

## Weakly bound and unbound light nuclei from *ab initio* theory

INT Program INT 17-1a

Toward Predictive Theories of Nuclear Reactions Across the Isotopic Chart

March 16, 2017

Petr Navratil | TRIUMF

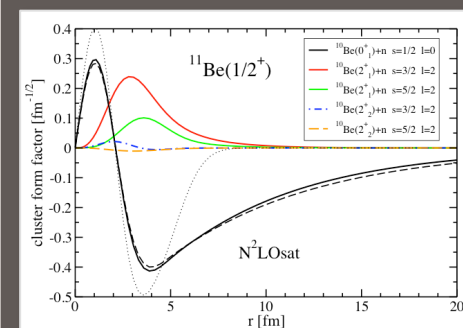
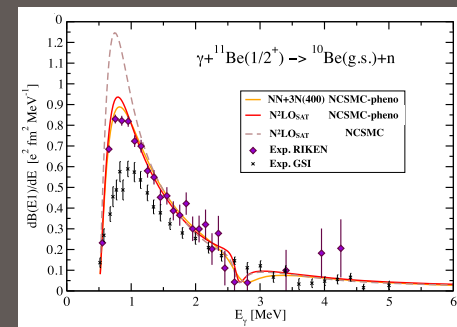
Collaborators:

Sofia Quaglioni, Carolina Romero-Redondo (LLNL)

**Guillaume Hupin (CEA/DAM)**

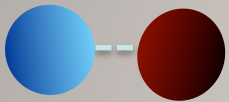
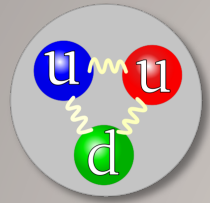
**Jeremy Dohet-Eraly, Angelo Calci, Peter Gysbers (TRIUMF)**

Robert Roth (TU Darmstadt)



- New high precision chiral interactions
- No-Core Shell Model with Continuum (NCSMC) approach
- N-<sup>4</sup>He scattering
- <sup>11</sup>Be parity inversion in low-lying states, photo-dissociation
- <sup>11</sup>N and <sup>10</sup>C(p,p) scattering
- <sup>12</sup>N and <sup>11</sup>C(p,p) scattering and <sup>11</sup>C(p,γ)<sup>12</sup>N

# From QCD to nuclei

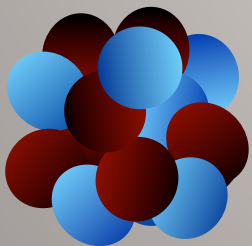


Low-energy QCD



NN+3N interactions  
from chiral EFT

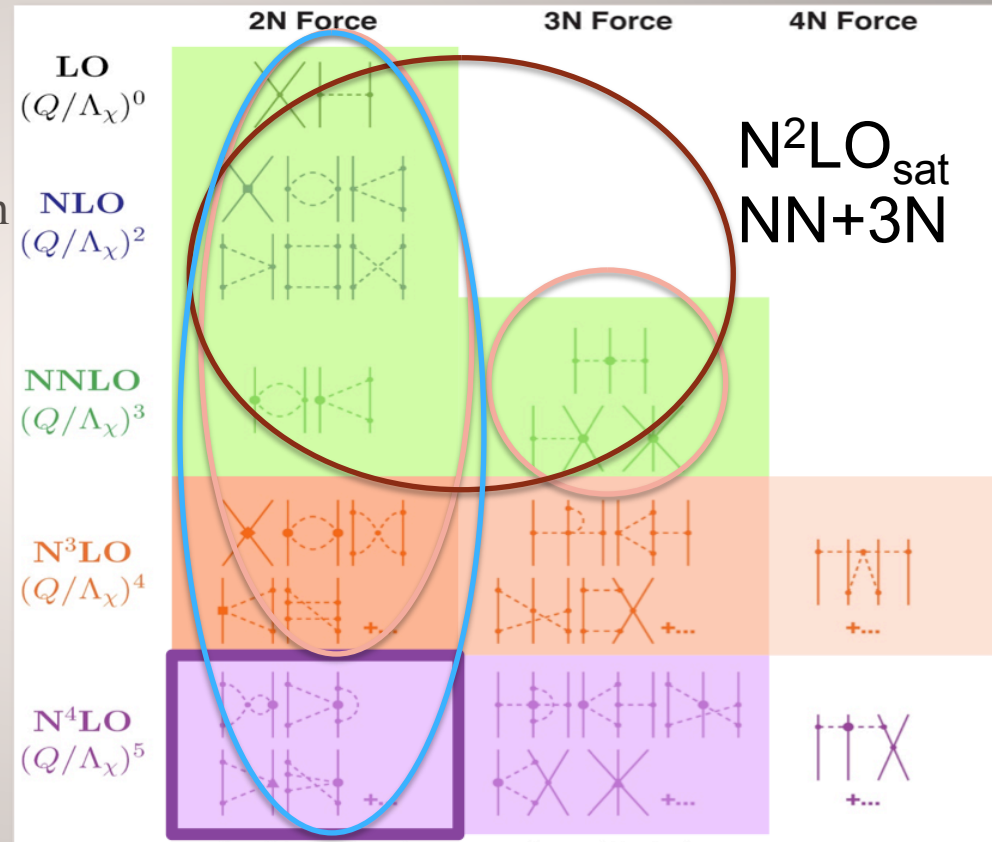
...or accurate  
meson-exchange  
potentials



Nuclear structure and reactions

# Chiral Effective Field Theory

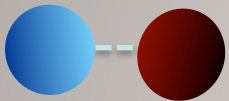
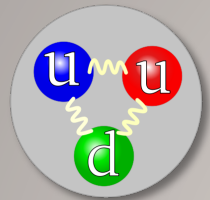
- Inter-nucleon forces from chiral effective field theory
  - Based on the symmetries of QCD
    - Chiral symmetry of QCD ( $m_u \approx m_d \approx 0$ ), spontaneously broken with pion as the Goldstone boson
    - Degrees of freedom: nucleons + pions
  - Systematic low-momentum expansion to a given order ( $Q/\Lambda_\chi$ )
  - Hierarchy
  - Consistency
  - Low energy constants (LEC)
    - Fitted to data
    - Can be calculated by lattice QCD



$\Lambda_\chi \sim 1 \text{ GeV}$  :  
Chiral symmetry breaking scale

N<sup>4</sup>LO500 NN    N<sup>3</sup>LO NN+N<sup>2</sup>LO 3N  
(NN+3N400, NN+3N500)

# From QCD to nuclei

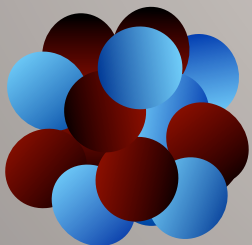


Low-energy QCD

NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials

$$H|\Psi\rangle = E|\Psi\rangle$$



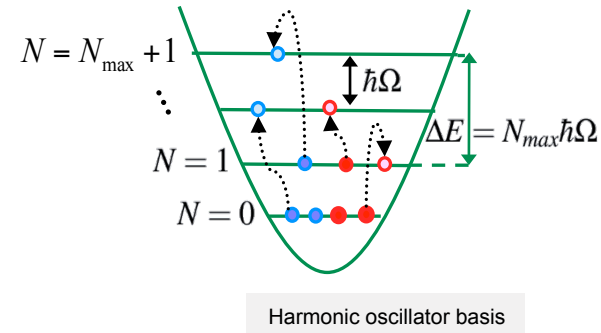
Many-Body methods

NCSM, NCSM/RGM,  
NCSMC, CCM, SCGF,  
GFMC, HH, Nuclear  
Lattice EFT...


Nuclear structure and reactions

# Unified approach to bound & continuum states; to nuclear structure & reactions

- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances

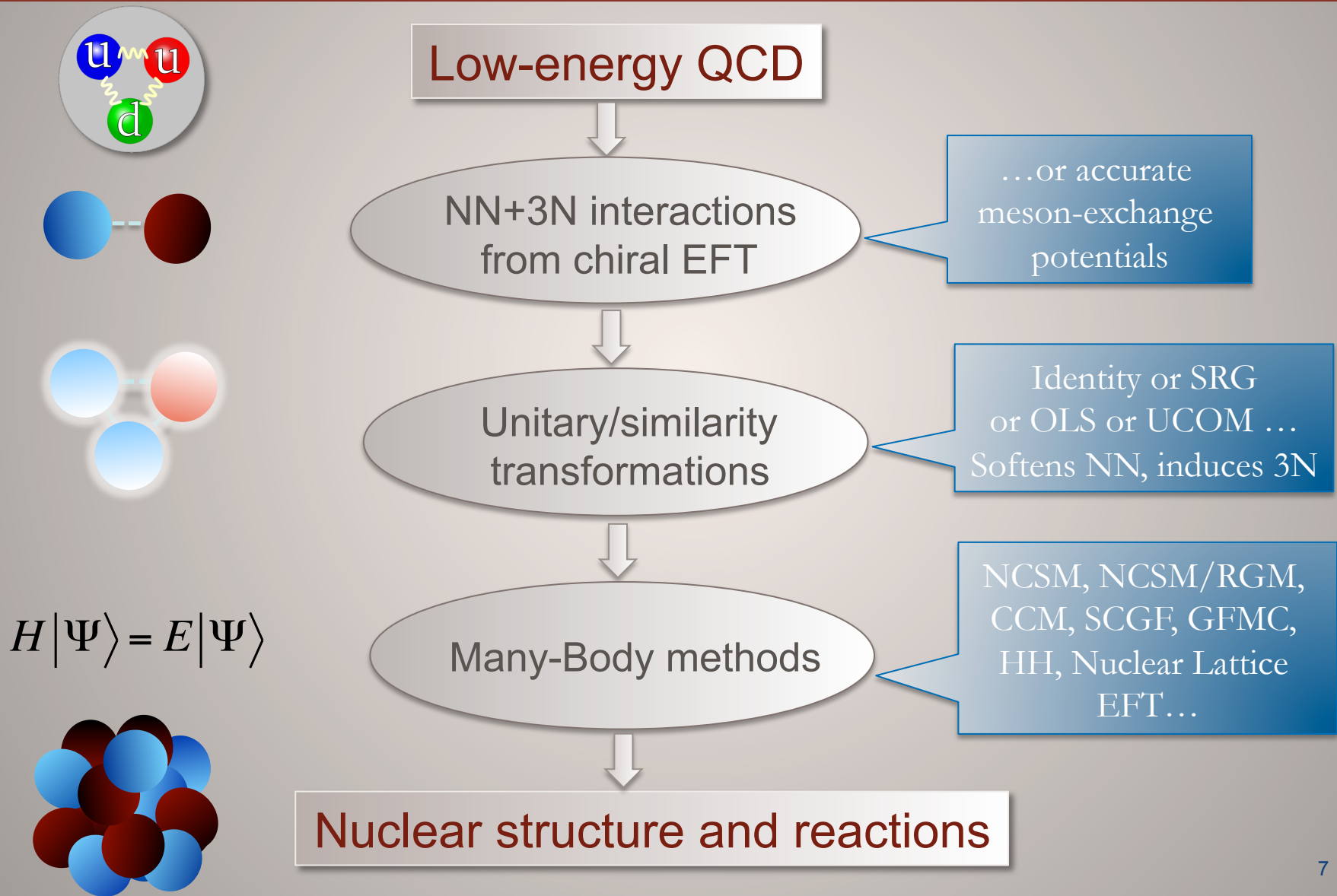


NCSM

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| (A) \text{  , \lambda \right\rangle$$

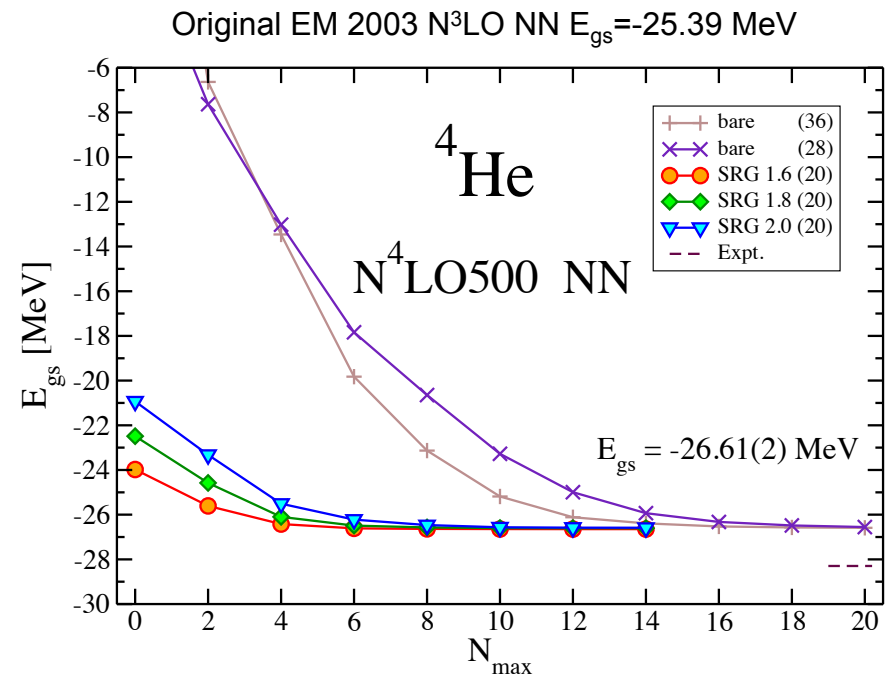
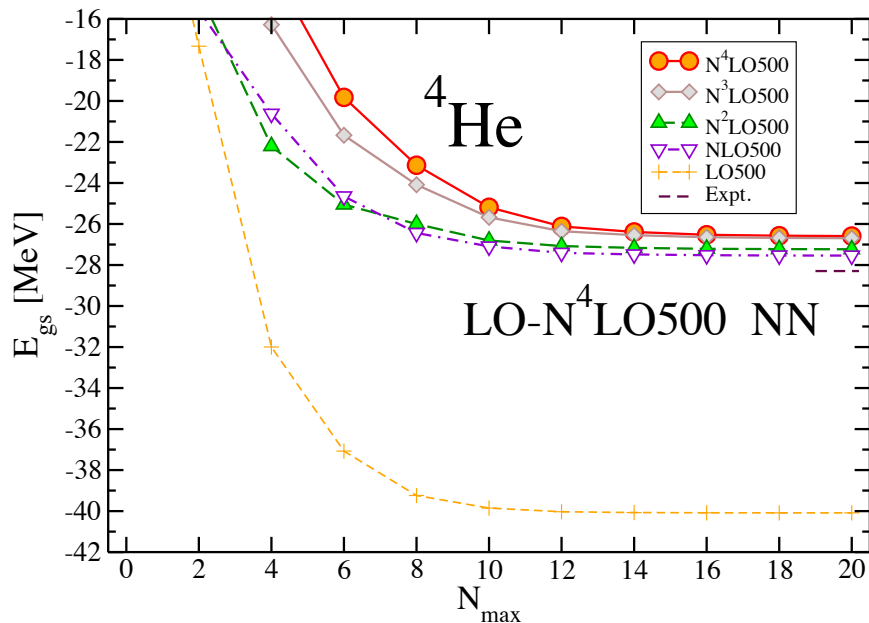
Unknowns

# From QCD to nuclei



# Chiral EFT interactions up to N<sup>4</sup>LO

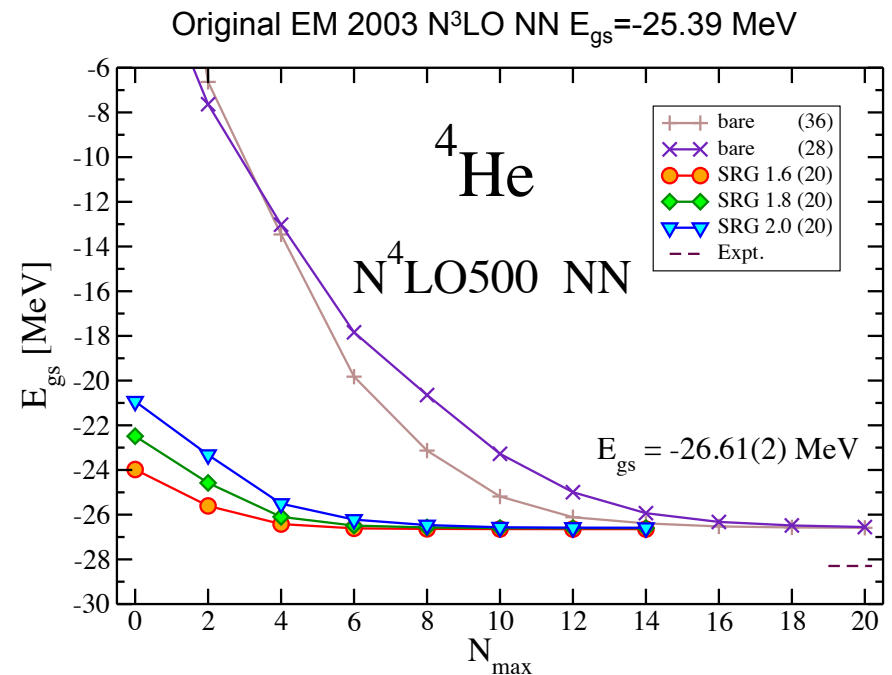
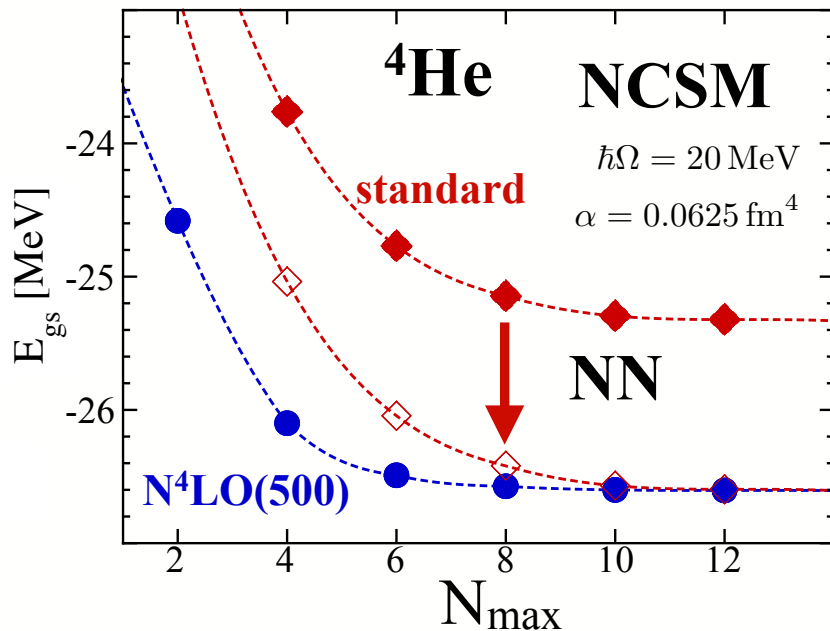
- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.





