

# Implications of $0\nu\beta\beta$ on Mechanisms of Baryogenesis

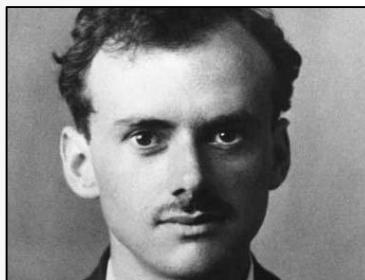
based on Phys.Rev.Lett.112 (2014) 221601, Phys.Rev.D92 (2015) 3, 036005, WIP  
with L. Graf, J. Harz, W.-C. Huang, M. Hirsch, H. Päs

Frank Deppisch  
[f.deppisch@ucl.ac.uk](mailto:f.deppisch@ucl.ac.uk)

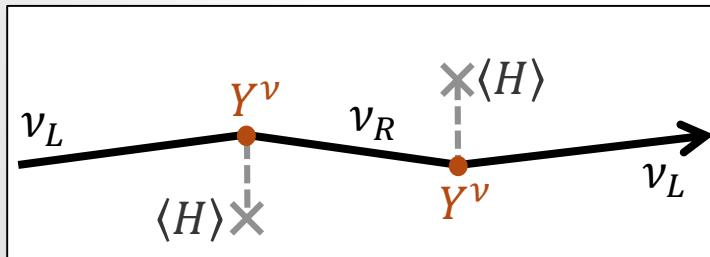
University College London

# Dirac vs Majorana

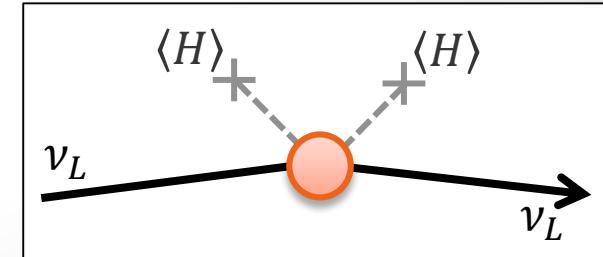
- ▶ Origin of neutrino masses beyond the Standard Model
- ▶ Two possibilities to define neutrino mass



Dirac mass analogous to other fermions but with  $m_\nu / \Lambda_{EW} \approx 10^{-12}$  couplings to Higgs



Majorana mass, using only a left-handed neutrino  
 → Lepton Number Violation



# $0\nu\beta\beta$

## ► Half-life

$$T_{1/2}^{-1} = |m_{\beta\beta}|^2 G^{0\nu} |M^{0\nu}|^2$$

## ► Particle Physics

$$\mathcal{A}_{\mu\nu}^{lep} = \frac{1}{4} \sum_{i=1}^3 U_{ei}^2 \gamma_\mu (1 + \gamma_5) \frac{\cancel{q} + m_{\nu_i}}{q^2 - m_{\nu_i}^2} \gamma_\nu (1 - \gamma_5) \approx \frac{\gamma_\mu (1 + \gamma_5) \gamma_\nu}{4q^2} \sum_{i=1}^3 U_{ei}^2 m_{\nu_i} \rightarrow m_{\beta\beta}$$

## ► Atomic Physics

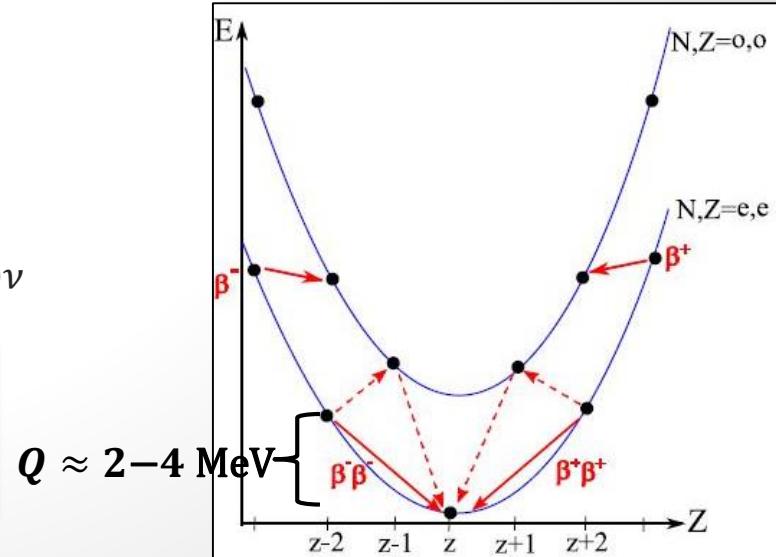
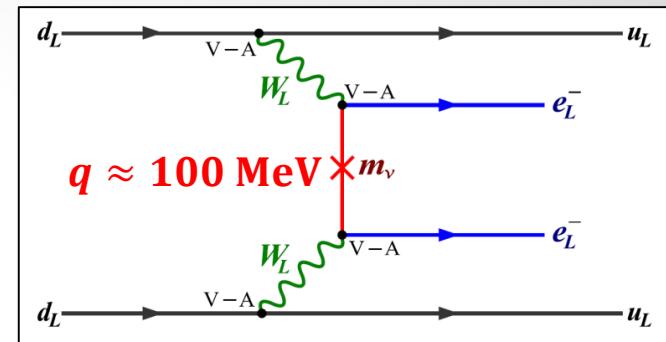
- Leptonic phase space  $G^{0\nu}$

## ► Nuclear Physics

- Nuclear transition matrix element  $M^{0\nu}$

$$T_{1/2}^{-1} \propto \frac{|m_{\beta\beta}|^2}{q^4} G_F^4 Q^5$$

$$\frac{10^{25} \text{yr}}{T_{1/2}} \approx \left( \frac{|m_{\beta\beta}|}{eV} \right)^2$$



# Three Active Neutrinos

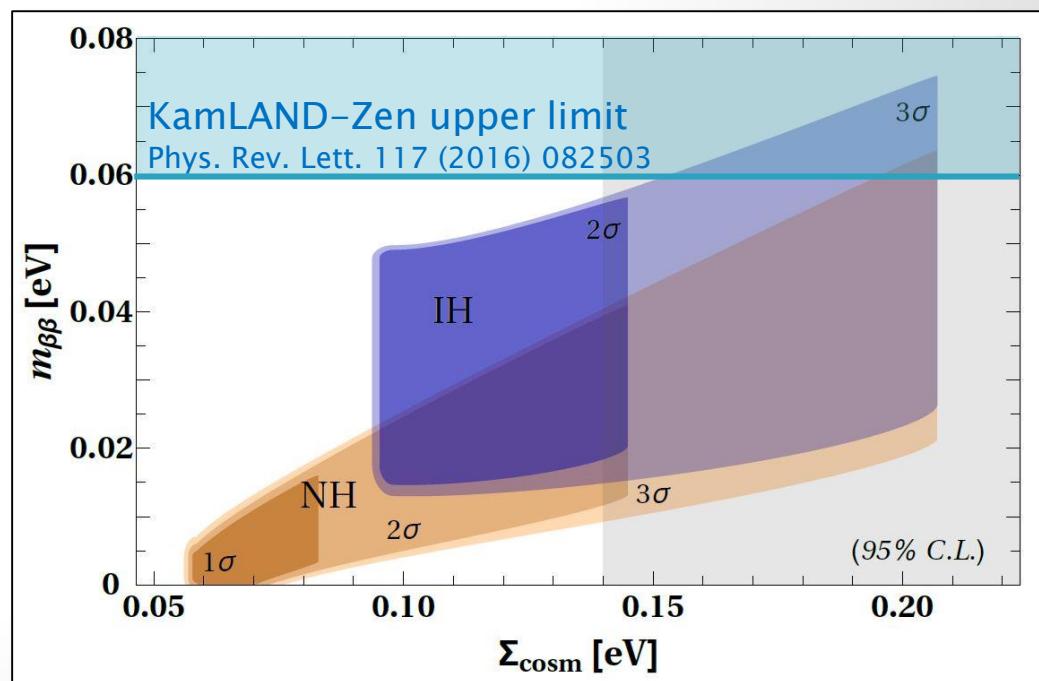
- ▶ Effective  $0\nu\beta\beta$  Mass

$$m_{\beta\beta} = c_{12}^2 c_{13}^2 m_{\nu_1} + s_{12}^2 c_{13}^2 m_{\nu_2} e^{i\phi_{12}} + s_{13}^2 m_{\nu_3} e^{i(\phi_{13}-2\delta)}$$

- ▶ Degenerate Regime

$$|m_{\beta\beta}| = m_\nu \sqrt{1 - \sin^2(2\theta_{12}) \sin^2\left(\frac{\phi_{12}}{2}\right)}$$

