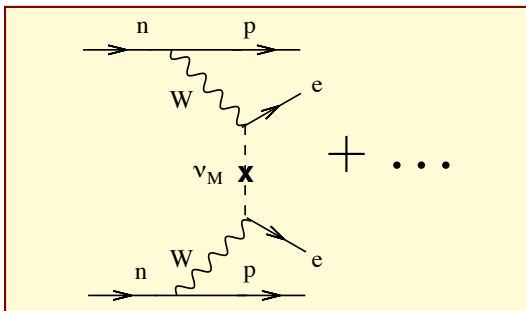


# Computing $\beta\beta$ Nuclear Matrix Elements

J. Engel

June 13, 2017



## Light- $\nu$ Exchange Tells Us Neutrino Mass

$$[T_{1/2}^{0\nu}]^{-1} = \sum_{\text{spins}} \int |Z_{0\nu}|^2 \delta(E_{e1} + E_{e2} - Q) \frac{d^3 p_1}{2\pi^3} \frac{d^3 p_2}{2\pi^3}$$

Amplitude  $Z_{0\nu}$  contains lepton part

$$\sum_k \bar{e}(x) \gamma_\mu (1 - \gamma_5) U_{ek} \underbrace{\nu_k(x)}_{\bar{\nu}_k^c(y)} \gamma_\nu (1 + \gamma_5) U_{ek} e^c(y),$$

where  $\nu$ 's are Majorana mass eigenstates.

Contraction gives neutrino propagator:

$$\sum_k \bar{e}(x) \gamma_\mu (1 - \gamma_5) \frac{q^\rho \gamma_\rho + m_k}{q^2 - m_k^2} \gamma_\nu (1 + \gamma_5) e^c(y) U_{ek}^2,$$

The  $q^\rho \gamma_\rho$  part vanishes in trace, leaving a factor

$$m_{\text{eff}} \equiv \sum_k m_k U_{ek}^2.$$

## Nuclear Part For Light- $\nu$ Exchange

$$M_{0\nu} = M_{0\nu}^{GT} - \frac{g_V^2}{g_A^2} M_{0\nu}^F + \dots$$

with

$$M_{0\nu}^{GT} = \langle F | \left| \sum_{i,j} H(r_{ij}) \sigma_i \cdot \sigma_j \tau_i^+ \tau_j^+ \right| I \rangle + \dots$$

$$M_{0\nu}^F = \langle F | \sum_{i,j} H(r_{ij}) \tau_i^+ \tau_j^+ | I \rangle + \dots$$

$$H(r) \approx \frac{2R}{\pi r} \int_0^\infty dq \frac{\sin qr}{q + \bar{E} - (E_i + E_f)/2} \quad \text{roughly } \propto 1/r$$

Contribution to integral peaks at  $q \approx 100 \text{ MeV}$  inside nucleus.

Corrections are from “forbidden” terms, weak nucleon form factors, many-body currents ...

# Totally New Physics Could Contribute

If neutrinoless decay occurs then  $\nu$ 's are Majorana, no matter what:

but light neutrinos may not drive the decay:

Exchange of heavy right-handed neutrino  
in left-right symmetric model.

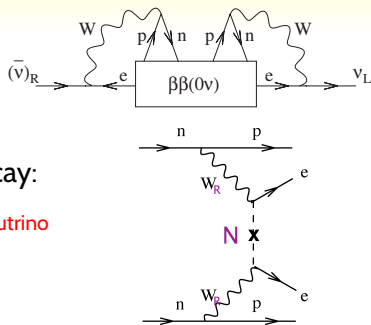
Amplitude of “exotic” mechanism:

$$\frac{Z_{0\nu}^{\text{heavy}}}{Z_{0\nu}^{\text{light}}} \approx \left( \frac{M_{W_L}}{M_{W_R}} \right)^4 \left( \frac{\langle q^2 \rangle}{m_{\text{eff}} m_N} \right) \quad \langle q^2 \rangle \approx 10^4 \text{ MeV}^2$$

$$\approx 1 \quad \text{if} \quad m_N \approx 1 \text{ TeV} \quad \text{and} \quad m_{\text{eff}} \approx \sqrt{\Delta m_{\text{atm}}^2}$$

So exotic stuff can occur with roughly the same rate as light- $\nu$  exchange.