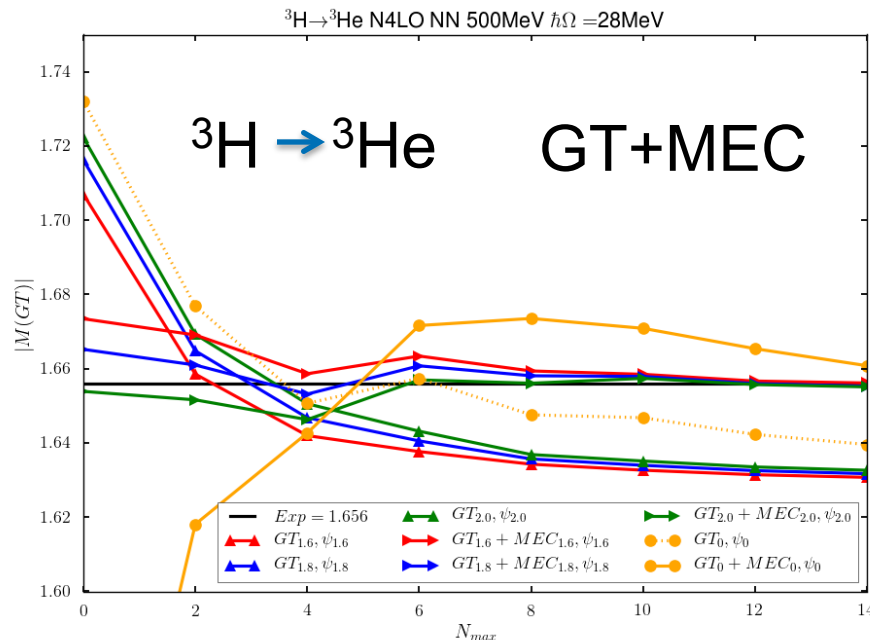
# Chiral EFT interactions up to N<sup>4</sup>LO

- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.

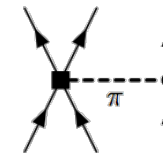


# Chiral EFT interactions up to N<sup>4</sup>LO

- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.



Determination  
of the  $c_D$  parameter  
relevant to chiral 3N force  
 $c_D = 0.45$  (3N repulsive)

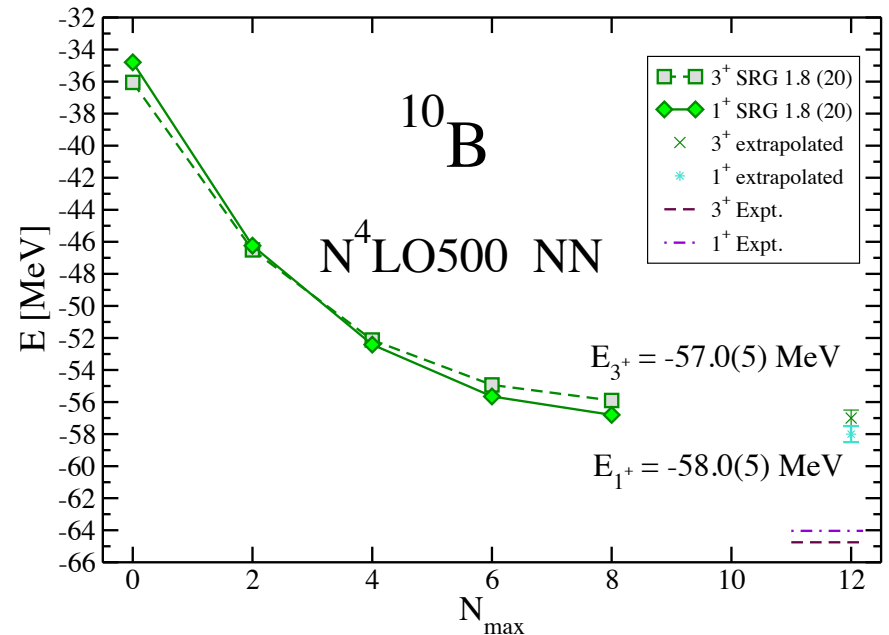
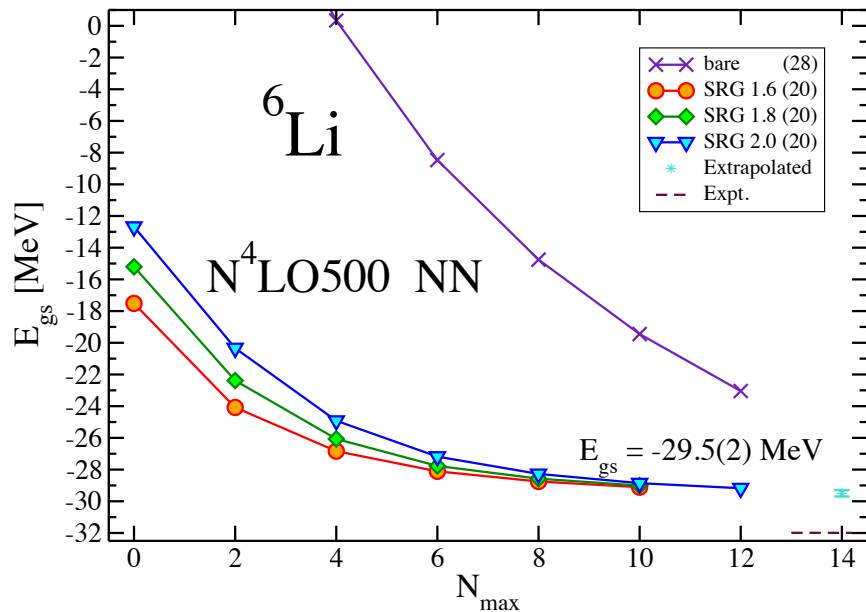


Original EM 2003 N<sup>3</sup>LO NN  $c_D = -0.2$   
(3N attractive)

# Chiral EFT interactions up to N<sup>4</sup>LO

- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.

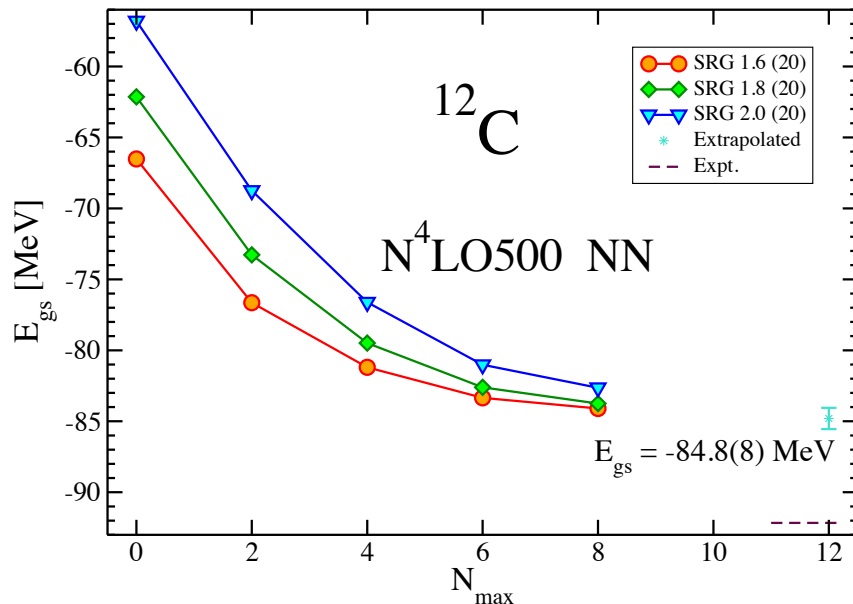
Original EM 2003 N<sup>3</sup>LO NN  $E_{\text{gs}} = -28.0(5)$  MeV



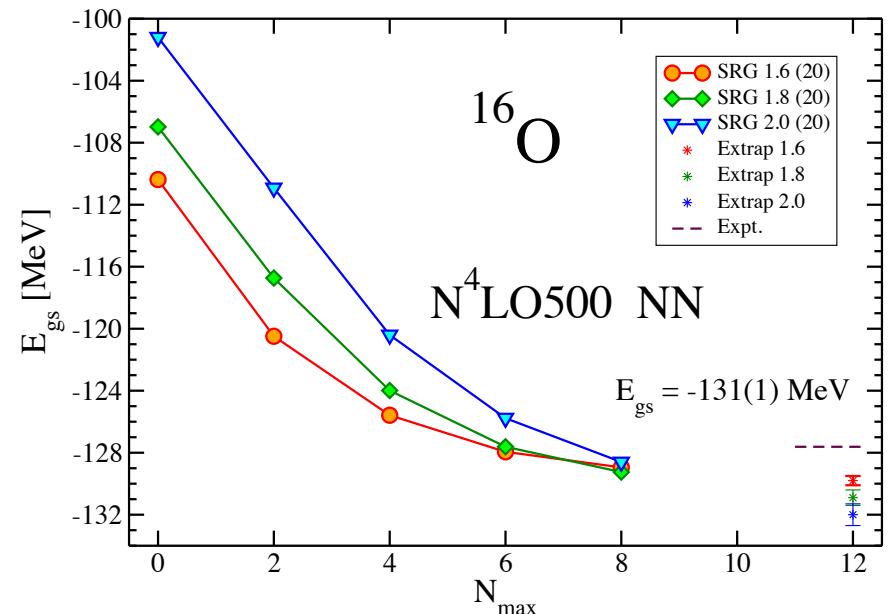
# Chiral EFT interactions up to N<sup>4</sup>LO

- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.

Original EM 2003 N<sup>3</sup>LO NN  $E_{\text{gs}} = -77.2(3)$  MeV

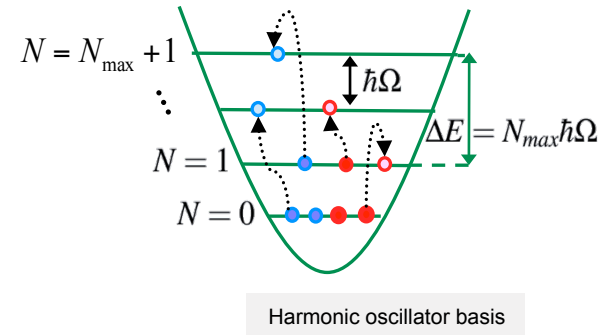



Original EM 2003 N<sup>3</sup>LO NN  $E_{\text{gs}} = -119.5(5)$  MeV



# Unified approach to bound & continuum states; to nuclear structure & reactions

- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances

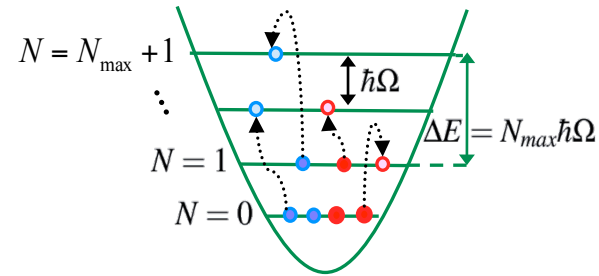


$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| (A) \text{  , \lambda \right\rangle$$

Unknowns

# Unified approach to bound & continuum states; to nuclear structure & reactions

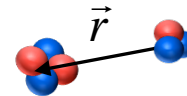
- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances
- ...with resonating group method
  - Bound & scattering states, reactions
  - Cluster dynamics, long-range correlations



Harmonic oscillator basis



NCSM



NCSM/RGM

$$\Psi^{(A)} =$$

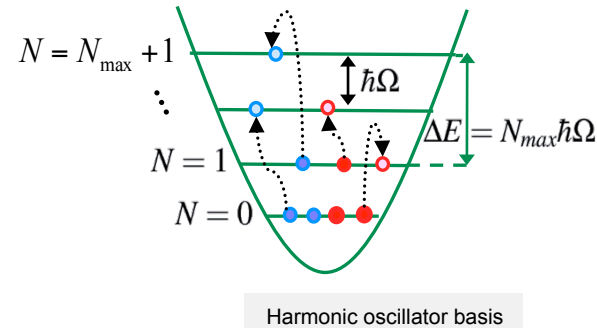
$$\sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left[ \begin{array}{c} \text{NCSM/RGM} \\ \text{channel states} \\ \left( \begin{array}{c} \vec{r} \\ (A-a) \quad (a), \nu \end{array} \right) \end{array} \right]$$

Unknowns



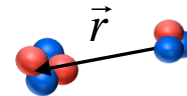
# Unified approach to bound & continuum states; to nuclear structure & reactions

- *Ab initio* no-core shell model
  - Short- and medium range correlations
  - Bound-states, narrow resonances



NCSM

- ...with resonating group method
  - Bound & scattering states, reactions
  - Cluster dynamics, long-range correlations



NCSM/RGM

S. Baroni, P. Navratil, and S. Quaglioni,  
PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

- Most efficient: *ab initio* no-core shell model with continuum

NCSMC

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left[ \begin{array}{c} \text{NCSM eigenstates} \\ \left( \begin{array}{c} (A) \\ \text{NCSM} \end{array} \right), \lambda \end{array} \right] + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left[ \begin{array}{c} \text{NCSM/RGM} \\ \text{channel states} \\ \left( \begin{array}{c} (A-a) \quad (a) \\ \text{NCSM/RGM} \end{array} \right), \nu \end{array} \right]$$

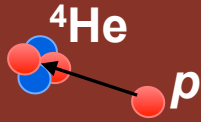
Unknowns

# Coupled NCSMC equations

$$\begin{array}{c}
 \begin{array}{c}
 \boxed{E_{\lambda}^{NCSM} \delta_{\lambda\lambda'}} \\
 \downarrow \text{blue} \\
 \left( \begin{array}{cc}
 H_{NCSM} & h \\
 h & H_{RGM}
 \end{array} \right) \begin{pmatrix} \textcircled{C} \\ \textcircled{\gamma} \end{pmatrix}
 \end{array}
 \\
 \begin{array}{c}
 \boxed{\langle (A) \left| H \hat{A}_v \right| (a) (A-a) \rangle} \\
 \downarrow \text{green} \\
 h \\
 \uparrow \text{red} \\
 \boxed{\langle (A-a) (a) \left| \hat{A}_v H \hat{A}_v \right| (a) (A-a) \rangle}
 \end{array}
 \end{array}
 = E
 \begin{array}{c}
 \begin{array}{c}
 \boxed{\delta_{\lambda\lambda'}} \\
 \downarrow \text{blue} \\
 \left( \begin{array}{cc}
 1_{NCSM} & g \\
 g & N_{RGM}
 \end{array} \right) \begin{pmatrix} \textcircled{C} \\ \textcircled{\gamma} \end{pmatrix}
 \end{array}
 \\
 \begin{array}{c}
 \boxed{\langle (A) \left| \hat{A}_v \right| (a) (A-a) \rangle} \\
 \downarrow \text{green} \\
 g \\
 \uparrow \text{red} \\
 \boxed{\langle (A-a) (a) \left| \hat{A}_v \hat{A}_v \right| (a) (A-a) \rangle}
 \end{array}
 \end{array}
 \end{array}$$

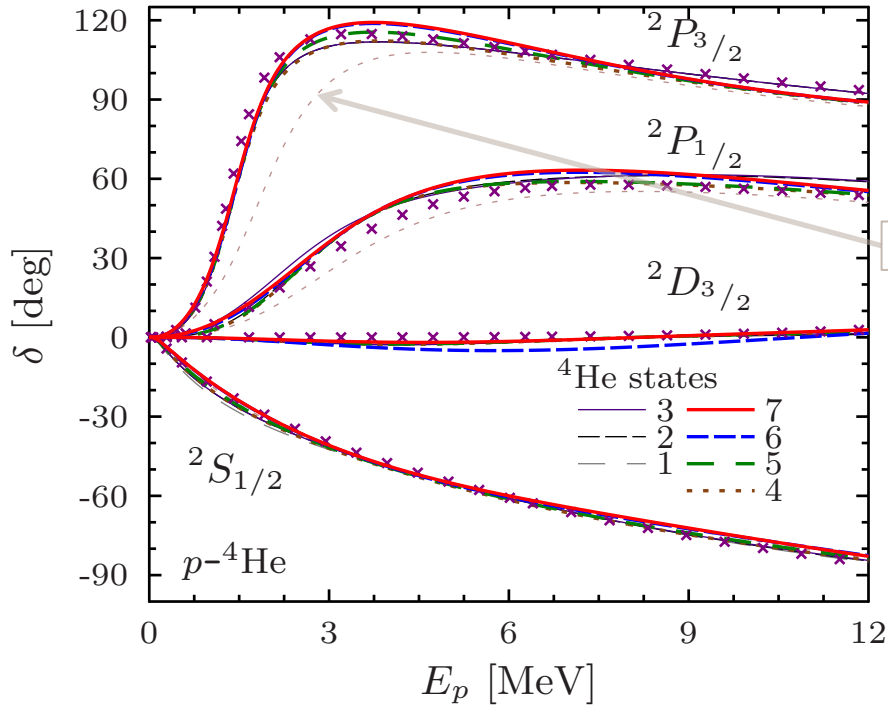
Scattering matrix (and observables) from matching solutions to known asymptotic with microscopic  $R$ -matrix on Lagrange mesh





