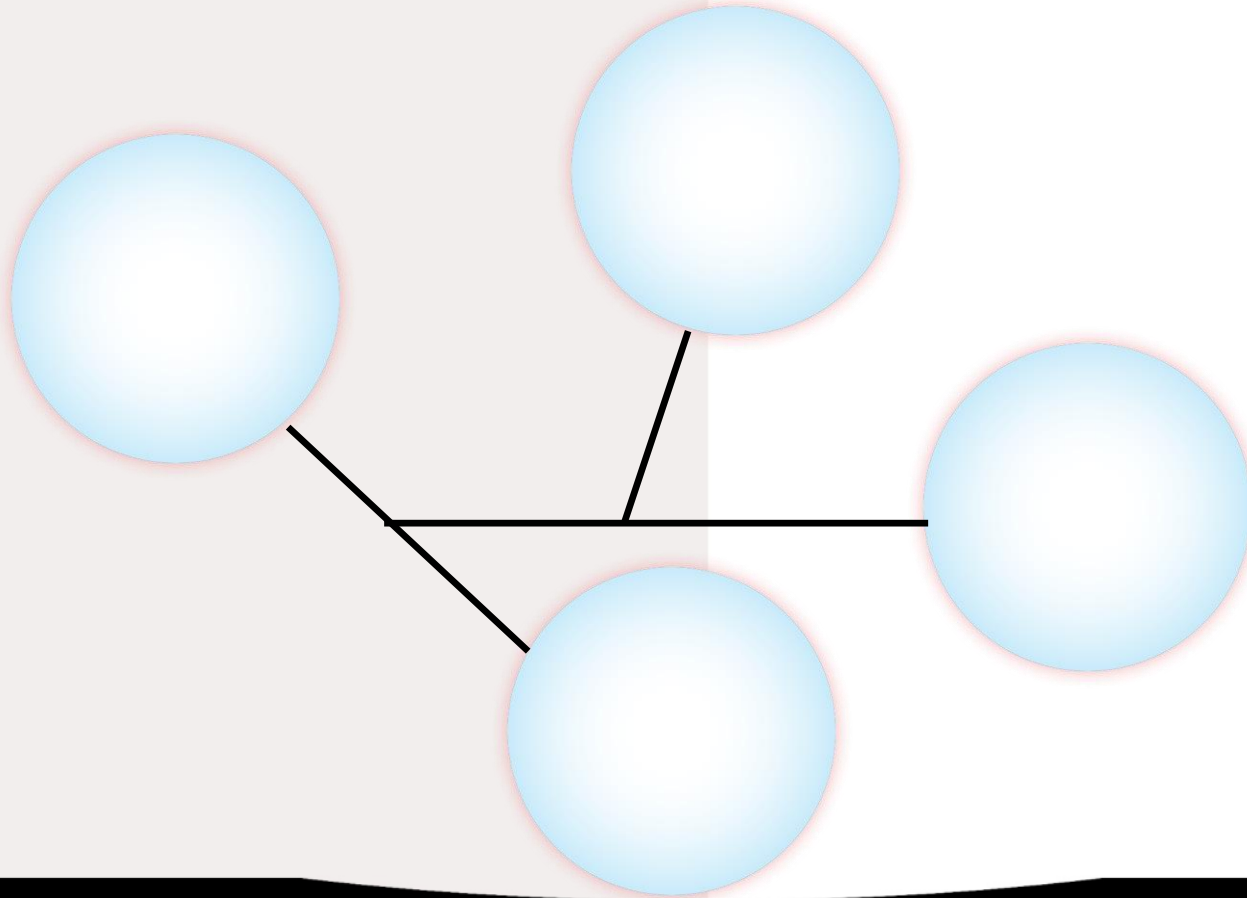


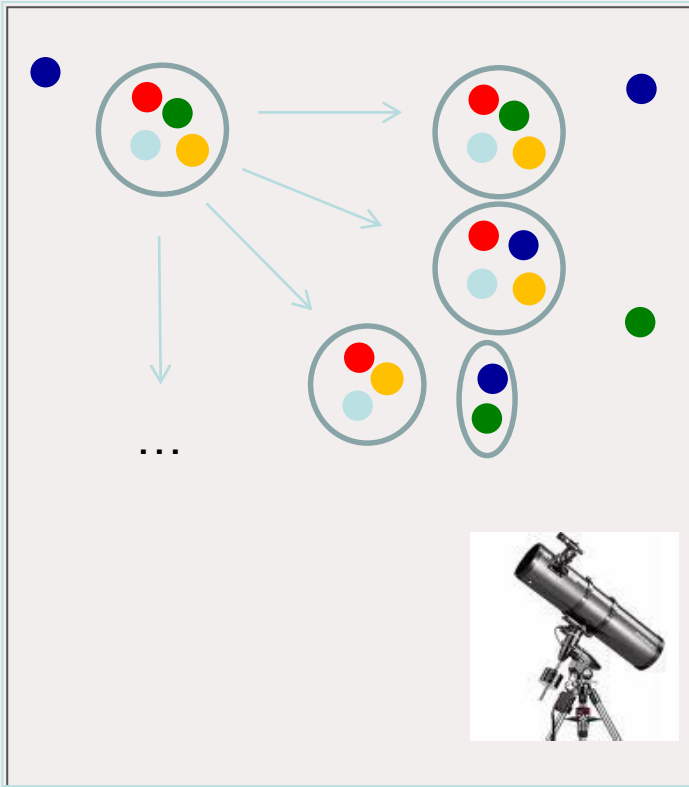
Description of few-nucleon collisions by FY eq's approach



- Solution of the 3-5 body Faddeev-Yakubovsky equations for nuclear systems
- Application of the complex-scaling method to solve complicated scattering problems using trivial boundary conditions

Collisions

- In configuration space wave functions extend to infinity!
- Increasingly complex asymptotic behaviour for $A > 2$ systems!!



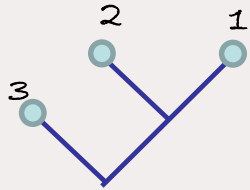
How to take care of the boundary condition?

- ✓ Conceptual difficulties to uncouple different particle channel, to constrain asymptotes of the solutions in all directions and thus get unique (physical) solution to the Schrodinger eq.
 - It is ok, as long as there is single particle channel (elastic plus target excitations)
 - Mathematically ill-conditioned problem when several particle channels are open
- ✓ Faddeev-Yakubovsky equations efficiently separates asymptotes of the binary channels

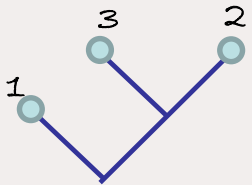
L. D. Faddeev, Zh. Eksp. Teor. Fiz. **39**, 1459 (1960). [Sov. Phys. JETP **12**, 1014(1961)].
O. A. Yakubovsky, Sov. J. Nucl. Phys. **5**, 937 (1967).

Faddeev-Yakubovsky eq

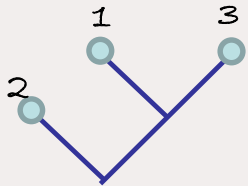
3-body
(Faddeev eq.)



$$\phi_{12} = G_0 V_{12} \Psi$$



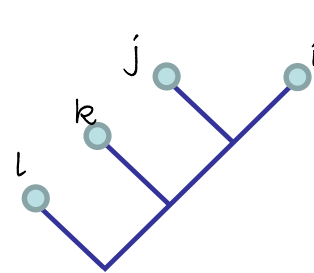
$$\phi_{23} = G_0 V_{23} \Psi$$



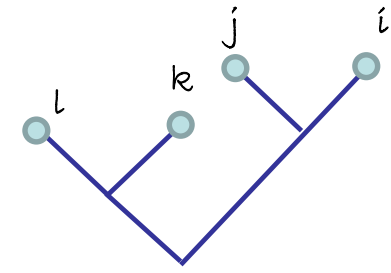
$$\phi_{31} = G_0 V_{31} \Psi$$

$$\Psi = \phi_{12} + \phi_{23} + \phi_{31}$$

4-body
(Faddeev-Yakubovsky eq.)



