



北京大学  
PEKING UNIVERSITY

INT Program INT-17-2a

Neutrinoless Double-beta Decay  
June 13 - July 14, 2017

# NMEs for neutrinoless double- $\beta$ decay in multi- reference and symmetry-restored CDFT

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## □ Introduction

□ Nuclear matrix element in CDFT

□ Numerical details

□ Results and discussion

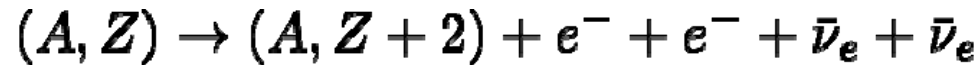
- $^{150}\text{Nd-Sm}$ : Low-lying states; nuclear matrix elements
- Implication on neutrino masses

□ Summary and perspectives

A second-order weak process : two protons are simultaneously transformed into two neutrons, or vice versa, inside an atomic nucleus.

❖ Two-neutrino double-beta ( $2\nu\beta\beta$ ) decay

*Goeppert-Mayer 1935, Phys. Rev. 48, 512*



❖ Neutrinoless double-beta ( $0\nu\beta\beta$ ) decay

*Majorana 1937, Nuovo Cim. 14, 171 Furry 1939, Phys. Rev. 56, 1184*



Majorana's theory of  
neutrinos  $\bar{\nu}_M = \nu_M$

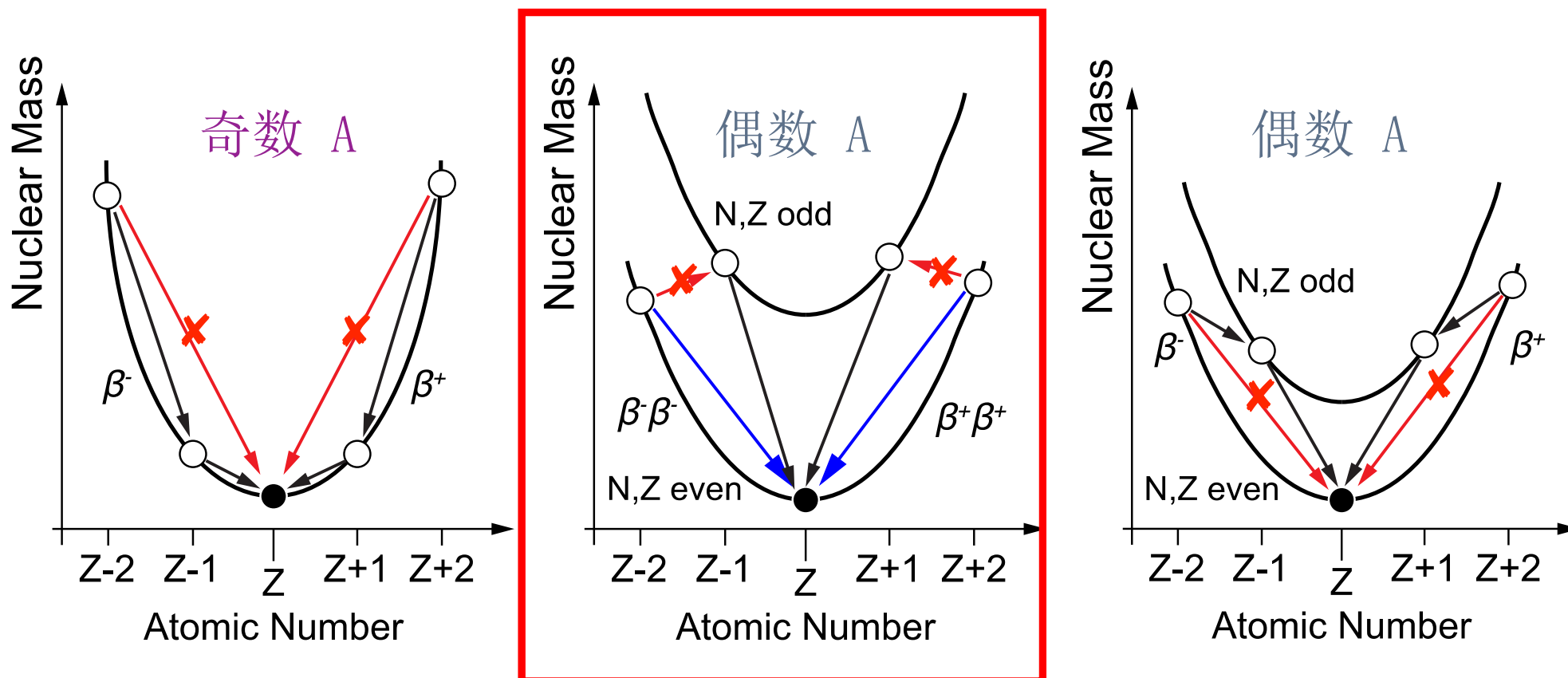
The  $2\nu\beta\beta$  mode is allowed in SM while  $0\nu\beta\beta$  decay would go beyond SM. The  $0\nu\beta\beta$  decay occurs only if neutrinos are Majorana particles and lepton numbers can be violated.

## ❖ Double-beta decay candidates ?

- Even-even nuclei
- Stable against beta decay (**pairing correlation**)

35 naturally occurred,  $\beta\beta$  decay candidates

*Haxton & Stephenson 1984, PPNP 12, 409 (Table 1)*





Observed in 11 isotopes:  $2\nu\beta\beta$  decay

$$T_{1/2} = 10^{18} - 10^{24} \text{ yr}$$

Isotope	$T_{1/2}^{2\nu}$ (yr)
$^{48}\text{Ca}$	$4.4_{-0.5}^{+0.6} \cdot 10^{19}$
$^{76}\text{Ge}$	$1.65_{-0.12}^{+0.14} \cdot 10^{21}$
$^{82}\text{Se}$	$(0.92 \pm 0.07) \cdot 10^{20}$
$^{96}\text{Zr}$	$(2.3 \pm 0.2) \cdot 10^{19}$
$^{100}\text{Mo}$	$(7.1 \pm 0.4) \cdot 10^{18}$
$^{100}\text{Mo}$ - $^{100}\text{Ru}(0_2^+)$	$6.7_{-0.4}^{+0.5} \cdot 10^{20}$
$^{116}\text{Cd}$	$(2.87 \pm 0.13) \cdot 10^{19}$
$^{128}\text{Te}$	$(2.0 \pm 0.3) \cdot 10^{24}$
$^{130}\text{Te}$	$(6.9 \pm 1.3) \cdot 10^{20}$
$^{136}\text{Xe}$	$(2.19 \pm 0.06) \cdot 10^{21}$
$^{150}\text{Nd}$	$(8.2 \pm 0.9) \cdot 10^{18}$
$^{150}\text{Nd}$ - $^{150}\text{Sm}(0_2^+)$	$1.2_{-0.2}^{+0.3} \cdot 10^{20}$
$^{238}\text{U}$	$(2.0 \pm 0.6) \cdot 10^{21}$
$^{130}\text{Ba}$ , ECEC	$\sim 10^{21}$

Barabash 2015, Nucl. Phys. A 935, 52

$0\nu\beta\beta$  decay: NOT observed !

$$T_{1/2} > 10^{19} - 10^{26} \text{ yr}$$

new

Isotope	$T_{1/2}^{0\nu}$ (yr)	Collaboration	Year
$^{48}\text{Ca}$	$> 5.8 \cdot 10^{22}$	ELEGANT VI	2008
$^{76}\text{Ge}$	$> 5.3 \cdot 10^{25}$	GERDA	2017 ←
$^{82}\text{Se}$	$> 3.6 \cdot 10^{23}$	NEMO-3	2011
$^{96}\text{Zr}$	$> 9.2 \cdot 10^{21}$	NEMO-3	2010
$^{100}\text{Mo}$	$> 1.1 \cdot 10^{24}$	NEMO-3	2014 ←
$^{116}\text{Cd}$	$> 1.7 \cdot 10^{23}$	Solotvina	2003
$^{124}\text{Sn}$	$> 5.0 \cdot 10^{19}$	KIMS	2009
$^{128}\text{Te}$	$> 1.6 \cdot 10^{24}$	geochemistry	2011
$^{130}\text{Te}$	$> 2.8 \cdot 10^{24}$	CUORICINO	2011
$^{136}\text{Xe}$	$> 3.4 \cdot 10^{25}$	KamLAND-Zen	2013
$^{150}\text{Nd}$	$> 2.0 \cdot 10^{22}$	NEMO-3	2016 ←

Schwingenheuer 2013, Ann. Phys. (Berlin) 525, 269



The calculation of the NME requires two main ingredients :  
One is **the decay operator**, which reflects the mechanism governing the decay process. The other is **the wave functions of the initial and final states**.



# Nuclear matrix elements

## ❖ The $0\nu\beta\beta$ -decay rate

$$\Gamma^{0\nu} = G^{0\nu}(Q_{\beta\beta}, Z) \times |M^{0\nu}|^2 \times |\langle m_\nu \rangle|^2 \quad \text{Unknown}$$

➤ Kinematic phase space factor  $G^{0\nu}(Q_{\beta\beta}, Z)$  can be accurately determined.

*Kotila & Iachello 2012, PRC 85, 034316*

➤ Nuclear matrix element  $M^{0\nu}$  depend on nuclear structure models.

$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

**Accurate** nuclear matrix elements are crucial for extracting the **effective neutrino mass**.



## Commonly used nuclear models:

$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

Strasbourg-Madrid; Michigan;

❖ Configuration-interacting shell model (CISM) Tokyo

❖ Quasiparticle random phase approximation (QRPA) Tübingen; Jyväskylä;  
UNC-Chapel Hill, Gilin

❖ Interacting boson model (IBM) Yale

❖ Projected Hartree-Fock-Bogoliubov (PHFB) Lucknow-UNAM

❖ Energy density functional (EDF) GSI-Madrid

Non-relativistic models —

Non-relativistic approximation for decay operator

Call for comparative studies within a relativistic framework !

**Covariant density functional theory (CDFT)**





$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

## ❖ Relativistic Mean Field (RMF) theory

*Ring 1996, PPNP 37, 193; Vretenar, Afanasjev, Lalazissis, & Ring 2005, Phys. Rep. 409, 101*  
*Meng, Toki, Zhou, Zhang, Long, & Geng 2006, PPNP 57, 470*  
*Meng (editor) 2016, Int. Rev. Nucl. Phys. Vol. 10, World Scientific*

## ❖ Beyond mean-field correlations

### ❖ Angular momentum projection (AMP)

*Niksic, Vretenar, & Ring 2006, PRC 73, 034308*  
*Yao, Meng, Pena-Arteaga, & Ring 2008, CPL 25, 3609*

### ❖ Parity projection

*Yao, Zhou, & Li 2015, PRC 92, 041304(R)*

### ❖ Particle number projection (PNP)

*Niksic, Vretenar, & Ring 2006, PRC 74, 064309*  
*Yao, Hagino, Li, Meng, & Ring 2014, PRC 89, 054306*

### ❖ Generator coordinate method (GCM)

*Niksic, Vretenar, & Ring 2006, PRC 73, 034308*  
*Niksic, Vretenar, & Ring 2006, PRC 74, 064309*

*Yao, Meng, Ring, & Vretenar 2010, PRC 81, 044311*  
*Yao, Mei, Chen, Meng, Ring, & Vretenar 2011, PRC 83, 014308*

**Relativistic** description for the **nuclear matrix element** of  
 $0\nu\beta\beta$  decay based on CDFT.



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- Summary and perspectives



- *Ab initio*

Navratil, Vary, Barrett Phys. Rev. Lett. 84 (2000) 5728

Bogner, Furnstahl, Schwenk

Prog. Part. Nucl. Phys. 65 (2010) 94

...

- Shell model

Caurier, Martínez-Pinedo, Nowacki, Poves, Zuker,

Rev. Mod. Phys. 77 (2005) 427

Otsuka, Honma, Mizusaki, Shimizu, Utsuno,

Prog. Part. Nucl. Phys. 47(2001)319

Brown, Prog. Part. Nucl. Phys. 47 (2001) 517

...

- Density functional theory

Jones and Gunnarsson,

Rev. Mod. Phys., 61 (1989) 689

Bender, Heenen, Reinhard,

Rev. Mod. Phys., 75 (2003) 121

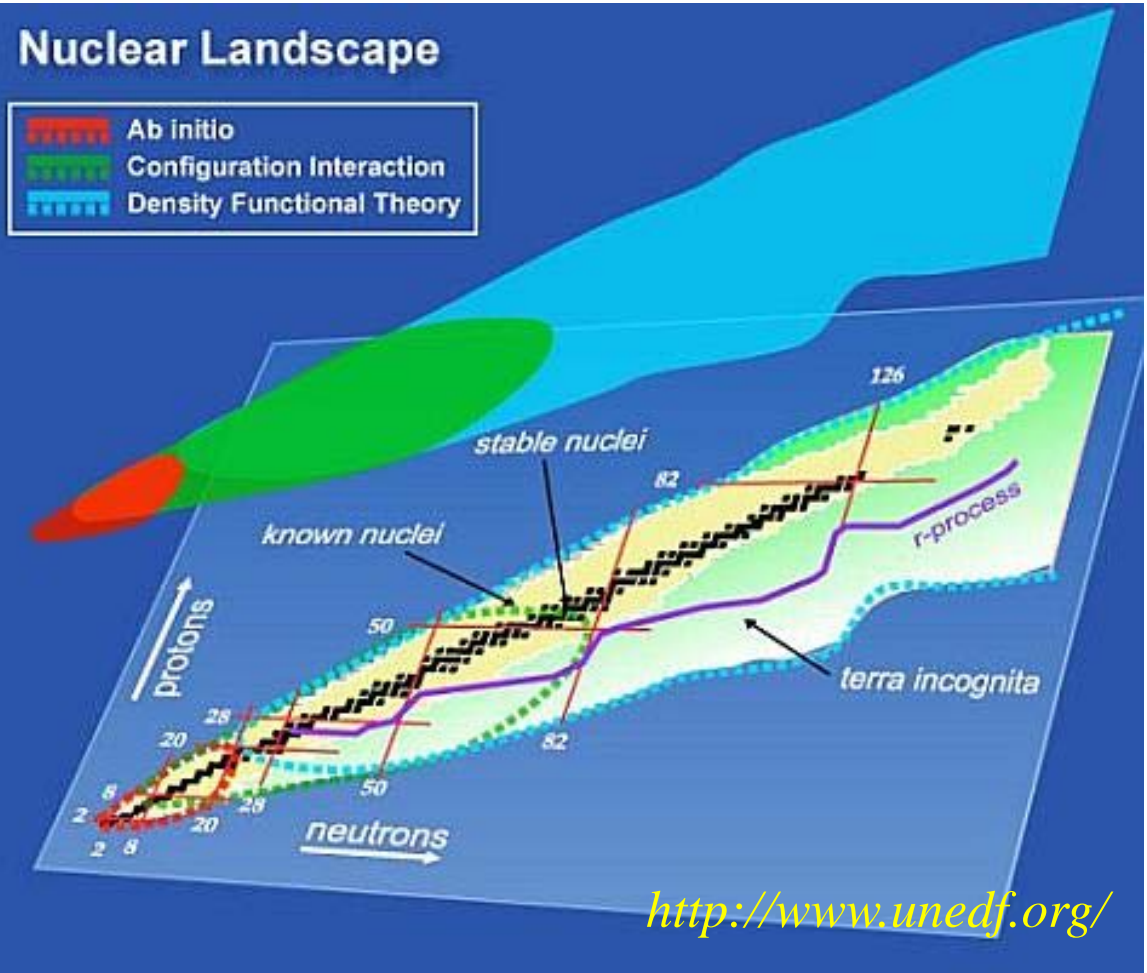
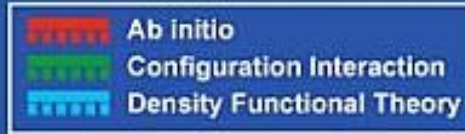
Ring, Prog. Part. Nucl. Phys. 37(1996)193

Meng, Toki, Zhou, Zhang, Long, Geng,

Prog. Part. Nucl. Phys. 57 (2006) 470

...

