



Canada's national laboratory  
for particle and nuclear physics  
and accelerator-based science



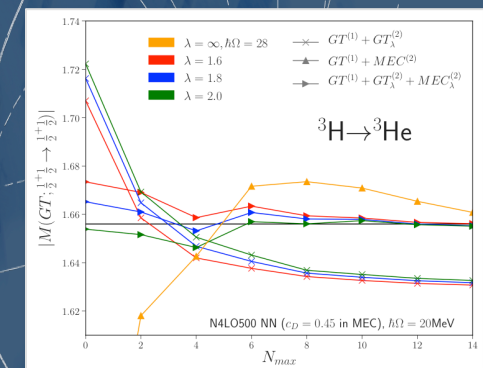
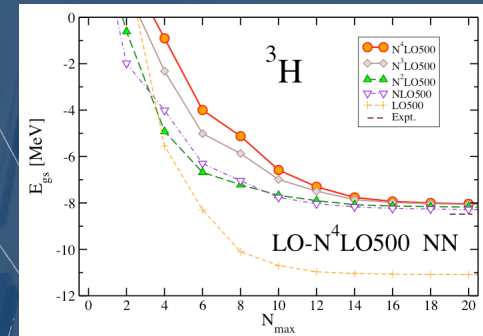
# Ab initio $\beta$ -decay calculations with SRG evolved chiral currents

INT Program INT-17-2a  
Neutrinoless Double Beta Decay  
June 20, 2017

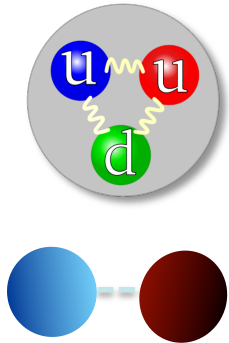
Petr Navratil | TRIUMF

Collaborators:

Sofia Quaglioni, Kyle Wendt (LLNL)  
Angelo Calci, **Peter Gysbers**, Jason Holt (TRIUMF)  
Gaute Hagen, Micah Schuster (ORNL)  
Mihai Horoi (CMU), Jon Engel (NCU), Doron Gazit (Hebrew U)



- New high precision chiral interactions
- Chiral currents
- SRG evolution of operators
- NCSM calculations of  ${}^3\text{H}$ ,  ${}^6\text{He}$ ,  ${}^{14}\text{C}$  beta decay
- Initial double-beta decay applications

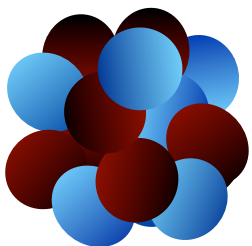


Low-energy QCD



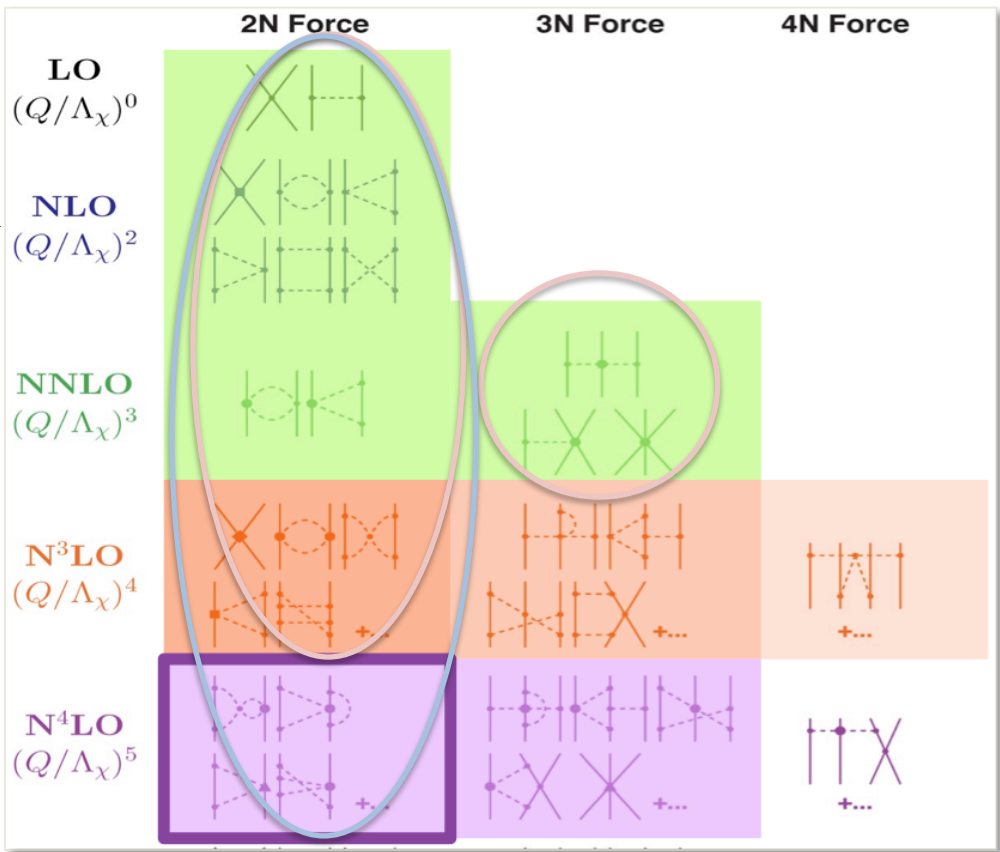
NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials



Nuclear structure and reactions

- Inter-nucleon forces from chiral effective field theory
  - Based on the symmetries of QCD
    - Chiral symmetry of QCD ( $m_u \approx m_d \approx 0$ ), spontaneously broken with pion as the Goldstone boson
    - Degrees of freedom: nucleons + pions
  - Systematic low-momentum expansion to a given order ( $Q/\Lambda_\chi$ )
  - Hierarchy
  - Consistency
  - Low energy constants (LEC)
    - Fitted to data
    - Can be calculated by lattice QCD



$\Lambda_\chi \sim 1 \text{ GeV}$  :  
Chiral symmetry breaking scale

N<sup>4</sup>LO500 NN    N<sup>3</sup>LO NN+N<sup>2</sup>LO 3N  
(NN+3N400, NN+3N500)

- Meson-exchange current

PHYSICAL REVIEW C **67**, 055206 (2003)

**Parameter-free effective field theory calculation for the solar proton-fusion and hep processes**

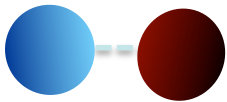
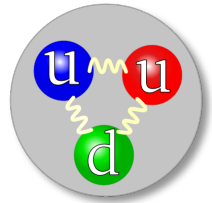
T.-S. Park,<sup>1,2,3</sup> L. E. Marcucci,<sup>4,5</sup> R. Schiavilla,<sup>6,7</sup> M. Viviani,<sup>5,4</sup> A. Kievsky,<sup>5,4</sup> S. Rosati,<sup>5,4</sup> K. Kubodera,<sup>1,2</sup>  
D.-P. Min,<sup>8</sup> and M. Rho<sup>1,9</sup>

- weak axial current
  - one-body: LO - Gamow-Teller

$$A_l = -g_A \tau_l^- e^{-iq \cdot r_l} \left[ \boldsymbol{\sigma}_l + \frac{2(\bar{\mathbf{p}}_l \boldsymbol{\sigma}_l \cdot \bar{\mathbf{p}}_l - \boldsymbol{\sigma}_l \bar{\mathbf{p}}_l^2) + i\mathbf{q} \times \bar{\mathbf{p}}_l}{4m_N^2} \right]$$

- two-body: MEC

$$A_{12} = \frac{g_A}{2m_N f_\pi^2} \frac{1}{m_\pi^2 + k^2} \left[ -\frac{i}{2} \tau_{\times}^- \mathbf{p} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{k} \right. \\ \left. + 4\hat{c}_3 \mathbf{k} \mathbf{k} \cdot (\tau_1^- \boldsymbol{\sigma}_1 + \tau_2^- \boldsymbol{\sigma}_2) + \left( \hat{c}_4 + \frac{1}{4} \right) \tau_{\times}^- \mathbf{k} \times [\boldsymbol{\sigma}_{\times} \times \mathbf{k}] \right] \\ + \frac{g_A}{m_N f_\pi^2} [2\hat{d}_1 (\tau_1^- \boldsymbol{\sigma}_1 + \tau_2^- \boldsymbol{\sigma}_2) + \hat{d}_2 \tau_{\times}^a \boldsymbol{\sigma}_{\times}], \quad (19)$$



Low-energy QCD



NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials



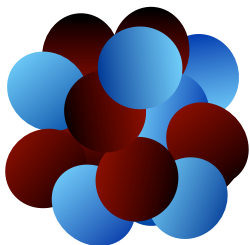
Many-Body methods

NCSM, NCSM/RGM,  
NCSMC, CCM, SCGF,  
GFMC, HH, Nuclear  
Lattice EFT...