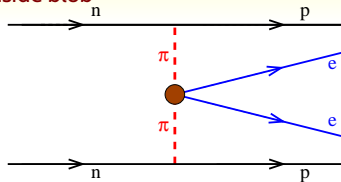
Upcoming talks by W. Rodejohann, M. Ramsey-Musolf



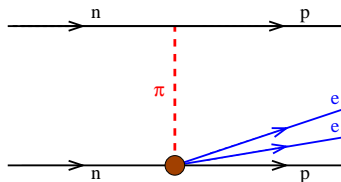
# Generic Chiral Ordering of Diagrams (Prezau, Ramsey-Msuolf, Vogel)

New physics inside blob

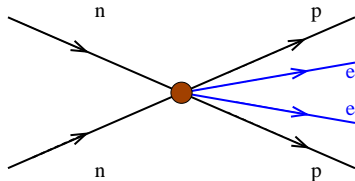
LO



NLO



N<sup>2</sup>LO



These lead to two-nucleon operators with different space dependence from that of the “standard” operator.

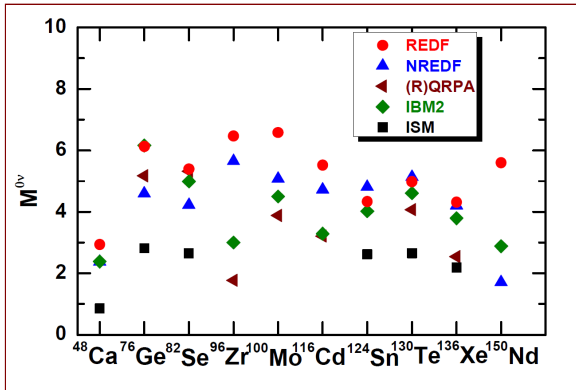
Upcoming talks by A. Nicholson,  
M. Graesser, M. Savage

# Nuclear Matrix Elements: The Situation at Present

Light- $\nu$  Exchange

Significant spread.  
And all the models  
could be missing  
important physics.

Uncertainty hard  
to quantify.



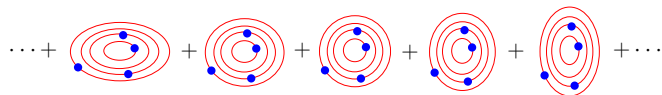
# Nuclear Structure: Contrasting the Approaches

Starting point is always mean field(s)



# Nuclear Structure: Contrasting the Approaches

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“Energy-Density Functional Theory” employs  
Generator-Coordinate Method (GCM), which mixes many such  
states with different collective properties.

Upcoming talk by T. Rodriguez

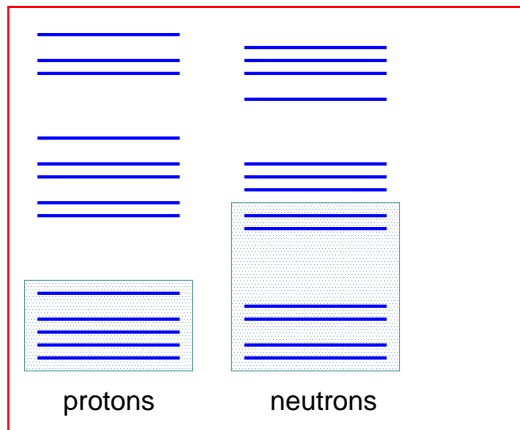


# Nuclear Structure: Contrasting the Approaches

Starting point is always mean field(s)



Other methods build on single independent-particle state.

