# Transversity and Sivers function extraction from SIDIS COMPASS p and d data

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- the COMPASS experiment
- nucleon structure and TMDs
- extraction of transversity
- extraction of the Sivers function
- conclusions

#### COMPASS fixed target experiment at the CERN SPS

#### physics programme:

- hadron spectroscopy (p, π, K)
- light mesons, glue-balls, exotic mesons
- polarisability of pion and kaon

#### **nucleon structure** (µ)

- longitudinal spin structure
- transverse momentum and transverse spin structure
- DVCS  $\mu p$
- Drell-Yan  $\pi^- p \uparrow$



**COMPASS** 

# the structure of the nucleon

#### at leading order, 3 parton distribution functions (PDFs) are needed in the **collinear case**



first experimental information in 2005

# the structure of the nucleon

taking into account the quark **intrinsic transverse momentum**  $k_T$  at leading order other new 5 TMD PDFs are needed for a full description of the nucleon structure



#### all interesting

correlations among spins and transverse quark momentum

# Sivers function $f_{1T}^{\perp q}$

correlation between nucleon transverse spin and quark transverse momentum

SIDIS gives access to all of them by measuring the azimuthal asymmetries, i.e. the amplitudes of the different modulations in the azimuthal distributions of the final state hadrons

the use of different targets (proton and deuteron/neutron) and the identification of the final state hadrons allow for flavor separation

# the structure of the nucleon

in SIDIS off transversely polarised nucleons the

transversity PDF  $h_1^q$ 

are responsible for the

**Collins asymmetry** 

$$A_{Coll}^{h} = \frac{\sum_{q,\bar{q}} e_q^2 x \boldsymbol{h_1^q} \otimes H_{1q}^{\perp h}}{\sum_{q,\bar{q}} e_q^2 x f_1^q \otimes D_{1q}^h}$$

Sivers function  $f_{1T}^{\perp q}$ 

Sivers asymmetry

$$A_{Siv}^{h} = \frac{\sum_{q,\overline{q}} e_q^2 x \boldsymbol{f}_{1T}^{\perp \boldsymbol{q}} \otimes D_{1q}^{h}}{\sum_{q,\overline{q}} e_q^2 x f_1^q \otimes D_{1q}^h}$$

di-hadron asymmetry

 $A^{hh} = \frac{\sum_{q,\bar{q}} e_q^2 x h_1^q H_{1q}^2}{\sum_{q,\bar{q}} e_q^2 x f_1^q D_{1q}^{hh}}$ 

the use of different targets (proton and deuteron/neutron) and the identification of the final state hadrons allow for flavor separation



#### studied measuring SIDIS with

- 160 GeV muon beam
- a transversely polarised deuteron (<sup>6</sup>LiD) target (2002, 2003 and 2004, ~20% of running time)
- a transversely polarised proton (*NH*<sub>3</sub>) target

(2007, ~50%, and 2010, 100%, larger geometrical acceptance)

#### the spin asymmetries have been extracted using the same $x, z, P_T^h$ binning

 $\rightarrow$  the mean values of x,  $Q^2$  are almost the same for p and d in each x bin

#### results:

the Collins, the di-hadron asymmetries and the Sivers asymmetries are

- different from zero on proton, as seen by HERMES
- **compatible with zero on deuteron** within the large statistical errors





# **Sivers asymmetry**



COMPASS



### extraction of the Transversity and Sivers functions

the COMPASS results already used to extract these PDFs

#### **Transversity:**

Collins asymmetries

HERMES p, COMPASS p and d, Belle/Babar  $e^+e^- \rightarrow hadrons$  data Anselmino et al. 2013, 2015, Kang et al. 2015, ...



using parametrisations for transverity and spin-dependent fragmentation functions

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#### Transversity:

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HERMES p, COMPASS p and d, Belle/Babar  $e^+e^- \rightarrow hadrons$  dataAnselmino et al. 2013, 2015,Kang et al. 2015,

#### di-hadron asymmetries

HERMES p, COMPASS p and d, Belle/Babar  $e^+e^- \rightarrow hadrons$  data Bacchetta et al. 2013, Radici et al. 2015, ...