# $p$ - $^4\text{He}$ scattering within NCSMC

$p$ - $^4\text{He}$  scattering phase-shifts for NN+3N500 potential:  
Convergence



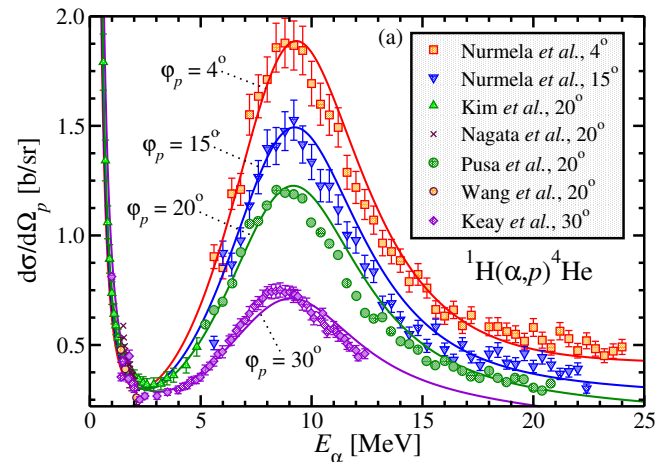
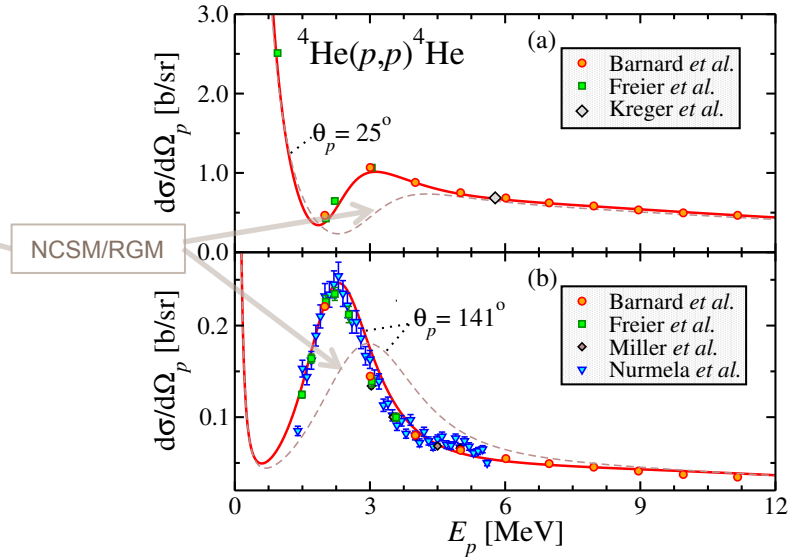
Predictive power in the  $3/2^-$  resonance region:  
Applications to material science

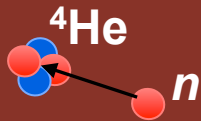
PHYSICAL REVIEW C **90**, 061601(R) (2014)

Predictive theory for elastic scattering and recoil of protons from  $^4\text{He}$

Guillaume Hupin,<sup>1,\*</sup> Sofia Quaglioni,<sup>1,†</sup> and Petr Navrátil<sup>2,‡</sup>

Differential  $p$ - $^4\text{He}$  cross section with NN+3N potentials

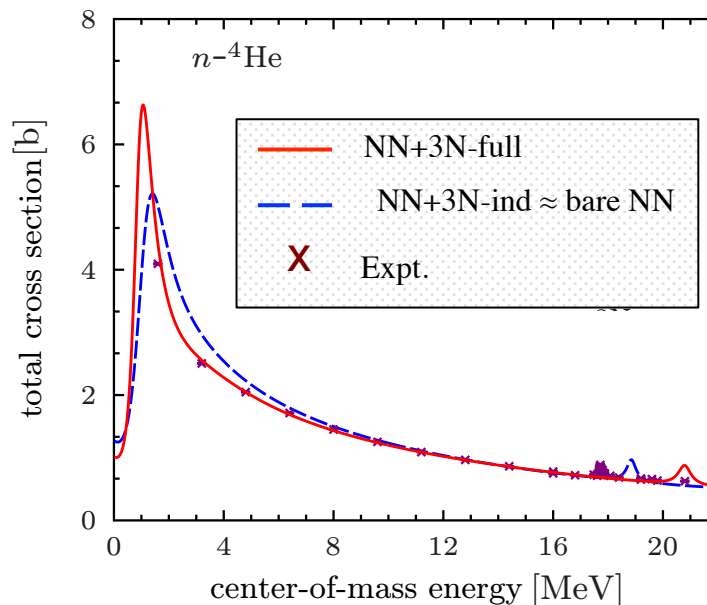
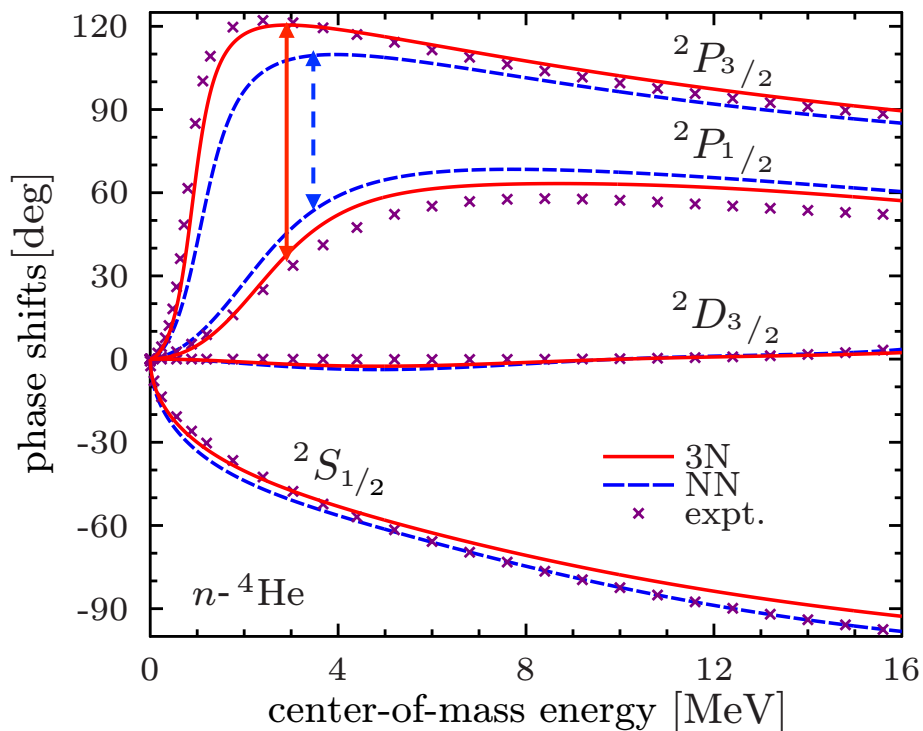




# $n$ - $^4\text{He}$ scattering within NCSMC

$n$ - $^4\text{He}$  scattering phase-shifts for chiral NN and NN+3N500 potential

Total  $n$ - $^4\text{He}$  cross section with NN and NN+3N potentials



3N force enhances  $1/2^- \leftrightarrow 3/2^-$  splitting: Essential at low energies!

IOP Publishing | Royal Swedish Academy of Sciences  
Phys. Scr. 90 (2016) 020000 (7pp)

Invited Comment

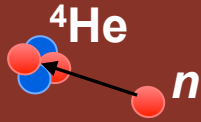
**Unified *ab initio* approaches to nuclear structure and reactions**

Petr Navrátil<sup>1,\*</sup>, Sofia Quaglioni<sup>2,†</sup>, Guillaume Hupin<sup>3,‡</sup>, Carolina Romero-Redondo<sup>4</sup> and Angelo Calci<sup>5</sup>

PHYSICAL REVIEW C **88**, 054622 (2013)

***Ab initio* many-body calculations of nucleon- $^4\text{He}$  scattering with three-nucleon forces**

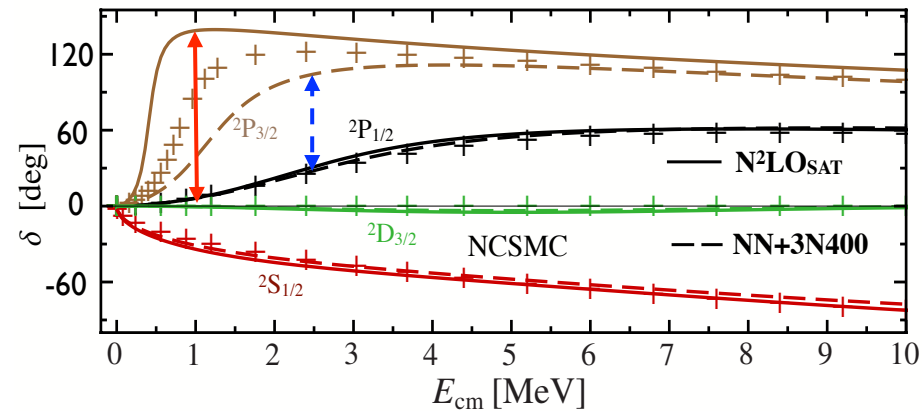
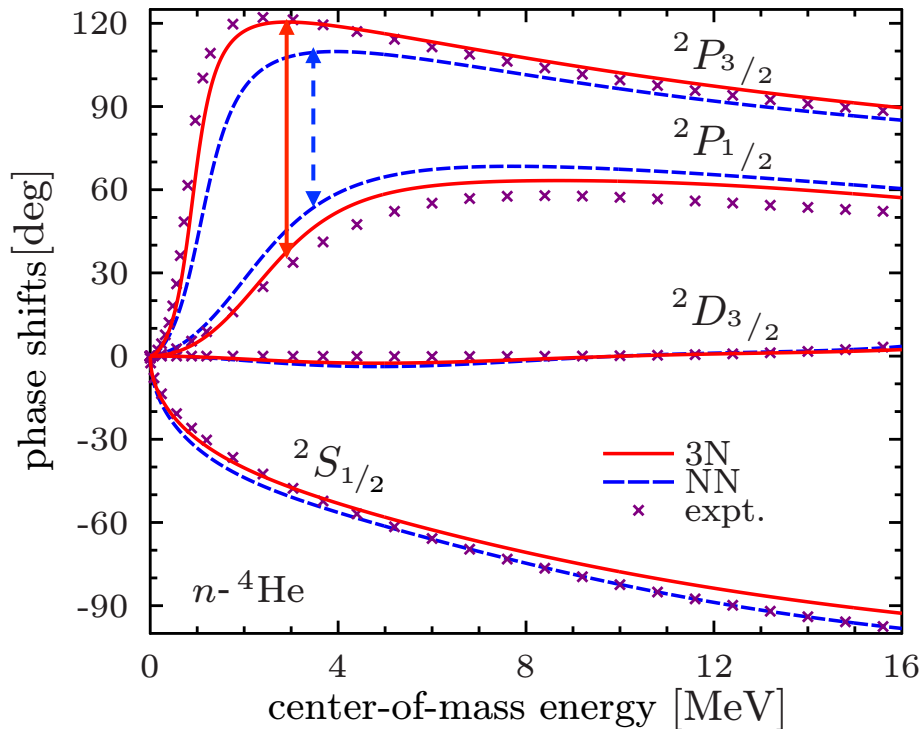
Guillaume Hupin,<sup>1,\*</sup> Joachim Langhammer,<sup>2,†</sup> Petr Navrátil,<sup>3,‡</sup> Sofia Quaglioni,<sup>1,§</sup> Angelo Calci,<sup>2,||</sup> and Robert Roth<sup>2,¶</sup>



# $n$ - $^4\text{He}$ scattering within NCSMC

$n$ - $^4\text{He}$  scattering phase-shifts for chiral NN and NN+3N500 potential

$n$ - $^4\text{He}$  scattering phase-shifts for chiral  $\text{N}^2\text{LO}_{\text{sat}}$  and NN+3N400 potentials



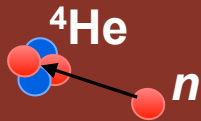
3N force enhances  $1/2^- \leftrightarrow 3/2^-$  splitting: Essential at low energies!

IOP Publishing | Royal Swedish Academy of Sciences  
 Phys. Scr. 90 (2016) 020000 (7pp)  
 Invited Comment  
**Unified *ab initio* approaches to nuclear structure and reactions**  
 Petr Navrátil<sup>1,\*</sup>, Sofia Quaglioni<sup>2,†</sup>, Guillaume Hupin<sup>1,‡</sup>,  
 Carolina Romero-Redondo<sup>2</sup> and Angelo Calci<sup>1</sup>

PHYSICAL REVIEW C **88**, 054622 (2013)

***Ab initio* many-body calculations of nucleon- $^4\text{He}$  scattering with three-nucleon forces**

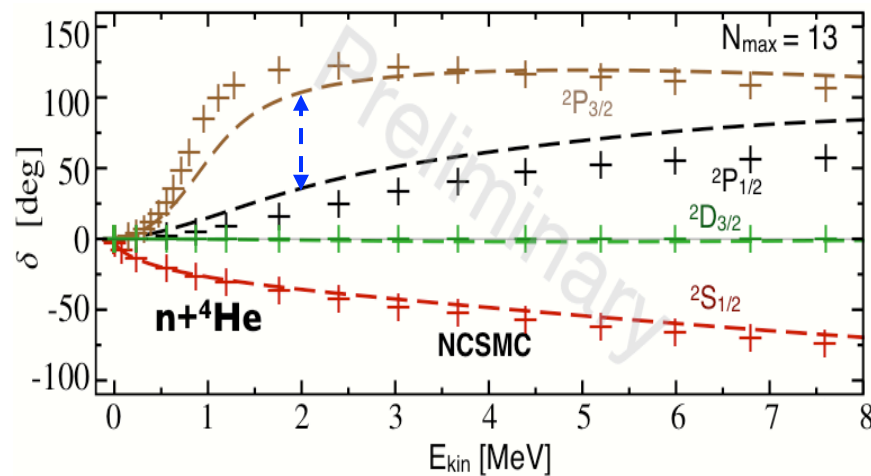
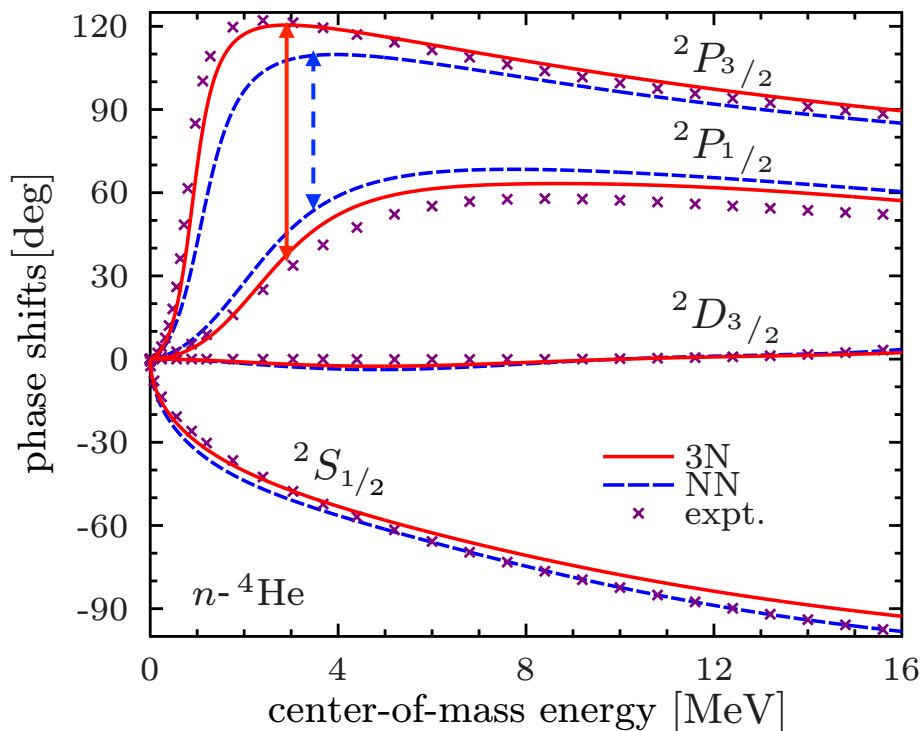
Guillaume Hupin,<sup>1,\*</sup> Joachim Langhammer,<sup>2,†</sup> Petr Navrátil,<sup>3,‡</sup> Sofia Quaglioni,<sup>1,§</sup> Angelo Calci,<sup>2,||</sup> and Robert Roth<sup>2,¶</sup>



# $n$ - $^4\text{He}$ scattering within NCSMC

$n$ - $^4\text{He}$  scattering phase-shifts for chiral NN and NN+3N500 potential

$n$ - $^4\text{He}$  scattering phase-shifts for chiral  $N^4\text{LO500}$  NN potential



3N force enhances  $1/2^- \leftrightarrow 3/2^-$  splitting: Essential at low energies!