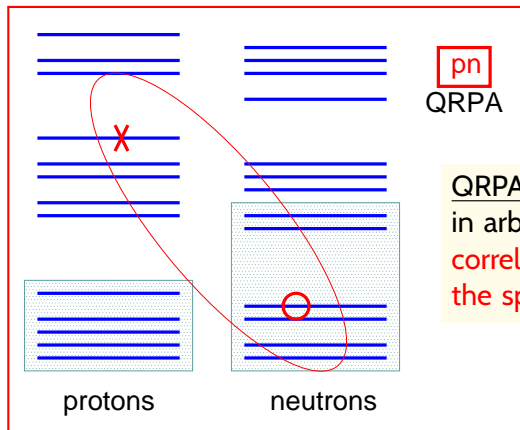


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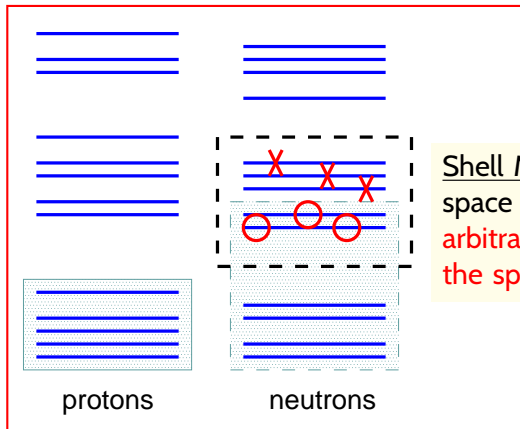
QRPA: Large single-particle spaces in arbitrary single mean field; **simple correlations and excitations within the space.**

# Nuclear Structure: Contrasting the Approaches

Starting point is always mean field(s)



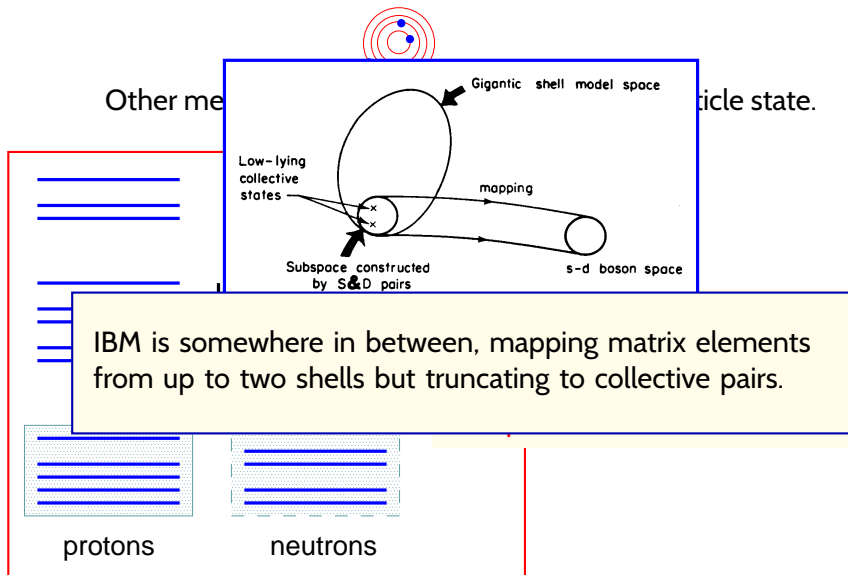
Other methods build on single independent-particle state.



Shell Model: Small single-particle space in simple spherical mean field; arbitrarily complex correlations within the space.

# Nuclear Structure: Contrasting the Approaches

Starting point is always mean field(s)



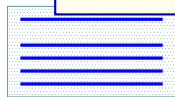
# Nuclear Structure: Contrasting the Approaches

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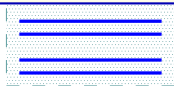


*Can we improve and combine these methods?*

*Can we avoid fitting parameters to data directly in heavy nuclei? That's not a bad thing, but makes it hard to estimate accuracy when calculating something different from anything ever measured.*



protons



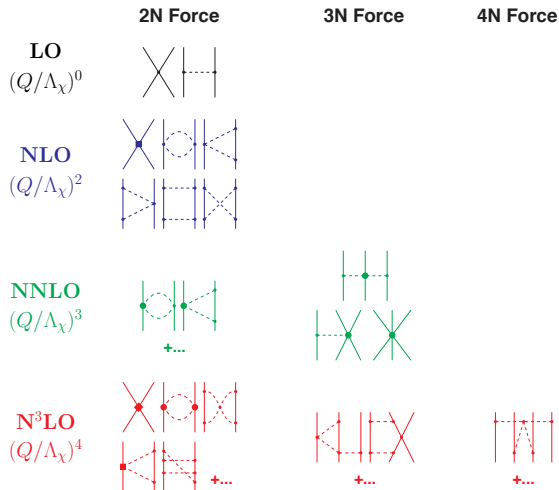
neutrons

d;  
in

# The Way Forward: Ab Initio Nuclear Structure?

Often starts with chiral effective field theory.