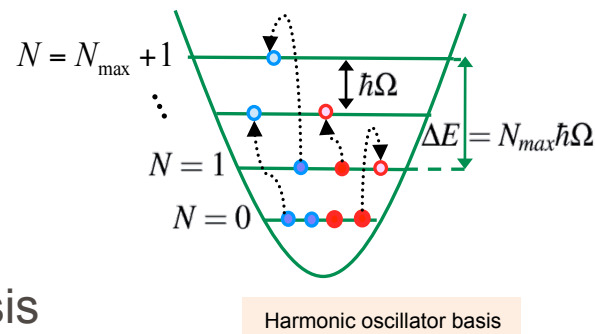


Nuclear structure and reactions

$$H|\Psi\rangle = E|\Psi\rangle$$



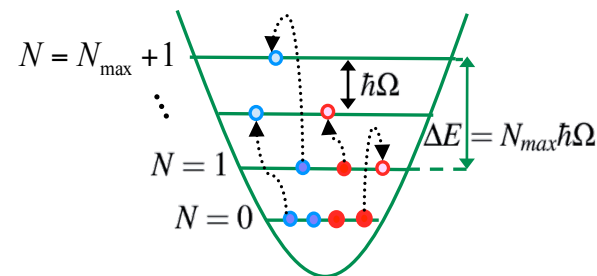
- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances
  - Equivalent description in relative-coordinate and Slater determinant basis



NCSM

$$(A) \quad \Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^{HO}(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})$$

- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances
  - Equivalent description in relative-coordinate and Slater determinant basis



Harmonic oscillator basis



NCSM

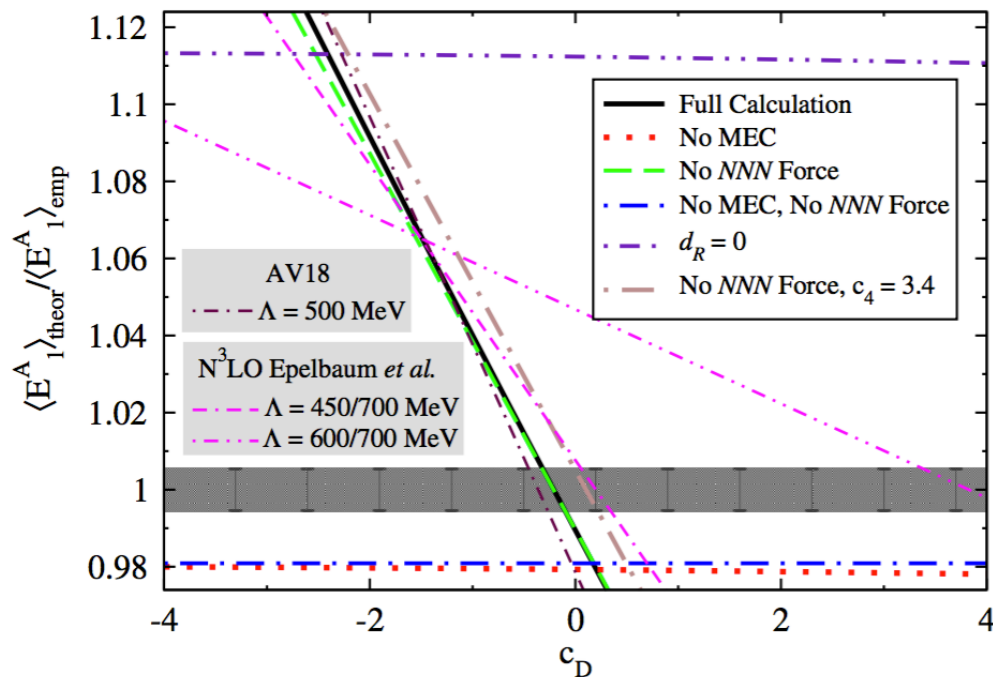
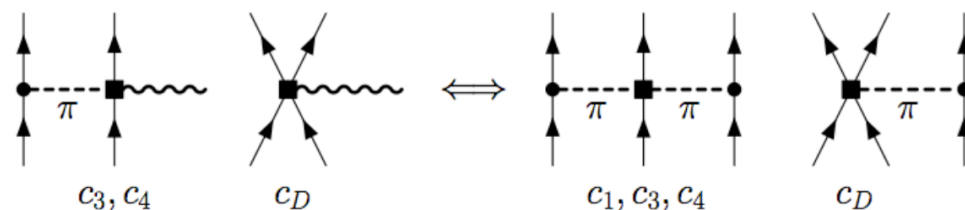
$$(A) \text{ } \Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^{HO}(\vec{\eta}_1, \vec{\eta}_2, \dots, \vec{\eta}_{A-1})$$

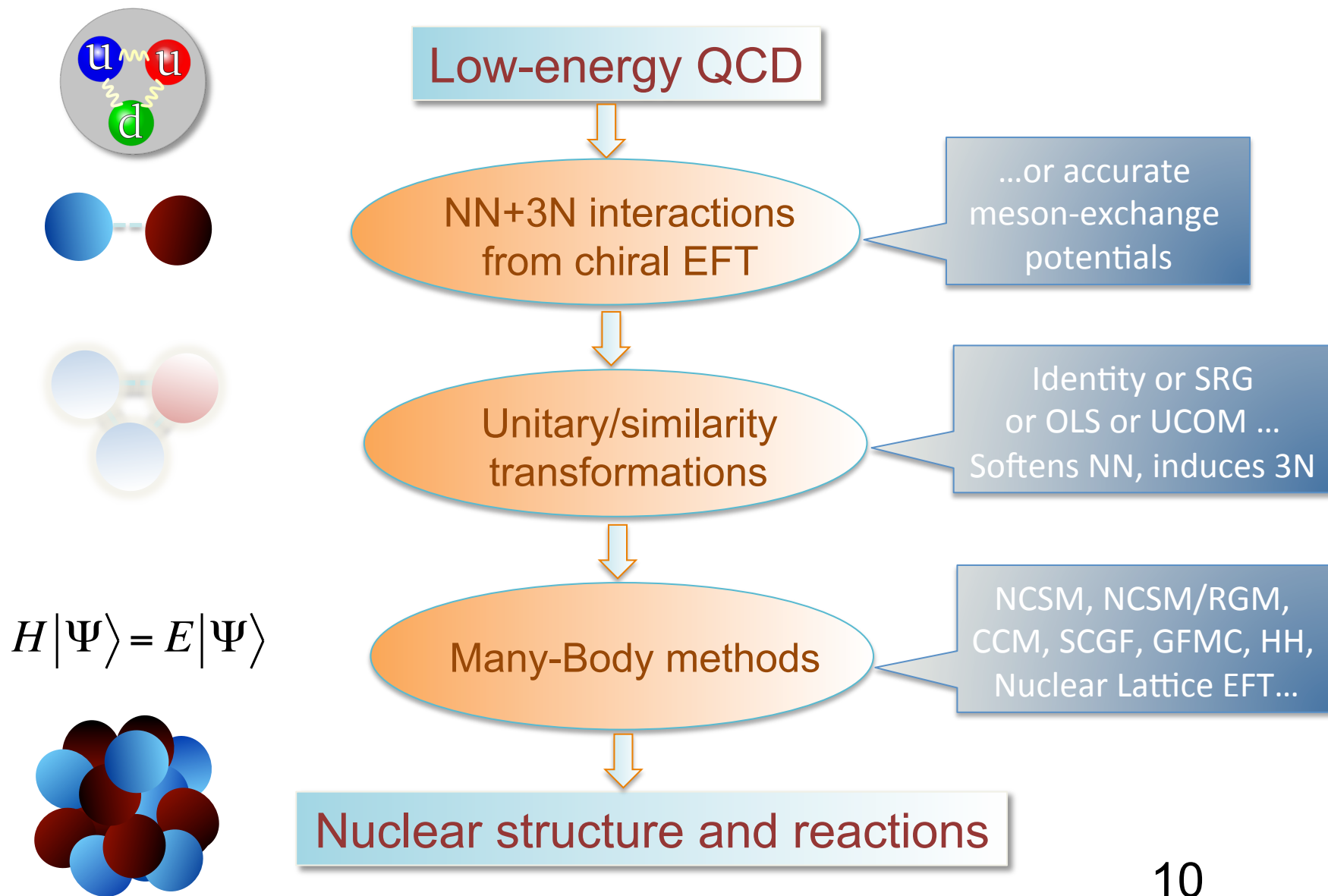
$$(A) \text{ } \Psi_{SD}^A = \sum_{N=0}^{N_{\max}} \sum_j c_{Nj}^{SD} \Phi_{SDNj}^{HO}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_A) = \Psi^A \varphi_{000}(\vec{R}_{CM})$$



- Nuclear currents are obtained consistently
  - LO: standard single-nucleon terms
  - N<sup>2</sup>LO: first appearance of two-body currents
  - Two-body axial vector currents predicted by NN and 3N couplings
- <sup>3</sup>H binding energy and  $\beta$ -decay half-life uncorrelated
  - Used to fully constrain N<sup>2</sup>LO 3N force ( $c_E$ ,  $c_D$ ) in A=3

Park et al., Gardestig & Phillips, ...



