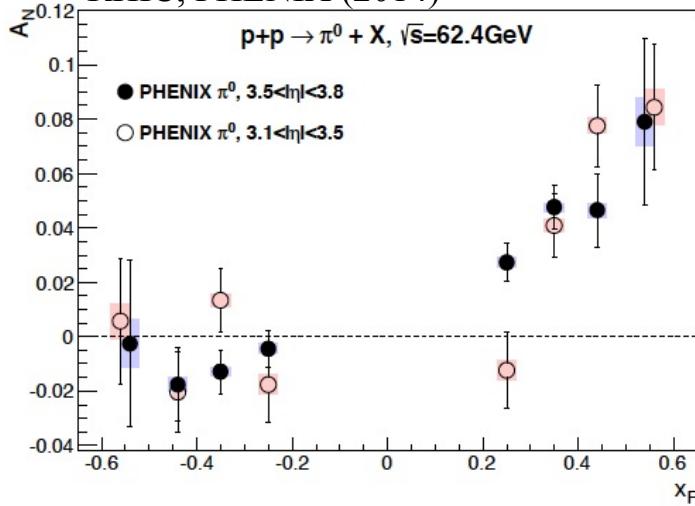


$p^\uparrow p \rightarrow h X$

RHIC, STAR (2012)

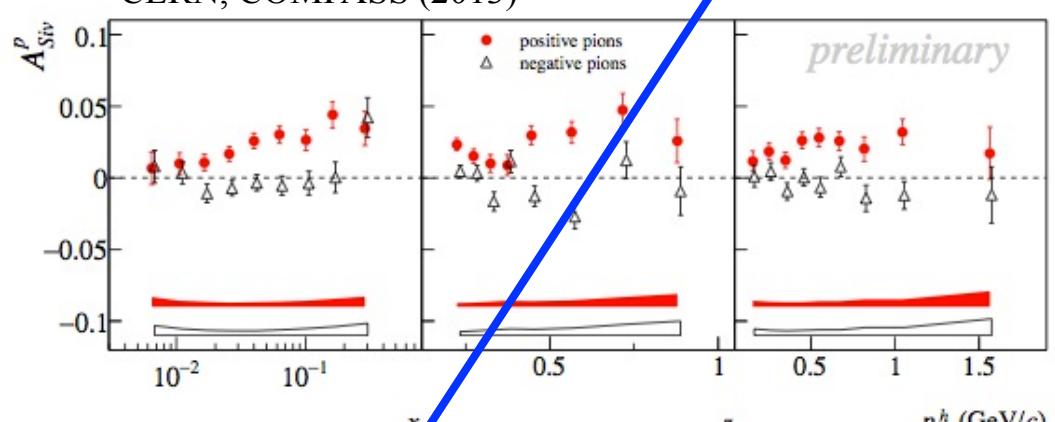


RHIC, PHENIX (2014)



$\ell N^\uparrow \rightarrow \ell' h X$

CERN, COMPASS (2013)

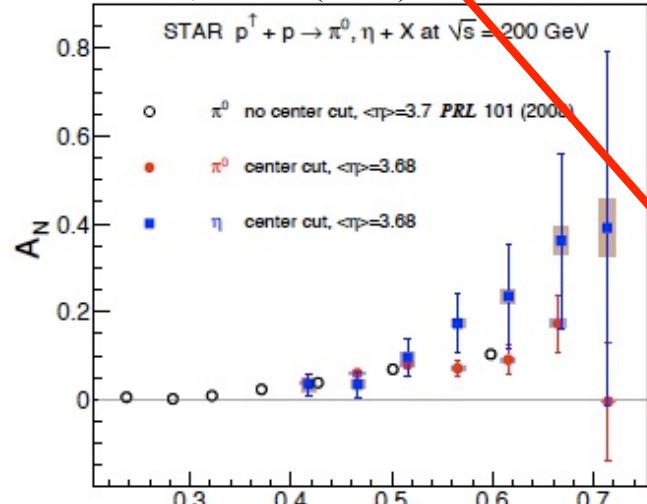


$$\pi F_{FT}(x, x) = f_{1T}^{\perp(1)}(x)$$

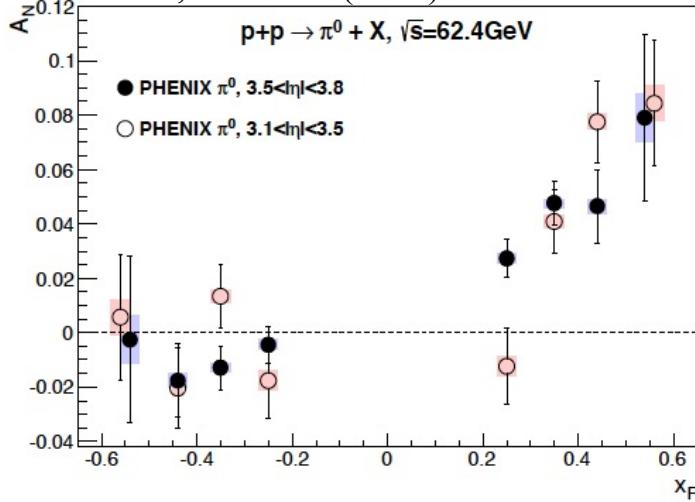


$p^\uparrow p \rightarrow h X$

RHIC, STAR (2012)

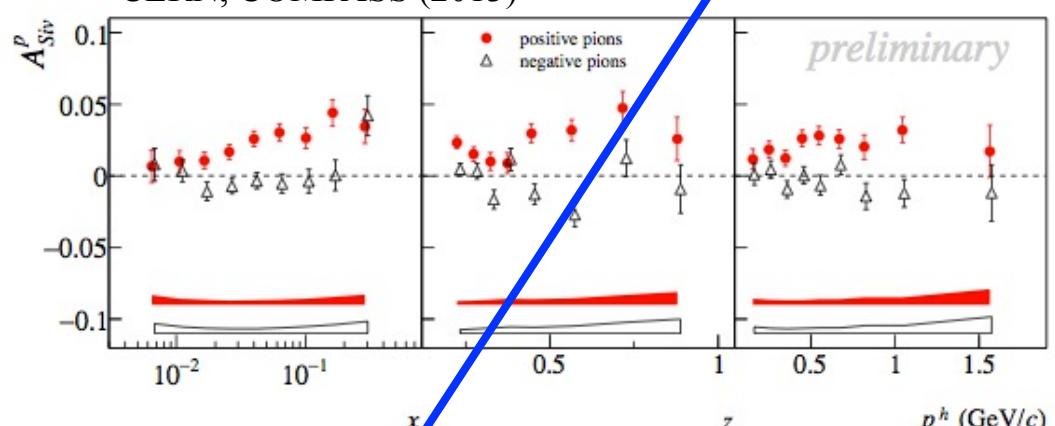


RHIC, PHENIX (2014)



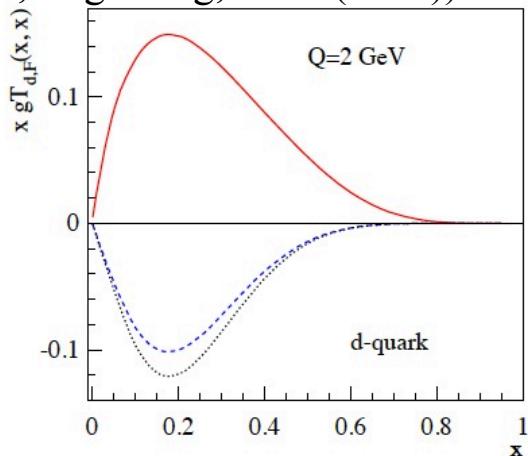
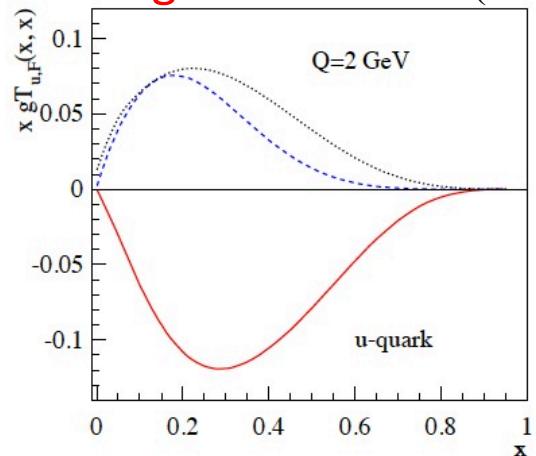
$\ell N^\uparrow \rightarrow \ell' h X$

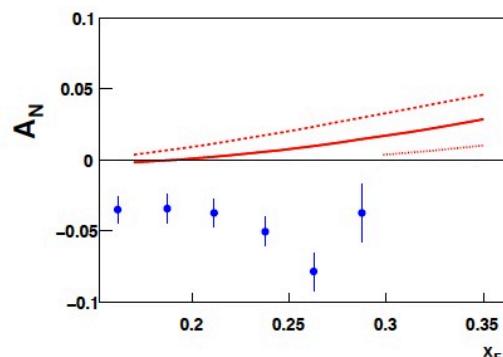
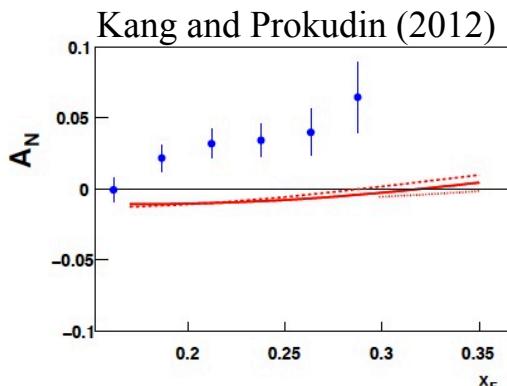
CERN, COMPASS (2013)



$$\pi F_{FT}(x, x) = f_{1T}^{\perp(1)}(x)$$

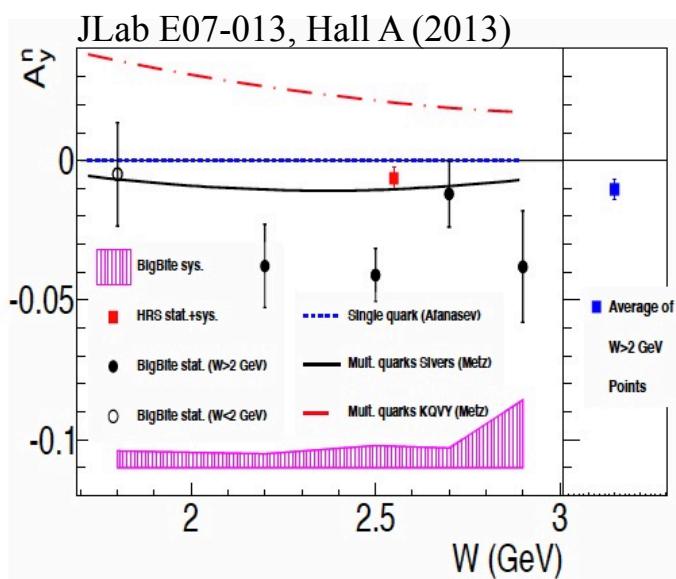
“sign mismatch” (Kang, Qiu, Vogelsang, Yuan (2011))