## Nuclear Landscape



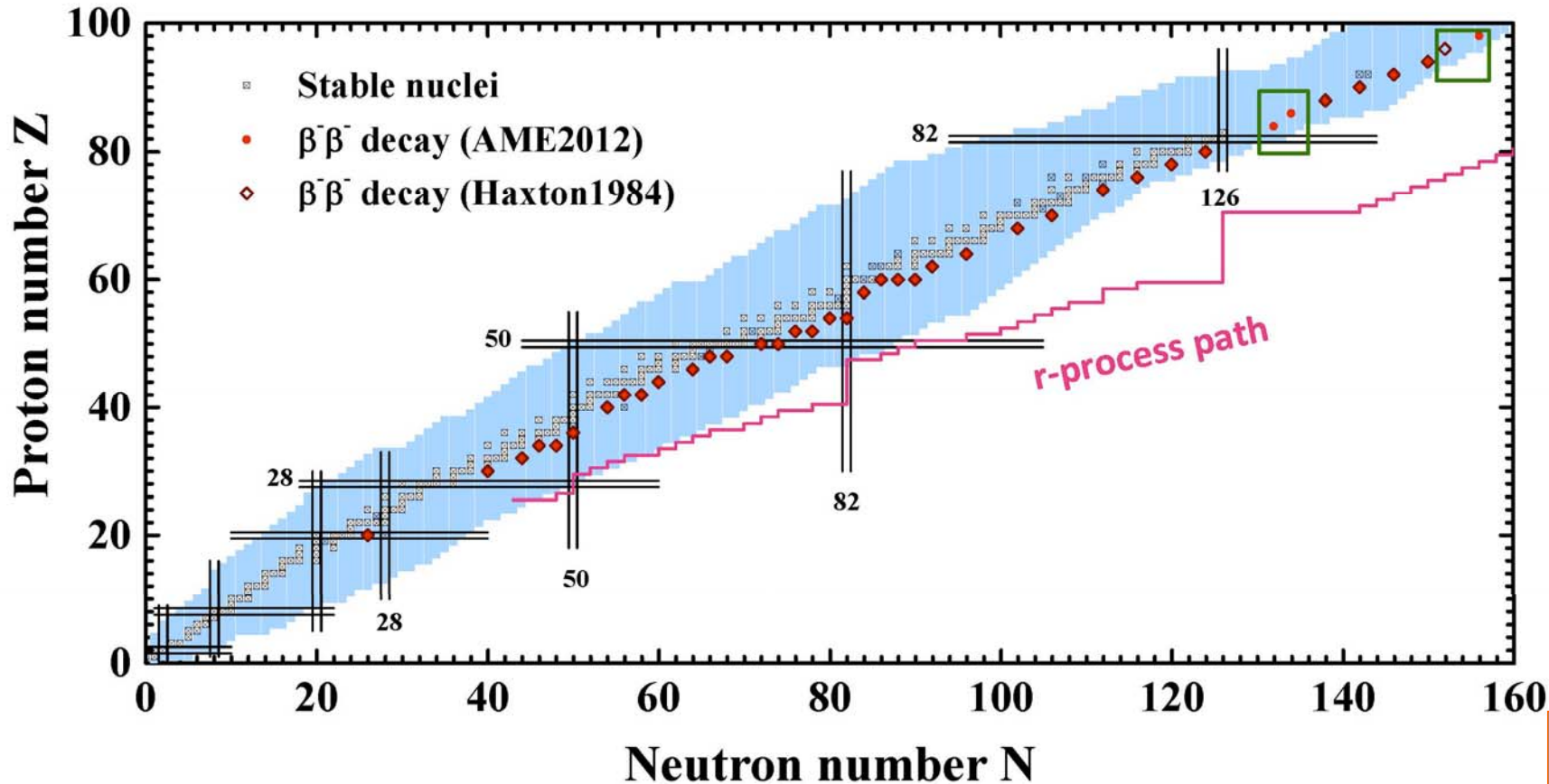
<http://www.unedf.org/>

密度泛函理论有希望给出核素图上所有原子核性质的统一描述

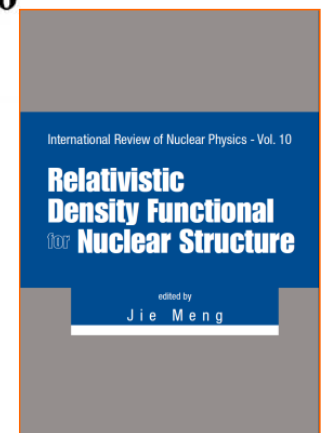
**Relativistic Density Functional for Nuclear Structure**, International Review of Nuclear Physics Vol 10 (World Scientific, 2016)



$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$



Relativistic Density Functional for Nuclear Structure, International Review of Nuclear Physics Vol 10 (World Scientific, 2016)





## Hohenberg-Kohn theorem (1964)

The exact energy of a quantum mechanical many body system is a functional of the local density  $\rho(\mathbf{r})$

$$E[\rho] = \langle \Psi | H | \Psi \rangle$$

This functional is universal. It does not depend on the system, only on the interaction.

One obtains the exact density  $\rho(\mathbf{r})$  by a variation of the functional with respect to the density

note:

$\rho(\mathbf{r})$  is a function of 3 variables.

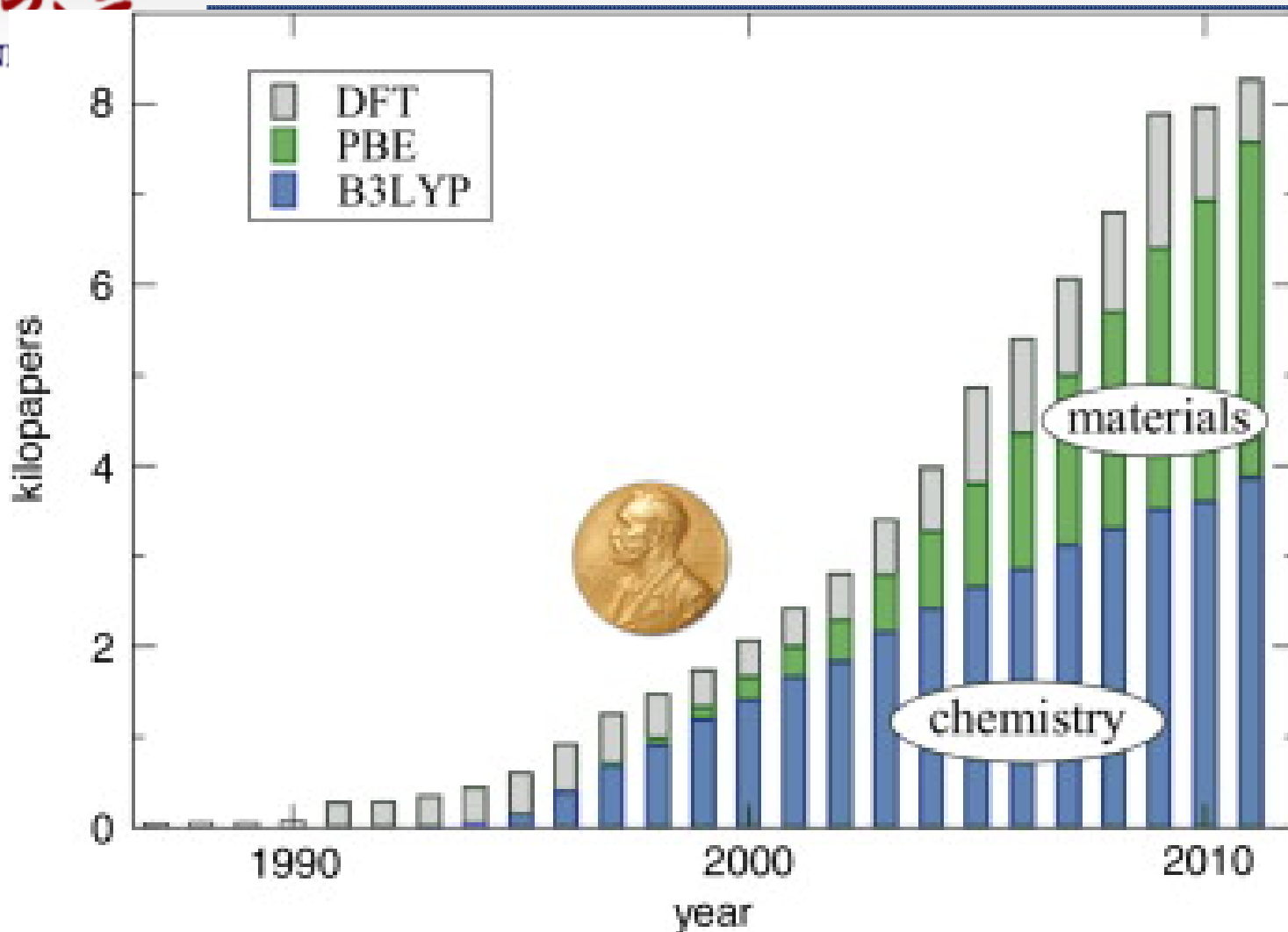
$\Psi(\mathbf{r}_1 \dots \mathbf{r}_N)$  is a function of  $3N$  variables.



Hohenberg



Kohn

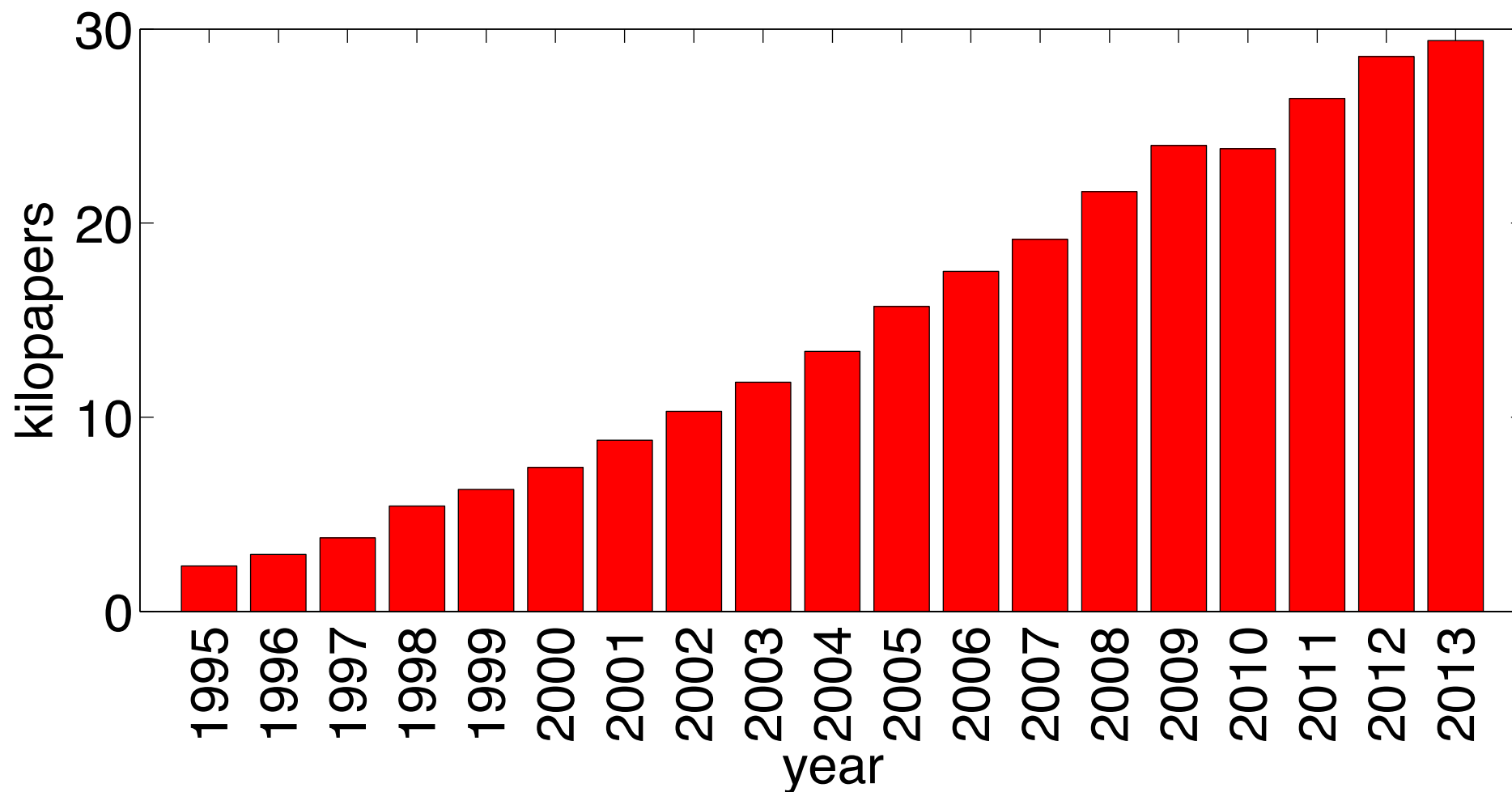


The numbers of papers (in kilopapers) corresponding to the search of a topic "DFT" in Web of Knowledge (grey) for different and the most popular density functional potentials: B3LYP citations (blue), and PBE citations (green, on top of blue).

K. Burke, [Perspective on density functional theory](#), J. Chem. Phys., 136 (2012) 150901 [1-9]



# DFT papers



*DFT: A Theory Full of Holes*, Aurora Pribram-Jones, David A. Gross, Kieron Burke, Annual Review of Physical Chemistry (2014).