using parametrisations for transverity and spin-dependent fragmentation functions (x, z)

#### Sivers function:

Sivers asymmetries

HERMES p, COMPASS p and d Anselmino et al. 2012, Echevarria et al. 2014, ...

using parametrisations for the Sivers functions (x)

# extraction of the Transversity and Sivers functions

this work:

use the COMPASS proton and deuteron measurements at the same x,  $Q^2$  values to perform a direct point-by-point extraction of the transversity and Sivers PDFs





#### **Collins asymmetry – SIDIS**

$$A^{h}(x,z) = \frac{\sum_{q,\bar{q}} e_{q}^{2} x h_{1}^{q}(x) \otimes H_{1q}^{\perp h}(z)}{\sum_{q,\bar{q}} e_{q}^{2} x f_{1}^{q}(x) \otimes D_{1q}^{h}(z)}$$

"gaussian ansatz":

$$A^{h}(x,z) = C_{G} \frac{\sum_{q\bar{q}} e_{q}^{2} x h_{1}^{q}(x) H_{1q}^{h}(z)}{\sum_{q\bar{q}} e_{q}^{2} x f_{1}^{q}(x) D_{1q}^{h}(z)}$$

Efremov et al., 2006

$$C_{G} = \frac{1}{\sqrt{1 + z^{2} < k_{\perp h_{1}}^{2} > / < p_{\perp H_{1}}^{2}}} \qquad \qquad H_{1q}^{h} \equiv H_{1q}^{\perp(1/2)h} = \frac{\sqrt{\pi < p_{T}^{2} >}}{2zM_{h}} H_{1q}^{\perp h}$$

#### we have assumed

•  $C_G = 1$  most of the statistics at low z

• 
$$H_{1u}^+ = H_{1d}^- = H_{1\bar{d}}^+ = H_{1\bar{u}}^- = H_{1fav}$$
 and  $H_{1u}^- = H_{1d}^+ = H_{1\bar{d}}^- = H_{1\bar{u}}^+ = H_{1unf}^-$ 

•  $H_{1s}^{\pm} = H_{1\bar{s}}^{\pm} = 0$  and c quark contributions to be negligible

• 
$$D_{1u}^+ = D_{1d}^- = D_{1\overline{d}}^+ = D_{1\overline{u}}^- = D_{1fav}$$
 and  
 $D_{1u}^- = D_{1d}^+ = D_{1\overline{d}}^- = D_{1\overline{u}}^+ = D_{1\overline{s}}^+ = D_{1\overline{s}}^\pm = D_{1unf}^\pm$ 

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#### **Collins asymmetry – SIDIS p and d**

in each x bin the measured asymmetries can be written as

$$A^{h}(x) = \frac{\sum_{q\bar{q}} e_{q}^{2} x h_{1}^{q}(x) \widetilde{H}_{1q}^{h}}{\sum_{q\bar{q}} e_{q}^{2} x f_{1}^{q}(x) \widetilde{D}_{1q}^{h}} \qquad \widetilde{D}_{1q}^{h} = \int dz \, D_{1q}^{h}(z)$$
  
i.e. 
$$A_{p}^{+} = \widetilde{a}_{P}^{h} \frac{4(h_{1}^{u} + \widetilde{a}h_{1}^{\overline{u}}) + (\widetilde{a}h_{1}^{d} + h_{1}^{\overline{d}})}{f_{p}^{+}} \qquad \widetilde{a}_{P}^{h} = \frac{\widetilde{H}_{1fav}}{\widetilde{D}_{1fav}} \text{ from } e^{+}e^{-} \text{ Belle data}$$
$$A_{p}^{-} = \widetilde{a}_{P}^{h} \frac{4(\widetilde{a}h_{1}^{u} + h_{1}^{\overline{u}}) + (h_{1}^{d} + \widetilde{a}h_{1}^{\overline{d}})}{f_{p}^{-}} \qquad \widetilde{a}_{P}^{h} = \frac{\widetilde{H}_{1fav}}{\widetilde{D}_{1fav}} \text{ from } e^{+}e^{-} \text{ Belle data}$$
$$A_{d}^{+} = \widetilde{a}_{P}^{h} \frac{(4 + \widetilde{a})(h_{1}^{u} + h_{1}^{d}) + (1 + 4\widetilde{a})(h_{1}^{\overline{u}} + h_{1}^{\overline{d}})}{f_{d}^{+}} \qquad \widetilde{a} = \frac{\widetilde{H}_{1unf}}{\widetilde{H}_{1fav}}$$
$$A_{d}^{-} = \widetilde{a}_{P}^{h} \frac{(1 + 4\widetilde{a})(h_{1}^{u} + h_{1}^{d}) + (4 + \widetilde{a})(h_{1}^{\overline{u}} + h_{1}^{\overline{d}})}{f_{d}^{-}}$$