Proton-proton data from BRAHMS for  $\pi^+$  (left) and  $\pi^-$  (right)

Nodes in Sivers cannot resolve issue



Neutron TSSA in inclusive DIS

Metz, DP, Schäfer, Schlegel, Vogelsang, Zhou - PRD 86 (2012)

Sivers input agrees reasonably well with the JLab data → FIRST INDICATION on the PROCESS DEPENDENCE of the Sivers function (see also Gamberg, Kang, Prokudin (2013))

KQVY input gives the wrong sign → Qiu-Sterman function cannot be the main cause of the large TSSAs seen in pion production from  $pp$  collisions



$$d\Delta\sigma^\pi \sim H \otimes f_1 \otimes \cancel{F_{FT}(x, x)}$$



$$d\Delta\sigma^\pi \sim H \otimes f_1 \otimes \cancel{\textcolor{magenta}{F_{FT}(x, x)}}$$

$$d\Delta\sigma^\pi \sim \boldsymbol{h}_1 \otimes S \otimes \left( \textcolor{blue}{H_1^{\perp(1)}}, \textcolor{green}{H}, \int \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$

$$\begin{aligned} E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & - \frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}(-x'\hat{t} - x\hat{u})} \\ & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] S_{H_1^\perp}^i + \frac{1}{z} H^c(z) S_H^i \right. \\ & \left. + \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{c,\mathfrak{I}}(z, z_1) S_{\hat{H}_{FU}}^i \right\} \end{aligned}$$

(Metz and DP - PLB 723 (2013))



$$d\Delta\sigma^\pi \sim \textcolor{blue}{h_1} \otimes S \otimes \left( \textcolor{red}{H_1^{\perp(1)}}, \textcolor{violet}{H}, \int \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$

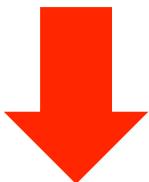
$$H^q(z) = -2z H_1^{\perp(1),q}(z) + \boxed{2z \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{q,\mathfrak{I}}(z, z_1)}$$

QCD e.o.m.  
relation  
(EOMR)

$$\longrightarrow \equiv \tilde{H}^q(z)$$

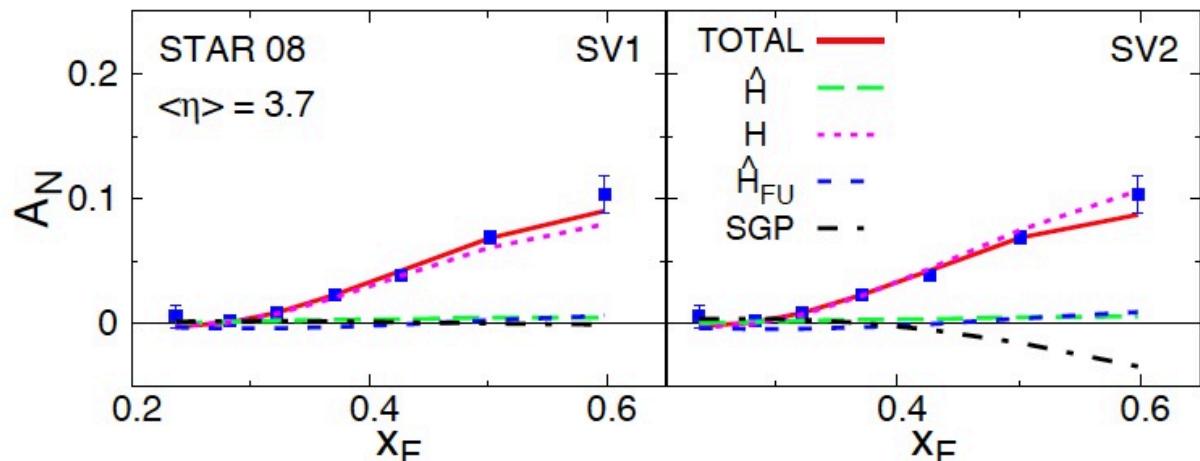


$$d\Delta\sigma^\pi \sim \mathbf{h}_1 \otimes S \otimes \left( \mathbf{H}_1^{\perp(1)}, \mathbf{H}, \int \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$



$$d\Delta\sigma^\pi \sim \mathbf{h}_1 \otimes S \otimes \left( \mathbf{H}_1^{\perp(1)}, \tilde{\mathbf{H}}, \int \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$

Also included the Qiu-Sterman term  $\pi F_{FT}(x, x) = f_{1T}^{\perp(1)}(x)$



Fragmentation term is the main cause of  $A_N$  in  $pp \rightarrow \pi X$

(Kanazawa, Koike, Metz, DP, PRD 89(RC) (2014))



$$d\Delta\sigma^\pi \sim \textcolor{blue}{h}_1 \otimes S \otimes \left( \textcolor{red}{H}_1^{\perp(1)}, \tilde{H}, \int \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$

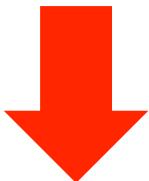
$$\frac{H^q(z)}{z} = - \left( 1 - z \frac{d}{dz} \right) H_1^{\perp(1),q}(z) - \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{q,\mathfrak{I}}(z, z_1)}{(1/z - 1/z_1)^2}$$

Lorentz  
invariance  
relation (LIR)

(Kanazawa, Koike, Metz, DP, Schlegel, PRD 93 (2016))



$$d\Delta\sigma^\pi \sim \mathbf{h}_1 \otimes S \otimes \left( \mathbf{H}_1^{\perp(1)}, \tilde{\mathbf{H}}, \int \frac{dz_1}{z_1^2} \frac{\hat{\mathbf{H}}_{FU}^{\mathfrak{S}}}{(1/z - 1/z_1)^2} \right)$$



$$d\Delta\sigma^\pi \sim \mathbf{h}_1 \otimes \tilde{S} \otimes \left( \mathbf{H}_1^{\perp(1)}, \tilde{\mathbf{H}} \right)$$

$$\begin{aligned} E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & - \frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}} \\ & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] \tilde{S}_{H_1^\perp}^i + \left[ -2H_1^{\perp(1),c}(z) + \frac{1}{z} \tilde{H}^c(z) \right] \tilde{S}_H^i \right\} \end{aligned}$$

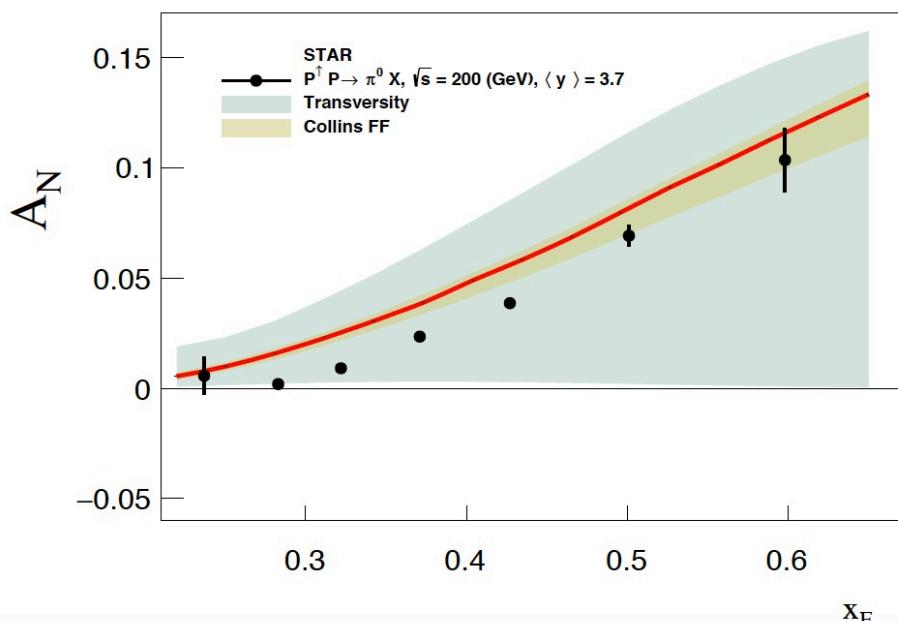
where  $\tilde{S}_{H_1^\perp}^i \equiv \frac{S_{H_1^\perp}^i - S_{H_{FU}}^i}{-x'\hat{t} - x\hat{u}}$  and  $\tilde{S}_H^i \equiv \frac{S_H^i - S_{H_{FU}}^i}{-x'\hat{t} - x\hat{u}}$



$$d\Delta\sigma^\pi \sim \mathbf{h}_1 \otimes S \otimes \left( \mathbf{H}_1^{\perp(1)}, \tilde{\mathbf{H}}, \int \frac{dz_1}{z_1^2} \frac{\hat{\mathbf{H}}_{FU}^{\mathfrak{I}}}{(1/z - 1/z_1)^2} \right)$$