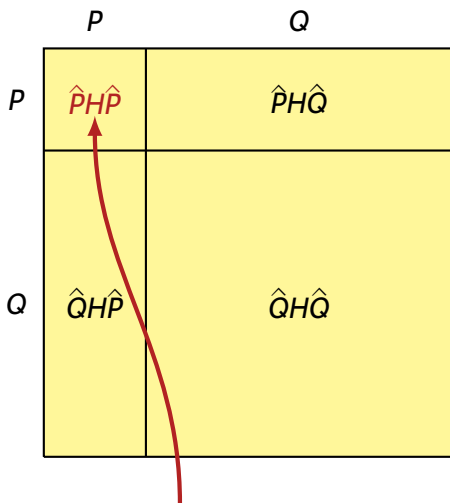
Nucleons, pions sufficient below chiral-symmetry breaking scale.





# Ab Initio Shell Model

## Partition of Full Hilbert Space



Shell model done here.

$P$  = valence space

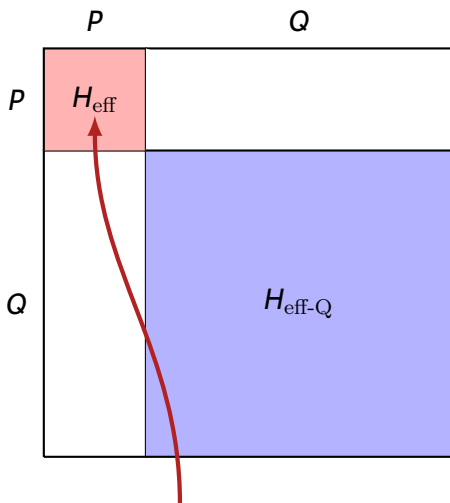
$Q$  = the rest

Task: Find unitary transformation to make  $H$  block-diagonal in  $P$  and  $Q$ , with  $H_{\text{eff}}$  in  $P$  reproducing  $d$  most important eigenvalues.



# Ab Initio Shell Model

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Shell model done here.

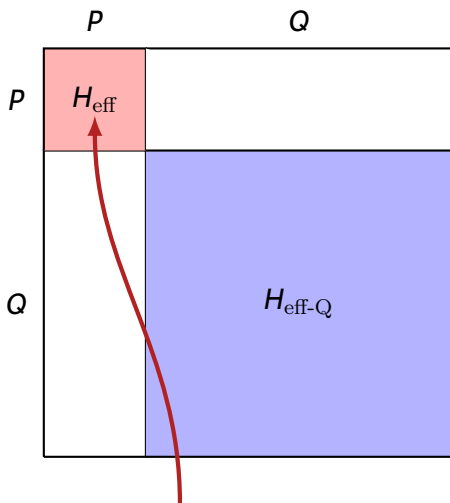
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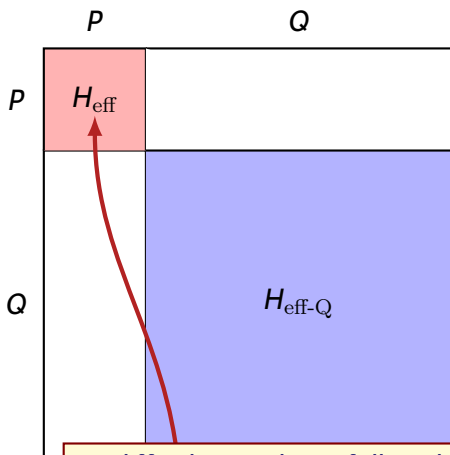
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For transition operator  $\hat{M}$ , must apply same transformation to get  $\hat{M}_{\text{eff}}$ .

Upcoming talks by W.C. Haxton,  
L. Coraggio, H. Hergert

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Upcoming talks by W.C. Haxton,  
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As difficult as solving full problem. But idea is that N-body effective operators may not be important for  $N > 2$  or 3.

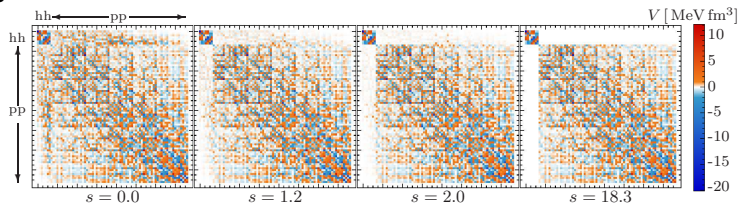
Shell model done here.