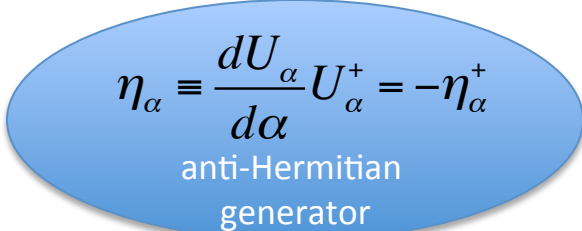


- Continuous transformation driving Hamiltonian to band-diagonal form with respect to a chosen basis

- Unitary transformation  $H_\alpha = U_\alpha H U_\alpha^+ \quad U_\alpha U_\alpha^+ = U_\alpha^+ U_\alpha = 1$

$$\frac{dH_\alpha}{d\alpha} = \frac{dU_\alpha}{d\alpha} H U_\alpha^+ + U_\alpha H \frac{dU_\alpha^+}{d\alpha} = \frac{dU_\alpha}{d\alpha} U_\alpha^+ U_\alpha H U_\alpha^+ + U_\alpha H U_\alpha^+ U_\alpha \frac{dU_\alpha^+}{d\alpha}$$

$$= \frac{dU_\alpha}{d\alpha} U_\alpha^+ H_\alpha + H_\alpha U_\alpha \frac{dU_\alpha^+}{d\alpha} = [\eta_\alpha, H_\alpha]$$



$$\eta_\alpha \equiv \frac{dU_\alpha}{d\alpha} U_\alpha^+ = -\eta_\alpha^+$$

anti-Hermitian generator

- Setting  $\eta_\alpha = [G_\alpha, H_\alpha]$  with Hermitian  $G_\alpha$

$$\frac{dH_\alpha}{d\alpha} = [[G_\alpha, H_\alpha], H_\alpha]$$

- Customary choice in nuclear physics  $G_\alpha = T$  ...kinetic energy operator
  - band-diagonal in momentum space plane-wave basis

- Initial condition  $H_{\alpha=0} = H_{\lambda=\infty} = H \quad \lambda^2 = 1/\sqrt{\alpha}$

The SRG transformation maintains the same eigenvalues for the Hamiltonian

$$\hat{H} |\psi_k\rangle = E_k |\psi_k\rangle \rightarrow \hat{H}_\alpha |\psi_{k,\alpha}\rangle = E_k |\psi_{k,\alpha}\rangle$$

But to extract additional observables from the wavefunction while taking advantage of the SRG transformation, the corresponding operators must be transformed

$$\langle \psi_i | \hat{O} | \psi_f \rangle = \langle \psi_{i,\alpha} | \hat{O}_\alpha | \psi_{f,\alpha} \rangle \text{ where } \hat{O}_\alpha = U_\alpha \hat{O} U_\alpha^\dagger$$

The transformation matrix can be extracted from the eigenfunctions of the Hamiltonian

$$U_\alpha = \sum_k |\psi_{k,\alpha}\rangle \langle \psi_k|$$

$H_\alpha, O_\alpha$ :

2-body part determined in  $A=2$  system,

3-body part determined in  $A=3$  system,

...

Implementation up to two-body terms:

Peter Gysbers (McMaster/TRIUMF)

The matrix  $U$  is calculated blockwise, for relative coordinate two-nucleon eigenstates:

$$|(A = 2)k J^\pi T T_z\rangle = \sum_{n,\ell} c_{n\ell s}^k |n\ell s J^\pi T T_z\rangle$$

The corresponding submatrix of  $\hat{H}$  is evolved then diagonalized to produce a matrix  $U_\alpha^{J^\pi T T_z}$

Compute the matrix elements of the bare operator:  $\langle k' J'^{\pi'} T' T'_z || \hat{O}^{(K)} || k J^\pi T T_z \rangle$

Matrix elements of the evolved operator are:

$$\langle k' J'^{\pi'} T' T'_z, \alpha || U_\alpha^{J'^{\pi'} T' T'_z} \hat{O}^{(K)} U_\alpha^\dagger{}^{J^\pi T T_z} || k J^\pi T T_z, \alpha \rangle$$

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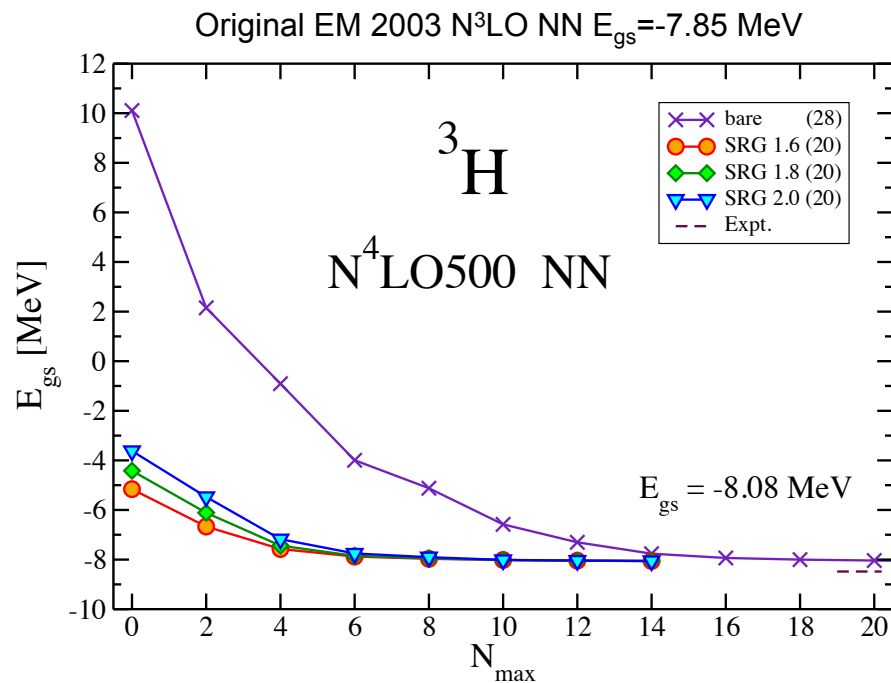
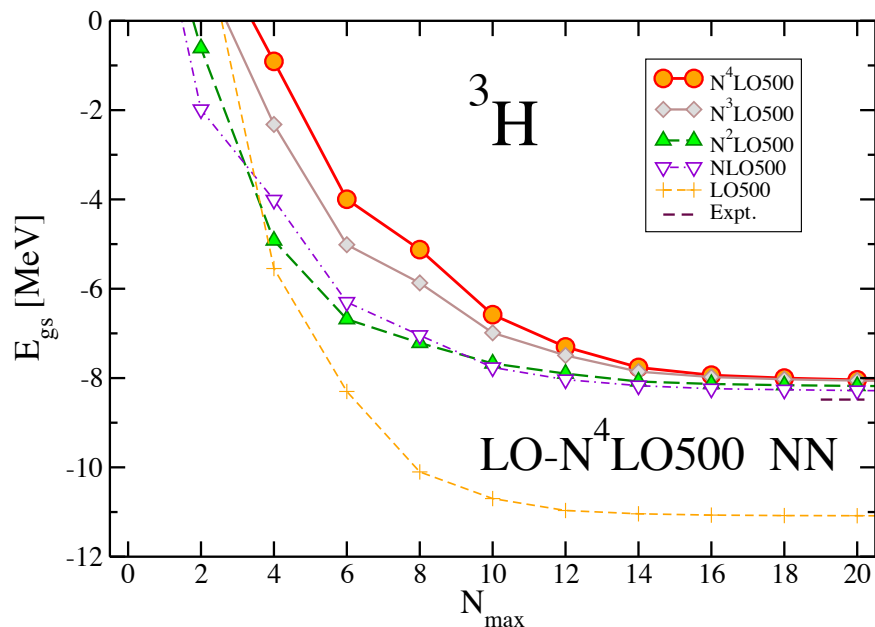
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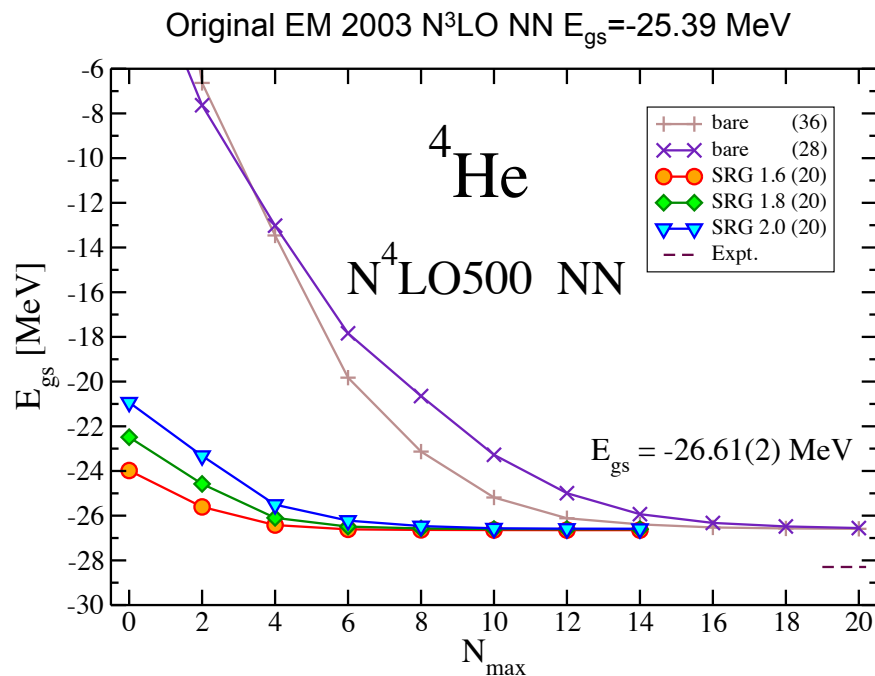
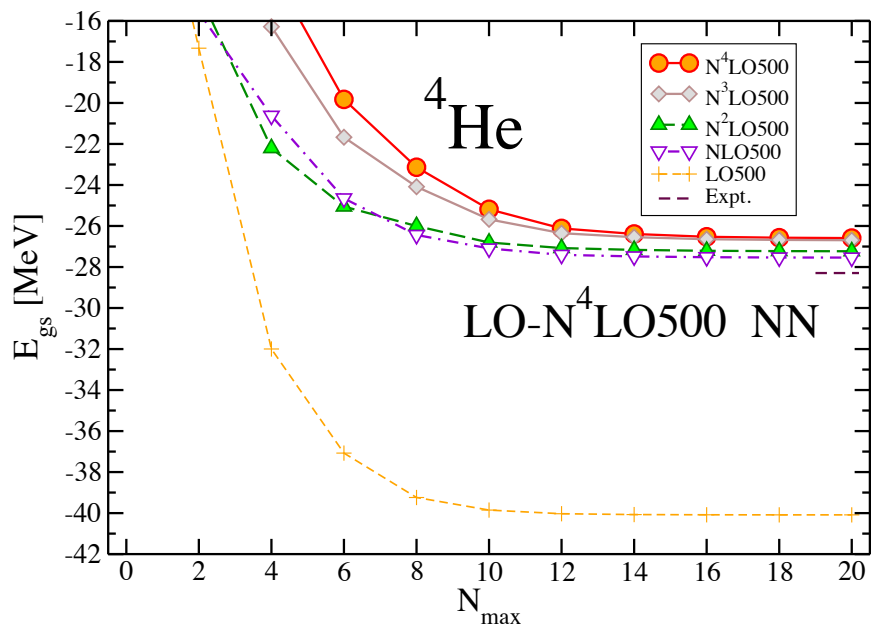
Converting from the two-nucleon Jacobi basis to the single particle basis:

$$\begin{aligned} & \langle a' b' J'^{\pi'} T' T'_z || \hat{O}_\alpha^{(K)} || a b J^\pi T T_z \rangle \quad a \equiv \{n_a, \ell_a, j_a\} \\ & = \sum C_{n'\ell's'}^{*a'b'} C_{n\ell s}^{ab} \langle n'\ell's' J'^{\pi'} T' T'_z || \hat{O}_\alpha^{(K)} || n\ell s J^\pi T T_z \rangle \end{aligned}$$

- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, arXiv:1703.05454.



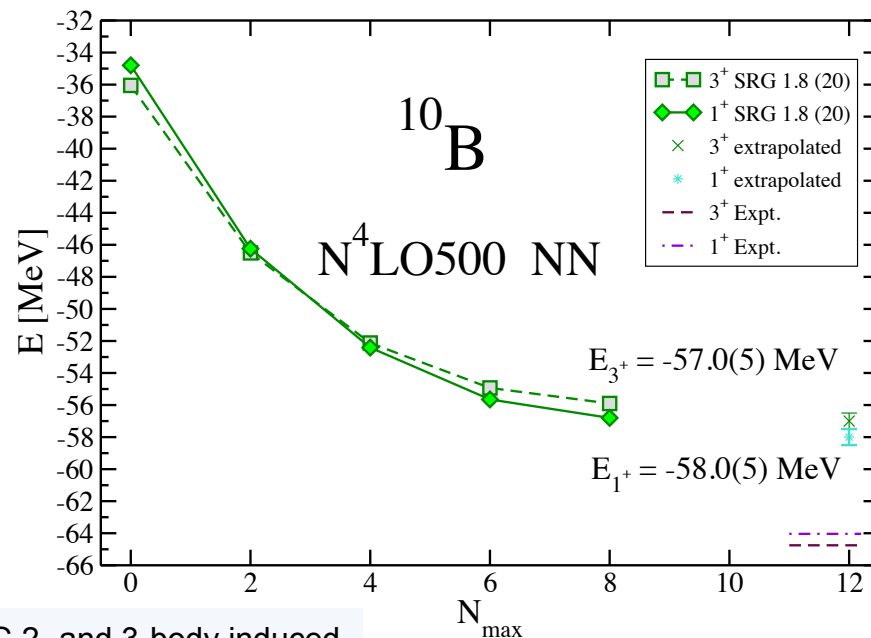
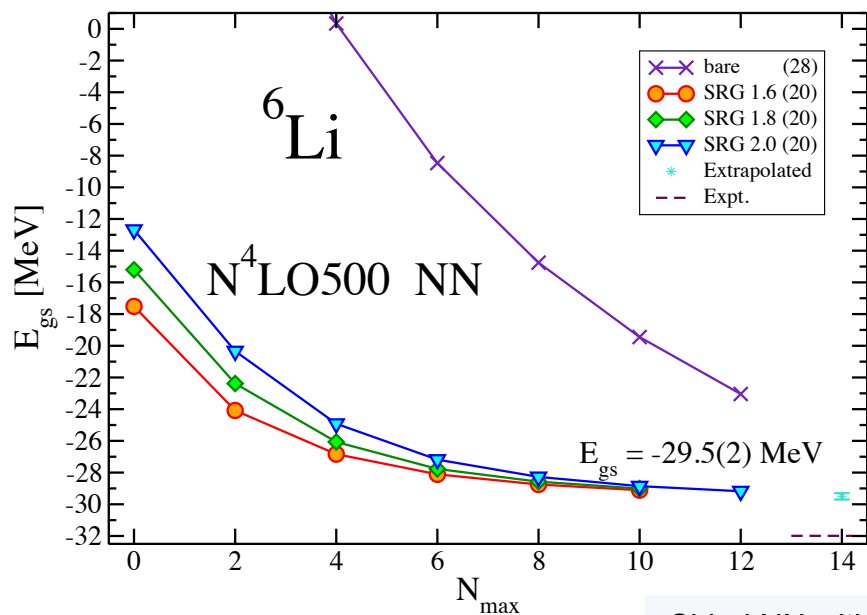
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Original EM 2003 N<sup>3</sup>LO NN  $E_{\text{gs}} = -28.0(5)$  MeV



$$\hat{O} = GT^{(1)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + \dots$$

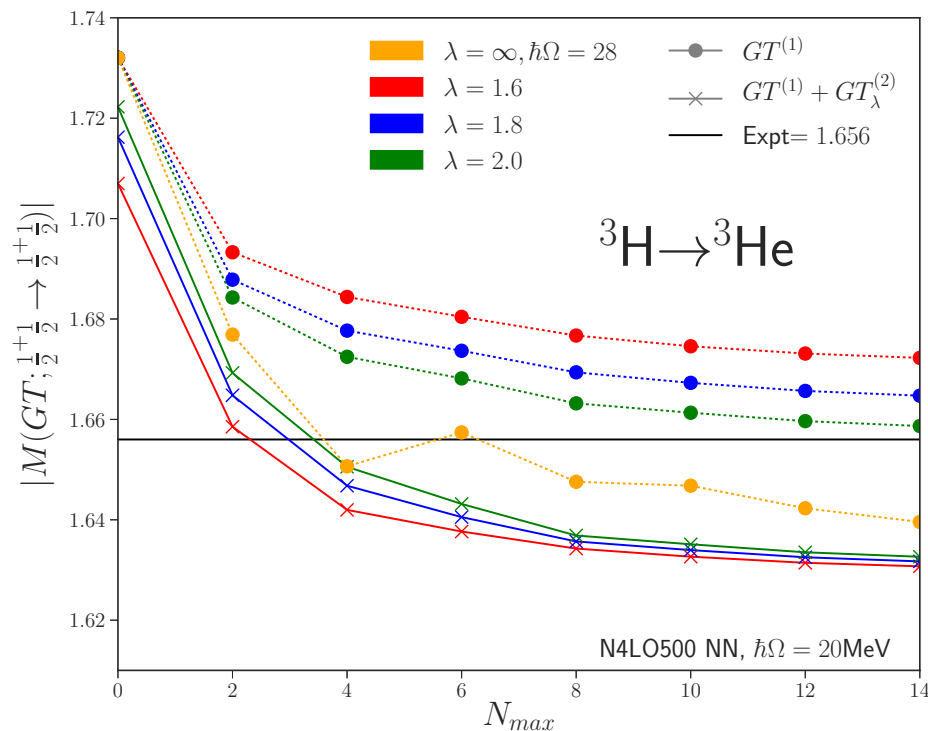
Operator:

Gamow-Teller (1-body)

$$\langle GT_\alpha^{(2)} \rangle_{A=2} = \langle (GT^{(1)})_\alpha \rangle_{A=2} - \langle GT_\alpha^{(1)} \rangle_{A=2}$$

Potential: "N<sup>4</sup>LO NN"

- chiral NN @ N<sup>4</sup>LO, Machleidt PRC91 (2015), 500MeV cutoff



Hamiltonian:  
 chiral NN+3N with SRG 2- and 3-body induced  
 (except orange line: bare chiral NN+3N)

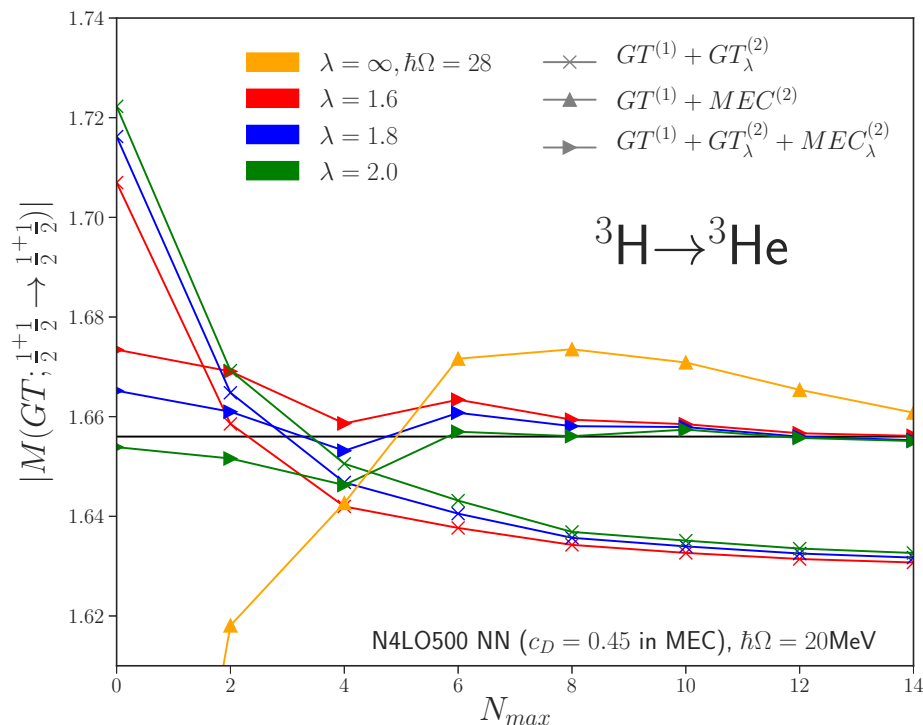
$$\hat{O} = GT^{(1)} + MEC^{(2)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + MEC_\alpha^{(2)} + \dots$$

### Operator:

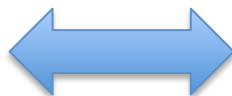
Gamow-Teller (1-body) + chiral meson exchange current (2-body)  
Park (2003)

### Potential: "N<sup>4</sup>LO NN"

- chiral NN @ N<sup>4</sup>LO, Machleidt PRC91 (2015), 500MeV cutoff
- LEC  $c_D = 0.45$  determined



Original EM 2003 N<sup>3</sup>LO NN  $c_D = -0.2$   
(3N attractive)

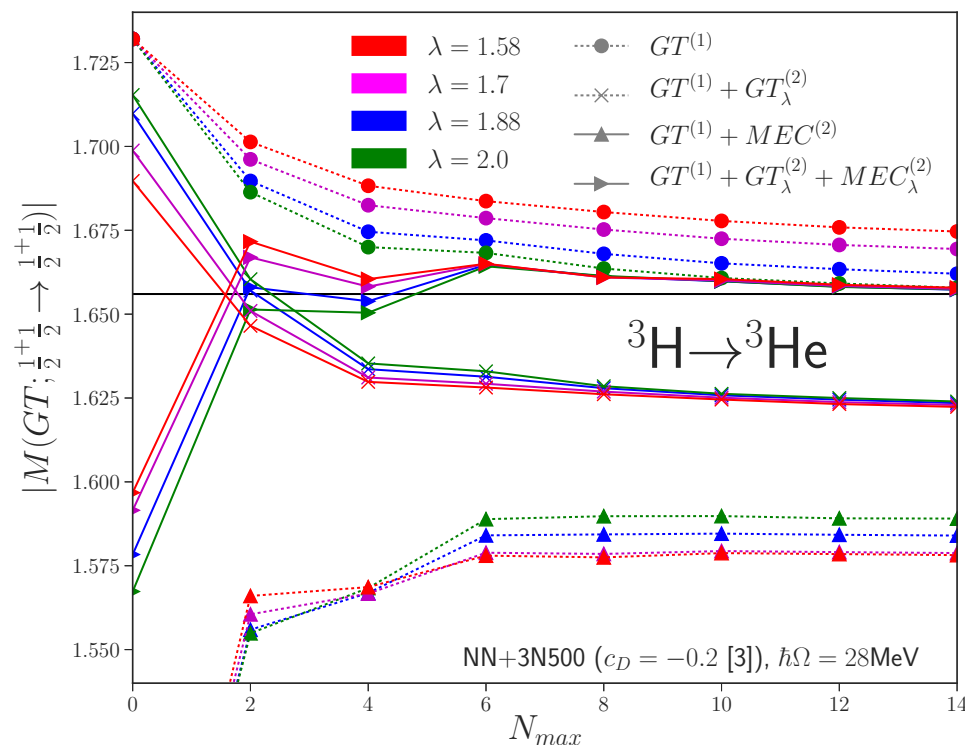


Determination  
of the  $c_D$  parameter  
relevant to chiral 3N force  
 $c_D = 0.45$  (3N repulsive)

$$\hat{O} = GT^{(1)} + MEC^{(2)} \rightarrow \hat{O}_\alpha = GT^{(1)} + GT_\alpha^{(2)} + MEC_\alpha^{(2)} + \dots$$

### Potential: "NN+3N500"

- chiral NN @ N<sup>3</sup>LO, Entem & Machleidt PRC68 (2003), 500MeV cutoff
- chiral 3N @ N<sup>2</sup>LO, Navrátil Few-Body Sys. 41 (2007), 500MeV cutoff
- LEC  $c_D = -0.2$  determined by Gazit PRL103 (2009)



Hamiltonian:  
chiral NN+3N with SRG 2- and 3-body induced

# Precision measurement of ${}^6\text{He}$ beta decay

PHYSICAL REVIEW C **86**, 035506 (2012)



## Precision measurement of the ${}^6\text{He}$ half-life and the weak axial current in nuclei

A. Knecht,<sup>1,\*</sup> R. Hong,<sup>1</sup> D. W. Zumwalt,<sup>1</sup> B. G. Delbridge,<sup>1</sup> A. García,<sup>1</sup> P. Müller,<sup>2</sup> H. E. Swanson,<sup>1</sup> I. S. Towner,<sup>3</sup> S. Utsuno,<sup>1</sup> W. Williams,<sup>2,†</sup> and C. Wrede<sup>1,‡</sup>

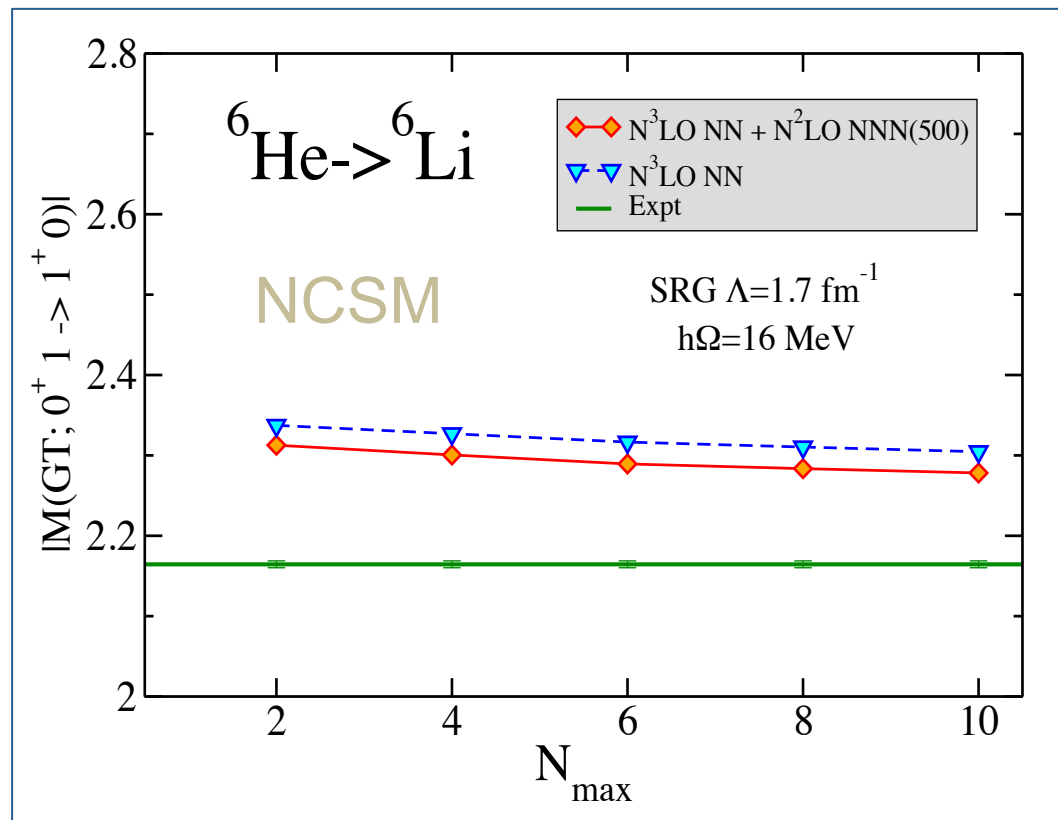
... challenge and test  
of *ab initio* calculations,  
nuclear forces  
and currents