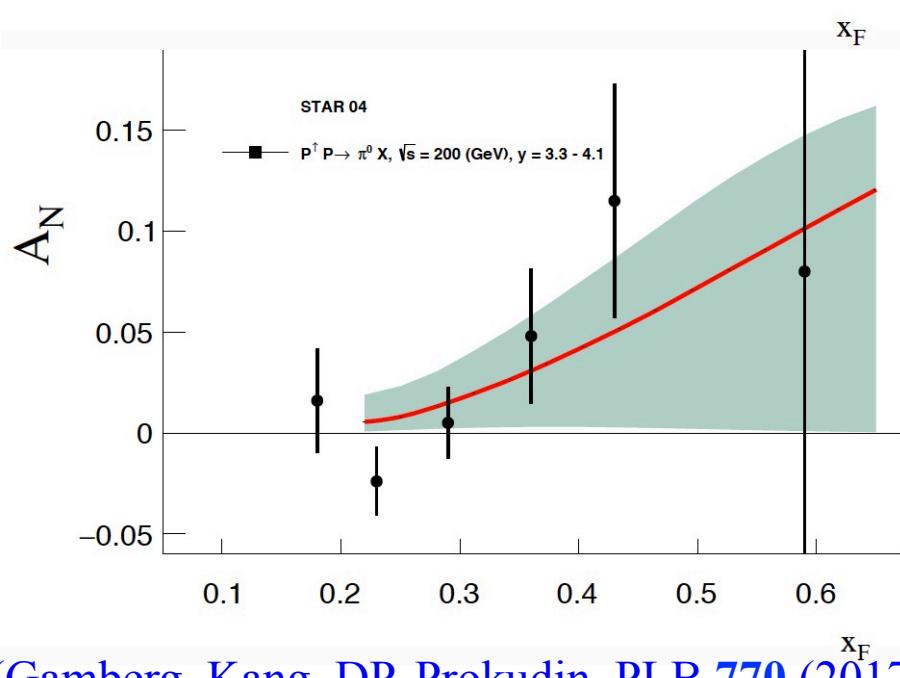
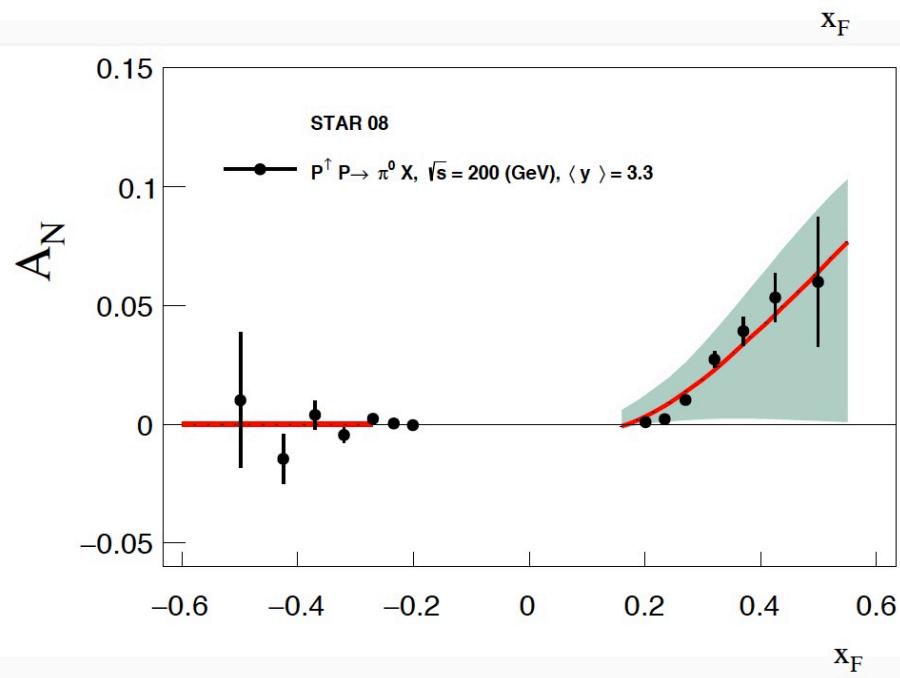
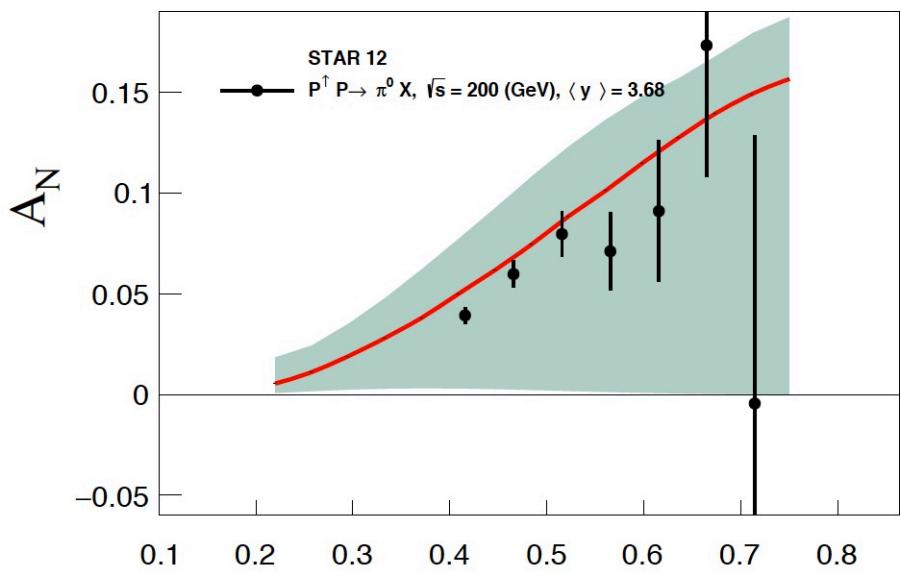
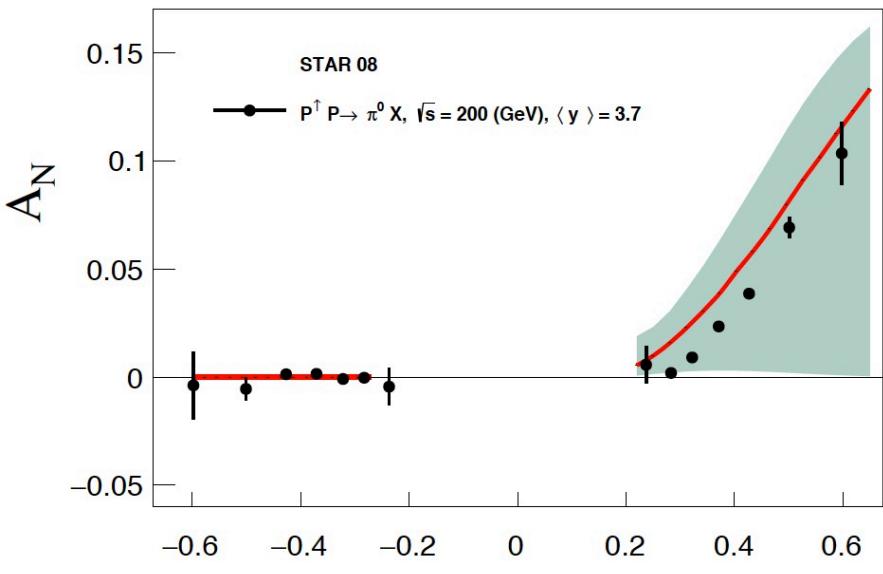


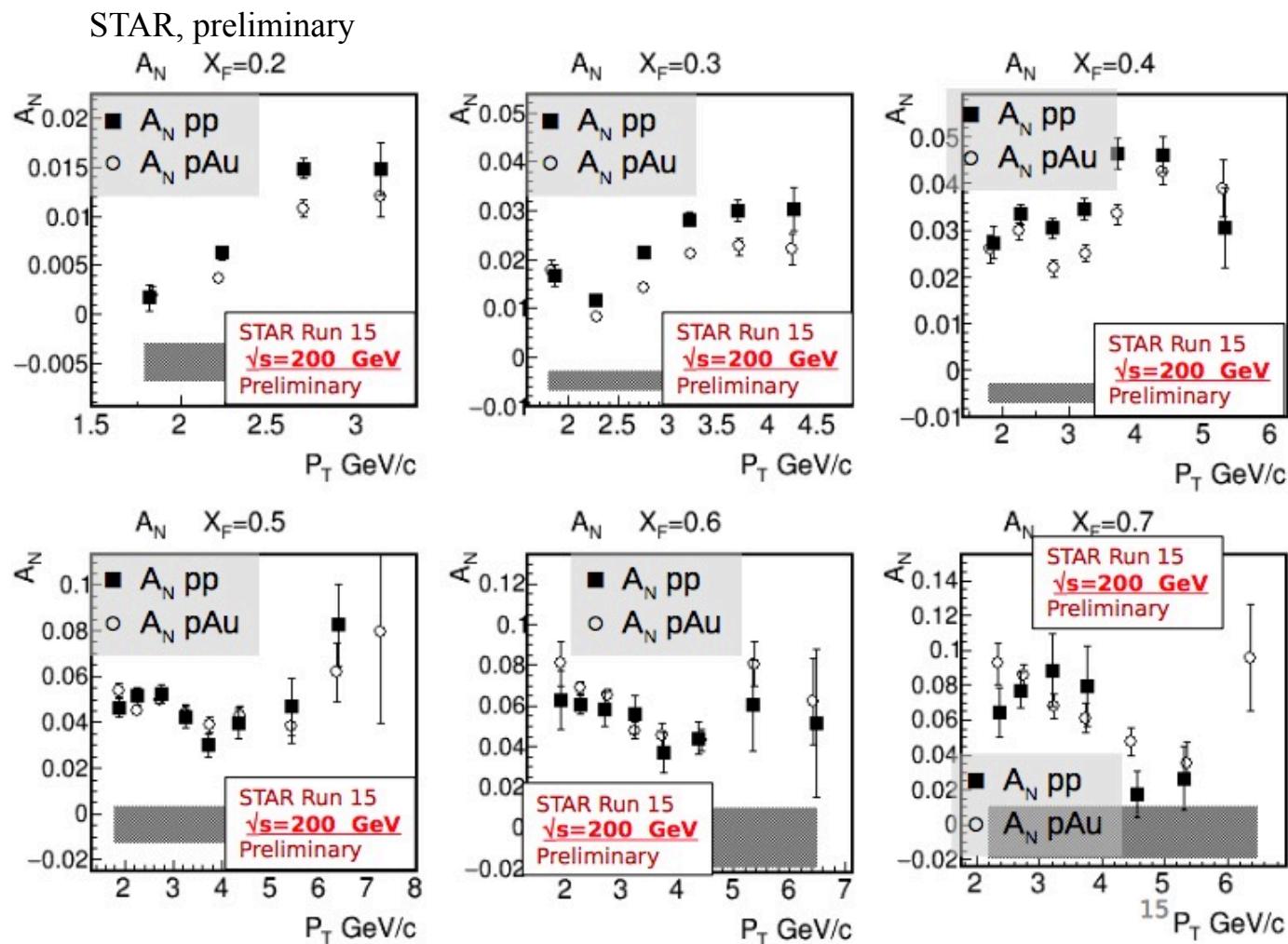
$$d\Delta\sigma^\pi \sim \boxed{\mathbf{h}_1 \otimes \tilde{S} \otimes \left( \mathbf{H}_1^{\perp(1)}, \tilde{\mathbf{H}} \right)}$$



Fragmentation term is the main cause of  $A_N$  in  $pp \rightarrow \pi X$

We can constrain **transversity at large  $x$**  with  $A_N$  data from RHIC!