Nuclear DFT has been introduced by **effective Hamiltonians**: by [Vautherin and Brink \(1972\)](#) using the Skyrme model as a vehicle

$$E = \langle \Psi | H | \Psi \rangle \approx \langle \Phi | \hat{H}_{eff}(\hat{\rho}) | \Phi \rangle = E[\hat{\rho}]$$

Based on the philosophy of Bethe, Goldstone, and Brueckner one has a density dependent interaction in the nuclear interior  $G(\rho)$

At present, the ansatz for  $E(\rho)$  is phenomenological:

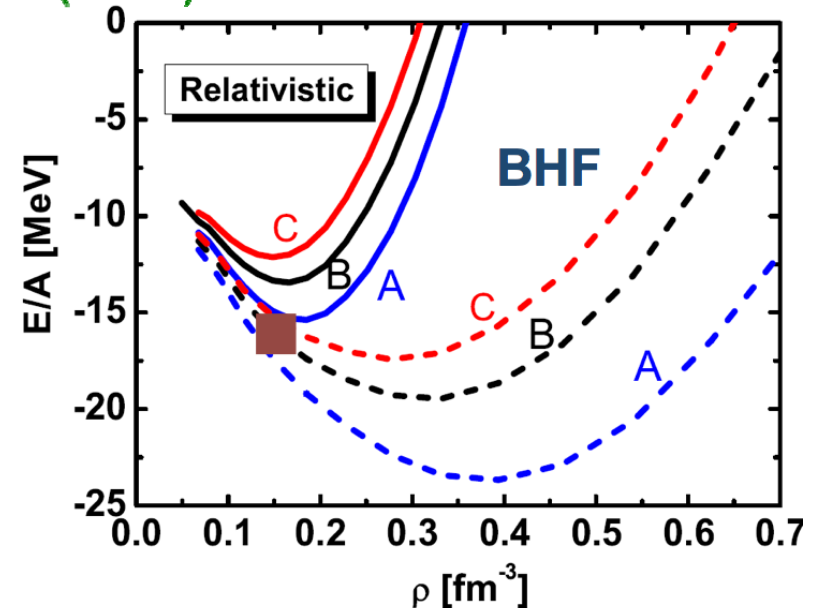
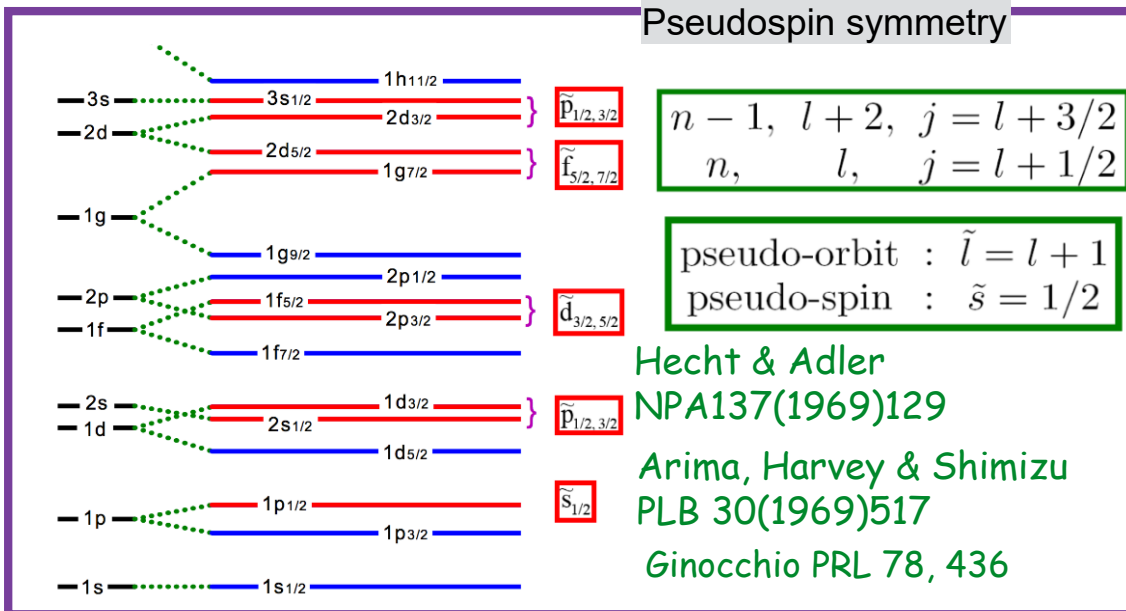
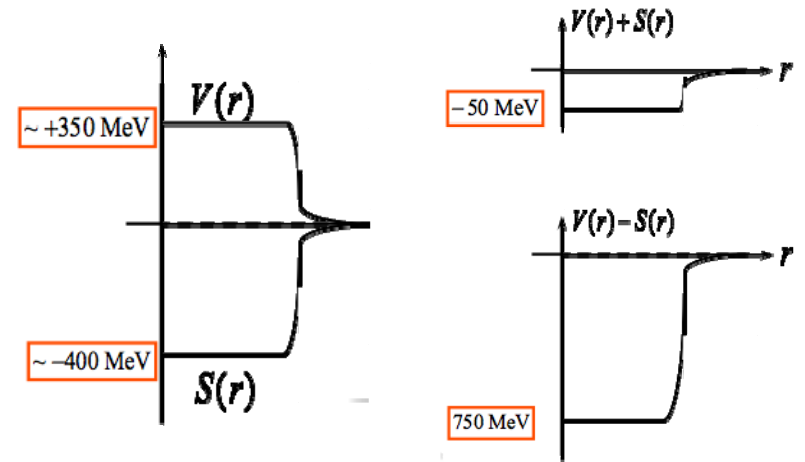
- Skyrme: non-relativistic, zero range
- Gogny: non-relativistic, finite range (Gaussian)
- CDFT: Covariant density functional theory



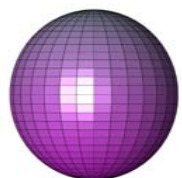
# Why Covariant?

P. Ring Physica Scripta, T150, 014035 (2012)

- ✓ **Spin-orbit** automatically included
- ✓ **Lorentz covariance** restricts parameters
- ✓ **Pseudo-spin** Symmetry
- ✓ Connection to QCD: big  $V/S \sim \pm 400$  MeV
- ✓ Consistent treatment of **time-odd fields**
- ✓ Relativistic **saturation mechanism**
- ✓ ... **Liang, Meng, Zhou, Physics Reports 570 : 1-84 (2015).**



Brockmann & Machleidt, PRC42, 1965 (1990)



$0^+, 2^+, 3^+, 4^+, 6^+$

$0^+, 2^+, 4^+$

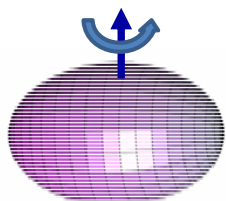
$2^+$

$0^+$

$$H = \frac{1}{2} \sum_{\mu} \{B_2 |\dot{\alpha}_{2\mu}|^2 + C_2 |\alpha_{2\mu}|^2\}$$

vibration

A. Bohr & B. Mottelson



$14^+$

$12^+$

$10^+$

$8^+$

$6^+$

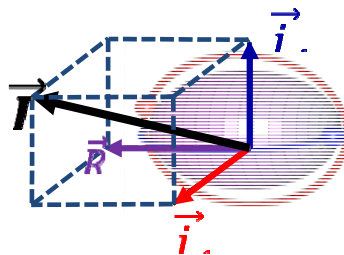
$4^+$

$2^+$

$0^+$

$$H = \sum_{i=1}^3 \frac{\hat{R}_i^2}{2\mathcal{J}_i}$$

Rotation



$16^+ \quad 16^+$

$15^+ \quad 15^+$

$14^+ \quad 14^+$

$13^+ \quad 13^+$

$12^+ \quad 12^+$

$11^+ \quad 11^+$

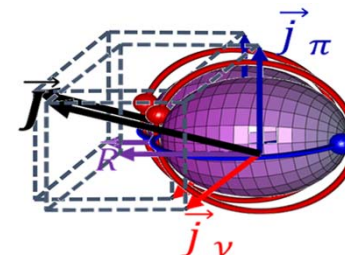
$10^+ \quad 10^+$

$9^+ \quad 9^+$

$$H = \sum_{i=1}^3 \frac{\hat{R}_i^2}{2\mathcal{J}_i} + \sum_{\tau\nu} \varepsilon_{\tau\nu} a_{\tau\nu}^+ a_{\tau\nu}$$

Chiral Rotation

Frauendorf & Meng,  
NPA 617 (1997) 131



$16^+ \quad 16^+ \quad 15^+ \quad 15^+$

$15^+ \quad 15^+ \quad 14^+ \quad 14^+$

$14^+ \quad 14^+ \quad 13^+ \quad 13^+$

$13^+ \quad 13^+ \quad 12^+ \quad 12^+$

$12^+ \quad 12^+ \quad 11^+ \quad 11^+$

$11^+ \quad 11^+ \quad 10^+ \quad 10^+$

$10^+ \quad 10^+ \quad 9^+ \quad 9^+$

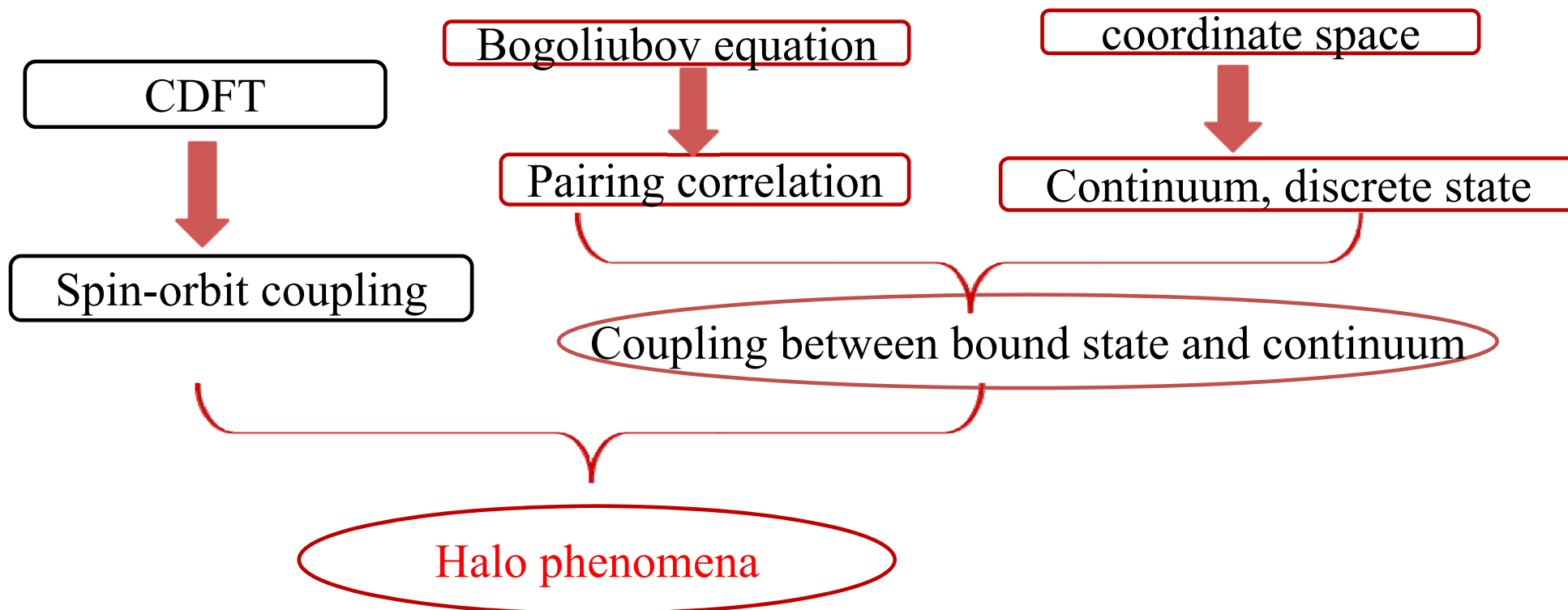
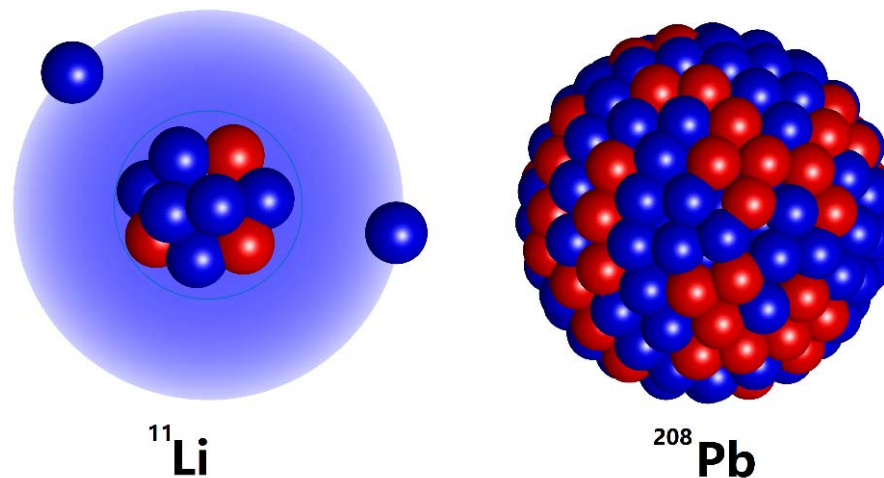
$$H(q) = \sum_{i=1}^3 \frac{\hat{R}_i^2}{2\mathcal{J}_i(q)} + \sum_{\tau\nu} \varepsilon_{\tau\nu}(q) a_{\tau\nu}^+(q) a_{\tau\nu}(q) + V(q)$$

Mx D

Meng, Peng, Zhang, Zhou,  
PRC73 (2006) 037303



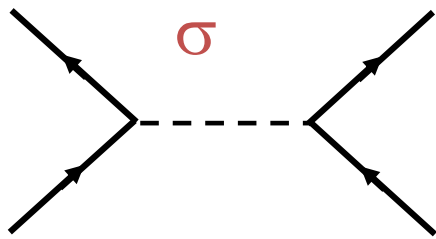
Meng, Toki, Zhou, Zhang, Long, Geng,  
Progress in Particle and Nuclear Physics  
57 (2006) 470-563





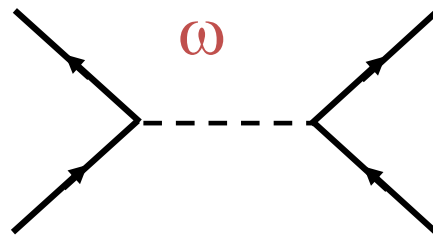
**CDFT: Relativistic quantum many-body theory based on DFT and effective field theory for strong interaction**

Strong force: Meson-exchange of the nuclear force



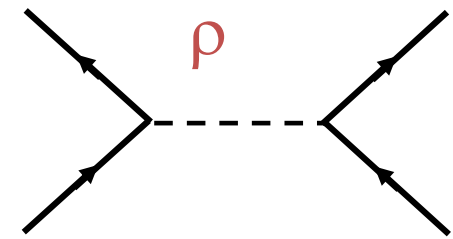
$$(J^\pi T) = (0^+0)$$

Sigma-meson:  
attractive scalar field



$$(J^\pi T) = (1^-0)$$

Omega-meson:  
Short-range repulsive



$$(J^\pi T) = (1^-1)$$

Rho-meson:  
Isovector field

Electromagnetic force: The photon



## Elementary building blocks

$$(\bar{\psi} \mathcal{O}_\tau \Gamma \psi) \quad \mathcal{O}_\tau \in \{1, \tau_i\} \quad \Gamma \in \{1, \gamma_\mu, \gamma_5, \gamma_5 \gamma_\mu, \sigma_{\mu\nu}\}$$