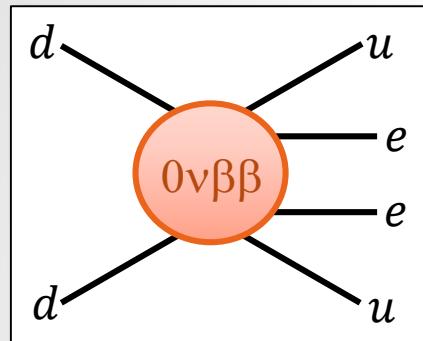
- ▶ Uncertainty from unknown Majorana phases
- ▶ Accidental cancellation for NH possible



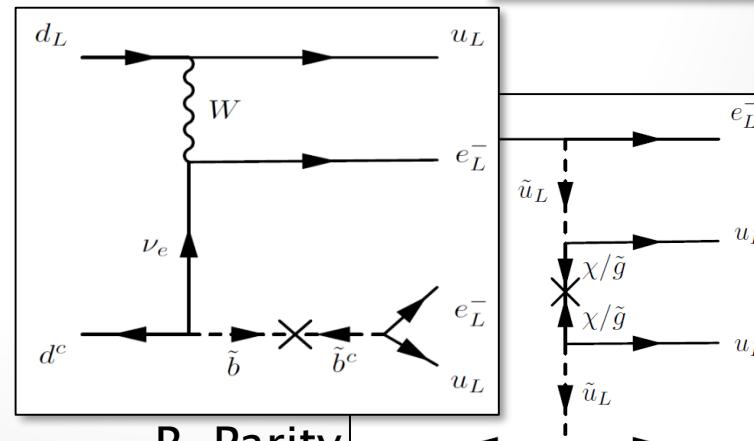
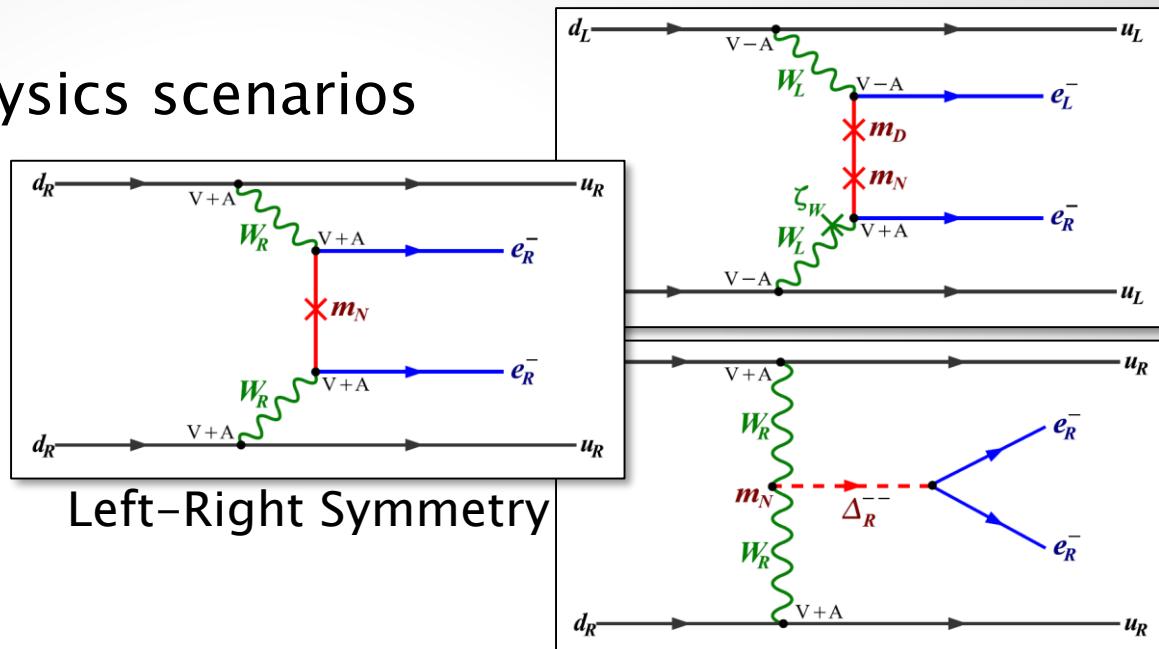
Dell'Oro, Marcocci, Viel, Vissani,  
 Adv.High Energy Phys. (2016) 2162659

# New Physics and $0\nu\beta\beta$

## ► Plethora of New Physics scenarios



$$T_{1/2}^{-1} = \epsilon_{NP}^2 G_{NP}^{0\nu} |M_{NP}^{0\nu}|^2$$



R-Parity  
Violating SUSY

Extra Dimensions

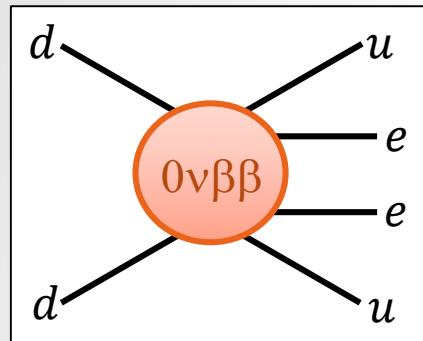
Majorons

Leptoquarks

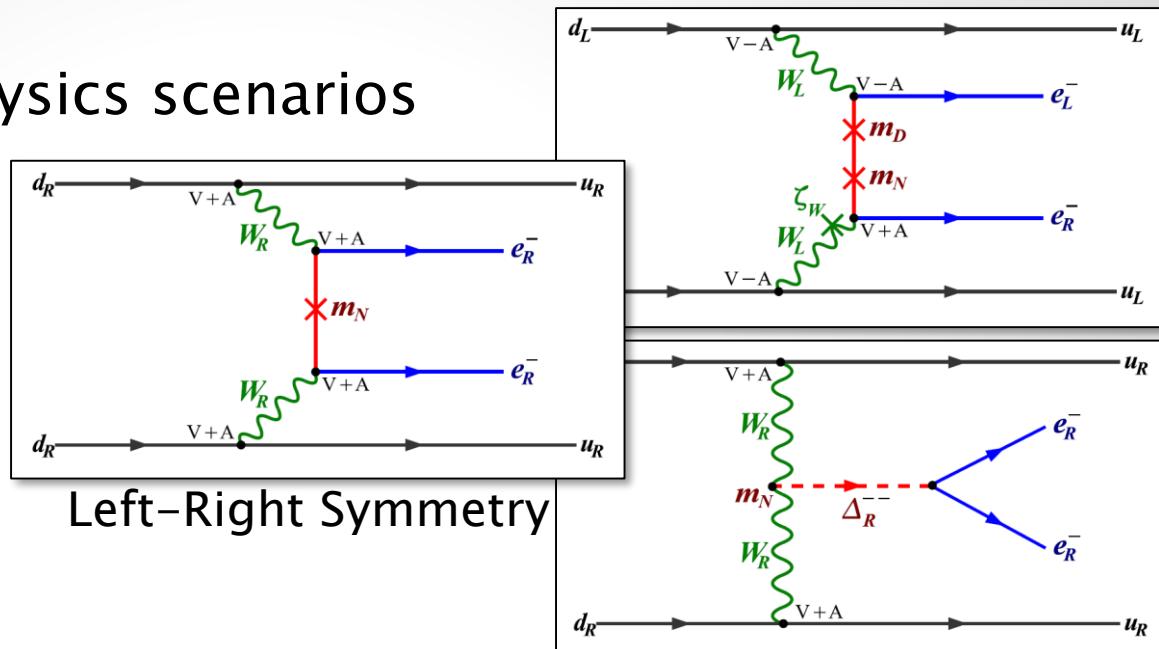
...