A comment on  $A_N$  in  $pA \rightarrow \pi X$ No  $A$  dependence observed up to  $x_F = 0.7$



2013 expression from Metz and DP

$$\begin{aligned} E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & -\frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}(-x'\hat{t} - x\hat{u})} \\ & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] S_{H_1^\perp}^i + \frac{1}{z} H^c(z) S_H^i \right. \\ & \left. + \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{c,\Im}(z, z_1) S_{\hat{H}_{FU}}^i \right\} \end{aligned}$$

2013 expression from Metz and DP

$$\begin{aligned} E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & -\frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}(-x'\hat{t} - x\hat{u})} \\ & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] S_{H_1^\perp}^i + \frac{1}{z} H^c(z) S_H^i \right\} \rightarrow \sim A^{-1/3} \\ & \sim A^{-1/3} + \left[ \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{c,\Im}(z, z_1) S_{\hat{H}_{FU}}^i \right\} \sim A^0 \quad \text{Include saturation corrections to calculate } pA \text{ TSSA} \\ & \qquad \qquad \qquad (\text{Hatta, Xiao, Yoshida, Yuan (2017)}) \end{aligned}$$



2013 expression from Metz and DP

$$\begin{aligned}
 E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & -\frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}(-x'\hat{t} - x\hat{u})} \\
 & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] S_{H_1^\perp}^i + \frac{1}{z} H^c(z) S_H^i \right. \\
 & \left. + \boxed{\frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{c,\Im}(z, z_1) S_{\hat{H}_{FU}}^i} \right\} \\
 & \sim A^0 \quad \text{→}
 \end{aligned}$$

EOMR + LIR →

$$\frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{\pi/c,\Im}(z, z_1) = H_1^{\perp(1),c}(z) + z \frac{dH_1^{\perp(1),c}(z)}{dz} - \frac{1}{z} \tilde{H}^c(z)$$



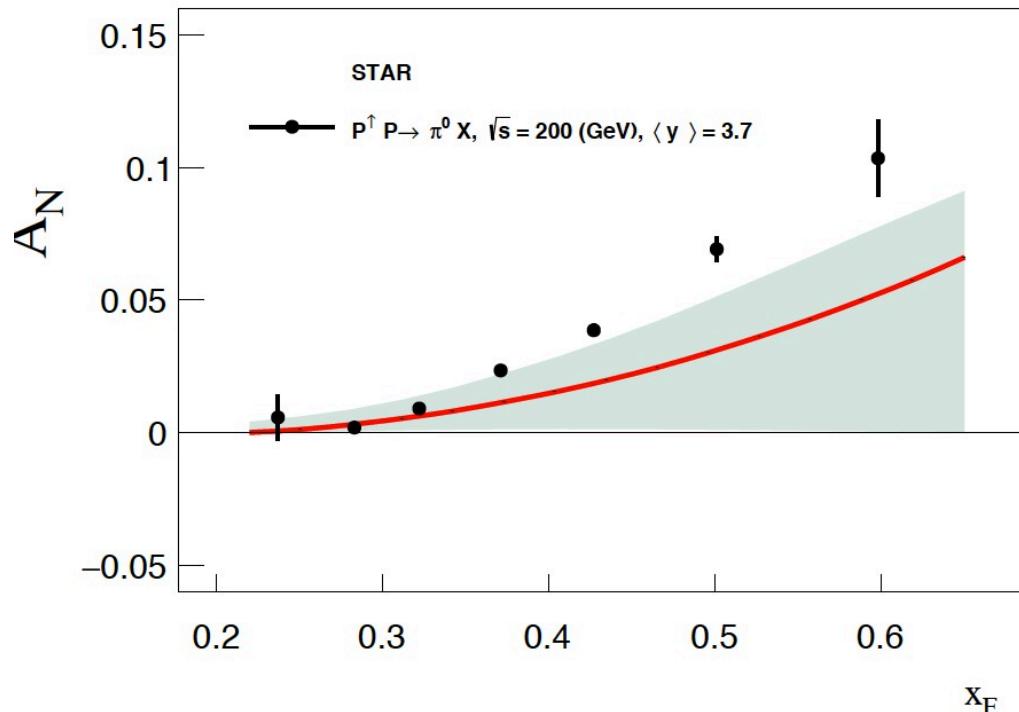
2013 expression from Metz and DP

$$\begin{aligned}
 E_h \frac{d\Delta\sigma^{Frag}(S_T)}{d^3\vec{P}_h} = & -\frac{4\alpha_s^2 M_h}{S} \epsilon^{P'PP_h S_T} \sum_i \sum_{a,b,c} \int_0^1 \frac{dz}{z^3} \int_0^1 dx' \int_0^1 dx \ \delta(\hat{s} + \hat{t} + \hat{u}) \frac{1}{\hat{s}(-x'\hat{t} - x\hat{u})} \\
 & \times h_1^a(x) f_1^b(x') \left\{ \left[ H_1^{\perp(1),c}(z) - z \frac{dH_1^{\perp(1),c}(z)}{dz} \right] S_{H_1^\perp}^i + \frac{1}{z} H^c(z) S_H^i \right. \\
 & \left. + \boxed{\frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{c,\Im}(z, z_1) S_{\hat{H}_{FU}}^i} \right\} \\
 & \quad \downarrow \\
 & \sim A^0
 \end{aligned}$$

EOMR + LIR →

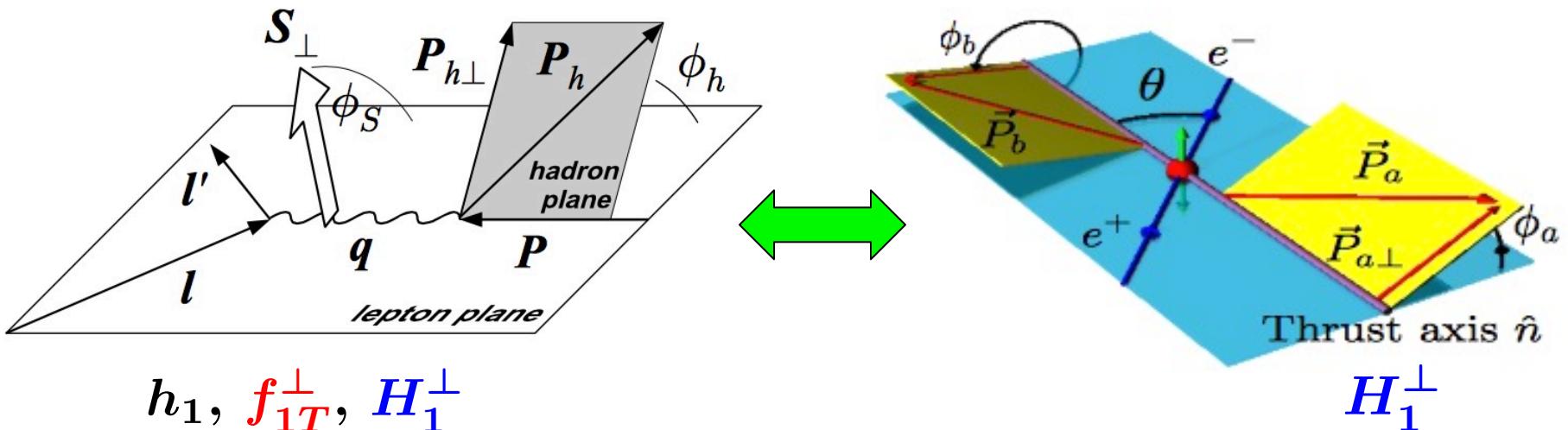
$$\frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\left(\frac{1}{z} - \frac{1}{z_1}\right)^2} \hat{H}_{FU}^{\pi/c,\Im}(z, z_1) = \textcolor{blue}{H_1^{\perp(1),c}(z)} + z \frac{dH_1^{\perp(1),c}(z)}{dz} - \frac{1}{z} \tilde{H}^c(z)$$

Calculate pieces involving the (first  $k_T$ -moment of the) Collins function to get an updated estimate for the term in blue



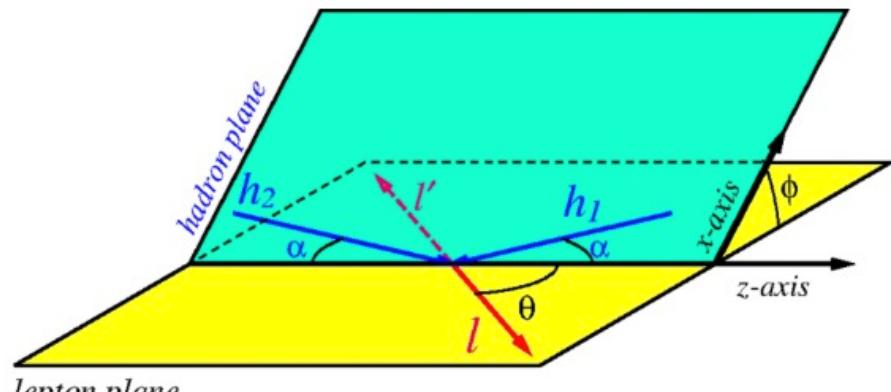
Fragmentation term as the cause of  $A_N$  in  $pp$  collisions is not ruled out by the STAR  $pA$  TSSA data

(Gamberg, Kang, DP, Prokudin, PLB 770 (2017))