IOP Publishing | Royal Swedish Academy of Sciences  
 Phys. Scr. 99 (2016) 020000 (7pp)  
 Invited Comment  
**Unified *ab initio* approaches to nuclear structure and reactions**  
 Petr Navrátil<sup>1,\*</sup>, Sofia Quaglioni<sup>2,†</sup>, Guillaume Hupin<sup>1,‡</sup>, Carolina Romero-Redondo<sup>1</sup> and Angelo Calci<sup>1</sup>

PHYSICAL REVIEW C **88**, 054622 (2013)

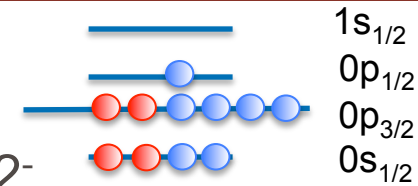
***Ab initio* many-body calculations of nucleon- $^4\text{He}$  scattering with three-nucleon forces**

Guillaume Hupin,<sup>1,\*</sup> Joachim Langhammer,<sup>2,†</sup> Petr Navrátil,<sup>3,‡</sup> Sofia Quaglioni,<sup>1,§</sup> Angelo Calci,<sup>2,||</sup> and Robert Roth<sup>2,¶</sup>

# Neutron-rich halo nucleus $^{11}\text{Be}$

- $Z=4, N=7$

- In the shell model picture g.s. expected to be  $J^\pi=1/2^-$ 
  - $Z=6, N=7$   $^{13}\text{C}$  and  $Z=8, N=7$   $^{15}\text{O}$  have  $J^\pi=1/2^-$  g.s.
- In reality,  $^{11}\text{Be}$  g.s. is  $J^\pi=1/2^+$  - parity inversion
- Very weakly bound:  $E_{\text{th}}=-0.5$  MeV
  - Halo state – dominated by  $^{10}\text{Be-n}$  in the  $S$ -wave
- The  $1/2^-$  state also bound – only by 180 keV



- Can we describe  $^{11}\text{Be}$

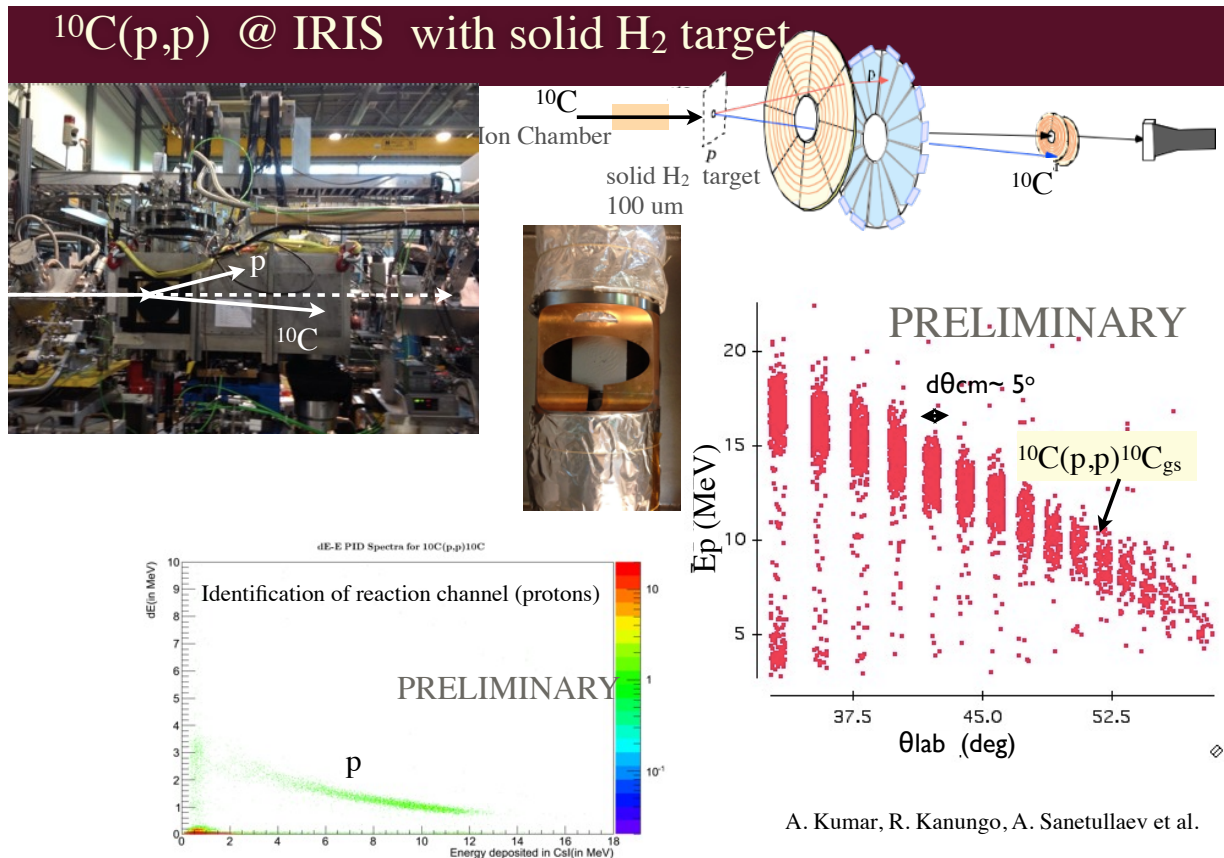
in *ab initio* calculations?

- Continuum must be included
- Does the 3N interaction play a role in the parity inversion?

7.030	6.705	7.10	(5/2 <sup>-</sup> )	(7/2 <sup>-</sup> )	7.3139 $^{9}\text{Be}+2n$
6.510	5.849	5.980	6.050	6.30	
5.255	5.40			(1/2 <sup>-</sup> )	
				5/2 <sup>-</sup>	
3.955	3.889			5/2 <sup>-</sup>	3/2 <sup>-</sup>
3.40			(3/2 <sup>-</sup> , 3/2 <sup>+</sup> )		
2.654					3/2 <sup>-</sup>
1.783					5/2 <sup>+</sup>
0.32004					1/2 <sup>-</sup>
					0.5016 $^{10}\text{Be}+n$
$J^\pi = 1/2^+; T = 3/2$					
679					
$^+t-p$					
$^{11}\text{Be}$					

# $^{10}\text{C}(p,p) @ \text{IRIS}$ with solid $\text{H}_2$ target

- New experiment at TRIUMF with the novel IRIS solid  $\text{H}_2$  target
  - First re-accelerated  $^{10}\text{C}$  beam at TRIUMF
  - $^{10}\text{C}(p,p)$  angular distributions measured at  $E_{\text{CM}} \sim 4.16 \text{ MeV}$  and  $4.4 \text{ MeV}$



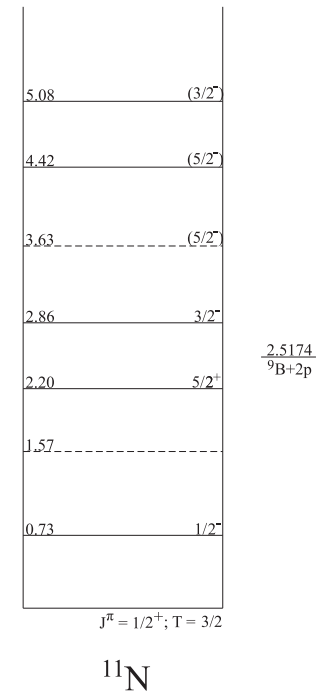
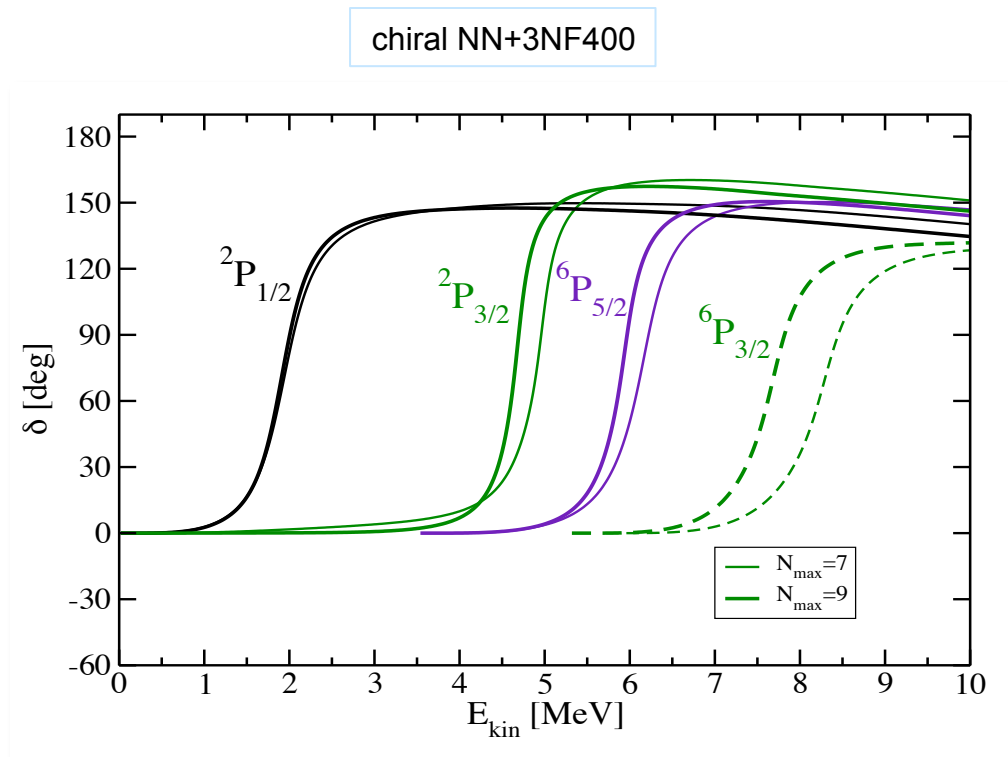
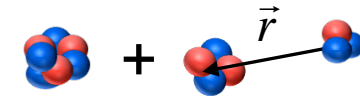
IRIS collaboration:  
A. Kumar, R. Kanungo,  
A. Sanetullaev *et al.*

# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

- NCSMC calculations with **chiral NN+3N** (N<sup>3</sup>LO NN+N<sup>2</sup>LO 3NF400, NNLOsat)

– p-<sup>10</sup>C + <sup>11</sup>N

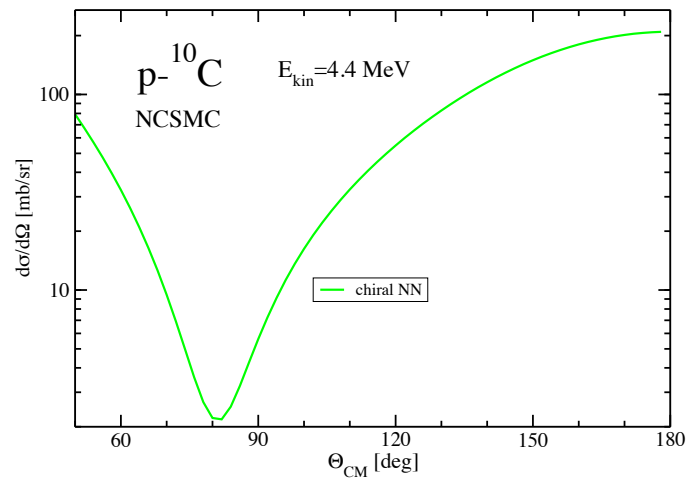
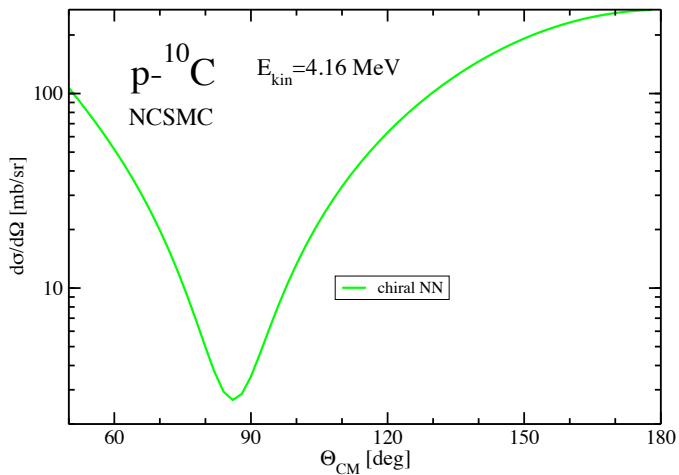
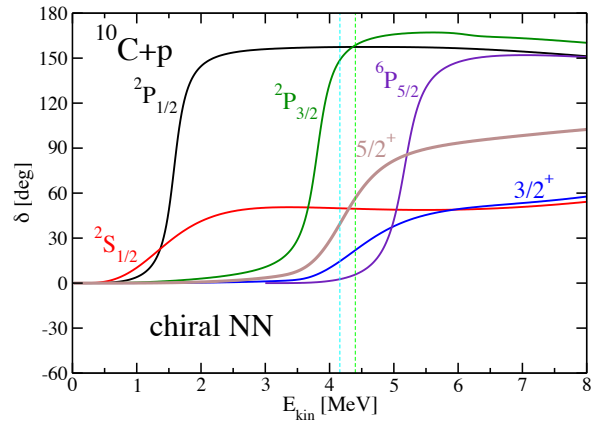
- <sup>10</sup>C: 0<sup>+</sup>, 2<sup>+</sup>, 2<sup>+</sup> NCSM eigenstates
- <sup>11</sup>N: ≥4 π = -1 and ≥3 π = +1 NCSM eigenstates



2.5174  
<sup>9</sup>B+2p

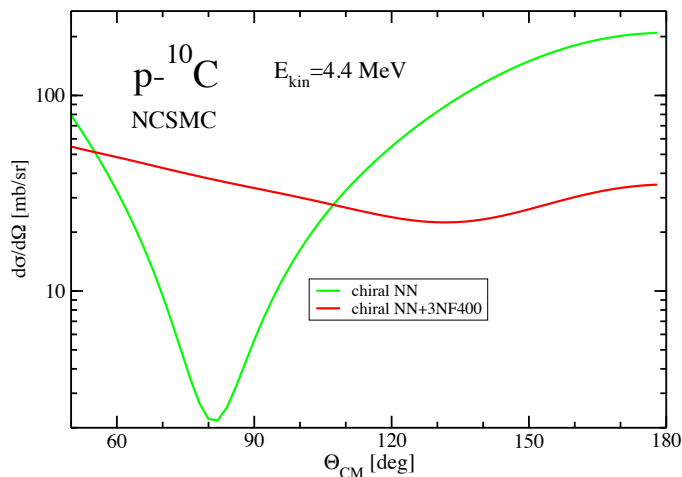
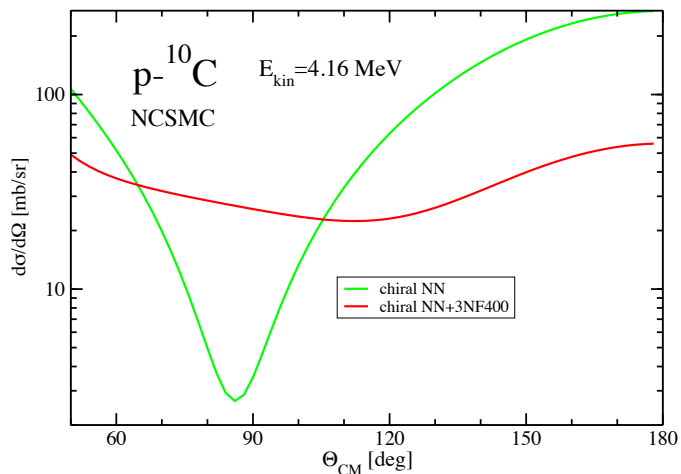
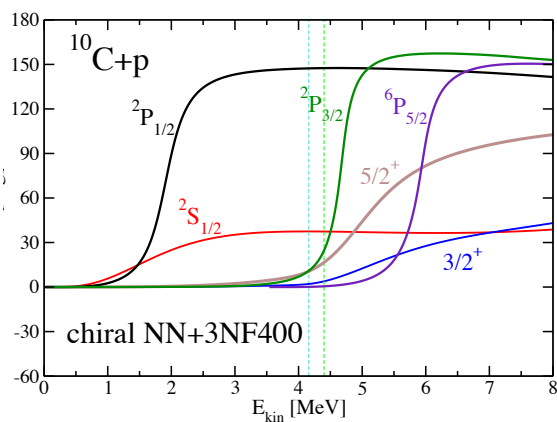
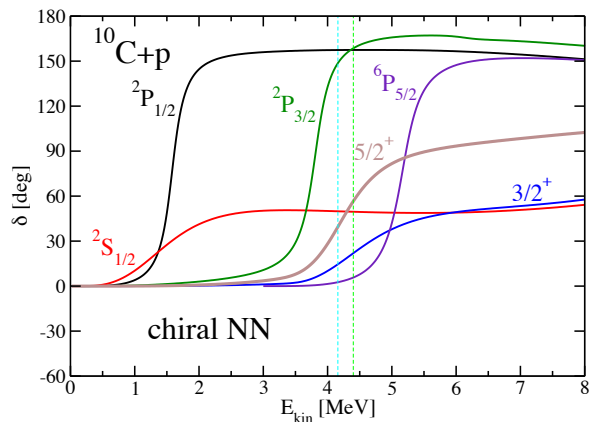
-1.4893  
<sup>10</sup>C+p

# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances





# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances



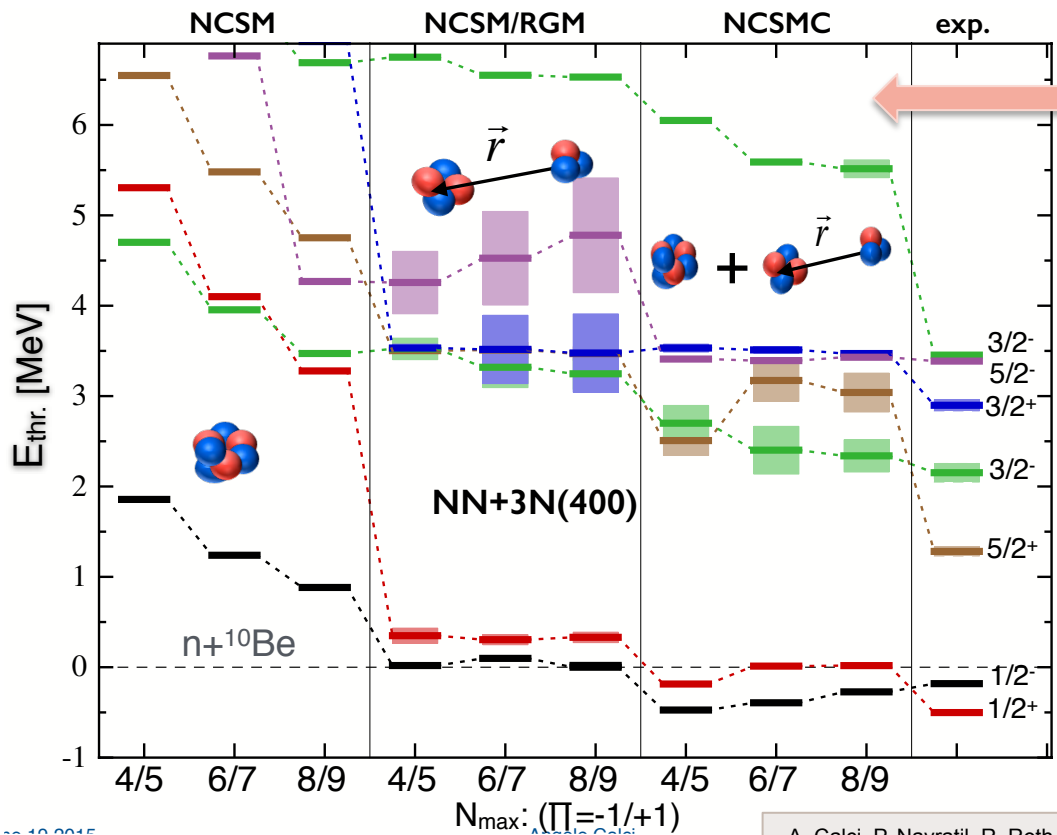
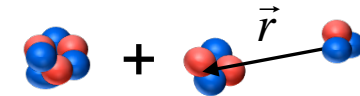
# Structure of $^{11}\text{Be}$ from chiral NN+3N forces

- NCSMC calculations including chiral 3N ( $N^3\text{LO NN}+N^2\text{LO 3NF400}$ )

–  $n-^{10}\text{Be} + ^{11}\text{Be}$

- $^{10}\text{Be}$ :  $0^+$ ,  $2^+$ ,  $2^+$  NCSM eigenstates

- $^{11}\text{Be}$ :  $\geq 6$   $\pi = -1$  and  $\geq 3$   $\pi = +1$  NCSM eigenstates

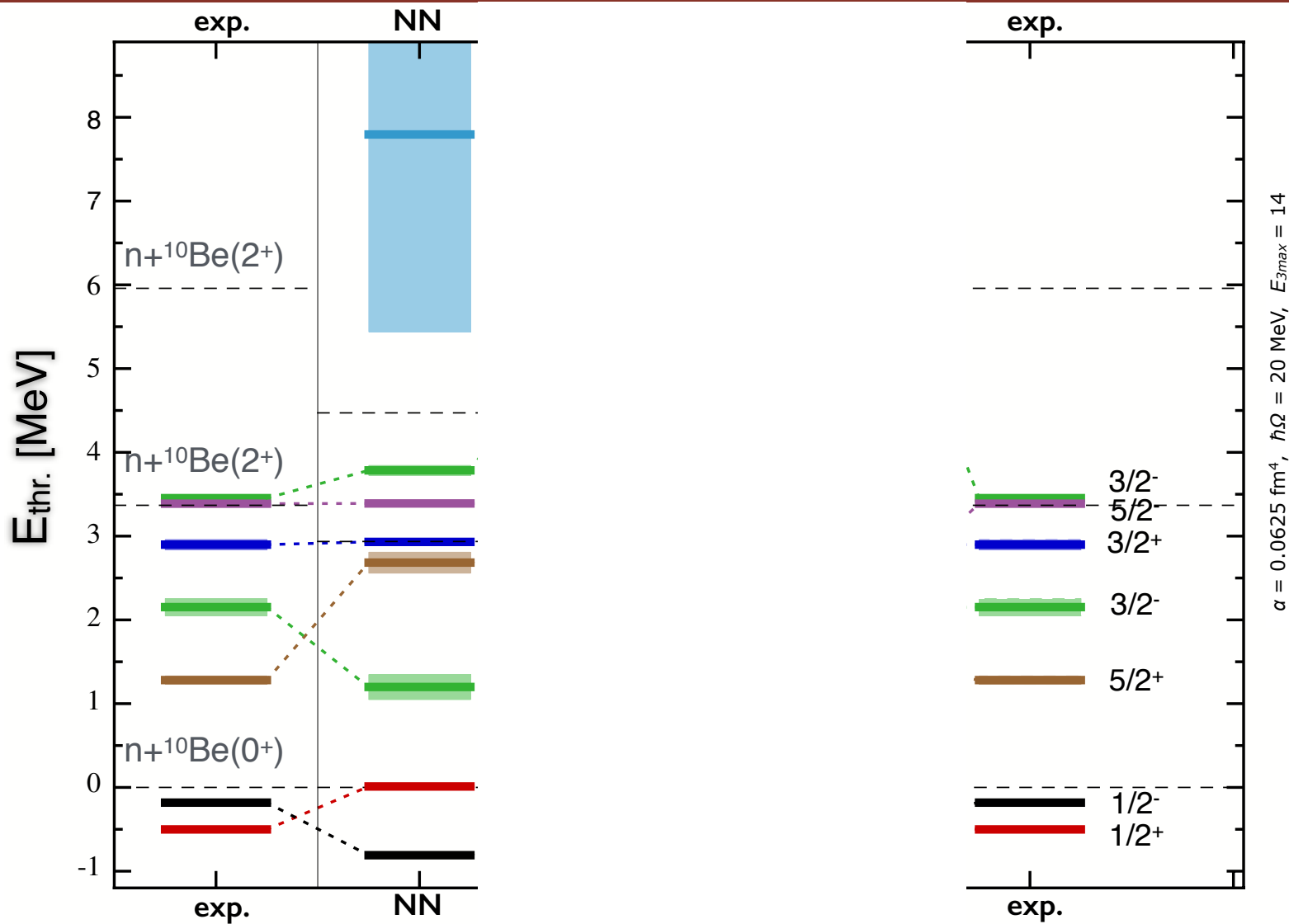


Continuum effects

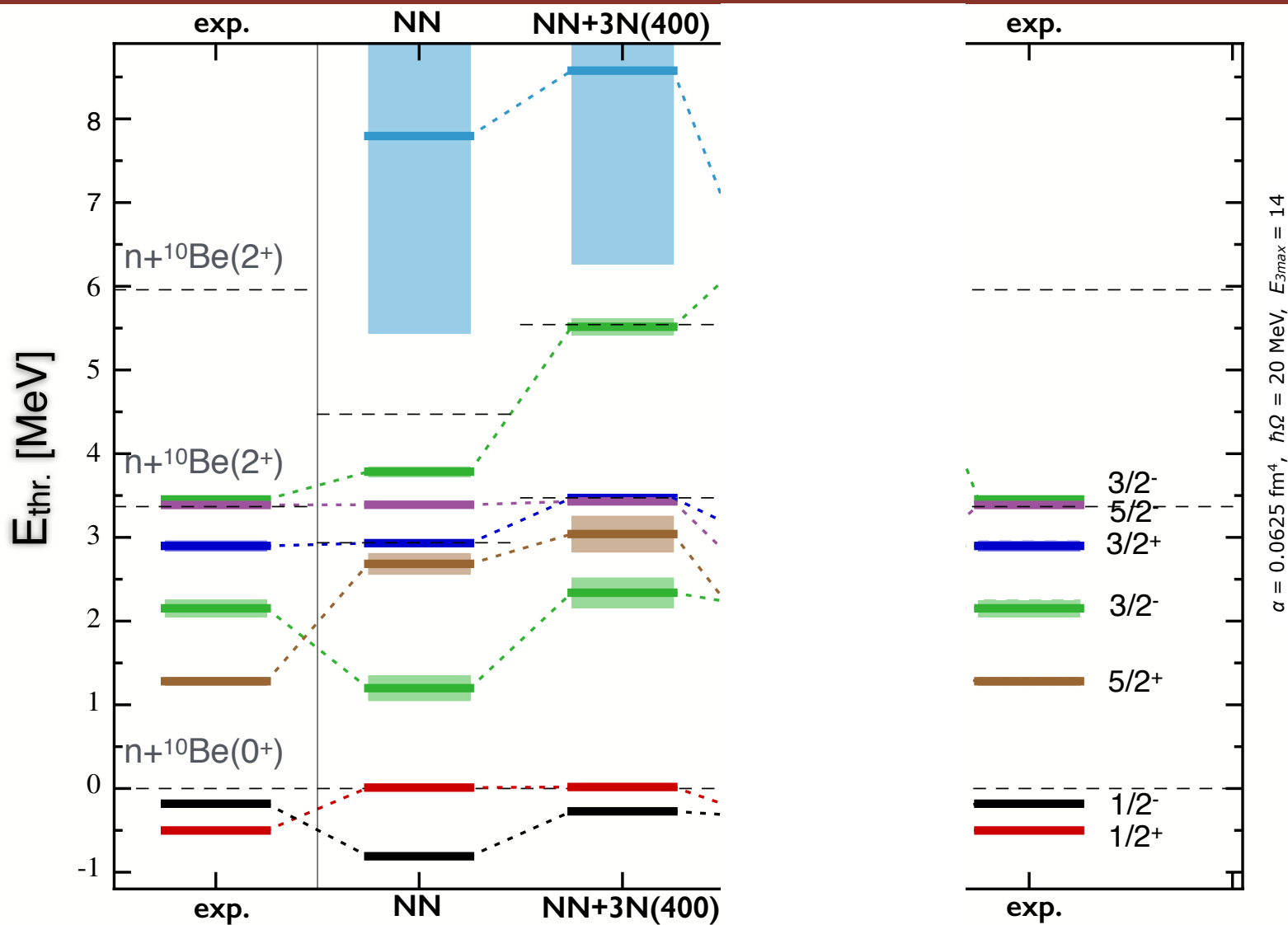
7.030	6.705	7.10	(5/2 <sup>-</sup> )	(7/2 <sup>-</sup> )	7.3139
6.510	6.705	6.30	(1/2 <sup>-</sup> )	5/2 <sup>-</sup>	$^{9}\text{Be}+2n$
5.849	5.980	6.050	(3/2 <sup>-</sup> , 3/2 <sup>+</sup> )	3/2 <sup>-</sup>	
5.255	5.40		5/2 <sup>+</sup>		
3.955	3.889		5/2 <sup>-</sup>	3/2 <sup>-</sup>	
3.40			3/2 <sup>+</sup>		
2.654			3/2 <sup>-</sup>		
1.783			5/2 <sup>+</sup>		
0.32004			1/2 <sup>-</sup>		0.5016
$J^{\pi} = 1/2^+$ ; $T = 3/2$					$^{10}\text{Be}+n$
$^{11}\text{Be}$					
$679$ +t-p					

$\sigma = 0.0625 \text{ fm}^4$ ,  $\hbar\Omega = 20 \text{ MeV}$ ,  $E_{3\text{max}} = 14$

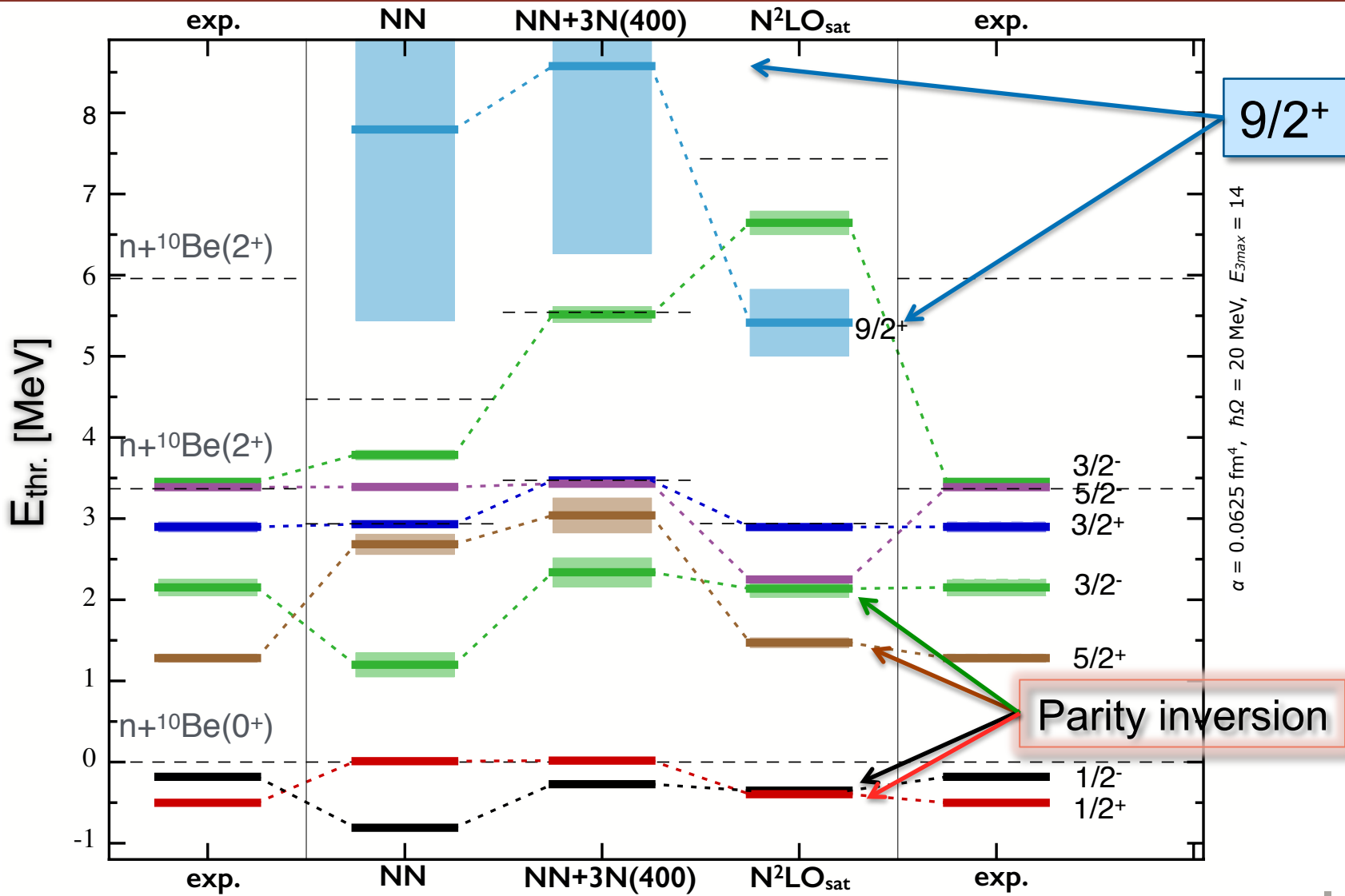
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



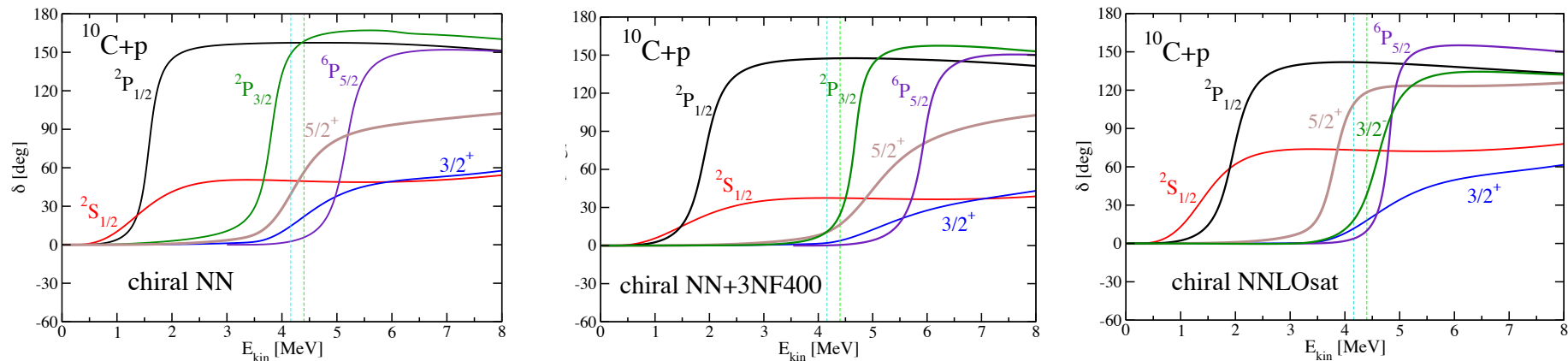
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