$$x\left[4(f_{1}^{u}+\widetilde{\beta}f_{1}^{\bar{u}})+(\widetilde{\beta}f_{1}^{d}+f_{1}^{\bar{d}})+\widetilde{\beta}(f_{1}^{s}+f_{1}^{\bar{s}})\right]\widetilde{D}_{1,\mathrm{fav}} \equiv xf_{p}^{+}\widetilde{D}_{1,\mathrm{fav}} \qquad \widetilde{\beta} = \frac{\widetilde{D}_{1\,unf}}{\widetilde{D}_{1\,fav}}$$

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# **Collins asymmetry – SIDIS p and d**

in each x bin it is

both reasonable and in agreement with the considerations on the "interplay between the Collins and the di-hadron FFs"

#### Collins asymmetry – SIDIS p and d

in each x bin it is

$$\begin{aligned} xh_{1}^{\bar{u}} &= \frac{1}{15} \frac{1}{\tilde{a}_{P}^{h}(1-\tilde{\alpha}^{2})} \left[ (1-4\tilde{\alpha}) xf_{p}^{+}A_{p}^{+} + (4-\tilde{\alpha}) xf_{p}^{-}A_{p}^{-} - xf_{d}^{+}A_{d}^{+} + \tilde{\alpha} xf_{d}^{-}A_{d}^{-} \right], \\ xh_{1}^{\bar{d}} &= \frac{1}{15} \frac{1}{\tilde{a}_{P}^{h}(1-\tilde{\alpha}^{2})} \left[ (4\tilde{\alpha}-1) xf_{p}^{+}A_{p}^{+} - (4-\tilde{\alpha}) xf_{p}^{-}A_{p}^{-} - 4\tilde{\alpha} xf_{d}^{+}A_{d}^{+} + 4xf_{d}^{-}A_{d}^{-} \right]. \end{aligned}$$

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#### Collins asymmetry $-e^+e^-$ Belle data

we have used the asymmetries (corrected for charm contribution)

$$A_{e^+e^-}^{UL}(z_1, z_2) = \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} [A_U(z_1, z_2) - A_L(z_1, z_2)]$$

integrated over  $M_1, M_2$ 

with  $z_1 = z_2 = z$ 

Phys. Rev D 78, 032011 (2008)



#### with the previous assumptions on the FFs one obtains

$$\begin{aligned} \left|a_{P}^{h}(z)\right| &= \left|\frac{H_{1fav}(z)}{D_{1fav}(z)}\right| = \left[\frac{1}{B(z)}\frac{\langle 1 + \cos^{2}\theta \rangle}{\langle \sin^{2}\theta \rangle}A_{e^{+}e^{-}}^{UL}(z)\right]^{\frac{1}{2}} \end{aligned}$$
where
$$B &= \frac{5 + 5\alpha^{2}}{5 + 7\beta^{2}} - \frac{5\alpha}{5\beta + \beta^{2}}$$

$$\alpha(z) &= \frac{H_{1unf}(z)}{H_{1fav}(z)} = \begin{cases} -1 & a1 \\ -\frac{D_{1unf}(z)}{D_{1fav}(z)} = -\beta & a2 \end{cases}$$