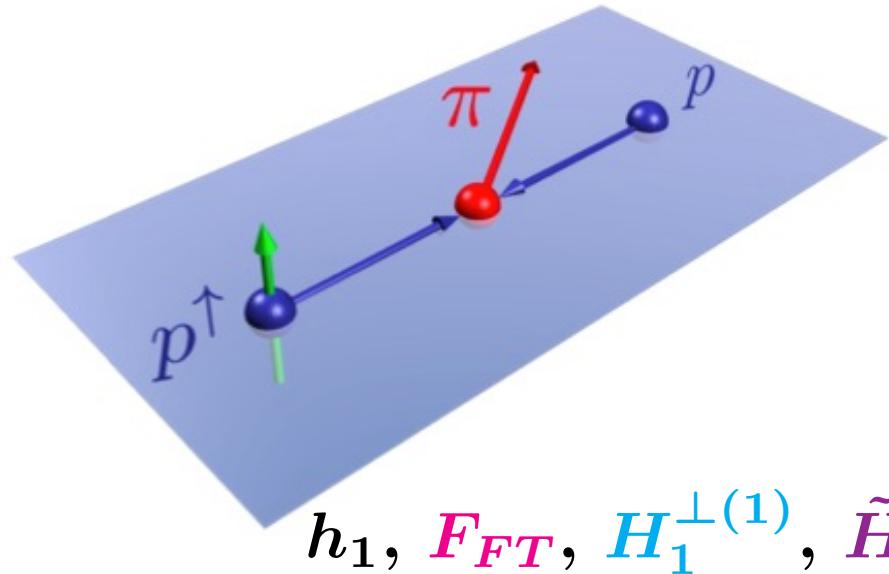


$h_1, f_{1T}^\perp, H_1^\perp$

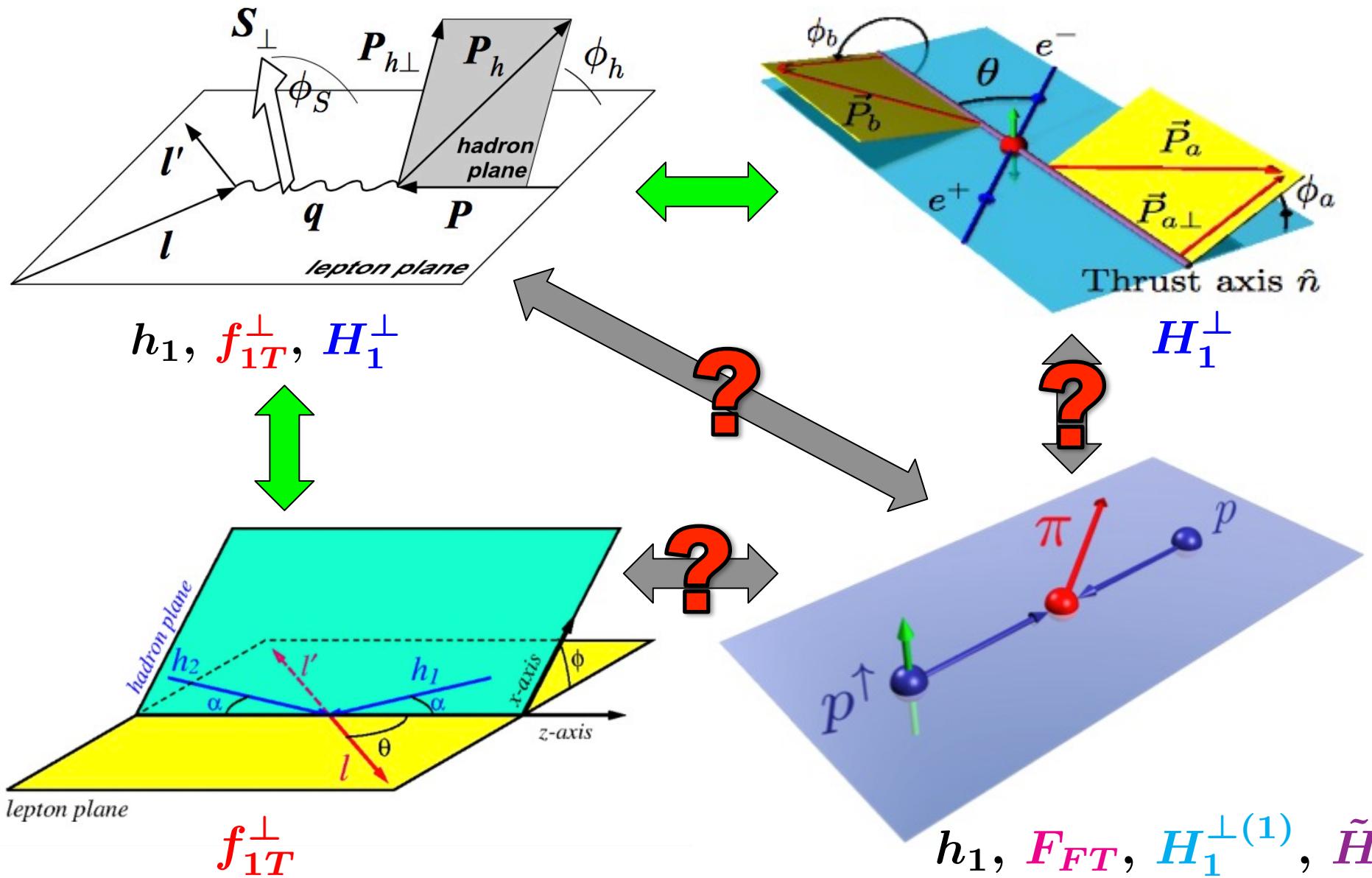
$H_1^\perp$



$f_{1T}^\perp$



$h_1, F_{FT}, H_1^{\perp(1)}, \tilde{H}$



# Relations between TMD and CT3 Functions

**“Parton Model”**

$$\int d^2 \vec{k}_T \quad f_1(x, k_T) \quad \stackrel{\text{TMD}}{=} \quad f_1(x) \quad \stackrel{\text{CT2}}{=}$$

⋮  
⋮

$$\int d^2 \vec{p}_T \quad D_1(z, p_T) \quad \stackrel{\text{TMD}}{=} \quad D_1(z) \quad \stackrel{\text{CT2}}{=}$$

⋮  
⋮

$$\int d^2 \vec{k}_T \frac{\vec{k}_T^2}{2M^2} \quad f_{1T}^\perp(x, k_T) \quad \stackrel{\text{TMD}}{=} \quad f_{1T}^{\perp(1)}(x) \quad \stackrel{\text{kinematical CT3}}{=} \quad \pi F_{FT}(x, x) \quad \stackrel{\text{dynamical CT3}}{=}$$

⋮  
⋮

Boer, Mulder, Pijlman (2003); Meissner (2009); ...

$$\int d^2 \vec{p}_T \frac{\vec{p}_T^2}{2z^2 M_h^2} \quad H_1^\perp(z, p_T) \quad \stackrel{\text{TMD}}{=} \quad H_1^{\perp(1)}(z) \quad \stackrel{\text{kinematical CT3}}{=}$$

⋮  
⋮

Yuan and Zhou (2009)



## “Parton Model”

$$\int d^2 \vec{k}_T \quad f_1(x, k_T) \quad \stackrel{\text{TMD}}{=} \quad f_1(x) \quad \stackrel{\text{CT2}}{=}$$

⋮

$$\int d^2 \vec{p}_T \quad D_1(z, p_T) \quad \stackrel{\text{TMD}}{=} \quad D_1(z) \quad \stackrel{\text{CT2}}{=}$$

⋮

$$\int d^2 \vec{k}_T \frac{\vec{k}_T^2}{2M^2} \quad f_{1T}^\perp(x, k_T) \quad \stackrel{\text{TMD}}{=} \quad f_{1T}^{\perp(1)}(x) \quad = \quad \pi F_{FT}(x, x) \quad \stackrel{\text{kinematical CT3}}{=} \quad \stackrel{\text{dynamical CT3}}{=}$$

⋮

$$\int d^2 \vec{p}_T \frac{\vec{p}_T^2}{2z^2 M_h^2} \quad H_1^\perp(z, p_T) \quad \stackrel{\text{TMD}}{=} \quad H_1^{\perp(1)}(z) \quad \stackrel{\text{kinematical CT3}}{=}$$

⋮

Boer, Mulder, Pijlman (2003); Meissner (2009); ...

Ignore UV divergences and effects  
from soft-gluon radiation

Yuan and Zhou (2009)



**“Original CSS”** (Collins, Soper, Sterman (1985); Ji, Ma, Yuan (2005); Collins (2011); ...)

$$\tilde{f}_1(x, b_T; Q^2, \mu_Q) \sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_T); \mu_{b_*}^2, \mu_{b_*}, \alpha_s(\mu_{b_*})) \otimes f_1(\hat{x}; \mu_{b_*}) \right) \\ \times \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{f_1}(b_T, Q) \right]$$

“b-space” functions





**“Original CSS”** (Collins, Soper, Sterman (1985); Ji, Ma, Yuan (2005); Collins (2011); ...)

$$\tilde{f}_1(x, b_T; Q^2, \mu_Q) \sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_T); \mu_{b_*}^2, \mu_{b_*}, \alpha_s(\mu_{b_*})) \otimes f_1(\hat{x}; \mu_{b_*}) \right)$$

$$\times \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{f_1}(b_T, Q) \right]$$

perturbative Sudakov factor

non-perturbative Sudakov factor

$$-\ln(Q/\mu_{b_*}) \tilde{K}(b_*, \mu_{b_*}) - \int_{\mu_{b_*}}^{\mu_Q} \frac{d\mu'}{\mu'} [\gamma(\alpha_s(\mu'); 1) - \gamma_K(\alpha_s(\mu')) \ln(Q/\mu')] \quad \underbrace{\qquad}_{\text{same for unpol. and pol.}}$$

$g_{f_1}(x, b_T) + g_K(b_T) \ln(Q/Q_0)$

different for each TMD      universal



**“Original CSS”** (Collins, Soper, Sterman (1985); Ji, Ma, Yuan (2005); Collins (2011); ...)

$$\tilde{f}_1(x, b_T; Q^2, \mu_Q) \sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_T); \mu_{b_*}^2, \mu_{b_*}, \alpha_s(\mu_{b_*})) \otimes f_1(\hat{x}; \mu_{b_*}) \right)$$

$$\times \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{f_1}(b_T, Q) \right]$$

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different for each TMD

$$g_{f_1}(x, b_T) + g_K(b_T) \ln(Q/Q_0) \quad \text{universal}$$

$$b_*(b_T) \equiv \sqrt{\frac{b_T^2}{1 + b_T^2/b_{\max}^2}} \quad \mu_{b_*} = C_1/b_*(b_T)$$

**Note:**  $b_*(0) = 0$  and  $(\mu_{b_*})_{b_* \rightarrow 0} = \infty$



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non-perturbative Sudakov factor

$$g_{f_1}(x, b_T) + g_K(b_T) \ln(Q/Q_0)$$

different for  
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universal

$$b_*(b_T) \equiv \sqrt{\frac{b_T^2}{1 + b_T^2/b_{\max}^2}} \quad \mu_{b_*} = C_1/b_*(b_T)$$

**Note:**  $b_*(0) = 0$  and  $(\mu_{b_*})_{b_* \rightarrow 0} = \infty$

→ Leads to problematic large logarithms in  $S_{pert}$

(Bozzi, Catani, de Florian, Grazzini (2006); Collins, Gamberg, Prokudin, Rogers, Sato, Wang (2016))

**“Improved CSS” (Unpolarized)** (Collins, Gamberg, Prokudin, Rogers, Sato, Wang (2016))\*

Place a lower cut-off on  $b_T$ :  $b_T \rightarrow b_c(b_T)$  where  $b_c(b_T) = \sqrt{b_T^2 + b_0^2/(C_5 Q)^2}$

→  $\mu_{b_*} \rightarrow \bar{\mu} \equiv \frac{C_1}{b_*(b_c(b_T))}$  so  $\mu_{b_*}$  is cut off at  $\mu_c \approx \frac{C_1 C_5 Q}{b_0}$

\*Other modifications are discussed in this reference that attempt to improve the agreement of the CSS  $W+Y$  formulation with the differential cross section over all transverse momentum regions.

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$$\begin{aligned}\tilde{f}_1(x, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes f_1(\hat{x}; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{f_1}(b_c(b_T), Q) \right]\end{aligned}$$



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**“Improved CSS” (Polarized)** (Gamberg, Metz, DP, Prokudin, Rogers, in preparation)

$$\tilde{\Phi}^{[\gamma^+]}(x, \vec{b}_T; Q^2, \mu_Q) = \tilde{f}_1(x, b_T; Q^2, \mu_Q) - i M \epsilon^{ij} b_T^i S_T^j \left[ -\frac{1}{M^2} \frac{1}{b_T} \frac{\partial}{\partial b_T} \tilde{f}_{1T}^\perp(x, b_T; Q^2, \mu_Q) \right]$$

Boer, Gamberg, Musch, Prokudin (2011)



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**“Improved CSS” (Polarized)** (Gamberg, Metz, DP, Prokudin, Rogers, in preparation)

$$\tilde{\Phi}^{[\gamma^+]}(x, \vec{b}_T; Q^2, \mu_Q) = \tilde{f}_1(x, b_T; Q^2, \mu_Q) - i M \epsilon^{ij} b_T^i S_T^j \tilde{f}_{1T}^{\perp(1)}(x, b_T; Q^2, \mu_Q)$$

$$\begin{aligned} \tilde{f}_{1T}^{\perp(1)}(x, b_T; Q^2, \mu_Q) &\sim \left( \tilde{C}^{f_{1T}^\perp}(\hat{x}_1, \hat{x}_2, b_*(b_T); \mu_{b_*}^2, \mu_{b_*}, \alpha_s(\mu_{b_*})) \otimes F_{FT}(\hat{x}_1, \hat{x}_2; \mu_{b_*}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{f_{1T}^\perp}(b_T, Q) \right] \end{aligned}$$

Aybat, Collins, Qiu, Rogers (2012); Echevarria, Idilbi, Kang, Vitev (2014); ...