# New Physics and $0\nu\beta\beta$

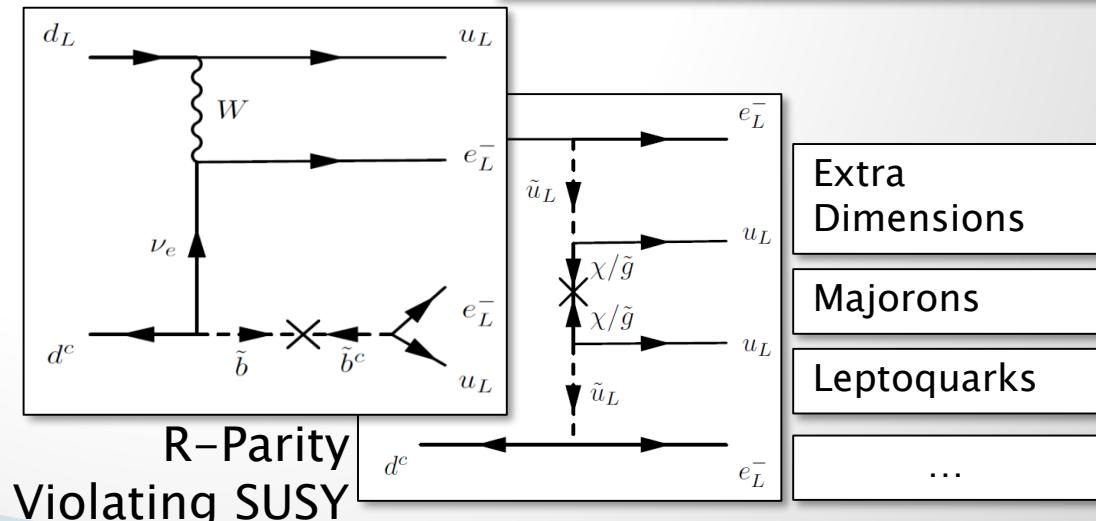
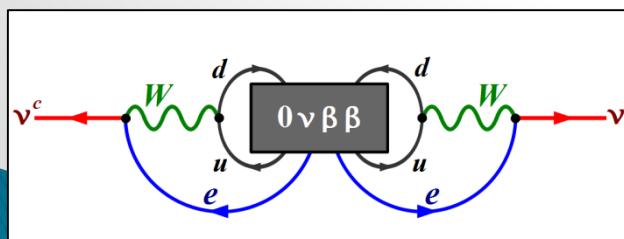
## ► Plethora of New Physics scenarios



$$T_{1/2}^{-1} = \epsilon_{NP}^2 G_{NP}^{0\nu} |M_{NP}^{0\nu}|^2$$

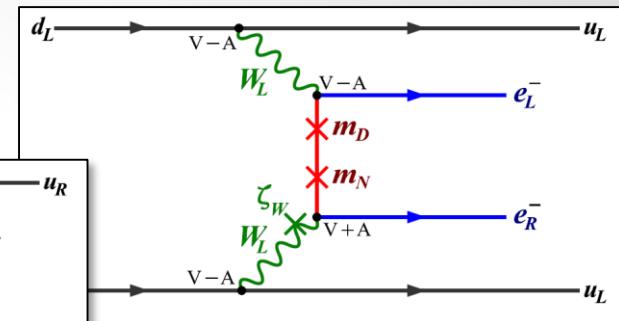
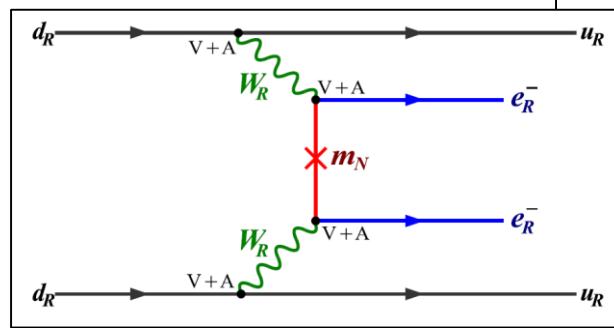
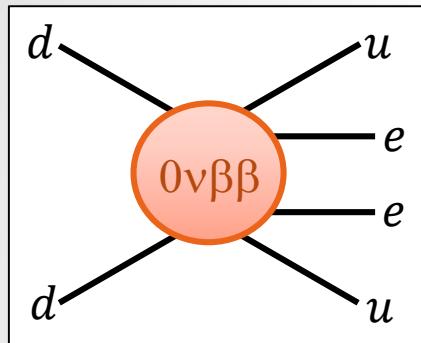


## ► Neutrinos still Majorana



# New Physics and $0\nu\beta\beta$

## ► Examples in Left-Right Symmetry



$$\epsilon_{V-A}^{V+A} = \sum_{i=1}^3 U_{ei} W_{ei} \tan \zeta_W$$

$$\approx \frac{10^{-9}}{(\Lambda/10 \text{ TeV})^3}$$

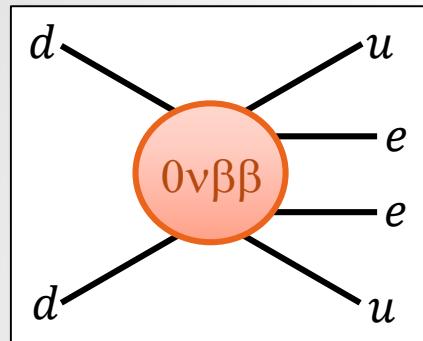
$$\epsilon_3^{RRZ} = \sum_{i=1}^3 V_{ei}^2 \frac{m_p}{m_N} \frac{m_W^4}{m_{W_R}^4}$$

$$\approx \frac{10^{-8}}{(\Lambda/1 \text{ TeV})^5}$$

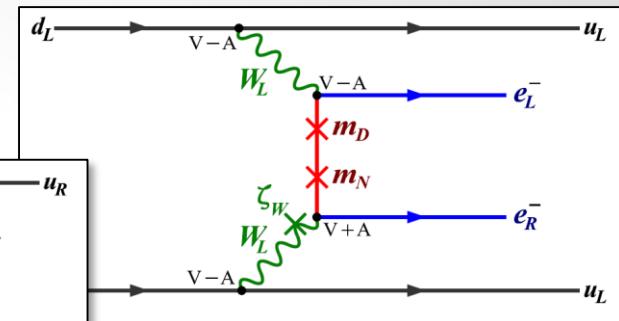
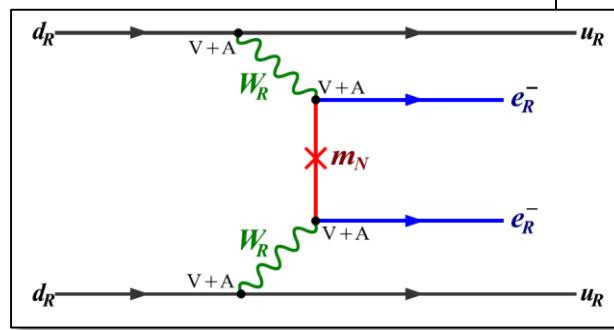
►  $0\nu\beta\beta$  probes the TeV scale

# New Physics and $0\nu\beta\beta$

## ► Examples in Left-Right Symmetry



$$=$$



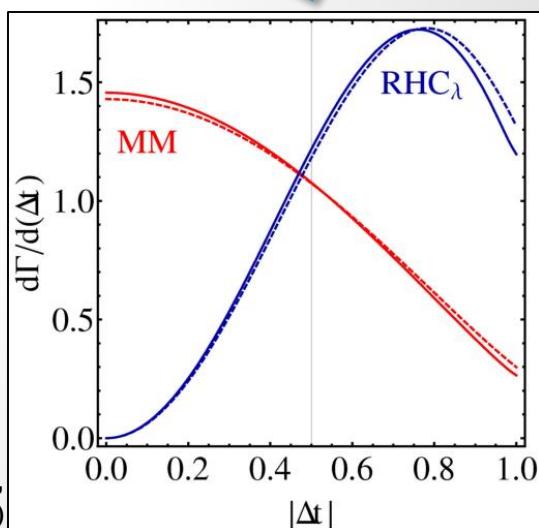
$$T_{1/2}^{-1} = \epsilon_{NP}^2 G_{NP}^{0\nu} |M_{NP}^{0\nu}|^2$$

$$\begin{aligned} \epsilon_3^{RRZ} &= \sum_{i=1}^3 V_{ei}^2 \frac{m_p}{m_N} \frac{m_W^4}{m_{W_R}^4} \\ &\approx \frac{10^{-8}}{(\Lambda/1 \text{ TeV})^5} \end{aligned}$$

►  $0\nu\beta\beta$  probes the TeV scale

Modified angular and energy distribution of emitted electrons  
 (Doi et al. '83; Ali et al. '06)

FFD, SuperNEMO,  
 Eur.Phys.J. C70 (2010) 927



# Disentangling New Physics Contributions

## Angular and energy distribution of emitted electrons

(Doi et al. '83; Ali et al. '06; Arnold et al. '10; FFD, Jackson, Nasteva, Söldner-Rembold '10)

$$\frac{d\Gamma}{dE_{e_1} dE_{e_2} d\cos\theta} = \frac{\Gamma}{2} (1 - k(E_{e_1}, E_{e_2}) \cos\theta), \quad -1 < k < 1$$