#### Collins asymmetry $-e^+e^-$ Belle data

the values of the analysing power  $|a_P^h(z)|$  have been calculated in the four z bins with both the assumptions **a**1  $\alpha(z) = \frac{H_{1 unf}(z)}{H_{1 fav}(z)} = \begin{cases} -\frac{D_{1 unf}(z)}{D_{1 fav}(z)} = -\beta \ a2 \end{cases}$ 0.3 scenario 1 0.4 scenario 2 0.3  $a_p^h$  $a_p^h$ 0.2 and then fitted with two 0.1 0.1 different functions of z 0.2 0.4 0.6 0.8 0.2 0.40.6 0.8 z z  $|\tilde{a}_{P}^{h}| = 0.12$ results: **a**1  $\rightarrow$  same values for the transversity functions! compensated by  $\alpha$  $|\tilde{a}_{P}^{h}| = 0.17$ **a**2

we have assumed  $|a_P^h(z)|$  constant in  $Q^2$  i.e. same evolution for  $H_{1fav}$  and  $D_{1fav}$ if the evolution of  $H_{1fav}$  is negligible, the analysing power at COMPASS  $Q^2$  decreases by ~ 10%

#### **Collins asymmetry – COMPASS data**



charged hadrons ~ charged pions

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**COMPASS** 

#### **Transversity** from the Collins asymmetry



error bars:  $1\sigma$  stat. only

 $xh_1^{u_v}$  clearly different from zero  $xh_1^{d_v}$  opposite sign larger errors because of the low statistics of the d data

curves: Anselmino et al., 2013 Soffer bound

#### **Transversity** from the Collins asymmetry



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#### **Transversity** from the di-hadron asymmetry

SIDIS 
$$A^{hh}(x) = \frac{\sum_{q,\bar{q}} e_q^2 x h_1^q(x) \widetilde{H}_{1q}^2}{\sum_{q,\bar{q}} e_q^2 x f_1^q(x) \widetilde{D}_{1q}^{hh}}$$

assuming  $\widetilde{D}_{1u}^{hh} = \widetilde{D}_{1d}^{hh} = \widetilde{D}_{1\overline{u}}^{hh} = \widetilde{D}_{1\overline{d}}^{hh}$   $\widetilde{D}_{1s}^{hh} = \widetilde{D}_{1\overline{s}}^{hh} = \lambda \widetilde{D}_{1u}^{hh}$   $\lambda = 0.5$   $(\widetilde{D}_{1c}^{hh} = \widetilde{D}_{1c}^{hh})$  $\widetilde{H}_{1u}^{\angle} = -\widetilde{H}_{1d}^{\angle} = -\widetilde{H}_{1\overline{u}}^{\angle} = \widetilde{H}_{1\overline{d}}^{\angle}$   $\widetilde{H}_{1s}^{\angle} = -\widetilde{H}_{1\overline{s}}^{\angle} = 0$   $(\widetilde{H}_{1c}^{\angle} = -\widetilde{H}_{1\overline{c}}^{\angle} = 0)$ 

Bacchetta, Courtoy, Radici 2011 Courtoy, Bacchetta, Radici, Bianconi 2012

$$\begin{aligned} xh_{1}^{u_{v}} &= \frac{1}{15} \frac{1}{\tilde{a}_{p}^{hh}} [3(4 x f_{1}^{u+\bar{u}} + x f_{1}^{d+\bar{d}} + 0.5 x f_{1}^{s+\bar{s}}) A_{p}^{hh} & f_{1}^{q+\bar{q}} = f_{1}^{q} + f_{1}^{\bar{q}} \\ &+ (5 x f_{1}^{u+\bar{u}} + 5 x f_{1}^{d+\bar{d}} + x f_{1}^{s+\bar{s}}) A_{d}^{hh}] \\ \hline xh_{1}^{d_{v}} &= \frac{1}{15} \frac{1}{\tilde{a}_{p}^{hh}} [-3(4 x f_{1}^{u+\bar{u}} + x f_{1}^{d+\bar{d}} + 0.5 x f_{1}^{s+\bar{s}}) A_{p}^{hh} \\ &+ 4(5 x f_{1}^{u+\bar{u}} + 5 x f_{1}^{d+\bar{d}} + x f_{1}^{s+\bar{s}}) A_{d}^{hh}] \end{aligned}$$

$$\widetilde{a}_{P}^{hh} = \frac{\widetilde{H}_{1u}^{2}}{\widetilde{D}_{1u}^{hh}} \quad \text{from } e^{+}e^{-} \text{ Belle data}$$