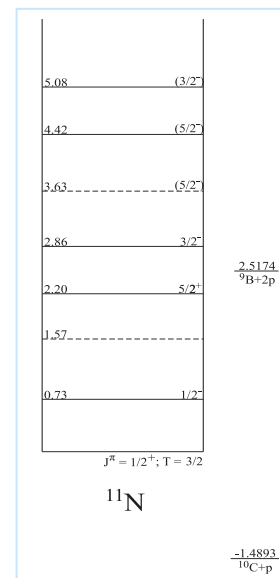
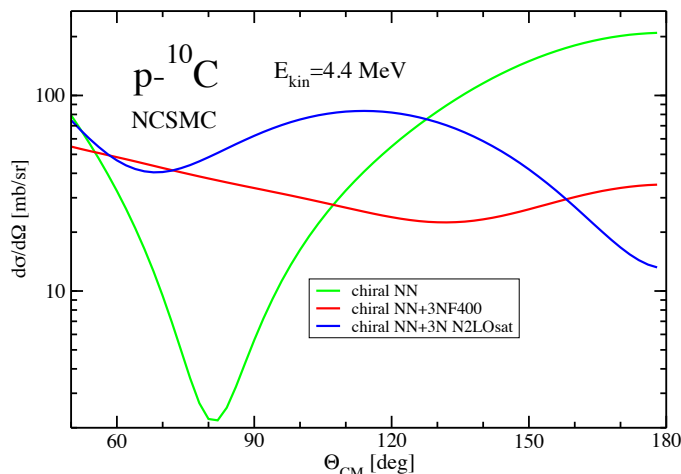
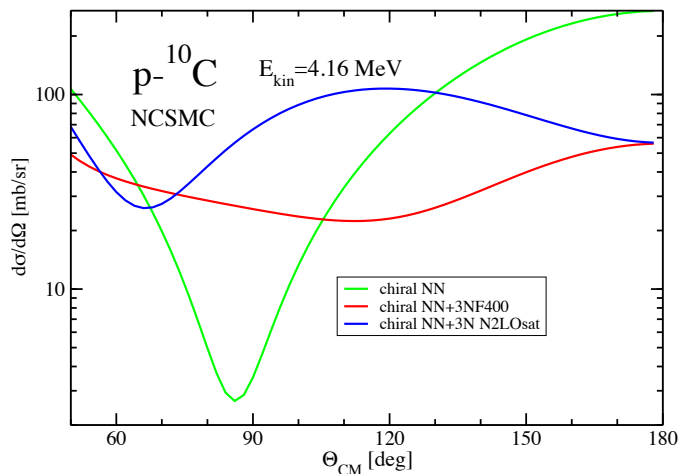
# $^{11}\text{Be}$ within NCSMC: Discrimination among chiral nuclear forces



# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances



## Discrimination among chiral nuclear forces



# NCSMC wave function

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{array}{c} (A) \\ \text{cluster} \end{array}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} (A-a) \\ \text{cluster} \end{array}, \nu \right\rangle$$

$$\begin{aligned} |\Psi_A^{J^{\pi T}}\rangle &= \sum_{\lambda} |A\lambda J^{\pi T}\rangle \left[ \sum_{\lambda'} (N^{-\frac{1}{2}})^{\lambda\lambda'} \bar{c}_{\lambda'} + \sum_{\nu'} \int dr' r'^2 (N^{-\frac{1}{2}})_{\nu'r'}^{\lambda} \frac{\bar{\chi}_{\nu'}(r')}{r'} \right] \\ &+ \sum_{\nu\nu'} \int dr r^2 \int dr' r'^2 \hat{A}_{\nu} |\Phi_{\nu r}^{J^{\pi T}}\rangle \mathcal{N}_{\nu\nu'}^{-\frac{1}{2}}(r, r') \left[ \sum_{\lambda'} (N^{-\frac{1}{2}})_{\nu'r'}^{\lambda'} \bar{c}_{\lambda'} + \sum_{\nu''} \int dr'' r''^2 (N^{-\frac{1}{2}})_{\nu'r'\nu''r''} \frac{\bar{\chi}_{\nu''}(r'')}{r''} \right]. \end{aligned}$$

Asymptotic behavior  $r \rightarrow \infty$  :

$$\bar{\chi}_{\nu}(r) \sim C_{\nu} W(k_{\nu} r) \qquad \bar{\chi}_{\nu}(r) \sim v_{\nu}^{-\frac{1}{2}} \left[ \delta_{\nu i} I_{\nu}(k_{\nu} r) - U_{\nu i} O_{\nu}(k_{\nu} r) \right]$$

Bound state

Scattering state

 Scattering matrix

# E1 transitions in NCSMC

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| \begin{array}{c} (A) \\ \text{cluster} \end{array}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} (A-a) \\ \text{cluster} \end{array}, \nu \right\rangle$$

$$\begin{aligned} \vec{E}1 &= e \sum_{i=1}^{A-a} \frac{1 + \tau_i^{(3)}}{2} \left( \vec{r}_i - \vec{R}_{\text{c.m.}}^{(A-a)} \right) \\ &+ e \sum_{j=A-a+1}^A \frac{1 + \tau_j^{(3)}}{2} \left( \vec{r}_i - \vec{R}_{\text{c.m.}}^{(a)} \right) \\ &+ e \frac{Z_{(A-a)}a - Z_{(a)}(A-a)}{A} \vec{r}_{A-a,a} \end{aligned}$$

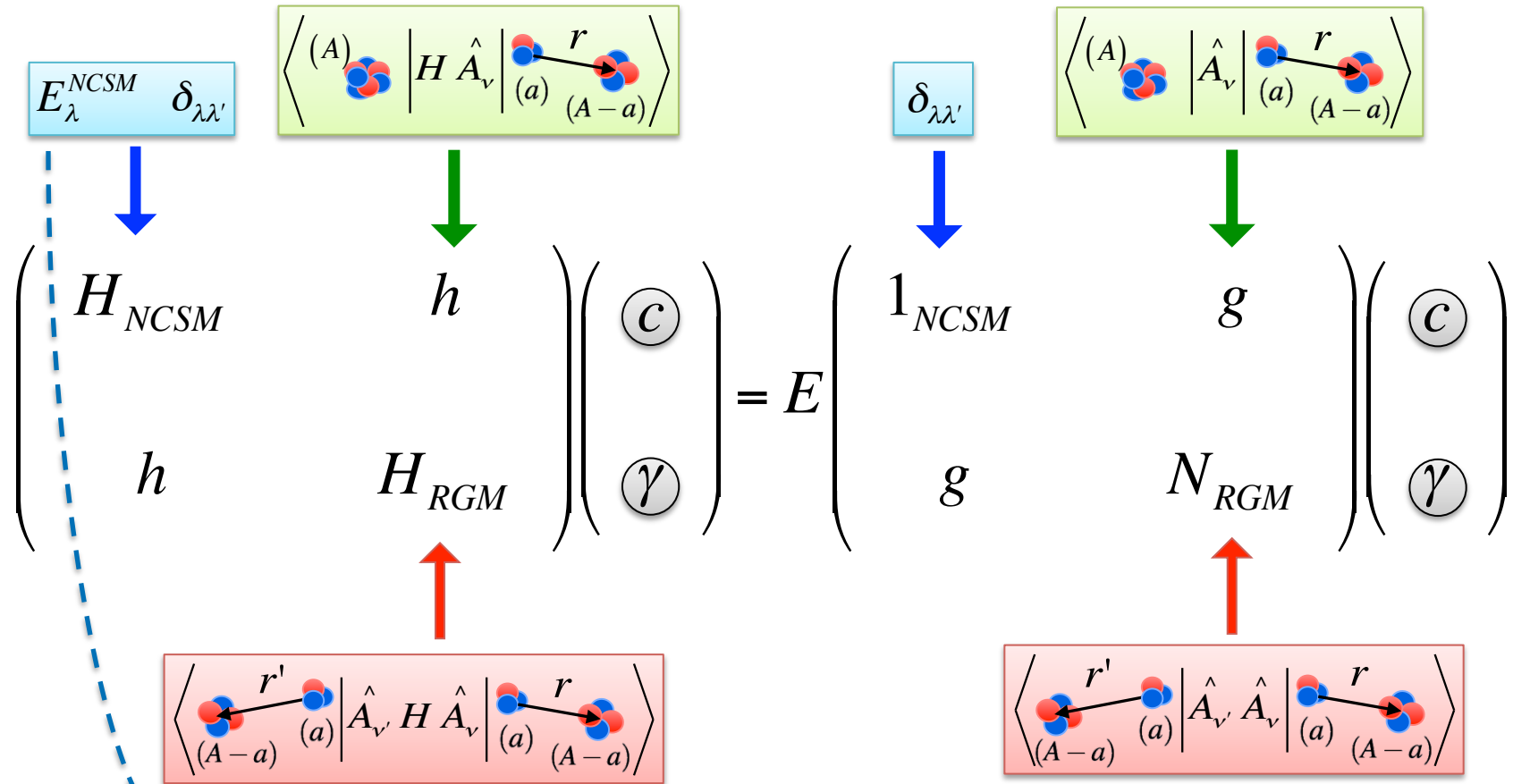
$$\begin{aligned} M_{fi}^{E1} &= \sum_{\lambda\lambda'} c_{\lambda'}^{*f} \langle A\lambda' J_f^{\pi_f} T_f || \vec{E}1 || A\lambda J_i^{\pi_i} T_i \rangle c_{\lambda}^i \\ &+ \sum_{\lambda'\nu} \int dr r^2 c_{\lambda'}^{*f} \langle A\lambda' J_f^{\pi_f} T_f || \vec{E}1 \hat{A}_{\nu} || \Phi_{\nu r}^i \rangle \frac{\gamma_{\nu}^i(r)}{r} \\ &+ \sum_{\lambda\nu'} \int dr' r'^2 \frac{\gamma_{\nu'}^{*f}(r')}{r'} \langle \Phi_{\nu' r'}^f || \hat{A}_{\nu'} \vec{E}1 || A\lambda J_i^{\pi_i} T_i \rangle c_{\lambda}^i \\ &+ \sum_{\nu\nu'} \int dr' r'^2 \int dr r^2 \frac{\gamma_{\nu'}^{*f}(r')}{r'} \langle \Phi_{\nu' r'}^f || \hat{A}_{\nu'} \vec{E}1 \hat{A}_{\nu} || \Phi_{\nu r}^i \rangle \frac{\gamma_{\nu}^i(r)}{r} \end{aligned}$$



# Photo-disassociation of $^{11}\text{Be}$

Bound to bound	NCSM	NCSMC-phenom	Expt.
$B(E1; 1/2^+ \rightarrow 1/2^-)$ [ $e^2 \text{ fm}^2$ ]	0.0005	0.117	0.102(2)

# NCSMC phenomenology

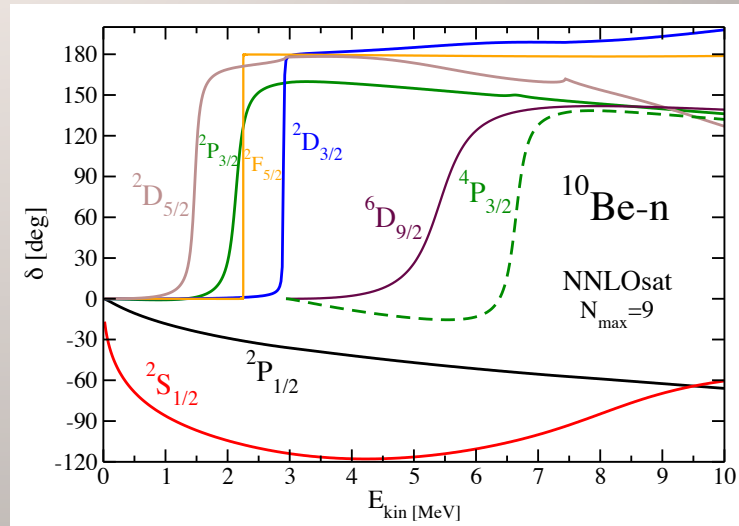
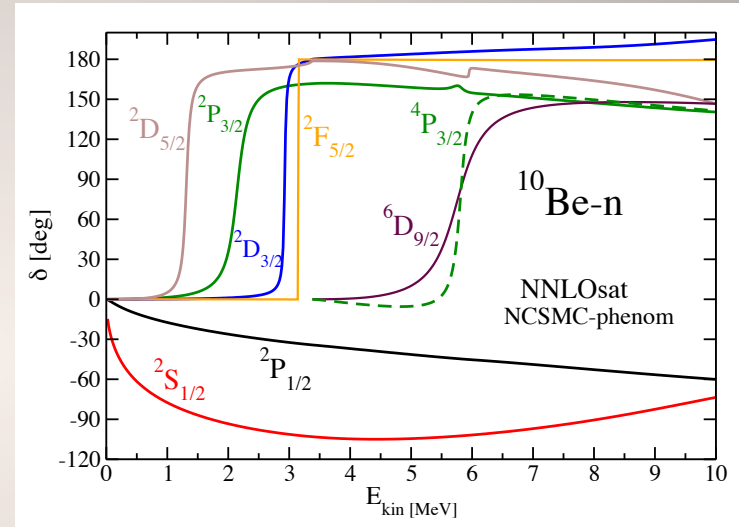
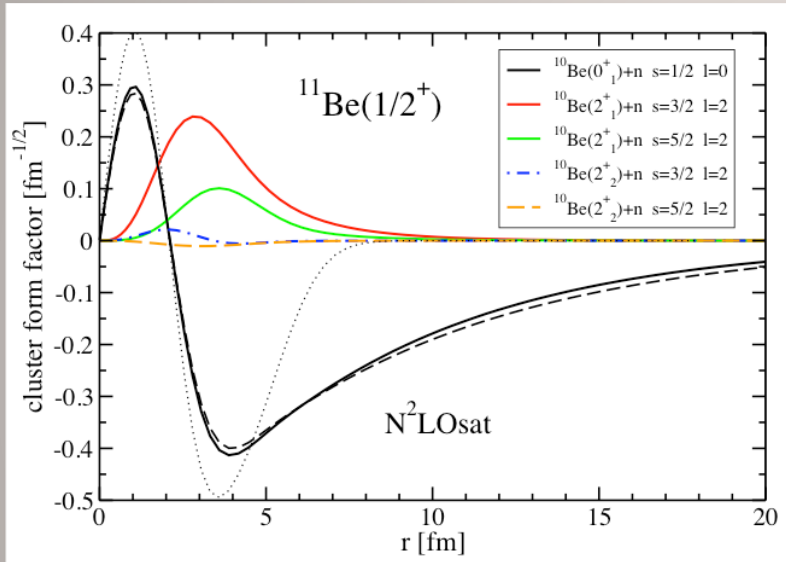


$E_\lambda^{NCSM}$  energies treated as adjustable parameters  
 Cluster excitation energies set to experimental values

# Photo-disassociation of $^{11}\text{Be}$

## Halo structure

Bound to bound	NCSM	NCSMC-phenom	Expt.
$B(E1; 1/2^+ \rightarrow 1/2^-)$ [ $e^2 \text{ fm}^2$ ]	0.0005	0.117	0.102(2)