K-type
(12 components)



H-type
(6 components)

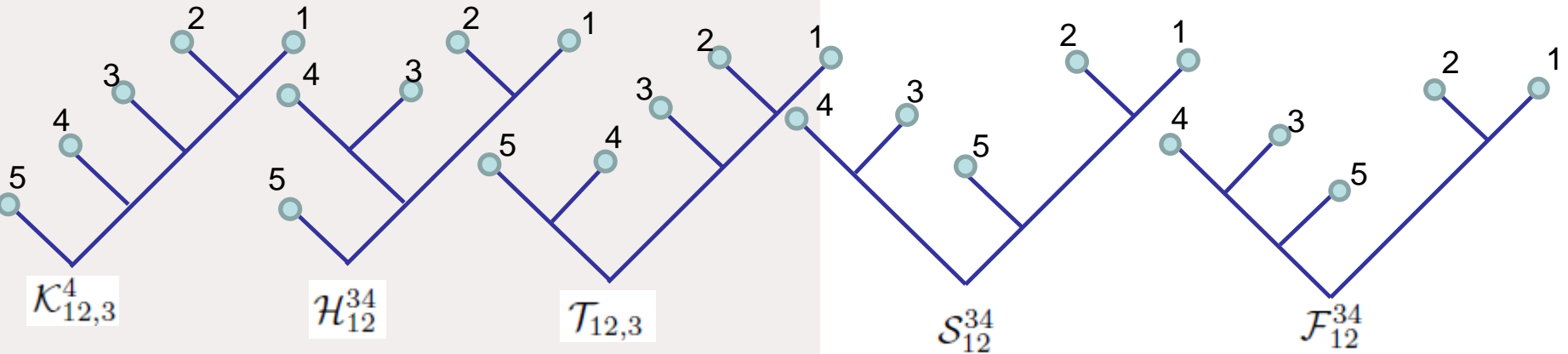
$$\phi_{jk} = G_0 V_{jk} \Psi$$

$$K_{ij,k}^l = G_{ij} V_{ij} (\phi_{jk} + \phi_{ik}); \quad H_{ij}^{kl} = G_{ij} V_{ij} \phi_{kl}$$

$$\Psi = \sum_{i < j} \phi_{ij} = \sum_{i < j} (K_{ij,k}^l + K_{ij,l}^k + H_{ij}^{kl})$$

Equations for short-ranged pairwise interactions

5-body Faddeev-Yakubovski eq



$$(E - H_0 - V_{12}) \mathcal{K}_{12,3}^4 = V_{12} (\mathcal{K}_{13,2}^4 + \mathcal{K}_{23,1}^4 + \mathcal{K}_{13,4}^5 + \mathcal{K}_{23,4}^5 + \mathcal{K}_{13,4}^2 + \mathcal{K}_{23,4}^1 + \mathcal{T}_{13,4} + \mathcal{T}_{23,4} + \mathcal{H}_{13}^{24} + \mathcal{H}_{23}^{14} + \mathcal{S}_{13}^{24} + \mathcal{S}_{23}^{14} + \mathcal{F}_{13}^{24} + \mathcal{F}_{23}^{14})$$

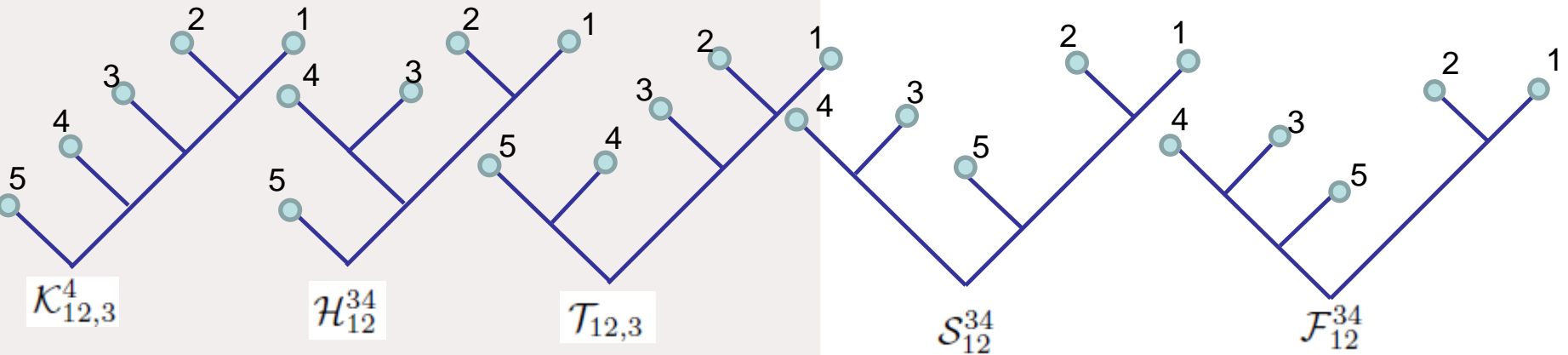
$$(E - H_0 - V_{12}) \mathcal{H}_{12}^{34} = V_{12} (\mathcal{H}_{34}^{12} + \mathcal{K}_{34,1}^2 + \mathcal{K}_{34,2}^1 + \mathcal{K}_{34,1}^5 + \mathcal{K}_{34,2}^5 + \mathcal{T}_{34,1} + \mathcal{T}_{34,2})$$

$$(E - H_0 - V_{12}) \mathcal{T}_{12,3} = V_{12} (\mathcal{T}_{13,2} + \mathcal{T}_{23,1} + \mathcal{H}_{13}^{45} + \mathcal{H}_{23}^{45} + \mathcal{S}_{13}^{45} + \mathcal{S}_{23}^{45} + \mathcal{F}_{13}^{45} + \mathcal{F}_{23}^{45})$$

$$(E - H_0 - V_{12}) \mathcal{S}_{12}^{34} = V_{12} (\mathcal{F}_{34}^{12} + \mathcal{S}_{34}^{15} + \mathcal{S}_{34}^{25} + \mathcal{F}_{34}^{15} + \mathcal{F}_{34}^{25} + \mathcal{H}_{34}^{15} + \mathcal{H}_{34}^{25})$$

$$(E - H_0 - V_{12}) \mathcal{F}_{12}^{34} = V_{12} (\mathcal{S}_{34}^{12} + \mathcal{K}_{34,5}^1 + \mathcal{K}_{34,5}^2 + \mathcal{T}_{34,5})$$

Faddeev-Yakubovsky eq



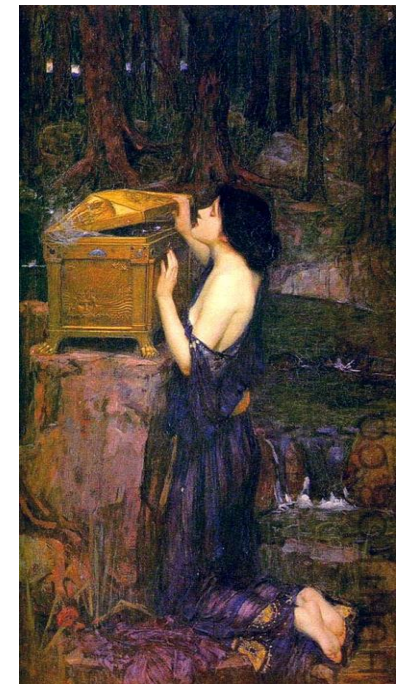
Merits:

- ✓ Handling of symmetries
- ✓ Boundary conditions for binary channels
- ✓ Easy reduction to subsystems