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#### **Transversity** from the di-hadron asymmetry

$$\widetilde{a}_{P}^{hh} = \frac{\widetilde{H}_{1u}^{\angle}}{\widetilde{D}_{1u}^{hh}} \quad \text{from } e^+e^- \text{ Belle data}$$

Phys. Rev. Lett. 107, 072004 (2011)

#### **Transversity** from the di-hadron asymmetries



#### **Transversity**



# **Sivers function**

a similar method has been used to extract the Sivers function from the COMPASS p and d Sivers asymmetries for charged pions and kaons

$$A_{Siv}^{h}(x,z) = \frac{\sum_{q,\overline{q}} e_q^2 x \boldsymbol{f}_{1T}^{\perp \boldsymbol{q}}(x) \otimes D_{1q}^{h}(z)}{\sum_{q,\overline{q}} e_q^2 x f_1^q(x) \otimes D_{1q}^{h}(z)}$$

"gaussian ansatz":

$$A^{h}_{Siv}(x,z) = G \ z \ \frac{\sum_{q,\bar{q}} e^{2}_{q} x f^{\perp(1)q}_{1T}(x) D^{h}_{1q}(z)}{\sum_{q,\bar{q}} e^{2}_{q} x f^{q}_{1}(x) D^{h}_{1q}(z)} \qquad f^{\perp(1)q}_{1T} = \int d^{2}\vec{k}_{T} \frac{k^{2}_{T}}{2M^{2}} f^{\perp q}_{1T}(k^{2}_{T})$$

assuming  $G = \frac{\sqrt{\pi}M}{\left[z^2 \langle k_T^2 \rangle_S + \langle p_T^2 \rangle\right]^{1/2}} \simeq \frac{\pi M}{2 \langle P_T \rangle}$  known and integrating over z

$$A_{Siv}^{h}(x) = G \ \frac{\sum_{q} e_{q}^{2} \cdot x f_{1T}^{\perp(1)q}(x) \cdot \widetilde{D}_{1q}^{(1)h}}{\sum_{q} e_{q}^{2} \cdot x f_{1}^{q}(x) \cdot \widetilde{D}_{1q}^{h}}$$

$$\widetilde{D}_{1q}^{h} = \int dz \, D_{1q}^{h}(z,) \qquad \qquad \widetilde{D}_{1q}^{(1)h} = \int dz \, z \, D_{1q}^{h}(z)$$

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#### writing explicitly the Sivers asymmetries one finds, for pions