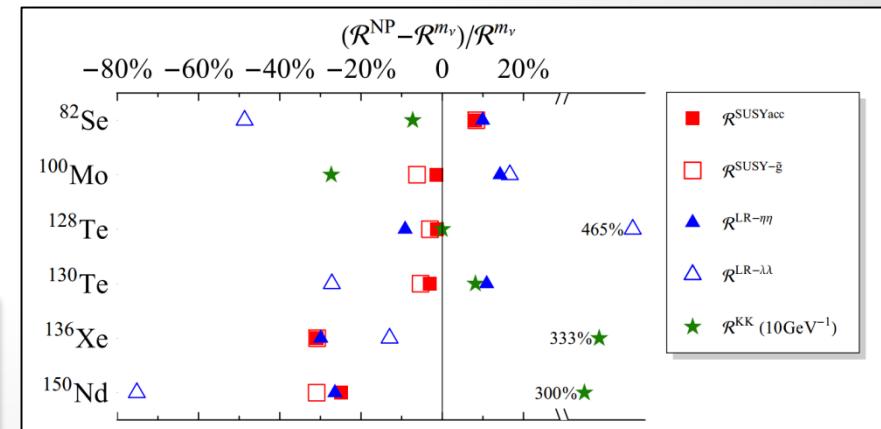
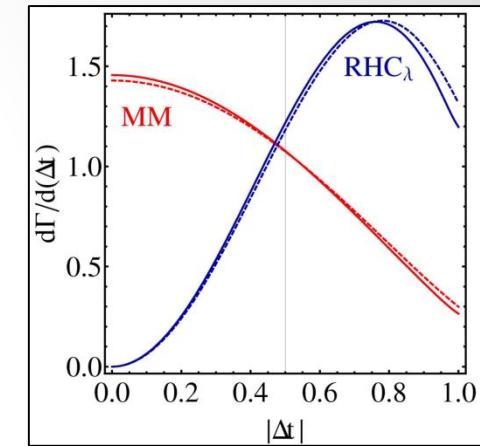
- Linear in  $\cos\theta$
- $k(E_{e_1}, E_{e_2})$  depends on  $0\nu\beta\beta$  mechanism

## Comparison of $0\nu\beta\beta$ in multiple isotopes

(FFD, Päs PRL 2007, Meroni et al. 2013)

- Depends on  $0\nu\beta\beta$  mechanism
- Independent of details of new physics  
(if one mechanism dominates)

$$\frac{T_{1/2}(X)}{T_{1/2}(Y)} = \frac{G(Y)|M(Y)|^2}{G(X)|M(X)|^2}$$



# Baryon Asymmetry

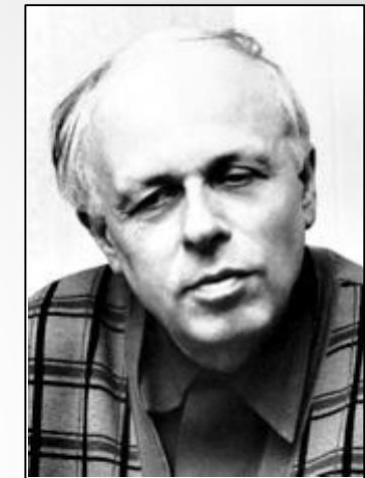
- ▶ The Universe is not matter–antimatter symmetric
  - CMB Anisotropy
  - Primordial Nucleosynthesis
  - No matter–antimatter annihilation
- ▶ Observed asymmetry

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.20 \pm 0.15) \times 10^{-10}$$

- Very small... Universe may have begun symmetric
- Still too large... to be compatible with the Standard Model

# Baryon Asymmetry

- ▶ Dynamic generation of baryon asymmetry requires (Sakharov '66)
  - Baryon number violation
  - C and CP Violation
  - Out-of-equilibrium dynamics
- ▶ Standard Model
  - Baryon number violated at quantum level (Sphalerons)
  - C and CP violated but effect too small



$$\frac{\text{Im} \det(m_u m_u^+ m_d m_d^+)}{v^{12}} = J \frac{m_t^4 m_c^2 m_b^4 m_s^2}{v^{12}} \approx 10^{-19}$$

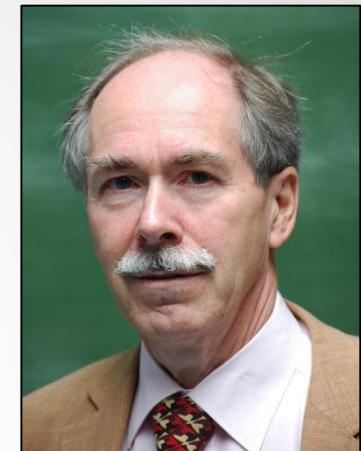
- Electroweak phase transition out-of-equilibrium if first order but requires

$$m_h < 60 - 80 \text{ GeV}$$

# Sphalerons

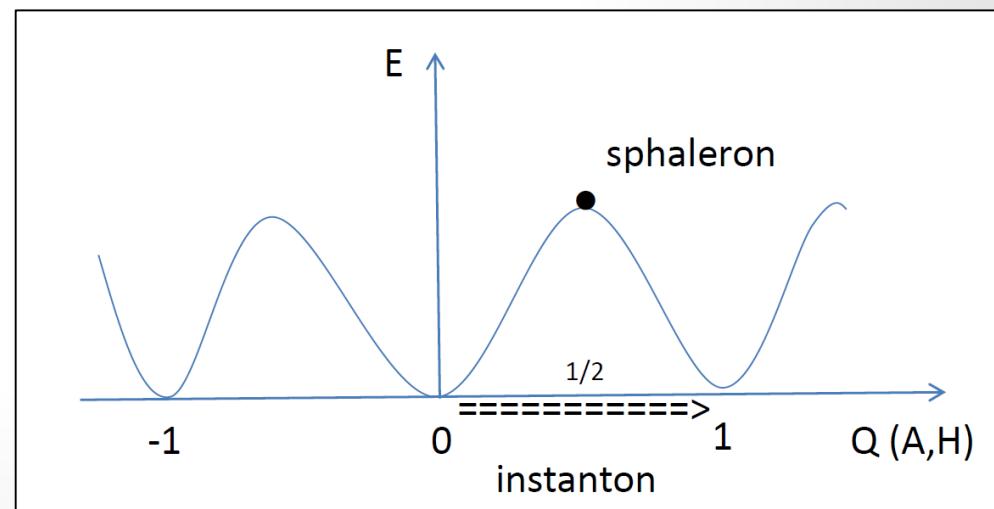
- ▶ Baryon and Lepton numbers accidental, classical symmetries in the Standard Model
- ▶ Violated at the quantum level (t' Hooft '76)

$$\partial_\mu J_B^\mu = \partial_\mu J_L^\mu = \frac{g^2}{32\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$$



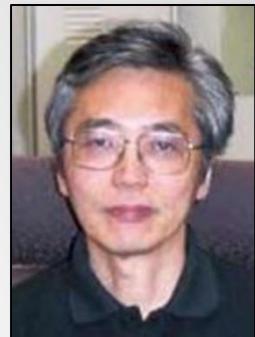
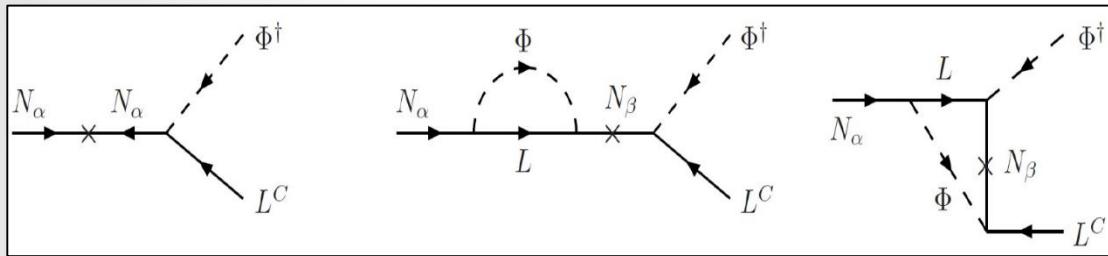
- $B + L$  violated
- $B - L$  remains conserved
- ▶ Sphaleron transitions in equilibrium  $\frac{\Gamma_{Sph}}{H} > 1$  for

$$\Lambda_{EW} \approx 10^2 \text{ GeV} < T < 10^{12} \text{ GeV}$$



# Leptogenesis

- Decays of heavy Majorana neutrinos violating L and CP (Fukugita, Yanagida '86)



- CP asymmetry

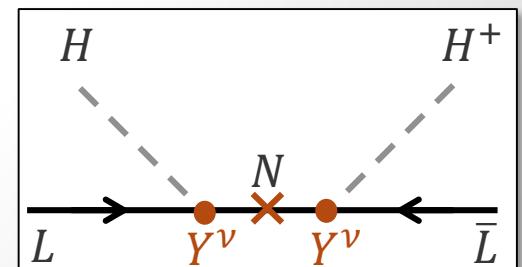
$$\epsilon_1 = \frac{\Gamma(N_1 \rightarrow LH^+) - \Gamma(N_1 \rightarrow \bar{L}H)}{\Gamma(N_1 \rightarrow LH^+) + \Gamma(N_1 \rightarrow \bar{L}H)} \approx \frac{3}{8\pi} \frac{\text{Im}[(Y_\nu Y_\nu^+)_1^2] M_1}{(Y_\nu Y_\nu^+)_1^1} \frac{M_1}{M_k} \approx 10^{-6} \frac{M_1}{10^{10} \text{ GeV}} \frac{m_3}{0.05 \text{ eV}}$$