**“Improved CSS” (Unpolarized)** (Collins, Gamberg, Prokudin, Rogers, Sato, Wang (2016))

Place a lower cut-off on  $b_T$ :  $b_T \rightarrow b_c(b_T)$  where  $b_c(b_T) = \sqrt{b_T^2 + b_0^2/(C_5 Q)^2}$

→  $\mu_{b_*} \rightarrow \bar{\mu} \equiv \frac{C_1}{b_*(b_c(b_T))}$  so  $\mu_{b_*}$  is cut off at  $\mu_c \approx \frac{C_1 C_5 Q}{b_0}$

$$\begin{aligned} \tilde{f}_1(x, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes f_1(\hat{x}; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{f_1}(b_c(b_T), Q) \right] \end{aligned}$$

**“Improved CSS” (Polarized)** (Gamberg, Metz, DP, Prokudin, Rogers, in preparation)

$$\tilde{\Phi}^{[\gamma^+]}(x, \vec{b}_T; Q^2, \mu_Q) = \tilde{f}_1(x, \textcolor{red}{b_T}; Q^2, \mu_Q) - i M \epsilon^{ij} b_T^i S_T^j \tilde{f}_{1T}^{\perp(1)}(x, \textcolor{red}{b_T}; Q^2, \mu_Q)$$

b<sub>T</sub> → b<sub>c</sub>(b<sub>T</sub>)      NO b<sub>T</sub> → b<sub>c</sub>(b<sub>T</sub>) replacement – kinematic factor NOT associated with the scale evolution      b<sub>T</sub> → b<sub>c</sub>(b<sub>T</sub>)



**“Improved CSS” (Unpolarized)** (Collins, Gamberg, Prokudin, Rogers, Sato, Wang (2016))

Place a lower cut-off on  $b_T$ :  $b_T \rightarrow b_c(b_T)$  where  $b_c(b_T) = \sqrt{b_T^2 + b_0^2/(C_5 Q)^2}$

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$$\begin{aligned} \tilde{f}_1(x, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{f_1}(x/\hat{x}, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes f_1(\hat{x}; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{f_1}(b_c(b_T), Q) \right] \end{aligned}$$

**“Improved CSS” (Polarized)** (Gamberg, Metz, DP, Prokudin, Rogers, in preparation)

$$\tilde{\Phi}^{[\gamma^+]}(x, \vec{b}_T, b_c(b_T); Q^2, \mu_Q) = \tilde{f}_1(x, b_c(b_T); Q^2, \mu_Q) - i M \epsilon^{ij} b_T^i S_T^j \tilde{f}_{1T}^{\perp(1)}(x, b_c(b_T); Q^2, \mu_Q)$$

$$\begin{aligned} \tilde{f}_{1T}^{\perp(1)}(x, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{f_{1T}^\perp}(\hat{x}_1, \hat{x}_2, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes F_{FT}(\hat{x}_1, \hat{x}_2; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{f_{1T}^\perp}(b_c(b_T), Q) \right] \end{aligned}$$



Analogous modification for fragmentation functions...

$$\begin{aligned}\tilde{D}_1(z, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{D_1}(z/\hat{z}, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes D_1(\hat{z}; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{D_1}(b_c(b_T), Q) \right]\end{aligned}$$

$$\begin{aligned}\tilde{H}_1^{\perp(1)}(z, b_c(b_T); Q^2, \mu_Q) &\sim \left( \tilde{C}^{H_1^\perp}(z/\hat{z}, b_*(b_c(b_T)); \bar{\mu}^2, \bar{\mu}, \alpha_s(\bar{\mu})) \otimes H_1^{\perp(1)}(\hat{z}; \bar{\mu}) \right) \\ &\quad \times \exp \left[ -S_{pert}(b_*(b_c(b_T)); \bar{\mu}, Q, \mu_Q) - S_{NP}^{H_1^\perp}(b_c(b_T), Q) \right]\end{aligned}$$



We then *define* the momentum-space functions...

$$\mathbf{f}_1(x, k_T; Q^2, \mu_Q) \equiv \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{-i \vec{k}_T \cdot \vec{b}_T} \tilde{\mathbf{f}}_1(x, b_c(b_T); Q^2, \mu_Q)$$

$$D_1(z, p_T; Q^2, \mu_Q) \equiv \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i \vec{p}_T \cdot \vec{b}_T} \tilde{D}_1(z, b_c(b_T); Q^2, \mu_Q)$$

⋮  
⋮

$$\frac{\vec{k}_T^2}{2M^2} \mathbf{f}_{1T}^\perp(x, k_T; Q^2, \mu_Q) \equiv \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{-i \vec{k}_T \cdot \vec{b}_T} \tilde{\mathbf{f}}_{1T}^{\perp(1)}(x, b_c(b_T); Q^2, \mu_Q)$$

$$\frac{\vec{p}_T^2}{2z^2 M_h^2} \mathbf{H}_1^\perp(z, p_T; Q^2, \mu_Q) \equiv \int \frac{d^2 \vec{b}_T}{(2\pi)^2} e^{i \vec{p}_T \cdot \vec{b}_T} \tilde{\mathbf{H}}_1^{\perp(1)}(z, b_c(b_T); Q^2, \mu_Q)$$

⋮  
⋮



which leads to...

$$\int d^2 \vec{k}_T \, \mathbf{f}_1(x, k_T; Q^2, \mu_Q) = \tilde{\mathbf{f}}_1(x, b_c(0); Q^2, \mu_Q) = \mathbf{f}_1(x; \mu_c) + O(\alpha_s(Q)) + O((m/Q)^p)$$

$$\int d^2 \vec{p}_T \, \mathbf{D}_1(z, p_T; Q^2, \mu_Q) = \tilde{\mathbf{D}}_1(z, b_c(0); Q^2, \mu_Q) = \mathbf{D}_1(z; \mu_c) + O(\alpha_s(Q)) + O((m/Q)^p)$$

⋮

$$\int d^2 \vec{k}_T \frac{\vec{k}_T^2}{2M^2} \mathbf{f}_{1T}^\perp(x, k_T; Q^2, \mu_Q) = \tilde{\mathbf{f}}_{1T}^{\perp(1)}(x, b_c(0); Q^2, \mu_Q) = \pi \, \mathbf{F}_{FT}(x, x; \mu_c) + O(\alpha_s(Q)) + O((m/Q)^{p'})$$

$$\int d^2 \vec{p}_T \frac{\vec{p}_T^2}{2z^2 M_h^2} \mathbf{H}_1^\perp(z, p_T; Q^2, \mu_Q) = \tilde{\mathbf{H}}_1^{\perp(1)}(z, b_c(0); Q^2, \mu_Q) = \mathbf{H}_1^{\perp(1)}(z; \mu_c) + O(\alpha_s(Q)) + O((m/Q)^{p''})$$

⋮

At LO in the “Improved CSS” we recover the parton model relations

(Gamberg, Metz, DP, Prokudin, Rogers, in preparation)



Moreover, from a phenomenology standpoint with TMD observables...

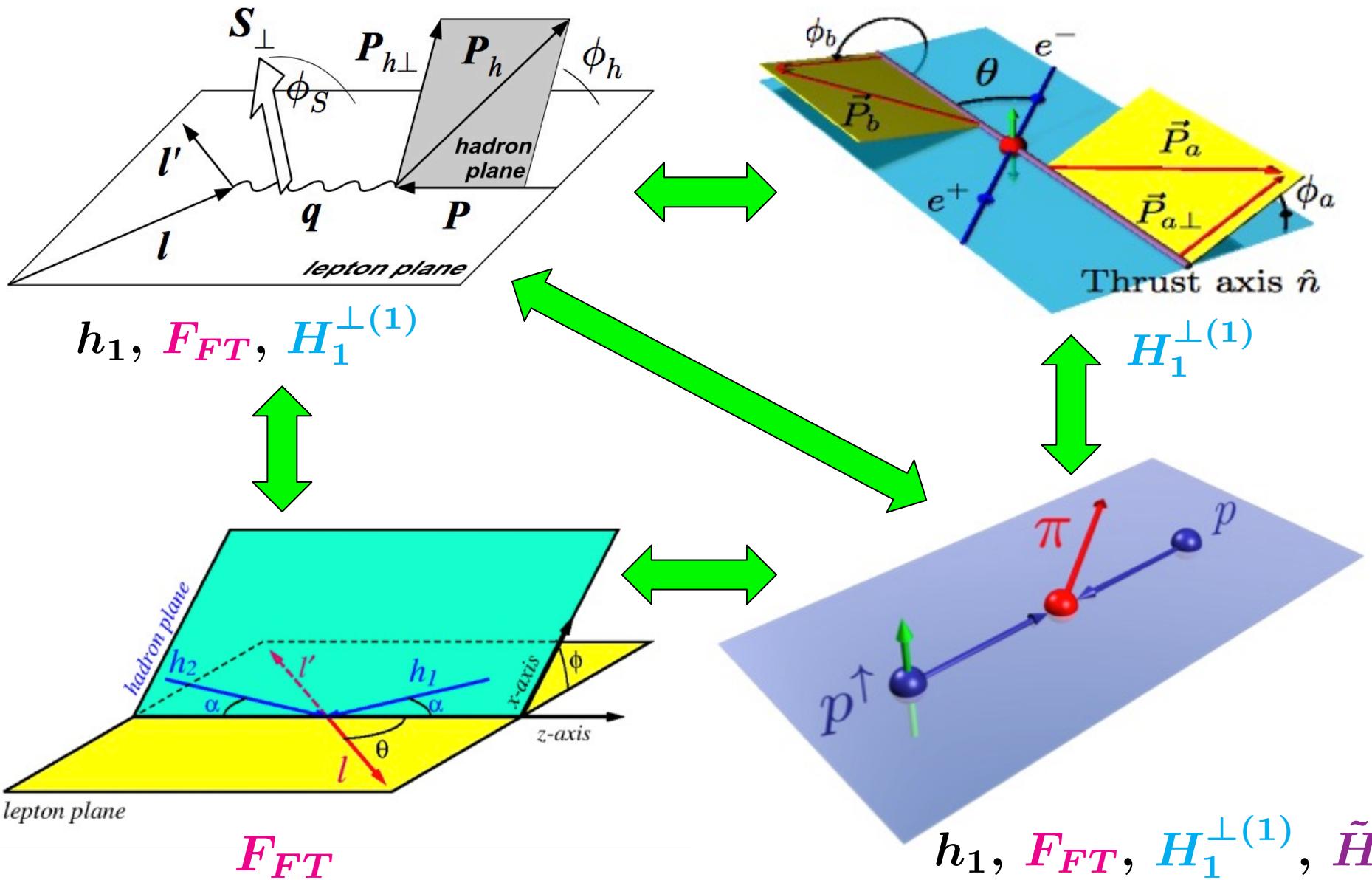
$$\tilde{f}_{1T}^{\perp(1)}(x, b_T; Q^2, \mu_Q) \sim [F_{FT}(x, x; \mu_{b_*})] \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{f_{1T}^\perp}(b_T, Q) \right]$$