Improvement with  
the **NNN** interaction

MEC must be included

Also:

Operator renormalization  
& continuum



# Precision measurement of ${}^6\text{He}$ beta decay

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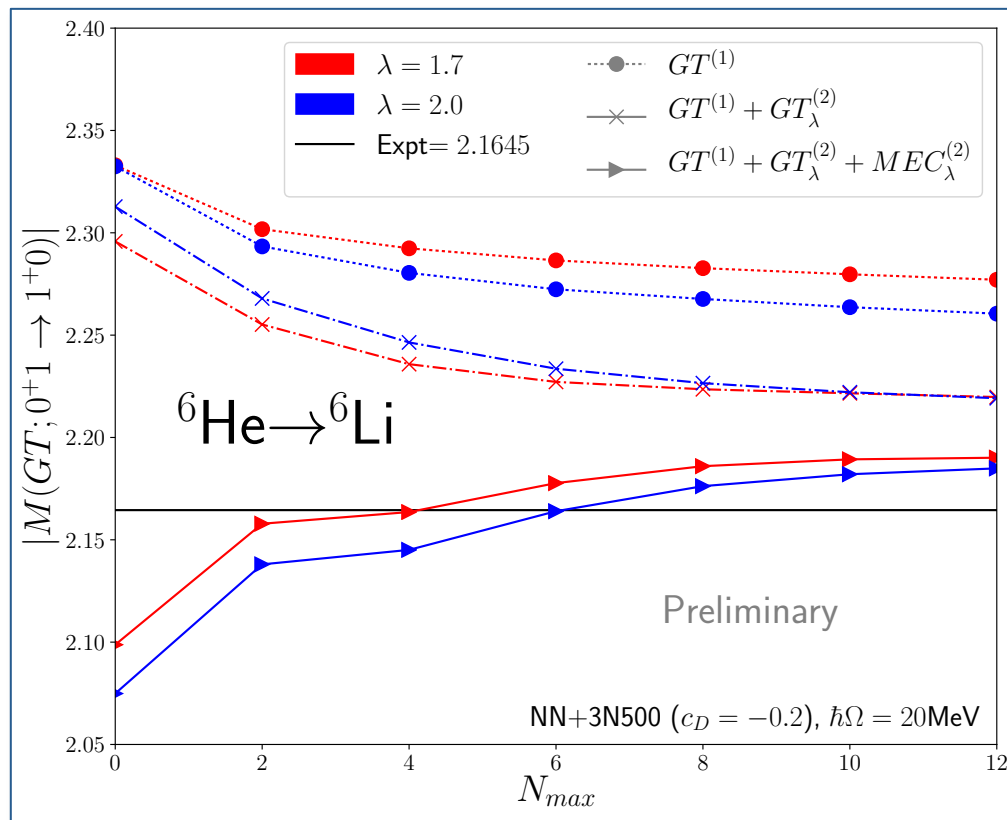
## Precision measurement of the ${}^6\text{He}$ half-life and the weak axial current in nuclei

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... challenge and test  
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nuclear forces  
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Improvement with  
the **NNN** interaction  
Improvement with **MEC**  
& operator renormalization

Still to be done:  
continuum



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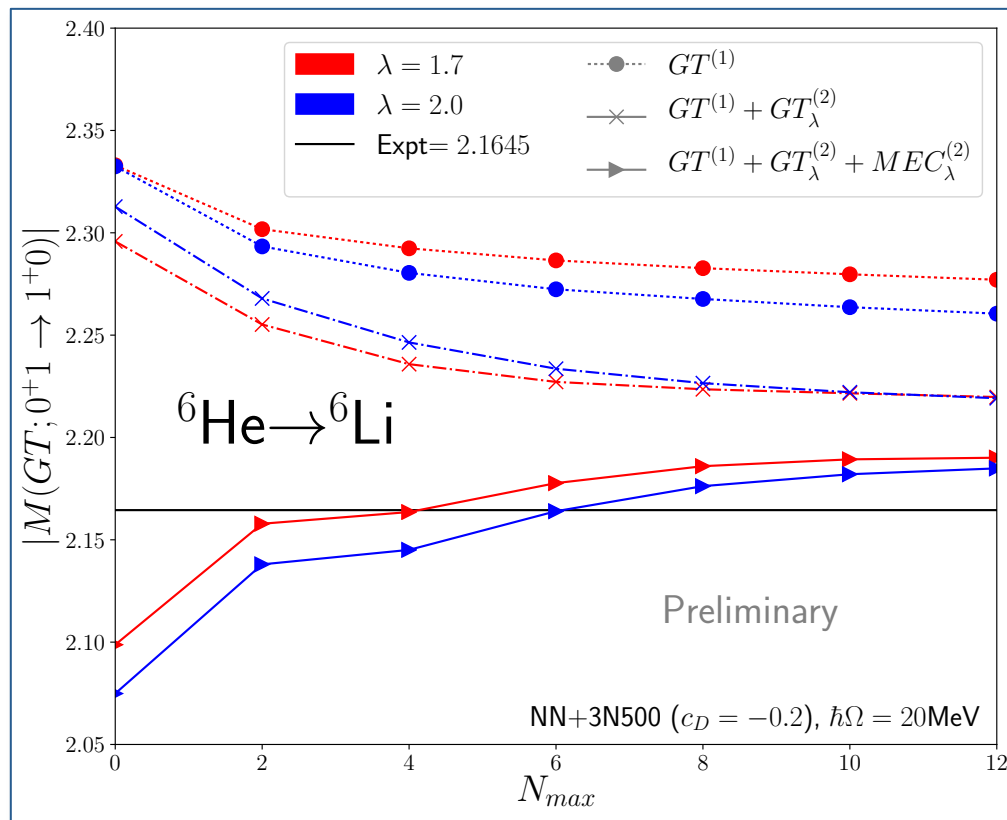
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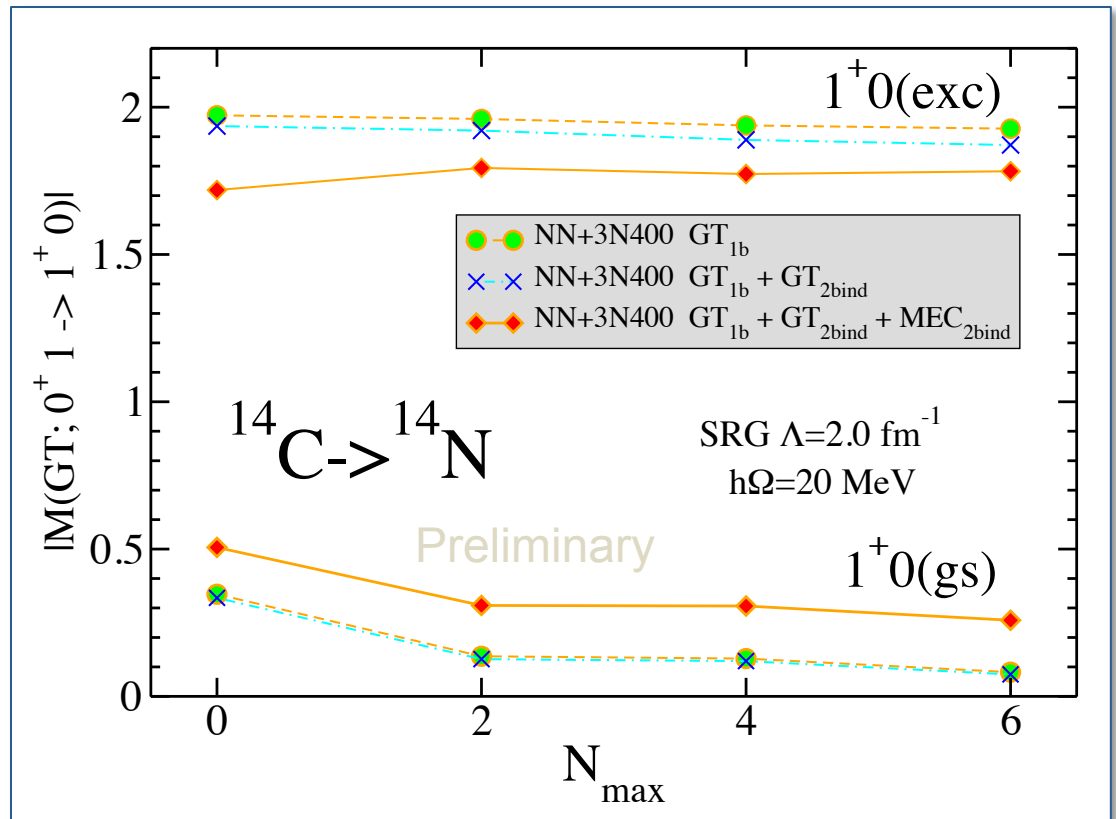
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Improvement with  
the **NNN** interaction  
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Still to be done:  
**continuum**