# In-Medium Similarity Renormalization Group

One way to determine the transformation

Flow equation for effective Hamiltonian. Asymptotically decouples shell-model space.

$$\frac{d}{ds} H(s) = [\eta(s), H(s)], \quad \eta(s) = [H_d(s), H_{od}(s)], \quad H(\infty) = H_{\text{eff}}$$

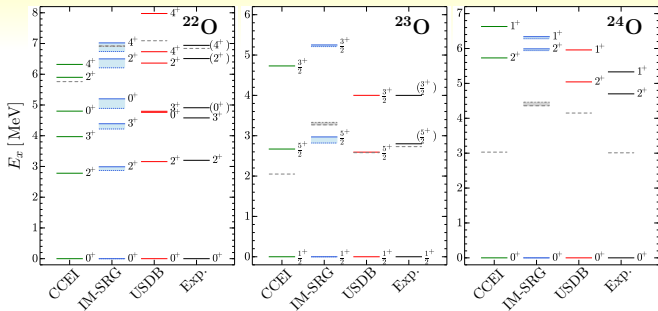


Hergert et al.

Trick is to keep all 1- and 2-body terms in  $H$  at each step *after normal ordering*.

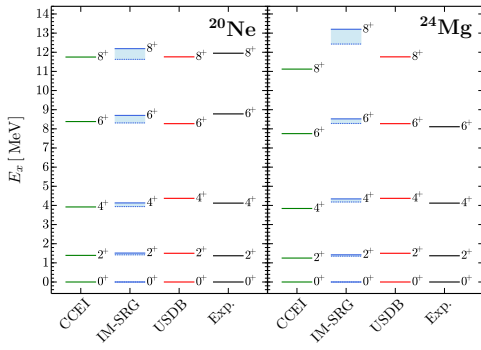
If shell-model space contains just a single state, approach yields ground-state energy. If it is a typical valence space, result is effective interaction and operators.

# Ab Initio Calculations of Spectra



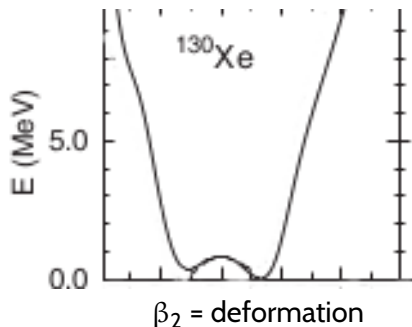
Neutron-rich  
oxygen isotopes

Deformed nuclei



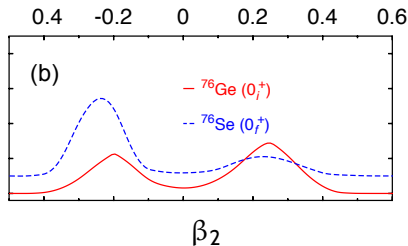
# Complementary Way Forward: Improved GCM?

Construct set of mean fields by constraining coordinate(s), e.g. quadrupole moment  $\langle Q_0 \rangle$ . Then diagonalize (usually non-ab-initio)  $H$  in space of symmetry-restored quasiparticle vacua with different  $\langle Q_0 \rangle$ .



Robledo et al.: Minima at  $\beta_2 \approx \pm 0.15$

## Collective wave functions



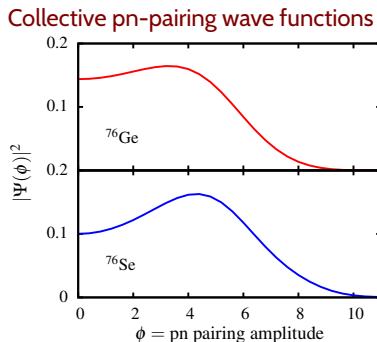
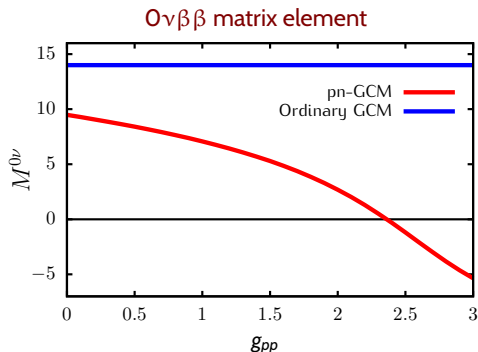
Rodriguez and Martinez-Pinedo:

Wave functions peaked at  $\beta_2 \approx \pm 0.2$

Can be improved by including crucial **neutron-proton pairing amplitude** as collective coordinate...