## cluster form factor

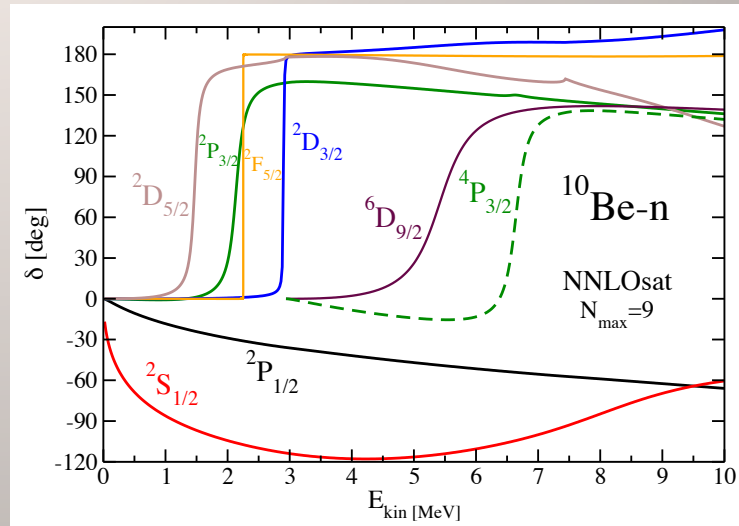
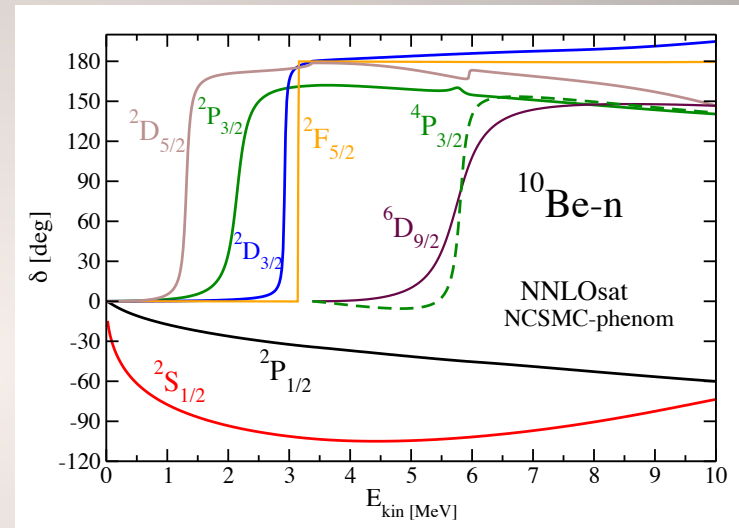
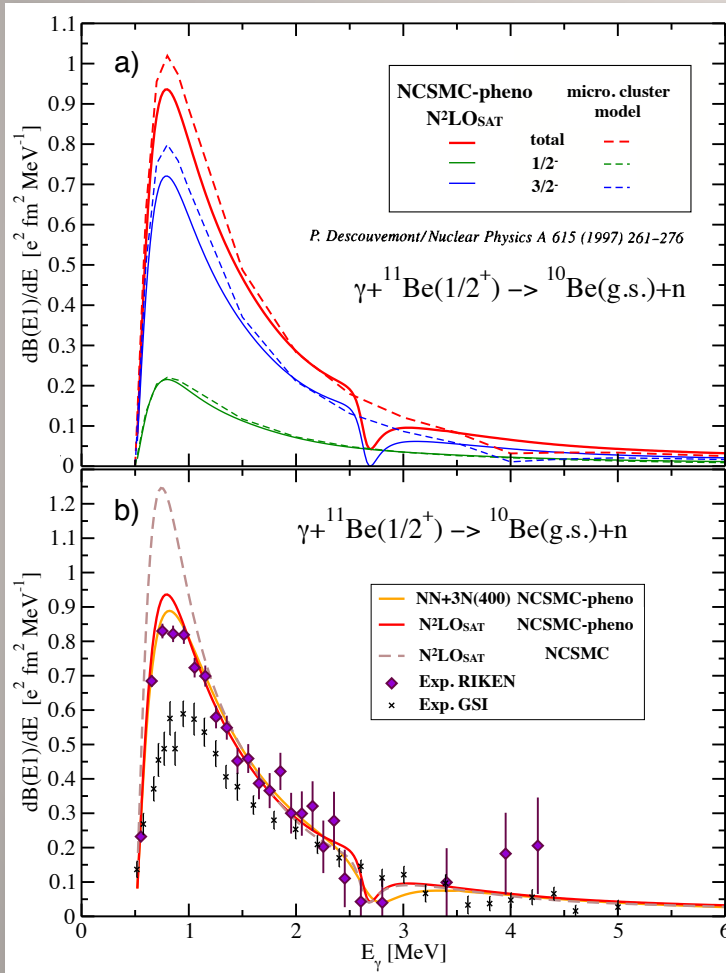
$$= r \langle \Phi_{vr}^{J^{\pi T}} | \hat{A}_v | \psi^{J^{\pi T}} \rangle$$

$$| \Phi_{vr}^{J^{\pi T}} \rangle = \left[ \left( | ^{10}\text{Be } \alpha_1 I_1^{\pi_1 T_1} \rangle | n \frac{1}{2}^+ \frac{1}{2} \rangle \right)^{(sT)} Y_\ell(\hat{r}_{10,1}) \right]^{(J^{\pi T})} \frac{\delta(r - r_{10,1})}{r r_{10,1}}$$

# Photo-disassociation of $^{11}\text{Be}$

## Bound to continuum

Bound to bound	NCSM	NCSMC-phenom	Expt.
$B(E1; 1/2^+ \rightarrow 1/2^-)$ [ $e^2 \text{ fm}^2$ ]	0.0005	0.117	0.102(2)

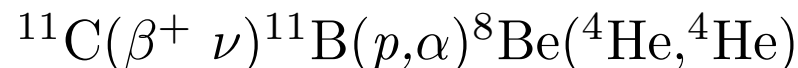
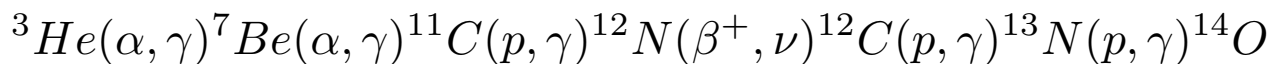
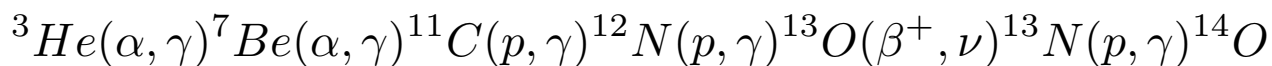
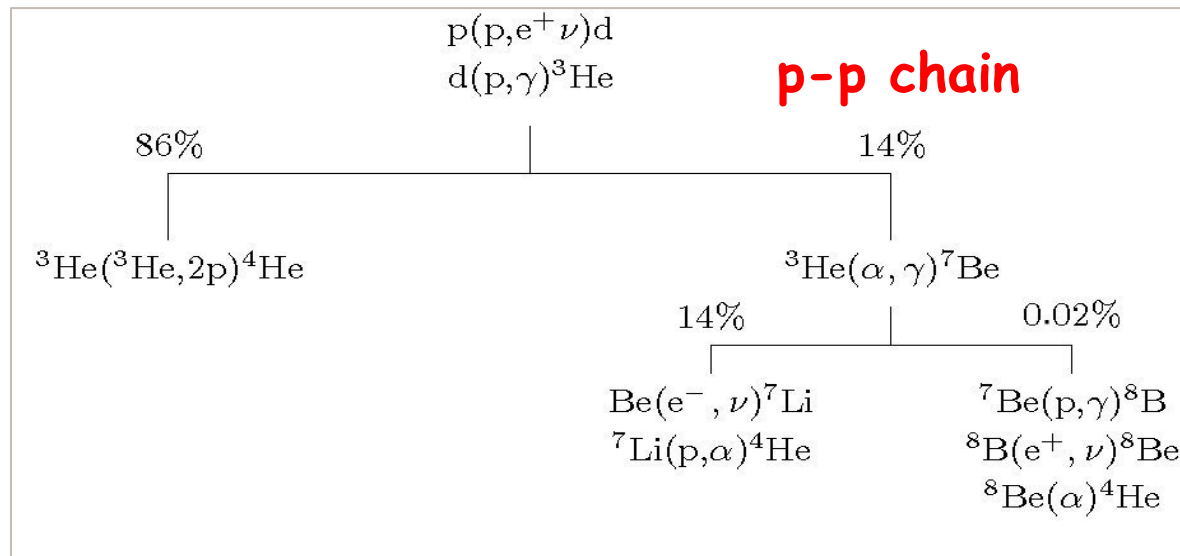


## Next: $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- Measurement of  $^{11}\text{C}(p,p)$  resonance scattering planned at TRIUMF
  - TUDA facility
  - $^{11}\text{C}$  beam of sufficient intensity produced
- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way
- Obtained wave functions will be used to calculate  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture relevant for astrophysics

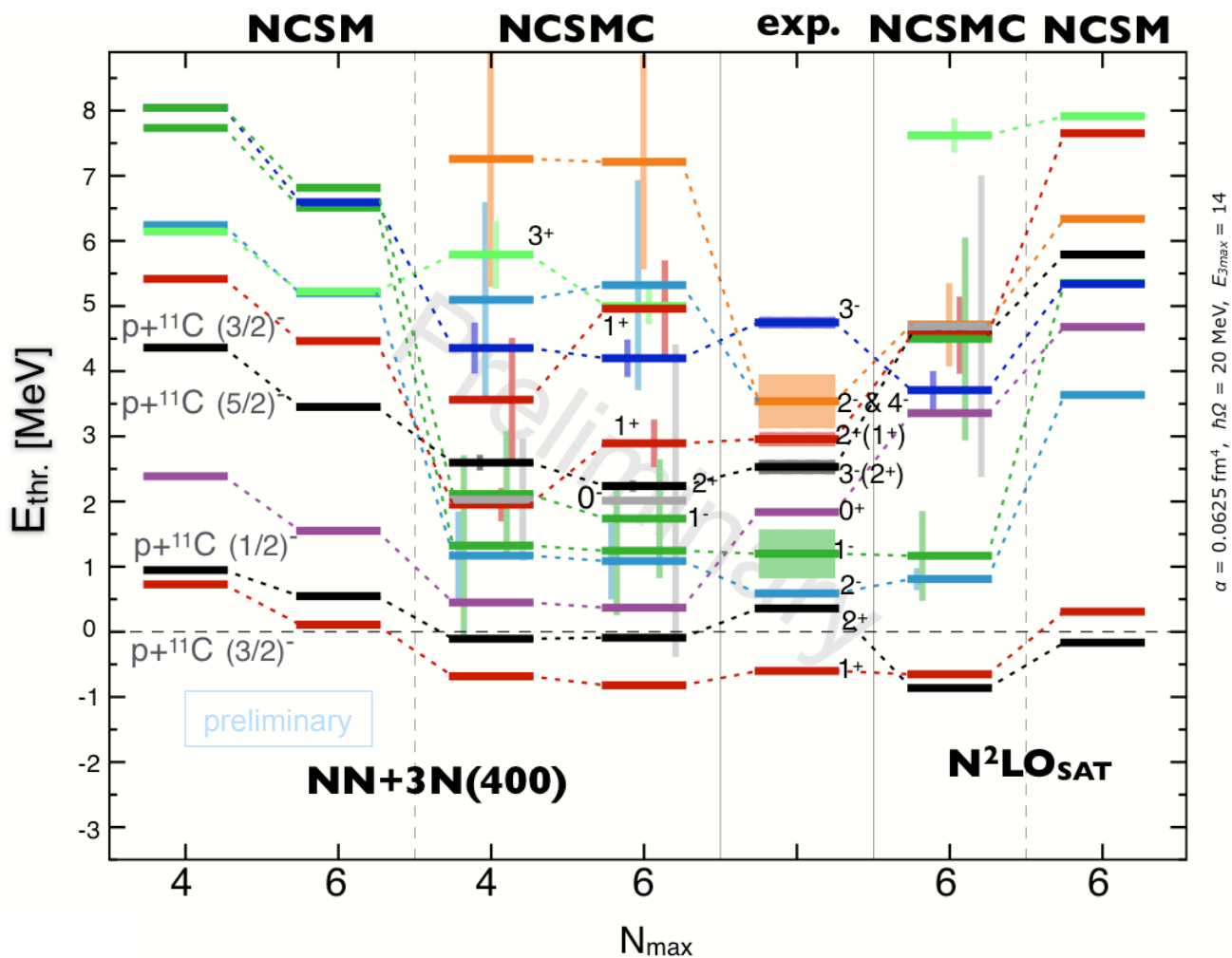
# Next: $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture relevant in hot  $p$ - $p$  chain: Link between  $pp$  chain and the CNO cycle - bypass of slow triple alpha capture  $^4\text{He}(\alpha\alpha,\gamma)^{12}\text{C}$



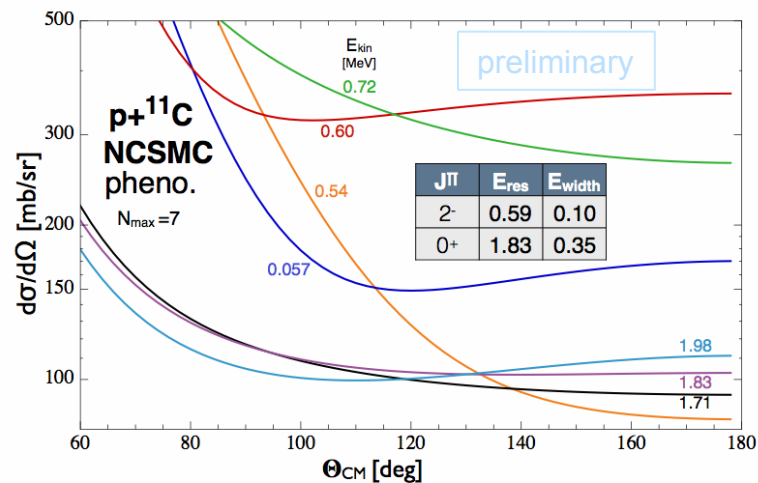
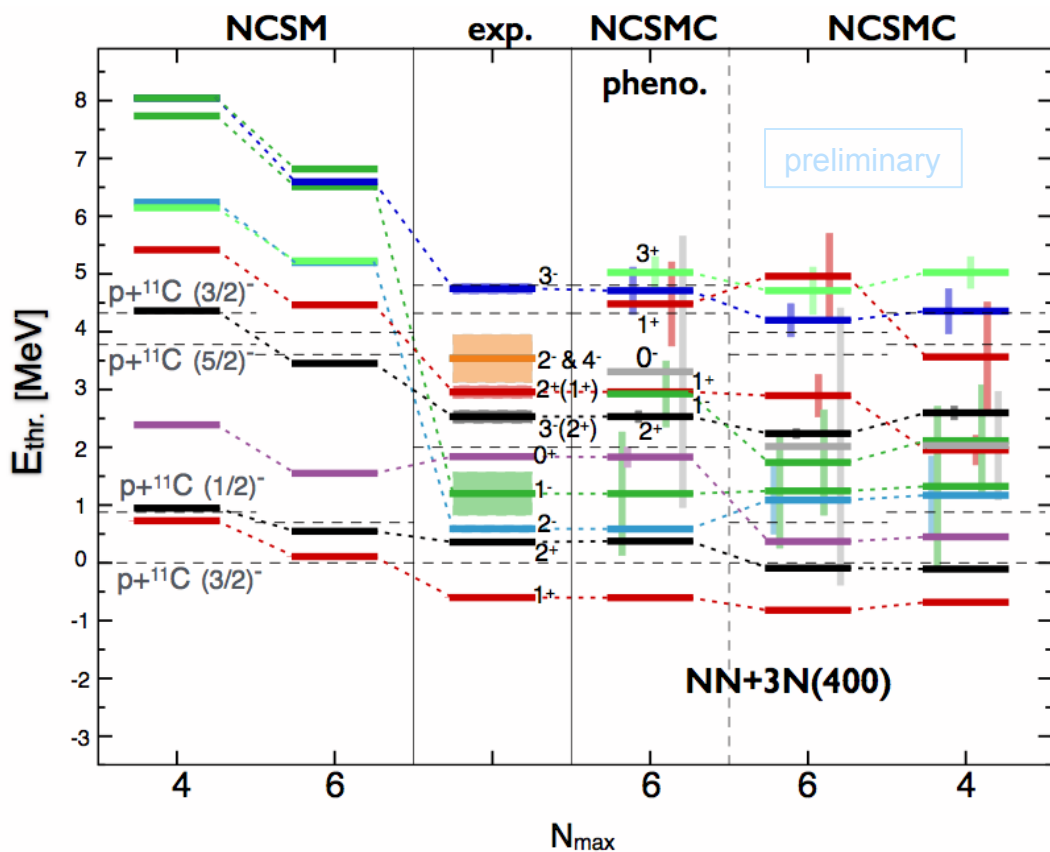
# Next: $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way



# Next: $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way

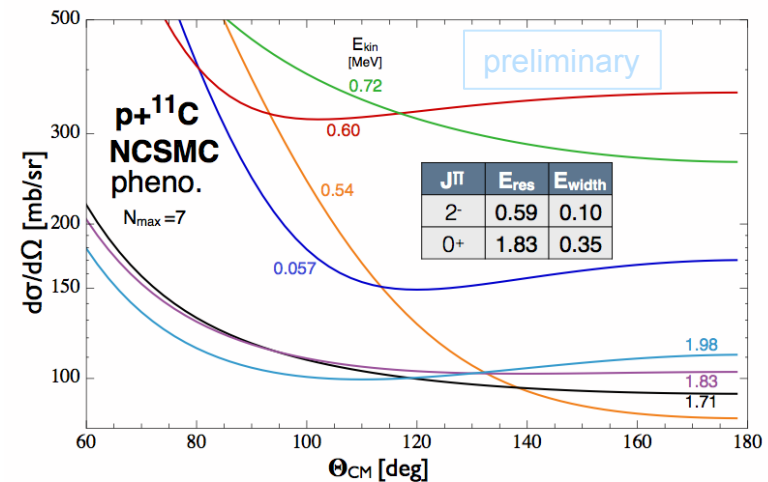
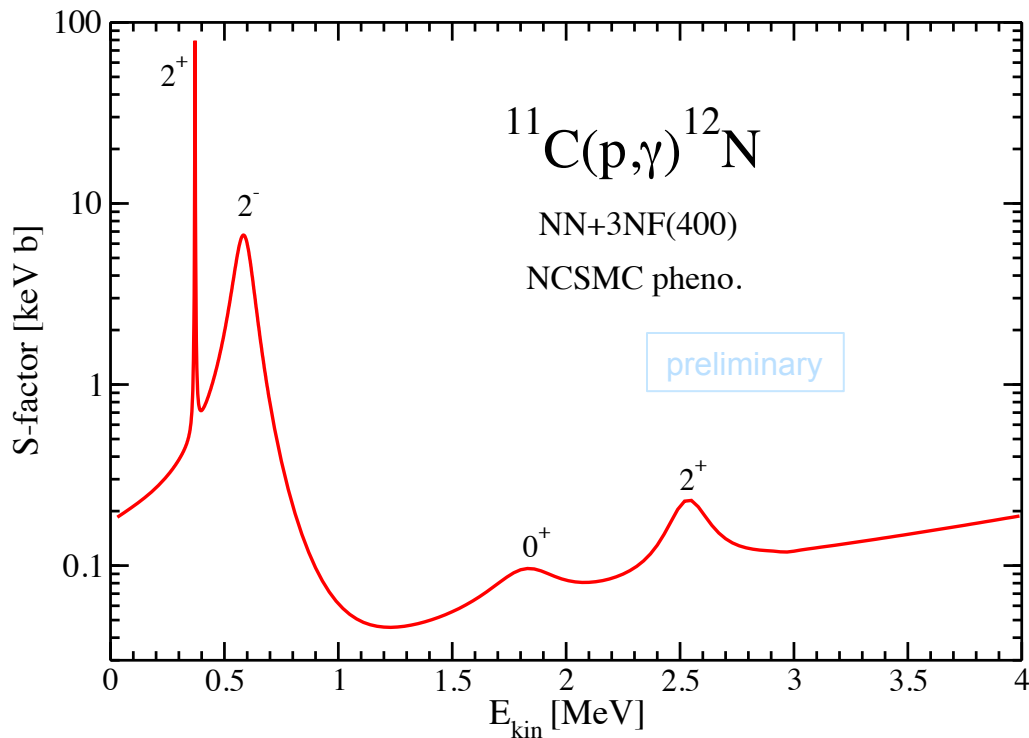