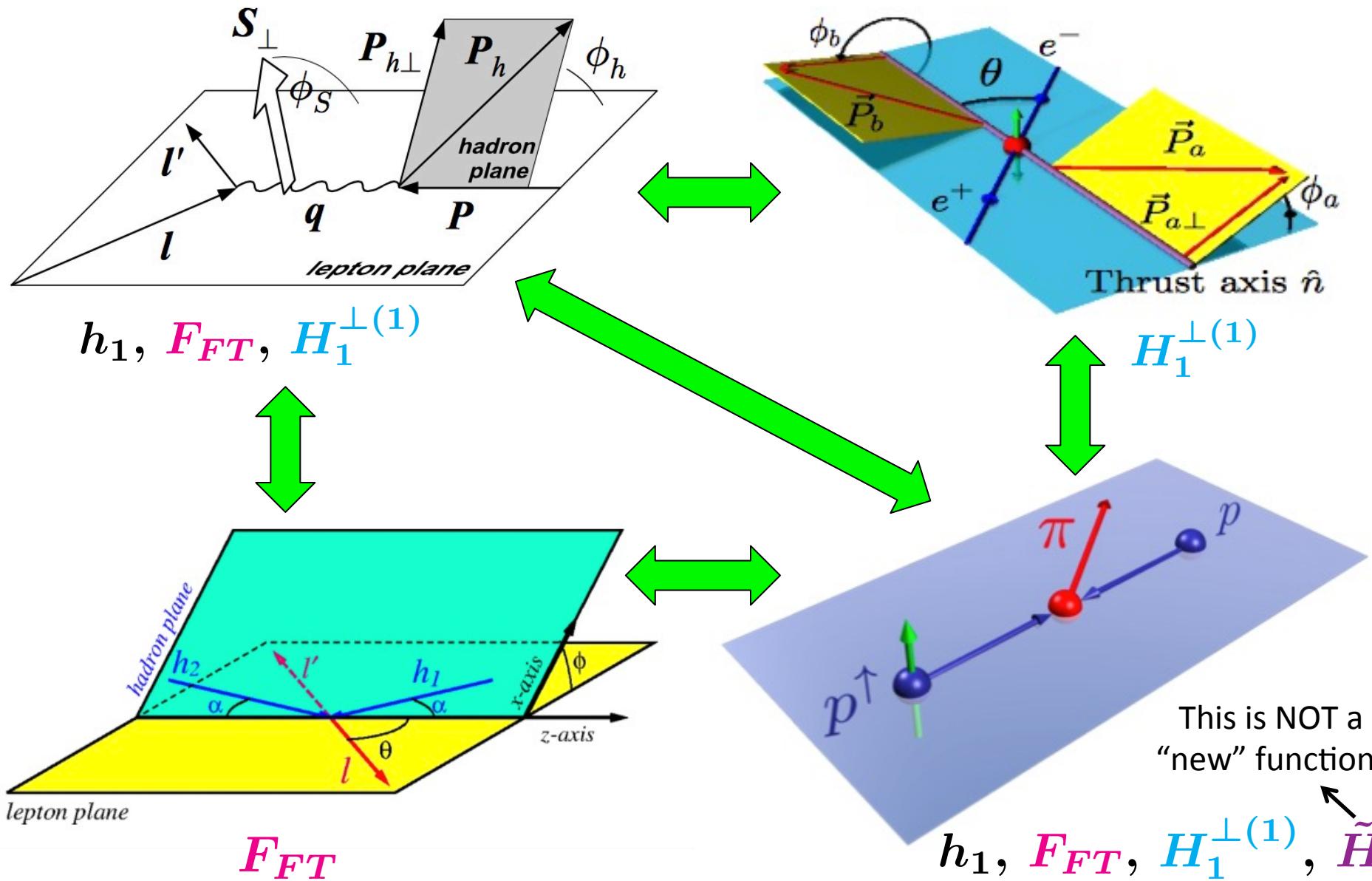
$$g_{f_{1T}^\perp}(x, b_T) + g_K(b_T) \ln(Q/Q_0)$$

$$\tilde{H}_1^{\perp(1)}(z, b_T; Q^2, \mu_Q) \sim [H_1^{\perp(1)}(z; \mu_{b_*})] \exp \left[ -S_{pert}(b_*(b_T); \mu_{b_*}, Q, \mu_Q) - S_{NP}^{H_1^\perp}(b_T, Q) \right]$$

$$g_{H_1^\perp}(z, b_T) + g_K(b_T) \ln(Q/Q_0)$$

The **CT3 functions** (along with the NP  $g$ -functions) are what get extracted in analyses of TSSAs in **TMD processes** that use CSS evolution!  
(Echevarria, Idilbi, Kang, Vitev (2014); Kang, Prokudin, Sun, Yuan (2016))







$A_{UT}^{\sin \phi_S}$  in SIDIS integrated over  $P_T$  (Mulders, Tangerman (1996);  
Bacchetta, et al. (2007))

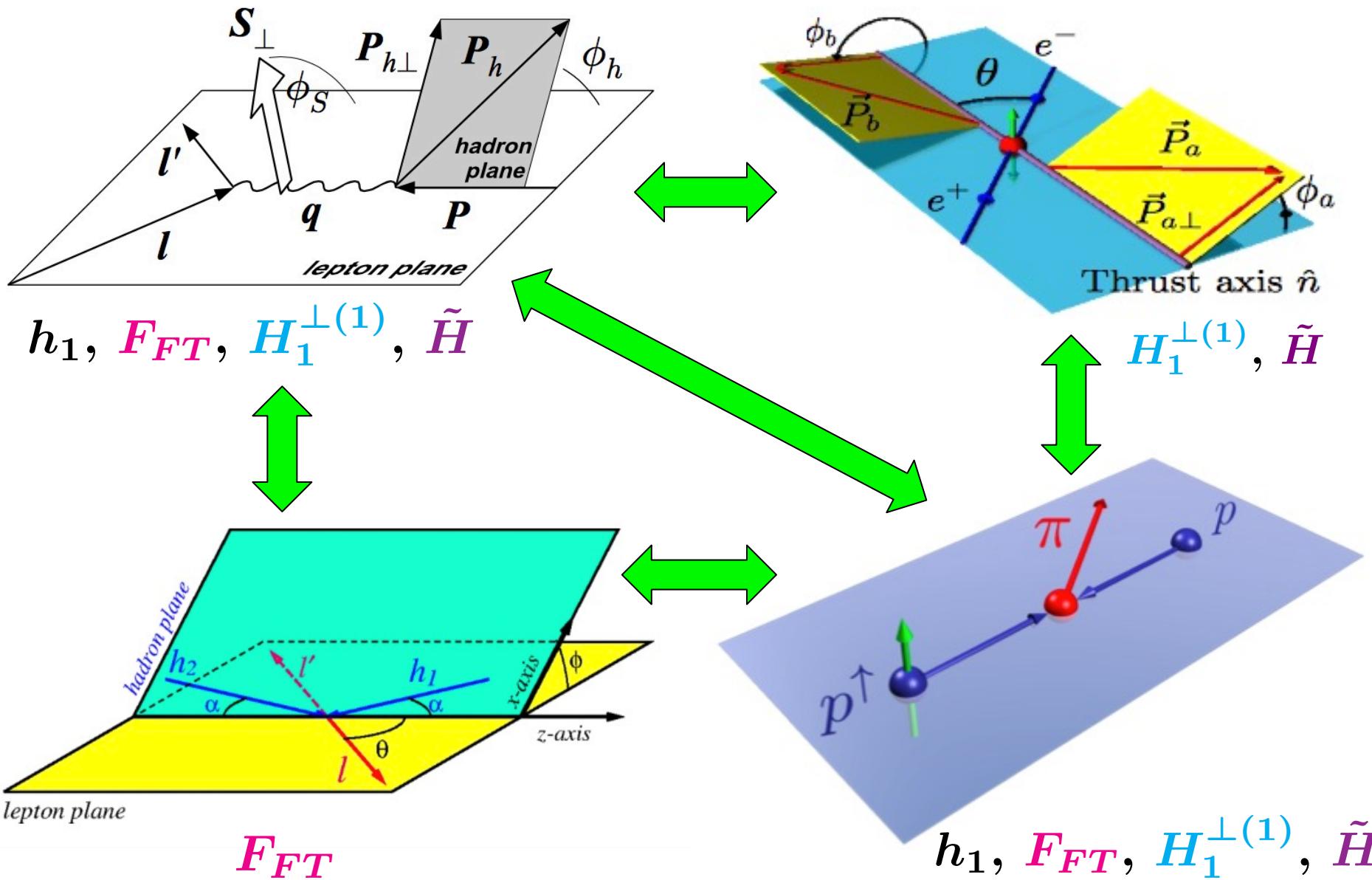
$$F_{UT}^{\sin \phi_S} \propto \sum_a e_a^2 \frac{2M_h}{Q} h_1^a(x) \frac{\tilde{H}^a(z)}{z}$$

$A_{UT}^{\sin \phi_S}$  in  $e^+e^- \rightarrow h_1 h_2 X$  integrated over  $q_T$  (Boer, Jakob, Mulders (1997))

$$F_{UT}^{\sin \phi_S} \propto \sum_{a,\bar{a}} e_a^2 \left( \frac{2M_2}{Q} D_1^a(z_1) \frac{D_T^{\bar{a}}(z_2)}{z_2} + \frac{2M_1}{Q} \frac{\tilde{H}(z_1)}{z_1} H_1^{\bar{a}}(z_2) \right)$$

And also the TMD version of these (and other) observables (but with many more terms)

-Note: data from COMPASS, HERMES, and Belle show nonzero effects for the unintegrated version of the above asymmetries



# Towards a Global Analysis of TMD and CT3 Observables



$$H^q(z) = -2z H_1^{\perp(1),q}(z) + 2z \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{q,\Im}(z, z_1)$$

QCD e.o.m.  
relation  
(EOMR)

$$\frac{H^q(z)}{z} = - \left(1 - z \frac{d}{dz}\right) H_1^{\perp(1),q}(z) - \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{q,\Im}(z, z_1)}{(1/z - 1/z_1)^2}$$

Lorentz  
invariance  
relation (LIR)



$$H^q(z) = -2z H_1^{\perp(1),q}(z) + 2z \int_z^\infty \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \hat{H}_{FU}^{q,\mathfrak{I}}(z, z_1)$$