Price

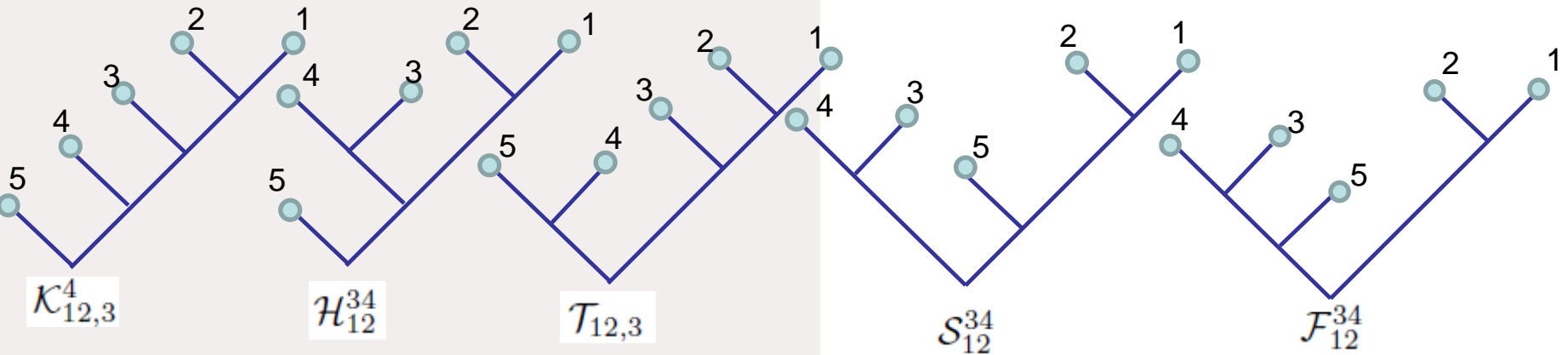
- ✓ Overcomplexity

Problem	Number eq. (identical particles)	Number eq. (different particles)
A=2	1	1
A=3	1	3
A=4	2	18
A=5	5	180
A=6	15	2700
A=N		$\frac{N!(N-1)!}{2^{N-1}}$



J.W. Waterhouse : « Pandora »

5-body Faddeev-Yakubovski eq



$$\mathcal{K}_{12,3}^4(\vec{x}, \vec{y}, \vec{z}, \vec{w}, S, L, T) = \sum_{\alpha_K=(l_{..}, s_{..}, t_{..})} \frac{f_{\alpha_K}(x, y, z, w)}{xyzw} \left[\left\{ (l_x l_y)_{l_{xy}} (l_z l_w)_{l_{zw}} \right\}_L \left\{ \dots \right\}_S \right]_{JM} \left\{ \dots \right\}_T$$

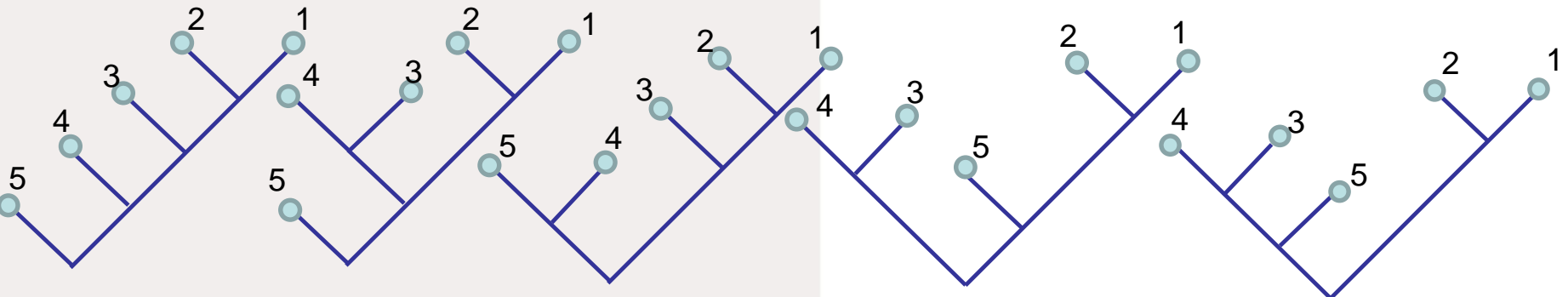
NUMERICAL SOLUTION

*R.L., PhD Thesis, Université Joseph Fourier, Grenoble (2003).

- PW decomposition of the components K, H, T, S, F
- Radial parts expanded using Lagrange-mesh method

D. Baye, Physics Reports 565 (2015) 1

- Resulting linear algebra problem solved using iterative methods
- Observables extracted using integral relations



Problem	Number eq. (ident particles)	Number eq. (diff. particles)	PW basis.	Radial disc.
2N	1	1	2	$\sim N$
3N	1	3	~ 100	$\sim N^2$
4N	2	18	$\sim 10^4$	$\sim N^3$
5N	5	180	$\sim 10^6$	$\sim N^4$

NUMERICAL SOLUTION

*R.L., PhD Thesis, Université Joseph Fourier, Grenoble (2003).

- PW decomposition of the components K, H, T, S, F
- Radial parts expanded using Lagrange-mesh method

D. Baye, Physics Reports 565 (2015) 1

- Resulting linear algebra problem solved using iterative methods
- Observables extracted using integral relations

Short overview of nuclear problems by FY eq's

3N-problem
(Faddeev)

1st solution:
G. Gignoux, F.
Review: W. G.

4N-problem
(Faddeev)

1st solution:
(1984) 125
Benchmarks:
state)