Carbon dating:

Super-allowed transition  
to the ground state very weak

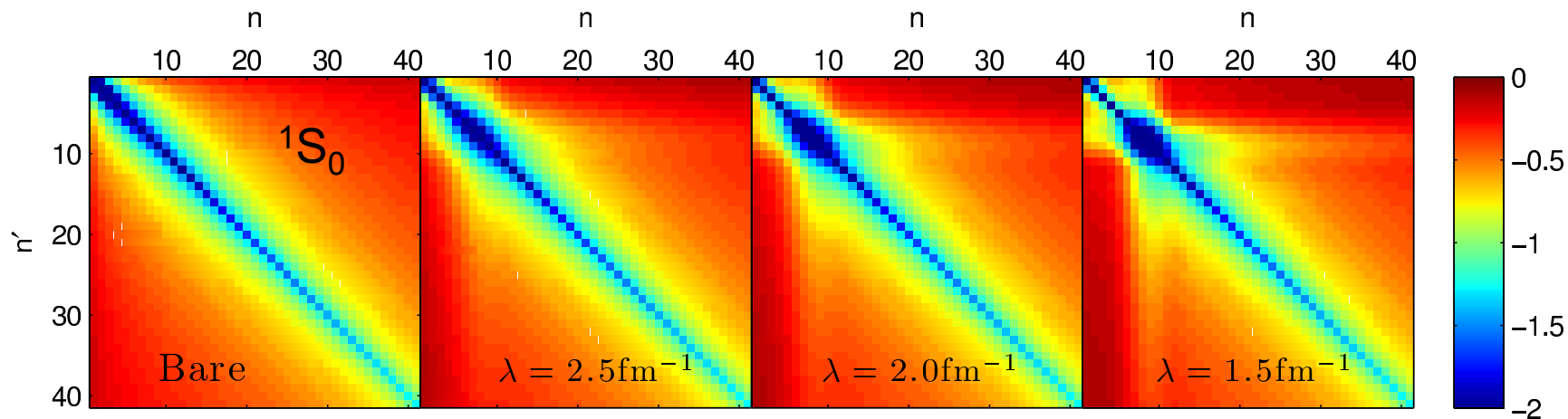
**NNN** interaction

suppresses it

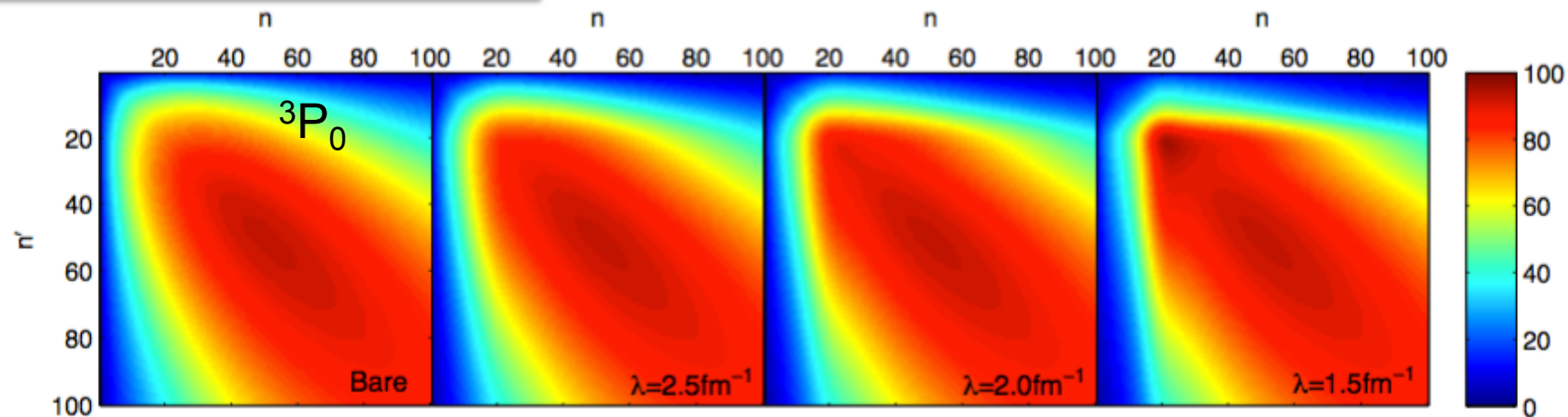
**MEC** appears to enhance it



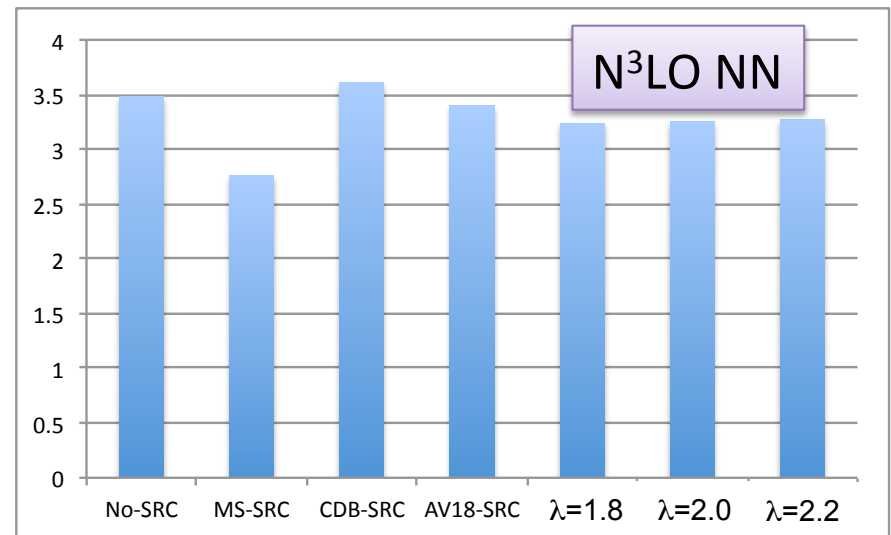
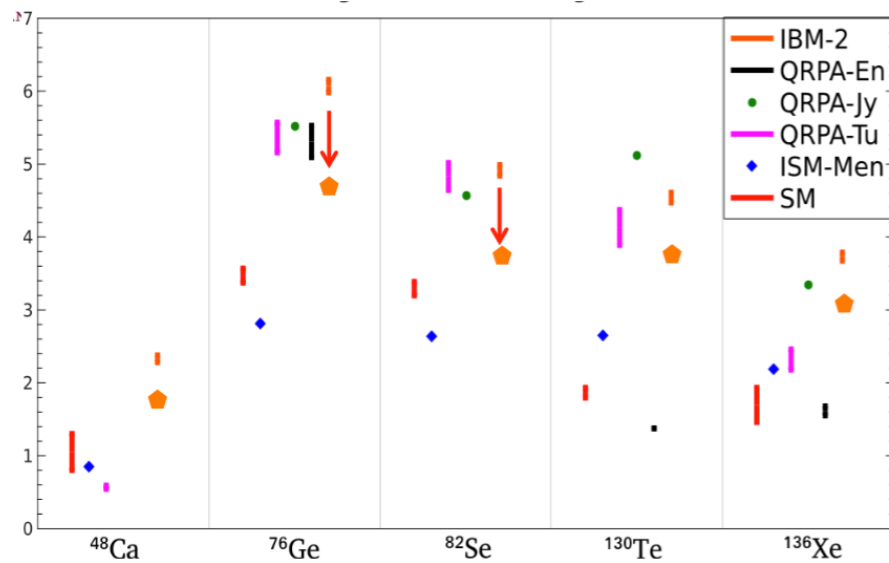
## Light-Neutrino $0\nu\beta\beta$