- Competition with washout processes eradicating L asymmetry

$$m_\nu < O(0.1) \text{ eV}$$

- Conversion to baryon asymmetry via sphaleron processes

$$\eta_B \approx \eta_L$$



# Baryon Asymmetry Generation and Washout

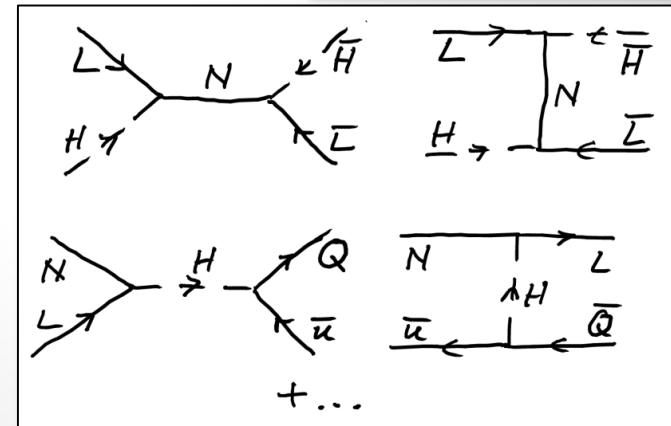
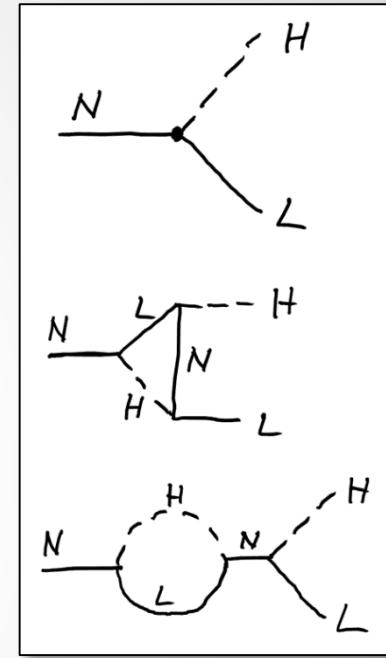
## ► Classic Example: High-Scale Leptogenesis

- Generation via heavy neutrino decays
- Competition with LNV washout processes
- Conversion to baryon asymmetry
  - EW sphaleron processes at  $T \approx 100$  GeV
  - Observed asymmetry

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.20 \pm 0.15) \times 10^{-10}$$

## ► Other possible scenarios

- For us only important:  
 $(B - L)$  asymmetry generated above LHC scale



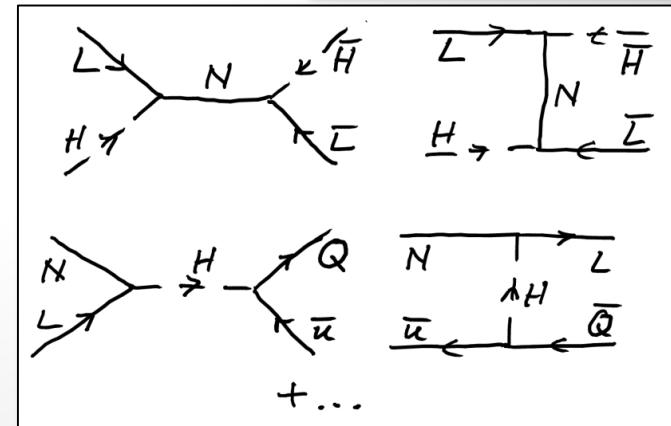
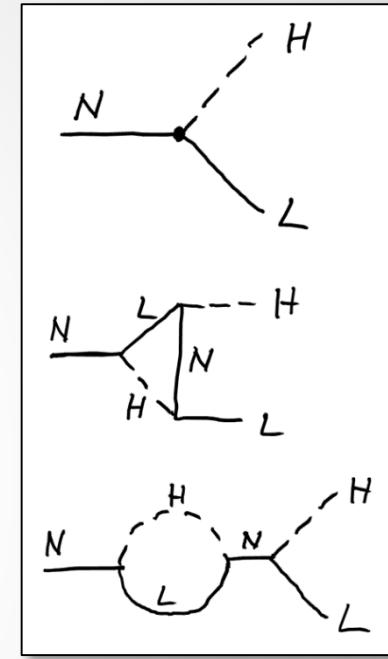
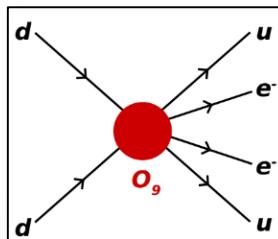
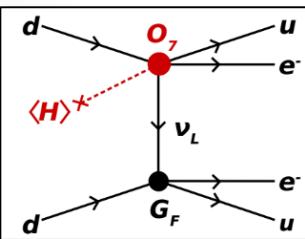
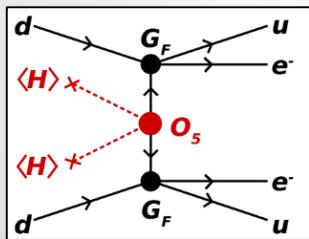
# Baryon Asymmetry Generation and Washout

## ► Classic Example: High-Scale Leptogenesis

- Generation via heavy neutrino decays
- Competition with LNV washout processes
- Conversion to baryon asymmetry
  - EW sphaleron processes at  $T \approx 100$  GeV
  - Observed asymmetry

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.20 \pm 0.15) \times 10^{-10}$$

## ► What if we observe lepton number violating processes in $0\nu\beta\beta$ ?



# Washout via $0\nu\beta\beta$ operators

- ▶ Analogous analysis using LNV effective operators of mass dimensions 5, 7, 9, 11
  - 129 Operators (Babu, Leung '01, de Gouvea, Jenkins '08)
  - Examples

$$\mathcal{O}_5 = (L^i L^j) H^k H^l \epsilon_{ik} \epsilon_{jl},$$

$$\mathcal{O}_7 = (L^i d^c) (\bar{e}^c \bar{u}^c) H^j \epsilon_{ij},$$

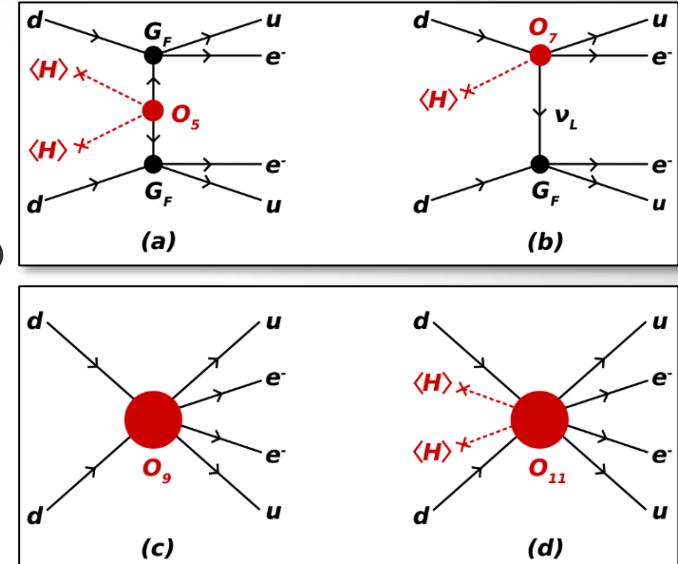
$$\mathcal{O}_9 = (L^i L^j) (\bar{Q}_i \bar{u}^c) (\bar{Q}_j \bar{u}^c),$$

$$\mathcal{O}_{11} = (L^i L^j) (Q_k d^c) (Q_l d^c) H_m \bar{H}_i \epsilon_{jk} \epsilon_{lm},$$

- Matching to  $0\nu\beta\beta$  operators

$$m_e \epsilon_5 = \frac{g^2 v^2}{\Lambda_5}, \quad \frac{G_F \epsilon_7}{\sqrt{2}} = \frac{g^3 v}{2 \Lambda_7^3}, \quad \frac{G_F^2 \epsilon_{\{9,11\}}}{2 m_p} = \left\{ \frac{g^4}{\Lambda_9^5}, \frac{g^6 v^2}{\Lambda_{11}^7} \right\}.$$

$$T_{1/2} = 2.1 \times 10^{25} \text{ y} \cdot \left( \Lambda_D / \Lambda_D^0 \right)^{2d-8}$$