R. Lazauskas
M. Viviani et
M. Viviani et

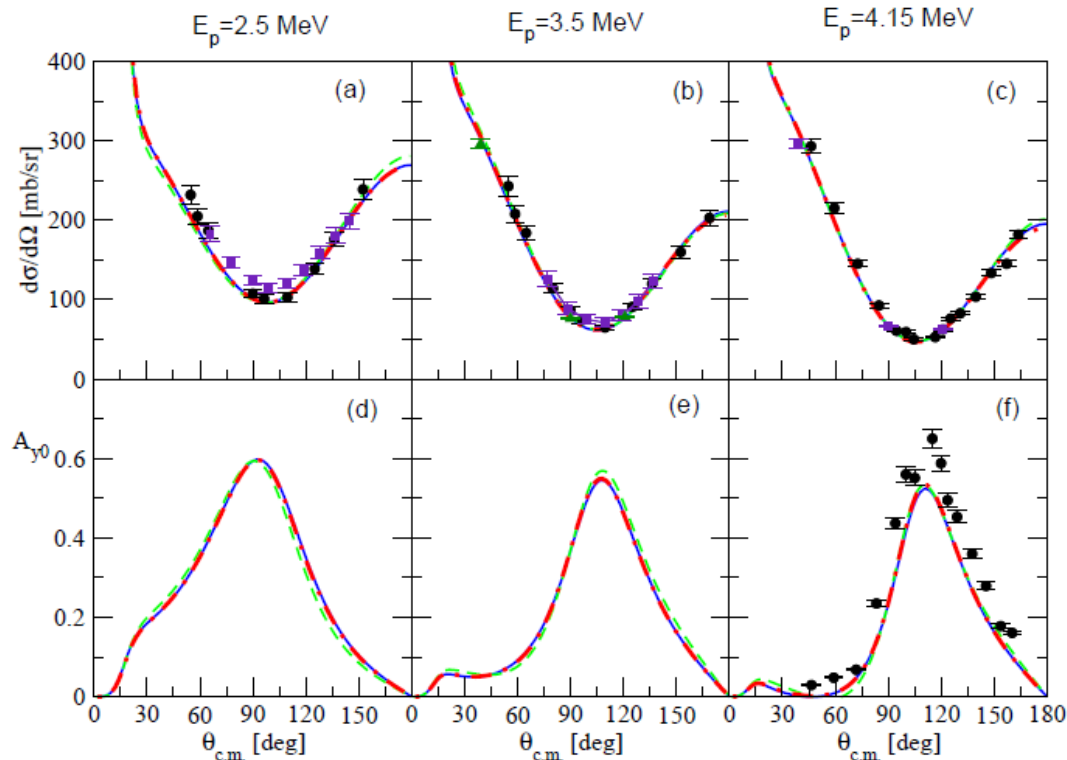
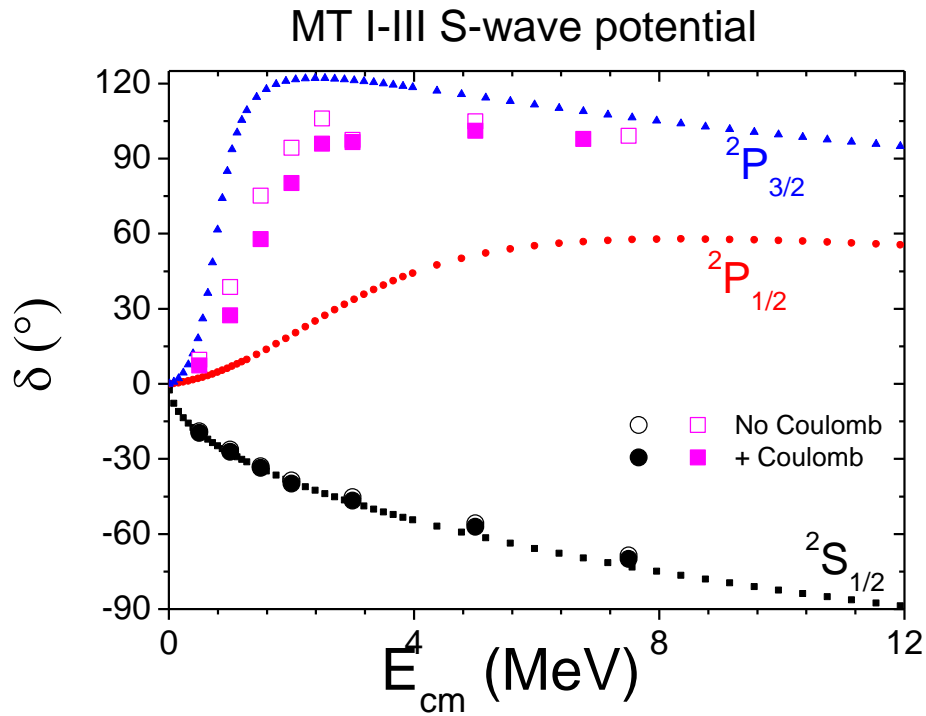
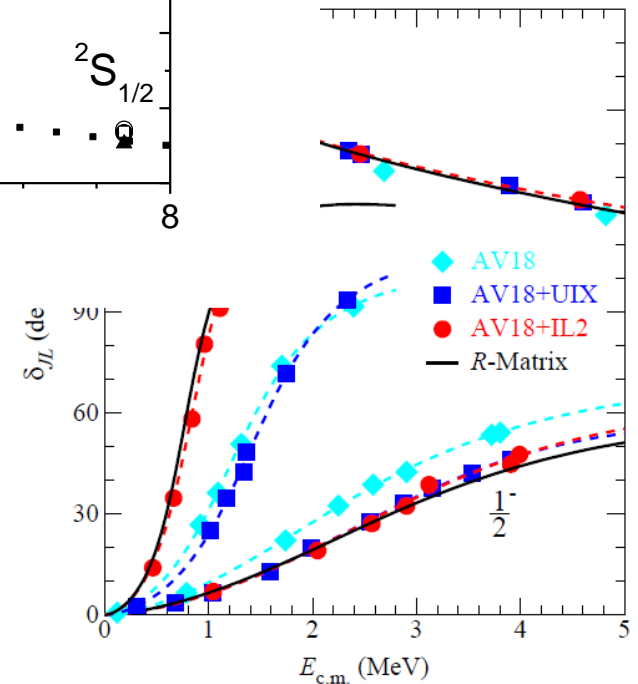
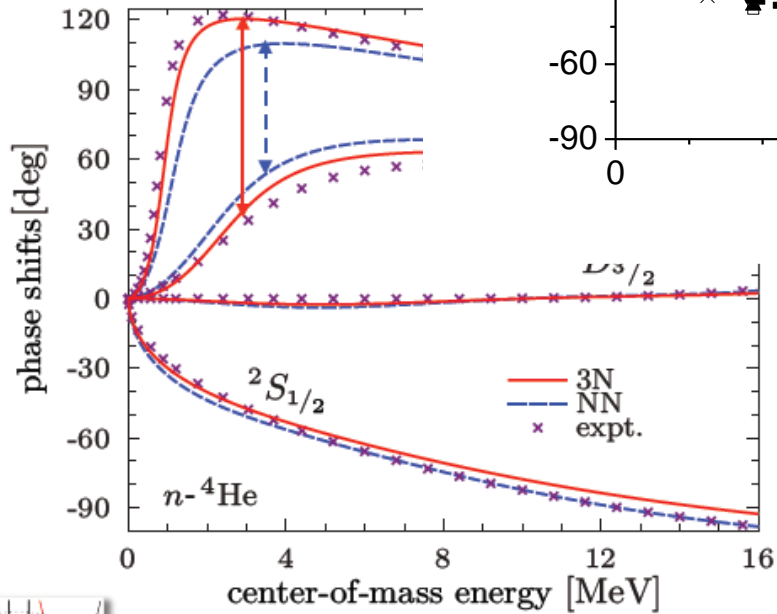
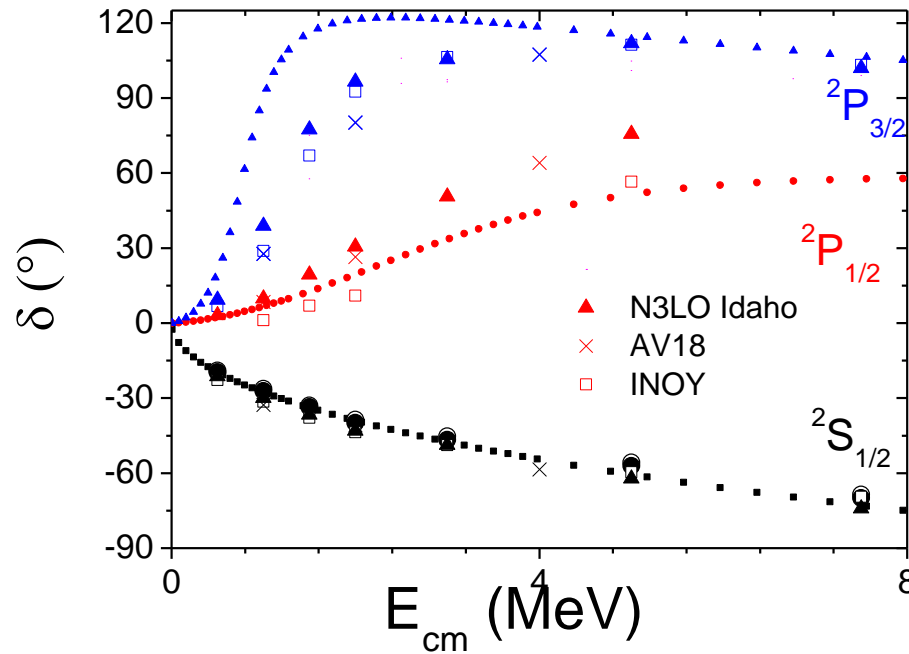


FIG. 5: (Color online) Differential cross sections (upper panels) and proton analyzing powers A_{y0} (lower panels) for p - ${}^3\text{H}$ elastic scattering at $E_p = 2.5, 3.5,$ and 4.15 MeV proton energies obtained using the N3LO500 potential. The lines show the results obtained using the AGS (blue solid lines), FY (red dot-dash lines), and the HH (green dashed lines) methods. In many cases, the curves overlap and cannot be distinguished. The experimental data in panel (a) are from Refs. [36] (circles) and [35] (squares), in panel (b) from Refs. [36] (circles), [39] (squares), and [40] (triangles), in panel (c) from Refs. [41] (circles) and [40] (squares), and finally in panel (f) from Ref. [41] (circles).