$$\begin{split} \begin{split} & \left(xf_{1T}^{\perp(1)u_v}\right) = \frac{1}{5G\rho_{\pi}(1-\beta_{\pi}^{(1)})} \left[ \left(xf_p^{\pi^+}A_p^{\pi^+} - xf_p^{\pi^-}A_p^{\pi^-}\right) + \frac{1}{3}\left(xf_d^{\pi^+}A_d^{\pi^+} - xf_d^{\pi^-}A_d^{\pi^-}\right) \right] \\ & \left(xf_{1T}^{\perp(1)d_v}\right) = \frac{1}{5G\rho_{\pi}(1-\beta_{\pi}^{(1)})} \left[ \frac{4}{3}\left(xf_d^{\pi^+}A_d^{\pi^+} - xf_d^{\pi^-}A_d^{\pi^-}\right) - \left(xf_p^{\pi^+}A_p^{\pi^+} - xf_p^{\pi^-}A_p^{\pi^-}\right) \right] \\ & x\left[4(f_1^u + \beta_{\pi}f_1^{\bar{u}}) + (\beta_{\pi}f_1^d + f_1^d) + N\beta_{\pi}(f_1^s + f_1^{\bar{s}})\right] \widetilde{D}_{1,\text{fav}}^{\pi} \equiv xf_p^{\pi^+} \widetilde{D}_{1,\text{fav}}^{\pi} \\ & \beta_{\pi} = \frac{\widetilde{D}_{1,unf}}{\widetilde{D}_{1,fav}} \qquad \beta_{\pi}^{(1)} = \frac{\widetilde{D}_{1,unf}^{(1)\pi}}{\widetilde{D}_{1,fav}^{(1)\pi}} \qquad \rho_{\pi} = \frac{\widetilde{D}_{1,fav}^{(1)\pi}}{\widetilde{D}_{1,fav}^{\pi^+}} \qquad \widetilde{D}_1^{\pi} = \int dz \, D_1^{\pi}(z) \qquad \widetilde{D}_1^{(1)\pi} = \int dz \, z \, D_1^{\pi}(z) \\ & \left(xf_{1T}^{\perp(1)\bar{u}} - xf_{1T}^{\perp(1)\bar{d}}\right) = \frac{1}{15G\rho_{\pi}\left(1-\beta_{\pi}^{(1)2}\right)} \left[ 2(1-4\beta_{\pi}^{(1)})xf_p^{\pi^+}A_p^{\pi^+} + 2(4-\beta_{\pi}^{(1)})xf_p^{\pi^-}A_p^{\pi^-} - (1-4\beta_{\pi}^{(1)})xf_d^{\pi^+}A_d^{\pi^+} - (4-\beta_{\pi}^{(1)})xf_d^{\pi^-}A_d^{\pi^-}) \right] \,. \end{split}$$

can be obtained directly from the p and d measured asymmetries in each x bin, at the corresponding  $\langle Q^2 \rangle$ 

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writing explicitly the Sivers asymmetries one finds, for kaons

$$\begin{split} \underbrace{xf_{1T}^{\perp(1)u_{v}}}_{1T} &= \frac{1}{4G\rho_{K}(1-\beta_{K}^{(1)})} \begin{bmatrix} xf_{p}^{K^{+}}A_{p}^{K^{+}} - xf_{p}^{K^{-}}A_{p}^{K^{-}} \end{bmatrix} \\ \underbrace{xf_{1T}^{\perp(1)d_{v}}}_{1T} &= -\frac{1}{4G\rho_{K}(1-\beta_{K}^{(1)})} \begin{bmatrix} xf_{p}^{K^{+}}A_{p}^{K^{+}} - xf_{p}^{K^{-}}A_{p}^{K^{-}} - (xf_{d}^{K^{+}}A_{d}^{K^{+}} - xf_{d}^{K^{-}}A_{d}^{K^{-}}) \end{bmatrix} \end{split}$$

no linear combination give access to  $f_{1T}^{\perp(1)s,\bar{s}}$ 

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compatible with zero  $\Rightarrow x f_{1T}^{\perp(1)\overline{u}}$  and  $x f_{1T}^{\perp(1)\overline{d}}$  small

In the large Nc limit, the isotriplet  $(\bar{u} - \bar{d})$ Sivers combination is expected to dominate over the isosinglet one  $(\bar{u} + \bar{d})$ 







# Summary

the point-by-point extraction of PDFs looks promising

- interesting results for the transversity and the Sivers functions
- no parametrisation of the unknown PDFs and FFs is needed
- can be used also for the weighted asymmetries, ...
- needs proton and deuteron/neutron data at the same  $\langle x \rangle$ ,  $\langle Q^2 \rangle$  values

to be kept in mind in planning future experiments

# presently, large uncertainties for the d quark due to the low statistics deuteron data

we have repeated the extraction of transversity assuming one full year of data taking in COMPASS with the transversely polarised d target in the same conditions of the 2010 proton run

#### **Transversity**



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COMP<sub>A</sub>SS

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→ remarkable improvement unique opportunity to improve our knowledge at "small" x and "large" Q<sup>2</sup>, in a range complementary to the JLab12 measurements COMPASS can produce other relevant results, useful for EIC