$\mathcal{O}_D$	$\lambda_D^0$ [GeV]	$\Lambda_D^0$ [GeV]
$\mathcal{O}_5$	$9.2 \times 10^{10}$	$9.1 \times 10^{13}$
$\mathcal{O}_7$	$1.2 \times 10^2$	$2.6 \times 10^4$
$\mathcal{O}_9$	$4.3 \times 10^1$	$2.1 \times 10^3$
$\mathcal{O}_{11}$	$7.8 \times 10^1$	$1.0 \times 10^3$

# Washout via $0\nu\beta\beta$ operators

- Boltzmann equation including washout of  $D$ -dim effective operator

$$n_\gamma H T \frac{d\eta_L}{dT} = c_D \frac{T^{2D-4}}{\Lambda_D^{2D-8}} \eta_L$$

- $c_{\{5,7,9,11\}} = \left\{ \frac{8}{\pi^5}, \frac{27}{2\pi^7}, \frac{3.2 \times 10^4}{\pi^9}, \frac{3.9 \times 10^5}{\pi^{13}} \right\}$

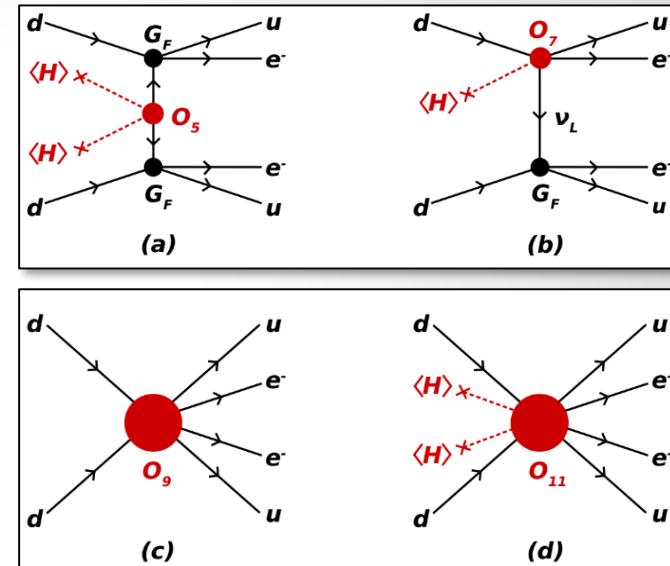
- Effective washout if

$$\frac{\Gamma_W}{H} \equiv \frac{c_D}{n_\gamma H} \frac{T^{2D-4}}{\Lambda_D^{2D-8}} = c'_D \frac{\Lambda_{\text{Pl}}}{\Lambda_D} \left( \frac{T}{\Lambda_D} \right)^{2D-9} \gtrsim 1$$

$$\Lambda_D \left( \frac{\Lambda_D}{c'_D \Lambda_{\text{Pl}}} \right)^{\frac{1}{2D-9}} \equiv \lambda_D \lesssim T \lesssim \Lambda_D$$

- Better: Solve Boltzmann such that initial asymmetry is washed out at the EW scale

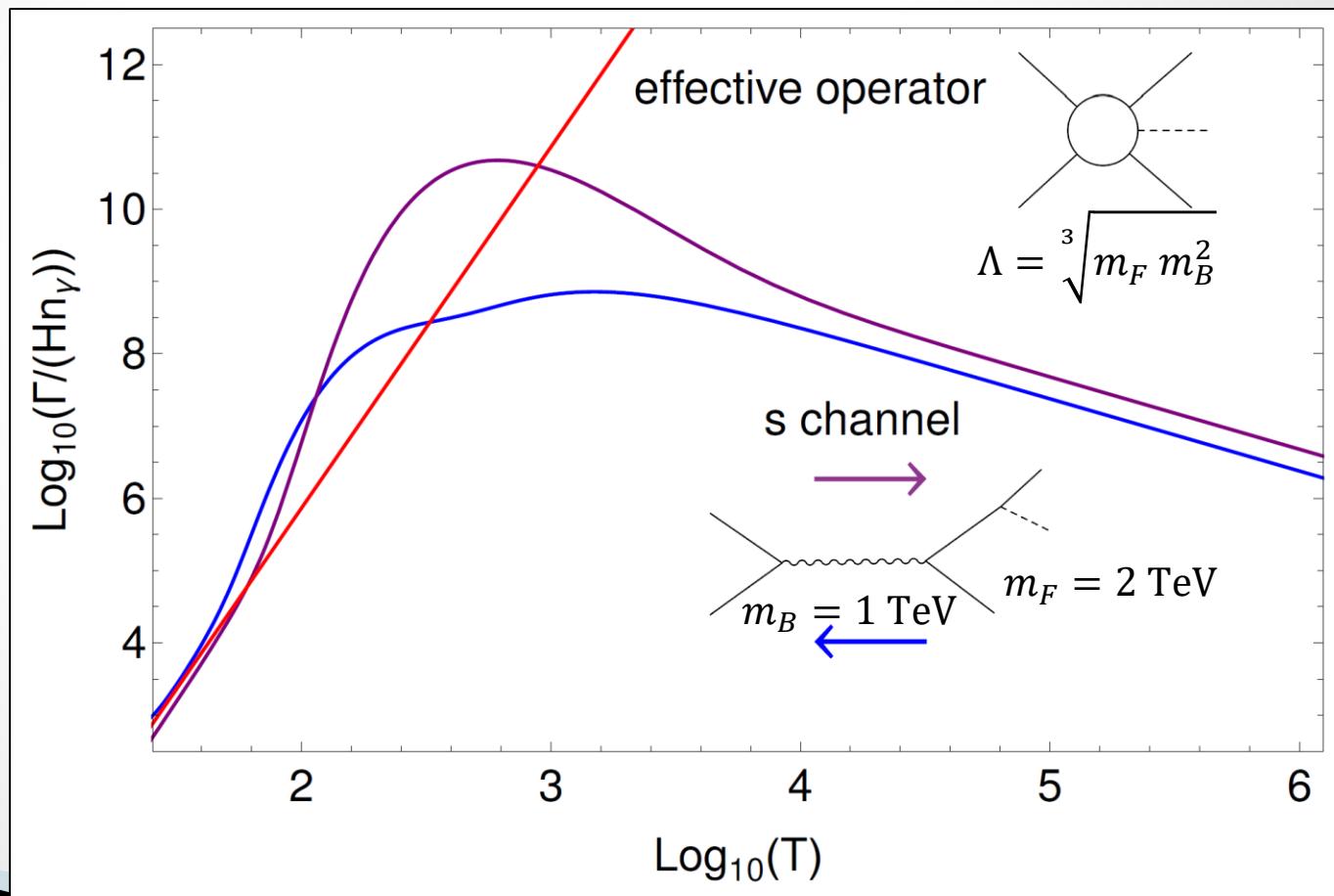
$$\hat{\lambda}_D \approx \left[ (2D-9) \ln \left( \frac{10^{-2}}{\eta_B^{\text{obs}}} \right) \lambda_D^{2D-9} + v^{2D-9} \right]^{\frac{1}{2D-9}},$$



$\mathcal{O}_D$	$\lambda_D^0$ [GeV]	$\Lambda_D^0$ [GeV]
$\mathcal{O}_5$	$9.2 \times 10^{10}$	$9.1 \times 10^{13}$
$\mathcal{O}_7$	$1.2 \times 10^2$	$2.6 \times 10^4$
$\mathcal{O}_9$	$4.3 \times 10^1$	$2.1 \times 10^3$
$\mathcal{O}_{11}$	$7.8 \times 10^1$	$1.0 \times 10^3$

# Washout via $0\nu\beta\beta$ operators

- Even better:  
UV-completed operators for behaviour around  $\Lambda$



# Effect of LFV operators

- Analogous analysis for eff. 6-dim LFV operators

$$\mathcal{O}_6(l\bar{l}\gamma H) = \bar{L}_i \sigma^{\mu\nu} e_j^c H^+ F_{\mu\nu}$$

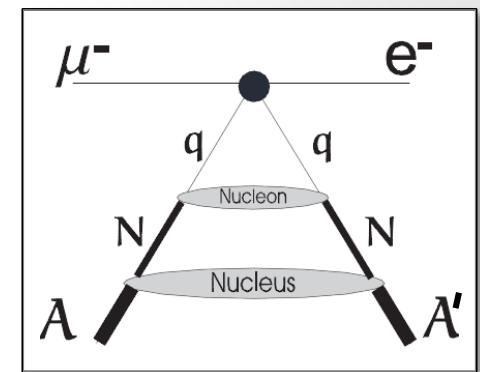
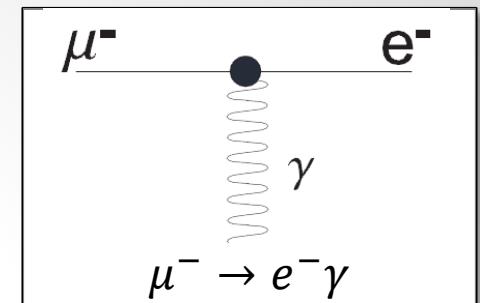
$$\mathcal{O}_6(l\bar{l}l\bar{l}) = (\bar{L}_i \gamma^\mu L_j)(\bar{L}_k \gamma^\mu L_l)$$

$$\mathcal{O}_6(l\bar{l}q\bar{q}) = (\bar{L}_i \gamma^\mu L_j)(\bar{Q}_k \gamma^\mu Q_l)$$