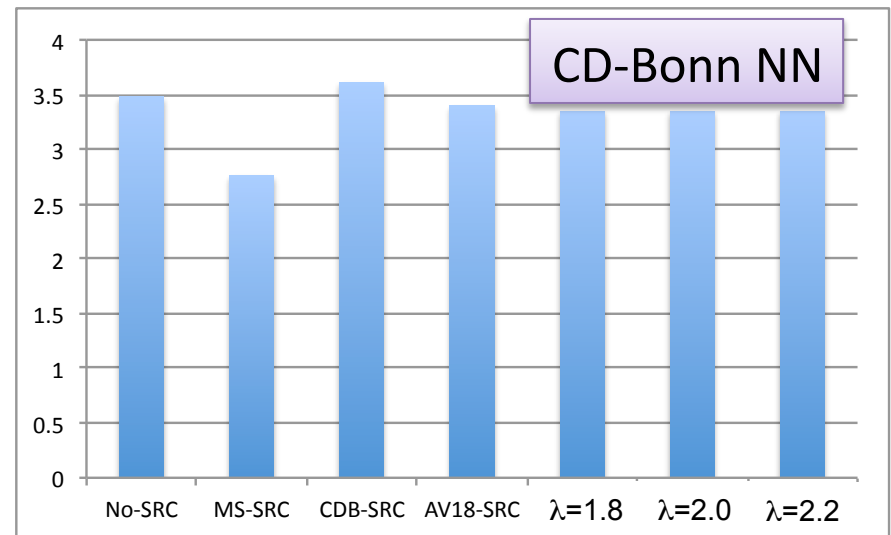
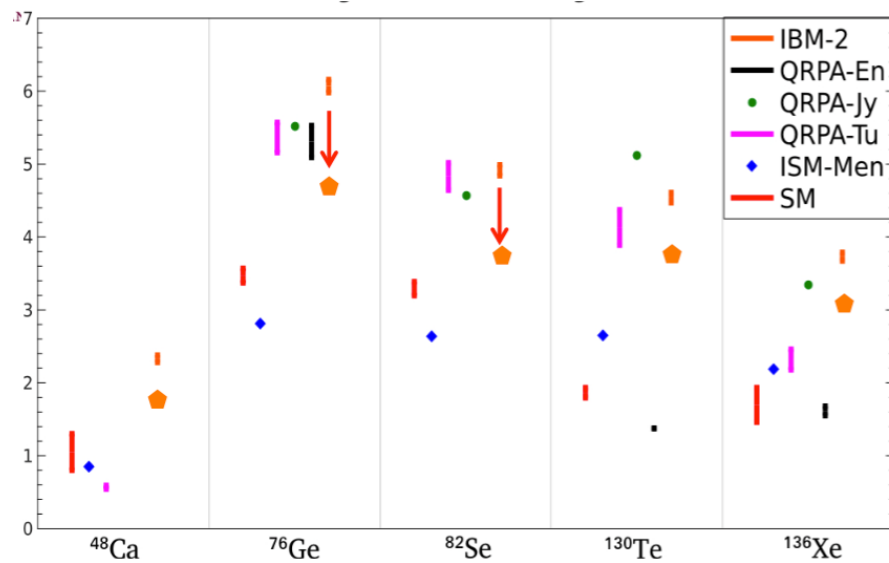
## Heavy-Neutrino $0\nu\beta\beta$



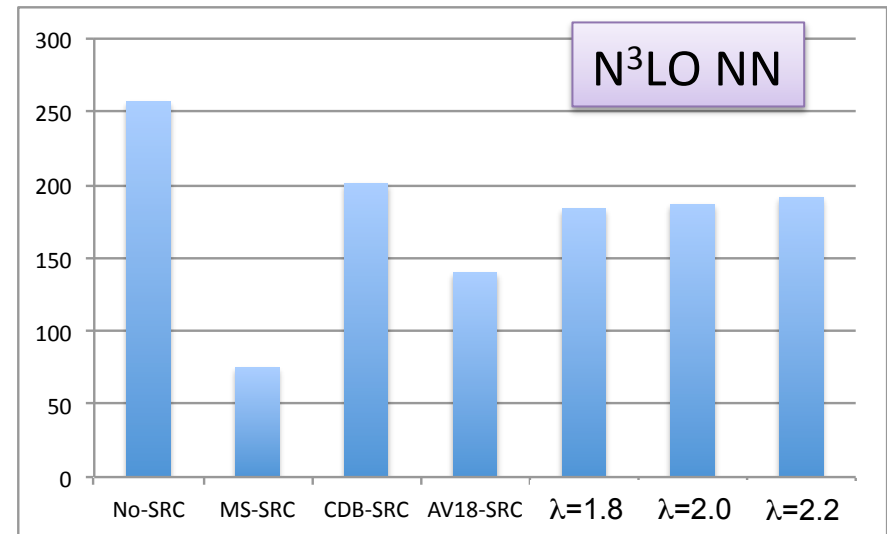
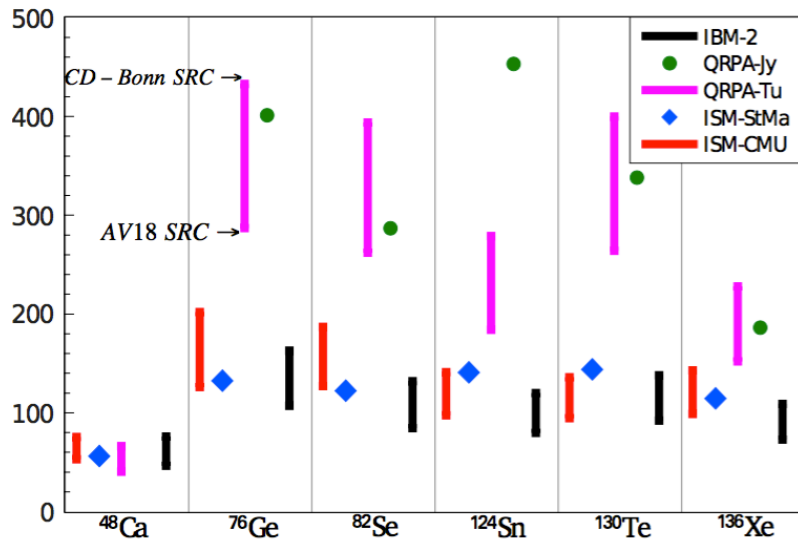
- Matrix elements for light-neutrino exchange mechanism



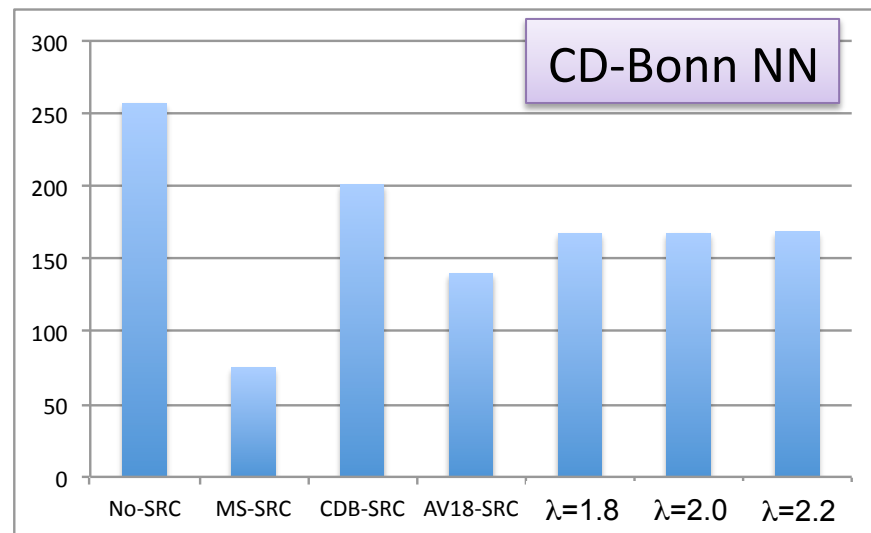
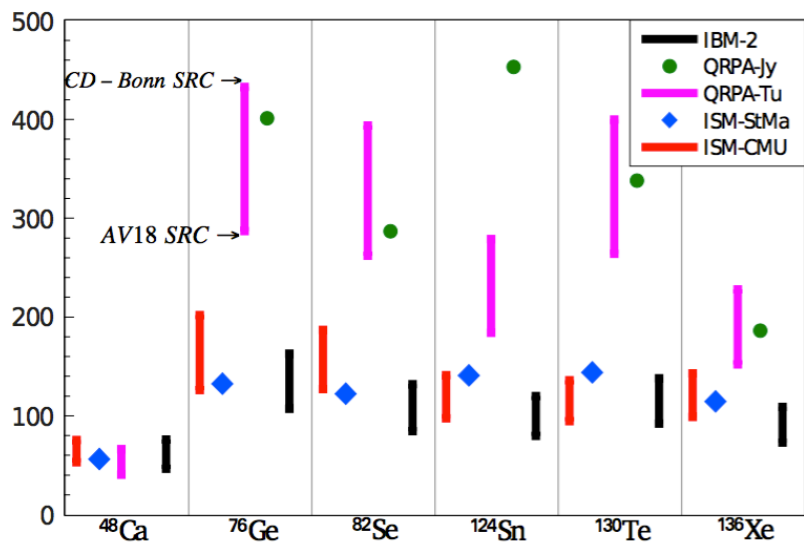
- Matrix elements for light-neutrino exchange mechanism



- Matrix elements for heavy-neutrino exchange mechanism



- Matrix elements for heavy-neutrino exchange mechanism



- SRG evolution **important** for  $\beta$  decay operators
  - both GT and MEC
  - as well as neutrinoless double-beta decay (especially with heavy neutrino)
- Implemented on two-body level
- Generalization to three-body terms straightforward
  - although technically challenging
  - Codes: NCSMV2b -> MANYEFF
    - Beware of the transformation from relative to single-particle basis