# GCM Example: Proton-Neutron (pn) Pairing

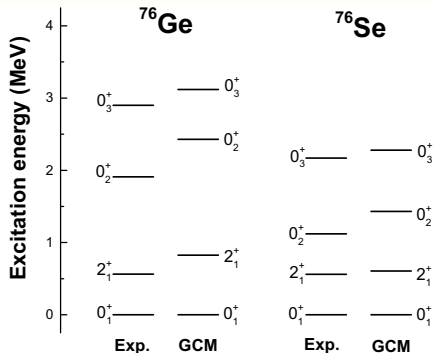
Can build possibility of pn correlations into mean field. They are frozen out in mean-field minimum, but included in GCM.



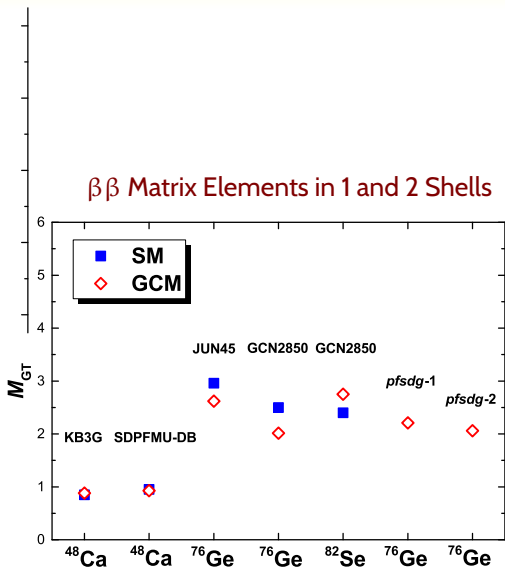
*Proton-neutron pairing significantly reduces matrix element.*

Upcoming talk by N. Hinohara

# GCM in Shell-Model Spaces



GCM Spectrum in 2 Shells

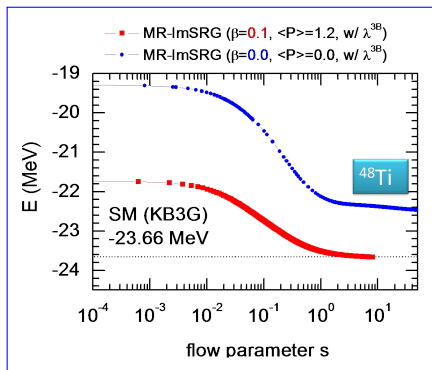




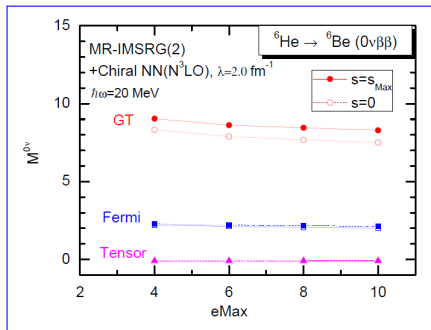
# Combining GCM and Ab Initio Methods

GCM incorporates some correlations that are hard to capture automatically (e.g. shape coexistence). So use it to construct initial “reference” state, let IMSRG, do the rest.

## Energy evolution in single shell



## Ab initio $0\nu\beta\beta$ matrix element in mirror pair



From J. Yao

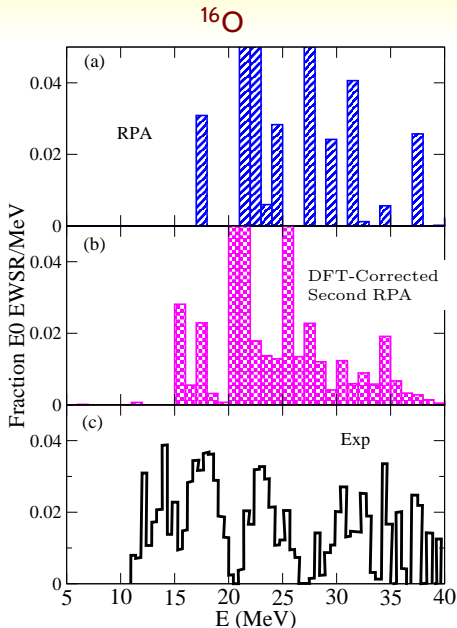
In progress: Matrix elements for detector nuclei.