5N problem: n-⁴He scattering

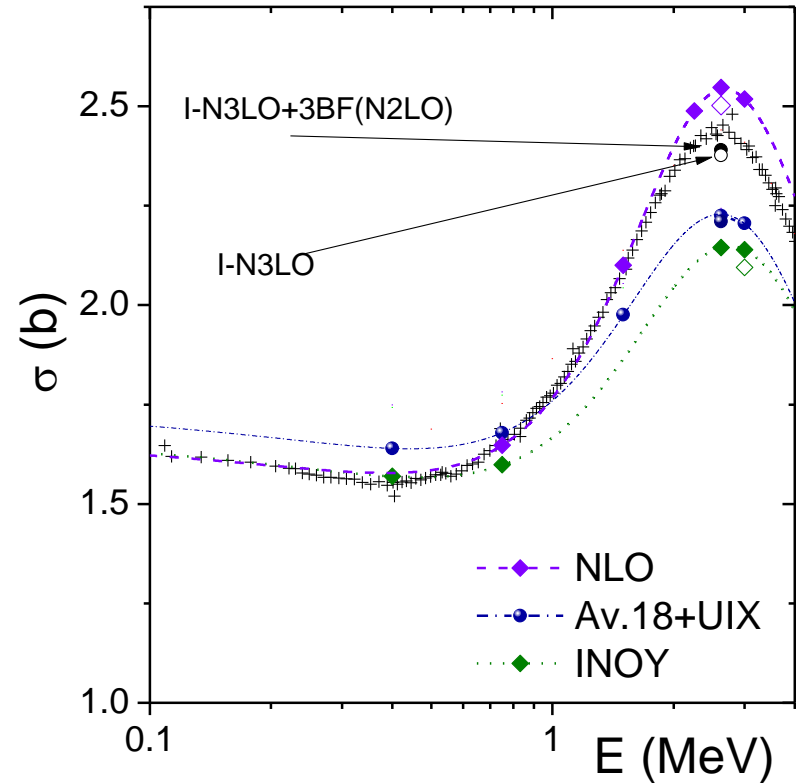
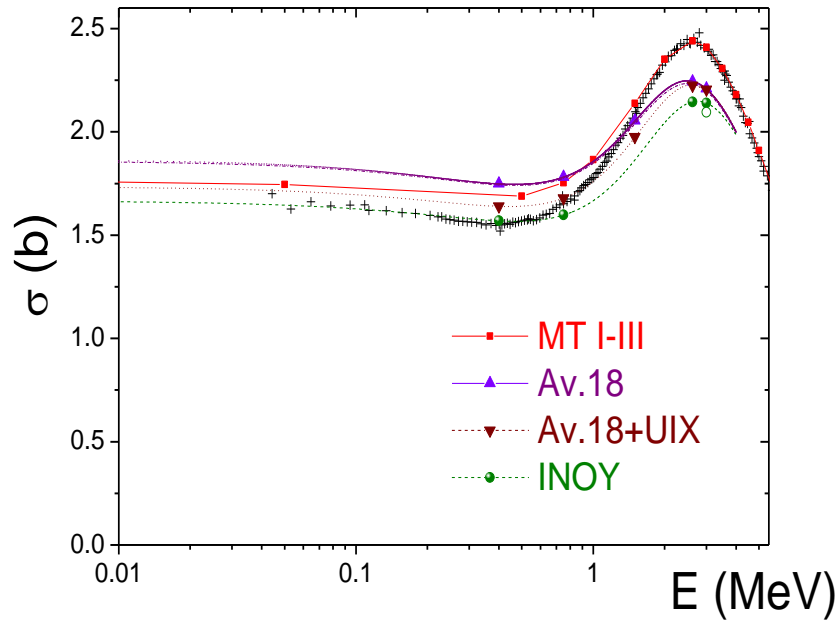




P. Navrátil, *INT, Nuclear Physics from Lattice QCD, March 21 - May 27, 2016*

K.M. Mollett et. al., *Phys.Rev.Lett.*99:022502,2007

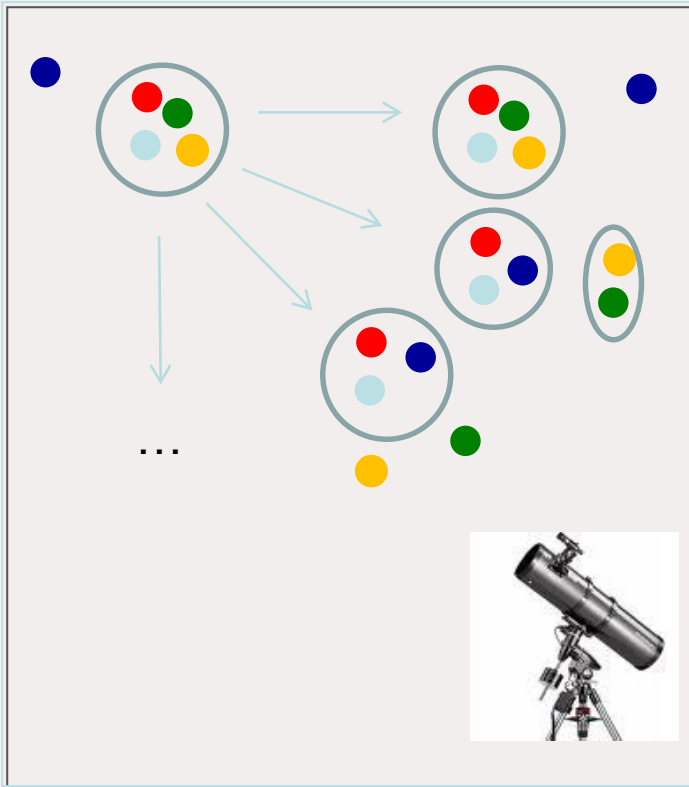
n - ^3H total cross section



- Solution of the 3-5 body Faddeev-Yakubovsky equations for nuclear systems
- Application of the complex-scaling method to solve complicated scattering problems using trivial boundary conditions

Collisions

- In configuration space wave functions extend to infinity!
- Increasingly complex asymptotic behavior for $A > 2$ systems!!



What to do?

Take care of the boundary condition

- ✓ Faddeev-Yakubovsky equations efficiently separates asymptotes of the binary channels

BUT

- ✓ Number of the reaction channels increases rapidly with A
- ✓ Even for 3-body systems there may exist infinite number of binary channels $e+H \rightarrow e+H (n=1,2,\dots,\infty)$
- ✓ Complex behavior of the breakup asymptotes

FIND SOME TRICKS TO AVOID PROBLEMS AT BOUNDARY!