## Densities and currents

Isoscalar-scalar  $\rho_S(\mathbf{r}) = \sum_k^{\text{occ}} \bar{\psi}_k(\mathbf{r}) \psi_k(\mathbf{r})$

Isoscalar-vector  $j_\mu(\mathbf{r}) = \sum_k^{\text{occ}} \bar{\psi}_k(\mathbf{r}) \gamma_\mu \psi_k(\mathbf{r})$

Isovector-scalar  $\vec{\rho}_S(\mathbf{r}) = \sum_k^{\text{occ}} \bar{\psi}_k(\mathbf{r}) \vec{\tau} \psi_k(\mathbf{r})$

Isovector-vector  $\vec{j}_\mu(\mathbf{r}) = \sum_k^{\text{occ}} \bar{\psi}_k(\mathbf{r}) \vec{\tau} \gamma_\mu \psi_k(\mathbf{r})$

## Energy Density Functional

$$E_{\text{kin}} = \sum_k v_k^2 \int \bar{\psi}_k (-\gamma \nabla + m) \psi_k d\mathbf{r}$$

$$E_{2\text{nd}} = \frac{1}{2} \int (\alpha_S \rho_S^2 + \alpha_V \rho_V^2 + \alpha_{tV} \rho_{tV}^2) d\mathbf{r}$$

$$E_{\text{hot}} = \frac{1}{12} \int (4\beta_S \rho_S^3 + 3\gamma_S \rho_S^4 + 3\gamma_V \rho_V^4) d\mathbf{r}$$

$$E_{\text{der}} = \frac{1}{2} \int (\delta_S \rho_S \Delta \rho_S + \delta_V \rho_V \Delta \rho_V + \delta_{tV} \rho_{tV} \Delta \rho_{tV}) d\mathbf{r}$$

$$E_{\text{em}} = \frac{e}{2} \int j_\mu^p A^\mu d\mathbf{r}$$



For system with time invariance:

$$\left[ \alpha \cdot \mathbf{p} + V(\mathbf{r}) + \beta (M + S(\mathbf{r})) \right] \psi_i = \varepsilon_i \psi_i$$

$$\begin{cases} V(\mathbf{r}) = \alpha_V \rho_V(\mathbf{r}) + \gamma_V \rho_V^3(\mathbf{r}) + \delta_V \Delta \rho_V(\mathbf{r}) + \alpha_{TV} \rho_{TV}(\mathbf{r}) + \delta_{TV} \Delta \rho_{TV}(\mathbf{r}) + e \frac{1 - \tau_3}{2} A(\mathbf{r}) \\ S(\mathbf{r}) = \alpha_S \rho_S + \beta_S \rho_S^2 + \gamma_S \rho_S^3 + \delta_S \Delta \rho_S \end{cases}$$

**Without Klein-Gordon  
equation**

$$\begin{cases} \rho_s(\mathbf{r}) = \sum_{i=1}^A \bar{\psi}_i(\mathbf{r}) \psi_i(\mathbf{r}) \\ \rho_v(\mathbf{r}) = \sum_{i=1}^A \psi_i^+(\mathbf{r}) \psi_i(\mathbf{r}) \\ \rho_3(\mathbf{r}) = \sum_{i=1}^A \psi_i^+(\mathbf{r}) \tau_3 \psi_i(\mathbf{r}) \\ \rho_c(\mathbf{r}) = \sum_{i=1}^A \psi_i^+(\mathbf{r}) \frac{1 - \tau_3}{2} \psi_i(\mathbf{r}) \end{cases}$$



❖ Variation of energy under constraints

$$\langle \Phi(q) | \hat{H} | \Phi(q) \rangle = E_{\text{CDF}}$$

$$\delta \langle \Phi(q) | \hat{H} - \sum_{\tau=n,p} \lambda_{\tau} \hat{N}_{\tau} - \sum_{\lambda=1,2,3} C_{\lambda} (\hat{Q}_{\lambda 0} - q_{\lambda})^2 - C_{22} (\hat{Q}_{22} - q_{22})^2 \dots | \Phi(q) \rangle = 0$$

## ① Intrinsic wave functions

$$|\Phi(q)\rangle, \quad q = (\beta_{20}, \beta_{30}, \beta_{22}, \dots)$$

deformation parameters

$$\beta_{\lambda\mu} \equiv \frac{4\pi}{3AR^{\lambda}} q_{\lambda\mu}, \quad R = 1.2A^{1/3}$$

- ✗ good particle numbers
- ✗ good angular momentum
- ✗ good parity
- ✗ shape mixing

❖ Restoration of broken symmetries

② Projected wave functions

$$|JM K; N Z; \pi; q\rangle = \hat{P}_{MK}^J \hat{P}^N \hat{P}^Z \hat{P}^\pi |\Phi(q)\rangle$$

$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

$$\hat{P}_{MK}^J = \frac{2J+1}{8\pi^2} \int d\Omega D_{MK}^{J*}(\Omega) \hat{R}(\Omega)$$

$$\hat{P}^{N_\tau} = \frac{1}{2\pi} \int_0^{2\pi} d\varphi_\tau e^{i\varphi_\tau (\hat{N}_\tau - N_\tau)}$$

$$\hat{P}^{\pi=\pm} = \frac{1}{2} (1 + \pi \hat{P})$$

❖ Mixing of configurations

③ GCM wave functions

$$\frac{\delta}{\delta f_\alpha^{JK\pi}(q_a)} \frac{\langle \Psi_\alpha^{JM\pi} | \hat{H} | \Psi_\alpha^{JM\pi} \rangle}{\langle \Psi_\alpha^{JM\pi} | \Psi_\alpha^{JM\pi} \rangle} = 0$$

or Hill-Wheeler-Griffin Equation

$$|\Psi_\alpha^{JM\pi}(N, Z)\rangle = \sum_{\kappa \in \{q, K\}} f_\alpha^{JK\pi}(q) \hat{P}_{MK}^J \hat{P}^N \hat{P}^Z \hat{P}^\pi |\Phi(q)\rangle, \quad \alpha = 1, 2, \dots$$





$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

For the decay operator, the starting point is the semileptonic charged-current weak Hamiltonian.

By using the long-wave approximation for the outgoing electrons and neglecting the small energy transfer between nucleons, the NME  $M^{0\nu}$  of the  $0\nu\beta\beta$  decay can be obtained.



$$M^{0\nu} = \langle \Psi_F | \hat{O}^{0\nu} | \Psi_I \rangle$$

❖ Hamiltonian of weak interaction:

$$\mathcal{H}_\beta(x) = \frac{G_F \cos \theta_C}{\sqrt{2}} j_L^\mu \mathcal{J}_\mu^\dagger + \text{h.c.}$$

where  $G_F$  is Fermi constant,  $\theta_C$  is Cabibbo angle;

$j_L^\mu = 2(\bar{e}_L \gamma^\mu \nu_{eL})$  is leptonic current;  $\mathcal{J}_\mu^\dagger$  is nucleonic current.

❖ Scattering matrix:

$$\langle f | iT | i \rangle = \langle p_1, p_2; \Psi_F | \frac{(-i)^2}{2!} \int d^4x_1 d^4x_2 \hat{T}(\mathcal{H}_\beta(x_1) \mathcal{H}_\beta(x_2)) | \Psi_I \rangle$$

$$\begin{aligned} \langle f | iT | i \rangle = & 4 \left( \frac{G_F \cos \theta_C}{\sqrt{2}} \right)^2 \frac{(-i)^2}{2!} N_{p_1} N_{p_2} \int \bar{u}(p_1) e^{ip_1 x_1} \gamma^\mu \langle 0 | \hat{T}(\nu_{eL}(x_1) \nu_{eL}^T(x_2)) | 0 \rangle \\ & \times \gamma^{\nu T} \bar{u}^T(p_2) e^{ip_2 x_2} \langle \Psi_F | \hat{T}(\mathcal{J}_\mu^\dagger(x_1) \mathcal{J}_\nu^\dagger(x_2)) | \Psi_I \rangle d^4x_1 d^4x_2 - (p_1 \leftrightarrow p_2) \end{aligned}$$

spinor of electron      neutrino propagator  
spinor of electron      strong interaction part

$$N_{p_{1,2}} = \frac{1}{(2\pi)^{3/2} \sqrt{2p_{1,2}^0}}$$



# Nucleonic current

$$\langle \Psi_F | \hat{T} (\mathcal{J}_\mu^\dagger(x_1) \mathcal{J}_\nu^\dagger(x_2)) | \Psi_I \rangle$$

❖ Vector (V), weak magnetic (M), axial-vector (A), pseudo scalar (P) currents:

*Simkovic, Pantis, Vergados, & Faessler 1999, PRC 60, 055502*

$$\mathcal{J}_\mu^\dagger(x) = \bar{\psi}(x) \left[ g_V(q^2) \gamma_\mu + i g_M(q^2) \frac{\sigma_{\mu\nu} q^\nu}{2m_p} - g_A(q^2) \gamma_\mu \gamma_5 - g_P(q^2) q_\mu \gamma_5 \right] \tau_- \psi(x)$$

$m_p$  is nucleon mass,  $q_\mu$  is momentum transfer,  $\psi(x)$  is nucleon field;

$\tau_- \equiv (\tau_1 - i\tau_2)/2$  is isospin lowering operator.

Coupling coefficients with form factors (dipole approx.):

$$g_V(q^2) = \frac{g_V}{(1 + q^2/M_V^2)^2} \quad g_A(q^2) = \frac{g_A}{(1 + q^2/M_A^2)^2}$$

$$g_M(q^2) = (\mu_p - \mu_n) g_V(q^2) \quad g_P(q^2) = 2m_p \frac{g_A(q^2)}{q^2 + m_\pi^2} \left( 1 - \frac{m_\pi^2}{M_A^2} \right)$$

*Coupling constants*

$$g_V = 1$$

$$g_A = 1.254$$

*Cutoffs*

$$M_V = 842 \text{ MeV}$$

$$M_A = 1090 \text{ MeV}$$

*Magnetic moment*

$$(\mu_p - \mu_n) = 3.70$$



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❖  $0\nu\beta\beta$  nuclei:  $^{48}\text{Ca-Ti}$ ,  $^{76}\text{Ge-Se}$ ,  $^{82}\text{Se-Kr}$ ,  $^{96}\text{Zr-Mo}$ ,  $^{100}\text{Mo-Ru}$ ,  $^{116}\text{Cd-Sn}$ ,  $^{124}\text{Sn-Te}$ ,  
 $^{130}\text{Te-Xe}$ ,  $^{136}\text{Xe-Ba}$ ,  $^{150}\text{Nd-Sm}$

❖ Axial deformation

❖ Spherical Harmonic Oscillator shells:

10 major shells for  $A \leq 100$ ; 12 major shells for  $A > 100$ .

❖ Relativistic energy density functional: PC-PK1

*Zhao, Li, Yao & Meng 2010, PRC 82, 054319*

❖ Pairing correlation: zero-range  $\delta$  force with a smooth cutoff

$$V_{\tau}^{\delta}(\mathbf{r}_1, \mathbf{r}_2) = V_{\tau} \delta(\mathbf{r}_1 - \mathbf{r}_2)$$

$V_n = -314.55 \text{ MeV fm}^3$ ,  $V_p = -346.50 \text{ MeV fm}^3$ , fitted to the average pairing gaps in  $^{150}\text{Nd}$  given by the separable force.



- ❖ Angular momentum projection for axial states:

$$\hat{P}_{00}^J = \frac{2J+1}{2} \int_0^\pi \sin \theta d\theta d_{00}^J(\theta) e^{i\theta J_y}$$

In Gaussian-Legendre integration:  $N_\theta = 7$ ,  $\theta \in [0, \pi/2]$

- ❖ Particle number projection:

$$\hat{P}^N = \frac{1}{2\pi} \int_0^{2\pi} d\varphi e^{i\varphi(\hat{N}-N)}$$

In Gaussian-Legendre integration:  $N_\varphi = 7$ ,  $\varphi \in [0, \pi]$

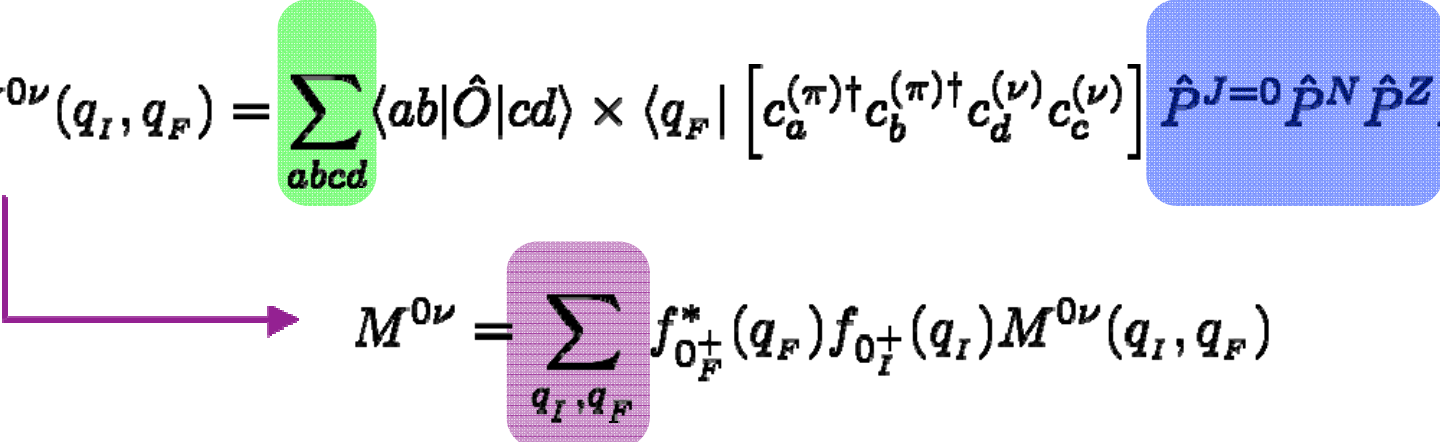
- ❖ Generator coordinate method:

In shape mixing:  $N_q = 11$ ,  $\beta_2 \in [-0.4, 0.6]$ ,  $\Delta\beta_2 = 0.1$

❖ Computation

CPU Time around  $10^4$  hours per nucleus

$$M^{0\nu}(q_I, q_F) = \sum_{abcd} \langle ab | \hat{O} | cd \rangle \times \langle q_F | [c_a^{(\pi)\dagger} c_b^{(\pi)\dagger} c_d^{(\nu)} c_c^{(\nu)}] \hat{P}^{J=0} \hat{P}^N \hat{P}^Z \hat{P}^{\pi=+} | q_I \rangle$$



$$M^{0\nu} = \sum_{q_I, q_F} f_{0_F^+}^*(q_F) f_{0_I^+}(q_I) M^{0\nu}(q_I, q_F)$$