QCD e.o.m.  
relation  
(EOMR)

$$\frac{H^q(z)}{z} = - \left(1 - z \frac{d}{dz}\right) H_1^{\perp(1),q}(z) - \frac{2}{z} \int_z^\infty \frac{dz_1}{z_1^2} \frac{\hat{H}_{FU}^{q,\mathfrak{I}}(z, z_1)}{(1/z - 1/z_1)^2}$$

Lorentz  
invariance  
relation (LIR)



$$\mathbf{H}(\mathbf{z}) = \int_z^1 dz_1 \int_{z_1}^\infty \frac{dz_2}{z_2^2} 2 \left[ \frac{\left( 2\left(\frac{2}{z_1} - \frac{1}{z_2}\right) + \frac{1}{z_1} \left(\frac{1}{z_1} - \frac{1}{z_2}\right) \delta\left(\frac{1}{z_1} - \frac{1}{z}\right) \right)}{\left(\frac{1}{z_1} - \frac{1}{z_2}\right)^2} \hat{H}_{FU}^{\mathfrak{I}}(z_1, z_2) \right]$$

$$\mathbf{H}_1^{\perp(1)}(\mathbf{z}) = -\frac{2}{z} \int_z^1 dz_1 \int_{z_1}^\infty \frac{dz_2}{z_2^2} \frac{\left(\frac{2}{z_1} - \frac{1}{z_2}\right)}{\left(\frac{1}{z_1} - \frac{1}{z_2}\right)^2} \hat{H}_{FU}^{\mathfrak{I}}(z_1, z_2)$$

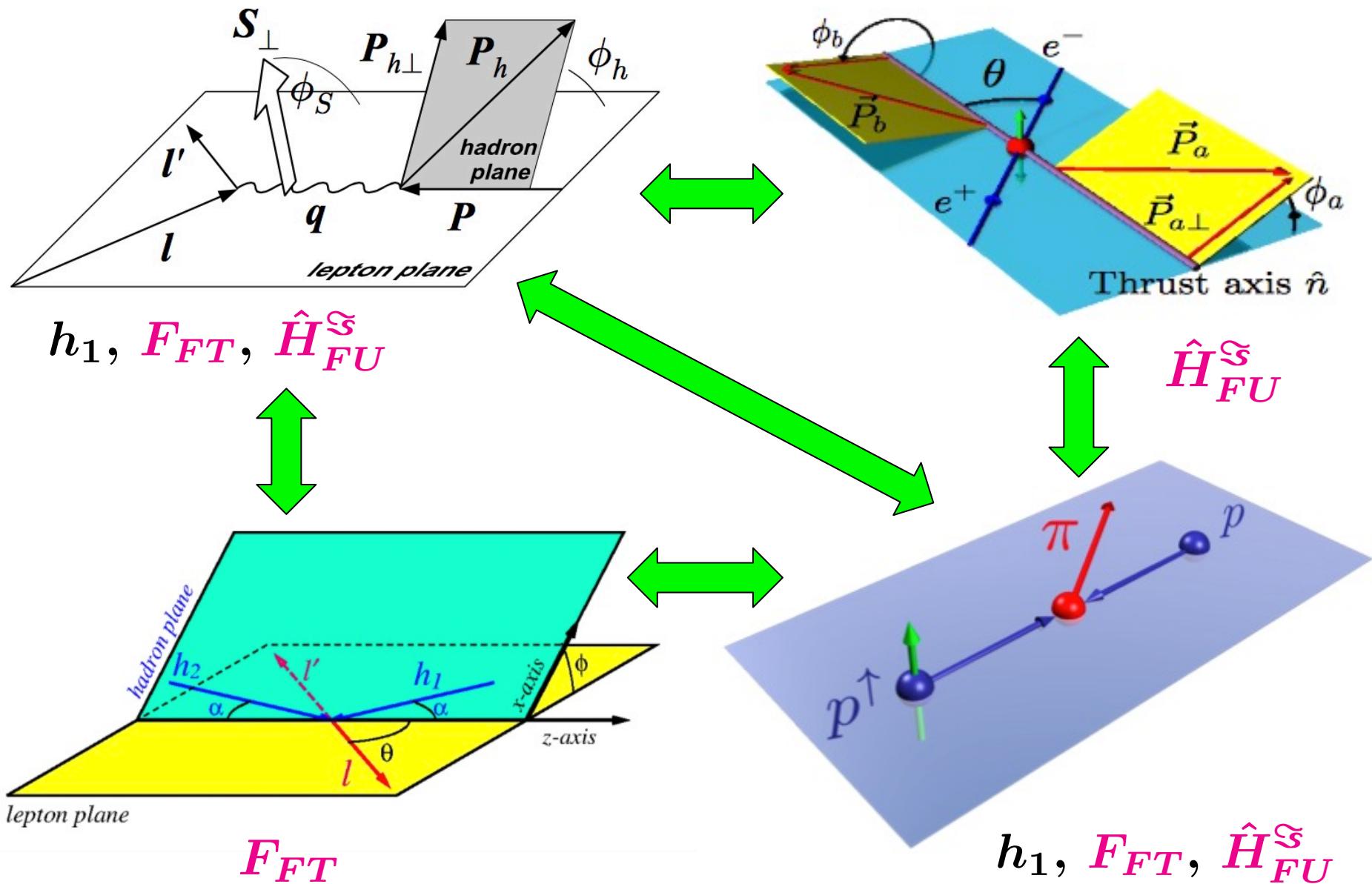


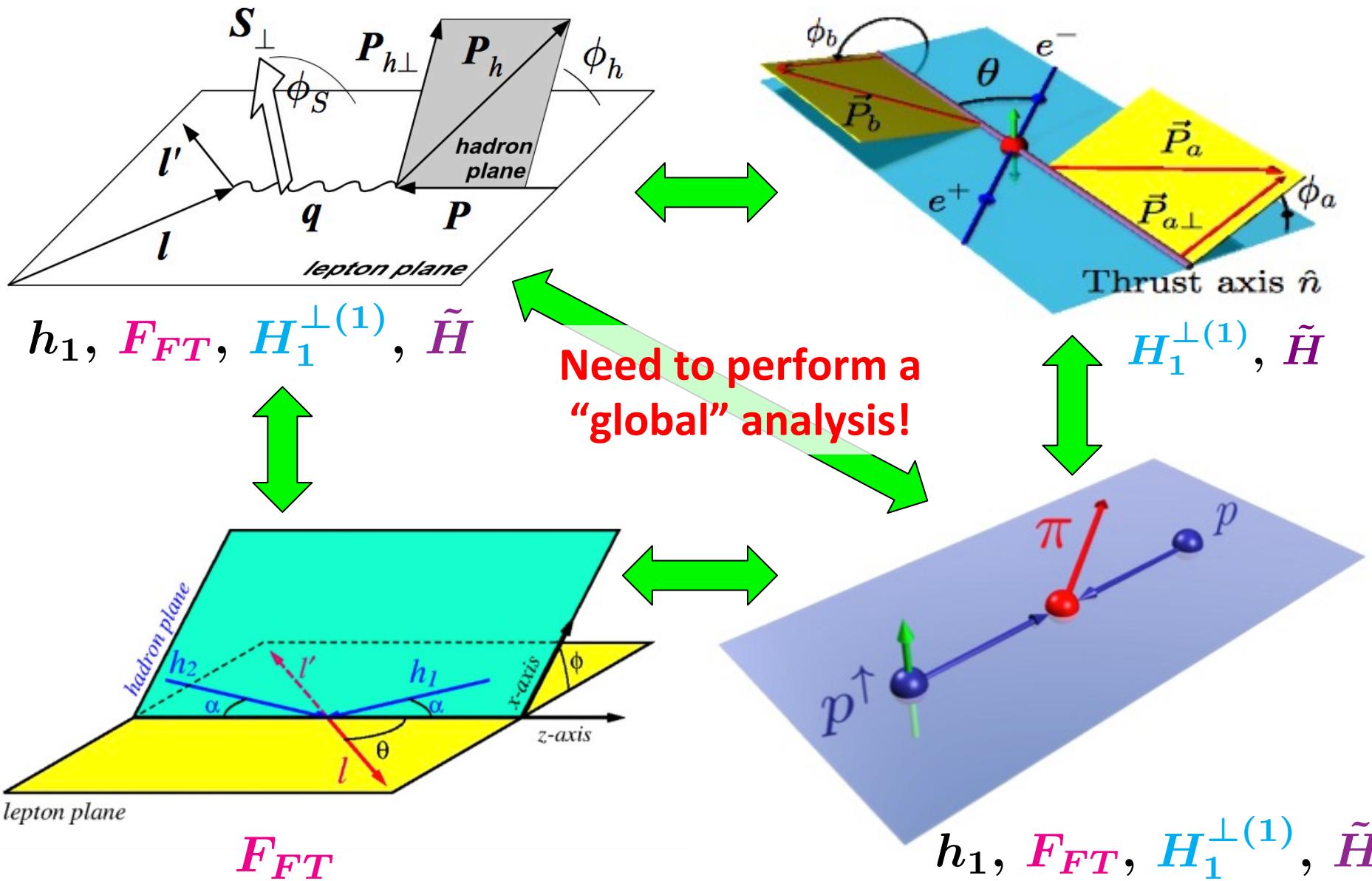
		PDF ( $x$ )	PDF ( $x, x_1$ )	FF ( $z$ )	FF ( $z, z_1$ )
		Hadron Pol.			
		intrinsic	kinematical	dynamical	
U	X	$h_U^{(1)}$	$H_{FU}$	$X, X$	$\hat{H}_{FU}^{\Re, \Im}$
L	X	$h_L^{(1)}$	$H_{FL}$	X, X	$\hat{H}_{FL}^{\Re, \Im}$
T	X	$f_T^{(1)}, g_T^{(1)}$	$F_{FT}, G_{FT}$	X, X	$\hat{D}_{FT}^{(1)}, \hat{G}_{FT}^{(1)}$



		PDF ( $x, x_1$ )	FF ( $z, z_1$ )
		Hadron Pol.	
		dynamical	dynamical
U		$H_{FU}$	$\hat{H}_{FU}^{\Re, \Im}$
L		$H_{FL}$	$\hat{H}_{FL}^{\Re, \Im}$
T		$F_{FT}, G_{FT}$	$\hat{D}_{FT}^{\Re, \Im}, \hat{G}_{FT}^{\Re, \Im}$

***ALL transverse spin observables are driven by multi-parton correlations***





# Summary

- TSSAs have been studied in both TMD processes (SIDIS,  $e^+e^-$ , DY) and collinear processes ( $A_N$  in  $pp$  &  $lp$  collisions).
- The current TMD formalism using improved CSS (iCSS) allows one to rigorously connect these two different types of observables. We have extended the original work on the unpolarized cross section to now include TSSAs.
- (LIRs + EOMRs + iCSS) = ***ALL*** transverse spin observables are driven by 3-parton (dynamical) functions.
- A global analysis of TMD *AND* collinear twist-3 transverse-spin observables is now possible.