- Do not washout total lepton number asymmetry but equilibrate lepton flavours
- Matching to LFV process rate

$$C_{\ell\ell\gamma} = \frac{eg^3}{16\pi^2 \Lambda_{\ell\ell\gamma}^2}, \quad C_{\ell\ell q\bar{q}} = \frac{g^2}{\Lambda_{\ell\ell q\bar{q}}^2}$$

$$\text{Br}_{\mu \rightarrow e\gamma} = 5.7 \times 10^{-13} \cdot \left( \Lambda_{\mu e\gamma}^0 / \Lambda_{\mu e\gamma} \right)^4$$

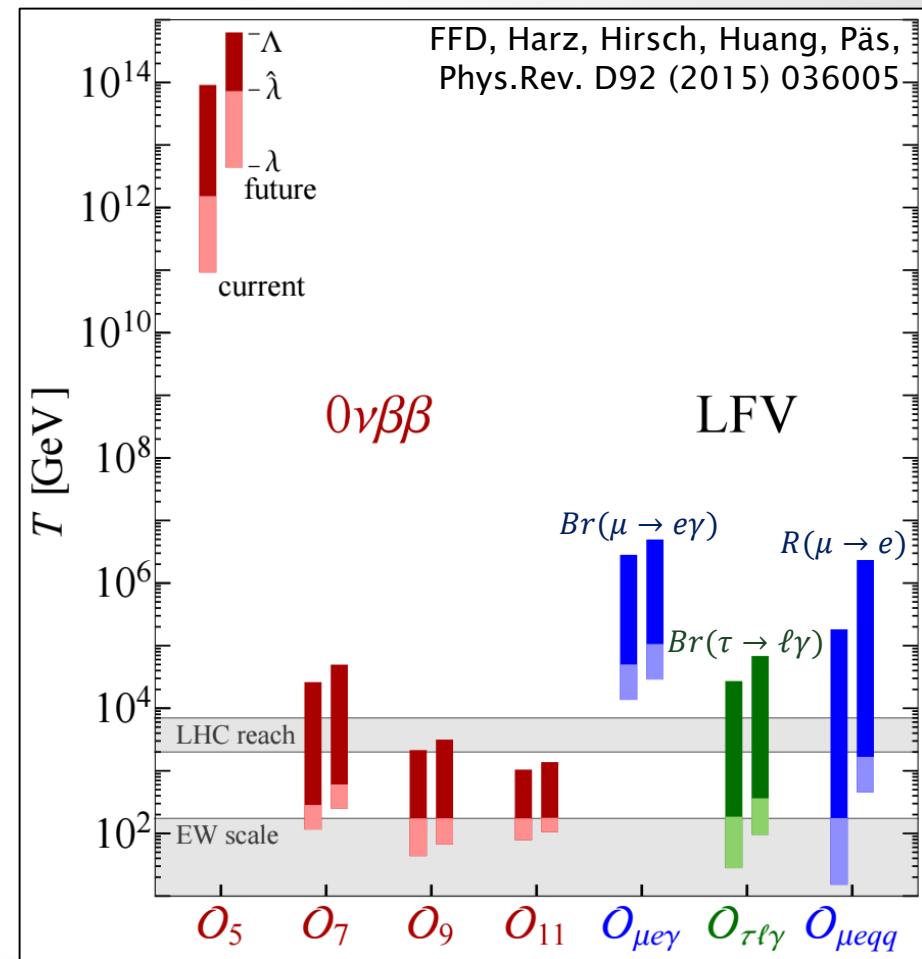


$\mu^- \rightarrow e^-$  conversion in nuclei

$\mathcal{O}_i$	$\lambda_i^0$ [GeV]	$\Lambda_i^0$ [GeV]
$\mathcal{O}_{\mu e\gamma}$	$1.4 \times 10^4$	$2.8 \times 10^6$
$\mathcal{O}_{\tau\ell\gamma}$	$2.8 \times 10^1$	$2.7 \times 10^4$
$\mathcal{O}_{\mu eqq}$	$1.5 \times 10^1$	$1.8 \times 10^5$

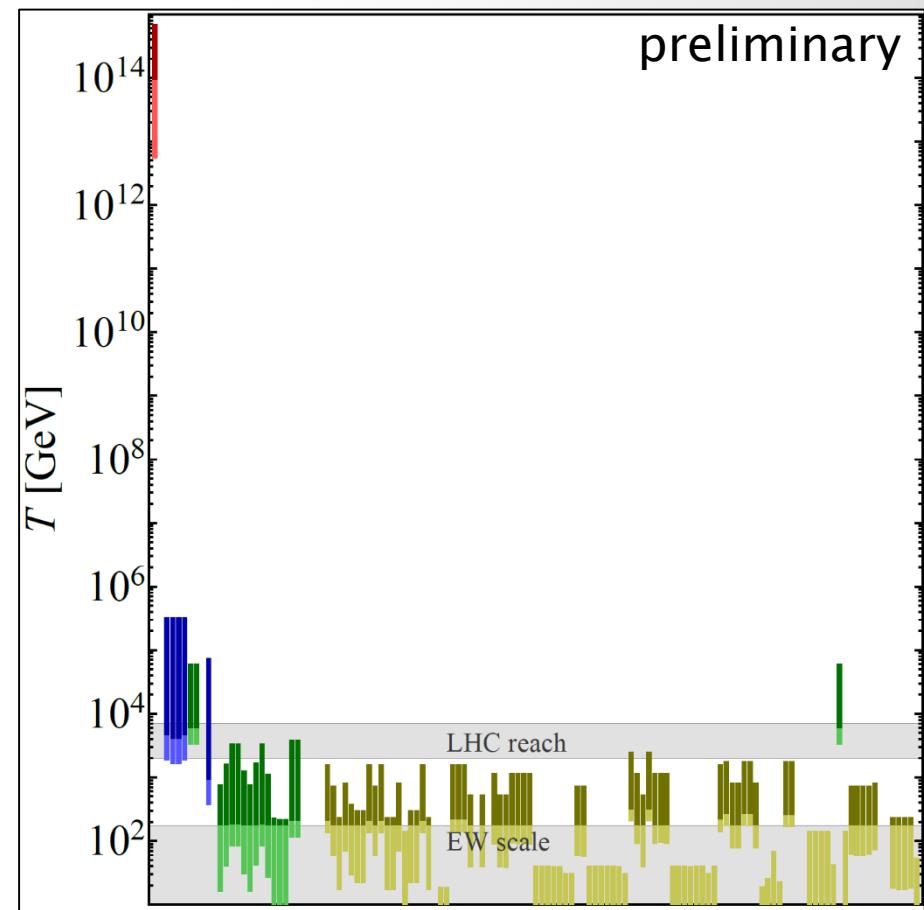
# Baryon Asymmetry Lepton Asymmetry Washout

- ▶ Temperature ranges of strong equilibration
  - Assumes observation of corresponding process!
- ▶ Observation of LN(F)V
  - gives information at what temperatures operators are in equilibrium
  - **can falsify high-scale baryogenesis scenarios**



# Baryon Asymmetry Lepton Asymmetry Washout

- ▶ Temperature ranges of strong equilibration
  - Assumes observation of corresponding process!
- ▶ Observation of  $\text{LN}(F)V$ 
  - gives information at what temperatures operators are in equilibrium
  - **can falsify high-scale baryogenesis scenarios**