Could be considerably harder.

# Improving RPA/QRPA

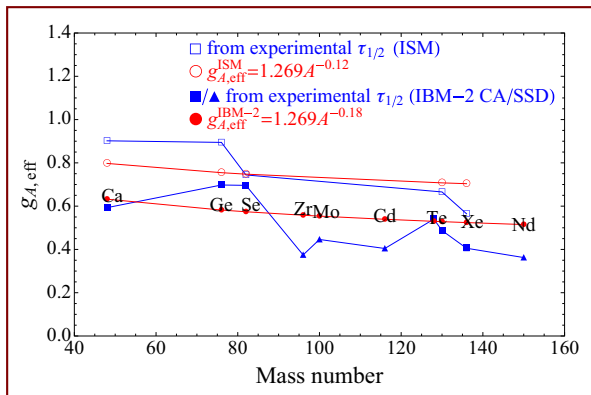
Upcoming talks by  
J. Terasaki, C. Robin

RPA produces states in intermediate nucleus, but form is restricted to 1p-1h excitations of ground state. Second RPA adds 2p-2h states.



# Issue Facing All Models: “ $g_A$ ”

40-Year-Old Problem: Effective  $g_A$  needed for single-beta and two-neutrino double-beta decay in shell model and QRPA.



from F. Iachello

If  $O_\nu$  matrix elements quenched by same amount as  $2\nu$  matrix elements, experiments will be much less sensitive; rates go like fourth power of  $g_A$ .

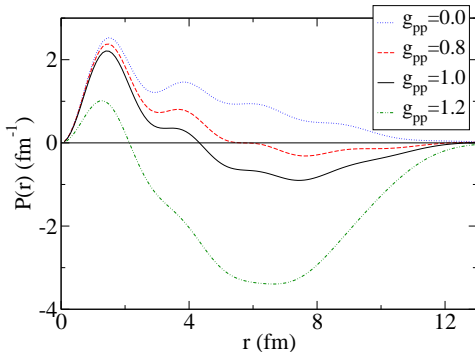
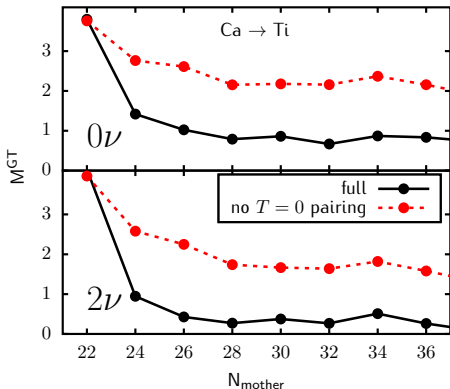
Upcoming talks by S. Pastore, L. Coraggio?

# Arguments Suggesting Strong Quenching of $0\nu$

- ▶ Both  $\beta$  and  $2\nu\beta\beta$  rates are strongly quenched, by consistent factors.
- ▶ Forbidden ( $2^-$ ) decay among low-lying states appears to exhibit similar quenching.
- ▶ Quenching due to correlations shows weak momentum dependence in low-order perturbation theory.

# Arguments Suggesting Weak Quenching of $0\nu$

- ▶ Many-body currents seem to suppress  $2\nu$  more than  $0\nu$ .
- ▶ Enlarging shell model space to include some effects of high- $j$  spin-orbit partners reduces  $2\nu$  more than  $0\nu$ .
- ▶ Neutron-proton pairing, related to spin-orbit partners and investigated pretty carefully, suppresses  $2\nu$  more than  $0\nu$ .



Large  $r$  contributes more to  $2\nu$ .

# We Hope to Resolve the Issue Soon

Problem must be due to some combination of:

1. Truncation of model space.

Should be fixable in ab-initio shell model, which compensates effects of truncation via effective operators.

2. Many-body weak currents.

Size still not clear, particularly for  $O_{\nu\beta\beta}$  decay, where current is needed at finite momentum transfer  $q$ .

Leading terms in chiral EFT for finite  $q$  only recently worked out. Careful fits and use in decay computations will happen in next year or two.

# Finally...

- ▶ Also coming... Talks on neutrino oscillations by S. Bilenky and double charge exchange by N. Auerbach.
- ▶ Topical collaboration will speed progress in next few years.  
Or else ...I don't want to think about it.

That's all.

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