A dream: to solve scattering problems with a similar ease as bound state one (avoiding complex singularities or boundary conditions)

A. Deltuva, R.L. et al., PPNP 74 (2014)

- “Calculable” R-matrix *E.P. Wigner, Phys. Rev. 70 (1946) 15; P. Descouvemont, D. Baye, RPP 73 (2010) 036301.*
- Lorentz integral transform *V. D. Efros, W. Leidemann, and G. Orlandini, Phys. Rev. Lett. 78, 4015 (1997).*
- Complex energy method *F. A. McDonald and J. Nuttall, PRL 23, 361 (1969) (config. space); E. Uzu, H. Kamada, and Y. Koike, Phys. Rev. C 68, 061001 (2003); A. Deltuva and A. C. Fonseca, Phys. Rev. C 86, 011001(2012).*
- Momentum lattice technique *O. A. Rubtsova, V. N. Pomerantsev, and V. I. Kukulin, Phys. Rev. C 79, 064602 (2009).*
- Continuum discretization *A. Kievsky, M. Viviani, and L. E. Marcucci, Phys. Rev. C 85, 014001 (2012).*
- Complex scaling method *B. Giraud, K. Kato and A. Ohnishi, J. of Phys. A37, 11575 (2004) (passing via spectral function); Y. Suzuki, W. Horiuchi, D. Baye, PTP, 123 (2010) (passing via spectral function); A. T. Kruppa et al., Phys. Rev. C 75 (2007); R.L. & J. Carbonell, Phys. Rev. C 84, 034002 (2011).*

Complex scaling: resonances, reactions & bound states in an unified formalism

In quantum-mechanics problem of N -particle dynamics may be often formulated in time-independent formalism and for the wave functions whose asymptotes contain only nontrivial outgoing waves

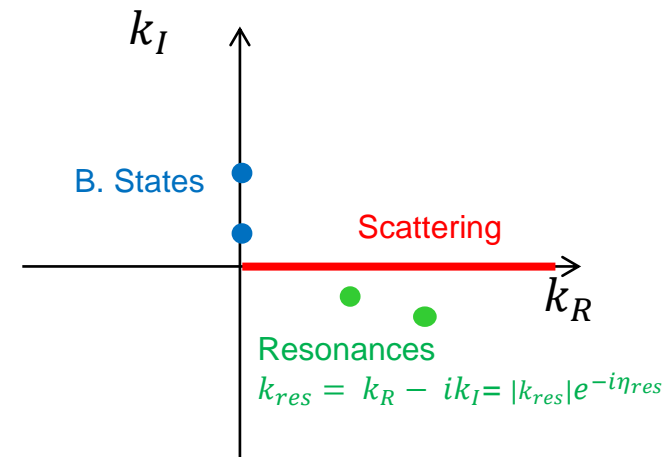
$$\left(E_{sc} - H_0 - \sum_{i>j} V_{ij}(r_{ij}) \right) \psi(\mathbf{r}_i) = S(\mathbf{r}_i)$$

- Bound state problem: $S(\mathbf{r}_i) \equiv \mathbf{0}$
- Resonances: $S(\mathbf{r}_i) \equiv \mathbf{0}$
- Reactions due to external probe: $S(\rho \rightarrow \infty) = \mathbf{0}$

$$\psi(\rho \rightarrow \infty) \propto e^{ik_x r_x}$$

- Collisions: $S(\mathbf{r}_i) \equiv \mathbf{0}$

$$\psi_{sc}(\rho \rightarrow \infty) \propto \psi_{in}(\mathbf{r}_i) + \sum_c A_c(\hat{k}_c) e^{i|k_c|r_c}$$



Complex scaling: resonances, reactions & bound states in an unified formalism

R. Hartree, J. G. L. Michel, P. Nicolson (1946)

*J. Nuttall and H. L. Cohen, Phys. Rev. **188** (1969) 1542*

Complex scaling kills outgoing waves in the asymptote

$$r \longrightarrow r e^{i\theta}$$

$$\tilde{\psi}(\rho \rightarrow \infty) \propto e^{ik_x r_x e^{i\theta}} = e^{ik_x r_x \cos\theta} e^{-k_x r_x \sin\theta}$$

Complex scaling: resonances, reactions & bound states in an unified formalism

In quantum-mechanics problem of N -particle dynamics may be often formulated in time-independent formalism and for the wave functions whose asymptotes contain *only nontrivial outgoing waves*

$$\left(E_{sc} - H_0 - \sum_{i>j} V_{ij}(r_{ij}) \right) \psi(\mathbf{r}_i) = S(\mathbf{r}_i)$$

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- Reactions due to external probe: $S(\rho \rightarrow \infty) \equiv 0$

$$\psi(\rho \rightarrow \infty) \propto e^{ik_x r_x}$$

- Collisions: $S(\mathbf{r}_i) \equiv 0$

$$\psi_{sc}(\rho \rightarrow \infty) \propto \psi_{in}(\mathbf{r}_i) + \sum_c A_c(\hat{k}_c) e^{i|k_c|r_c}$$

Diverges

only outgoing waves!



Complex scaling: resonances, reactions & bound states in an unified formalism

R. Hartree, J.G. L. Michel, P. Nicolson (1946)

J. Nuttal and H. L. Cohen, Phys. Rev. **188** (1969) 1542

Complex scaling kills outgoing waves in the asymptote

$$r \longrightarrow re^{i\theta}$$

$$\tilde{\psi}(\rho \rightarrow \infty) \propto e^{ik_x r_x e^{i\theta}} = e^{ik_x r_x \cos\theta} e^{-k_x r_x \sin\theta}$$

exp. bound if $0 < \theta < \pi$

- Schrödinger equation in a driven form:

$$r\Psi(r) = F_l^{in}(r) + F_l^{sc}(r)$$

$$\frac{\hbar^2}{2\mu} \left(-\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} - k^2 \right) F_l^{in}(r) = 0$$

$$\frac{\hbar^2}{2\mu} \left(-\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + V_l(r) - k^2 \right) F_l^{sc}(r) = -V_l(r) F_l^{in}(r)$$

Complex scaling $r \longrightarrow re^{i\theta}$

$$\frac{\hbar^2}{2\mu} \left(-\frac{d^2}{e^{2i\theta} dr^2} + \frac{l(l+1)}{e^{2i\theta} r^2} + V_l(re^{i\theta}) - k^2 \right) \tilde{F}_l^{sc}(r) = -V_l(re^{i\theta}) F_l^{in}(re^{i\theta})$$

exp. bound for the short
range pot. term V^s

Complex scaling: guide for pedestrians

- Solution (using standard bound state techniques)

1. Expand c.s. outgoing wave in your favorite basis

$$\tilde{\Psi}^{sc}(r) \approx \sum_{i=1}^N c_i \psi_i(r)$$

Complex coefficients

square integrable basis functions

2. Convert c.s. Schrödinger equation into linear algebra problem

- 3.

$$\int \psi_j(r) dr : \quad \frac{\hbar^2}{2\mu} \left(-\frac{d^2}{e^{2i\theta} dr^2} + \frac{l(l+1)}{e^{2i\theta} r^2} + V_l(re^{i\theta}) - k^2 \right) \tilde{\Psi}_l^{sc}(r) = -V_l^s(re^{i\theta}) \Psi_l^{in}(re^{i\theta})$$

$j = 1, \dots, N$

$$\tilde{H}_{j,i} c_i = b_j$$

4. Solve linear algebra problem to determine coefficients c_i

- i. Spectral expansion (Y.Suzuki, W.Horiuchi, K. Kato): determine all eigenvalues/eigenvectors

limit 10^5 basis st.

- ii. (Iterative) linear algebra methods

upto 10^{10} basis st.

5. Extract scattering observables from obtained solution(s) : multiple choice, most efficient way via integral expressions

Review of the method*

- **Complex scaling method is very functional, adaptable to almost any b.s. technique.**

Already successfully applied using:

- ✓ Spline basis
- ✓ Laguerre, HO, Gaussian, correlated Gaussian, Sturmian basis functions
- ✓ Lagrange mesh method

T. Myo, Y. Kikuchi, and K. Katō, *Phys. Rev. C* **85**, 034338 (2012) , Attila Csötó, *Phys. Rev. C* **49**, 2244 (1994), E. Gyarmati and A. T. Kruppa, *Phys. Rev. C* **34**, 95 (1986)

- **External probes**

- ✓ 2-body, 3-body, 4-body systems, including repulsive Coulomb

T.M, K. Kato, S. Aoyama and K. Ikeda *PRC63*(2001)054313, T.Myo, K. Kato, H. Toki, K. Ikeda, *PRC76*(2007) 024305

- **Collisions, demonstrated to work for:**

- ✓ 2-body collisions including Coulomb interaction, Optical potentials, $\frac{1}{r^n}$ potentials with $n \geq 4$
- ✓ 3-body scattering including the break-up
- ✓ 3-body scattering including non-local, Optical potential, attractive/repulsive Coulomb interactions
- ✓ 3-body break-up amplitude for n-d & p-d scattering
- ✓ 4-body scattering in 4N systems, including Coulomb

...