*Number of mesh points to consider:*

$$N_\theta = 7$$

$$N_\varphi = 7$$

$$N_q = 11$$

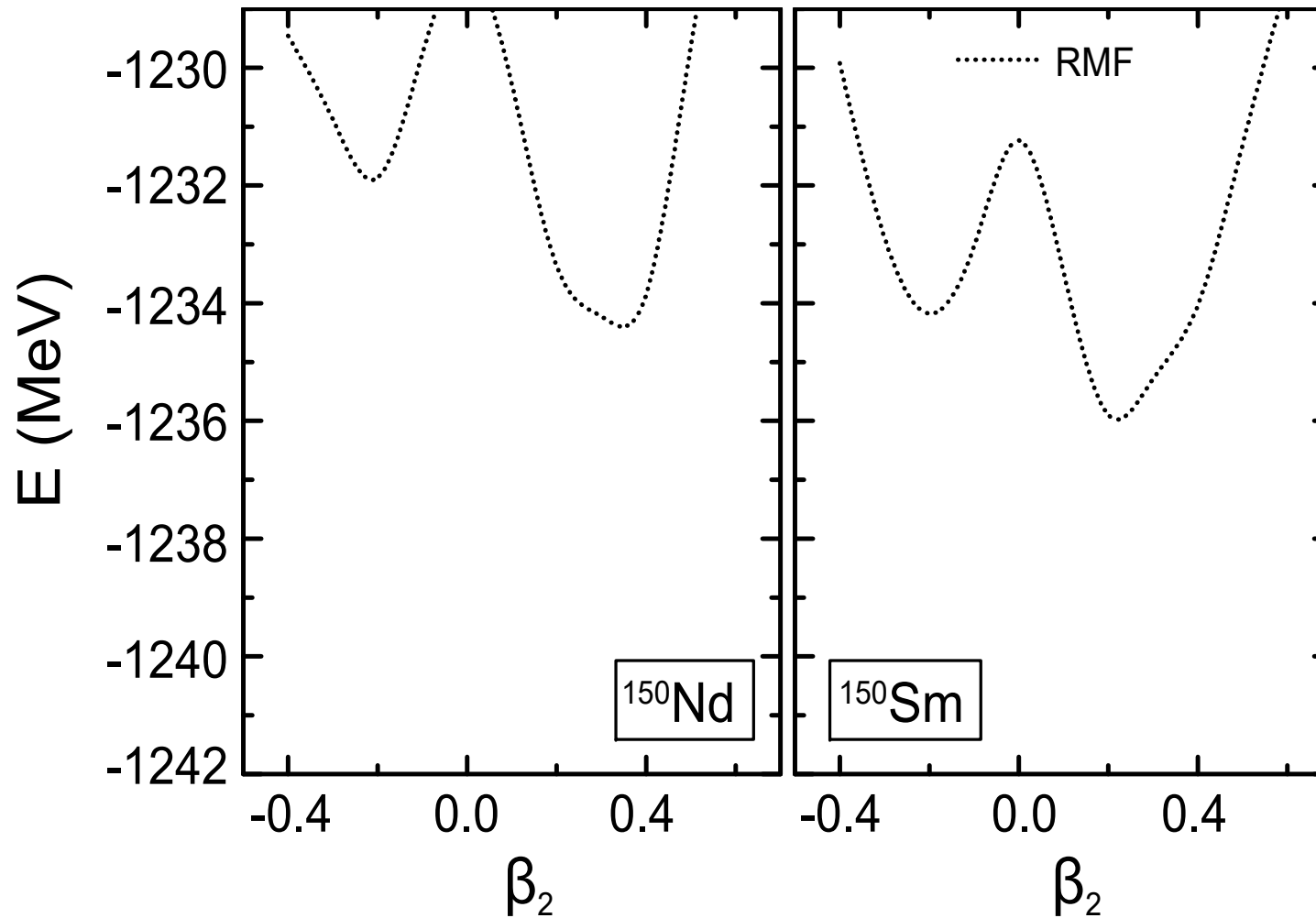
$$N_{\text{tot.}} = N_\theta \times N_\varphi^2 \times N_q^2 \sim 10^5$$



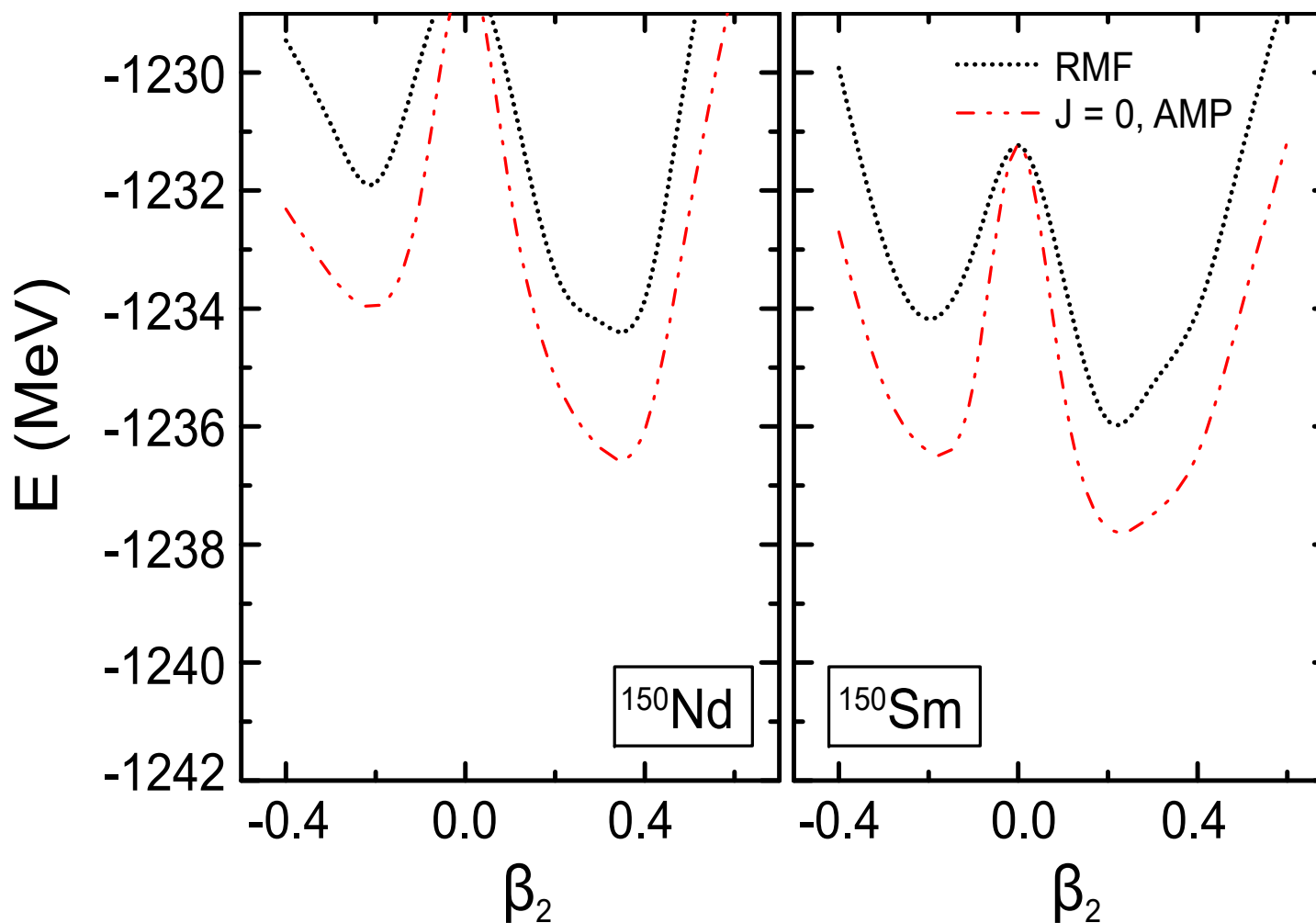
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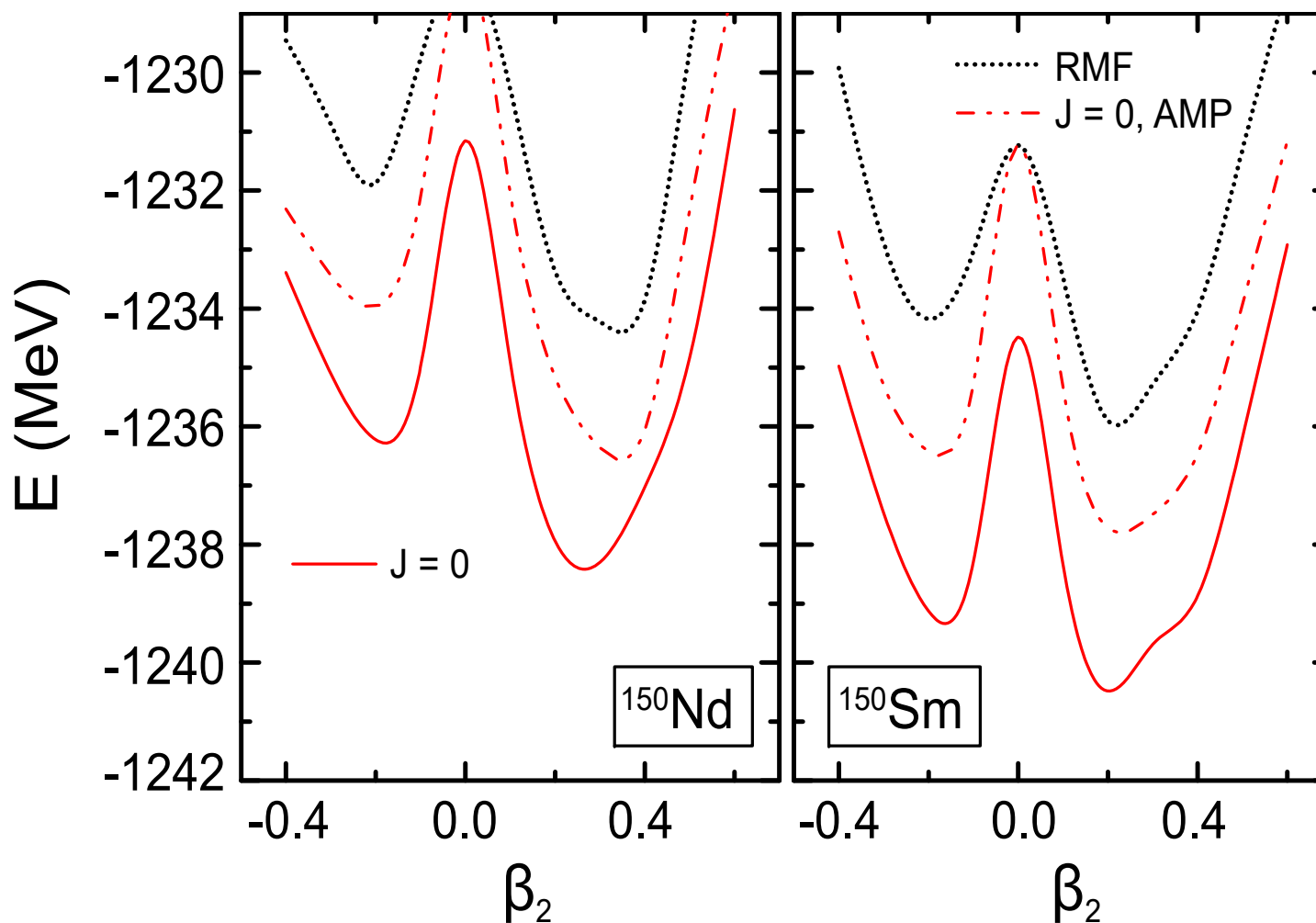
❖ Mean-field