NCSMC calculations to be validated by measured cross sections and applied to calculate the  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture



# Next: $p+^{11}\text{C}$ scattering and $^{11}\text{C}(p,\gamma)^{12}\text{N}$ capture

- NCSMC calculations of  $^{11}\text{C}(p,p)$  with chiral NN+3N under way



NCSMC calculations to be validated by measured cross sections and applied to calculate the  $^{11}\text{C}(p,\gamma)^{12}\text{N}$  capture

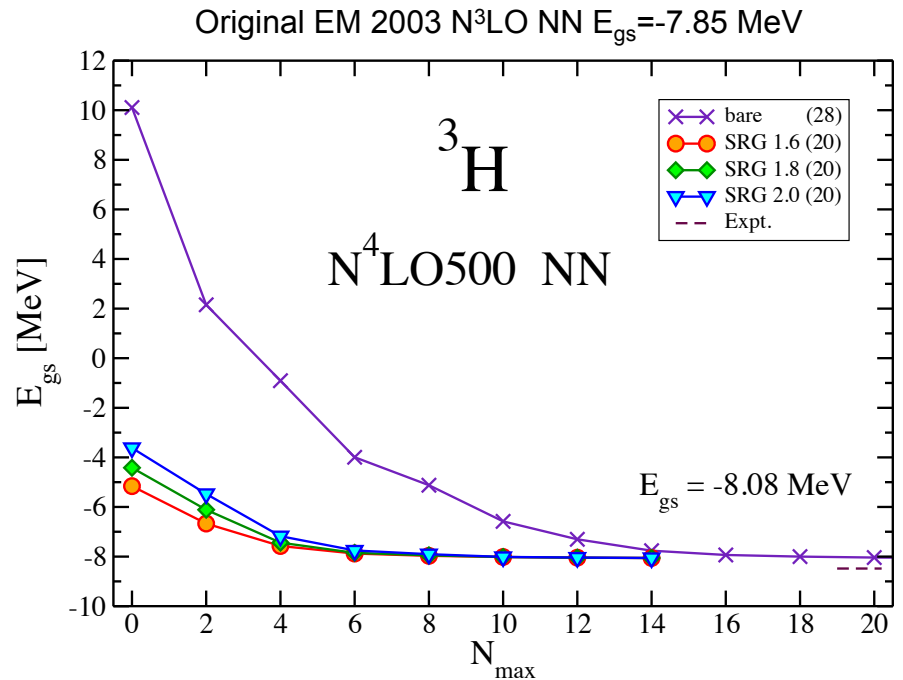
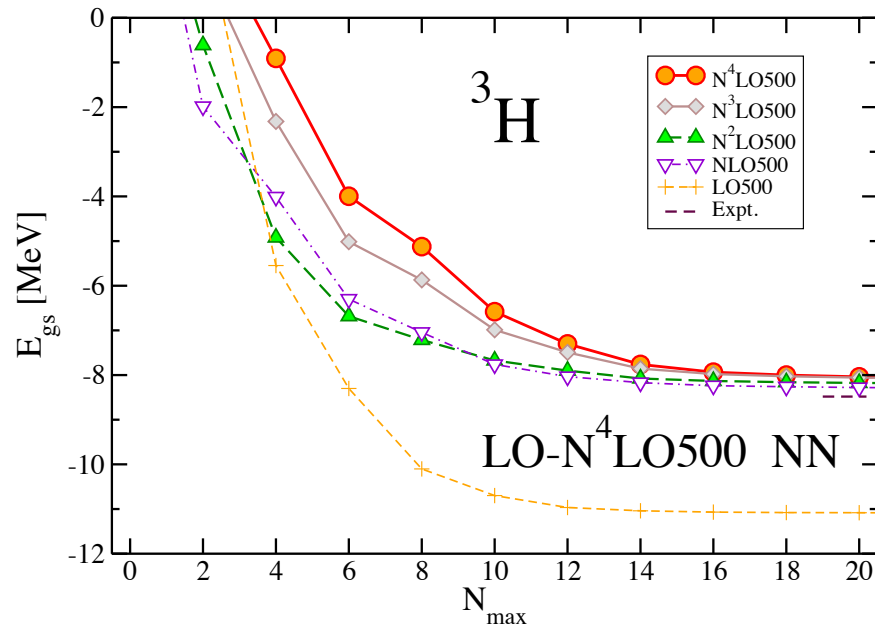
# Conclusions and Outlook

- *Ab initio* calculations of nuclear structure and reactions is a dynamic field with significant advances
- We developed a new unified approach to nuclear bound and unbound states
  - Merging of the NCSM and the NCSM/RGM = **NCSMC**
  - Inclusion of three-nucleon interactions in reaction calculations for  $A > 5$  systems
  - Extension to three-body clusters ( ${}^6\text{He} \sim {}^4\text{He} + n + n$ ): NCSMC in progress
- Ongoing projects:
  - Transfer reactions
  - Applications to capture reactions important for astrophysics
  - Bremsstrahlung
- Outlook
  - Alpha-clustering ( ${}^4\text{He}$  projectile)
    - ${}^{12}\text{C}$  and Hoyle state:  ${}^8\text{Be} + {}^4\text{He}$
    - ${}^{16}\text{O}$ :  ${}^{12}\text{C} + {}^4\text{He}$

# Backup slides

# Chiral EFT interactions up to N<sup>4</sup>LO

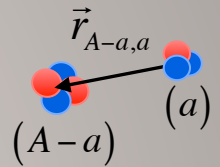
- Systematic from LO to N<sup>4</sup>LO
- High precision –  $\chi^2/\text{datum} = 1.15$ 
  - D. R. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Phys. Rev. C 91, 014002 (2015).
  - D. R. Entem, R. Machleidt, and Y. Nosyk, to be published.



# Binary cluster Resonating Group Method

- Working in partial waves ( $\nu \equiv \{A-a \alpha_1 I_1^{\pi_1} T_1; a \alpha_2 I_2^{\pi_2} T_2; s\ell\}$ )

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \hat{A}_{\nu} \left[ \underbrace{\left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle \right)}_{\text{Target}} \underbrace{\left( |a \alpha_2 I_2^{\pi_2} T_2\rangle \right)}_{\text{Projectile}} \right]^{(sT)} Y_{\ell}(\hat{r}_{A-a,a}) \left]^{(J^{\pi T})} \frac{g_{\nu}^{J^{\pi T}}(r_{A-a,a})}{r_{A-a,a}}$$



- Introduce a dummy variable  $\vec{r}$  with the help of the delta function

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \int \frac{g_{\nu}^{J^{\pi T}}(r)}{r} \hat{A}_{\nu} \left[ \left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle \right) \left( |a \alpha_2 I_2^{\pi_2} T_2\rangle \right) \right]^{(sT)} Y_{\ell}(\hat{r}) \left]^{(J^{\pi T})} \delta(\vec{r} - \vec{r}_{A-a,a}) r^2 dr d\hat{r}$$

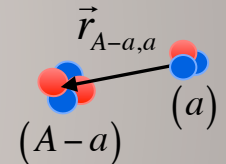
- Allows to bring the wave function of the relative motion in front of the antisymmetrizer

# Binary cluster Resonating Group Method

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \int \frac{g_{\nu}^{J^{\pi T}}(r)}{r} \hat{A}_{\nu} \left[ \left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle |a \alpha_2 I_2^{\pi_2} T_2\rangle \right)^{(sT)} Y_{\ell}(\hat{r}) \right]^{(J^{\pi T})} \delta(\vec{r} - \vec{r}_{A-a,a}) r^2 dr d\hat{r}$$

- Now introduce partial wave expansion of delta function

$$\delta(\vec{r} - \vec{r}_{A-a,a}) = \sum_{\lambda\mu} \frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} Y_{\lambda\mu}^*(\hat{r}) Y_{\lambda\mu}(\hat{r}_{A-a,a})$$



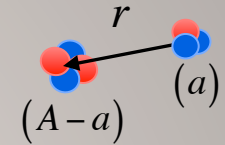
- After integration in the solid angle one obtains:

$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \int \frac{g_{\nu}^{J^{\pi T}}(r)}{r} \hat{A}_{\nu} \left[ \left( |A-a \alpha_1 I_1^{\pi_1} T_1\rangle |a \alpha_2 I_2^{\pi_2} T_2\rangle \right)^{(sT)} Y_{\ell}(\hat{r}_{A-a,a}) \right]^{(J^{\pi T})} \frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} r^2 dr$$

$\underbrace{\hspace{15em}}_{|\Phi_{\nu r}^{J^{\pi T}}\rangle \text{ (Jacobi) channel basis}}$

# Binary cluster RGM equations

- Trial wave function: 
$$|\psi^{J^{\pi T}}\rangle = \sum_{\nu} \int \frac{g_{\nu}^{J^{\pi T}}(r)}{r} \hat{A}_{\nu} |\Phi_{\nu r}^{J^{\pi T}}\rangle r^2 dr$$



- Projecting the Schrödinger equation on the channel basis yields:

$$\sum_{\nu} \int \left[ \underbrace{H_{\nu'\nu}^{J^{\pi T}}(r',r)}_{\text{Hamiltonian kernel}} - E \underbrace{N_{\nu'\nu}^{J^{\pi T}}(r',r)}_{\text{Overlap (or norm) kernel}} \right] \frac{g_{\nu}^{J^{\pi T}}(r)}{r} r^2 dr = 0$$

$$\langle \Phi_{\nu'r'}^{J^{\pi T}} | \hat{A}_{\nu'} H \hat{A}_{\nu} | \Phi_{\nu r}^{J^{\pi T}} \rangle \quad \langle \Phi_{\nu'r'}^{J^{\pi T}} | \hat{A}_{\nu'} \hat{A}_{\nu} | \Phi_{\nu r}^{J^{\pi T}} \rangle$$

Hamiltonian kernel                      Overlap (or norm) kernel

- Breakdown of approach:
  1. Build channel basis states from input target and projectile wave functions
  2. Calculate Hamiltonian and norm kernels
  3. Solve RGM equations: find unknown relative motion wave functions
    - Bound-state / scattering boundary conditions

# How to calculate the RGM kernels?

- Since we are using NCSM wave functions, it is convenient to introduce Jacobi channel states in the HO space

$$\left| \Phi_{vn}^{J^{\pi T}} \right\rangle = \left[ \left( \left| A - a \alpha_1 I_1^{\pi_1} T_1 \right\rangle \left| a \alpha_2 I_2^{\pi_2} T_2 \right\rangle \right)^{(sT)} Y_{\ell}(\hat{r}_{A-a,a}) \right]^{(J^{\pi T})} R_{n\ell}(r_{A-a,a})$$

- Note :

- The coordinate space channel states are given by

$$\left| \Phi_{vr}^{J^{\pi T}} \right\rangle = \sum_n R_{n\ell}(r) \left| \Phi_{vn}^{J^{\pi T}} \right\rangle$$

- We used the closure properties of HO radial wave functions

Trick #1

$$\frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} = \sum_n R_{n\ell}(r) R_{n\ell}(r_{A-a,a})$$

Note that this is OK, in particular when the sum is truncated, ONLY for localized parts of the kernels

- We call them Jacobi channel states because they describe only the internal motion

- Target and projectile wave functions are both translational invariant NCSM eigenstates calculated in the Jacobi coordinate basis



# Norm kernel (Pauli principle)

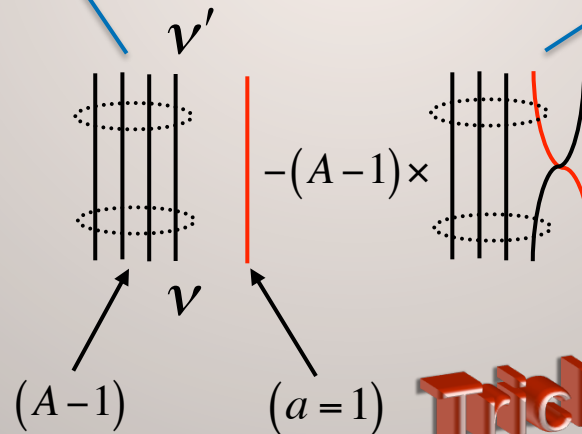
Single-nucleon projectile

$$\langle \Phi_{v'r'}^{J\pi T} | \hat{A}_{v'} \hat{A}_v | \Phi_{vr}^{J\pi T} \rangle = \left\langle \begin{array}{c} (A-1) \\ \text{red, blue} \\ \text{red} \\ r' \quad (a'=1) \end{array} \middle| 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \middle| \begin{array}{c} (A-1) \\ \text{red, blue} \\ \text{red} \\ r \quad (a=1) \end{array} \right\rangle$$

$$N_{v'v}^{J\pi T}(r', r) = \underbrace{\delta_{v'v} \frac{\delta(r' - r)}{r'r}}_{\text{Direct term}} - (A-1) \sum_{n'n} R_{n'\ell'}(r') R_{n\ell}(r) \underbrace{\langle \Phi_{v'n'}^{J\pi T} | \hat{P}_{A-1,A} | \Phi_{vn}^{J\pi T} \rangle}_{\text{Exchange term}}$$

$$\text{SD} \langle \psi_{\mu_1}^{(A-1)} | a^+ a | \psi_{\nu_1}^{(A-1)} \rangle_{\text{SD}}$$

Direct term:  
Treated exactly!  
(in the full space)



Exchange term:  
Obtained in the model space!  
(Many-body correction due to  
the exchange part of the inter-  
cluster antisymmetrizer)

**Trick #1**

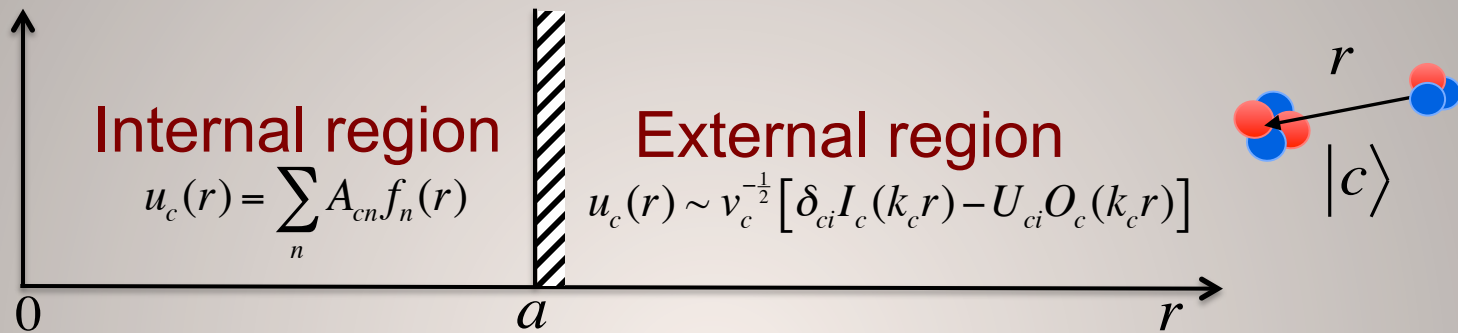
$$\frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} = \sum_n R_{n\ell}(r) R_{n\ell}(r_{A-a,a})$$

**Trick #2**

Target wave functions expanded in the SD basis,  
the CM motion exactly removed

# Microscopic $R$ -matrix theory on Lagrange mesh

- Separation into “internal” and “external” regions at the channel radius  $a$



- This is achieved through the Bloch operator:  $L_c = \frac{\hbar^2}{2\mu_c} \delta(r - a) \left( \frac{d}{dr} - \frac{B_c}{r} \right)$
- System of Bloch-Schrödinger equations:

$$\left[ \hat{T}_{rel}(r) + L_c + \bar{V}_{Coul}(r) - (E - E_c) \right] u_c(r) + \sum_{c'} \int dr' r' W_{cc'}(r, r') u_{c'}(r') = L_c u_c(r)$$

- Internal region: expansion on Lagrange square-integrable basis  $u_c(r) = \sum_n A_{cn} f_n(r)$
- External region: asymptotic form for large  $r$

$$u_c(r) \sim C_c W(k_c r) \quad \text{or} \quad u_c(r) \sim v_c^{-\frac{1}{2}} [\delta_{ci} I_c(k_c r) - U_{ci} O_c(k_c r)]$$

Bound state Scattering state

Scattering matrix