R.L. & J. Carbonell, *Phys. Rev. C* **84**, 034002 (2011); A. Deltuva, R.L., A.C. Fonseca, "Clusters in Nuclei Vol.3 -LNP **875**, 1 (2013); A. Deltuva, R.L. et al., *PPNP* **74** (2014); R.L., *Phys. Rev. C* **91**, 041001(R) (2015),..

n-d scattering for MT I-III potential

TABLE V: Neutron-deuteron 3S_1 break-up amplitude calculated at $E_{lab}=42$ MeV as a function of the break-up angle ϑ .

	0°	10°	20°	30°	40°	50°	60°	70°	80°	90°
This work Re(3S_1)	1.49[-2]	8.84[-4]	-3.40[-2]	-3.33[-2]	7.70[-2]	2.52[-1]	4.47[-1]	6.47[-1]	6.30[-1]	-1.62[-1]
This work Im(3S_1)	1.69[0]	1.74[0]	1.87[0]	1.92[0]	1.80[0]	1.68[0]	1.70[0]	1.96[0]	2.23[0]	3.17[0]
Ref. [23] Re(3S_1)	1.48[-2]	9.22[-4]	-3.21[-2]	-3.09[-2]	7.70[-2]	2.52[-1]	4.51[-1]	6.53[-1]	6.93[-1]	1.05[-1]
Ref. [23] Im(3S_1)	1.69[0]	1.74[0]	1.87[0]	1.92[0]	1.80[0]	1.67[0]	1.70[0]	1.95[0]	2.52[0]	3.06[0]

Ref. [23] J. L. Friar et al., Phys. Rev. C 51 (1995) 2356.

${}^2\text{H}+{}^{12}\text{C}\leftrightarrow\text{p}+{}^{13}\text{C}$ scattering within 3-body model

Optical CH89 pot.

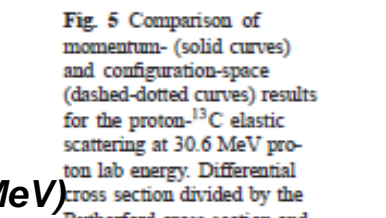
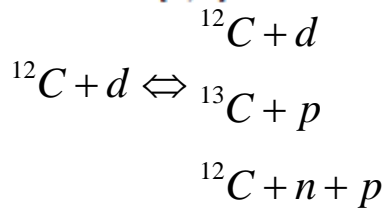
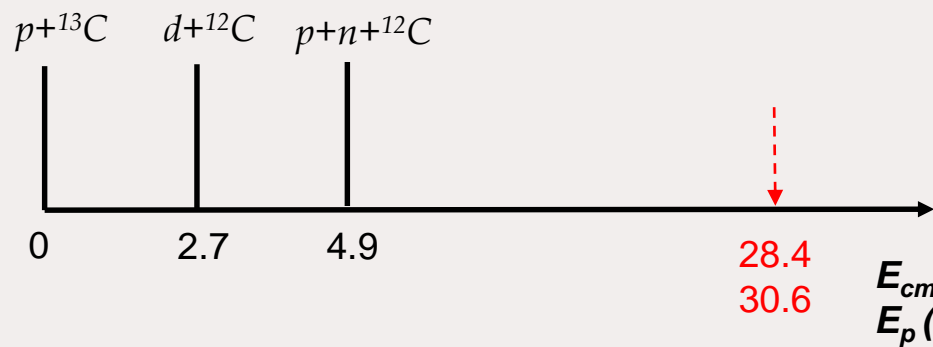
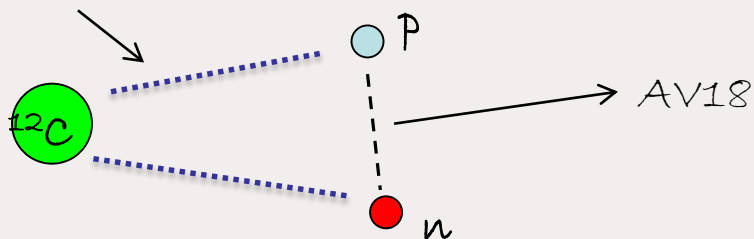


Fig. 4 Comparison of momentum- (solid curves) and configuration-space (dashed-dotted curves) results for the deuteron- ${}^{12}\text{C}$ scattering at 30 MeV deuteron lab energy. Differential cross sections for elastic scattering and stripping are shown, the former in ratio to the Rutherford cross section $d\sigma_R/d\Omega$. The experimental data are from Refs. [41, 40].

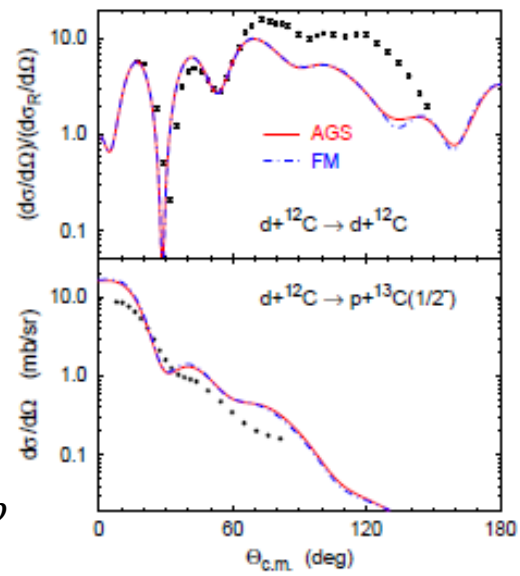
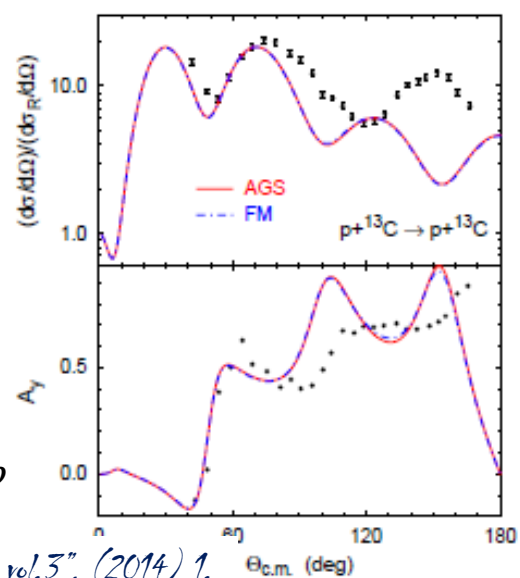
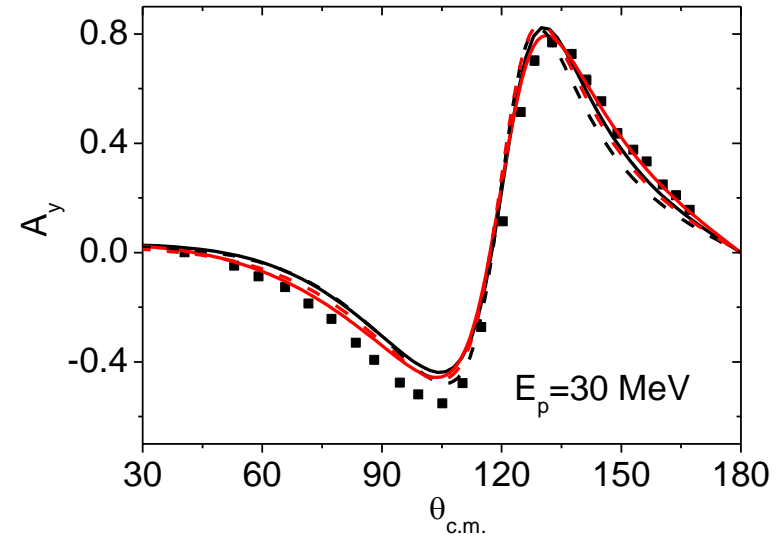
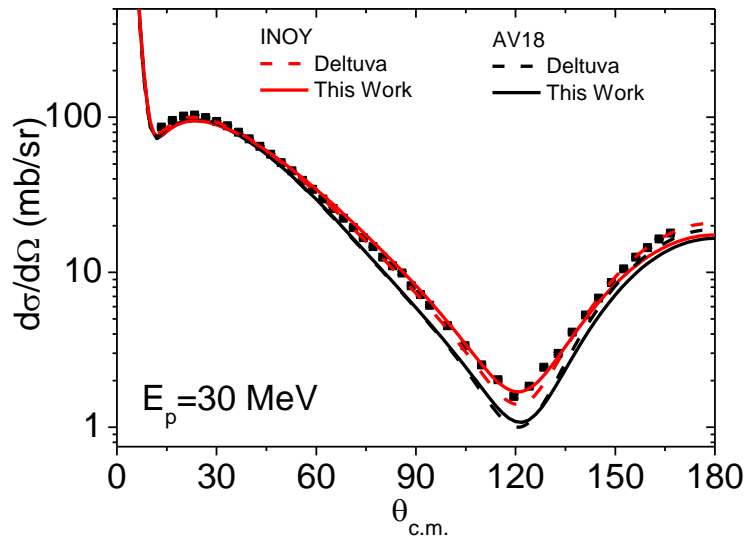