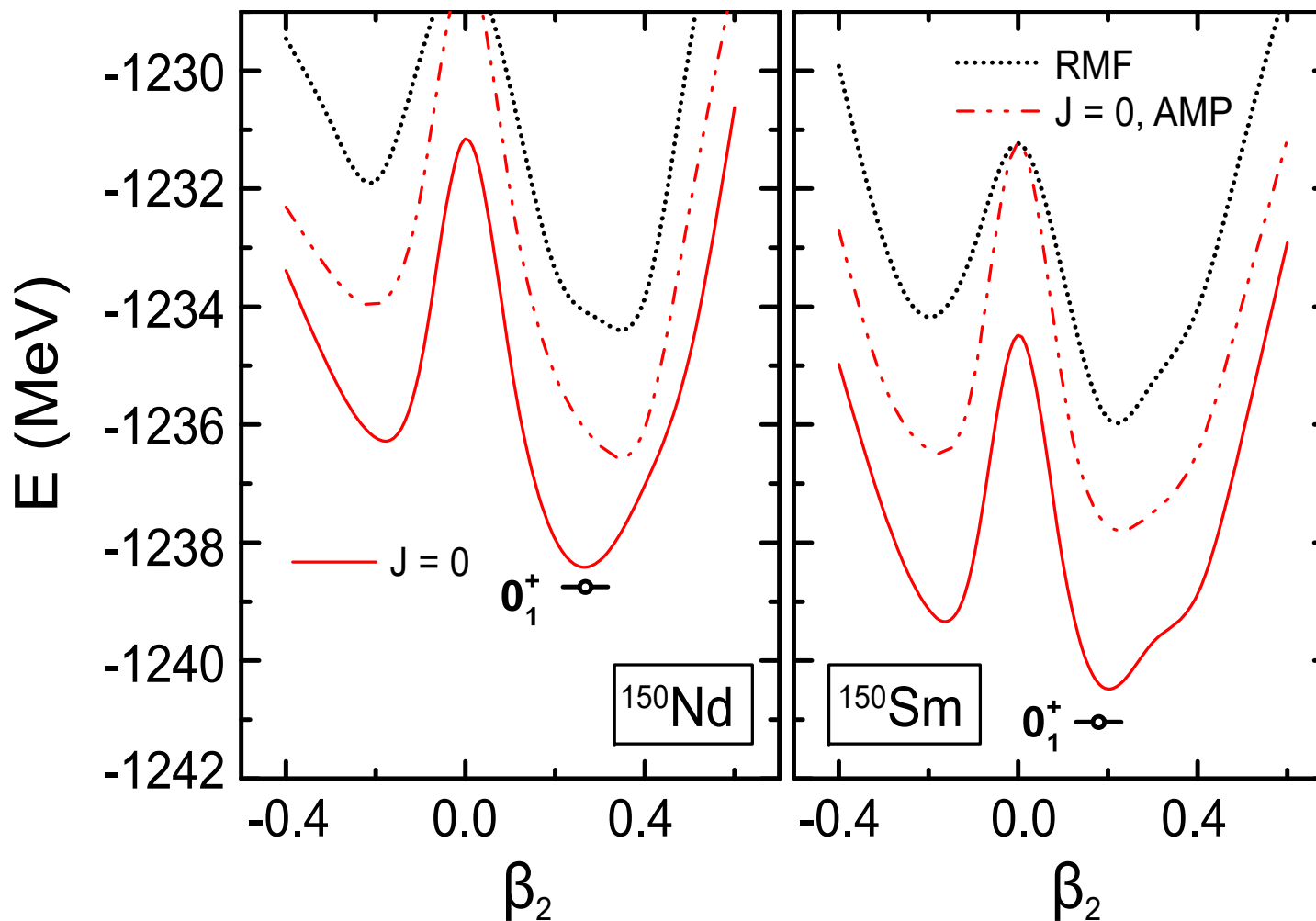
❖ Mean-field  $\Rightarrow$  AMP  $J=0$



❖ Mean-field  $\Rightarrow$  AMP  $J=0 \Rightarrow$  PNAMP  $J=0$

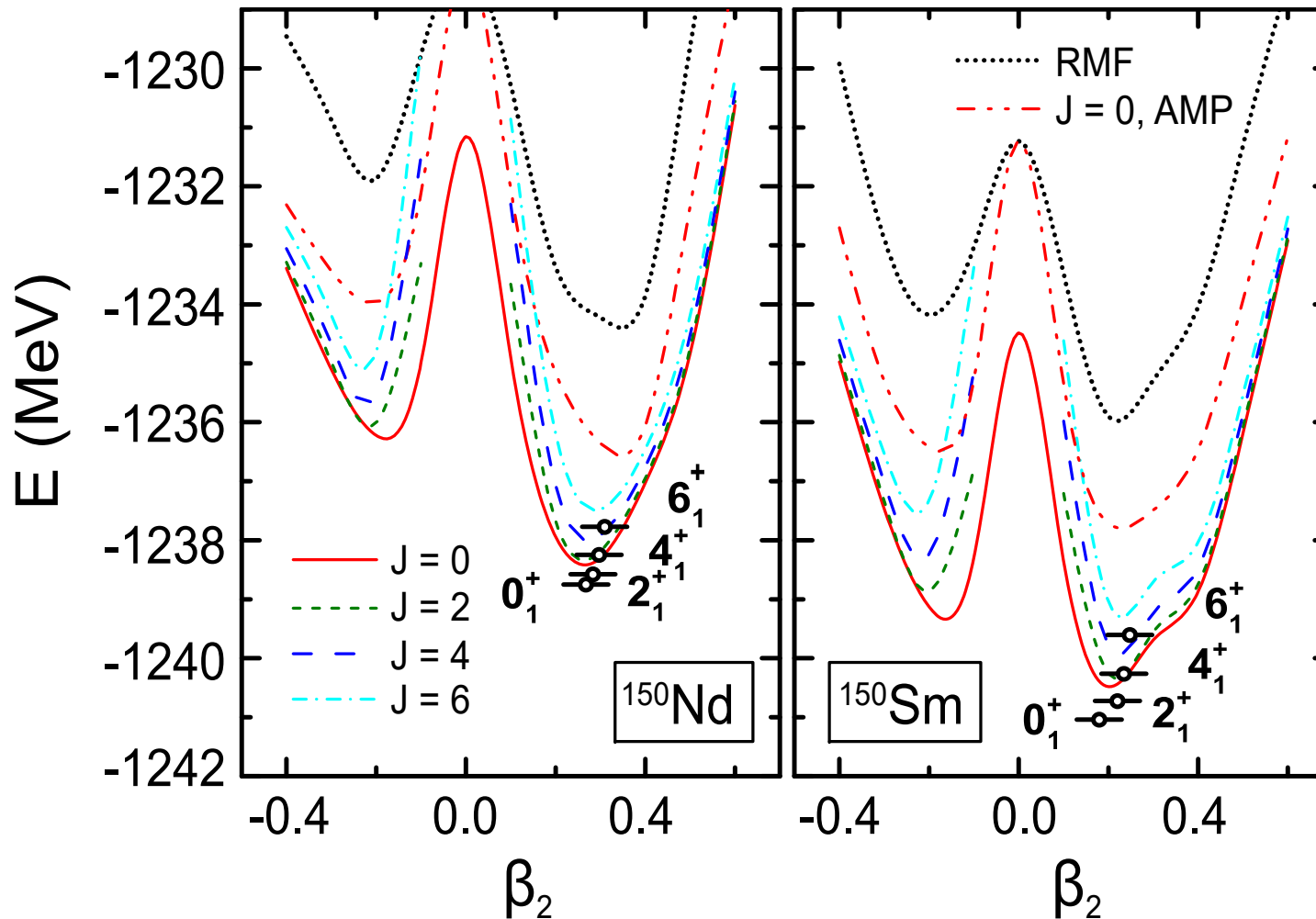


❖ Mean-field  $\Rightarrow$  AMP  $J=0 \Rightarrow$  PNAMP  $J=0 \Rightarrow$  GCM ground state



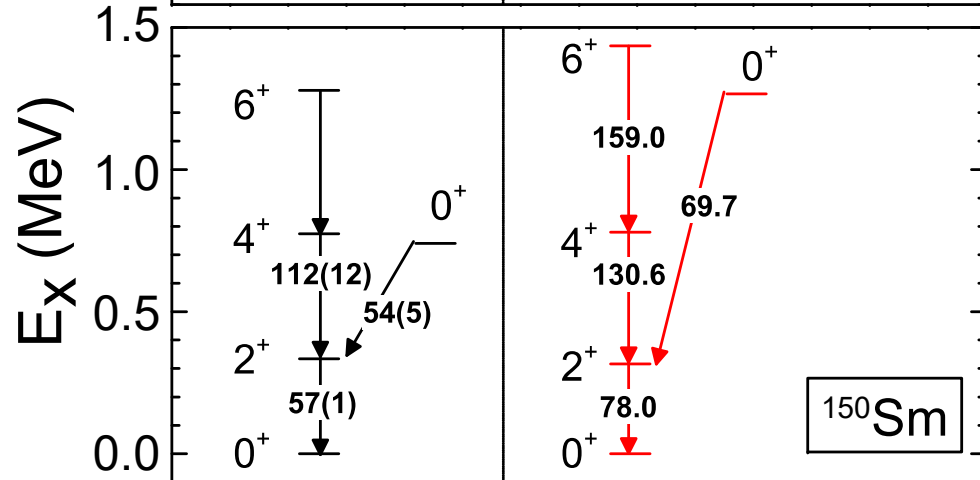
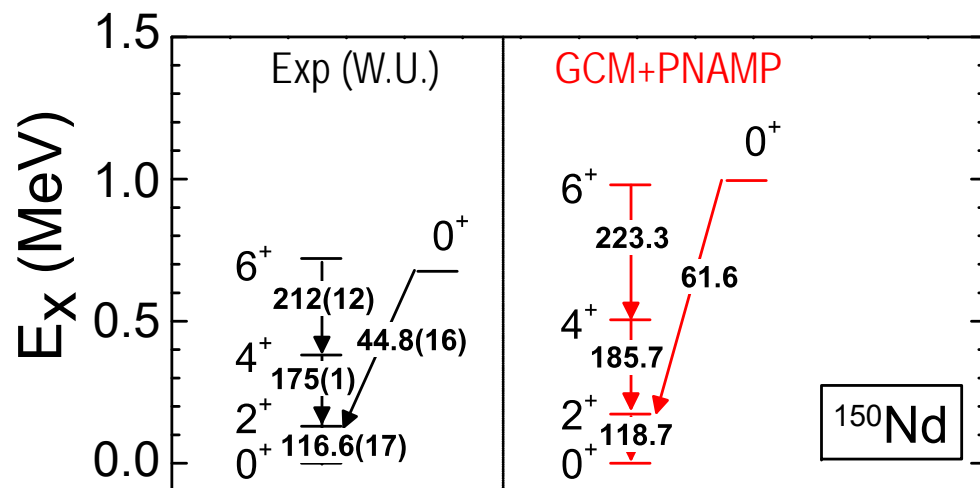


❖ Mean-field  $\Rightarrow$  AMP  $J=0 \Rightarrow$  PNAMP  $J=0 \Rightarrow$  GCM ground state

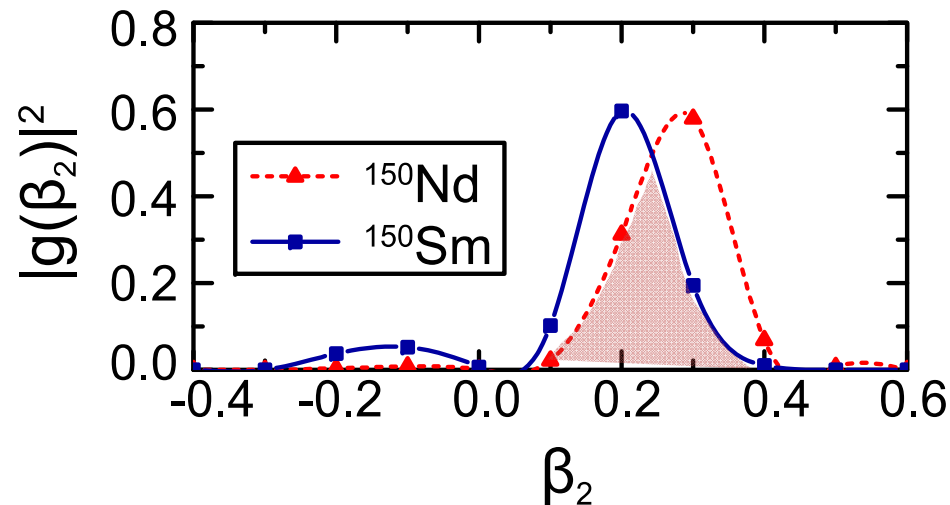


❖ Energy spectra and E2 transition

❖ Collective wave functions of g.s.



$1 e^2 \text{ fm}^4 = 0.0211 \text{ W.u.}$



➤ Deformation:

$^{150}\text{Nd}: \beta_2 = 0.3; ^{150}\text{Sm}: \beta_2 = 0.2.$

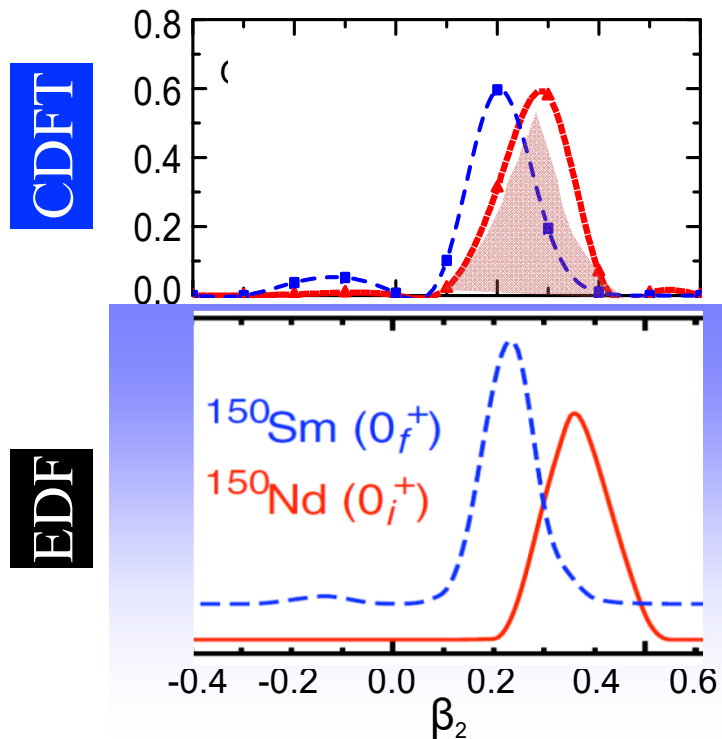
➤ Shape mixing is significant.

➤ **Large overlap** between initial and final wave functions.

❖ Results in different models:

Song, Yao, Ring, & Meng 2017, PRC 95, 024305

	CDFT	EDF	PHFB	QRPA-Tü	QRPA-NC	IBM
light- $\nu$ NME	5.46	1.71 / 2.19	2.49 – 3.31	3.37	3.14 / 2.71	2.67
heavy- $\nu$ NME	218.2	–	77.3 – 97.8	–	–	116.0



Discrepancy with **EDF** :

➤ **CDFT** gives **larger overlap** between WFs.

**CDFT** better than **EDF** in reproducing :

➤ E2 transition in  $^{150}\text{Nd}$  and  $^{150}\text{Sm}$ .

➤ Quantum phase transition in  $^{150}\text{Nd}$ .

*Nikšić, Vretenar, Lalazissis, & Ring 2007, PRL 99, 092502*

*Li, Nikšić, Vretenar, Meng, Lalazissis, & Ring 2009, PRC 79, 054301*

Results of non-relativistic Gogny EDF:

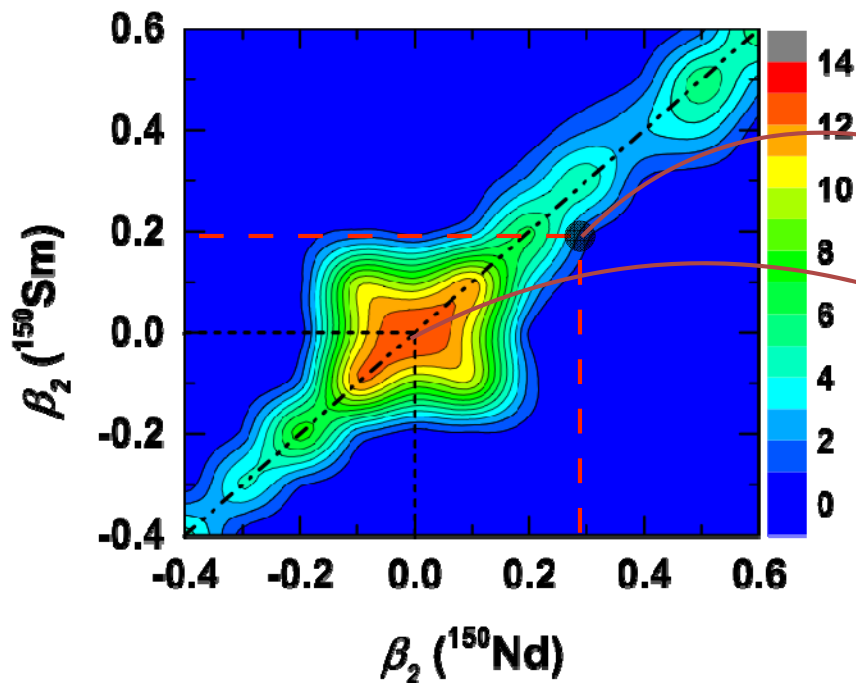
*Rodriguez & Martinez-Pinedo 2010, PRL 105, 252503*