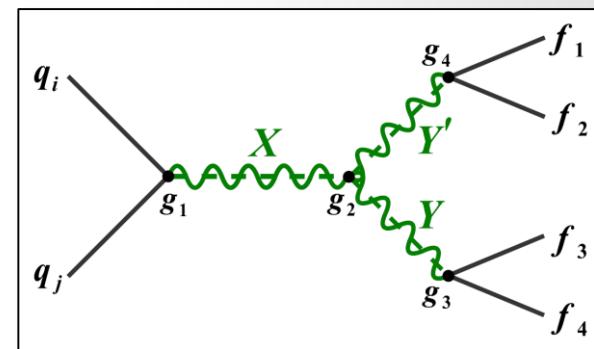
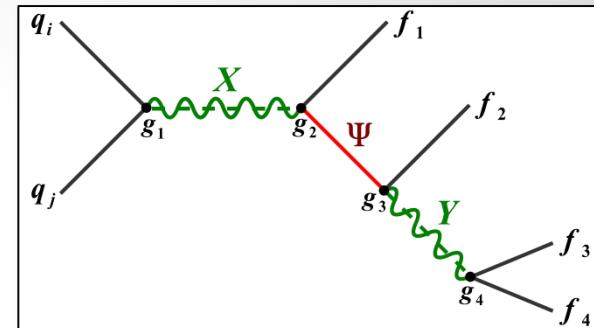
- ▶ Compare LHC cross section with lepton number asymmetry washout

$$\frac{\Gamma_W}{H} > 3 \times 10^{-3} \frac{M_P M_X^3}{T^4} \frac{K_1(M_X/T)}{f_{q_1 q_2}(M_X/\sqrt{s})} \times (s \sigma_{\text{LHC}})$$

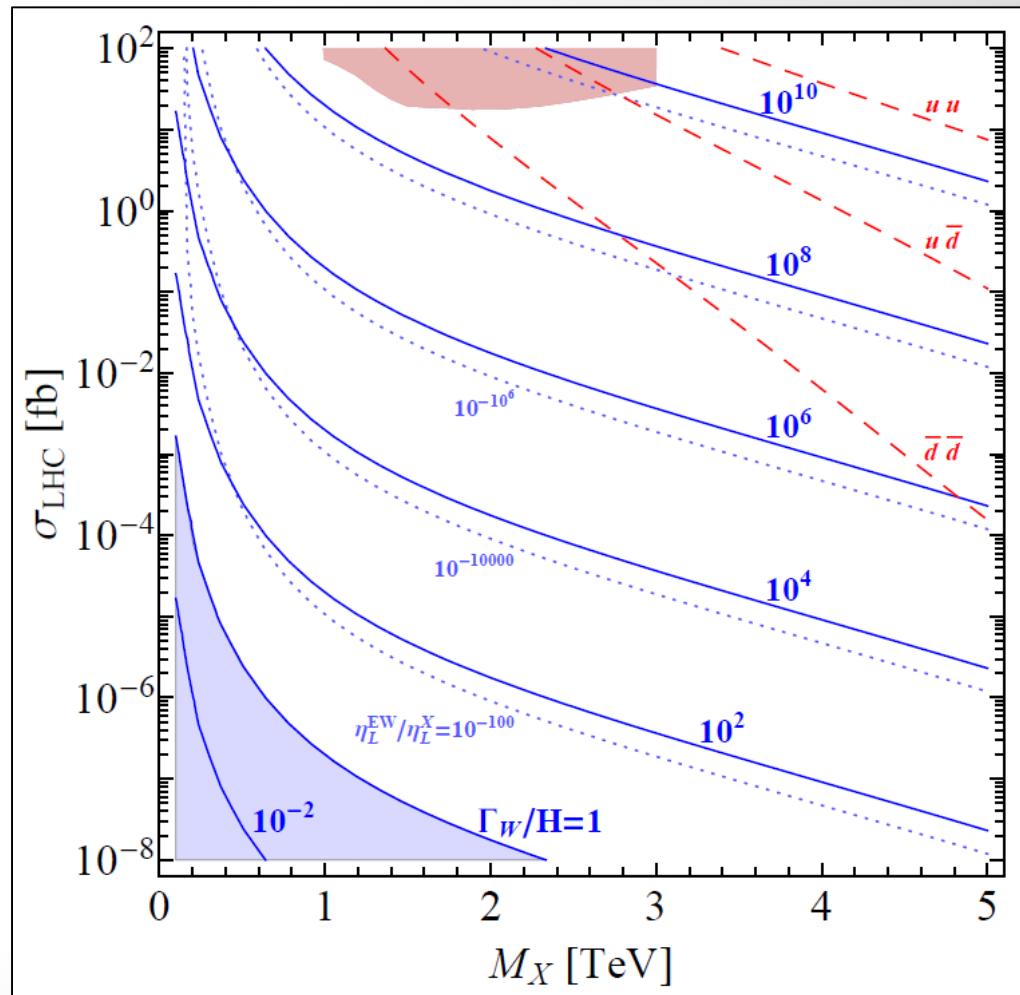
- Lower limit on total washout rate
  - Neglecting other washout processes

$$\log_{10} \frac{\Gamma_W}{H} > 7 + 0.6 \left( \frac{M_X}{\text{TeV}} - 1 \right) + \log_{10} \frac{\sigma_{\text{LHC}}}{\text{fb}}$$

- Observation of LNV @ LHC corresponds to highly effective washout  $\Gamma_W/H \gg 1$ 
  - Excludes Leptogenesis models that generate asymmetry above  $M_X$



- ▶ Compare LHC cross section with lepton number asymmetry washout
  - Lower limit on total washout rate
  - Observation of LNV @ LHC corresponds to highly effective washout  $\Gamma_W/H \gg 1$ 
    - Excludes Leptogenesis models that generate asymmetry above  $M_X$

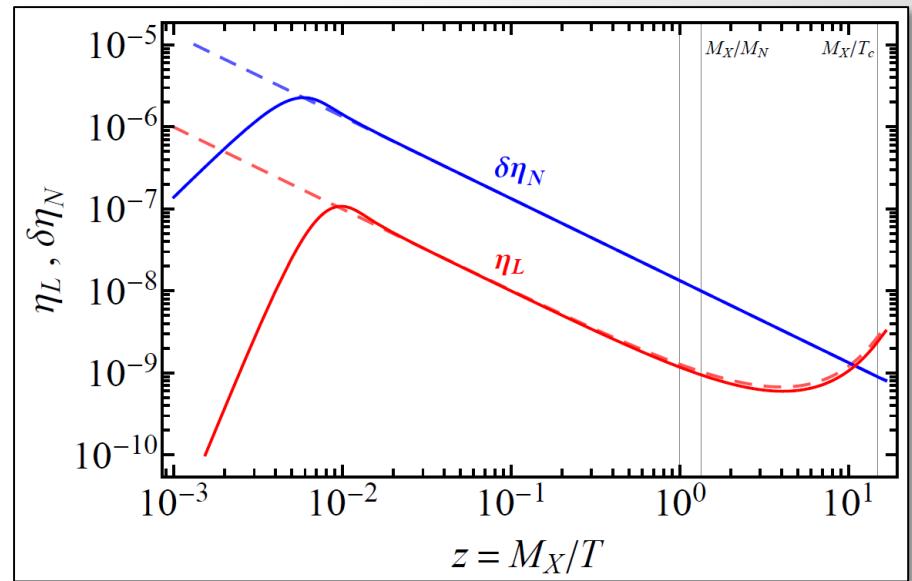


# Caveats

- ▶ Cannot exclude scenarios that generate a lepton number asymmetry below observed scale  $M_X$ 
  - But strong limits still apply
- ▶ Asymmetry can be present in one lepton generation only
  - Unambiguous falsification requires observation of LNV in all flavours (or observation of low energy LFV such as  $\tau \rightarrow e\gamma$ )
- ▶ Sphalerons only affect LH leptons...
 

What if LNV is observed for RH leptons only?

  - Not an issue as all LH and RH charged fermions are in thermal equilibrium  $\approx M_{EW}$
- ▶ Symmetry in new sector coupled via hypercharge induces  $(B - L)$  chemical potential (Antaramian, Hall, Rašin '93)



# Conclusion

- ▶ **LNV a crucial BSM signature**
  - Majorana neutrino mass models
  - Baryogenesis via Leptogenesis
- ▶ **Observations of LNV (and LFV) processes**
  - Tell us the temperature regime where leptons–antileptons (and different flavours) are equilibrated
  - Can falsify high scale baryogenesis scenarios
- ▶ **Bottom-up approach**
  - Experimental data → Constrained model-landscape
- ▶ **Important information for model selection, e.g.**
  - Observation of  $0\nu\beta\beta$
  - Observation of LNV @ LHC

} LNV @ TeV Scale  
} Disfavours high-scale seesaw

# Conclusion

- ▶ **LNV a crucial BSM signature**
  - Majorana neutrino mass models
  - Baryogenesis via Leptogenesis
- ▶ **Observations of LNV (and LFV) processes**
  - Tell us the temperature regime where leptons–antileptons (and different flavours) are equilibrated
  - Can falsify high scale baryogenesis scenarios
- ▶ **Bottom-up approach**
  - Experimental data → Constrained model-landscape
- ▶ **Important information for model selection, e.g.**
  - Observation of  $0\nu\beta\beta$
  - No observation of LNV @ LHC

} Improved confidence in standard  $0\nu\beta\beta$  mechanism