Fig. 5 Comparison of momentum- (solid curves) and configuration-space (dashed-dotted curves) results for the proton- ${}^{13}\text{C}$ elastic scattering at 30.6 MeV proton lab energy. Differential cross section divided by the Rutherford cross section and proton analyzing power are shown. The experimental data are from Ref. [25].



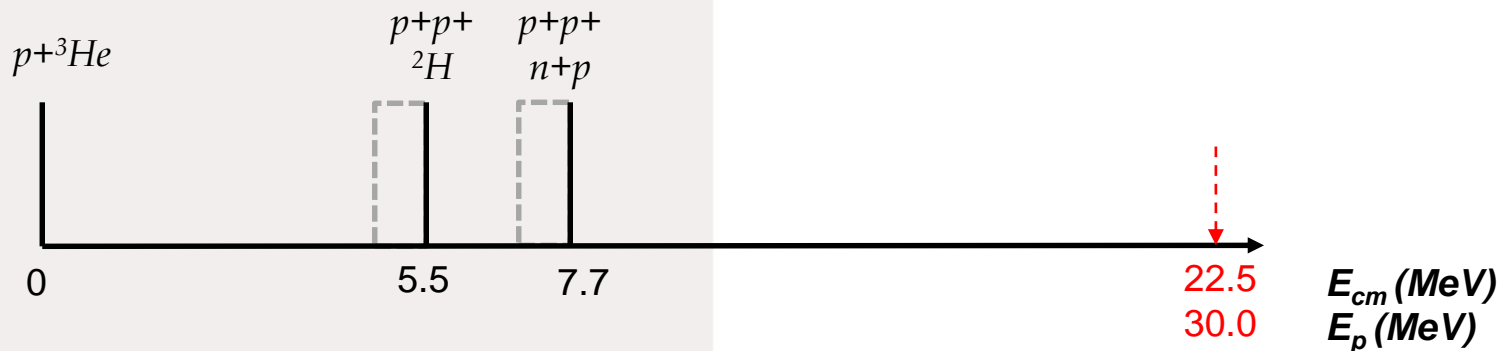
A. Deltuva, A.C. Fonseca and R.L., "Clusters in nuclei vol.3", (2014) 1.

p - ^3He scattering/realistic NN interactions



*A. Deltuva and A.C. Fonseca, *Phys. Rev. C* **87** (2013) 054002

Exp: B.T. Murdoch et al., *Phys. Rev. C* **21(1984) 2001; J. Birchall, *Phys. Rev. C* **29**(1984) 2009.



Price to pay

- C.S. outgoing waves asymptotically converges as $e^{-|k_{cp}|r\sin(\theta)}$
 - ☞ Slow convergence for small k_{cp} , difficulties in close-threshold region
 - ☹ Convergence is faster for large θ
- One should work with c.s. potential or c.s. basis functions
 - ☞ Imposes upper limit for the q to be used, since

$$V(r) \sim \exp(-\mu r^n) \rightarrow \exp(-\mu r^n e^{in\theta})$$

→ Starts to diverge for $\theta > \pi/(2n)$

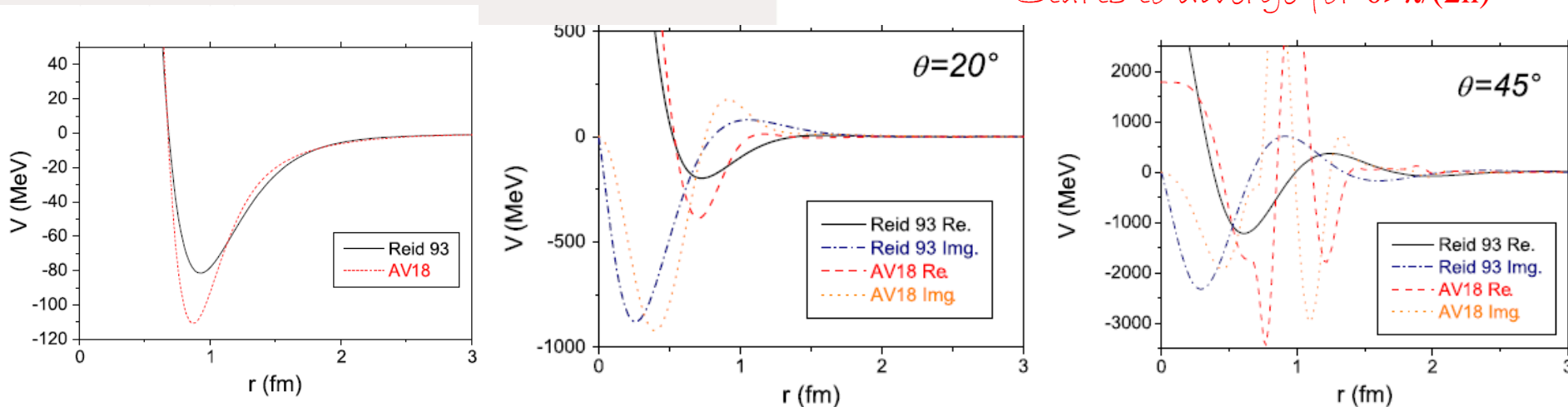


FIG. 1. (Color online) Reid 93 and AV18 1S_0 nn potentials.

- FY eq. formalism remains reference in few-body scattering calculations. Four-nucleon scattering problem approaches fixed status. The first solution of 5-body FY equations is presented.
- At the same time several promising methods emerge to solve scattering problems based on trivial boundary conditions.
- In particular, complex-scaling method has been revived in nuclear physics. This method enables to solve few-body scattering problem employing standard bound state techniques (feasible by almost any config. space bound state technique and requires very limited effort to be implemented)
- Simple extension of the formalism to many-body scattering case
- Very accurate results are already obtained for 3-body and 4-body elastic and breakup scattering

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