❖ NME at fixed deformations:  $M^{0\nu}(\beta_2^F, \beta_2^I)$

GCM

$$M^{0\nu} = 5.46$$



$$M^{0\nu}(\beta_2^F = 0.2, \beta_2^I = 0.3) = 3.27$$

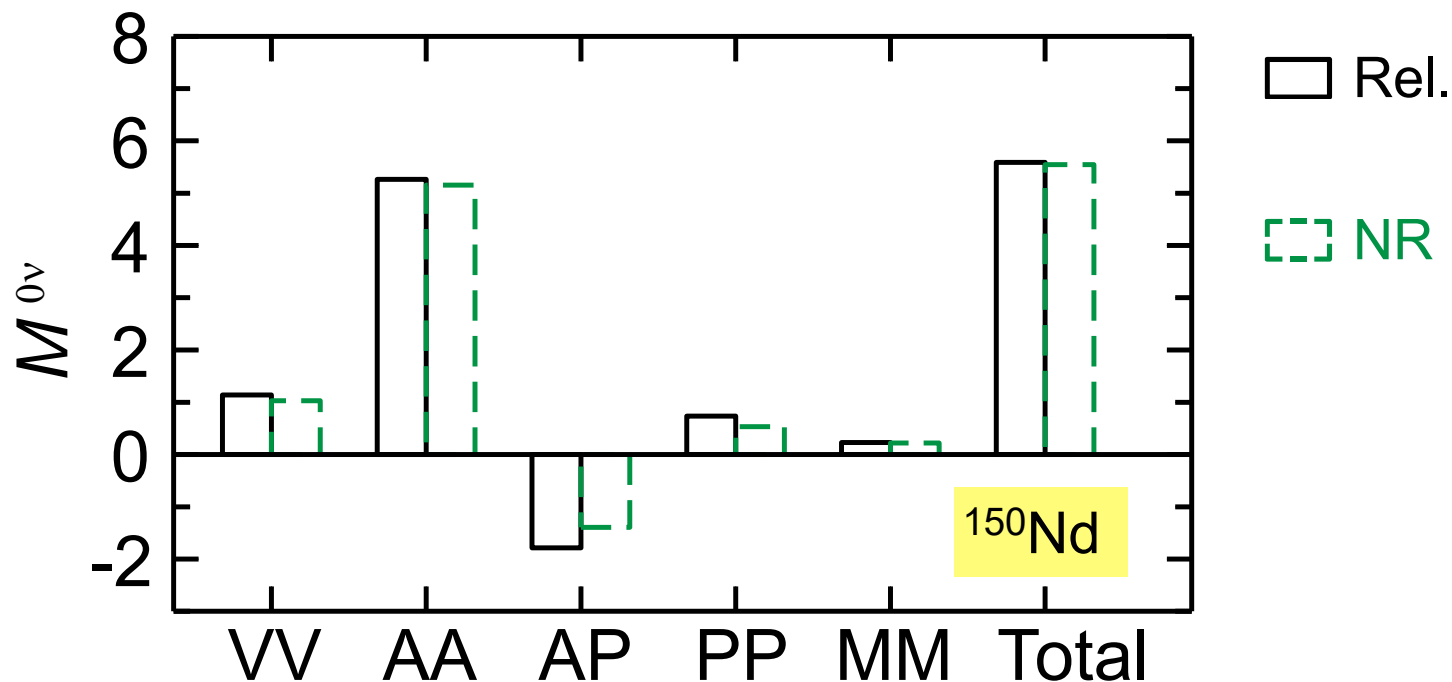
$$M^{0\nu}(\beta_2^F = \beta_2^I = 0) = 13.53$$

- $0\nu\beta\beta$  decay is suppressed by the difference in deformations of initial and final states: **Shape mixing** is important.
- $0\nu\beta\beta$  decay is favored if both nuclei are spherical.
- **The same applies for heavy-neutrino NMEs.**



❖ NMEs w/o SRC

Song, Yao, Ring, & Meng 2017, PRC 95, 024305

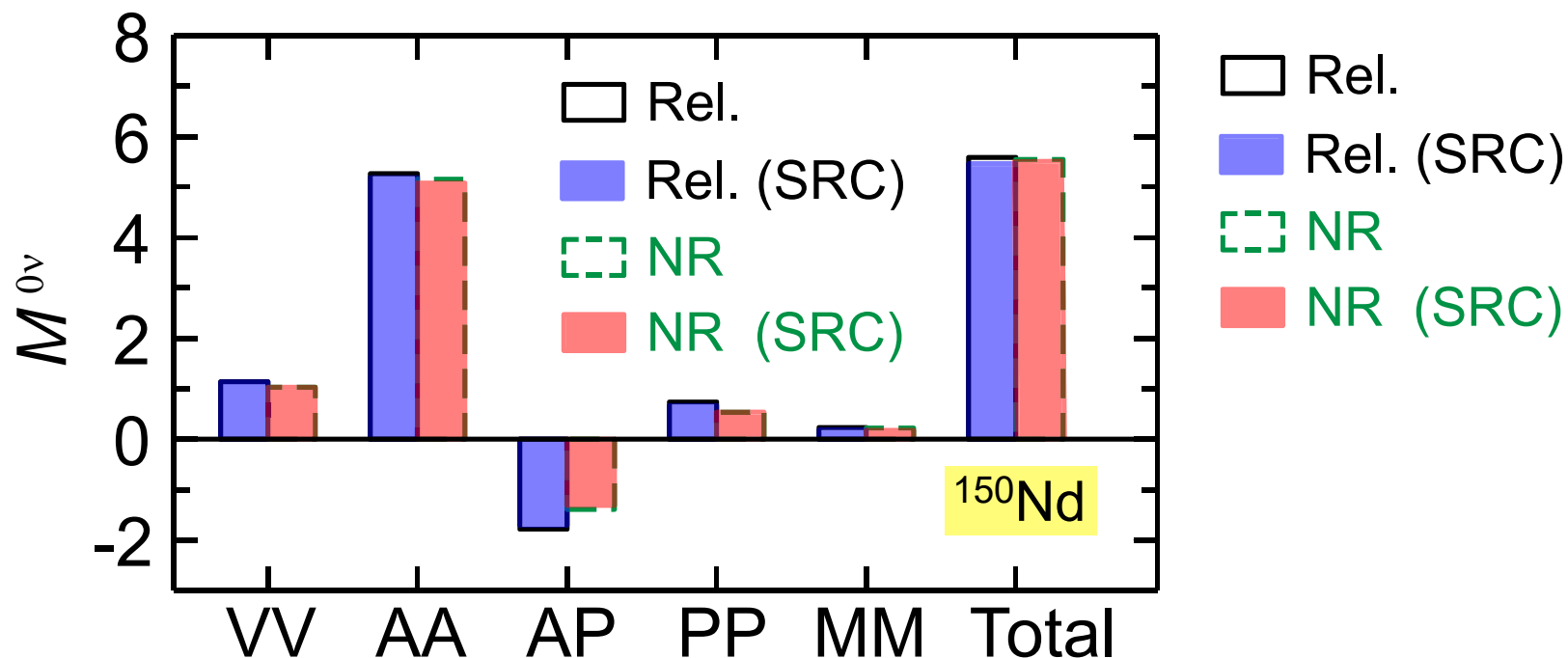


In the NMEs with light neutrinos:

- Relativistic effects are **negligible**.

❖ NMEs w/ SRC

Song, Yao, Ring, & Meng 2017, PRC 95, 024305



In the NMEs with light neutrinos:

- Relativistic effects are **negligible**.
- SRC effects are **negligible**.



- ❖ Test with other nuclei (spherical symmetry)

## Effects of relativity

$$\Delta_{\text{Rel.}} \equiv (M_{\text{Rel.}}^{0\nu} - M_{\text{NR}}^{0\nu}) / M_{\text{Rel.}}^{0\nu}$$

	w/o SRC	w/ SRC
$^{48}\text{Ca}$	-2%	-1%
$^{76}\text{Ge}$	-1%	-3%
$^{82}\text{Se}$	-1%	-3%
$^{96}\text{Zr}$	1%	-1%
$^{100}\text{Mo}$	1%	-1%
$^{116}\text{Cd}$	1%	-1%
$^{124}\text{Sn}$	-1%	-2%
$^{130}\text{Te}$	-1%	-2%
$^{136}\text{Xe}$	-1%	-3%
$^{150}\text{Nd}$	1%	-0%

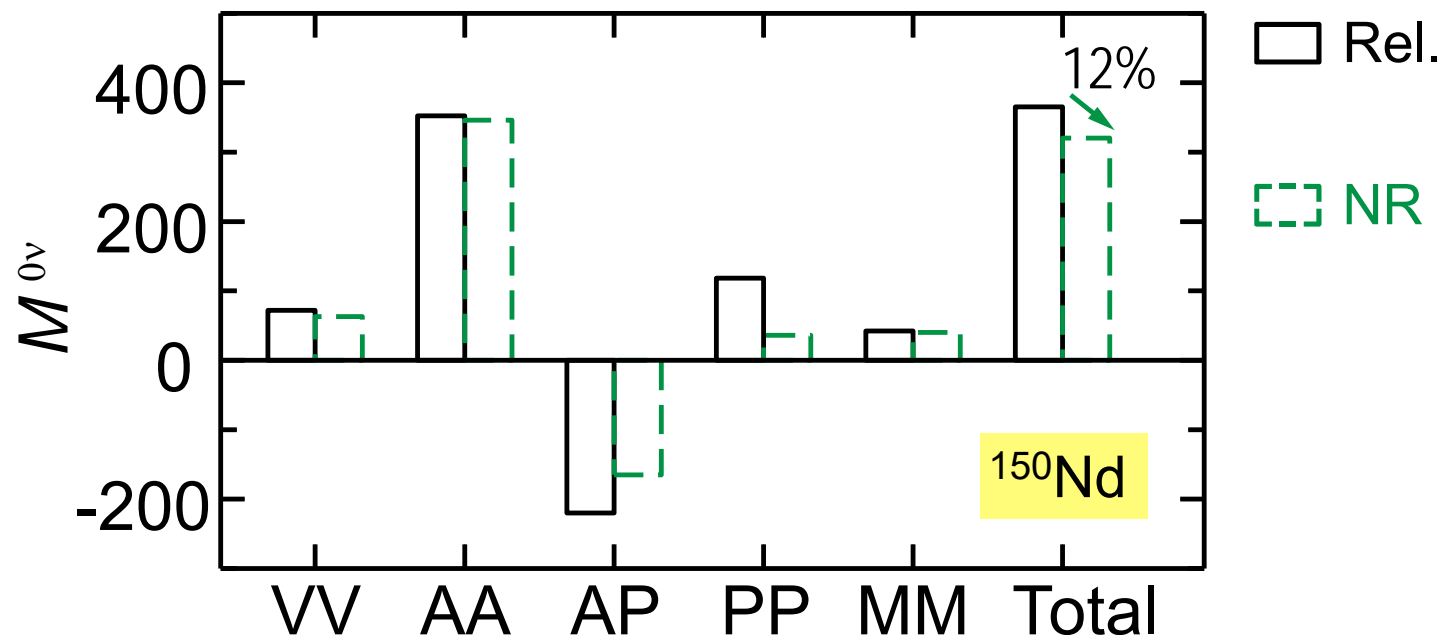
## Effects of SRC

$$\Delta_{\text{src}} \equiv (M_{\text{bare}}^{0\nu} - M_{\text{src}}^{0\nu}) / M_{\text{bare}}^{0\nu}$$

	$\Delta_{\text{src}}$
$^{48}\text{Ca}$	1%
$^{76}\text{Ge}$	2%
$^{82}\text{Se}$	2%
$^{96}\text{Zr}$	2%
$^{100}\text{Mo}$	2%
$^{116}\text{Cd}$	2%
$^{124}\text{Sn}$	2%
$^{130}\text{Te}$	2%
$^{136}\text{Xe}$	2%
$^{150}\text{Nd}$	2%

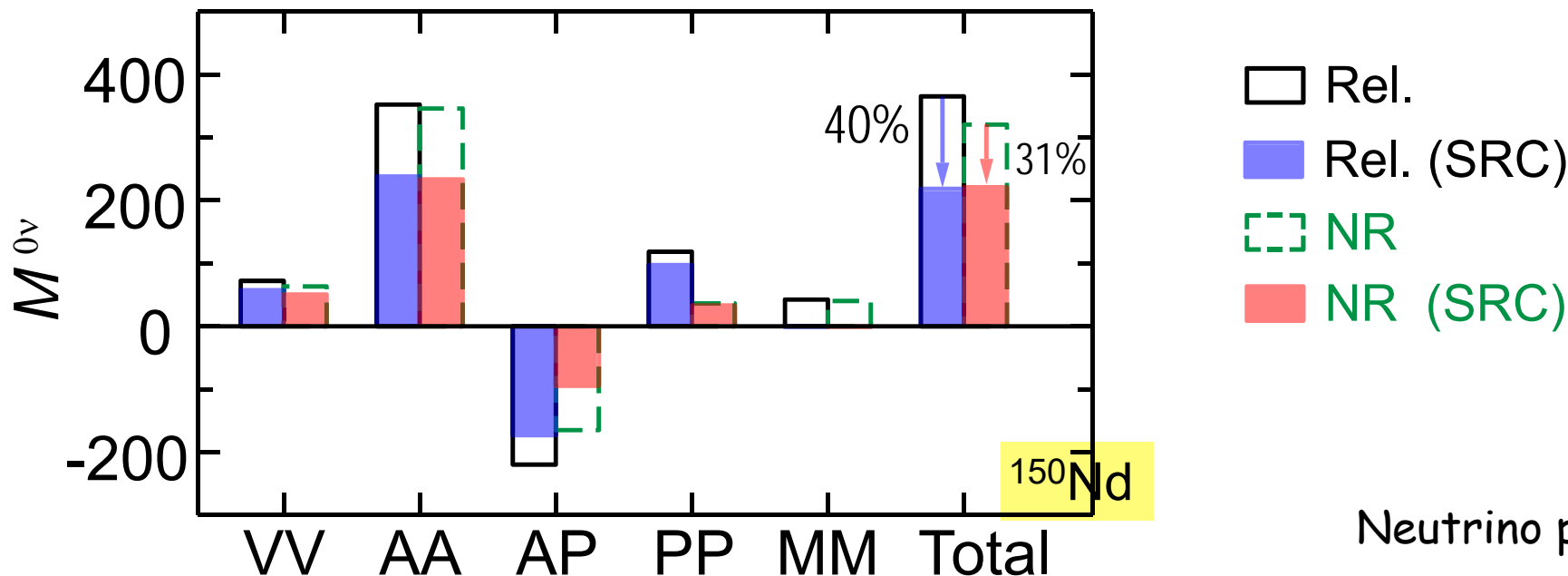
❖ NMEs **w/o SRC**

Song, Yao, Ring, & Meng 2017, PRC 95, 024305

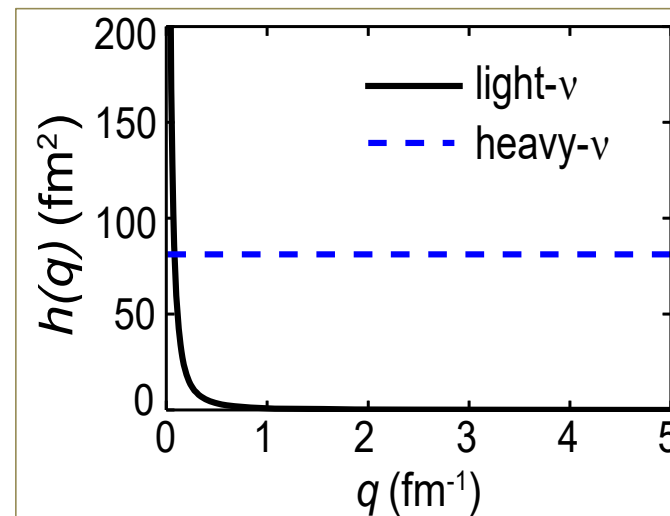


In the NMEs with **heavy neutrinos**:

- Relativistic effects are **important**: 12% of the total NME w/o SRC.



Neutrino potentials:



In the NMEs with heavy neutrinos:

- Relativistic effects are important: 12% of the total NME w/o SRC.
- Relativistic effects are eliminated by taking into account the SRC.
- SRCs are important: 40% of the total NME.



❖ Test with other nuclei (spherical symmetry)

## Effects of relativity

$$\Delta_{\text{Rel.}} \equiv (M_{\text{Rel.}}^{0\nu} - M_{\text{NR}}^{0\nu}) / M_{\text{Rel.}}^{0\nu}$$

	w/o SRC	w/ SRC
$^{48}\text{Ca}$	15%	-2%
$^{76}\text{Ge}$	10%	-6%
$^{82}\text{Se}$	11%	-5%
$^{96}\text{Zr}$	11%	-2%
$^{100}\text{Mo}$	11%	-2%
$^{116}\text{Cd}$	12%	-3%
$^{124}\text{Sn}$	10%	-3%
$^{130}\text{Te}$	10%	-3%
$^{136}\text{Xe}$	10%	-3%
$^{150}\text{Nd}$	13%	-0%

## Effects of SRC

$$\Delta_{\text{src}} \equiv (M_{\text{bare}}^{0\nu} - M_{\text{src}}^{0\nu}) / M_{\text{bare}}^{0\nu}$$

	$\Delta_{\text{src}}$
$^{48}\text{Ca}$	43%
$^{76}\text{Ge}$	43%
$^{82}\text{Se}$	42%
$^{96}\text{Zr}$	42%
$^{100}\text{Mo}$	42%
$^{116}\text{Cd}$	41%
$^{124}\text{Sn}$	41%
$^{130}\text{Te}$	41%
$^{136}\text{Xe}$	41%
$^{150}\text{Nd}$	40%



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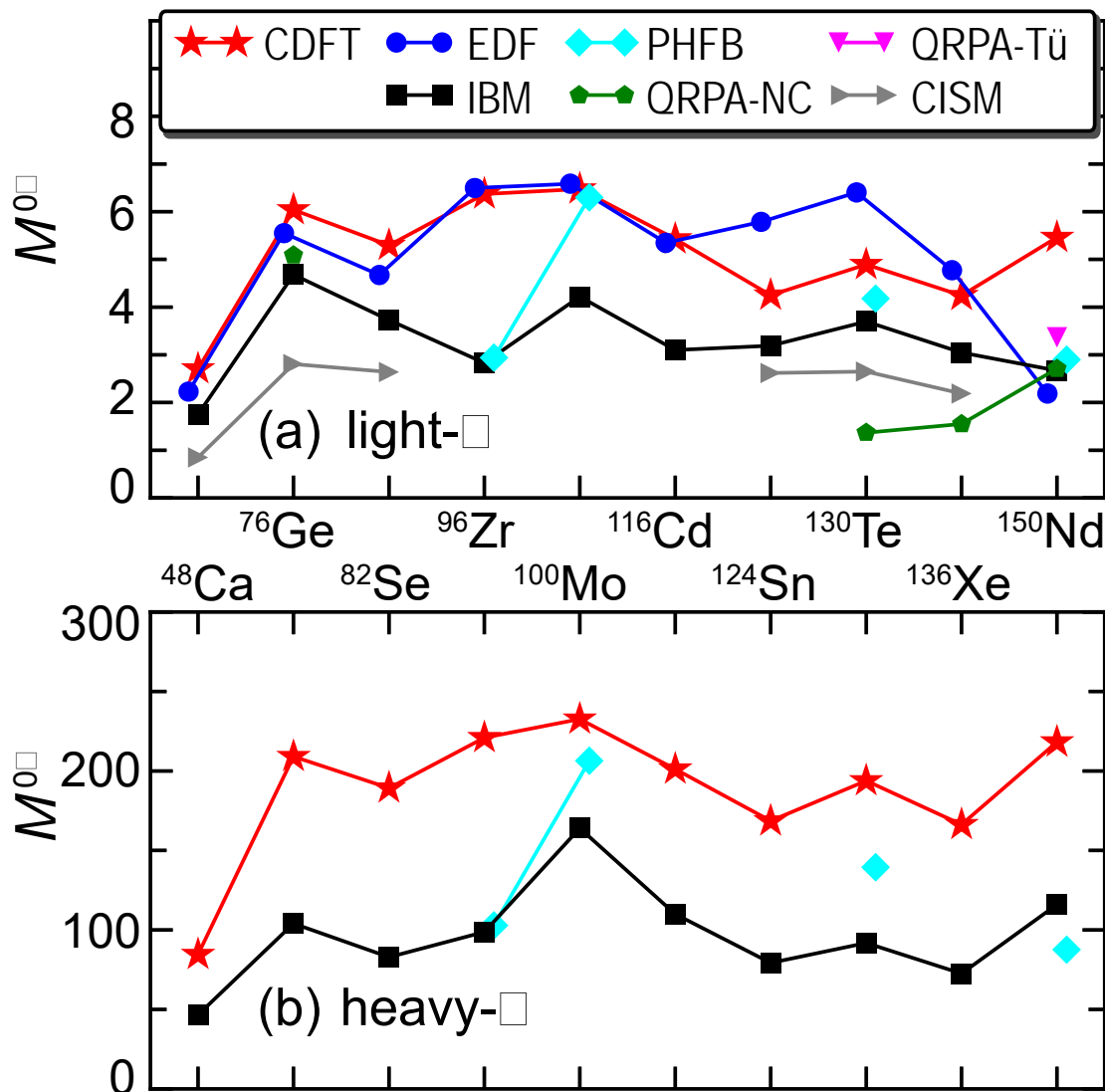


半衰期下限    相空间因子    中微子有效质量

	$T_{1/2}^{0\nu}$ ( $10^{22}$ yr)	$G_{0\nu}$ ( $10^{-15}$ yr $^{-1}$ )	light- $\nu$		heavy- $\nu$	
			$M^{0\nu}$	$ \langle m_\nu \rangle $	$M^{0\nu}$	$ \langle m_{\nu_h}^{-1} \rangle ^{-1}$
$^{48}\text{Ca}$	5.8	24.81	2.71	$< 3.2$	84.5	$> 4.7$
$^{76}\text{Ge}$	5300	2.363	6.04	$< 0.15$	209.1	$> 109.2$
$^{82}\text{Se}$	36	10.16	5.30	$< 1.0$	189.3	$> 16.9$
$^{96}\text{Zr}$	0.92	20.58	6.37	$< 3.7$	220.9	$> 4.5$
$^{100}\text{Mo}$	110	15.92	6.48	$< 0.38$	232.6	$> 45.4$
$^{116}\text{Cd}$	17	16.70	5.43	$< 1.1$	201.1	$> 15.8$
$^{124}\text{Sn}$	0.005	9.04	4.25	$< 114$	168.5	$> 0.17$
$^{130}\text{Te}$	280	14.22	4.89	$< 0.33$	193.8	$> 57.1$
$^{136}\text{Xe}$	10700	14.58	4.24	$< 0.06$	166.3	$> 306.5$
$^{150}\text{Nd}$	2.0	63.03	5.46	$< 1.7$	218.2	$> 11.4$

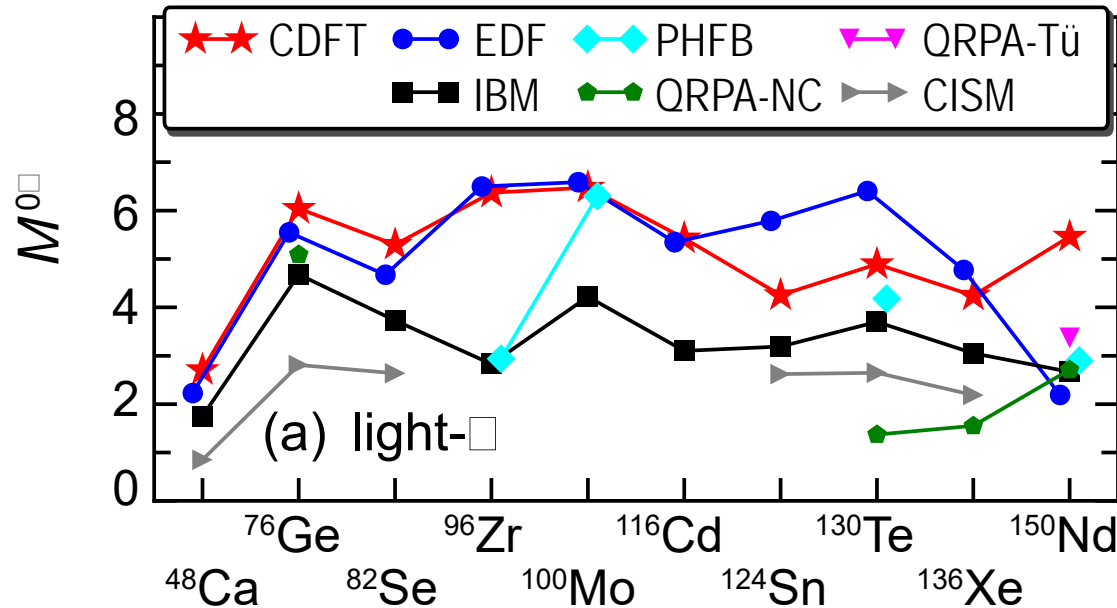
\* The unit of  $|\langle m_\nu \rangle|$  is eV; The unit of  $|\langle m_{\nu_h}^{-1} \rangle|^{-1}$  is  $10^6$  GeV.





## Selective results in comparison

- Deformations are considered.
- Use unquenched  $g_A \sim 1.26$ ;  
radius  $R = 1.2A^{1/3}$  fm.
- Uncertainties from SRCs  $\sim 5\%$ 
  - AV18, CCM/Jastrow (CDFT, IBM, PHFB);
  - UCOM (EDF, CISM);
  - CD Bonn, G matrix (QRPA-Tu);
  - neglected (QRPA-NC).

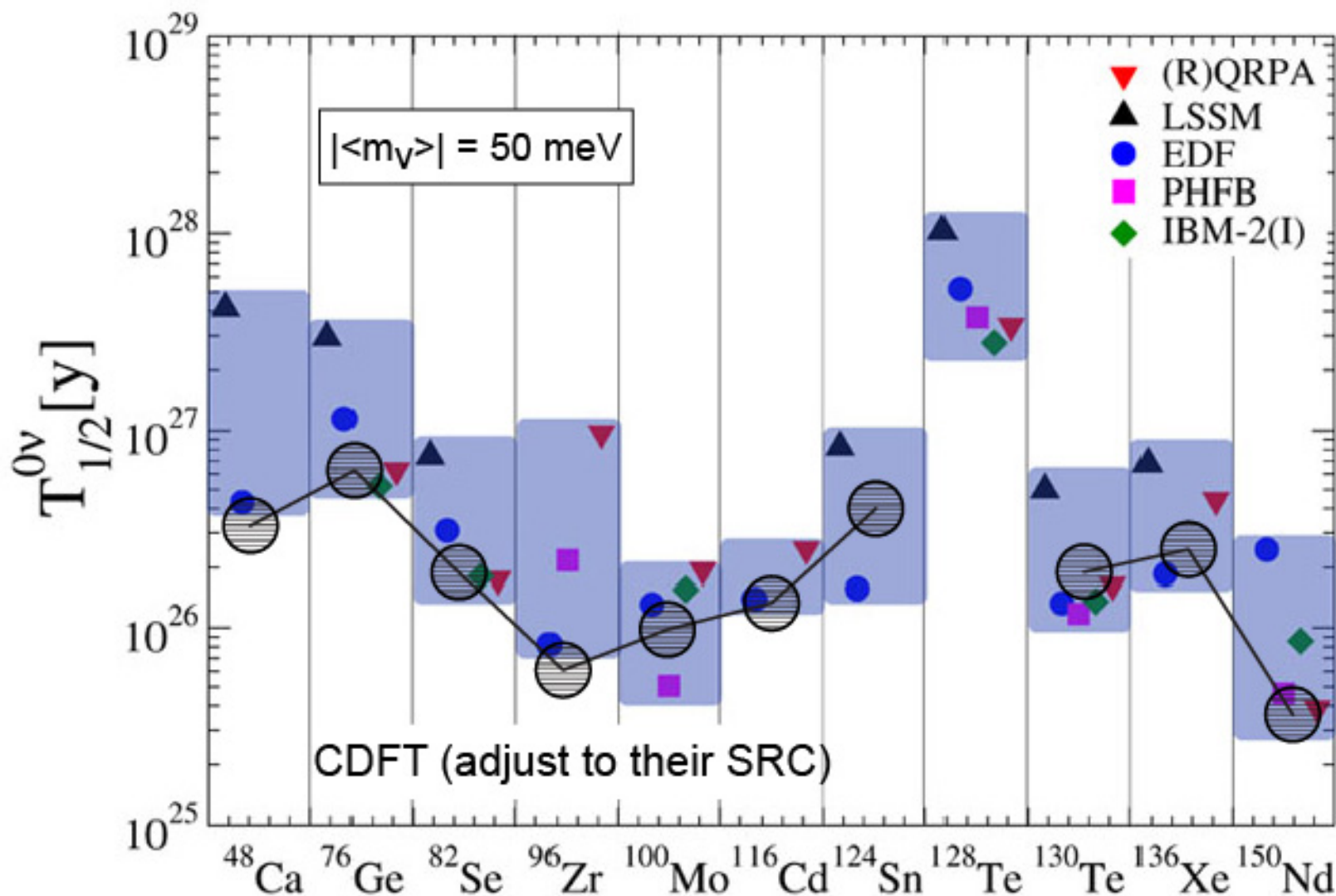


- CDFT highly in consistent with EDF, except <sup>124</sup>Sn, <sup>130</sup>Te, and <sup>150</sup>Nd.
- CDFT results give the upper boundary of all NMEs, except in <sup>124</sup>Sn and <sup>130</sup>Te.

- **Uncertainties** in NME will translate into the effective neutrino masses.
- To **reduce the uncertainties**:
  - Nuclear models:
    - Shell-model like: To enlarge model space
    - Mean-field based: To include more correlations
  - Details in the NME calculation: treatment of the intermediate states, short-range correlations, form factors ... **Many open questions**



DOUBLE-BETA DECAY HALF-LIFE OF SEVERAL NUCLEI FROM DIFFERENT NUCLEAR MANY-BODY CALCULATIONS IN THE LIGHT NEUTRINO EXCHANGE SCENARIO





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## ★ Summary

First relativistic description for the nuclear matrix elements of  $0\nu\beta\beta$  decay based on covariant density functional theory.

- ✓ Deformation, relativity, and short-range correlation
- ✓ Effective neutrino masses
- ✓ Comparison with other nuclear models

## ★ Perspectives

- Short-range correlations from relativistic ab initio calculations
- Effects of triaxiality:  $^{76}\text{Ge}$
- Proton-neutron pairing and restoration of isospin symmetry
- New generator coordinates: particle number (pairing) fluctuation



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**Thank you for your attention !**